

## PERIODS OF HOMEOMORPHISMS ON CLOSED SURFACES

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ABSTRACT. The goal of this paper is to show what information on the set of periodic points of a homeomorphism on a closed surface can be obtained using the action of this homeomorphism on the homological groups of the closed surface.

### 1. INTRODUCTION

Here a *closed surface* means a connected compact surface with or without boundary, orientable or not. More precisely, an *orientable connected compact surface without boundary of genus  $g \geq 0$* ,  $\mathbb{M}_g$ , is homeomorphic to the sphere if  $g = 0$ , to the torus if  $g = 1$ , or to the connected sum of  $g$  copies of the torus if  $g \geq 2$ . An *orientable connected compact surface with boundary of genus  $g \geq 0$* ,  $\mathbb{M}_{g,b}$ , is homeomorphic to  $\mathbb{M}_g$  minus a finite number  $b > 0$  of open discs having pairwise disjoint closure. In what follows  $\mathbb{M}_{g,0} = \mathbb{M}_g$ .

A *non-orientable connected compact surface without boundary of genus  $g \geq 1$* ,  $\mathbb{N}_g$ , is homeomorphic to the real projective plane if  $g = 1$ , or to the connected sum of  $g$  copies of the real projective plane if  $g > 1$ . A *non-orientable connected compact surface with boundary of genus  $g \geq 1$* ,  $\mathbb{N}_{g,b}$ , is homeomorphic to  $\mathbb{N}_g$  minus a finite number  $b > 0$  of open discs having pairwise disjoint closure. In what follows  $\mathbb{N}_{g,0} = \mathbb{N}_g$ .

Let  $f : \mathbb{X} \rightarrow \mathbb{X}$  be a homeomorphism on a closed surface  $\mathbb{X}$ . A point  $x \in \mathbb{X}$  is periodic of period  $n$  if  $f^n(x) = x$  and  $f^k(x) \neq x$  for  $k = 1, \dots, n - 1$ . We denote by  $\text{Per}(f)$  the set of periods of all periodic points of  $f$ . The aim of the present paper is to provide some information on  $\text{Per}(f)$ . The statement of our first result is.

**Theorem 1.** *Let  $\mathbb{X}$  be a closed surface and let  $f$  be a self-homeomorphism into  $\mathbb{X}$ . If  $\mathbb{X} = \mathbb{M}_{g,b}$ , then the following statement hold.*

- (a) *If  $(g, b) \in \{(1, 0), (0, 2)\}$  (i.e. the torus and the closed annulus respectively), then there is no information on the set  $\text{Per}(f)$ .*
- (b) *If  $(g, b) = (0, 0)$  (i.e. the 2-dimensional sphere), then  $\text{Per}(f) \cap \{1, 2\} \neq \emptyset$ .*
- (c) *If  $(g, b) = (0, 1)$  (i.e. the 2-dimensional disc), then  $1 \in \text{Per}(f)$ .*
- (d) *If  $g > 1$  and  $b = 0$ , then  $\text{Per}(f) \cap \{1, 2, \dots, 2g\} \neq \emptyset$ .*

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*Key words and phrases.* Closed surface, homeomorphism, Lefschetz fixed point theory.  
2010 Mathematics Subject Classification: 58F20, 37C05, 37C25, 37C30.

(e) If  $g > 1$  and  $b > 0$ , then  $\text{Per}(f) \cap \{1, 2, \dots, 2g + b - 1\} \neq \emptyset$ .

If  $\mathbb{X} = \mathbb{N}_{g,b}$ , then the following statements hold.

- (f) If  $(g, b) \in \{(2, 0), (1, 1)\}$  (i.e. the Klein bottle and the Möbius band respectively), there is no information on the set  $\text{Per}(f)$ .
- (g) If  $(g, b) = (1, 0)$  (i.e. the projective plane), then  $1 \in \text{Per}(f)$ .
- (h) If  $(g, b)$  does not satisfy the assumptions of statements (f) or (g), then  $\text{Per}(f) \cap \{1, 2, \dots, g + b - 1\} \neq \emptyset$ .

The proof of Theorem 1 is done in section 2. The main tool for proving it is a result due to Fuller [4].

The results of Theorem 1 restricted to the orientable closed surfaces without boundary, i.e. for the closed surfaces  $\mathbb{M}_{g,0}$ , were already obtained by Franks and Llibre in [3].

The objective of the rest of the paper is to improve the information provided in Theorem 1 using as a main tool the Lefschetz fixed point theory. We shall follow the ideas of Franks and Llibre in [3] when they improve the results of Theorem 1 for the homeomorphisms of the closed surfaces  $\mathbb{M}_{g,0}$ , see Theorems 5 and 6.

Let  $A$  be an  $n \times n$  complex matrix. A  $k \times k$  *principal submatrix* of  $A$  is a submatrix lying in the same set of  $k$  rows and columns, and a  $k \times k$  *principal minor* is the determinant of such a principal submatrix. There are  $\binom{n}{k}$  different  $k \times k$  principal minors of  $A$ , and the sum of these is denoted by  $E_k(A)$ . In particular,  $E_1(A)$  is the trace of  $A$ , and  $E_n(A)$  is the determinant of  $A$ , denoted by  $\det(A)$ .

It is well known that the characteristic polynomial of  $A$  is given by

$$\det(tI - A) = t^n - E_1(A)t^{n-1} + E_2(A)t^{n-2} - \dots + (-1)^n E_n(A).$$

Our main result is stated in the following theorem.

**Theorem 2.** *Let  $f : \mathbb{X} \rightarrow \mathbb{X}$  be a homeomorphism and let  $A$  be the integral matrix of the isomorphism  $f_{*1} : H_1(\mathbb{X}, \mathbb{Q}) \rightarrow H_1(\mathbb{X}, \mathbb{Q})$  induced by  $f$  on the first homology group of  $\mathbb{X}$ . If  $\mathbb{X}$  is either  $\mathbb{M}_{g,b}$  with  $b > 0$ , or  $\mathbb{N}_{g,b}$  with  $b \geq 0$ , then the following statements hold.*

- (a) If  $E_1(A) \neq 1$ , then  $1 \in \text{Per}(f)$ .
- (b) If  $E_1(A) = 1$  and  $E_2(A) \neq 0$ , then  $\text{Per}(f) \cap \{1, 2\} \neq \emptyset$ .

If  $\mathbb{X} = \mathbb{M}_{g,b}$  with  $b > 0$ , then the following statement holds.

- (c) If  $2g + b - 1 \geq 3$ ,  $E_1(A) = 1$ ,  $E_2(A) = 0$  and  $k$  is the smallest integer of the set  $\{3, 4, \dots, 2g + b - 1\}$  such that  $E_k(A) \neq 0$ , then  $\text{Per}(f)$  has a periodic point of period a divisor of  $k$ .

If  $\mathbb{X} = \mathbb{N}_{g,b}$  with  $b \geq 0$ , then the following statement holds.

- (d) If  $g + b - 1 \geq 3$ ,  $E_1(A) = 1$ ,  $E_2(A) = 0$  and  $k$  is the smallest integer of the set  $\{3, 4, \dots, g + b - 1\}$  such that  $E_k(A) \neq 0$ , then  $\text{Per}(f)$  has a periodic point of period a divisor of  $k$ .

Theorem 2 is proven in section 3.

## 2. PROOF OF THEOREM 1

Let  $f$  be continuous self-map defined on  $\mathbb{M}_{g,b}$  or  $\mathbb{N}_{g,b}$ , respectively. For a closed surface, the homological groups with coefficients in  $\mathbb{Q}$  are linear vector spaces over  $\mathbb{Q}$ . We recall the homological spaces of  $\mathbb{M}_{g,b}$  with coefficients in  $\mathbb{Q}$ , i.e.

$$H_k(\mathbb{M}_{g,b}, \mathbb{Q}) = \mathbb{Q} \oplus \overset{n_k}{\cdot} \oplus \mathbb{Q},$$

where  $n_0 = 1$ ,  $n_1 = 2g$  if  $b = 0$ ,  $n_1 = 2g + b - 1$  if  $b > 0$ ,  $n_2 = 1$  if  $b = 0$ , and  $n_2 = 0$  if  $b > 0$ ; and the induced linear maps  $f_{*k} : H_k(\mathbb{M}_{g,b}, \mathbb{Q}) \rightarrow H_k(\mathbb{M}_{g,b}, \mathbb{Q})$  by  $f$  on the homological group  $H_k(\mathbb{M}_{g,b}, \mathbb{Q})$  are  $f_{*0} = (1)$ ,  $f_{*2} = (d)$  where  $d$  is the *degree* of the map  $f$  if  $b = 0$ ,  $f_{*2} = 0$  if  $b > 0$ , and  $f_{*1} = A$  where  $A$  is an  $n_1 \times n_1$  integral matrix (see for additional details [6, 7]).

We recall that the homological groups of  $\mathbb{N}_{g,b}$  with coefficients in  $\mathbb{Q}$ , i.e.

$$H_k(\mathbb{N}_{g,b}, \mathbb{Q}) = \mathbb{Q} \oplus \overset{n_k}{\cdot} \oplus \mathbb{Q},$$

where  $n_0 = 1$ ,  $n_1 = g + b - 1$  and  $n_2 = 0$ ; and the induced linear maps are  $f_{*0} = (1)$  and  $f_{*1} = A$  where  $A$  is an  $n_1 \times n_1$  integral matrix (see again for additional details [6, 7]).

The proof of Theorem 1 is a consequence of a general result from polyhedron homeomorphisms proved in [4], see also Halpern [5] and Brown [1] for more details on it.

**Theorem 3** (Fuller's Theorem). *Let  $f$  be a homeomorphism of a compact polyhedron  $X$  into itself. If the Euler characteristic of  $X$  is not zero, then  $f$  has a periodic point with period not greater than the maximum of  $\sum_{k \text{ odd}} B_k(X)$  and  $\sum_{k \text{ even}} B_k(X)$ , where  $B_k(X)$  denotes the  $k$ -th Betti number of  $X$ .*

*Proof of Theorem 1.* Assume  $\mathbb{X} = \mathbb{M}_{g,b}$ . Since for a closed surface  $\mathbb{M}_{g,b}$  its homological groups with rational coefficients are  $H_0(\mathbb{M}_{g,b}, \mathbb{Q}) = \mathbb{Q}$ ,  $H_1(\mathbb{M}_{g,b}, \mathbb{Q}) = \mathbb{Q} \oplus \overset{2g}{\cdot} \oplus \mathbb{Q}$  and  $H_2(\mathbb{M}_{g,b}, \mathbb{Q}) = \mathbb{Q}$  if  $b = 0$ , and  $H_0(\mathbb{M}_{g,b}, \mathbb{Q}) = \mathbb{Q}$ ,  $H_1(\mathbb{M}_{g,b}, \mathbb{Q}) = \mathbb{Q} \oplus \overset{2g+b-1}{\cdot} \oplus \mathbb{Q}$  and  $H_2(\mathbb{M}_{g,b}, \mathbb{Q}) = 0$  if  $b > 0$ , then its Euler characteristic  $\mathcal{X}(\mathbb{M}_{g,b}) = B_0(\mathbb{M}_{g,b}) - B_1(\mathbb{M}_{g,b}) + B_2(\mathbb{M}_{g,b})$  is equal to  $2 - 2g$  if  $b = 0$ , and  $2 - 2g - b$  if  $b > 0$ , where  $B_k(\mathbb{M}_{g,b}) = \dim_{\mathbb{Q}}(H_k(\mathbb{M}_{g,b}, \mathbb{Q}))$ .

Since

$$\begin{aligned} \sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) &= 2 \neq 0 \text{ and } \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = 2g \quad \text{if } b = 0, \\ \sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) &= 1 \neq 0 \text{ and } \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = 2g + b - 1 \quad \text{if } b > 0, \end{aligned}$$

the orientable closed surfaces for which the Fuller's Theorem does not provide any information on the set of periods  $\text{Per}(f)$  are the ones having zero Euler characteristic, i.e. when  $g = 1$  and  $b = 0$ , and  $g = 0$  and  $b = 2$ . Therefore statement (a) is proved.

If  $(g, b) = (0, 0)$  then  $\max\{\sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) = 2, \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = 0\} = 2$ , then by Theorem 3 it follows that  $\text{Per}(f) \cap \{1, 2\} \neq \emptyset$ . Hence statement (b) follows.

If  $(g, b) = (0, 1)$  then  $\max\{\sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) = 1, \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = 0\} = 1$ , then by Theorem 3 it follows that  $1 \in \text{Per}(f)$ . So statement (c) follows.

If  $g > 1$  and  $b = 0$  then  $\max\{\sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) = 2, \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = 2g\} = 2g$ , then by Theorem 3 it follows that  $\text{Per}(f) \cap \{1, 2, \dots, 2g\} \neq \emptyset$ . Hence statement (d) is proved.

If  $g > 1$  and  $b > 0$  then  $\max\{\sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) = 1, \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = 2g\} = 2g+b-1$ , then by Theorem 3 it follows that  $\text{Per}(f) \cap \{1, 2, \dots, 2g+b-1\} \neq \emptyset$ . Therefore statement (e) follows.

Assume  $\mathbb{X} = \mathbb{N}_{g,b}$ . Since for a closed surface  $\mathbb{N}_{g,b}$  its homological groups with rational coefficients are  $H_0(\mathbb{M}_{g,b}, \mathbb{Q}) = \mathbb{Q}$ ,  $H_1(\mathbb{M}_{g,b}, \mathbb{Q}) = \mathbb{Q} \oplus^{g+b-1} \mathbb{Q}$  and  $H_2(\mathbb{M}_{g,b}, \mathbb{Q}) = 0$ , then its Euler characteristic  $\mathcal{X}(\mathbb{N}_{g,b}) = B_0(\mathbb{N}_{g,b}) - B_1(\mathbb{N}_{g,b}) + B_2(\mathbb{N}_{g,b}) = 2 - g - b$ , where  $B_k(\mathbb{N}_{g,b}) = \dim_{\mathbb{Q}}(H_k(\mathbb{N}_{g,b}, \mathbb{Q}))$ .

Since

$$\sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) = 1 \neq 0 \text{ and } \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = g + b - 1,$$

the non-orientable closed surfaces for which the Fuller's Theorem does not provide any information on the set of periods  $\text{Per}(f)$  are the ones having zero Euler characteristic, i.e. when  $g = 2$  and  $b = 0$ , and  $g = 1$  and  $b = 1$ . Therefore statement (f) is proved.

If  $(g, b) = (1, 0)$  then  $\max\{\sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) = 1, \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = 0\} = 1$ , then by Theorem 3 it follows that  $1 \in \text{Per}(f)$ . So statement (g) follows.

If  $(g, b)$  does not satisfy the assumptions of statements (f) or (g), then  $\max\{\sum_{k \text{ even}} B_k(\mathbb{M}_{g,b}) = 1, \sum_{k \text{ odd}} B_k(\mathbb{M}_{g,b}) = g + b - 1\} = g + b - 1$ , then by Theorem 3 it follows that  $\text{Per}(f) \cap \{1, 2, \dots, g + b - 1\} \neq \emptyset$ . Hence statement (h) is proved.  $\square$

### 3. PROOF OF THEOREMS 2

Let  $f : \mathbb{X} \rightarrow \mathbb{X}$  be a continuous map and let  $\mathbb{X}$  be either  $\mathbb{M}_{g,b}$  or  $\mathbb{N}_{g,b}$ . Then the *Lefschetz number* of  $f$  is defined by

$$L(f) = \text{trace}(f_{*0}) - \text{trace}(f_{*1}) + \text{trace}(f_{*2}).$$

For continuous maps and in particular for homeomorphisms  $f$  defined on  $\mathbb{X}$  the Lefschetz fixed point theorem states (see for instance [1]).

**Theorem 4.** *If  $L(f) \neq 0$  then  $f$  has a fixed point.*

With the aim of studying the periodic points of  $f$  we shall use the Lefschetz numbers of the iterates of  $f$ , i.e.  $L(f^n)$ . Note that if  $L(f^n) \neq 0$  then  $f^n$  has a fixed point, and consequently  $f$  has a periodic point of period a divisor of  $n$ . In order to study the whole sequence  $\{L(f^n)\}_{n \geq 1}$  it is defined the formal *Lefschetz zeta function* of  $f$  as

$$(1) \quad Z_f(t) = \exp \left( \sum_{n=1}^{\infty} \frac{L(f^n)}{n} t^n \right).$$

The Lefschetz zeta function is in fact a generating function for the sequence of Lefschetz numbers  $n$ . In order to study the whole sequence  $\{L(f^n)\}_{n \geq 1}$ .

For a continuous self-map of a closed surface the Lefschetz zeta function is the rational function

$$Z_f(t) = \frac{\det(I - tf_{*1})}{\det(I - tf_{*0})\det(I - tf_{*2})},$$

see for more details Franks [2]. Then, for an orientation-preserving homeomorphism  $f : \mathbb{M}_{g,b} \rightarrow \mathbb{M}_{g,b}$  we have

$$(2) \quad Z_f(t) = \begin{cases} \frac{\det(I - tA)}{(1-t)^2} & \text{if } b = 0. \\ \frac{\det(I - tA)}{1-t} & \text{if } b > 0, \end{cases}$$

where  $f_{*1} = A$ . Note that  $f_{*2} = (1)$  if  $b = 0$ , and  $f_{*2} = (0)$  if  $b > 0$ .

For an orientation-reversing homeomorphism  $f : \mathbb{M}_{g,b} \rightarrow \mathbb{M}_{g,b}$  we have

$$(3) \quad Z_f(t) = \begin{cases} \frac{\det(I - tA)}{1-t^2} & \text{if } b = 0. \\ \frac{\det(I - tA)}{1-t} & \text{if } b > 0. \end{cases}$$

Note that  $f_{*2} = (-1)$  if  $b = 0$ , and  $f_{*2} = (0)$  if  $b > 0$ .

Finally, for a homeomorphism  $f : \mathbb{N}_{g,b} \rightarrow \mathbb{N}_{g,b}$  we have

$$(4) \quad Z_f(t) = \frac{\det(I - tA)}{1-t}.$$

Using the Lefschetz zeta function the orientation preserving and reversing homeomorphisms on  $\mathbb{M}_{g,0}$  were studied in [3]. The results there obtained are the following two theorems. Here we shall study the homeomorphisms on  $\mathbb{M}_{g,b}$  with  $b > 0$  and on  $\mathbb{N}_{g,b}$  with  $b \geq 0$ .

**Theorem 5** (Theorem 4 of [3]). *Let  $f : \mathbb{M}_{g,0} \rightarrow \mathbb{M}_{g,0}$  be an orientation-preserving homeomorphism and let  $A$  be the  $2g \times 2g$  integral matrix of the isomorphism  $f_{*1} : H_1(\mathbb{M}_{g,0}, \mathbb{Q}) \rightarrow H_1(\mathbb{M}_{g,0}, \mathbb{Q})$  induced by  $f$  on the first homology group of  $\mathbb{M}_{g,0}$ . Then the following statements hold.*

- (a) *If  $g = 0$ , then  $1 \in \text{Per}(f)$ .*
- (b) *If  $g > 0$  and  $E_1(A) \neq 2$ , then  $1 \in \text{Per}(f)$ .*
- (c) *If  $g > 0$ ,  $E_1(A) = 2$  and  $E_2(A) \neq 1$ , then  $\text{Per}(f) \cap \{1, 2\} \neq \emptyset$ .*
- (d) *If  $g = 1$ ,  $E_1(A) = 2$  and  $E_2(A) = 1$ , then there is no information on  $\text{Per}(f)$ .*
- (e) *If  $g > 1$ ,  $E_1(A) = 2$ ,  $E_2(A) = 1$  and  $k$  is the smallest integer of the set  $\{3, 4, \dots, 2g\}$  such that  $E_k(A) \neq 0$ , then  $f$  has a periodic point of period a divisor of  $k$ .*

**Theorem 6** (Theorem 3 of [3]). *Let  $f : \mathbb{M}_{g,0} \rightarrow \mathbb{M}_{g,0}$  be an orientation-reversing homeomorphism and let  $A$  be the  $2g \times 2g$  integral matrix of the isomorphism  $f_{*1} : H_1(\mathbb{M}_{g,0}, \mathbb{Q}) \rightarrow H_1(\mathbb{M}_{g,0}, \mathbb{Q})$  induced by  $f$  on the first homology group of  $\mathbb{M}_{g,0}$ . Then the following statements hold.*

- (a) *If  $g = 0$ , then  $\text{Per}(f) \cap \{1, 2\} \neq \emptyset$ .*
- (b) *If  $g > 0$  and  $E_1(A) \neq 0$ , then  $1 \in \text{Per}(f)$ .*
- (c) *If  $g > 0$ ,  $E_1(A) = 0$  and  $E_2(A) \neq -1$ , then  $\text{Per}(f) \cap \{1, 2\} \neq \emptyset$ .*
- (d) *If  $g = 1$ ,  $E_1(A) = 0$  and  $E_2(A) = -1$ , then there is no information on  $\text{Per}(f)$ .*
- (e) *If  $g > 1$ ,  $E_1(A) = 0$ ,  $E_2(A) = 1$  and  $k$  is the smallest integer of the set  $\{3, 4, \dots, 2g\}$  such that  $E_k(A) \neq 0$ , then  $f$  has a periodic point of period a divisor of  $k$ .*

Unfortunately we cannot distinguish using the Lefschetz zeta function the orientation-preserving homeomorphisms from the orientation-reversing on  $\mathbb{M}_{g,b}$  when  $b > 0$ , see (2) and (3). They can be distinguished if  $b = 0$ , because then they have different Lefschetz zeta functions, see again (2) and (3).

*Proof of Theorem 2.* Assume  $b > 0$ . Let  $f : \mathbb{M}_{g,b} \rightarrow \mathbb{M}_{g,b}$  be a homeomorphism, and let  $A$  be the  $(2g + b - 1) \times (2g + b - 1)$  integral matrix of the isomorphism  $f_{*1} : H_1(\mathbb{X}, \mathbb{Q}) \rightarrow H_1(\mathbb{X}, \mathbb{Q})$  induced by  $f$  on the first homology group of  $\mathbb{X}$ . Then, combining the expressions (1), (2) with  $b > 0$ , (3) with  $b > 0$  and (4) with  $b \geq 0$  we obtain the following equalities

$$\begin{aligned}
\sum_{n=1}^{\infty} \frac{L(f^n)}{n} t^n &= \log(Z_f(t)) \\
&= \log\left(\frac{\det(I - tA)}{1 - t}\right) \\
&= \log\left(\frac{1 - E_1(A)t + E_2(A)t^2 - \dots + (-1)^m E_m(A)t^m}{1 - t}\right) \\
&= \log(1 - E_1(A)t + E_2(A)t^2 - \dots) - \log(1 - t) \\
&= \left(-E_1(A)t + \left(E_2(A) - \frac{E_1(A)^2}{2}\right)t^2 - \dots\right) - \left(-t - \frac{t^2}{2} - \dots\right) \\
&= (1 - E_1(A))t + \left(\frac{1}{2} - \frac{E_1(A)^2}{2} + E_2(A)\right)t^2 + O(t^3).
\end{aligned}$$

Here  $m = 2g + b - 1$  if  $\mathbb{X} = \mathbb{M}_{g,b}$  with  $b > 0$ , or  $m = g + b - 1$  if  $\mathbb{X} = \mathbb{N}_{g,b}$  with  $b \geq 0$ . Therefore we have

$$L(f) = 1 - E_1(A), \quad \text{and} \quad L(f^2) = 1 - E_1(A)^2 + 2E_2(A).$$

Hence, if  $E_1(A) \neq 1$  then  $L(f) \neq 0$ , and by Theorem 4 statement (a) follows.

If  $E_1(A) = 1$  and  $E_2(A) \neq 0$ , then  $L(f^2) = 2E_2(A) \neq 0$ , and again by Theorem 4 we get that  $\text{Per}(f) \cap \{1, 2\} \neq \emptyset$ . So statement (b) is proved.

Assume now that  $\mathbb{X} = \mathbb{M}_{g,b}$  with  $b > 0$ ,  $2g+b-1 \geq 3$ ,  $E_1(A) = 1$ ,  $E_2(A) = 0$  and  $k$  is the smallest integer of the set  $\{3, 4, \dots, 2g+b-1\}$  such that  $E_k(A) \neq 0$ . Therefore

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{L(f^n)}{n} t^n &= \log \left( \frac{1-t + (-1)^k E_k(A) t^k + \dots + (-1)^{b-1} E_{2g+b-1}(A) t^{2g+b-1}}{1-t} \right) \\ &= \log \left( 1 + \frac{(-1)^k E_k(A) t^k + \dots + (-1)^{b-1} E_{2g+b-1}(A) t^{2g+b-1}}{1-t} \right) \\ &= (-1)^k E_k(A) t^k + O(t^{k+1}). \end{aligned}$$

Hence,  $L(f) = \dots = L(f^{k-1}) = 0$  and  $L(f^k) = (-1)^k k E_k(A) \neq 0$ . So, from Theorem 4, it follows the statement (c).

Suppose that  $\mathbb{X} = \mathbb{N}_{g,b}$  with  $b \geq 0$ ,  $g+b-1 \geq 3$ ,  $E_1(A) = 1$ ,  $E_2(A) = 0$  and  $k$  is the smallest integer of the set  $\{3, 4, \dots, g+b-1\}$  such that  $E_k(A) \neq 0$ . Therefore

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{L(f^n)}{n} t^n &= \log \left( \frac{1-t + (-1)^k E_k(A) t^k + \dots + (-1)^{g+b-1} E_{g+b-1}(A) t^{g+b-1}}{1-t} \right) \\ &= \log \left( 1 + \frac{(-1)^k E_k(A) t^k + \dots + (-1)^{g+b-1} E_{g+b-1}(A) t^{g+b-1}}{1-t} \right) \\ &= (-1)^k E_k(A) t^k + O(t^{k+1}). \end{aligned}$$

Again  $L(f) = \dots = L(f^{k-1}) = 0$  and  $L(f^k) = (-1)^k k E_k(A) \neq 0$ . Therefore, from Theorem 4, it follows the statement (d).  $\square$

#### ACKNOWLEDGEMENTS

The first author of this work was partially supported by MICINN/FEDER grant number MTM2011-22587, Junta de Comunidades de Castilla-La Mancha, grant number PEII09-0220-0222. The second author was partially supported by MICINN/FEDER grant number MTM2008-03437, AGAUR grant number 2009 SGR 410, ICREA Academia and FP7-PEOPLE-2012-IRSES numbers 316338 and 318999. The third author was partially supported by Fundación Séneca de la Región de Murcia grant number 12001/PI/09.

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