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## Trees with Unique Italian Dominating Functions of Minimum Weight

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Trees with Unique Italian Dominating Functions of Minimum Weight

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A thesis

presented to

the faculty of the Department of Mathematics

East Tennessee State University

In partial fulfillment

of the requirements for the degree

Master of Science in Mathematical Sciences

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by

Alyssa England

May 2020

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Robert Gardner, Ph.D.

Keywords: graph theory, Italian domination, unique Italian domination

## ABSTRACT

Trees with Unique Italian Dominating Functions of Minimum Weight

by

Alyssa England

An Italian dominating function, abbreviated IDF, of  $G$  is a function  $f: V(G) \rightarrow \{0, 1, 2\}$  satisfying the condition that for every vertex  $v \in V(G)$  with  $f(v) = 0$ , we have  $\sum_{u \in N(v)} f(u) \geq 2$ . That is, either  $v$  is adjacent to at least one vertex  $u$  with  $f(u) = 2$ , or to at least two vertices  $x$  and  $y$  with  $f(x) = f(y) = 1$ . The Italian domination number, denoted  $\gamma_I(G)$ , is the minimum weight of an IDF in  $G$ . In this thesis, we use operations that join two trees with a single edge in order to build trees with unique  $\gamma_I$ -functions.

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## 1 INTRODUCTION

Let us begin by establishing the definitions and standard notations that will be presented in this paper. Let  $G = (V, E)$  be a graph with vertex set  $V(G) = V$  of order  $n = |V(G)|$  and edge set  $E(G) = E$  of size  $m = |E(G)|$ . The open neighborhood of  $v \in V$  is the set  $N_G(v) = \{u \in V \mid uv \in E\}$ . The *closed neighborhood* of  $v$  is  $N_G[v] = N_G(v) \cup \{v\}$ . The *open neighborhood* of a set  $S \subseteq V(G)$  is the set of all neighbors of vertices in  $S$ , denoted  $N_G(S)$ , whereas the *closed neighborhood* of  $S$  is  $N_G[S] = N_G(S) \cup S$ . For a set  $S \subseteq V(G)$ , the subgraph induced by  $S$  in  $G$  is denoted  $G[S]$ . Further, the graph obtained from  $G$  by deleting the vertices in  $S$  and all edges incident with  $S$  is denoted by  $G - S$ .

The *degree* of  $v$ , denoted by  $d_G(v)$ , is the cardinality of its open neighborhood. A vertex of degree one is called a *leaf*, and its neighbor is called a *support vertex*. If  $v$  is a support vertex of a tree  $T$ , then  $L_v$  will denote the set of the leaves attached at  $v$ .

A *path*, denoted  $P_n$ , is a graph of order  $n$  and size  $n - 1$  with vertices labelled  $v_1, v_2, \dots, v_n$  and edges  $v_i v_{i+1}$  for  $i = 1, 2, \dots, n - 1$ . A *star*, denoted  $K_{1,t}$ , is a tree in which one vertex  $v$  has  $N[v] = V(G)$ , and every other vertex  $u$  has  $N(u) = \{v\}$ . For a positive integer  $t \geq 2$ , a *wounded spider* is a star  $K_{1,t}$  with at most  $t - 1$  of its edges subdivided, and a *healthy spider* is a star  $K_{1,t}$  with all of its edges subdivided.

A function  $f: V(G) \rightarrow \{0, 1, 2\}$  is a *Roman dominating function*, abbreviated RDF, of  $G$  if every vertex  $u \in V(G)$  for which  $f(u) = 0$  is adjacent to at least one vertex  $v$  for which  $f(v) = 2$ . The *weight* of an RDF is the value  $f(V(G)) = \sum_{u \in V(G)} f(u)$ . The *Roman domination number*  $\gamma_R(G)$  is the minimum weight of an RDF on  $G$ , and an RDF with weight  $\gamma_R(G)$  is called a  $\gamma_R$ -function of  $G$ .



Figure 1 depicts examples of  $\gamma_R$ -functions of graphs  $C_4$  and  $H$ . We can see from this figure that  $\gamma_R(C_4) = 3$  and that  $\gamma_R(H) = 3$ .



Figure 1: Examples of  $\gamma_R$ -functions.

A tree  $T$  is called a *unique Roman domination tree*, or a *URD-tree*, if it has a unique  $\gamma_R$ -function of  $T$ . Consider the graph  $P_5$ . We can see from Figure 2 that  $\gamma_R(P_5) = 4$ . However,  $P_5$  has two distinct  $\gamma_R$ -functions  $f$  and  $h$  of weight 4. Thus, we determine that  $P_5$  is not a URD-tree.



Figure 2:  $\gamma_R$ -functions of  $P_5$ .

Some examples that are URD-trees include paths  $P_{3k}$ , healthy spiders, wounded spiders, and stars  $K_{1,t}$  where  $t \geq 2$ . Some of these examples and their unique  $\gamma_R$ -functions are depicted in Figure 3.

An *Italian dominating function*, abbreviated IDF, of  $G$  is a function  $f: V(G) \rightarrow \{0, 1, 2\}$  satisfying the condition that for every vertex  $v \in V(G)$  with  $f(v) = 0$ , we have  $\sum_{u \in N(v)} f(u) \geq 2$ . That is, either  $v$  is adjacent to at least one vertex  $u$  with  $f(u) = 2$ , or to at least two vertices  $x$  and  $y$  with  $f(x) = f(y) = 1$ . Viewed as a

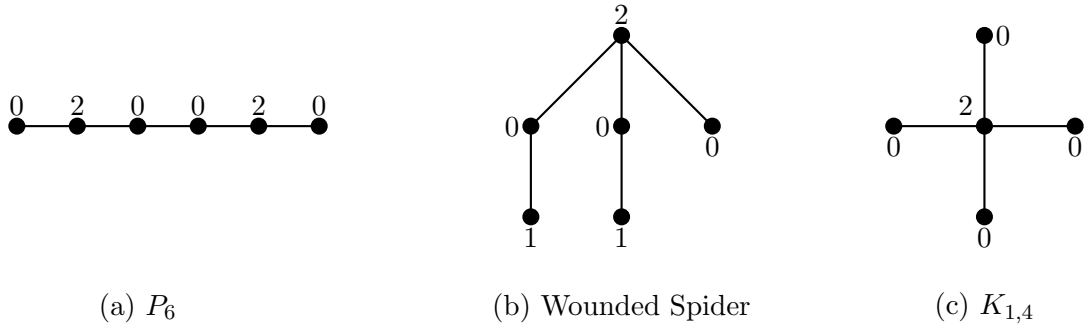


Figure 3: URD-trees and their unique  $\gamma_R$ -functions.

graph labeling problem, each vertex labeled 0 must have the labels of the vertices in its closed neighborhood sum to at least 2. The *weight* of an IDF is the value  $f(V(G)) = \sum_{u \in V(G)} f(u)$ . The *Italian domination number*, denoted  $\gamma_I(G)$ , is the minimum weight of an IDF in  $G$ , and an IDF of  $G$  with weight  $\gamma_I(G)$  is called a  $\gamma_I$ -function of  $G$ . For both Italian and Roman domination, let  $V_i = \{v \in V(G) \mid f(v) = i\}$  for  $i = 0, 1, 2$ . In other words,  $V_i$  is the set of vertices assigned weight  $i$  under  $f$ .



Figure 4: Examples of  $\gamma_I$ -functions.

Figure 4 depicts examples of  $\gamma_I$ -functions of graphs  $C_4$  and  $H$ . We can see from this figure that  $\gamma_I(C_4) = 2$  and  $\gamma_I(H) = 3$ . Notice that  $\gamma_I(H) = \gamma_R(H)$  even though Figure 4 (b) depicts a  $\gamma_I$ -function of  $H$  that is not an RDF of  $H$ . Also, we can see that  $\gamma_I(C_4) < \gamma_R(C_4)$ . In general, for any graph  $G$ , we have that  $\gamma_I(G) \leq \gamma_R(G)$ .

In this paper, we will be exploring trees with unique Italian dominating functions of minimum weight. A tree  $T$  will be called a *unique Italian domination tree*, abbreviated *UID-tree*, if it has a unique  $\gamma_I$ -function.

Consider the wounded spider  $T$  depicted in Figure 5. We can see from this figure that  $\gamma_I(T) = 4$ . However,  $T$  has two distinct  $\gamma_I$ -functions  $f$  and  $h$  of weight 4. Therefore, we can see that this wounded spider  $T$  is not a UID-tree.



Figure 5:  $\gamma_I$ -functions of wounded spider  $T$ .

Some examples that are UID-trees include stars  $K_{1,t}$  where  $t \geq 3$ , odd paths  $P_{2k+1}$  for  $k \geq 2$ , healthy spiders, and wounded spiders with at most  $t - 2$  subdivided edges. Some of these graphs and their unique  $\gamma_I$ -function are depicted in Figure 6.

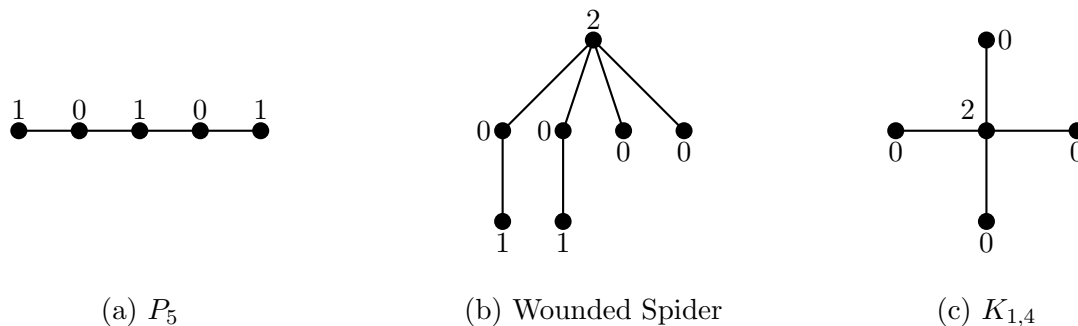


Figure 6: UID-trees and their unique  $\gamma_I$ -functions.

## 2 LITERATURE SURVEY

### 2.1 Roman Domination

Roman domination was first introduced by Cockayne et al. [5] as a graph invariant in 2004 following a series of papers (see [19, 20, 21, 22]) on defense strategies of the ancient Roman Empire. The idea is that vertices represent cities or locations, and a vertex  $v$  of weight  $f(v) = 1, 2$  represents a location with either 1 or 2 Roman legions stationed there. An adjacent vertex  $u$ , thought of as a nearby location, may be unprotected if it has no stationed legions. That is, a vertex with  $f(u) = 0$  may be at risk for attack. In order to secure an unprotected location  $u$ , a neighboring location  $v$  can send one of their legions to  $u$ . However, sending a legion from  $v$  to a neighboring location should not leave  $v$  unsecured. That is, two legions must be stationed at  $v$  before a legion can be sent to an adjacent location. Hence, every vertex  $u$  with  $f(u) = 0$  must be adjacent to at least one vertex  $v$  with  $f(v) = 2$ .

Since its introduction, over 100 papers have been published on various aspects of Roman domination in graphs. Some examples can be found in [8, 2, 1] regarding topics such as double Roman domination, perfect Roman domination, and independent Roman domination. The growing popularity of Roman domination also provided researchers with motivation to define variants of Roman domination, one of which is Italian domination.

## 2.2 Italian Domination

In this thesis, we will be focusing on Italian dominating functions of trees. Italian domination was first introduced as Roman  $\{2\}$ -domination by Chellali et al. in [4]. It was further researched and renamed Italian domination by Henning and Klostermeyer in [12]. Some researchers continue to use the notation associated with the Roman  $\{2\}$ -domination title; however, it is more commonly referred to as Italian domination.

Italian domination can be thought of as relaxing the Roman domination restriction placed upon a vertex  $u$  with  $f(u) = 0$ . As a result, Italian domination can also be thought of in reference to defending the Roman empire. This defense strategy requires that every location  $u$  with no legion must either have a neighboring location with two legions, or at least two neighboring locations with one legion each. That is, each vertex  $u$  with  $f(u) = 0$  must have  $\sum_{x \in N(u)} f(x) \geq 2$ .

Since Italian domination is a variant of Roman domination, many of the topics that were researched and defined for Roman domination have also been extended to Italian domination. It is observed in [4] that every Roman dominating function is an Italian dominating function, thus the bound  $\gamma_I(G) \leq \gamma_R(G)$  follows immediately. As a result, Martinez and Yero explored this bound in [17] and characterized trees that have  $\gamma_I(T) = \gamma_R(T)$ . Other Italian domination topics that have been researched include perfect Italian domination, independent Italian domination, and global Italian domination, which can be found in [11, 18, 10].

### 2.3 Unique Minimum Roman Dominating Functions

The topic of this thesis was inspired by [3] in which Chellali and Rad characterize trees with unique Roman dominating functions of minimum weight. In their paper, they use operations to build a family of graphs that produce URD-trees.

Let  $T_1$  and  $T_2$  be two vertex-disjoint URD-trees. Let  $f_1$  be the unique  $\gamma_R$ -function of  $T_1$  and  $f_2$  the unique  $\gamma_R$ -function of  $T_2$ . They define the following operation that is used to link  $T_1$  and  $T_2$  and produces a new URD-tree.

**Operation  $\mathcal{O}_1$ :** Let  $T$  be the tree obtained from  $T_1$  and  $T_2$  by adding an edge joining a vertex  $x$  in  $T_1$  with a vertex  $y$  in  $T_2$  such that  $f_1(x) = 0$  and  $f_2(y) = 0$ .

This leads to the following lemma.

**Lemma 2.1.** [3] *The tree  $T$  obtained from  $T_1$  and  $T_2$  by performing Operation  $\mathcal{O}_1$  is a URD-tree. Furthermore,  $f$  defined on  $V(T)$  by  $f(a) = f_1(a)$  for every  $a \in V(T_1)$  and  $f(b) = f_2(b)$  for every  $b \in V(T_2)$  is the unique  $\gamma_R$ -function of  $T$ .*

They next present a constructive characterization for URD-trees. Define the family of trees as follows: Let  $\mathcal{T}$  be the collection of trees  $T$  that can be obtained from a sequence  $T_1, T_2, \dots, T_k$  of trees, where  $T_1$  is a star  $K_{1,t}$  with  $t \geq 2$ ,  $T = T_k$ , and, if  $k \geq 2$ ,  $T_{i+1}$  can be obtained recursively from  $T_i$  by one of the following operations. Let  $S(T)$  denote the set of support vertices of  $T$ ,  $V_S(T) = \{v \in S(T) \mid \gamma_R(T - v) > \gamma_R(T)\}$ , and let  $f_i$  be an RDF of  $T_i$ .

**Operation  $\mathcal{O}_2$ :** Add a new vertex  $x$  attached to a leaf  $y$  of  $T_i$  with  $f_i(y) = 0$  whose support vertex belongs to  $V_S(T_i)$ . Let  $f_{i+1}(a) = f_i(a)$  for every  $a \in V(T_i)$  and  $f_{i+1}(x) = 1$ .

**Operation  $\mathcal{O}_3$ :** Add a star  $K_{1,t}$  ( $t \geq 3$ ) of center vertex  $x$  attached by an edge  $xy$  at any strong support vertex  $y$  of  $V_S(T_i)$ . Let  $f_{i+1}(a) = f_i(a)$  for every  $a \in V(T_i)$ ,  $f_{i+1}(x) = 2$ , and  $f_{i+1}(b) = 0$  if  $b$  is a leaf in  $L_x$ .

**Operation  $\mathcal{O}_4$ :** Add a star  $K_{1,t}$  ( $k \geq 2$ ) of center vertex  $x$  attached by an edge  $xy$  at any strong support vertex  $y$  of  $T_i$  such that  $f_i(y) = 0$  and  $y$  is adjacent to a strong support vertex  $z$  with the condition that  $|L_z| \geq 3$  if a vertex in  $N_{T_i}(z)$  is assigned 2. Let  $f_{i+1}(a) = f_i(a)$  for every  $a \in V(T_i)$ ,  $f_{i+1}(x) = 2$ , and  $f_{i+1}(b) = 0$  if  $b$  is a leaf in  $L_x$ .

**Operation  $\mathcal{O}_5$ :** Add a new vertex  $w$  and  $k$  ( $k \geq 1$ ) stars of centers  $x_1, x_2, \dots, x_k$  each of order at least three attached by edges  $wx_j$  and  $wu$  at any vertex  $u$  of  $T_i$  with  $f_i(u) \neq 0$ . Let  $f_{i+1}(x) = f_i(x)$  for every  $x \in V(T_i)$ ,  $f_{i+1}(x_j) = 2$  for every  $j$  and  $f_{i+1}(a) = 0$  if  $a = w$  or  $a$  is a leaf in  $L_{x_j}$ .

**Lemma 2.2.** [3] *If  $T \in \mathcal{T}$ , then  $T$  is a URD-tree.*

**Theorem 2.3.** [3] *A tree  $T$  is a URD-tree if and only if  $T = K_1$  or  $T \in \mathcal{T}$  or can be constructed from disjoint trees of  $\mathcal{T}$  by a finite sequence of Operation  $\mathcal{O}_1$ .*

These results provided the motivation for exploring UID-trees. In this thesis, we will be using operations resembling Operation  $\mathcal{O}_1$  to join two trees with a single edge and build UID-trees.

### 3 RESULTS

In this section, we will be defining operations that add a single edge between two vertices in order to join two UID-trees. In order to determine when a UID-tree is constructed, we will consider the various weights of the two vertices that are joined.

Let  $T_1$  and  $T_2$  be two vertex-disjoint UID-trees. Let  $f_1$  be the unique  $\gamma_I$ -function of  $T_1$  and  $f_2$  the unique  $\gamma_I$ -function of  $T_2$ . Note that if  $f$  is an IDF on a graph  $G$  and  $H$  is a subgraph of  $G$ , then we denote the restriction of  $f$  on  $H$  by  $f|_{V(H)}$ . We define the following operation that can be used to join  $T_1$  and  $T_2$  and results in a new UID-tree.

**Operation  $\mathcal{O}_1$ :** Let  $T$  be the tree obtained from  $T_1$  and  $T_2$  by adding an edge between a vertex  $x$  in  $T_1$  and a vertex  $y$  in  $T_2$  such that  $f_1(x) = 0$  and  $f_2(y) = 0$ .

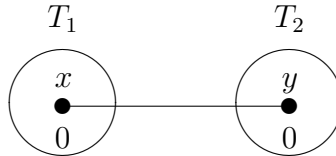


Figure 7: Operation  $\mathcal{O}_1$ .

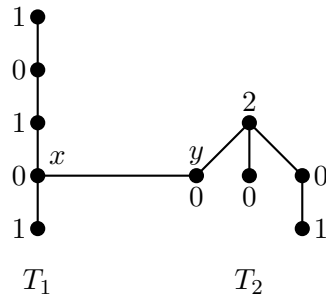


Figure 8: Example of a tree constructed from  $\mathcal{O}_1$ .



Figure 7 depicts the general construction of trees that are obtained by performing  $\mathcal{O}_1$ , and Figure 8 shows a specific example of a tree produced from this operation. In Figure 8, we can see the unique  $\gamma_I$ -function  $f_1$  of  $T_1 = P_5$ , as well as the unique  $\gamma_I$ -function  $f_2$  of the wounded spider  $T_2$ . The edge  $xy$  was added between vertex  $x$  in  $T_1$  and vertex  $y$  in  $T_2$  such that  $f_1(x) = f_2(y) = 0$ .

**Proposition 3.1.** *The tree  $T$  obtained from  $T_1$  and  $T_2$  by performing operation  $\mathcal{O}_1$  is a UID-tree. Furthermore,  $f$  defined on  $V(T)$  by  $f(a) = f_1(a)$  for every  $a \in V(T_1)$  and  $f(b) = f_2(b)$  for every  $b \in V(T_2)$  is the unique  $\gamma_I$ -function of  $T$ .*

*Proof.* Clearly, the function  $f$  defined on  $V(T)$  by  $f(a) = f_1(a)$  for every  $a \in V(T_1)$  and  $f(b) = f_2(b)$  for every  $b \in V(T_2)$  is an IDF of  $T$ . This implies that  $\gamma_I(T) \leq \gamma_I(T_1) + \gamma_I(T_2)$ .

Now let  $f$  be a  $\gamma_I$ -function of  $T$ . If  $f(x) = f(y)$  or if  $\{f(x), f(y)\} = \{1, 2\}$ , then  $f|_{V(T_i)}$  is an IDF for  $T_i$  and so  $\gamma_I(T_i) \leq f(V(T_i))$ . Thus  $\gamma_I(T_1) \leq f(V(T_1))$  and  $\gamma_I(T_2) \leq f(V(T_2))$ , and adding these two inequalities implies that  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) + f(V(T_2)) = \gamma_I(T)$  by the assumption. Thus the equality  $\gamma_I(T) = \gamma_I(T_1) + \gamma_I(T_2)$  follows.

Now consider the only remaining cases where  $\{f(x), f(y)\} \in \{\{0, 2\}, \{0, 1\}\}$ . Assume, without loss of generality, that  $f(x) = 0$  and  $f(y) \in \{1, 2\}$ . Then  $f|_{V(T_2)}$  is an IDF of  $T_2$ , but since  $f(y) \neq f_2(y) = 0$  and  $T_2$  is a UID-tree with unique minimum IDF  $f_2$ , we have that  $\gamma_I(T_2) < f(V(T_2))$ . This implies that  $\gamma_I(T_2) \leq f(V(T_2)) - 1$ . On the other hand, the function  $g$  defined on  $V(T_1)$  by  $g(u) = f(u)$  if  $u \neq x$  and  $g(x) = 1$  is an IDF of  $T_1$ . Thus  $\gamma_I(T_1) \leq g(V(T_1)) = f(V(T_1)) + 1$ . Adding the two

previous inequalities gives

$$\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_2)) - 1 + f(V(T_1)) + 1 = f(V(T_2)) + f(V(T_1)) = \gamma_I(T).$$

Thus we again have the equality  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$ .

Now we need to show that  $f$  is the unique  $\gamma_I$ -function of  $T$ . Suppose, for the purpose of contradiction, that  $T$  is not a UID-tree and let  $h \neq f$  be a  $\gamma_I$ -function of  $T$ . Clearly, if  $h(x) = h(y)$  or if  $\{h(x), h(y)\} = \{1, 2\}$ , then  $h|_{V(T_i)}$  is a  $\gamma_I$ -function of  $T_i$ . This implies that either  $T_1$  or  $T_2$  is not a UID-tree. Thus we can assume that  $\{h(x), h(y)\} \in \{\{0, 2\}, \{0, 1\}\}$ , say  $h(x) = 0$  and  $h(y) \in \{1, 2\}$ . As seen before,  $h|_{V(T_2)}$  is an IDF of  $T_2$  with weight  $h(V(T_2)) \geq \gamma_I(T_2) + 1$ . This, along with the fact that  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$ , implies that

$$h(V(T_1)) = h(V(T)) - h(V(T_2)) \leq \gamma_I(T) - (\gamma_I(T_2) + 1) = \gamma_I(T) - \gamma_I(T_2) - 1 = \gamma_I(T_1) - 1$$

and  $h|_{V(T_1)}$  is an IDF for  $T_1 - x$ .

Now consider the function  $g$  on  $V(T)$  as follows:  $g|_{V(T_2)} = f|_{V(T_2)}$ ,  $g|_{V(T_1-x)} = h|_{V(T_1-x)}$ , and  $g(x) = 1$ . Then we have that  $g(V(T_1-x)) = h(V(T_1-x)) \leq \gamma_I(T_1) - 1$ , implying that  $g(V(T_1)) \leq \gamma_I(T_1) - 1 + 1 = \gamma_I(T_1)$ . Then  $g|_{V(T_1)}$  is an IDF for  $T_1$  with weight  $\gamma_I(T_1)$ , that is,  $g|_{V(T_1)}$  is a  $\gamma_I$ -function of  $T_1$  with  $g(x) = 1$ . Since  $g(x) = 1 \neq 0 = f_1(x)$ , this contradicts the fact that  $T_1$  is a UID-tree. Therefore,  $f$  as defined in the statement is a unique  $\gamma_I$ -function of  $T$ .

□

In order to determine the importance of some vertices in unique  $\gamma_I$ -functions, we state the following definition.

**Definition 3.2.** Let  $v \in V_i$ . The *Italian external private neighbors* of  $v$  is given by  $epn_i(v, V_1 \cup V_2) = \{u \in N(v) \cap V_0 \mid \sum_{x \in N(u)} f(x) = 2\}$ .

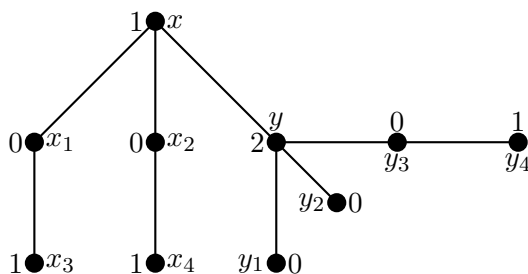


Figure 9: Example to illustrate  $epn_i(v, V_1 \cup V_2)$ .

We will be using Figure 9 that is labeled with  $\gamma_I$ -function  $f$  as an example to illustrate this definition. Let  $v \in V_1$ . A vertex  $u$  is in the set  $epn_1(v, V_1 \cup V_2)$  if it is adjacent to  $v$  and has weight 0, and has  $\sum_{z \in N(u)} f(z) = 2$ . In other words,  $u$  is being dominated only by its two neighbors of weight 1.

In Figure 9, we can find  $epn_1(v, V_1 \cup V_2)$  for each vertex such that  $f(v) = 1$ . Considering the vertices of weight 1, we have that  $epn_1(x, V_1 \cup V_2) = \{x_1, x_2\}$ ,  $epn_1(x_3, V_1 \cup V_2) = \{x_1\}$ , and  $epn_1(x_4, V_1 \cup V_2) = \{x_2\}$ . Since  $y_3$  is also being dominated by  $y$ , we have that  $epn_1(y_4, V_1 \cup V_2) = \emptyset$ . In other words, there are no vertices of weight 0 that depend on  $y_4$ .

Let  $v \in V_2$ . A vertex  $u$  is in the set  $epn_2(v, V_1 \cup V_2)$  if it is adjacent to  $v$  and has weight 0, and it is not adjacent to any other vertices of weight 1 or 2. That is,  $u$  is being dominated only by  $v$  and  $\sum_{z \in N(u)} f(z) = 2$ . In Figure 9,  $y$  is the only vertex of weight 2. We have that  $epn_2(y, V_1 \cup V_2) = \{y_1, y_2\}$ . Since  $y_3$  is also adjacent to a

vertex of weight 1, we have that  $y \notin \text{epn}_2(y, V_1 \cup V_2)$ . In this definition, we are trying to determine which vertices of weight 0 are being dominated by only one vertex of weight 2, or are being dominated by exactly two vertices of weight 1. This leads us to the following result.

**Lemma 3.3.** *Let  $T$  be a UID-tree with unique  $\gamma_I$ -function  $f$ . If  $v \in V(T)$  such that  $f(v) = 2$ , then  $|\text{epn}_2(v, V_1 \cup V_2)| \geq 2$ .*

*Proof.* Let  $T$  be a tree with a unique  $\gamma_I$ -function  $f$ . For purpose of contradiction, suppose that  $v$  is a vertex such that  $f(v) = 2$  but  $|\text{epn}_2(v, V_1 \cup V_2)| < 2$ . This leads to the following two cases.

Case 1:  $|\text{epn}_2(v, V_1 \cup V_2)| = 1$ .

Let  $u \in \text{epn}_2(v, V_1 \cup V_2)$ , or equivalently,  $\{u\} = \text{epn}_2(v, V_1 \cup V_2)$ . By definition,  $u$  is the only neighboring vertex of  $v$  with weight 0 that has  $N(u) \cap (V_1 \cup V_2) = \{v\}$ . This implies that each vertex  $x \in N(v) \setminus \{u\}$  such that  $f(x) = 0$  must have  $|N(x) \cap (V_1 \cup V_2)| \geq 2$ .

Thus we can define a new function  $g$  as follows:  $g(y) = f(y)$  if  $y \in V \setminus \{u, v\}$ ,  $g(v) = 1$ , and  $g(u) = 1$ . This is an IDF of  $T$  that is of the same weight as  $f$ , implying that  $g$  is also a  $\gamma_I$ -function of  $T$ . Hence this contradicts that  $T$  has a unique  $\gamma_I$ -function.

Case 2:  $|\text{epn}_2(v, V_1 \cup V_2)| = 0$ .

Then each vertex  $x \in N(v)$  such that  $f(x) = 0$  has  $|N(x) \cap (V_1 \cup V_2)| \geq 2$ . Therefore, a new function  $h$  can be defined as  $h(x) = f(x)$  if  $x \in V \setminus \{v\}$  and  $h(v) = 1$ . This function  $h$  is an IDF of  $T$  with smaller weight than  $f$ , contradicting that  $f$  is a  $\gamma_I$ -function of  $T$ . Therefore, we have that any vertex  $v$  of weight 2 in a unique  $\gamma_I$ -function has at least two Italian external private neighbors.  $\square$

We now state a definition that will be used to determine the importance of vertices in a  $\gamma_I$ -function.

**Definition 3.4.** A vertex  $v$  is *essential* in  $T$  if  $\gamma_I(T - v) > \gamma_I(T)$ .

Consider the graph  $P_5$  as depicted in Figure 10. We can see that  $\gamma_I(P_5) = 3$  and  $\gamma_I(P_5 - v) = 4$ . In this case, removing  $v$  causes the Italian domination number to increase. Therefore, we determine that  $v$  is an essential vertex in  $P_5$ . Also, note that neither of the leaf vertices are essential. In Figure 10 (c), we can see that  $\gamma_I(P_5 - u) = 3 = \gamma_I(P_5)$ .

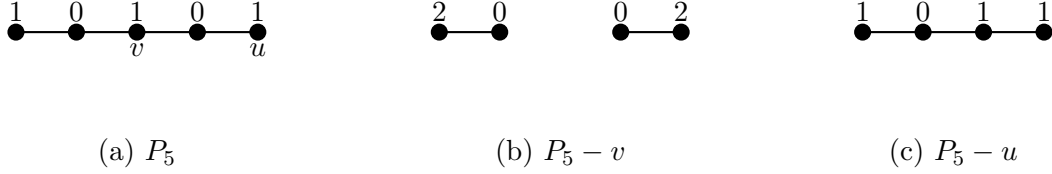


Figure 10: Example of essential vertex.

We next state a proposition that will be supplemental in another proof presented in this paper.

**Proposition 3.5.** Let  $T$  be a UID-tree with unique  $\gamma_I$ -function  $f$ . If  $v \in V(T)$  such that  $f(v) = 0$ , then  $\gamma_I(T) = \gamma_I(T - v)$ .

*Proof.* Since  $f(v) = 0$ , we have that  $f|_{V(T-v)}$  is an IDF of  $T - v$ . This implies that  $\gamma_I(T - v) \leq f(V(T - v)) = f(V(T)) = \gamma_I(T)$ . Now we must show that  $\gamma_I(T - v) \geq \gamma_I(T)$ .

Suppose, for contradiction, that  $\gamma_I(T - v) < \gamma_I(T)$ . This is equivalent to  $\gamma_I(T - v) \leq \gamma_I(T) - 1$ . Let  $g$  be a  $\gamma_I$ -function of  $T - v$ , and so  $g(V(T - v)) = \gamma_I(T - v)$ . Now

define a new function  $h$  on  $T$  as  $h(u) = g(u)$  for  $u \in V(T - v)$  and  $h(v) = 1$ . Now we have that  $h$  is an IDF of  $T$ , implying that  $\gamma_I(T) \leq h(V(T)) = g(V(T - v)) + 1 = \gamma_I(T - v) + 1$ . In particular, we have that  $\gamma_I(T - v) \geq \gamma_I(T) - 1$ . However, the assumption was that  $\gamma_I(T - v) \leq \gamma_I(T) - 1$ , which implies that  $\gamma_I(T - v) + 1 = \gamma_I(T)$ . Since  $h(V(T)) = g(V(T - v)) + 1 = \gamma_I(T - v) + 1$ , we now have that  $h$  is a  $\gamma_I$ -function of  $T$ . However,  $h(v) = 1 \neq f(v) = 0$ , contradicting that  $T$  is a UID-tree. Therefore, we have that  $\gamma_I(T - v) \geq \gamma_I(T)$ , resulting in the equality  $\gamma_I(T - v) = \gamma_I(T)$ .  $\square$

From the previous result, we can conclude the following.

**Lemma 3.6.** *If  $x$  is an essential vertex in a UID-tree  $T$  with unique  $\gamma_I$ -function  $f$ , then  $f(x) = 1, 2$ .*

We next define another operation used to build a UID-tree.

**Operation  $\mathcal{O}_2$  :** Let  $T$  be the tree obtained from  $T_1$  and  $T_2$  by adding an edge between a vertex  $x$  in  $T_1$  and a vertex  $y$  in  $T_2$  such that  $x$  and  $y$  are essential.

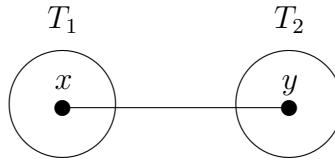


Figure 11: Operation  $\mathcal{O}_2$ .

Figure 11 depicts the general construction of trees that are obtained by performing  $\mathcal{O}_2$ , and Figure 12 shows a specific example of a tree produced from this operation. In Figure 8, we can see the unique  $\gamma_I$ -function  $f_1$  of  $T_1$  given by a healthy spider, as well as the unique  $\gamma_I$ -function  $f_2$  of the wounded spider  $T_2$ . The edge  $xy$  was added between vertex  $x$  in  $T_1$  and vertex  $y$  in  $T_2$  such that  $x$  and  $y$  are both essential vertices.

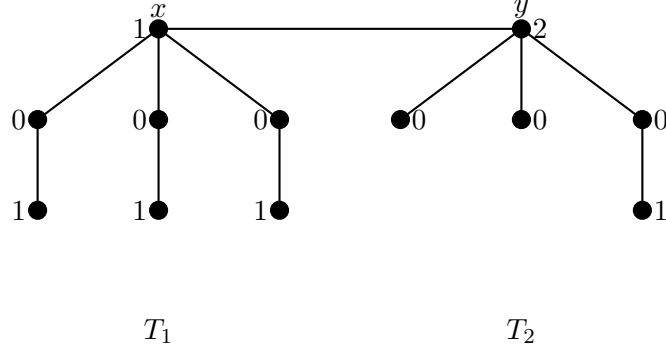


Figure 12: Example of a tree constructed from  $\mathcal{O}_2$ .

**Proposition 3.7.** *The tree  $T$  obtained from  $T_1$  and  $T_2$  by performing operation  $\mathcal{O}_2$  is a UID-tree. Furthermore,  $f$  defined on  $V(T)$  by  $f(a) = f_1(a)$  for every  $a \in V(T_1)$  and  $f(b) = f_2(b)$  for every  $b \in V(T_2)$  is the unique  $\gamma_I$ -function of  $T$ .*

*Proof.* Clearly, the function  $f$  defined on  $V(T)$  by  $f(a) = f_1(a)$  for every  $a \in V(T_1)$  and  $f(b) = f_2(b)$  for every  $b \in V(T_2)$  is an IDF of  $T$ . This implies that  $\gamma_I(T) \leq \gamma_I(T_1) + \gamma_I(T_2)$ .

Now let  $f$  be a  $\gamma_I$ -function of  $T$ . If  $f(x) = f(y)$  or if  $\{f(x), f(y)\} = \{1, 2\}$ , then  $f|_{V(T_i)}$  is an IDF for  $T_i$  and so  $\gamma_I(T_i) \leq f(V(T_i))$ . Thus  $\gamma_I(T_1) \leq f(V(T_1))$  and  $\gamma_I(T_2) \leq f(V(T_2))$ , and adding these two inequalities implies that  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) + f(V(T_2)) = \gamma_I(T)$  by the assumption. Hence the equality  $\gamma_I(T) = \gamma_I(T_1) + \gamma_I(T_2)$  follows.

Now consider the other possibilities where  $\{f(x), f(y)\} \in \{\{0, 2\}, \{0, 1\}\}$ . Assume, without loss of generality, that  $f(x) = 0$  and  $f(y) \in \{1, 2\}$ .

Case 1:  $f(y) \neq f_2(y)$ .

Since  $x$  and  $y$  are both essential vertices,  $f_1(x) \in \{1, 2\}$  and  $f_2(y) \in \{1, 2\}$ . Since  $T_2$  has a unique  $\gamma_I$ -function and  $f(y) \neq f_2(y)$ , then  $f|_{V(T_2)}$  is an IDF of  $T_2$  such that  $\gamma_I(T_2) < f(V(T_2))$ . This implies that  $\gamma_I(T_2) \leq f(V(T_2)) - 1$ . On the other hand, the function  $g$  defined on  $V(T_1)$  by  $g(u) = f(u)$  if  $u \neq x$  and  $g(x) = 1$  is an IDF of  $T_1$ . Thus  $\gamma_I(T_1) \leq g(V(T_1)) = f(V(T_1)) + 1$ . Adding the two previous inequalities gives

$$\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_2)) - 1 + f(V(T_1)) + 1 = f(V(T_2)) + f(V(T_1)) = \gamma_I(T).$$

Thus again resulting in the equality  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$ .

Case 2:  $f(y) = f_2(y)$ .

Since  $f(y) = f_2(y)$  and  $T_2$  is a UID-tree, this implies that  $f(V(T_2)) = \gamma_I(T_2)$ . Assume, for the purpose of contradiction, that  $\gamma_I(T) < \gamma_I(T_1) + \gamma_I(T_2)$ . Equivalently, this can be expressed as  $\gamma_I(T) \leq \gamma_I(T_1) + \gamma_I(T_2) - 1$ . Using the fact that  $f(V(T_2)) = \gamma_I(T_2)$ , this implies that  $\gamma_I(T) \leq \gamma_I(T_1) + f(V(T_2)) - 1$ . So we have that  $f(V(T_1)) + f(V(T_2)) \leq \gamma_I(T_1) + f(V(T_2)) - 1$ . We then have that  $f(V(T_1)) \leq \gamma_I(T_1) - 1$  which implies that  $f|_{V(T_1)}$  is an IDF for  $T_1 - x$ . This contradicts the fact that  $x$  is an essential vertex in the unique  $\gamma_I$ -function of  $T_1$ . Thus we have  $\gamma_I(T) \geq \gamma_I(T_1) + \gamma_I(T_2)$ , again giving the equality  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$ .

Now we need to show that  $f$  is the unique  $\gamma_I$ -function of  $T$ . Suppose, for the purpose of contradiction, that  $T$  is not a UID-tree and let  $h \neq f$  be a  $\gamma_I$ -function of  $T$ . Clearly, if  $h(x) = h(y)$  or if  $\{h(x), h(y)\} = \{1, 2\}$ , then  $h|_{V(T_i)}$  is a  $\gamma_I$ -function of  $T_i$ . This implies that either  $T_1$  or  $T_2$  is not a UID-tree. Thus we can assume that  $\{h(x), h(y)\} \in \{\{0, 2\}, \{0, 1\}\}$ , say  $h(x) = 0$  and  $h(y) \in \{1, 2\}$ .

Case 1:  $h(y) \neq f_2(y)$ .

As seen before  $h|_{V(T_2)}$  is an IDF of  $T_2$  with weight  $h(V(T_2)) \geq \gamma_I(T_2) + 1$ . This



along with the fact that  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$  imply that

$$h(V(T_1)) = h(V(T)) - h(V(T_2)) \leq \gamma_I(T) - (\gamma_I(T_2) + 1) = \gamma_I(T) - \gamma_I(T_2) - 1 = \gamma_I(T_1) - 1$$

and  $h|_{V(T_1)}$  is an IDF for  $T_1 - x$ . Again, this contradicts the fact that  $x$  is an essential vertex in the unique  $\gamma_I$ -function of  $T_1$ .

Case 2:  $h(y) = f_2(y)$ .

Since  $T_2$  is a UID-tree, this implies that  $h(V(T_2)) = \gamma_I(T_2)$  and that  $h|_{V(T_2)} = f|_{V(T_2)}$ . Thus we can assume that  $h|_{V(T_1)} \neq f|_{V(T_1)}$ . Clearly,  $h(V(T)) = h(V(T_1)) + h(V(T_2))$ , so these equations imply  $\gamma_I(T) = h(V(T_1)) + \gamma_I(T_2)$ . But we also know that  $\gamma_I(T) = \gamma_I(T_1) + \gamma_I(T_2)$ . This implies that  $\gamma_I(T_2) + \gamma_I(T_1) = h(V(T_1)) + \gamma_I(T_2)$ , suggesting that  $\gamma_I(T_1) = h(V(T_1))$ . Since  $h|_{V(T_1)}$  is an IDF of  $T_1 - x$ , we have that  $\gamma_I(T_1 - x) \leq h(V(T_1)) = \gamma_I(T_1)$ . However, this implies that  $x$  is not an essential vertex in  $T_1$ , which is a contradiction. Therefore,  $f$  as defined in the statement is the unique  $\gamma_I$ -function of  $T$ .

□

We next define another operation that can be used to build a UID-tree.

**Operation  $\mathcal{O}_3$**  : Let  $T$  be the tree obtained from  $T_1$  and  $T_2$  by adding an edge between a vertex  $x$  in  $T_1$  and a vertex  $y$  in  $T_2$  such that  $f_1(x) = 2$  and  $f_2(y) = 0$ .

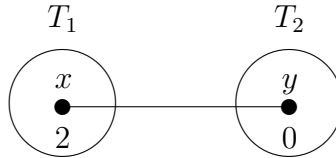


Figure 13: Operation  $\mathcal{O}_3$ .

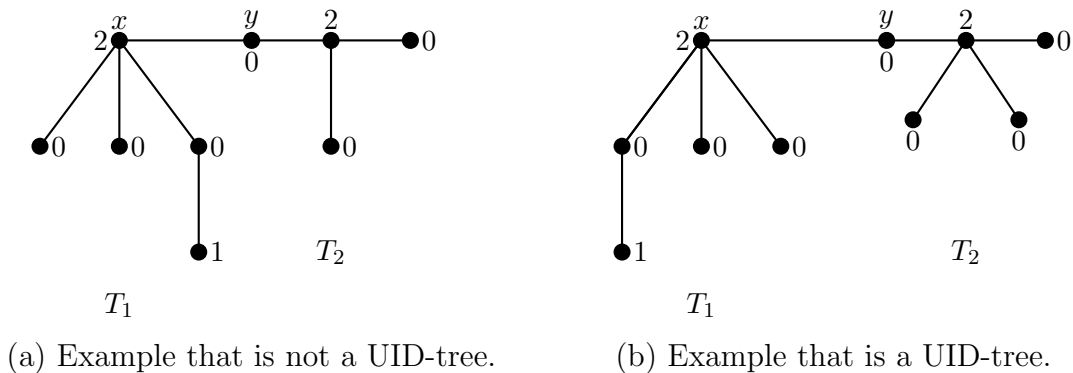


Figure 14: Examples of trees constructed from  $\mathcal{O}_3$ .

Figure 13 depicts the general construction of trees that are obtained by performing  $\mathcal{O}_3$ , and Figure 14 shows specific examples of trees produced from this operation. In Figure 14 (a) and (b), we can see the unique  $\gamma_I$ -function  $f_1$  of  $T_1$  given by a wounded spider, as well as the unique  $\gamma_I$ -function  $f_2$  of the star  $T_2$ . The edge  $xy$  was added between vertex  $x$  in  $T_1$  and vertex  $y$  in  $T_2$  such that  $f_1(x) = 2$  and  $f_2(y) = 0$ .

Notice that Figure 14 (a) depicts an example of a tree produced from  $\mathcal{O}_3$  that is not a UID-tree. Since the vertex  $y$  is now being dominated by  $x$ , this allows for relabelling of vertices in  $T_2 - y$ . This relabelling of the vertices in  $T_2 - y$  is depicted in Figure 15. Therefore, there are two distinct  $\gamma_I$ -functions of the constructed tree  $T$ , and we can see that  $T$  is not a UID-tree.

However, the tree in Figure 14 (b) is a UID-tree, for  $x$  dominating  $y$  does not allow for any relabelling of vertices. This property can be thought of as removing  $y$  from  $T_2$  and determining if  $T_2 - y$  is a UID-tree. This leads to the following proposition.

**Proposition 3.8.** *If  $T_2 - y$  is a UID-tree, then the tree  $T$  obtained from  $T_1$  and  $T_2$  performing Operation  $\mathcal{O}_3$  is a UID-tree. Furthermore,  $f$  defined on  $V(T)$  by  $f(a) =$*

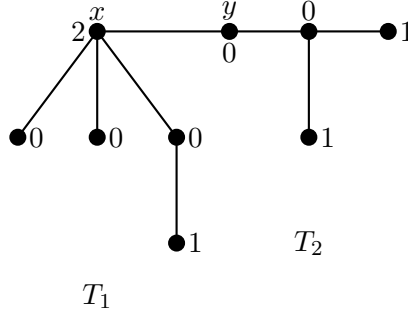


Figure 15: Another  $\gamma_I$ -function of the constructed tree in Figure 14 (a).

$f_1(a)$  for every  $a \in V(T_1)$  and  $f(b) = f_2(b)$  for every  $b \in V(T_2)$  is the unique  $\gamma_I$ -function of  $T$ .

*Proof.* We must first show that  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$ . We know that the function  $f$  defined on  $V(T)$  by  $f(a) = f_1(a)$  for every  $a \in V(T_1)$  and  $f(b) = f_2(b)$  for every  $b \in V(T_2)$  is an IDF of  $T$ . This implies that  $\gamma_I(T) \leq \gamma_I(T_1) + \gamma_I(T_2)$ .

Now let  $f$  be a  $\gamma_I$ -function of  $T$ . If  $f(x) = f(y)$  or if  $\{f(x), f(y)\} = \{1, 2\}$ , then  $f|_{V(T_i)}$  is an IDF for  $T_i$  and so  $\gamma_I(T_i) \leq f(V(T_i))$ . Thus  $\gamma_I(T_1) \leq f(V(T_1))$  and  $\gamma_I(T_2) \leq f(V(T_2))$ , and adding these two inequalities implies that  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) + f(V(T_2)) = \gamma_I(T)$  by the assumption. Thus the equality  $\gamma_I(T) = \gamma_I(T_1) + \gamma_I(T_2)$  follows.

Now consider the other possibilities where  $\{f(x), f(y)\} \in \{\{0, 2\}, \{0, 1\}\}$ .

Case 1:  $f(x) \in \{1, 2\}$  and  $f(y) = 0$ .

If  $f(x) = 2$ , then we have that  $f_1(x) = f(x)$  which implies that  $f|_{V(T_1)} = f_1$  and  $f(V(T_1)) = f_1(V(T_1)) = \gamma_I(T_1)$ . Since  $f(y) = 0$ , we also have that  $f|_{V(T_2-y)}$  is an IDF of  $T_2 - y$ . This implies that  $\gamma_I(T_2 - y) \leq f(V(T_2 - y)) = f(V(T_2))$ . From

Proposition 3.5, we also know that since  $T_2$  is a UID-tree and  $f_2(y) = 0$ , it follows that  $\gamma_I(T_2) = \gamma_I(T_2 - y)$ . Thus we have that  $\gamma_I(T_2) = \gamma_I(T_2 - y) \leq f(V(T_2))$ . So adding this inequality with the previous equation gives  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) + f(V(T_2)) = \gamma_I(T)$ . Thus the equality  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$  follows.

If  $f(x) = 1$ , then we have that  $f|_{V(T_1)}$  is an IDF of  $T_1$ . This implies that  $\gamma_I(T_1) \leq f(V(T_1)) - 1$  since  $f(x) = 1 \neq f_1(x) = 2$ . Now the function  $g$  defined on  $V(T_2)$  as  $g(u) = f(u)$  if  $u \neq y$  and  $g(y) = 1$  is an IDF of  $T_2$ . This implies that  $\gamma_I(T_2) \leq g(V(T_2)) = f(V(T_2)) + 1$ . Adding these two inequalities, we get that  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) + f(V(T_2)) = \gamma_I(T)$ . Thus the equality  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$  follows.

Case 2:  $f(x) = 0, f(y) \in \{1, 2\}$ .

Assuming  $f(y) \in \{1, 2\}$  implies that  $f|_{V(T_2)}$  is an IDF of  $T_2$ . Since  $f(y) \neq f_2(y) = 0$ , we have that  $\gamma_I(T_2) \leq f(V(T_2)) - 1$ . Now the function  $g$  defined on  $V(T_1)$  as  $g(u) = f(u)$  if  $u \neq x$  and  $g(x) = 1$  is an IDF of  $T_1$ . This implies that  $\gamma_I(T_1) \leq g(V(T_1)) = f(V(T_1)) + 1$ . Adding these two inequalities, we get that

$$\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) - 1 + f(V(T_2)) + 1 = f(V(T_1)) + f(V(T_2)) = \gamma_I(T).$$

Thus again resulting in the equality  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$ .

Now we need to show that  $f$  is the unique  $\gamma_I$ -function of  $T$ . Since  $f_2(y) = 0$ , this implies that  $f_2|_{V(T_2 - y)}$  is an IDF of  $T_2 - y$  of weight  $f_2(V(T_2 - y)) = f_2(V(T_2)) = \gamma_I(T_2)$ . Since  $f_2(y) = 0$  and  $T_2$  is a UID-tree, we have that  $\gamma_I(T_2) = \gamma_I(T_2 - y)$  from Proposition 3.5. This implies that  $f_2|_{V(T_2 - y)}$  is a  $\gamma_I$ -function of  $T_2 - y$ . Moreover, since  $T_2 - y$  is a UID-tree, we have that  $f_2|_{V(T_2 - y)}$  is the unique  $\gamma_I$ -function of  $T_2 - y$ .

Now let  $h \neq f$  be another  $\gamma_I$ -function of  $T$ , and consider two cases.

Case 1:  $h(y) = 0$ .

With  $h(y) = 0$ , this implies that  $h|_{V(T_1)}$  is a  $\gamma_I$ -function of  $T_1$ . Since  $T_1$  is a UID-tree, we have that  $h|_{V(T_1)} = f_1$  and  $h(V(T_1)) = \gamma_I(T_1)$ . We also know that  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T) = h(V(T_1)) + h(V(T_2))$ , but since we know that  $h(V(T_1)) = \gamma_I(T_1)$ , this equation reduces to  $\gamma_I(T_2) = h(V(T_2))$ . From Proposition 3.5, since  $f_2(y) = 0$  and  $T_2$  is a UID-tree, we have that  $\gamma_I(T_2 - y) = \gamma_I(T_2) = h(V(T_2))$ . Also,  $h|_{V(T_2-y)}$  is an IDF of  $T_2 - y$  of weight  $h(V(T_2 - y)) = h(V(T_2))$  implying that  $h|_{V(T_2-y)}$  is a  $\gamma_I$ -function of  $T_2$ . Since  $T_2$  is a UID-tree, it must be that  $h|_{V(T_2-y)} = f_2|_{V(T_2-y)}$ . Furthermore, since  $h(y) = 0 = f_2(y)$ , we have that  $h|_{V(T_2)} = f_2$ . Hence we obtain that  $h = f$ .

Case 2:  $h(y) \in \{1, 2\}$ .

This implies that  $h|_{V(T_2)}$  is an IDF of  $T_2$  such that  $h(y) \neq f_2(y) = 0$ , implying that  $h(V(T_2)) > \gamma_I(T_2)$ . We also know that  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T) = h(V(T_1)) + h(V(T_2))$ , so  $h(V(T_2)) > \gamma_I(T_2)$  implies that  $h(V(T_1)) < \gamma_I(T_1)$  in order to satisfy the equation. Therefore, we have that  $h(V(T_1)) \leq \gamma_I(T_1) - 1$ .

Note that if  $h(x) \in \{1, 2\}$ , then  $h|_{V(T_1)}$  is an IDF of  $T_1$  implying that  $\gamma_I(T_1) \leq h(V(T_1))$ . This contradicts that  $\gamma_I(T_1) > h(V(T_1))$ , so we may assume that  $h(x) = 0$ . Now the function  $g$  defined on  $T_1$  as  $g(u) = h(u)$  if  $u \neq x$  and  $g(x) = 1$  is an IDF of  $T_1$ . This implies that  $g(V(T_1)) \geq \gamma_I(T_1)$ . We also know that  $g(V(T_1)) = h(V(T_1)) + 1 \leq \gamma_I(T_1)$ , thus implying that  $g(V(T_1))$  is a  $\gamma_I$ -function of  $T_1$ . Since  $g(x) = 1 \neq 2 = f_1(x)$ , this contradicts that  $f_1$  is the unique  $\gamma_I$ -function of  $T_1$ . Therefore,  $f$  as described in the statement is the unique  $\gamma_I$ -function of  $T$ .

□

We will next state some supplemental results.

**Proposition 3.9.** *Let  $T$  be a UID-tree with unique  $\gamma_I$ -function  $f$ . If  $f(v) = 1$  and  $epn_1(v, V_1 \cup V_2) = \emptyset$ , then  $T - v$  is a UID-tree with  $\gamma_I(T - v) = \gamma_I(T) - 1$  where  $f|_{V(T-v)}$  is the unique  $\gamma_I$ -function of  $T - v$ .*

*Proof.* Since  $epn(v, V_1 \cup V_2) = \emptyset$ , we have that  $f|_{V(T-v)}$  is an IDF of  $T - v$ . This implies that  $\gamma_I(T - v) \leq f(V(T)) - 1 = \gamma_I(T) - 1$ .

Now we must show that  $\gamma_I(T - v) \geq \gamma_I(T) - 1$ . Let  $g$  be a  $\gamma_I$ -function of  $T - v$ , so we have that  $g(V(T - v)) = \gamma_I(T - v)$ . Now we can extend this function to an IDF of  $T$  by defining the function  $h$  as  $h|_{V(T-v)} = g|_{V(T-v)}$  and  $h(v) = 1$ . We have that  $h$  is an IDF of  $T$ , implying that  $\gamma_I(T) \leq h(V(T)) = g(V(T - v)) + 1 = \gamma_I(T - v) + 1$ . In particular, we have that  $\gamma_I(T - v) \geq \gamma_I(T) - 1$  resulting in the equality  $\gamma_I(T - v) = \gamma_I(T) - 1$ .

Now we need to show that  $f|_{V(T-v)}$  is the unique  $\gamma_I$ -function of  $T - v$ . Let  $h \neq f|_{V(T-v)}$  be a  $\gamma_I$ -function of  $T - v$ . We can again extend this function to  $T$  by defining  $g$  as  $g|_{V(T-v)} = h|_{V(T-v)}$  and  $g(v) = 1$ . We now have that  $g$  is an IDF of  $T$  of weight  $g(V(T)) = h(V(T - v)) + 1 = \gamma_I(T - v) + 1$ . We previously showed that  $\gamma_I(T) = \gamma_I(T - v) + 1$ , so this implies that  $g$  is a  $\gamma_I$ -function of  $T$ . Since  $g|_{V(T-v)} \neq f|_{V(T-v)}$ , we have that  $g \neq f$ , which contradicts that  $T$  is a UID-tree. Therefore,  $f|_{V(T-v)}$  is the unique  $\gamma_I$ -function of  $T - v$ .  $\square$

**Proposition 3.10.** *Let  $T$  be a UID-tree with unique  $\gamma_I$ -function  $f$ . If  $f(v) = 1$  and  $|epn_1(v, V_1 \cup V_2)| = 1$ , then  $\gamma_I(T - v) = \gamma_I(T)$ .*

*Proof.* Let  $u \in epn_1(v, V_1 \cup V_2)$  and recall that this implies  $f(u) = 0$ . Now define  $g$  on  $T - v$  as  $g(z) = f(z)$  if  $z \in V(T - \{v, u\})$  and  $g(u) = 1$ . We have that  $g$  is an IDF

of  $T - v$ , implying that

$$\gamma_I(T - v) \leq f(V(T - \{v, u\}) + 1) = f(V(T)) - 1 + 1 = f(V(T)) = \gamma_I(T).$$

Now we need to show that  $\gamma_I(T - v) \geq \gamma_I(T)$ . Suppose for contradiction that  $\gamma_I(T - v) \leq \gamma_I(T) - 1$ . Let  $g$  be a  $\gamma_I$ -function of  $T - v$  so that  $g(V(T - v)) = \gamma_I(T - v)$ . We can extend this function to  $T$  by defining  $h$  as  $h(z) = g(z)$  for  $z \in V(T - v)$  and  $h(v) = 1$ . We now have that  $h$  is an IDF of  $T$  implying that  $\gamma_I(T) \leq g(V(T - v)) + 1 = \gamma_I(T - v) + 1$ . From the assumption, we had that  $\gamma_I(T - v) \leq \gamma_I(T) - 1$ . Hence the equality  $\gamma_I(T - v) + 1 = \gamma_I(T)$  follows.

Since we have that  $h(V(T)) = g(V(T - v)) + 1 = \gamma_I(T - v) + 1$ , we have that  $h$  is the unique  $\gamma_I$ -function of  $T$ . We defined  $g$  as a  $\gamma_I$ -function of  $T - v$  and let  $h(z) = g(z)$  if  $z \in V(T - v)$ , implying that  $h|_{V(T - v)}$  is also a  $\gamma_I$ -function of  $T - v$ . However, since we have  $|epn_1(v, V_1 \cup V_2)| = 1$ , removing  $v$  from  $T$  would leave  $u$  with  $\sum_{x \in N(u)} f(x) = 1$ . Thus  $h|_{V(T - v)}$  being an IDF of  $T - v$  is a contradiction. Therefore,  $\gamma_I(T - v) = \gamma_I(T)$ .

□

Combining the two previous results, we can conclude the following.

**Lemma 3.11.** *If  $T$  is a UID-tree with unique  $\gamma_I$ -function  $f$  and  $v \in V(T)$  such that  $v$  is an essential vertex where  $f(v) = 1$ , then  $|epn_1(v, V_1 \cup V_2)| \geq 2$ .*

From Lemma 3.3, we know that any vertex of weight 2 in a UID-tree has at least two Italian external private neighbors. We also know that every essential vertex  $x$  in a UID-tree either has weight 1 or weight 2. Therefore, we can conclude the following.

**Lemma 3.12.** *If  $T$  is a UID-tree with unique  $\gamma_T$ -function  $f$  and  $v \in V_i$  such that  $v$  is an essential vertex in  $T$ , then  $|epn_i(v, V_1 \cup V_2)| \geq 2$ .*

We will now define another operation that can be used to join two UID-trees.

**Operation  $\mathcal{O}_4$  :** Let  $T$  be the tree obtained from  $T_1$  and  $T_2$  by adding an edge between a vertex  $x$  in  $T_1$  and a vertex  $y$  in  $T_2$  such that  $f_1(x) = 2$  and  $f_2(y) = 1$ , and  $y$  has  $|epn_1(y, V_1 \cup V_2)| \leq 1$ .

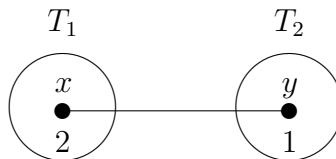
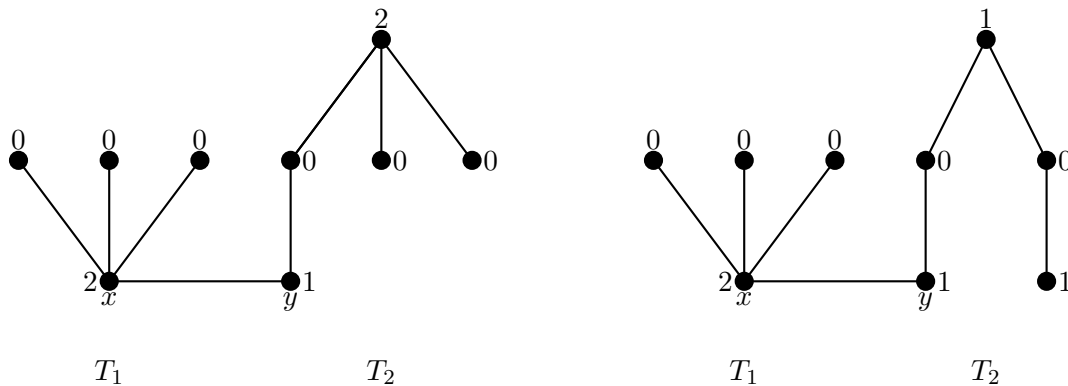


Figure 16: Operation  $\mathcal{O}_4$ .



(a) Example that is a UID-tree.

(b) Example that is not a UID-tree.

Figure 17: Examples of trees constructed from  $\mathcal{O}_4$ .

Figure 16 depicts the general construction of trees that are obtained by performing  $\mathcal{O}_4$ , and Figure 17 shows specific examples of trees produced from this operation. Note



that Operation  $\mathcal{O}_4$  implies that  $|epn(y, V_1 \cup V_2)| = 0, 1$  and that  $y$  is not an essential vertex in  $T_2$ . In Figure 17 (a), we can see the unique  $\gamma_I$ -function  $f_1$  of  $T_1$  given by a star, as well as the unique  $\gamma_I$ -function  $f_2$  of the wounded spider  $T_2$ . This is an example where  $y$  has  $|epn_1(y, V_1 \cup V_2)| = 0$ , meaning that  $y$  is not required to dominate any other vertices. Thus, the currently labeled function is not a  $\gamma_I$ -function of  $T$ . It appears that the function  $f$  defined on  $V(T)$  by  $f(a) = f_1(a)$  for every  $a \in V(T_1)$ ,  $f(b) = f_2(b)$  for every  $b \in V(T_2 - y)$ , and  $f(y) = 0$  is the unique  $\gamma_I$ -function of  $T$ . This  $\gamma_I$ -function of  $T$  is depicted in Figure 18 (a).

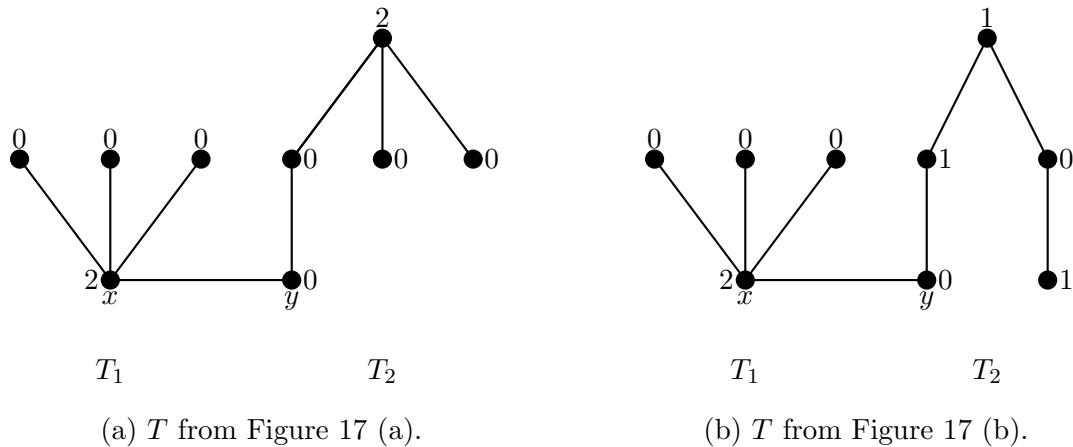


Figure 18:  $\gamma_I$ -functions of trees from Figure 17.

In Figure 17 (b), we can see the unique  $\gamma_I$ -function  $f_1$  of  $T_1$  given by a star, as well as the unique  $\gamma_I$ -function  $f_2$  of  $P_5 = T_2$ . This is an example where  $y$  has  $|epn_1(y, V_1 \cup V_2)| = 1$ . Notice that the tree  $T$  obtained is not a UID-tree.

The currently labelled function is a  $\gamma_I$ -function of  $T$ , but a function  $h$  where all weights remain the same except  $h(y) = 0$  and  $h(u) = 1$  where  $u \in epn_1(V_1 \cup V_2)$  is also a  $\gamma_I$ -function of  $T$ . This  $\gamma_I$ -function is depicted in Figure 18 (b). This leads to

the following result.

**Proposition 3.13.** *If  $|epn_1(y, V_1 \cup V_2)| = 1$ , then the tree  $T$  obtained from  $T_1$  and  $T_2$  by performing operation  $\mathcal{O}_4$  is not a UID-tree.*

*Proof.* First we must show that  $\gamma_I(T_1) + \gamma_I(T_2) = \gamma_I(T)$ . We have that  $f$  defined on  $V(T)$  by  $f(a) = f_1(a)$  for every  $a \in V(T_1)$  and  $f(b) = f_2(b)$  for every  $b \in V(T_2)$  is an IDF of  $T$ . This implies that  $\gamma_I(T) \leq \gamma_I(T_1) + \gamma_I(T_2)$ .

Now we need to show  $\gamma_I(T) \geq \gamma_I(T_1) + \gamma_I(T_2)$ . Let  $f$  be a  $\gamma_I$ -function of  $T$ . If  $f(x) = f(y)$  or if  $\{f(x), f(y)\} = \{1, 2\}$ , then  $f|_{V(T_i)}$  is an IDF for  $T_i$  and so  $\gamma_I(T_i) \leq f(V(T_i))$ . Thus  $\gamma_I(T_1) \leq f(V(T_1))$  and  $\gamma_I(T_2) \leq f(V(T_2))$ , and adding these two inequalities implies that  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) + f(V(T_2)) = \gamma_I(T)$  by the assumption. Thus the equality  $\gamma_I(T) = \gamma_I(T_1) + \gamma_I(T_2)$  follows.

Now consider the other possibilities where  $\{f(x), f(y)\} \in \{\{0, 2\}, \{0, 1\}\}$ .

Case 1:  $f(x) = 0$  and  $f(y) \in \{1, 2\}$ .

If  $f(y) = 2$ , we have that  $f|_{V(T_2)}$  is an IDF of  $T_2$ . Since  $f(y) = 2 \neq 1 = f_2(y)$ , this implies that  $\gamma_I(T_2) \leq f(V(T_2)) - 1$ . Now the function  $g$  defined on  $V(T_1)$  as  $g(u) = f(u)$  if  $u \neq x$  and  $g(x) = 1$  is an IDF of  $T_1$ . So we have that  $\gamma_I(T_1) \leq f(V(T_1)) + 1$  and adding these two inequalities gives  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) + 1 + f(V(T_2)) - 1 = \gamma_I(T)$ .

If  $f(y) = 1$ , then  $f|_{V(T_2)}$  is the unique  $\gamma_I$ -function of  $T_2$  implying that  $f(V(T_2)) = \gamma_I(T_2)$ . Assume for contradiction that  $\gamma_I(T) < \gamma_I(T_1) + \gamma_I(T_2)$ . Substituting the previous equation, this implies that  $\gamma_I(T) = f(V(T_1)) + f(V(T_2)) < \gamma_I(T_1) + f(V(T_2))$ . After cancellation we are left with  $f(V(T_1)) < \gamma_I(T_1)$  implying that  $f(V(T_1)) \leq \gamma_I(T_1) - 1$ . Notice that  $g$  defined above on  $V(T_1)$  has weight  $g(V(T_1)) = f(V(T_1)) + 1 =$

$\gamma_I(T_1) + 1$ . We just established that  $f(V(T_1)) + 1 \leq \gamma_I(T_1)$ , implying that  $g$  is also a  $\gamma_I$ -function of  $T_1$ . Since  $g(x) = 1 \neq f_1(x) = 2$ , this contradicts the uniqueness of  $f_1$ . Hence we have that  $\gamma_I(T) \geq \gamma_I(T_1) + \gamma_I(T_2)$  and the equality  $\gamma_I(T) = \gamma_I(T_1) + \gamma_I(T_2)$  follows.

Case 2:  $f(x) \in \{1, 2\}$  and  $f(y) = 0$ .

If  $f(x) = 1$ , then  $f|_{V(T_1)}$  is an IDF of  $T_1$  with  $f_1(x) = 2 \neq 1 = f(x)$ . This implies that  $\gamma_I(T_1) \leq f(V(T_1)) - 1$ . Now the function  $g$  defined  $V(T_2)$  as  $g(u) = f(u)$  if  $u \neq y$  and  $g(y) = 1$  is an IDF of  $T_2$ . Thus we have that  $\gamma_I(T_2) \leq g(V(T_2)) = f(V(T_2)) + 1$ . Adding the two previous inequalities we have  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) - 1 + f(V(T_2)) + 1 = \gamma_I(T)$ . Thus the equality  $\gamma_I(T) = \gamma_I(T_1) + \gamma_I(T_2)$  follows.

If  $f(x) = 2$ , then  $f|_{V(T_1)} = f_1$  and  $f(V(T_1)) = \gamma_I(T_1)$ . Since  $f(y) = 0$ , we have that  $f|_{V(T_2-y)}$  is an IDF of  $T_2$ . This implies that  $\gamma_I(T_2 - y) \leq f(V(T_2 - y)) = f(V(T_2))$ . Since  $|epn(y, V_1 \cup V_2)| = 1$  and  $f(y) = 1$ , we have that  $\gamma_I(T_2 - y) = \gamma_I(T_1)$  from Proposition 3.10. Thus we have that  $\gamma_I(T_2 - y) = \gamma_I(T_2) \leq f(V(T_2))$ . Adding this inequality and the fact that  $f(V(T_1)) = \gamma_I(T_1)$ , we have that  $\gamma_I(T_1) + \gamma_I(T_2) \leq f(V(T_1)) + f(V(T_2)) = \gamma_I(T)$ . Again, the equality  $\gamma_I(T) = \gamma_I(T_1) + \gamma_I(T_2)$  follows.

Now we need to show  $f$  is not a unique  $\gamma_I$ -function of  $T$ . Let  $u \in epn(y, V_1 \cup V_2)$ . Consider the function  $h$  defined as  $h|_{V(T_1)} = f|_{V(T_1)}$ ,  $h(y) = 0$ ,  $h(u) = 1$ , and  $h|_{V(T_2-\{u,y\})} = f|_{V(T_2-\{u,y\})}$ . Now  $h$  is an IDF of  $T$  of weight  $h(V(T)) = f(V(T_1)) + f(V(T_2)) - 1 + 1 = \gamma_I(T)$ . Thus  $h$  is a  $\gamma_I$ -function of  $T$  where  $h(y) = 0 \neq 1 = f(y)$ . Therefore,  $T$  is not a UID-tree.  $\square$

We will now define the following two operations that still need to be researched.

**Operation  $\mathcal{O}_5$ :** Let  $T$  be the tree obtained from  $T_1$  and  $T_2$  by adding an edge

between a vertex  $x$  in  $T_1$  and a vertex  $y$  in  $T_2$  such that  $f_1(x) = 1$  and  $f_2(y) = 0$ .

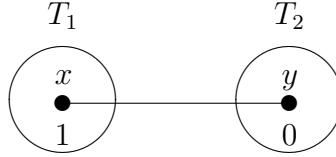


Figure 19: Operation  $\mathcal{O}_5$ .

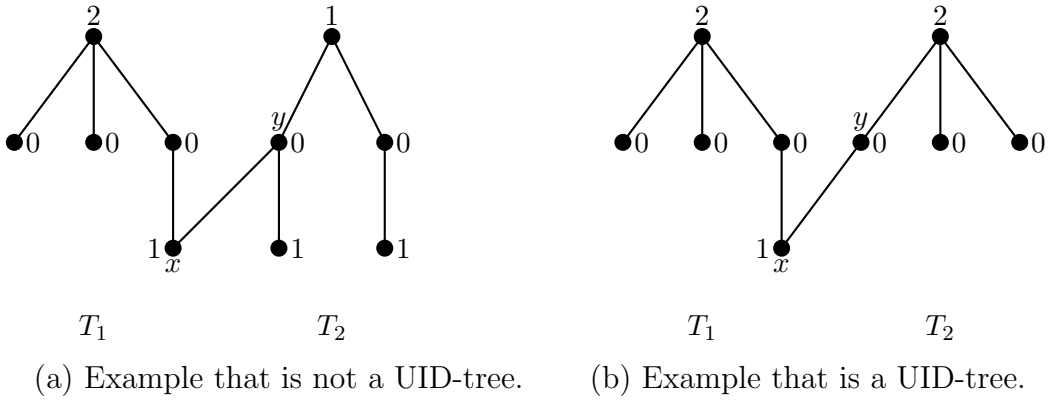


Figure 20: Examples of trees constructed from  $\mathcal{O}_5$ .

Figure 19 depicts the general construction of trees that are obtained by performing  $\mathcal{O}_5$ , and Figure 20 shows specific examples of trees produced from this operation. Note that this puts no restriction on  $x$ , which means that  $x$  could be essential or nonessential. The trees produced in Figure 20 are examples where  $x$  is a nonessential vertex. As we can see, the tree produced in Figure 20 (a) is not a UID-tree, but the tree constructed in (b) is a UID-tree. Similarly to how we dealt with Operation  $\mathcal{O}_3$ , one might consider adding the restriction that  $T_2 - y$  is a UID-tree in order to guarantee the constructed tree is a UID-tree. However,  $T_2 - y$  is not a UID-tree in both (a) and (b), even though one of the constructed trees is a UID-tree and the other

is not. Therefore,  $T_2 - y$  being a UID-tree is not a sufficient condition for Operation  $\mathcal{O}_5$ .

We will now define the other operation that still needs to be addressed.

**Operation  $\mathcal{O}_6$ :** Let  $T$  be the tree obtained from  $T_1$  and  $T_2$  by adding an edge between a vertex  $x$  in  $T_1$  and a vertex  $y$  in  $T_2$  such that  $f_1(x) = 1$  and  $f_2(y) = 1$  where at least one of  $x, y$  is not an essential vertex.

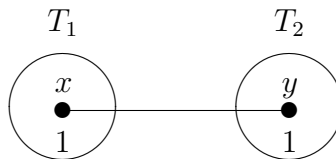
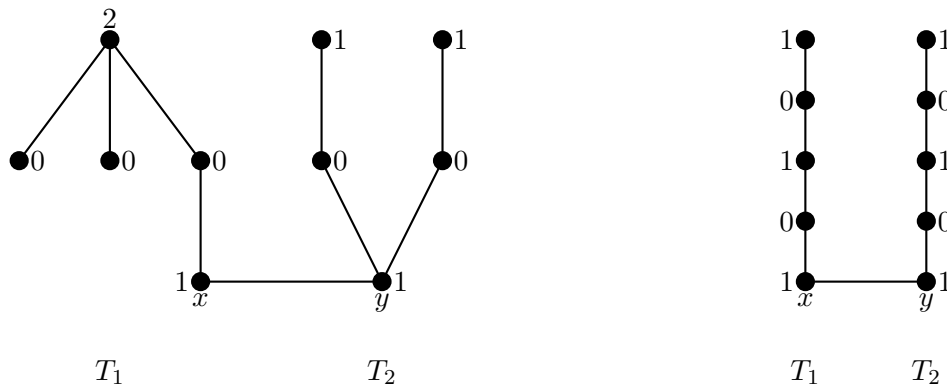


Figure 21: Operation  $\mathcal{O}_6$ .



(a) Example with one essential vertex. (b) Example with nonessential vertices.

Figure 22: Examples of trees constructed from  $\mathcal{O}_6$ .

Figure 21 depicts the general construction of trees that are obtained by performing  $\mathcal{O}_6$ , and Figure 22 shows specific examples of trees produced from this operation. Notice that this operation addresses two cases for the vertices  $x, y$ . One case being

that  $x, y$  are both nonessential vertices, and the other being that only one of  $x, y$  is essential. Figure 22 (a) depicts a tree constructed from one essential vertex,  $y$  in this example, and (b) shows an example where both  $x, y$  are nonessential vertices. Notice that both of the trees produced in Figure 22 are not UID-trees. Let  $f$  be the function depicted in (a). Then the function  $h$  defined as  $h(u) = f(u)$  for  $u \in V(T_1 - x)$ ,  $h(x) = 0$ ,  $h(y) = 2$ , and  $h(z) = f(z)$  for  $z \in V(T_2 - y)$  is also a  $\gamma_I$ -function of  $T$ . Thus,  $x$  being a nonessential vertex allows for relabelling of weights of vertices.

Similarly, consider the function  $g$  depicted in (b). Define a new function  $k$  as  $k(u) = g(u)$  for  $u \in V(T_1)$ ,  $k(y) = 0$ ,  $k(v) = 1$  for  $v \in epn_1(y, V_1 \cup V_2)$ , and  $k(z) = g(z)$  for  $z \in V(T_2 - \{y, v\})$ . Then  $k$  is also a  $\gamma_I$ -function of the tree depicted in (b). In both cases, it appears that having at least one nonessential vertex allows for relabelling of vertices in its closed neighborhood. Therefore, it appears that trees produced from Operation  $\mathcal{O}_6$  are not UID-trees, but this remains to be proven.

## 4 CONCLUDING REMARKS

We considered various weights of two vertices that were used to join two UID-trees  $T_1$  and  $T_2$  with a single edge. We considered adding the edge between two vertices of weight 0, and between two essential vertices. The case considering two essential vertices includes: two vertices of weight 2, some cases where both vertices have weight 1, and some cases where one vertex has weight 2 and the other has weight 1. We also considered the case when the edge is added between a vertex of weight 2 and a vertex of weight 0. The last case addressed was adding this edge between a vertex of weight 2 and a nonessential vertex of weight 1 that was not self-dominating.

The following cases still remain: adding this edge between two vertices of weight 1 where at least one is nonessential, adding the edge between a vertex of weight 1 and a vertex of weight 0, and adding the edge between a vertex of weight 2 and a self-dominating vertex of weight 1. It also remains to determine if these are sufficient conditions on a UID-tree. That is, if we have a UID-tree that was obtained by adding an edge between two trees  $T_1$  and  $T_2$ , can we determine under what conditions are  $T_1$  and  $T_2$  UID-trees. We conclude with problems and topics that could be used to further research of UID-trees.

### 4.1 Future Work

1. Find properties that characterize UID-trees.
2. Extend unique Italian domination to other topics, such as unique perfect Italian domination or unique independent Italian domination.

3. Characterize the family of trees  $\mathcal{T}$  where  $T \in \mathcal{T}$  if  $T$  is both a UID-tree and a URD-tree with  $\gamma_I(T) = \gamma_R(T)$ . That is,  $f$  is the unique  $\gamma_I$ -function of  $T$  and  $f$  is the unique  $\gamma_R$ -function of  $T$ .



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