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A new combined approach to improved lipid production using a strictly aerobic and oleaginous yeast

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28 **Keywords:** oleaginous yeast, oxygen- vector, design reactor, lipids, biodiesel properties
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Practical application

The oleaginous yeast *Rhodospiridium toruloides* represents a promising candidate for the successful production of microbial lipids. This microorganism could be used to produce a variety of metabolites useful for industrial biotechnology. Microbial lipids have potential applications in energy and food industry, since most of those lipids are triacylglycerol with long-chain fatty-acids.

This paper describes the conditions for the production and the factors that could improve the production of microbial lipids, focusing in aeration factors correlated with oxygen mass transfer in broth culture. The combined approach, high aeration combined with an oxygen-vector, improved the lipid accumulation and reduced the cultivation time. These two factors are key factors for the success of scale-up and profitability of a bioprocess.

For Peer Review

Abstract

Microbial lipids have potential applications in energy, and food industry, because most of those lipids are triacylglycerol with long-chain fatty-acids that are comparable to conventional vegetable oils and can be obtained without arable land requirement. *Rhodospiridium toruloides* is a strictly aerobic strain, where oxygen plays a crucial role in growth, maintenance and metabolite production, such as lipids and carotenoids. Dissolved oxygen concentration is one of the major factors affecting yeast physiological and biochemical characteristics. In this context, different approaches have been developed to increase available oxygen by the increasing the aeration and the addition of an oxygen-vector. The grown of *R. toruloides* in 2-L mechanical stirred tank reactor equipped with 1 or 2 porous sparger and a 70 C/N ratio, revealed a lipid content of 0.47 and 0.52 g/g and a lipidic productivity of 0.16 and 0.17 g/L.day, respectively. The oxygen-vector addition, increased the lipidic productivity for 0.20 g/L.day and a lipid content of 0.51 g of lipids/g of biomass. The combined approach, combining high aeration (AA) and 1% of n-dodecane addition (DA), produced a significant improvement in the lipid accumulation (62%, w/w), when compared with the DA (51%, w/w) and the AA (52%, w/w) approaches. The increasing of lipids accumulation and smaller culture time are key factors for the success of scale-up and profitability of a bioprocess.

1. Introduction

Single cell oil, namely microbial oil, is the lipid accumulated by oleaginous microorganisms in their cell body. Because of its important function in energy, and food industry, it has been the focus of many researches. Biodiesel production has been the subject of considerable attention from the scientific community due to the intense discussion on renewable energy, particularly with respect to the limited supply and increasing cost of conventional fossil fuels [1]. Biodiesel is a proven biofuel that can be added directly in the established infrastructure and blended legally in any percentages with petroleum diesel [2]. Currently, the majority of biodiesel produced worldwide is considered 1st generation, since its production is based on plant oils, animal fats and algal oils [3, 4]. However, microbial oils can be a considerable alternative, resulting in the production of 2nd generation biodiesel, which doesn't compete with the food industry nor gives rise to ethical or social issues. Microbial lipids have potential applications in biodiesel production because the majority of those lipids are triacylglycerol with long-chain fatty acids that are comparable to conventional vegetable oils and can be obtained without an extensive arable land requirement [5–7].

Oleaginous microorganisms can accumulate lipids more than 20% of their dry biomass [8], for lipid accumulation, oleaginous species should be cultivated with at least one limited nutrients such nitrogen, phosphorus, sulphur, zinc, iron or magnesium and with excess carbon [9–11]. Among these cases, nitrogen deprivation is the most easy-controlling and low-cost cultivation regime [12]. Thus, when the limiting nutrient becomes exhausted the cell growth is inhibited and remaining carbon source is channelled to lipid accumulation. The accumulation capability is extremely conditioned by the carbon-to-nitrogen (C/N) ratio and other factors in particular, aeration, pH, inorganic salt, etc. [9–11, 13].

In particular, yeast are potentially useful for lipid production compared to other microorganisms because of their rapid growth, high cell biomass, high content of lipid, no endotoxin and easily large scale growth [14]. Among them, the yeast *Rhodosporidium toruloides* has been found to be an exceptional storage lipid producer due to high cellular lipid content of over 70% and high cell density of 100 g/L [15, 16].

The major limiting factor for aerobic microorganism's growth is the oxygen supply into the broths, due to the poor solubility of oxygen in aqueous media. Oxygen limitation can be surpassed by increasing the stirrer speed and aeration rate, or by changing the reactor geometry/dimension. However, this may causes uncontrolled foam formation in the reactor, excessive power consumption and stress to the microorganism, which is neither an economical process nor effective [17–20]. An effective and effortless approach may be the addition of oxygen-vectors, which are capable of enhancing the oxygen transfer into microorganisms. Oxygen-vectors are organic solvents with high oxygen solubility, wherein its solubility is 15 to 20 times higher than in water [21–23]. The most common oxygen vectors utilized in biotechnology are perfluorocarbons [21, 24, 25] and hydrocarbons [18, 21, 26, 27].

The presence of oxygen-vectors originates another phase, the liquid organic phase, leading to the formation of new interfacial areas between the gas and liquid phases. This new phase can enhance an extensive amount of oxygen from the air bubbles. In literature, several oxygen transfer mechanisms were reported, however the most plausible mechanism assumes that the

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3 hydrocarbons are adsorbed into the bubble surface, followed by the formation of a continuous
4 film, and subsequent oxygen diffusion from the air bubble to microorganisms in the aqueous
5 phase through oxygen-vector [20, 28, 29].
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7 The *Rhodospiridium toruloides* is a strictly aerobic strain, oxygen plays a crucial role in growth,
8 maintenance and metabolite production, such as lipids and carotenoids. Due to the high
9 oxygen requirement, it is crucial that sufficient amounts of oxygen be present in the liquid
10 phase. The oxygen transfer rate (OTR) is dependent upon the volumetric mass transfer
11 coefficient, $K_L a$, and the driving force between gas-liquid phase, $C_L^* - C_L$, where C_L is the
12 dissolved oxygen concentration and C_L^* is the oxygen saturation concentration in the liquid
13 phase at the gas-liquid interface [30, 31].
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16 Dissolved oxygen concentration is one of the major factors affecting yeast physiological and
17 biochemical characteristics, in this context, different approaches have been developed to
18 increase available oxygen in the yeast culture of *R. toruloides* CECT 1499 by the increasing the
19 aeration and the addition of an oxygen-vector. The *R. toruloides* yeast culture were grown in a
20 batch mechanical stirred-tank reactor (STR) in a limited nitrogen medium, with a molar C/N
21 ratio of 70.
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24 The fatty acid compositional profile was also analysed and compared with the existing
25 standards (European Standard EN 14214) for biodiesel production. The properties of biodiesel
26 produced have been estimated using equations from simulations models of the properties
27 estimated based on the fatty acid composition of vegetable oils.
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30 **2. Materials and methods**

31 **2.1 Microorganism and maintenance medium**

32 This study used the yeast *R. toruloides* CECT 1499, from Spain Culture Collection Centre
33 (University of Valencia). Stock cultures were maintained on solid PDA (HIMEDIA, India) agar
34 plates at 30 °C, during 2 days and afterwards, maintained at 4 °C and subcultured twice a
35 month.
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39 **2.2 Pre-culture conditions and culture media**

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41 *R. toruloides* CECT 1499 yeast cells were grown in 250 mL shake flasks containing medium YPD
42 (20 g/L peptone, 10 g/L yeast extract, 20 g/L glucose) on an orbital shaker (NeifoPentlab,
43 Portugal), at 150 rpm and 30 °C for 48h. Then, cultures were initiated with 10 % (v/v) of pre-
44 culture to a 250 mL shake flask with fresh lipid production medium (pH 5-6) contained: glucose
45 13 g/L, yeast extract 0.75 g/L, $(\text{NH}_4)_2\text{SO}_4$ 0.1 g/L, $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ 1.5 g/L and KH_2PO_4 0.4 g/L. These
46 cultures were incubated in an orbital shaker at 150 rpm and 30 °C, and used as inoculum to get
47 an initial cell concentration of 1×10^7 cell/mL.
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51 **2.2 Culture conditions in aerated STR**

52 All cultures were performed in a 2-L (1.6-L working volume) stirred tank reactor, STR (Bio
53 Controller ADI 1030, Thermo Circulator ADI 1018, Applikon, Holland), a jacketed vessel,
54 equipped with a Rushton turbine (radial flow) and operated at 30 °C, 200 rpm and aerated at
55 0.5 and 0.75 vvm. STR was equipped with one or two microporous spargers, depending on the
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3 assay. The STR experiments were performed with lipid production medium supplemented with
4 antifoam B (Sigma A-5757) at 0.1 mL/L. The medium pH was adjusted to 5.0 before
5 inoculation. The culture monitoring was followed through the BioXpert program, version 2.1.
6 The measurement of temperature, pH and dissolved oxygen was made with specific probes,
7 polarographic DO₂ sensor, Pt-100 sensor in thermowell in topplate and classic pH sensor
8 respectively, and the values were constantly registered. Samples were taken for 144 h at
9 regular intervals to determine the biomass dry weight, sugar consumption, neutral lipid
10 accumulation and fatty acid composition, as described in "Analytical methods".
11

12
13 In the assays with oxygen-vector, n-dodecane (BDH Prolabo, France; oxygen solubility 54.9 x
14 10⁻³ g/L at 35 °C and atmospheric air pressure) was added to the culture medium at different
15 volumetric fractions of 1 and 2 % (v/v).
16

17 **2.3 Analytical methods**

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19 Dry cell weight (DCW) was determined by centrifuging (Hettich Zentrifugen Universal 320,
20 Germany) 5 mL of culture, in a pre-weighed dried tube, at 2 370 g for 20 min and dried at 60 °C
21 to a constant weight, and weighed on a precision balance (Precisa XB 120A, Switzerland).
22

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24 The glucose concentration was determined using 3,5-dinitrosalicylic acid (DNS) method [32].
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26 Inorganic nitrogen (NH₄⁺ form) was quantified using the Phenol-hypochlorite method [33].
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28 **2.4 K_La measurements**

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30 Volumetric mass transfer coefficient (K_La) was measured using the dynamic gassing-out
31 method [30]. For the K_La determination, was utilized the polarography oxygen probe of the
32 bioreactor. Oxygen probe calibration was realized at atmospheric pressure by setting zero and
33 100 % saturation under nitrogen and air sparging, respectively. The K_La determinations were
34 performed in the medium culture before inoculation, in the middle of the cell growth, and at
35 the final growth stage. The inlet of air flow to the culture broth was interrupted and the
36 decrease of the dissolved oxygen concentration due to cellular respiration was observed and
37 recorded by a polarographic oxygen probe. The K_La value was calculated by the integrated
38 form of the equation proposed by Stanbury *et al.* [30], where the slope of the resulting straight
39 line representing the ln (C* - C_L) versus time is equal to the value of -K_La.
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43 K_La determination was performed without and with 1 and 2 % (v/v) of oxygen-vector, n-
44 dodecane, added to the culture medium.
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46 **2.5 Lipid analysis and fatty acid composition**

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48 Lipid concentration was estimated by fluorescence spectrometry. *R. toruloides* CECT 1499 cells
49 were stained with Nile Red according to the protocol describe by Kimura *et al.* [34], which
50 allows monitoring neutral lipids content during the accumulation process. A calibration curve
51 between fluorescence intensity and Triolein concentration was developed. This standard curve
52 was performed according to Bertozzini *et al.* [35].
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54
55 Fatty acid analyses were performed by high performance liquid chromatography (HPLC) using
56 samples previously extracted from yeast cells following the modified method proposed by
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Folsh *et al.* [36] and then hydrolyzed according to Hein *et al.* [37]. Fatty acid composition was analyzed in a high-performance liquid chromatography system (Hitachi LaChrom Elite HPLC, Japan) equipped with a refractive index detector (Hitachi L-2490, Japan) and an Alltima Alltech Hi-Load C18 column (Grace, USA) was used with an isocratic elution of acetonitrile: water (99:1) at 30 °C.

2.6 Determination of culture yields and kinetics parameters

The specific growth rates (h^{-1}) were calculated using the DMFIT modelling tool (<http://modelling.combase.cc>).

The lipid content ($Y_{L/X}$) was calculated according to the following formula:

$$Y_{L/X} = \frac{L_i - L_0}{X_i - X_0}$$

where, X_i and L_i are the dry cell weight and lipid concentration on day t_i , respectively and X_0 and L_0 are the dry cell weight and lipid concentration on the first day (t_0), respectively.

The lipid yield ($Y_{L/S}$) was calculated according to the following formula:

$$Y_{L/S} = \frac{L_i - L_0}{S_0 - S_i}$$

where, S_i and S_0 are the glucose concentration on day t_i and on the first day (t_0), respectively. L_i and L_0 are the lipid concentration on day t_i and on the first day (t_0), respectively.

The lipid productivity (P_{lipid}) was calculated by the equation:

$$P_{lipid} (g L^{-1} day^{-1}) = \frac{L_{maxi}}{t_i}$$

where, L_{maxi} is the maximum lipid concentration on day t_i .

The Biomass productivity (P_{Biom}) was calculated by the equation:

$$P_{Biom} (g L^{-1} day^{-1}) = \frac{(X_i - X_0)}{t_i}$$

where, X_0 and X_i is the biomass concentration on day t_0 and t_i , respectively.

2.7 Determination of biodiesel properties

Different physicochemical fuel properties namely Cetane Number (CN), Long Chain saturated factor B (LCSF(B)), Cold Filter Plugging Point (CFPP), Saponification Number (SN), Iodine Value (IV), Higher Heating Value (HHV) and Flash Point (FP) were determined using predictive models and mathematical equations [38-41].

Cetane Number was obtained using the multiple linear regression equation:

$$CN = 1.068 \sum (CN_i \times W_i) - 6.747$$

where, CN_i represent reported CN of pure fatty acid methyl ester available in reference [38], collected from the literature and W_i is the mass fraction of each fatty acid methyl ester detected and quantified by HPLC [38].

Long Chain Saturated Factor (B) and Cold Filter Plugging Point were calculated by the following empirical equations [39]:

$$LCSF(B) = 0.1 \times C16(\text{wt. \%}) + 0.5 \times C18(\text{wt. \%}) + 1 \times C20(\text{wt. \%}) + 1.5 \times C22(\text{wt. \%}) + 2 \times C24(\text{wt. \%})$$

$$CFPP = 3.1417 \times LCSF(B) - 16.477$$

where, C16, C18, C20, C22 and C24 are the composition of saturated fatty acids with a long chain.

Iodine Value and Saponification Number were calculated by the following empirical equations:

$$IV = \sum(254 \times D \times A_i / MW_i)$$

$$SN = \sum(560 \times A_i / MW_i)$$

where, A_i is the percentage, D is the number of double bonds and MW_i is the molecular mass of each fatty acid methyl ester[40].

The Higher Heating Value is related with IV and SN, for calculation of HHV has been used the following equation[40]:

$$HHV = 49.43 - ((0.015 \times IV) + (0.041 \times SN))$$

Flash Point was calculated according to the following formula [41]:

$$FP = (47.62 \times HHV) - 1802.7$$

3. Results and Discussion

3.1 Effect of oxygen availability in lipid accumulation (High Aeration Approach)

Previous studies in our laboratory, indicates that C/N ratio of 70 enhanced lipid accumulation by the yeast culture *R. toruloides* CECT 1499 [42, 43]. These assays was performed in erlenmeyer, where the maximum lipid content was 0.22 g/g (Table 1), a low value for oleaginous microorganisms [10, 44–48]. This low lipid content might had been associated with low oxygen availability in the shake flask, where the K_La is approximately 5 h^{-1} [49], since the accumulation of lipids is an aerobic process in which oxygen dissolved in the culture should be a crucial factor [50]. To better understand the effect of oxygen on growth kinetics and lipid accumulation of *R. toruloides* CECT 1499 yeast cultures, different experiments were conducted in a STR bioreactor where the K_La was suitably changed.

To improve the oxygen mass transfer in the liquid phase and to improve access to oxygen by cells, the culture aeration system was changed, through the addition of one more air sparger in the vessel. Volumetric oxygen mass transfer coefficient was determined at different operational conditions, with one and two sparger's and different aeration rates (0.5, 0.75 and

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1 vvm). Figure 1 shows the highest K_{La} was obtained with two porous sparger's and 1 vvm (61.41 h^{-1}), wherever this K_{La} is not statistically different to that obtained with two porous sparger's and 0.75 vvm (60.59 h^{-1}). In energetic and economic perspective, is more advantageous operate at 0.75 vvm than at 1 vvm, energy input is smaller and less costly. Therefore, the K_{La} of 60.59 h^{-1} was selected and designed as high oxygen availability and the K_{La} of 33.93 h^{-1} was selected as control conditions in STR-2L. The K_{La} of 5 h^{-1} , characteristic of 250 mL Erlenmeyer, was designed as low oxygen availability [42].

Despite of the different K_{La} , all three cultures, with identical molar ratio C/N of 70, became nitrogen limited within 24 hours (data not shown). Thus, we believed that, the performance among the three cultures on biomass concentration and lipid content were different not due to nitrogen exhaustion but presumably to the oxygen availability. Table 1 shows the kinetic and efficiency parameters of the cultures grown with low, high and control oxygenation. When scaled-up from 250 mL Erlenmeyer (low oxygen availability) to 2L-STR (control) the lipid content increased from 22 to 47% (w/w) and the K_{La} increased 6.8 times. This improvement in lipid content appears to be related with oxygen availability in the growth medium. Similar results were obtained with the yeast *R. toruloides* Y4, when operating in fed-batch mode, which accumulated 68% (w/w) of lipids with a dissolved oxygen of 40-50 % saturation. While, the shake flask fed-batch culture only reached 48% (w/w), with the same yeast strain [13]. In an aerobic culture, particularly for *R. toruloides* CECT 1499 yeast cultures, several biochemical reactions including carbon consumption, lipid production, and cell proliferation required large amounts of oxygen. So, oxygen has a great importance in the *R. toruloides* CECT 1499 yeast cultures and high oxygen promotes lipogenesis and consequently lipid accumulation, as a result of the up-regulation of enzymes involved in lipid biosynthesis such as malic enzyme and ATP-citrate lyase [51–53].

In systems with forced aeration such as STR, in addition to better dispersion and accessibility of dissolved oxygen, this importance is heightened due to the possibility of controlling aeration, agitation and vessel design, relatively to the shake flask.

It was also observed that K_{La} almost doubled with the increase of the number of spargers, from one to two porous spargers. The porous sparger promotes the formation of microbubbles with a high retention time in the liquid phase, thus enabling a greater mass transfer of oxygen and consequently a greater accessibility of this gas to the cells. Studies by Raposo *et al.* [54] showed that this type of sparger is more efficient with high K_{La} values than those obtained with the L-shaped sparger. High oxygen availability culture had improvements in all parameters, particular in lipid content increase to 52% (w/w) and achieved a biomass of 2.49 g/L (Table 1).

The yeast *R. toruloides* CECT 1499 shown an increase of lipid yield ($Y_{L/S}$) and glucose consumption with the increase of K_{La} , high oxygenation of culture medium almost certainly leads to a metabolically more active culture, not being so limited by oxygen availability. Although, the $Y_{L/S}$ obtained are consistent with the values referenced by other authors, with a similar initial glucose concentration, these values are low relative to the theoretical maximum. Andrade *et al.* [55] obtained a low lipid yield of 0.13 g/g when used the yeast *R. toruloides* NCYC 921 in a batch bioreactor, for lipid production with an initial glucose concentration of 10

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3 g/L. The theoretical maximum lipid yield is 0.31 g/g [8, 56], i.e., the production of 1 mole of
4 trioleoylglycerol requires 16 mole of glucose. For *R. toruloides*, the $Y_{L/S}$ frequently documented
5 for oleaginous microorganisms is around 0.20 g/g [10, 44, 48, 57]. In this study, the culture
6 with high oxygen availability, 60.59 h⁻¹, has a lipid yield two times lower than the maximum
7 theoretical lipid yield. We believed that the cell culture can still be nutritionally limited by the
8 oxygen, not being enough for the growth and lipid metabolism. It is observed that, although,
9 the consumption of carbon source increased with increasing the K_LA , there is no depletion of
10 the glucose, being limited by the other factor or nutrient, no by the substrate.

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13 These results suggested that oxygen supply was limiting, and that oxygen-transfer should be
14 intensified to further improve lipid productivity. For this purpose, we tested the addition of an
15 oxygen vector in culture, in order to increase the oxygen solubility in the culture and promote
16 greater accessibility of this gas to the cells.
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19 20 21 **3.2 Effect of n-dodecane in lipid accumulation (n-Dodecane Approach)**

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23 As showed before, oxygen availability promotes a higher lipid accumulation. The K_La was
24 increased through aeration increment, high aeration lead to high power consumptions, foam
25 formation and could adversely affect the microbial growth. Has been reported that the K_La
26 value can decrease, remain unaffected or increase upon addition of oxygen-vector [58]. n-
27 dodecane was added as oxygen-vector with the intent of increase K_La and reduce power
28 consumption. Unexpectedly, K_La slightly decreased in presence of 1 and 2% (v/v) of n-
29 dodecane from 33.93 to 28.47 and 28.02 h⁻¹, respectively (Table 2). This decline implies longer
30 aeration times to saturate. But, on the other hand, n-dodecane has a large affinity for oxygen,
31 allowing to increase the amounts of oxygen transferred from the gas phase to the cell.
32 Therefore, the OTR maximum may increase due to the enhancement of saturation
33 concentration in the liquid phase [30, 31]. Oxygen vector can also act as surface-active agent
34 to lower the surface tension of water and increase the gaseous specific interfacial area and
35 thus increase the OTR [58].
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40 The glucose consumption increased when n-dodecane was added (Table 2). At the same initial
41 glucose concentration, the additions of 1 and 2% (v/v) of n-dodecane, resulted in glucose
42 consumption of 81.63 and 99.46 %, respectively, while a glucose consumption of 70.33 % was
43 achieved by the control. Glucokinase is one of the first enzyme involved in the conversion of
44 glucose into other metabolites, this enzyme increases in the presence of n-dodecane, by the
45 work reported by Xu et al. [53]. Biomass production of culture control also differed from the
46 cultures with the addition of n-dodecane. Relatively to the control, the addition of the oxygen-
47 vector, increase the biomass production in 15% and 32 % with 1% and 2% of n-dodecane,
48 respectively. The presence of n-dodecane promotes better glucose consumption and higher
49 biomass production even with a minor decrease in K_La . During aerobic bioprocess, the oxygen
50 is transferred from a rising gas bubble into a liquid phase and ultimately to the site of oxidative
51 phosphorylation inside the cell. The liquid film resistances around bubbles usually control the
52 overall transfer rate [59], the presence of n-dodecane could lower the surface tension of the
53 broth, reducing the liquid film resistances around air bubbles fostering the overall transfer rate
54 and increase the OTR.
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3 *R. toruloides* CECT 1499 achieved a lipid content of 0.52 and 0.51 g/g under 2 and 1% (v/v) of
4 n-dodecane, respectively. With no n-dodecane addition lipid content was 0.47 g/g. n-
5 Dodecane clearly affect lipid biosynthesis and accumulation. However, how n-dodecane affect
6 lipid accumulation remains unknown. Possibly, the yeast is metabolically more active in
7 presence of oxygen-vector, due to a greater availability and accessibility of oxygen, not being
8 the culture limited nutritionally. As previously shown, the addition of one more air sparger in
9 the vessel and an aeration of 0.75 vvm has promoted a K_{La} of 60.59 h⁻¹ and achieved a lipid
10 content of 0.52 g/g, the same lipid content was obtained with the addition of n-dodecane.
11 However, the K_{La} is around half in presence of 1 and 2% of n-dodecane. These are two
12 different approaches, which result in the increase of lipid content.
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16 n-Dodecane stimulated the aerobic metabolism of *R. toruloides* CECT 1499, resulting in a faster
17 synthesis of lipids and cell growth, lipid productivity relates the quantity of lipid synthesized
18 per time. In presence of n-dodecane lipid productivity was stimulated and reached 0.20 and
19 0.21 g/L.day, with 1 and 2% of n-dodecane, respectively, while in culture without addition of
20 n-dodecane, lipid productivity dropped to 0.16 g/L.day. Overall, there are two hypothetical
21 possibilities for the enhancement triggered by the addition of n-dodecane. Firstly, n-dodecane
22 increment OTR as already mentioned. The secondary possibility lays on the fact that previous
23 studies demonstrate that n-dodecane addition increases the transcription level of several
24 genes involved in mechanisms that supply metabolites to fatty acid biosynthesis. That genes
25 encoded enzymes like glucokinase, citrate synthase, malate dehydrogenase and glucose-6-
26 phosphate dehydrogenase [52, 53]. According to C. Ratledge [60] the ability of an oleaginous
27 microorganism to accumulate large quantities of lipid lays on two key factors, the ability to
28 produce a continuous supply of acetyl-CoA directly in the cytosol of the cell as an
29 indispensable precursor for fatty acid biosynthesis, and, the ability to produce a sufficient
30 supply of NADPH as the essential reductant used in fatty acid biosynthesis. Citrate synthase
31 and malate dehydrogenase are involved in the citrate/malate cycle and in the cytosolic
32 "transhydrogenase" cycle that provide precursors of acetyl-CoA and NADPH [60]. Glucose-6-
33 phosphate dehydrogenase overexpression also contributes for NADPH generation, through the
34 pentose phosphate pathway. It is worth to point out that when the fatty acid accumulation
35 process is active, down-shift of the TCA cycle would be a rational requirement. As mentioned
36 before, nitrogen starvation significantly inhibits isocitrate dehydrogenase [8, 61]. However,
37 how n-dodecane affects growth kinetic and lipid production remains unclarified.
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45 **3.3 Combination of high aeration and 1% of n-dodecane in lipid accumulation**

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47 The availability of oxygen to the *R. toruloides* CECT 1499 yeast cells is extremely important,
48 especially for lipid accumulation, which is strictly an aerobic process. Two approaches were
49 studied, one by increasing the K_{La} using two air dispersers and the other by adding an oxygen
50 vector, the n-dodecane. High values of K_{La} (60.59 h⁻¹), were obtained by the utilization of two
51 porous sparger's and 0.75 vvm (high aeration approach, AA), and the addition of n-dodecane
52 (n-dodecane approach, DA), improved growth kinetics and lipid production of *R. toruloides*
53 CECT 1499. We expect that the combination of these two approaches could result in a
54 significant improvement in lipid production and growth of *R. toruloides* yeast. Thus, the effect
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of combined approaches (CA) will be studied. From an economical perspective, was selected 1% of n-dodecane to perform this study, the cost of adding 1 or 2 % of n-dodecane have a marginally impact on laboratory scale, however in comparison with industrial scale the impact would be tremendous. Another important parameter was the time at which it reached the maximum lipid concentration, being achieved at 108 hours of culture with 1% of n-dodecane. For the culture with 2% (v/v) n-dodecane, were needed more 38 hours to achieve maximum lipid concentration. (data not shown).

The increase of biomass productivity occurs during the exponential phase and decreased at 30 h of culture with the drastic reduction of the nitrogen concentration in the medium. Up to 30 h the decrease of the substrate concentration is noticeable, reflected in the increase of biomass productivity (Figure 2). After the exhaustion of the nitrogen source, it was observed the increase in lipid content indicates that nutrient stress occurred. Nitrogen exhaustion leads to an increase of AMP deaminase that releases ammonium ions for compensating for nitrogen exhaustion in the cells. This compensation, leads to a decrease of AMP concentration. NAD⁺-isocitrate hydrogenase (NAD-ICDH) is dependent on AMP, low levels of NAD-ICDH results in the accumulation of isocitrate and thence citrate in the mitochondrion [60]. At the end of the exponential phase the pH dropped from 5.5 to 3.27 (data not shown), probably, this parameter is related with citrate secretion to the extracellular medium due to nitrogen exhaustion. Higher lipid content is attained during the stationary phase, as it observed after the 30 h of culture and remains constant throughout the cultivation, which triggered by a down-shift of TCA cycle due to low levels of NAD-ICDH.

The combined approach had a positively effect on lipid accumulation (62%, w/w), with a clearly increase when compared with the DA (51%, w/w) and the AA (52%, w/w) approaches. Nambou *et al.* [62] found the same competence in lipid accumulation with *Y. lipolytica* DSM3286 STR culture, with a lipid content of 65% (w/w) and a glucose consumption of 15 g/L. The lipid content achieved by CA is among the highest [10, 13, 44, 45, 48, 63, 64].

Figure 2 shown that after 30 h, glucose continues to be consumed, with a decrease in biomass productivity, however it was observed an increase of the lipid accumulation. The lipid yield, glucose consumption and lipid content showed that in CA approach, the consumed glucose was actively channelled for lipid biosynthesis. In terms of lipid productivity, the CA increase from 0.17 (AA) and 0.20 g/L.day (DA) to 0.30 g/L.day (Figure 3), being these values within the range referenced by other authors [46].

The CA approach, which resulted by the combination of high aeration with the addition of 1% of n-dodecane, produced a significant improvement in the culture of *R. toruloides* CECT 1499, reflected in the increasing of lipids accumulation and in decreasing of the culture time. Key factors for the success of scale-up and profitability of a bioprocess.

3.4 Fatty acid compositional profile and estimated properties of biodiesel from the lipid products

Lipid samples from the cells of *R. toruloides* CECT 1499 grown in the CA approach were transmethylated and the resulting fatty acid methyl esters (FAMES) were analysed by HPLC, to

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3 verify whether it complies the existing standards (European Standard EN 14214). The fatty acid
4 profile of *R. toruloides* CECT 1499 yeast is shown in Table 3 also is displayed profile of other
5 authors work and the different raw materials. The predominant fatty acids in lipid extracted
6 from *R. toruloides* yeasts, this work, were palmitic (C16:0), oleic (C18:1) and linoleic (C18:2),
7 with over 90% of detected fatty acids. The fatty acid composition of yeast species are very
8 similar [13, 65–67], except for *R. toruloides* NCYC 921 [68], that present a high value of stearic
9 acid, 71.3 % (w/w). In our case, the composition of fatty acids seems to be promising for
10 biodiesel production, since the most suitable fatty acids for biodiesel production are palmitic
11 (C16:0), stearic (C18:0), oleic (C18:1), linoleic (C18:2) and linolenic (C18:3). In particular oleic
12 acid because of their properties as chain length, cetane number, oxidative stability and meting
13 point [67, 69]. Other relevant specification is the percentages of polyunsaturated methyl esters
14 with more than three double bonds and linolenic methyl ester (C18:3), must be lower than 1%
15 and 12% (w/w), respectively.
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19 The potential quality of biodiesel was tested according to the European Standard EN 14214.
20 Table 3 shows physicochemical biodiesel properties determined through prediction models
21 and mathematical equations [38–40, 70]. Cetane number is extensively used as diesel fuel
22 quality parameter related to a measurement of how well it combusts, however should be
23 taken into consideration that high CN is an advantage in terms of engine performance and
24 emissions, on the other hand, will make the fuel ignite in a short distance to the injector and
25 the cooked fuel particles inside the injector may plug the injector nozzle [71]. Most diesels for
26 standard vehicles have a CN between 45 and 60, the minimum CN for biodiesel is 51 (European
27 Standard EN 14214). It is possible to assume that values ranging from 51 to 60 are suitable for
28 most diesel engines. All the FAMES of oils produced by microorganisms (Table 3), including *R.*
29 *toruloides* CECT 1499, had CN values of 51 – 61, which were higher than minimum requirement
30 and close to the maximum for standard diesel vehicles.
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34 The Iodine value (IV) measure the degree of unsaturation of an oil and must be lower than 120
35 g I₂/100g due to the fact that heating fuels with high IV tend to polymerise and form deposits
36 on engine nozzles, piston rings and piston ring grooves [72]. The predicted IV of *R. toruloides*
37 CECT 1499 oils meet the specification of the European Standard EN 14214.
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40 The higher heating value (HHV) is one of the most important properties of a fuel [73]. This
41 value represents the amount of heat released during the combustion of a specified amount of
42 it and is a characteristic for each oil/fuel. The HHV of biodiesels produced from rape and corn
43 had values near 40 Mj/kg, being this parameter quite similar among all the cultures present in
44 table 3. It would be expected that there no discrepancy, considering that carbon number and
45 carbon/hydrogen ratio in fatty acid molecules are similar. The flash point (FP) must have a
46 minimum value of 101 °C. The FP doesn't affect the combustion directly, but it is used in
47 shipping and safety regulations that define flammable and combustible materials. Essentially
48 FP is the temperature at which the fuel will start to burn when it comes to contact with fire
49 [71]. The FP predicted in this study from *R. toruloides* cells, had a value of 132°C, which is
50 above the required minimum, thus ensuring that presents no problem when stored in the fuel
51 tank.
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3 Another important norm for choosing FAMES is the cold filter plugging point (CFPP). Biodiesel
4 fuels typically have operability problems such as plugging of filters and fuel lines when
5 temperatures approach -10 °C [39]. The Portuguese legislation (NP EN 14214) establishes
6 maximum limits for CFPP, depend on the season. The CFPP maximum limit in summer, winter
7 and spring is 0, -10 and -5 °C, respectively. *R. toruloides* CECT 1499 biodiesel (prediction) have
8 one of the lowest CFPP (-6 °C), despite this cannot be used in winter. This parameter is the
9 most difficult to fulfil (in winter). *R. toruloides* NCYC 921 [68] have the worst CFPP (174 °C) due
10 to high content of stearic and lignoceric acid. However, it is possible to lower the CFPP through
11 additions of different sources of oils and/or through the addition of cold flow improvers.
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14 The exploration of these properties showed that fatty acid compositional profile of *R.*
15 *toruloides* CECT 1499 respect the conditions of Portuguese legislation (NP EN 14214) and has
16 great potential as raw material for biodiesel production.
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19 20 21 **4. Concluding remarks**

22 In order to improve the lipid production on oleaginous yeast, such as *R. toruloides*, several
23 culture factors are important. In present work are proposed combinate approach, combining
24 an already optimized C/N ratio with high aeration in an optimized design STR bioreactor and
25 oxygen vector addiction. The optimized conditions were, C/N ratio 70, two porous spargers at
26 0,75 vvm and 1% n-dodecane.
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29 Lipid samples from CA approach were transmethylated and the resulting fatty acid methyl
30 esters was verifying whether it complies the existing standards (European Standard EN 14214)
31 and has great potential as raw material for biodiesel production.
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For Peer Review

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Table 1: Kinetics parameters on lipid production from different values of K_{La} by *R. toruloides* CECT 1499, grown with a molar ratio C/N of 70. Batch experiments were carried out in a 2L STR at 30 °C, 0.75 vvm and 200 rpm, equipped with a porous sparger, for 146 h. Shake flask culture was carried out on an orbital shaker at 150 rpm and 30 °C for 146 h.

Culture mode/Spargers	K_{La} (h^{-1})	Lipid content (g/g)	$Y_{L/S}$ (g/g)	Gluc _{cons} (%)	Prod _{Lip} (g/L.day)
Shake Flask	5 ^c	0.22±0.02 ^b	0.03±0.01 ^c	63.93	0.07±0.01 ^b
Batch/one	33.93±0.71 ^b	0.47±0.04 ^a	0.11±0.01 ^b	70.33	0.16±0.04 ^a
Batch/two	60.59±0.31 ^a	0.52±0.03 ^a	0.14±0.01 ^a	72.33	0.17±0.00 ^a

K_{La} – Volumetric mass transfer coefficient; $Y_{L/S}$ – Lipid yield ; Gluc_{cons} - Glucose Consumption; Prod_{Lip} – Lipid Productivity. Values are expressed as means ± standard deviation, n=3. Different letters indicate statistically significant differences according to Student-Newman-Keuls (p < 0.05).

Table 2: Kinetics parameters on lipid production from different additions of n-dodecane by *R. toruloides* CECT 1499 in a 2-L stirred tank reactor at 30 °C, 200 rpm and aerated 0.50 vvm with one porous sparger's for 146 h.

n-Dodecane % (v/v)	K_{La} (h^{-1})	Lipid content (g/g)	$Y_{L/S}$ (g/g)	Gluc _{cons} (%)	Prod _{Lip} (g/L.day)
0	33.93±0.71 ^a	0.47±0.02 ^a	0.11±0.01 ^a	70.33	0.16±0.04 ^a
1	28.47±0.49 ^b	0.51±0.03 ^a	0.09±0.00 ^a	81.63	0.20±0.01 ^a
2	28.02±1.25 ^b	0.52±0.06 ^a	0.09±0.01 ^a	99.46	0.21±0.02 ^a

K_{La} – Volumetric mass transfer coefficient; $Y_{L/S}$ – Lipid yield; Gluc_{cons} - Glucose Consumption; Prod_{Lip} – Lipid Productivity. Values are expressed as means ± standard deviation, n=3. Different letters indicate statistically significant differences according to Student-Newman-Keuls ($p < 0.05$).

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Table 3: Fatty acid compositions (wt. %) and properties of biodiesel from lipid extracts. *R. toruloides* CECT 1499 (this study), *R. toruloides* Y4 [13], *R. toruloides* NCYC 921 [68], *R. glutinis/S. obliquus* [65], *A. pullulans* [66] and *M. circinelloides* URM 4182 [46].

Property	This Study	[13]	[68]	[65]	[66]	[46]	Rape [39]	Corn [39]
Fatty acid (wt.%)								
C14:0	1.1	1.3	0.1	0.4	0.0	2.1	0.0	0.0
C16:0	21.1	20	6.8	12.9	26.7	22.2	4.9	6.5
C16:1	0.0	0.6	0.0	0.5	1.7	1.0	0.0	0.6
C18:0	2.7	14.6	71.1	2.8	6.1	7.7	1.6	1.4
C18:1	45.5	46.9	6.6	71.3	44.5	39.6	33.0	65.6
C18:2	25.0	13.1	2.7	10.8	21.0	9.7	20.4	25.2
C18:3	0.6	3.5	0.5	1.3	0.0	10.8	7.9	0.1
C20:0	0.0	0.0	0.0	0.0	0.0	0.4	0.0	0.1
C20:1	0.0	0.0	0.0	0.0	0.0	0.7	9.3	0.1
C22:0	0.0	0.0	0.0	0.0	0.0	0.5	0.0	0.0
C22:1	0.0	0.0	0.0	0.0	0.0	0.0	23.0	0.1
C24:0	0.0	0.0	12.2	0.0	0.0	1.7	0.0	0.1
C24:1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Other's	4.6	0.0	0.0	0.0	0.0	3.6	0.0	0.0
Saturated	24.9	35.9	90.2	16.1	32.8	38.0	6.5	8.0
Monounsaturated	45.5	47.5	6.6	71.8	46.2	41.5	65.3	66.4
Polyunsaturated (2,3)	25.0	16.6	3.2	12.1	21.0	20.5	28.3	25.3
SN (mg KOH/g)	184.7	193.1	184.1	191.6	193.9	196.1	182.0	189.9
IV (g I ₂ /100g)	82.1	72.4	11.4	83.5	75.8	80.5	109.0	101.0
HHV (Mj/kg)	40.6	40.4	41.7	40.3	40.3	40.2	40.3	40.1
FP (°C)	132	122	184	117	118	111	170	170
CN	51	57	61	54	56	52	55	53
CFPP (°C)	-6	13	174	-8	1	17	10	-12

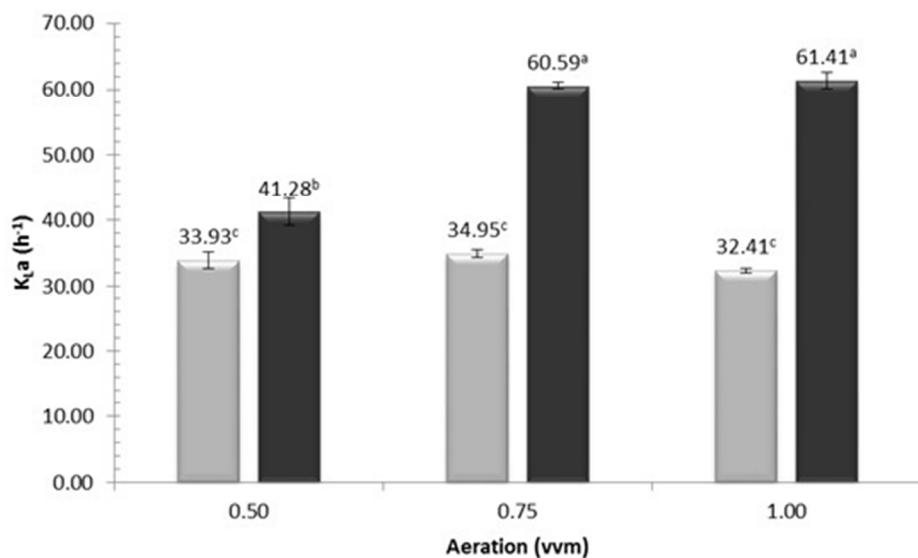
SN – Saponification Number; IV – Iodine value; HHV – higher heating value; FP – flash point; CN – Cetane number; CFPP - filter plugging point

Figure legends

Figure 1: Variation of $K_L a$ for different aeration rates determined in a STR-2L at 200 rpm and 30 °C, equipped with one (grey) and two porous sparger's (black). Values are expressed as means \pm standard deviation, $n=3$. Different letters indicate statistically significant differences according to Student-Newman-Keuls ($p < 0.05$).

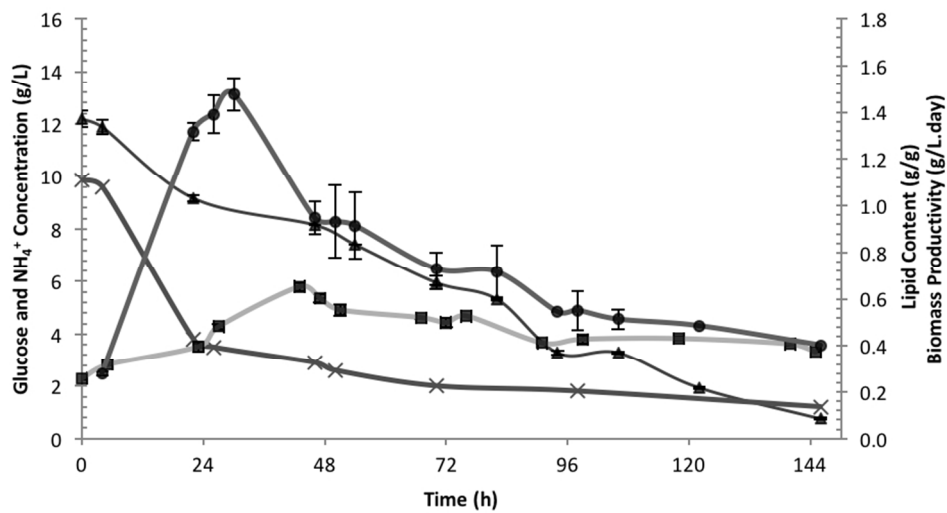
Figure 2: Substrate and nitrogen sources consumption, biomass productivity and lipid accumulation profile for *R. toruloides* CECT 1499 in a 2-L stirred tank reactor at 30 °C, 200 rpm, 1% of n-dodecane and aerated 0.75 vvm with two porous sparger's. Biomass productivity (filled circles), glucose concentration (filled triangles), lipid content (filled squares) and $100 \times \text{NH}_4^+$ concentration (crosses). Values are expressed as means \pm standard deviation, $n=3$. Different letters indicate statistically significant differences according to Student-Newman-Keuls ($p < 0.05$).

Figure 3: The comparison of lipid/DCW yield, lipid productivity, lipid/substrate yield in n-dodecane approach, DA (black bars), high aeration approach, AA (grey bars) and combined approaches, CA (black stripes bars). Values are expressed as means \pm standard deviation, $n=3$. Different letters indicate statistically significant differences according to Student-Newman-Keuls ($p < 0.05$).

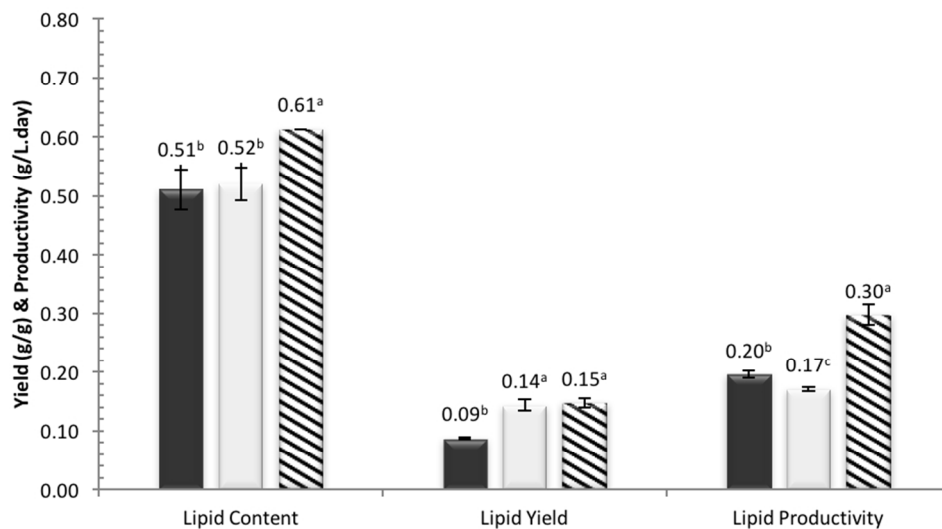


Variation of $K_L a$ for different aeration rates determined in a STR-2L at 200 rpm and 30 °C, equipped with one (grey) and two porous sparger's (black). Values are expressed as means \pm standard deviation, $n=3$. Different letters indicate statistically significant differences according to Student-Newman-Keuls ($p < 0.05$).

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The comparison of lipid/DCW yield, lipid productivity, lipid/substrate yield in n-dodecane approach, DA (black bars), high aeration approach, AA (grey bars) and combined approaches, CA (black stripes bars). Values are expressed as means \pm standard deviation, n=3. Different letters indicate statistically significant differences according to Student-Newman-Keuls ($p < 0.05$).