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# **Pt(IV)-Based Nanoscale Coordination Polymers: Antitumor Activity, Cellular Uptake and Interactions with Nuclear DNA**

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## 27 **Abstract**

28 Cisplatin has been for many years the gold standard chemotherapeutic drug for the treatment of  
29 a wide range of solid tumors, even though its use is commonly associated with serious side  
30 effects including non-selective toxicity, myelosuppression or development of cisplatin  
31 resistance, among others complications. Over the last decade, a number of nanoparticle  
32 formulations were developed to reduce its side effects and improve the selectivity and efficacy  
33 of this drug. In this study, we have developed a novel nanoparticle platform based on nanoscale  
34 coordination polymer named (Zn-Pt(IV)-NCPs) which contains a Pt(IV) prodrug , Zn and the  
35 linker ligand 1,4-Bis(imidazol-1-ylmethyl)benzene (bix). The main objective has been to gain  
36 insights into the mechanism of action of this nanostructured material in comparison with  
37 cisplatin and the free Pt(IV) prodrug in order to establish a correlation between nanostructuration  
38 and therapeutic activity. Zn-Pt(IV)-NCPs nanoparticles displayed an average size close to 200  
39 nm as determined by DLS, a good stability in physiologic environments, and a controlled drug  
40 release of Pt. *In vitro* studies demonstrated that Pt(IV)-NCPs showed an enhanced cytotoxic  
41 effect against cell culture of cervical cancer, neuroblastoma and human adenocarcinoma cells in  
42 comparison with free Pt(IV) prodrug. Although no difference in cell uptake of Pt was observed  
43 for any of the three cell lines assayed, a higher amount of Pt bound to the DNA was found in the  
44 cells treated with the nanostructured Pt(IV) prodrug. These studies suggest that the  
45 nanostructuration of the prodrug facilitate its activation and induce a change in the mechanism  
46 of action related to an increased interaction with the DNA as corroborated by the studies of  
47 direct interaction of the Pt(IV) prodrug, nanostructured or not, with DNA.

48

## 49 **Keywords**

50 Nanoscale coordination polymers; cisplatin; nanoparticles; platinum; cisplatin; platinum  
51 prodrug

52

## 53 **1. Introduction**

54 Cisplatin, also termed as *cis*-diaminedichloroplatinum (II) or CDDP, is one of the most widely  
55 known and effective drugs used in chemotherapy [1]. This platinum (II)-based molecule is a  
56 coordination complex capable to induce cellular apoptosis due to its ability to form intrastrand  
57 crosslinks and other adducts with DNA [2, 3]. After its FDA approval in 1978, it has been used  
58 for treatment of a variety of human solid tumors, including bladder, head and neck, non-small  
59 cell lung cancer (NSCLC), small cell lung cancer (SCLC), ovarian, testicular cancers and  
60 neuroblastoma, among others [3]. Although cisplatin is the first-line treatment for the majority  
61 of these cancers, the administration of this drug presents many drawbacks. One of the most  
62 important is the lack of tumor tissue selectivity leading to important side effects including  
63 nephrotoxicity, ototoxicity and or neurotoxicity [4-6]. Moreover, the administration of high  
64 doses over time may cause myelosuppression and acquired drug resistance [7, 8]. To overcome  
65 such limitations, a series of new cisplatin analogues have been synthesized, and six of them have  
66 gained marketing approval over the last thirty years [9]. However, there are still severe side  
67 effects associated with the use of these drugs, which notably limit their administration and  
68 clinical effectiveness [9, 10].

69

70 During the last decade, a variety of nanostructured systems have been developed as carriers to  
71 improve the therapeutic efficacy and decrease the toxicity of cisplatin and cisplatin analogues  
72 [11-14]. These systems include organic, inorganic and hybrid nanoparticles. Typically such  
73 systems follow two different strategies for drug loading; 1) the direct encapsulation of the drug  
74 by physical entrapment; 2) incorporation of the active molecule as building block. Among  
75 hybrid nanoparticles, Nanostructured Coordination Polymers (NCPs) present important and  
76 unique features, such as its chemical tunability, intrinsic biodegradability and high drug loading  
77 capacity. In addition, these nano-platforms have demonstrated promising preclinical results,  
78 since exploit what is called the enhanced permeability and retention (EPR) effect [15] and  
79 display more controlled and efficient drug release profiles [16, 17]. NCPs are nanoparticles

80 made from the coordination of a metal ion or metal complex that can be polymerized by using  
81 adequate linker ligands [17-19]. In these systems, the therapeutic specie can be encapsulated  
82 during the nanoparticles formation or act as building blocks what determines the release rate of  
83 the active specie [17]. One of the methodologies used for minimize the side effects of cisplatin  
84 derivatives is to use the oxidized form Pt(IV) as inactive prodrug molecule. These Pt(IV)  
85 complexes remains inactive in physiological conditions meanwhile in intracellular conditions it  
86 can become into the pharmacologically active Pt(II) form by the action of reducing agents (i.e.  
87 ascorbic acid (AA) or glutathione) [14]. The transformation of the square-planar Pt(II) species  
88 into Pt(IV) octahedral complexes has a valuable benefit since this geometry allow polymerize  
89 the Pt(IV) units through the axial positions as previously reported [14]. The first family of  
90 Pt(IV)-based NCPs was developed by Lin and coworkers in 2008, by using disuccinatocisplatin  
91 (DSCP) as Pt(IV) prodrug. This novel strategy for delivery of Pt-based drugs demonstrated  
92 unprecedented chemotherapeutic efficiency towards two different cancer cell lines *in vitro* [16].  
93 In 2103, the same research group reported the synthesis of lipid-coated coordination polymers  
94 based on  $Zr^{4+}$  or  $La^{3+}$  metal ions and the DSCP ligand as main building block, obtaining  
95 spherical nanoparticles, with a controlled drug release of cisplatin and with enhanced cytotoxic  
96 effects against two small cell lung cancer cell lines [20]. Additionally, when these lipid-coated  
97 nanoparticles were functionalized with anisamide, an enhanced uptake of the nanoparticles was  
98 further demonstrated by confocal microscopy and binding assays [20]. From these seminal  
99 works, a series of lipid coated and pegalyted NCPs derived from zinc phosphate and cisplatin or  
100 oxaliplatin Pt(IV) prodrug were tested *in vivo* in mice, in both cases showing superior antitumor  
101 activity compared with free drugs [21]. Although the large number of studies that have been  
102 performed with Pt(IV)-based NCPs, there is a lack of knowledge concerning the action  
103 mechanisms of the Pt(IV) prodrugs and the effect of its nanostructuration. In this scenario, our  
104 objective is to bring some light on the therapeutic effect of the Pt(IV) prodrugs  
105 nanostructuration.  
106

107 Hence, in this work, novel Pt(IV) prodrug-based nanostructured coordination polymer particles,  
108 termed Zn-Pt(IV)-NCPs, were synthesized and extensively characterized. The stability of the  
109 nanoparticles, as well as the Pt release, were studied under physiologic conditions. We have also  
110 studied the interaction of the released platinum species with DNA by electrophoretic mobility  
111 measurements. Additionally, the Pt uptake and the amount of Pt bound to the DNA in three  
112 different tumor cell lines were assessed comparing the nanoparticles with the free Pt(IV)  
113 prodrug. The cytotoxicity associated to the nanoparticles was demonstrated on multiple cancer  
114 cell lines *in vitro* and compared to the free Pt(IV) prodrug. The results obtained in this study  
115 provide important evidences of the influence of the nanostructuration on the biological  
116 effectiveness of Pt(IV) prodrugs at cellular level.

117

## 118 **2. Materials and Methods**

### 119 **2.1 Materials**

120 All chemical reagents were purchased from Sigma-Aldrich (unless otherwise specified) and  
121 used as received. Solvents were obtained from Scharlab and used as received. Cell culture  
122 media and culture media supplements were ordered from Life Technologies. 1,4-Bis(imidazol-  
123 1-ylmethyl)benzene (bix) was synthesized as previously reported by our group with some  
124 modifications (see Supporting Information, A1) [22, 23]. ICP-MS standards and the setup  
125 solution were acquired from Perkin Elmer. PrestoBlue™ Cell Viability reagent was purchased  
126 from Invitrogen. All the nanoparticles synthesized in the present work were prepared under  
127 general aseptic conditions to ensure the suitability of the final material for biological  
128 experiments. All the cell lines were obtained from the American Type Culture Collection  
129 (ATCC). The DNA was stained with Midori Green (Nippon Genetics).

130

131

## 132 **2.2 Characterization methods**

133 FT-IR spectra were recorded by using a Tensor 27 spectrophotometer (Baker) equipped with a  
134 single-reflection diamond window ATR accessory (MKII Golden Gate, Specac). 250 MHz <sup>1</sup>H  
135 NMR spectra were recorded on a Bruker DPX 250 MHz spectrometer; Powder X-ray diffraction  
136 (XRD) pattern was recorded on a X'Pert PRO MRD diffractometer (PANalytical) and X-ray  
137 photoelectron spectroscopy (XPS) was performed in a Phoibos 150 analyser from SPECS. A  
138 NexION 300X ICP mass spectrometer (PerkinElmer) was used for mass spectrometry  
139 measurements. Scanning electron microscopy (SEM) images were captured on a Quanta 650  
140 FEG microscope (FEI) at acceleration voltages of 5–20 kV. Previously, the samples were  
141 prepared by drop casting of the corresponding dispersion on aluminum tape followed by  
142 evaporation of the solvent under room conditions. All the samples were metalized with a thin  
143 layer of platinum (5 nm) by using a Quorum Emitech K550 (Quorum technologies Ltd).  
144 Scanning transmission electron microscopy (STEM) images were acquired on a FEI Tecnai F20  
145 transmission electron microscope with a HAADF detector at an applied voltage of 75 kV.  
146 Dynamic light scattering (DLS) analysis were performed with a Zetasizer Nano 3600 (Malvern  
147 instruments) in a PBS buffer containing 31 mg/ml Bovine Serum Albumin (BSA). Fluorescent  
148 images from the nanoparticles were acquired using an Axio-Observer Microscope from Zeiss  
149 equipped with a AxioCam HRc camera. A Victor3 fluorescence reader (PerkinElmer's) was  
150 used to read the plates in the cytotoxicity assays. A GelDoc XR+ reader from Bio-Rad was used  
151 for imaging of agarose gels. An Agilent HP 8453 UV-vis spectrophotometer was used for DNA  
152 quantification.

153

## 154 **2.3 Synthesis of the Pt(IV) prodrug**

155 The Pt(IV) prodrug was synthesized similarly as previously described [16], with some  
156 modifications. Briefly, the Pt(IV) prodrug was prepared through three synthetic steps: 1) The  
157 first step starts with the oxidation of cisplatin to oxoplatin, by the addition of hydrogen peroxide

158 under darkness, with heating and under constant reflux. 2) The resultant product was converted  
159 to DSCP by the addition of succinic anhydride, also under darkness, heating and reflux  
160 conditions. 3) Finally, DSCP was further converted and stabilized to its bis-propyl ammonium  
161 salt. A schematic representation of the synthetic procedure and characterization are shown in  
162 Supporting Information, A2.

163

## 164 **2.4 Synthesis and characterization of the nanoparticles**

165 Zn-Pt(IV)-NCPs and the nickel analogous Ni-Pt(IV)-NCPs were obtained by a *in situ* fast  
166 polymerization reaction of the metal ion (Zn or Ni) with a mixture of two co-ligands: the  
167 platinum prodrug salt and bix. The synthesis of Zn-Pt(IV)-NCPs and Ni-Pt(IV)-NCPs were  
168 performed following previous procedures reported by our research group [19]. In a typical  
169 synthesis Bix (18.2 mg, 0.077 mmol) and Pt(IV) prodrug (50.0 mg, 0.077 mmol) were dissolved  
170 in an aqueous ethanol solution (25ml EtOH + 2 ml miliQ H<sub>2</sub>O). Under constant stirring at 900  
171 rpm, a solution of Zn(NO<sub>3</sub>)<sub>2</sub> · 6H<sub>2</sub>O (22.7 mg, 0.077 mmol in 2 mL miliQ H<sub>2</sub>O) or Ni(NO<sub>3</sub>)<sub>2</sub> ·  
172 6H<sub>2</sub>O (22.3 mg, 0.077 mmol in 2 mL miliQ H<sub>2</sub>O) were added. The rapid coordination of the co-  
173 ligands with the metal ions led to the formation of a fine white and pale blue solids of Zn-  
174 Pt(IV)-NCPs and Ni-Pt(IV)-NCPs, respectively, that precipitates in few minutes. The reaction  
175 was maintained under stirring for 30 min and the resultant precipitates were collected by  
176 centrifugation, washed twice with ethanol, and completely dried by lyophilization. A completed  
177 and detailed characterization of the obtained nanoparticles has been summarized in Supporting  
178 Information, A3.

179

180

## 181 **2.5 Characterization of the Pt Release kinetics**



182 The *in vitro* release kinetics of Pt from the nanoparticles was studied by the dialysis method.  
183 Briefly, a dialysis bag (MWCO: 3500 Da, 15 x 5 cm) containing 8 mg of Zn-Pt(IV)-NCPs  
184 dispersed in 6 ml sterile PBS (pH 7.4) was placed into 100 ml of PBS solution for 72 hours at  
185 37 °C and under constant stirring (360 rpm). To determine the release of Pt from the  
186 nanoparticles and diffused through the dialysis bag, aliquots of 500 µL of the external solution  
187 were taken after different incubation times. Samples were kept at 4 °C, until the Pt concentration  
188 was determined by ICP-MS. Release experiments were performed in triplicate.

189

## 190 **2.6 DNA-binding studies.**

191 DNA-Binding experiments were performed using the pBluescript II plasmid as substrate.  
192 Reactions containing 2 µg of the DNA plasmid were incubated with different concentrations (0,  
193 1, 10, 100 and 500 µM) of the Pt (IV) prodrug or Zn-Pt(IV)-NCPs for 24 hours at 37 °C in the  
194 absence or presence of ascorbic acid (0.1 µg/µL) in 40 mM Tris-HCL buffer (Ph 7.3)  
195 containing 40 mM NaCl. Samples containing the reducing agent ascorbic acid were  
196 preincubated for 2 hours before the DNA was added to the samples. Reactions with DNA and  
197 different concentrations of cisplatin (0, 0.1, 1, 10 and 50 µM) as control drug were prepared.  
198 Afterwards, gel electrophoresis experiments were performed on agarose gels (0.7% agarose in  
199 Tri-Acetate-EDTA buffer), by using a Bio-Rad horizontal tank connected variable potential  
200 power supply.

201

## 202 **2.7 *In vitro* studies**

203 **Cell culture.** Human BE(2)-M17 (ATCC CRL-2267), HeLa (ATCC CL-2) and MCF-7 (ATCC  
204 HTB-22) cell lines were maintained in Opti-MEM™, in Minimum Essential Medium α (MEM-  
205 α) medium, or in Dulbecco's Modified Eagle Medium: Nutrient Mixture F-12 (DMEM-F12),  
206 respectively. Media were further supplemented with 10 % (v/v) of heat inactivated fetal bovine

207 serum (FBS) and the cells were grown under a highly humidified atmosphere of 95 % air with 5  
208 % CO<sub>2</sub> at 37 °C.

209

210 **Cytotoxicity Experiments.** The cytotoxicity of Pt(IV) prodrug and Zn-Pt(IV)-NCPs towards  
211 HeLa, BE(2)-M17 and MCF-7 cells was studied by a resazurin-based assay using the  
212 PrestoBlue™ Cell Viability Reagent. The cells were seeded in 96-well plates at a concentration  
213 of 3.0x10<sup>3</sup> cells/well in the case of HeLa and at 2.0x10<sup>3</sup> cells/well in the case of BE(2)-M17 and  
214 MCF-7 cells, respectively. After 24 h incubation, cells were then treated with the Pt(IV) prodrug  
215 or with the Zn-Pt(IV)-NCPs nanoparticles at different concentrations ranging from 0 to 540 μM  
216 (referred to the Pt concentration). After 24 and 72 h treatment, aliquots of μl of the  
217 PrestoBlue™ Cell Viability Reagent solution were added to each well and incubated for up to 2  
218 hours at 37 °C. Fluorescence ( $\gamma_{exc}=531$  nm and  $\gamma_{em}=572$  nm) of each well was measured using a  
219 fluorescence microplate reader. The cytotoxic effect of the Pt(IV) prodrug and Zn-Pt(IV)-NCPs  
220 nanoparticles against the three different cells lines was determined by calculating the half-  
221 maximal inhibitory concentration (IC<sub>50</sub>). Cytotoxicity experiments were performed at least in  
222 triplicate.

223

224 **Cellular uptake of Pt.** HeLa, BE(2)-M17 and MCF-7 cells were seeded on 60 mm culture  
225 dishes at a cell density of 3.0x10<sup>5</sup> cells/plate. After 48 h growth, cells were incubated with the  
226 Pt(IV) prodrug and Zn-Pt(IV)-NCPs nanoparticles at a concentration of 75 μM (referred to the  
227 Pt concentration) for 5 h. Immediately before cell recovery, the plates were washed twice with  
228 cold PBS and the cells trypsinized for 10 min at 37 °C. Afterwards, trypsin was neutralized with  
229 1 volume of fresh medium and aliquots of 10 μl of each cell suspension were collected to count  
230 the number of viable cells present in the samples. For Pt quantitation, cell suspensions were  
231 transferred to 1.5 ml Eppendorf tubes and the samples centrifuged at 12000 rpm for 5 min.  
232 Finally, the supernatants were discarded and the resultant cell pellets were stored at -80 °C until  
233 ICP-MS analysis was performed.

234

235 **Cellular DNA-bound Pt determination.** HeLa, BE(2)-M17 and MCF-7 cells were treated with  
236 Pt(IV) prodrug and Zn-Pt(IV)-NCPs nanoparticles similarly as previously described for cellular  
237 uptake experiments. Afterwards, cells were washed twice with cold PBS, scrapped, transferred  
238 to 1.5 ml Eppendorf tubes and centrifuged at 1200 rpm for 5 min. For DNA extraction, the  
239 resultant pellets were resuspended in lysis buffer solution containing 150 mM Tris-HCl (pH  
240 8.0), 100 mM NaCl and 0.5% (w/v) SDS on ice and afterwards centrifuged at 12000 rpm for 15  
241 min and incubated with ribonuclease A (RNase A, 200 µg/mL) for 1 h at 37 °C. Then,  
242 proteinase K (100 µg/mL) was added followed by an incubation of 3 hours at 56 °C. A volume  
243 of phenol/chloroform (1:1) was added, mixed gently, and after a centrifugation step, aqueous  
244 phases containing DNA were transferred to sterile tubes. DNA was precipitated with 0.1  
245 volumes of 2 M sodium acetate and 1 volume of absolute ethanol at -20 °C overnight. DNA  
246 samples were washed with 1 ml of 70 % (v/v) ethanol, dried and resuspended in 20 µl of elution  
247 buffer (10 mM Tris-Cl, 1 mM EDTA, pH 8.0). The concentration of the isolated DNA was  
248 determined by measuring the absorbance at 260 nm using a UV-vis spectrophotometer. Pt-  
249 bound to DNA was quantified by ICP-MS.

250

## 251 **2.8 Quantification of Pt, Zn and Ni by ICP-MS**

252 To perform ICP-MS determinations, samples were dissolved in concentrated nitric acid (70%  
253 HNO<sub>3</sub>) at a concentration of 25 mg/ml and incubated at 50 °C for 18 h under constant  
254 sonication. After digestion, samples were diluted with purified water to a final 0.5 % (v/v)  
255 HNO<sub>3</sub> solution for chemical formula determination of Pt(IV) prodrug and Zn-Pt(IV)-NCPS  
256 nanoparticles, and diluted to a 7 % (v/v) HNO<sub>3</sub> solution to analyze the cells and DNA samples  
257 from uptake experiments. Calibration curves of Pt, Zn or Ni were measured from five standard  
258 solutions at different concentrations of each metal (from 20 to 200 ppb for compound samples  
259 and from 0.5 to 10 ppb for cell and DNA samples), previously prepared by diluting certified

260 reference metal stock solutions. The concentrations of Pt, Zn or Ni present in the samples were  
261 calculated from the corresponding calibration curve, previously adjusted to a linear regression  
262 model ( $R^2 > 0.99$ ).

263

### 264 **3. Results and discussion**

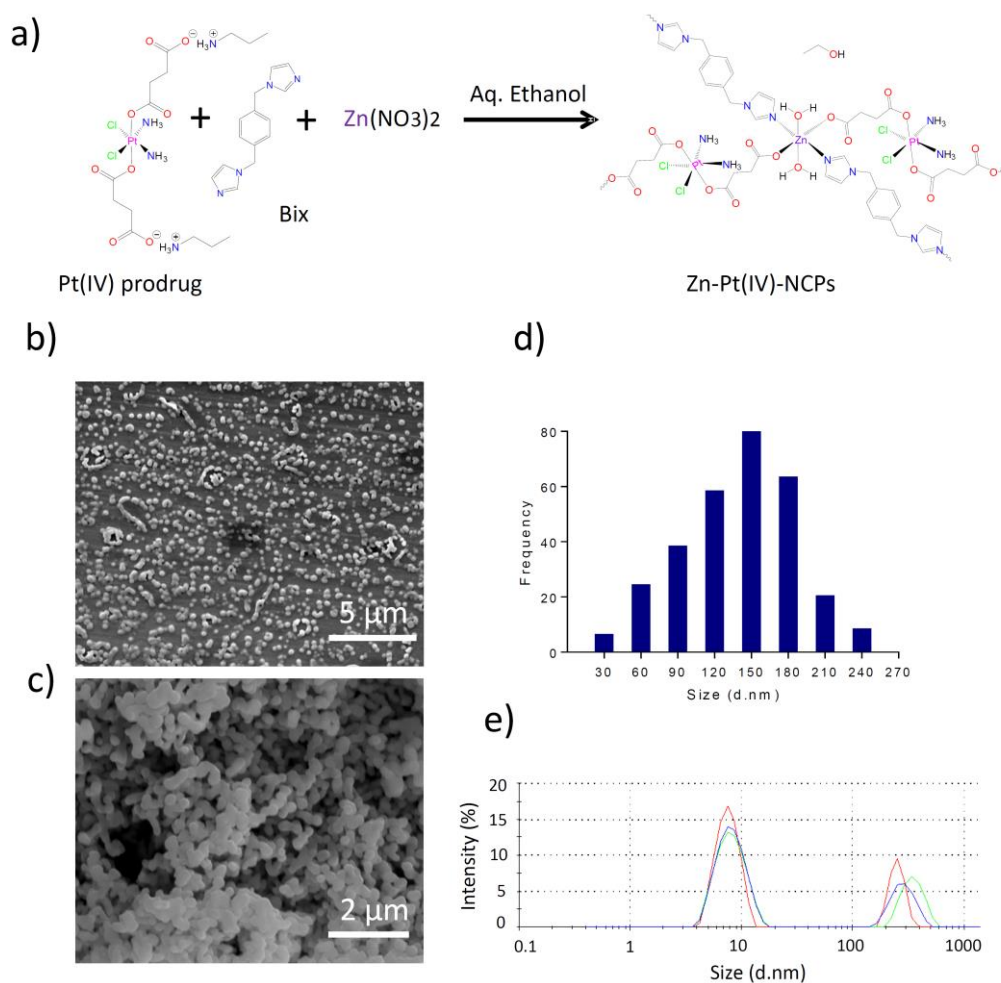
#### 265 **3.1 Synthesis and characterization of Zn-Pt(IV)-NCPs**

266 The schematics for the one-pot synthesis of the Zn-Pt(IV)-NCPs is shown in Fig. 1a. The  
267 nanoparticles were fabricated by the fast polymerization of  $Zn(NO_3)_2$  with a mixture of two  
268 linker ligands, the bis-propyl ammonium salt of Pt(IV) prodrug (DSCP) and bix, resulting in the  
269 formation of a white precipitate. The Pt(IV) prodrug is used as its propyl ammonium salt form  
270 to favor the coordination of the carboxylic group to the metal ions (Zn or Ni). After 30 minutes,  
271 the resulting solid material was then collected by centrifugation, washed several times with  
272 ethanol, and dried by lyophilization. As shown by scanning electron microscopy (SEM) (Figure  
273 1b-d) Zn-Pt(IV)-NCPs showed spherical morphology and narrow size distribution, whose  
274 diameters ranged between 100-200 nm, yielding an average diameter of  $148 \pm 48$  nm. The study  
275 of the colloidal stability of the nanoparticles in simulated physiological conditions was further  
276 studied by dynamic light scattering (DLS). For this study very stable solution were achieved  
277 dispersing 1 mg/ml of Zn-Pt(IV)-NCPs in a phosphate buffered saline (PBS) at pH 7.4 and  
278 containing 31 mg/ml of bovine serum albumin (BSA) (Figure 1e). In these conditions, DLS  
279 measurements reveal a hydrodynamic diameter of  $215 \pm 20$  nm with an associated  
280 polydispersity index (PDI) of 0.238.

281 X-ray powder diffraction data for different batches showed that the particles are amorphous  
282 (Fig. S1). The chemical composition of these particles was first determined by energy-  
283 dispersive X-ray spectroscopy (EDX), which confirmed that they contain platinum, chloride,  
284 carbon, oxygen, nitrogen and zinc. XPS analysis reveals also the elemental composition and  
285 corroborate the presence of Pt(IV) and Zn(II) metal ions (Fig. S2 and S3). The FT-IR of the Zn-

286 Pt(IV)-NCPs support the presence of bix and the Pt(IV) prodrug coordinated to Zn ions (Fig.  
 287 S4) as evidenced by the shift of the absorption bands of the carboxylate belonging to the  
 288 prodrug ligand from  $1625\text{ cm}^{-1}$  to  $1586\text{ cm}^{-1}$  observed for the Zn-Pt(IV)-NCPs. Additionally, the  
 289 presence of stretching vibrations attributed to bix at  $1520\text{ cm}^{-1}$  and  $1076\text{ cm}^{-1}$  that appear at  $1536$   
 290  $\text{cm}^{-1}$  and  $1092\text{ cm}^{-1}$  respectively, indicated the inclusion of the bix ligand into the coordination  
 291 polymer network.

292



293

294 **Fig. 1.** Synthesis and characterization of Zn-Pt(IV)-NCPs. a) Schematic of Zn-Pt(IV)-NCPs  
 295 synthesis. b-c) Scanning electron microscopy (SEM) images of Zn-Pt(IV)-NCPs. d) Particle size  
 296 distribution extracted from SEM images. e) Size distribution of the nanoparticles measured in  
 297 triplicate by DLS in PBS (pH 7.4) and in the presence of BSA. Note that the peak showed

298 around 10 nm corresponds to BSA and the one that appears at 200-300 nm is attributed to the  
299 nanoparticles.

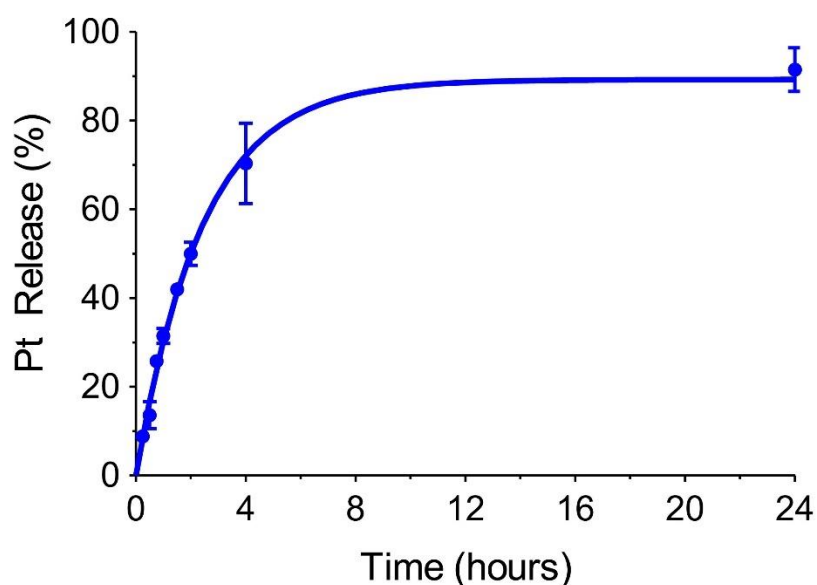
300

301 The elemental analysis of the resulting nanoparticles (Table S1) and ICP-MS results for the  
302 measurement of metal contain (See Supporting Information, A3.1) are consistent with the  
303 proposed coordination polymer formation with formula  $[\{Zn(Bix)(DSCP)(H_2O)_2\} \cdot EtOH]_x$   
304 corroborating the presence of one bix ligand, one Pt(IV) prodrug molecule and one Zn metal ion  
305 per monomer unit (Fig. S2). Additionally, the chemical formula is completed with one molecule  
306 of EtOH and two water molecules, the later presumably in the coordination sphere of Zn ions.  
307 In agreement, the nanoscale coordination polymer contains  $56.6 \pm 0.4$  wt.% of the Pt(IV)-  
308 prodrug,  $7.7 \pm 0.5$  wt.% of Zn and  $26.8 \pm 0.6$  wt.% of bix. The TGA analysis (Fig. S5)  
309 corroborate the presence of the solvent molecules since the thermal decomposition showed a  
310 weight loss of 4.72% in the temperature range of 25-150°C which fits with the loss of 1  
311 molecule of EtOH (calculated weight loss = 5.02%), and another weight loss of 58.51% within  
312 the temperature range of 150-900°C which is attributed to the loss of two water molecules and  
313 decomposition of the ligand bix and the co-ligand DSCP (calculated weight loss for 2 molecules  
314 of water + 1 molecule of bix + 2 molecule of DSCP = 66.65%). The discrepancy of 8.14%  
315 between the calculated and experimental values may be due to the undecomposed fraction of  
316 thermally stable DSCP. The remaining weight, close to 35%, would correspond to the non-  
317 volatile metal oxides generated after burning completely the sample. Fluorescence microscopy  
318 images of the resulting nanoparticles showed an intense blue luminescence (peak centered at  
319 approximately 405 nm) when the nanoparticles were excited at 355 nm (Fig. S6). This  
320 fluorescence emission is typical for Zn-Bix coordination polymers what demonstrates the  
321 coordination of bix with Zn(II) [24] . These optical properties make Zn(bix)-based polymers  
322 potential blue fluorescent materials and suggests its possible application for biomedical  
323 imaging.

324

### 325 3.2 *In vitro* drug release

326 When Zn-Pt(IV)-NCPs (1 mg/ml) is dispersed in BSA-containing PBS buffer (31 mg/ml), the  
327 nanoparticles present notable stability up to 24 h incubation, indicating that the presence of BSA  
328 improves the colloidal stability of the nanoparticles. Under these conditions, the drug release  
329 profile of Pt from Zn-Pt(IV)-NCPs was studied for 24 h at 37°C by using dialysis bag method.  
330 As shown in Fig. 2, we observed a fast release of the drug during the initial hours, reaching  
331 around 50% Pt release at 2 hours and 80% of the total Pt release after 7 hours. Then, a  
332 sustainable slow release (~ 10%) takes place during the subsequent 14 hours.



333

334 **Fig. 2.** *In vitro* release kinetics of Pt from Zn-Pt(IV)-NCPs nanoparticles. Experiment was  
335 performed in PBS containing 31 mg/ml BSA at pH 7.4 at 37 ° in triplicate.

336

337 The initial fast release is suggested to be related to the fast degradation of the first layers (soft  
338 polymer), meanwhile the more dense core offers an slow release for the remaining material. The  
339 overall release fit well with similar systems previously described [16, 17], and give us an  
340 information about the chemical stability of the NCPs in solution in physiological conditions. In  
341 order to improve their solubility in high-ionic-strength solutions, the nanoparticles were coated  
342 with BSA as stabilizer. Serum albumins are attractive natural proteins because they are present

343 in large amounts in biological fluids (such the human serum), or in fetal bovine serum (FBS),  
344 and is commonly used supplement for cell culture media. The use of BSA for stabilizing  
345 colloidal dispersions of nanoparticles is a common procedure to increase the stability of  
346 different nanoconstructs in physiological media. Alternativeley, other coating materials such as  
347 silica, lipids or PEG polymers have been used for stabilize Pt(IV)-based NCPs and increase  
348 their colloidal suspensions [16, 25, 26].

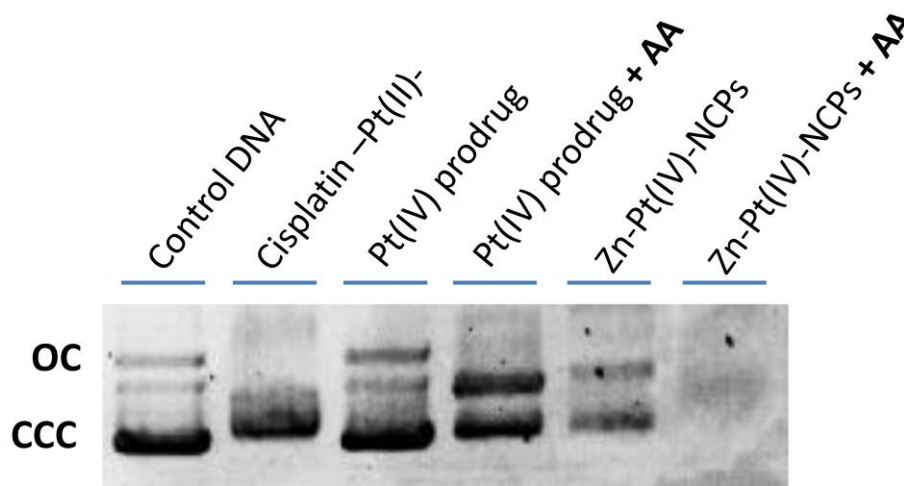
### 349 **3.3 DNA-binding studies**

350 To investigate the effects and interaction of the Pt(IV) prodrug and Zn-Pt(IV)-NCPs  
351 nanoparticles on a double- stranded DNA, pBlueScript plasmid was used as the DNA substrate,  
352 and the resulting DNA-Pt complexes obtaining after treatment were analyzed by an agarose-gel  
353 electrophoresis (Fig. S7). To perform the experiments, different concentrations of the Pt(IV)  
354 prodrug or Pt(IV) prodrug-based nanoparticles were incubated for 24 h at 37 °C in the absence  
355 or presence of ascorbic acid. Ascorbic acid (AA) was added to improve the activation of the  
356 prodrug through the reduction of Pt(IV) into active Pt(II) as reported previously [27]. In  
357 addition, different concentrations of cisplatin were assayed for comparison purposes. The effect  
358 of binding of these compounds was determined by their ability to alter the electrophoretic  
359 mobility of a plasmid DNA, which presents two majoritarian forms under native conditions: a  
360 supercoiled closed circular form (CCC) and an open circular form (OC) [27, 28].

361 When the Pt(IV) prodrug or Zn-Pt(IV)-NCPs were incubated in the absence of AA, no  
362 important effects on DNA migration were observed up to concentrations of 100  $\mu\text{M}$  (Fig. S7).  
363 By contrast, the gold standard drug cisplatin caused important effects on the mobility of both  
364 the CCC and OC forms of the plasmid DNA at concentrations higher than 10  $\mu\text{M}$  (i.e. 10 and 50  
365  $\mu\text{M}$ ) (Fig. S7). In a similar manner, the highest concentration assayed of the Pt(IV) prodrug (i.e.  
366 500  $\mu\text{M}$ ) did not caused changes in the mobility of the OC and CCC forms in the absence of  
367 AA (Fig. 3). Interestingly, we observed a clear shift of the two OC and CCC bands in the case  
368 of the DNA treated with the nanoparticles at the same concentration (see Fig. 3), suggesting a  
369 partial activation of the Pt(IV) prodrug from the nanostructured coordination polymer in the



370 absence of reductant. These bands shift was associated with partial disappearance of the  
371 brightness of both OC and CC DNA forms.



372

373 **Fig. 3.** Agarose gel electrophoresis of a pBluescript II plasmid DNA treated with the Pt(IV)  
374 prodrug or Zn-Pt(IV)-NCPs. In both cases the concentration assayed was 500  $\mu$ M as referred to  
375 the Pt concentration and the samples were incubated for 24 h at 37  $^{\circ}$ C in 50 mM Tris-HCl (pH  
376 7.3) buffer containing 40 mM NaCl in the absence or presence of 0.1 mg/ml ascorbic acid  
377 (+AA). Pure pBluescript II plasmid DNA (Control DNA) and pBluescript II plasmid DNA  
378 incubated with 50  $\mu$ M cisplatin (Cisplatin-Pt(II)-) were prepared as control conditions.

379

380 In the presence of ascorbic acid (AA), the Zn-Pt(IV)-NCPs nanoparticles clearly altered the  
381 mobility of the plasmid DNA in comparison with the same treatment in the absence of reducing  
382 agent (see Fig. 3). Furthermore, we observed an almost complete disappearance of the signal of  
383 both OC and CC DNA forms. These results indicate that AA accelerates the conversion of the  
384 Pt(IV) prodrug present in the nanostructured coordination polymer to more active Pt (II)  
385 species, and points to a redox-dependent mechanism of activation. It is well known that the  
386 presence of a reducing environment inside the cells facilitates the intracellular reduction of  
387 Pt(IV) prodrugs to active Pt(II) species. This step is thought to be essential for the anticancer

388 activity of these agents, and involves the loss of the two axial ligands present in the prodrug  
389 [14]. Moreover, the use of nanoparticles containing Pt(IV) polymer conjugates is an interesting  
390 strategy to preserve the inactive Pt(IV) complex until a reducing environment generates the  
391 activate Pt(II) species. Yang et al. demonstrated by electrochemical studies that platinum(IV)-  
392 coordinated polymers can more easily lose the axial ligands and be reduced to the corresponding  
393 Pt(II) active drug in an acidic environment [29]. In the case of the free Pt(IV) prodrug,  
394 incubation with AA clearly altered the mobility of the plasmid DNA compared to the non-  
395 treated control or with the DNA treated with the Pt(IV) prodrug alone. As shown in **Fig. 3**,  
396 when AA is present in the medium the CCC form runs slightly slower compared to  
397 aforementioned conditions, demonstrating a slight progressive unwinding of the supercoiled  
398 DNA. This shift in the migration of the CCC form was accompanied by an increase in the  
399 mobility of the OC form, which is compatible with the formation of cisplatin 1, 2 intrastrand  
400 crosslinks [30]. While the Pt(II) produced upon the activation of the Pt(IV) prodrug are  
401 interacting with the DNA, the gel analyses show that they do not form the same complexes  
402 induced by the well-known cisplatin (Fig. 3). This phenomenon has been previously  
403 demonstrated by other authors for different Pt(IV) prodrugs [27]. In agreement, it has been  
404 reported that Pt(IV) complexes can platinate DNA in their oxidized form through the formation  
405 of cytotoxic lesions induced by ligand substitution [14].

406 Taken together, these results from DNA-binding experiments indicate that the Pt(IV) prodrug  
407 and the Zn-Pt(IV)-NCPs are interacting with DNA in a concentration-dependent manner.  
408 Remarkably, we also show that the Zn-Pt(IV)-NCPs do not require the presence of AA to  
409 interact with the DNA, a difference with the non-nanostructured Pt(IV) prodrug. Moreover,  
410 partial banishing of the bands associated with the plasmid DNA indicates an interaction of the  
411 nanoparticles with the DNA. Such binding might be mediated via electrostatic interactions with  
412 the negatively charged phosphate backbone and the electrical charges of the nanostructured  
413 coordination polymer. This differential interaction may lead to the aggregation of the DNA  
414 limiting the entrance and migration of this Zn-Pt(IV)-NCPs-DNA complexes in the gel.

415 Considering these results, we suggest a different mode of activation and interaction between the  
 416 free Pt(IV) prodrug and the nanoparticles with the plasmid DNA.

417

### 418 **3.4 *In vitro* Cytotoxicity against cancer cells lines**

419 Human cervical cancer, neuroblastoma, and human adenocarcinoma cells lines (HeLa, BE(2)-  
 420 M17 and MCF-7, respectively) were used to test the cytotoxicity of the Pt(IV) prodrug and Zn-  
 421 Pt(IV)-NCPs nanoparticles after 24 h and 72 h treatment. For comparison purposes, cisplatin as  
 422 control was evaluated under the same experimental conditions. The resultant IC<sub>50</sub> values are  
 423 summarized in Table 1.

424

**Table 1.** Cytotoxicity of cisplatin, Pt(IV) prodrug and Zn-Pt(IV)-NCPs against three different cancer cells lines.

Cell line	Cisplatin (PtII) IC <sub>50</sub> (nM) <sup>1</sup>	Pt(IV) prodrug IC <sub>50</sub> (μM) <sup>1</sup>		Zn-Pt(IV)-NCPs IC <sub>50</sub> (μM) <sup>1</sup>	
	24 h	24 h	72 h	24 h	72 h
HeLa	3.5 ± 0.9	>540	431 ± 82	218 ± 21	130 ± 27
MCF-7	2.8 ± 0.4	>540	296 ± 31	249 ± 64	59 ± 8
BE(2)-M17	5.5 ± 0.7	>540	494 ± 66	218 ± 21	133 ± 8

<sup>1</sup> Cell viability in the presence of the indicated compound and referred to Pt concentration.

425

426 After 24 h treatment, the Pt(IV) prodrug did not exhibited cytotoxicity against HeLa, MCF-7 or  
 427 BE(2)-M17 cells, with IC<sub>50</sub> values higher than 540 μM. By contrast, the Zn-Pt(IV)-NCPs  
 428 displayed a slight enhanced antitumoral efficacy against the three cell lines, with IC<sub>50</sub> values  
 429 below 300 μM. This effect was much evident at longer incubation times. Thus, after 48 h  
 430 incubation, the IC<sub>50</sub> values for the Zn-Pt(IV)-NCPs nanoparticles against HeLa, MCF-7 or  
 431 BE(2)-M17 cells were 1.7-fold, 4.2-fold and 1.6-fold lower than the Pt(IV) prodrug,  
 432 respectively. This indicates that nanostructuring of a drug increases its cytotoxic effect, and  
 433 suggests that maintaining the therapeutic agent within a nanoparticle the anticancer drug can be

434 protected from degradation before cell internalization or, alternatively, provide a more favorable  
435 uptake pathway for the cells via endocytosis followed by lysosomal degradation, which can  
436 potentially accelerate the conversion of Pt(IV) to its active form Pt(II). However, although the  
437  $IC_{50}$  values for Zn-Pt(IV)-NCPs decreases with incubation time, slight differences between  
438 different cell lines were observed. As observed, the therapeutic action is also dependent on the  
439 cell type. Meanwhile for HeLa and BE(2)-M17 neuroblastoma cell lines the  $IC_{50}$  values  
440 obtained with cisplatin, Pt(IV) prodrug and Zn-Pt(IV)-NCPs are similar, in the case of MCF7  
441 cells the toxicity is notably low in all cases.

442 To assess whether the differences in the cytotoxicity observed between the Zn-Pt(IV)-NCPs and  
443 the Pt(IV) prodrug can be attributed to the Zn metal ion present in the nanoparticles, a second  
444 generation of Pt-based NCPs incorporating Ni as metal node were synthesized and  
445 characterized. Characterization of these nanoparticles (Ni-Pt(IV)-NCPs,) revealed its analogous  
446 morphology and chemical composition in comparison with Zn-Pt(IV)-NCPs (See Supporting  
447 Information, Fig. S8-S10 and Table S2). The characterization by XRD revealed the amorphous  
448 nature of the nanoparticles (Fig. S11) and SEM analysis showed spherical shaped morphology  
449 with mean size of ~200 nm (Fig. S12). DLS measurements confirmed the stability of the  
450 nanoparticles under physiological conditions (PBS, 7.4 pH with 31 mg/ml BSA) having a  
451 hydrodynamic diameter close to 250 nm.

452 As observed in Table 2 the substitution of Zn by Ni in the nanoparticles does not affect the  
453 cytotoxicity, what discard the cytotoxic effect attributed to the metal node. As a control probe,  
454  $Zn(NO_3)_2 \cdot 6H_2O$  and  $Ni(NO_3)_2 \cdot 6H_2O$  salt were tested and the results indicated low levels of  
455 cytotoxicity (Supporting Information, Table S3).

456

457

**Table2.** Comparative cytotoxicity of Ni-Pt(IV)-NCPs and Zn-Pt(IV)-NCPs.

---

Cell line	Ni-Pt(IV)-NCPs IC <sub>50</sub> (μM) <sup>1</sup>		Zn-Pt(IV)-NCPs IC <sub>50</sub> (μM) <sup>1</sup>	
	24 h	72 h	24 h	72 h
HeLa	302 ± 28	117 ± 24	218 ± 21	130 ± 27
MCF-7	339 ± 44	52 ± 6	249 ± 64	59 ± 8
BE(2)-M17	462 ± 46	81 ± 13	218 ± 21	133 ± 8

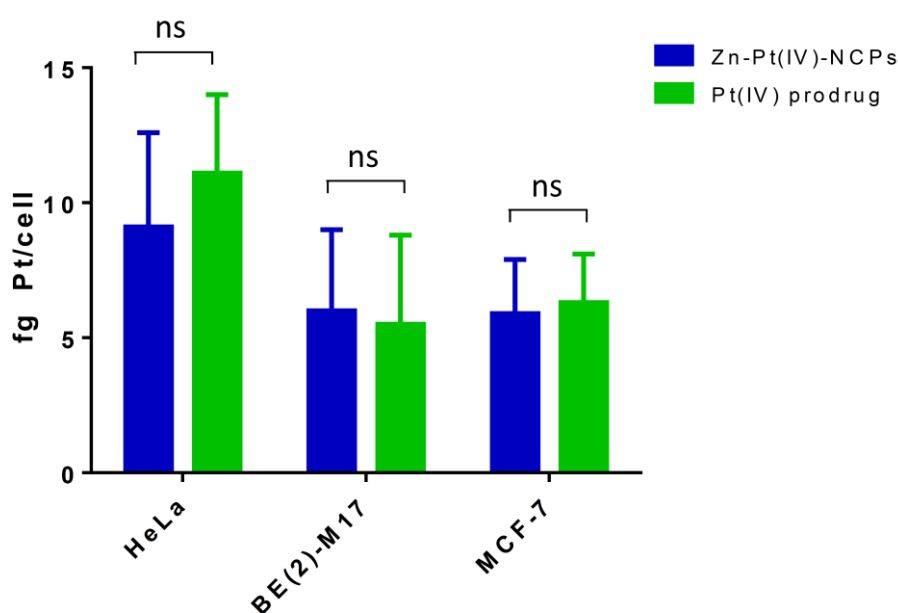
<sup>1</sup> Cell viability in the presence of the indicated compound and referred to Pt concentration.

458

459

### 460 3.5 Intracellular uptake and quantitation of DNA-bound Pt

461 Cellular uptake of the free Pt(IV) prodrug or nanostructured as NCPs was studied in HeLa,  
 462 BE(2)-M17 and MCF-7 cells, using ICP-MS as analytical technique to determine the amount of  
 463 platinum present in the intracellular medium. The cells were previously incubated in the  
 464 presence of 75 μM, of the Pt(IV) prodrug or Zn-Pt(IV)-NCPs, in terms of Pt concentration, for 5  
 465 h. Cisplatin was used as control using the same concentration based on amount of platinum and  
 466 under the same experimental conditions. As shown in Fig. 4, there was no statistically  
 467 significant difference concerning the amount of Pt detected inside the cells between the  
 468 prodrug-treated and nanoparticle-treated groups (P>0.05) for all of the cell lines studied.



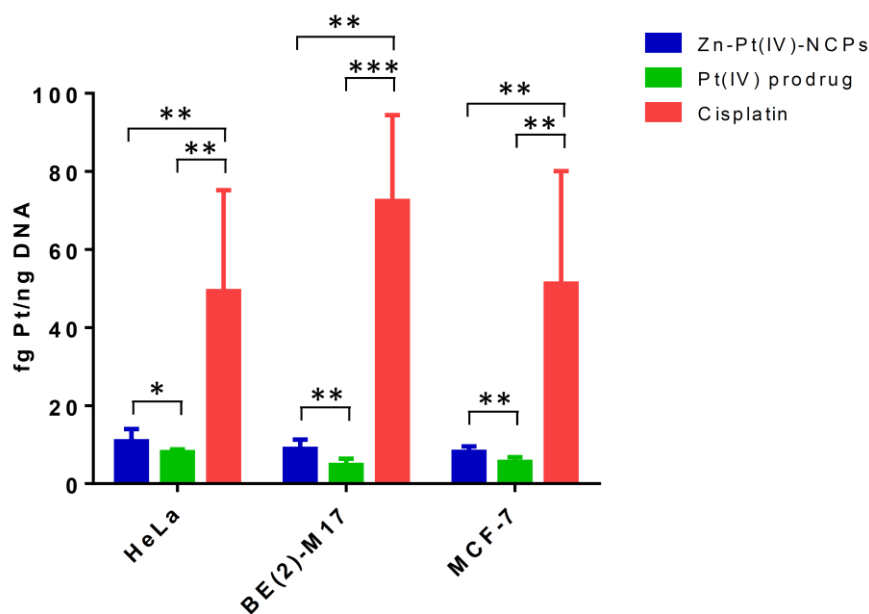
469

470 **Fig. 4.** Cellular uptake of Pt determined by ICP-MS. HeLa, BE(2)-M17 and MCF-7 cells were  
471 treated in the presence of Pt(IV) prodrug or Pt(IV)-NCPs at 75  $\mu$ M for 6 h. After incubation, the  
472 total amount of internalized Pt was determined by ICP-MS. Data is shown as mean  $\pm$  sd of three  
473 independent experiments performed in triplicate. An Unpaired t-test was performed to compare  
474 the Pt uptake between the three different agents: ns, not significant ( $p > 0.05$ ).

475

476 These unexpected results do not correlate with the cytotoxicity effect if we attribute the  
477 cytotoxic effect to the amount of platinum. In fact it was expected a higher concentration of Pt  
478 inside the cells when the NCPs is used as previously observed with other kind of NCPs [21, 25].  
479 In light of this, we performed additional uptake experiments to acquire a more precise  
480 quantification of the platinum that had not only reached the inner part of the cell, but also  
481 reached the nucleus and interacted with the nuclear double-stranded DNA (final target) in order  
482 to understand the higher cytotoxic effect associated to the nanostructured Pt(IV) prodrug in  
483 comparison to the free Pt(IV) prodrug.

484 In this second assay, the experimental conditions remains the same that the previous uptake  
485 study, but in this case the nuclear DNA of cells treated with cisplatin (control cells), Pt(IV)  
486 prodrug or Zn-Pt(IV)-NCPs was further purified and isolated. Then, the amount of Pt bound to  
487 the DNA was quantified by ICP-MS (see experimental section for more details). As shown in  
488 Fig. 5, results showed significantly higher concentrations of Pt bound to the DNA of cells  
489 treated with NCPs in comparison to those treated with the free Pt(IV) prodrug. As expected, the  
490 Pt concentrations in both cases were much lower compared to the treatment with the  
491 intrinsically active cisplatin.



492

493 **Fig. 5.** Concentration of DNA-bound Pt determined by ICP-MS. HeLa, BE(2)-M17 and MCF-7  
 494 cells were treated in the presence of Pt(IV) prodrug or Zn-Pt(IV)-NCPs at 75  $\mu$ M for 5 h. As  
 495 control condition, cells were also treated with an equivalent Pt concentration of cisplatin. After  
 496 treatment, the nuclear DNA was extracted from the cells, hydrolyzed with concentrated nitric  
 497 acid and subjected to ICP-MS. Data is shown as mean  $\pm$  Sd of three independent experiments  
 498 performed in triplicate. An Unpaired t-test was performed to compare the DNA-bound Pt  
 499 between the three different agents: \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.0001$ .

500

501 Therefore, these results bring light concerning the cytotoxic effect of the different formulations.  
 502 These experimental data are in agreement with the observed cytotoxicity. Even that the amount  
 503 of platinum internalized in cells was not achieving significant differences between the  
 504 nanostructured and non-nanostructured Pt(IV) prodrug, platinum is actually more likely to reach  
 505 the nuclei of cells when the prodrug is nanostructured, showing an enhancement in the cytotoxic  
 506 effect upon nanostructuration. Shen and coworkers [29] investigated the kinetics and  
 507 mechanism of reduction of Pt(IV) prodrug monomers and its derived Pt(IV)-coordinate  
 508 polymers under different environments. These researchers showed that Pt(IV) in the polymers

509 was much easier reduced to Pt(II) in acidic conditions (such those found inside the cells in  
510 lysosomes/endosomes). Our results are, in line with this recent data, which suggest a different  
511 activation mechanism of the Pt(IV) prodrug upon the formation of the nanostructured  
512 coordination polymer. This hypothesis is consistent with DNA-binding experiments, in which  
513 we demonstrated that Zn-Pt(IV)-NCPs is indeed able to alter the mobility of plasmid DNA in  
514 the absence of reducing agent, while under the same conditions, the Pt(IV) prodrug monomer  
515 did not changed the migration of the plasmid DNA (Fig. 3). A number of previous studies  
516 demonstrated an enhanced effect of Pt(IV) prodrugs after its nanostructuration, however none of  
517 these works investigated the intracellular levels of Pt inside the cells, or neither the conversion  
518 of the prodrug.

519

520 Nanoparticles can often be engineered to have enhanced efficacy in the delivery of  
521 chemotherapeutic agents compared the parent drugs. One broad strategy in the nanodelivery of  
522 these drugs involves the study of cellular uptake, which usually correlates with the cytotoxic  
523 effect. In this report we show that the intracellular levels of Pt after treatment with a  
524 nanostructured Pt(IV) prodrug do not correlate with cytotoxicity, and highlight the importance  
525 of the study of other factors such the chemical interactions, activation mechanism and/or  
526 degradation of the nanostructured materials developed for specific platinum delivery.

527

528

## 529 **4, Conclusions**

530 In this study, we have successfully designed and synthesized nanostructured coordination  
531 polymer particles (NCPs) based on the polymerization of a Pt(IV) prodrug (DSCP), using Zn  
532 ions as metal nodes and bix as a coligand to induce the polymerization. The resulting  
533 nanoparticles (Zn-Pt(IV)-NCPs) are stable in physiologic conditions, and show an slow and  
534 sustainable release of the Pt(IV) prodrug during several hours. The cytotoxicity studies revealed



535 the enhanced antitumor activity of the nanoparticles respect to the free prodrug against the  
536 different cancer cell lines studied; HeLa, BE(2)-M17 and MCF-7. Although the enhanced  
537 efficacy demonstrated by the nanoparticles respect to the free prodrug, no increase in the total  
538 cellular uptake of Pt was observed. By contrast, the amount of Pt associated to the nuclear DNA  
539 of the cells treated with the nanoparticles was found to be higher than those cells treated with  
540 the free prodrug. The change of Zn by Ni in the NCPs formulation corroborated the non-existent  
541 toxicity related to the metal node. The obtaining results indicate that the nanostructuration have  
542 not a direct effect in the cellular uptake, but the polymerization of the Pt(IV) prodrug implies in  
543 some way a more facile conversion of the prodrug to the active cisplatinum molecule and the  
544 enhance of the cytotoxicity. The presented results have shown how the nanostructuration of a  
545 therapeutic agent or a prodrug can modify the bioavailability of the active specie and increase  
546 the therapeutic action based in different mechanism in comparison with non-structured  
547 molecules. In virtue of what was observed, many more efforts should be addressed to determine  
548 the different mechanisms of action of nanostructured materials and try to rationalize how the  
549 nanostructuration can modify the nature of the active specie.

550

## 551 **Conflict of interest**

552 The authors declare that they have no conflicts of interest with the contents of this article.

553

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