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Accepted Manuscript

How to cite:

Taboada-Santos, A., Lema, J., & Carballa, M. (2019). Energetic and economic assessment of sludge thermal hydrolysis in novel wastewater treatment plant configurations *Waste Management*, 92 30-38. doi: <https://doi.org/10.1016/j.wasman.2019.05.003>

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12 **Abstract**

13 Novel wastewater treatment plants (WWTPs) are aimed to be more energetically
14 efficient than conventional ones. Their first step is a chemical oxygen demand (COD)
15 preconcentration stage with different alternatives, such as rotating belt filters (RBF),
16 chemically enhanced primary treatment (CEPT), high-rate activated sludge (HRAS), or
17 combinations thereof, in which energy requirements are substantially reduced. The
18 COD recovered as sludge allows a noticeable increase of biogas production in anaerobic
19 digestion (AD). In conventional WWTPs, sludge anaerobic biodegradability can be
20 significantly enhanced by applying sludge pretreatment methods, such as thermal
21 hydrolysis (TH), before AD. However, considering that novel-sludges are more
22 anaerobically biodegradable than conventional ones, the impact of TH on their methane
23 production is expected to result significantly lower. In this study, an energetic and
24 economic assessment of applying TH in novel WWTPs was performed. We found that
25 TH is only justified to reduce operational costs as long as sludge TS concentration in the
26 feeding to the TH unit is higher than 1-2%. The HRAS is the scenario that leads to the
27 lowest treatment costs (below 1 c€/m³ wastewater if sludge is thickened over 10% of
28 TS). However, the WWTP based on CEPT for COD preconcentration leads to the
29 lowest electricity consumption (below 0.01 kWh/m³ of wastewater), but even in the
30 most favourable conditions the energy autarky was not achievable. Results show that
31 the main impact of TH is mainly due to sludge disposal savings (270,000-430,000
32 €/year for a 500,000 inhabitants WWTP) rather than the increase of energy production
33 (achieves maximum savings of 35,000-60,000 €/year). Payback time is very dependent
34 on the WWTP size, ranging from 15 to 30 years for a 100,000 inhabitants WWTP and
35 from 2 to 4 years for a 1,000,000 inhabitants WWTP.

36 **Keywords:** anaerobic digestion, economy of scale, energy autarky, high-rate activated
37 sludge, payback time, sludge disposal.

38 **1. Introduction**

39 Traditional wastewater treatment plants (WWTPs) have traditionally applied the
40 conventional nitrification-denitrification process, which consumes high amounts of
41 electrical energy and chemical oxygen demand (COD) for aeration and conversion of
42 nitrate to nitrogen, respectively (Siegrist et al., 2008). They are electrical consumers
43 with an usual demand in the range of 0.3 to 0.6 kWh/m³ wastewater treated (Gikas,
44 2017; Wan et al., 2016). Novel WWTPs are expected to be less energetically demanding
45 since the aeration requirements are lower than in conventional ones and a higher
46 methane production can be achieved (Gu et al., 2017; Wan et al., 2016). Actually, some
47 researchers consider that WWTPs can reach the energy autarky or even become net
48 producers (Garrido et al., 2013; Siegrist et al., 2008). In novel WWTPs, COD is
49 recovered in a first stage followed by a partial nitrification-Anammox (PN-Anammox)
50 unit. The COD recovered as sludge is subsequently used to produce biogas in anaerobic
51 digestion (AD). Several preconcentration alternatives can be applied, such as rotating
52 belt filters (RBF), chemically enhanced primary treatment (CEPT), high-rate activated
53 sludge (HRAS) or combinations thereof (Lotti et al., 2014).

54 In recent years, the application of different pretreatment techniques for sewage sludge
55 before AD, such as ultrasounds, high pressure homogenizer, pulse electric fields or
56 thermal hydrolysis (TH), have gained importance in order to increase biogas yield and
57 reduce the final volume of sludge (Carrère et al., 2010; Zhen et al., 2017). Among them,
58 TH is the most attractive since it leads to a more efficient energy integration in the
59 WWTP (Cano et al., 2015). Besides, this technology increases dewaterability, reduces
60 odour emissions and viscosity and removes pathogens, obtaining a sterilized sludge that
61 meets EPA Class A biosolids standards (Barber, 2016; Higgins et al., 2017; Wang et al.,
62 2018).

63 European Directive 86/278/CEE promotes the use of sewage sludge in agriculture and
64 up to 4-4.5 million ton of TS of sewage sludge were used in Europe in years 2010-2012
65 as a fertilizer (<http://epp.eurostat.ec.europa.eu>). Although this Directive does not
66 consider the presence of pathogens, there is an agreement between policy makers,
67 scientists and population that thresholds should be implemented. For this reason, the
68 European Commission developed the 3rd draft working document on sludge (EC,
69 2000), that was not finally implemented mainly to the associated costs to the proposed
70 more restrictive thresholds. The European Commission (EC, 2008) evaluated these
71 limits in order to implement a more restrictive legislation, but the lack of consensus
72 among the Member States of the European Commission made that the Directive
73 86/278/CEE could not be modified, confirming that it is not easy to establish more strict
74 limits at European level. However, 11 out of 27 EU countries have adopted more
75 restrictive legislation (Kacprzak et al., 2017; Kelessidis and Stasinakis, 2012),
76 establishing thresholds for pathogens not achievable during mesophilic AD (Astals et
77 al., 2012). Therefore, mesophilic digested sludge usually needs further treatment before
78 its agricultural use, this representing up to 50% of the WWTP costs (Vázquez-Padín et
79 al., 2011), which can be avoided when TH is included before AD.

80 The methane production increase is a second advantage. There is a consensus in the
81 literature that, whereas biomethane potential (BMP) of primary sludge is barely affected
82 by TH (<20%), BMP of waste activated sludge (WAS) can be increased up to 76%
83 (Bougrier et al., 2006a, 2006b; Carrère et al., 2010; Fdz-Polanco et al., 2008; Perez-
84 Elvira et al., 2008). However, considering the noticeable higher BMP for sludges from
85 RBF, CEPT and HRAS in comparison with traditional ones (Ge et al., 2017; Ju et al.,
86 2016; Paulsrud et al., 2014), the impact of TH on increasing methane yield is expected
87 to be lower.

88 The goal of this work is to study how the energetic and economic balance in a novel
89 WWTP can be affected by the installation of a thermal hydrolysis unit.

90 **2. Materials and methods**

91 In this section the materials and methods used to generate the data needed to perform
92 the energetic and economic assessment are presented.

93 **2.1. Wastewater treatment and sludge production**

94 RBF sludge was taken from a RBF placed in Blaricum WWTP (The Netherlands), with
95 a typical mesh size of 350 μm (Behera et al., 2018) treating around 1,600 m^3/h of
96 wastewater. CEPT sludge was generated in a pilot plant located in a WWTP in the
97 north-west of Spain. The pilot plant, described by Suarez et al. (2009), was fed with 100
98 L/h of wastewater and operated at a hydraulic retention time (HRT) of 30 minutes with
99 the addition of 125-150 mg/L of ferric chloride. Two types of HRAS sludge were
100 considered: HRAS I, from a WWTP in the centre of Spain, which treats an average flow
101 of 2,200 m^3/h of wastewater. The plant consists on a heterotrophic HRAS reactor
102 working with a HRT of 6-7 hours and a solid retention time (SRT) of 2.5-3 days
103 followed by a secondary settling tank with an HRT of 30 minutes. HRAS II was
104 generated in a pilot plant of 50 L located in the same WWTP, working at the same
105 conditions as the full-scale HRAS reactor, but with previous primary settling.
106 COD, TSS, VSS, TS, VS and pH were characterised according to Standard Methods
107 (APHA, 2005). VFAs were measured by gas chromatography with flame ionization
108 detection (FIC, HP 5890A).

109 **2.2. Thermal hydrolysis pilot plant**

110 The experiments were carried out in an automatic pilot-scale thermal system described
111 by Sapkaite et al. (2017). The pilot plant consists on a feeding tank, a progressive cavity
112 pump ($P_{\text{max}} = 12 \text{ bar}$), a steam boiler, a 20 L total volume hydrolysis reactor ($V_{\text{working}} =$

113 10 L) connected to a flash tank ($V = 100$ L) with outlet pipes for steam and hydrolysed
114 sludge. It is equipped with automatic valves to control the steam entrance from the
115 boiler and the sludge exit from the reactor to the flash tank. A data acquisition and
116 control system is used to measure pressure and temperature and to control the operation.
117 The pump introduces 10 L of sludge into the reactor and then the steam valve is opened
118 until pressure and temperature reach the set-point values. TH was carried out at 170 °C
119 during 20 minutes, since some authors reported that non-biodegradable compounds
120 begin to form at higher temperatures (Dwyer et al., 2008). At the end of the reaction
121 time, the decompression valve is automatically opened and the hydrolysed sludge flows
122 to the flash tank.

123 **2.3. Biomethane potential tests**

124 Biomethane potential (BMP) tests of the different sludges (RBF, CEPT, HRAS I and
125 HRAS II sludges) before and after TH were carried out in an AMPTS II equipment
126 (Bioprocess Control), following the protocol described by Holliger et al. (2016). The
127 tests were conducted in 2 L bottles (1.9 L of working volume) in triplicate and with an
128 ISR (inoculum to substrate ratio in terms of VS) of 2. The inoculum was anaerobic
129 flocculant biomass (15-20 g VS/L) from a mesophilic sewage sludge anaerobic digester.
130 The reactors were dosed with macro- and micro-nutrients, and pH was adjusted to 7.2-
131 7.5 with NaOH or HCl when necessary. After flushing the head space with nitrogen,
132 they were incubated at 37°C. Accumulated methane production was monitored over
133 time to determine the COD fraction converted into methane. The assays lasted till
134 methane production during three consecutive days was less than 1% of the total
135 production. Methane production by each sludge was calculated as the difference
136 between the average production in the bottles with substrate minus the average
137 production in the blank (residual production of the inoculums). BMP was calculated as

138 the experimental ultimate methane production, expressed in L(N)/kg VS fed, where N
139 means normal conditions (1 atm, 0°C). Anaerobic biodegradability (AB) was expressed
140 as the percentage of the initial COD of the substrate converted to methane. At the end of
141 the test, bottles were opened and pH and VFAs concentration were measured to confirm
142 that no acidification occurred.

143 **3. Energetic and economic assessment: case study**

144 **3.1. Novel WWTPs configurations and energy demand inventory**

145 The influence of TH on the energetic and economic balance in four different WWTPs
146 configurations, depending on the mainstream COD recovery technology, was evaluated;
147 three of them referring to novel scenarios based on CEPT (Figure 1A), HRAS (Figure
148 1B) and a combination of RBF and HRAS (Figure 1C) and one conventional activated
149 sludge (CAS) process (Figure 1D). A 500,000 inhabitants equivalent WWTP, with a
150 flow rate of 125 L/inhabitant·d and a COD of 500 mg/L (Wan et al., 2016) was
151 considered for all the scenarios. The energy consumption of the different units is
152 gathered in Table 1.

153 **3.2. Thermal hydrolysis unit and sludge anaerobic digestion**

154 A combined heat and power (CHP) full integration plant was considered for all the
155 WWTP schemes. Therefore, heat requirements of the TH unit and digester are satisfied
156 by the exhaust gases and hot water from CHP, respectively, and electrical requirements
157 are satisfied by the CHP electricity co-generation. For other scenarios (no heat
158 integration, heat recovery from flash, etc.), it is known that the increase in energy
159 production is clearly insufficient to cover the operational energy demand of the
160 pretreatment process (Cano et al., 2015; Carrère et al., 2010). Total energy production
161 (E_T , kWh/m³ sludge) in an anaerobic process depends on the volatile solids load (VSL,
162 kg VS/m³ sludge) fed into the digester and on its biomethane production (BMP, m³(N)

163 CH₄/kg VS) (Eq. 1).

$$164 E_T = VSL \cdot BMP \cdot \Delta H_c \quad [1]$$

165 Considering a methane heat combustion (ΔH_c) of 11 kWh/m³ (N) CH₄ (Perry, 1984),
166 and an electrical efficiency (η) of 0.35 in the co-generation motor (Mills et al., 2014),
167 the net electrical energy produced (ΔE_{elec}) expressed as the difference between the
168 energy produced by pretreated sludge minus the energy produced by fresh sludge can be
169 obtained by Equation 2:

$$170 \Delta E_{elec} = VSL \cdot (SMP_{pret} - SMP_{fresh}) \cdot \Delta H_c \cdot \eta \quad [2]$$

171 TH demands around 10 kWh/m³ sludge of electrical energy (Cano et al., 2015), so it
172 results feasible when the increase in electricity generation (Eq. 2) exceeds this
173 requirement. A maximum TS concentration of 20% was considered for the sludges,
174 since higher values are not attainable with conventional centrifuges (Cano et al., 2015;
175 Zhang et al., 2018), except for RBF sludge, which can be easily dewatered till 30% of
176 TS (Paulsrud et al., 2014; Ruiken et al., 2013). Finally, Eq. 3-5 were applied to calculate
177 total digested solids production:

$$178 VS_{prod} = 0.5 \text{ kg COD/m}^3 \cdot COD_{rec} \cdot (VS/COD)_{sludge} \quad [3]$$

$$179 TS_{prod} = 0.5 \text{ kg COD/m}^3 \cdot COD_{rec} \cdot (TS/COD)_{sludge} \quad [4]$$

$$180 TS_{dig} = TS_{prod} - VS_{prod} \cdot AB_{sludge} \quad [5]$$

181 Where:

182 VS_{prod}: VS production in each preconcentration technology (kg VS/m³ wastewater
183 treated).

184 TS_{prod}: TS production in each preconcentration technology (kg TS/m³ wastewater
185 treated).

186 TS_{dig}: Digested total solids production (kg TS/m³ wastewater treated).

187 COD_{rec}: COD fraction of the influent recovered in each preconcentration alternative.

188 VS/COD_{sludge}: VS to COD ratio of the sludge produced in each preconcentration
189 alternative.

190 TS/COD_{sludge}: TS to COD ratio of the sludge produced in each preconcentration
191 alternative.

192 AB_{sludge}: anaerobic biodegradability, i.e. fraction of COD of sludge converted to CH₄ in
193 the BMP tests (the same value was considered for VS degradation).

194 **3.3. Data for economic evaluation**

195 Ferric chloride and electricity costs of 220 €/ton and 0.12 €/kWh, respectively, were
196 considered (De Feo et al., 2008; STOWA, 2010). When TH is not included, a
197 hygienization cost for composting of digested sludge of 80 €/ton TS was assumed
198 (Management Company, 2019) In order to evaluate the possible fluctuations in
199 electricity and sludge management costs, a sensitivity analysis was performed varying
200 their costs in the range 0.10-0.14 €/kWh and 60-100 €/ton TS, respectively.

201 There is an important economy of scale in TH full-scale plants. Hence, to evaluate the
202 importance of the WWTP size on the payback time for a new installation, four different
203 plant size were considered; 100,000, 250,000, 500,000 and 1,000,000 of population
204 equivalent WWTPs, with investment costs of 1,000,000 €, 1,250,000 €, 1,500,000 € and
205 2,000,000 €, respectively. The estimation of the payback time for TH plants was done
206 according to Equation 6.

$$208 \text{ Payback time} = \frac{\text{Sludge prod} \cdot \text{Manag. cost} + \Delta E_{elec} \cdot \text{Elec. cost} - \text{Mainteinaince}}{\text{Investment cost}} \quad (6)$$

209 Where:

210 Sludge prod.: digested sludge production (ton TS/year).

211 Manag. cost: hygienization cost for composting of digested sludge (€/ton TS).

212 ΔE_{elec} : electricity generation (+) or demand (-) of the TH plant (kWh/year).

213 Elec. cost: electricity cost (€/kWh).

214 Maintenance: maintenance cost of the TH plant (assumed as 10.000 €/year).

215 **4. Results and discussion**

216 **4.1. COD recovery in the different alternatives**

217 CEPT with the addition of 125-150 mg/L of ferric chloride was the technology leading
218 to the highest COD recovery (84%). It removes almost completely particulate COD
219 (>95%) and up to 55% of COD_{sol}, values in accordance with other authors (De Feo et
220 al., 2008; Wang et al., 2009).

221 Similar COD_{tot} removal was achieved in HRAS I reactor. However, it must be pointed
222 out that around 15% of the influent COD_{tot} was oxidized to CO₂ (calculated from the
223 COD balance) and subsequently not recovered as sludge, in accordance with other
224 authors (Ge et al., 2017), resulting in a final COD_{tot} recovery of approximately 80%

225 RBF was the technology achieving the lowest removal efficiencies (COD_{tot} (35%) and
226 COD_{sol} (0%)), which is in accordance with other reported values (Ruiken et al., 2013;

227 Rusten et al., 2017). As the COD removal is not enough, their effluents need further
228 treatment before a PN-anammox unit, so a HRAS reactor after RBF has been

229 considered in this study. In this HRAS reactor, a COD_{tot} removal of 86% was obtained,
230 which are equivalent to those commonly assumed in CAS reactors. However, COD_{tot}

231 mineralization was much lower (30%) than those obtained in nitrifying-denitrifying

232 reactors, in which it can be up to 50% (Garrido et al., 2013; Wan et al., 2016), explained

233 by the much lower SRT (3 vs 15-20 days). Hence, the combination of RBF and HRAS

234 reactor led to a COD_{tot} recovery of 70%, slightly lower than in CEPT and HRAS I

235 configurations.

236 **4.2. Novel sludges characterization and influence of thermal hydrolysis on their** 237 **biomethane potential**

238 RBF sludge showed the highest VS/TS ratio (0.89) and the lowest COD/VS ratio (1.20)
239 (Table 2), in agreement with Paulsrud et al. (2014). This fact is explained by the high
240 percentage of cellulose in the sludge (up to 79% of TS) (Ruiken et al., 2013). Both fresh
241 and pretreated RBF sludge displayed similar methane potential (315 and 323 L(N)
242 CH₄/kg VS, respectively) (Figure 2), with the highest AB (76.8% and 78.0%,
243 respectively) among the four sludges, in accordance with other values from the
244 literature (Ghasimi et al., 2016, 2015; Paulsrud et al., 2014).

245 CEPT sludge displayed the highest COD/VS ratio (1.68), explained by a higher fat
246 proportion, close to those reported by other authors for primary sludge (Carballa et al.,
247 2007; Paulsrud et al., 2014). Moreover, it showed the lowest VS/TS ratio (0.69),
248 characteristic from a CEPT process using metal salts, which increase the proportion of
249 inorganic solids (De Feo et al., 2008). Contrary to RBF sludge, TH affected its methane
250 potential (Figure 2), i.e. 300 and 340 L(N) CH₄/kg VS for fresh and pretreated sludge,
251 respectively, corresponding to AB of 51.2% and 56.8%, similar to the BMP obtained for
252 conventional primary sludge from the same WWTP (303 L(N) CH₄/kg VS, data not
253 shown). This indicates that Fe³⁺ reduction, which is thermodynamically more
254 favourable, did not limit the conversion of organics to methane (Romero-Güiza et al.,
255 2016; Zhang et al., 2009). On the contrary, Kooijman et al. (2017) found that the BMP
256 of CEPT sludges were considerably higher than those of CPS and attributed to the fact
257 that additional small particles with a higher biodegradability are removed during CEPT,
258 which was not seen in this study.

259 HRAS I characteristics (VS/TS ratio of 0.72 and COD/VS ratio of 1.71) are similar to
260 conventional mixed sludge (MS) (Astals et al., 2012; Carballa et al., 2007), since
261 particulate matter entering the HRAS reactor is removed through adsorption or
262 particulate enmeshment rather than biotransformation (Jimenez et al., 2005).

263 Regarding its BMP, it must be pointed that although there is extensive research focused
264 on AD of long SRT (>10 days) sludges, the evaluation of those sludges produced at
265 short SRT, especially below 5 days, has been limited so far (Ge et al., 2013). Fresh
266 HRAS I sludge showed a BMP of 323 L(N) CH₄/ kg VS (corresponding to 53.9% of
267 AB), which increased around 13% (363 L(N) CH₄/kg VS, 62.0% of AB) after TH
268 (Figure 2). Ge et al. (2013) reported an AB of 60% for HRAS sludges working at SRT
269 of 2 days and found that it decreased nearly 10% with every additional day of SRT,
270 which can explain our results (SRT of 3 days). The BMP increase after TH was in the
271 range of other reported values for MS (5-40%), which is very sensitive to the proportion
272 of primary and biological sludge (Higgins et al., 2017; Kepp et al., 2000; Perez-Elvira et
273 al., 2008).

274 Finally, the characteristics of HRAS II (VS/TS ratio (0.76) and COD/VS ratio (1.52))
275 are comparable to those of conventional waste activated sludge (WAS) (Cano et al.,
276 2015; Mahdy et al., 2014; Perez-Elvira et al., 2008; Thorin et al., 2017). BMP of fresh
277 and pretreated HRAS II sludge were 257 (N) CH₄/kg VS (47.9% of AB) and 309 L(N)
278 CH₄/kg VS (58.8% of AB), respectively (Figure 2). Despite HRAS II displayed the
279 lowest BMP among the fresh novel sludges, it resulted 20% more biodegradable than
280 WAS (~40% of AB) (Garrido et al., 2013; Wan et al., 2016). However, after TH similar
281 BMP values were achieved.

282 The neutral pH values (7.3-7.7) and the absence of VFA (<2.5 ppm acetic acid) at the
283 end of the tests (data not shown) indicate that the performance of the tests was adequate
284 and no acidification occurred.

285 **4.3. Influence of thermal hydrolysis on methane and sludge production**

286 In Figure 3A the expected average methane production in the four configurations for a
287 500,000 inhabitants WWTP, with and without TH is shown. WWTPs based on CEPT

288 and on RBF+HRAS II produce the highest methane flow ($4,700 \text{ m}^3 \text{ (N)/d}$), which
289 increases to $5,300 \text{ m}^3 \text{ (N)/d}$ after TH. On the contrary, WWTPs based on HRAS I show
290 a lower methane production (around $4,100 \text{ m}^3 \text{ (N)/d}$) increasing to $4,600 \text{ m}^3 \text{ (N)/d}$ after
291 TH. For the conventional scenario, methane production only attained $2,900 \text{ m}^3 \text{ (N)/d}$,
292 much lower than in novel configurations, explained by the lower COD recovery and the
293 lower BMP of the sludge without TH. However, the effect of TH was much more
294 relevant, as methane production raises up to $4,000 \text{ m}^3 \text{ (N)/d}$, an increase 2-fold higher
295 than in novel scenarios.

296 Regarding solids production (Equations 3-6), CEPT leads to the highest digested sludge
297 flow (14.7 tons TS/d , Figure 3B), explained by the solids increase due to the inorganic
298 compounds precipitated. Even after TH (13.9 tons TS/d), it is higher than the obtained
299 in other scenarios without TH. HRAS I and the combination RBF+HRAS II produce
300 around 10.9 and 9.3 tons TS/d , respectively, achieving in both scenarios a reduction of
301 1.0 ton TS/d when sludge is pretreated. Digested sludge flow in conventional scenario is
302 11.1 tons TS/d , achieving a noticeable higher reduction in comparison with other
303 configurations when TH is applied (2.1 tons TS/d).

304 **4.4. Energetic integration of thermal hydrolysis in WWTPs**

305 In this section, an energetic balance in the TH unit in the three different novel scenarios
306 was performed and compared with the conventional one. The TH unit demands an
307 electrical energy of around 10 kWh/m^3 sludge in the feeding. Thickening the sludge and
308 increasing the TS concentration reduces its volume and subsequently the energy
309 consumption, so the feasibility of the TH unit is increased. For novel scenarios, the
310 threshold sludge concentration in the TH unit to become energetically self-sufficient
311 (Eq. 2) was between 7% and 9% (it must be noted that for RBF a concentration of 30%
312 was considered since it is easily attainable, so the threshold sludge concentration of the

313 mix RBF+HRAS sludges was 12%), being this value around 2-fold higher than in
314 conventional scenarios (4%).
315 The impact of sludge TS concentration in the feeding to the TH unit on the energy
316 demand of the WWTP is represented in Figure 4A. The optimised scenario consists on
317 thickening sludges up to 20% of TS. The three novel scenarios lead to a much lower
318 energy consumption in comparison with the conventional one when TH is not applied.
319 However, in the conventional scenario TH has a more relevant effect since it can reduce
320 almost 0.05 kWh/m³ wastewater, whereas for novel scenarios a maximum energy
321 reduction of 0.02-0.03 kWh/m³ wastewater can be achieved. Even so, in any of the
322 evaluated scenarios the WWTP energy autarky can be reached. Among them, CEPT is
323 the one that allow to obtain the lowest energy demand regardless sludge TS
324 concentration. However, this does not necessary mean that this technology achieves the
325 lowest treatment costs, since other treatment costs need to be considered.

326 **4.5. Impact of thermal hydrolysis on operational costs**

327 . The influence of TH on these costs is displayed in Figure 4B. TH has a beneficial
328 impact on WWTP operational costs even when sludge TS concentration is 1-2% for
329 novel and also conventional scenarios. These minimum values are lower than those
330 found in the previous section for the TH unit to be energetically profitable since sludge
331 management costs are greatly reduced. Therefore, even if the TH unit becomes
332 electricity demanding it can result economically favourable. Detailed information about
333 the contribution of each factor (electricity, sludge management and coagulant) on
334 WWTP operational costs without TH is shown in Section S1 in the Supporting
335 Information.
336 Although the alternative based on CEPT was the one with the lowest energy
337 requirements, it achieves the highest operational costs (Figure 4B) (mainly due to the

338 addition of ferric chloride), which are very comparable with the conventional scenario
339 (Figure 4B). WWTP based on HRAS and on the combination of RBF+HRAS resulted
340 on 2- to 3-fold lower operational costs. The former drives to the lowest operational costs
341 which can result even below 1 c€/m³ of wastewater (Figure 4B) when sludge is
342 thickened till more than 10% of TS. Moreover, the operational costs in these novel
343 scenarios would be very low affected by a fluctuation of the electricity cost since they
344 present a considerably lower energy demand.

345 The economic impact of additional self-produced electricity and sludge disposal savings
346 are specifically shown in Figure 5. In novel scenarios, for a TS concentration of 10%,
347 almost no benefit from electricity production in novel schemes is obtained, being sludge
348 disposal savings 270,000 €/year for RBF+HRAS scenario, 320,000 €/year for HRAS
349 alternative and 430,000 €/year for CEPT scenario (Figure 5). In conventional WWTPs,
350 the economic benefits of TH due to extra self-produced electricity are much higher
351 (110,000-145,000 €/year, Figure 5), being sludge disposal comparable to those of the
352 HRAS scenario (320,000 €/year, Figure 5). In the optimised scenario, TH in novel
353 configurations drives to an additional economic benefit of 35,000-58,000 €/year due to
354 extra self-produced electricity. Moreover, the sensitivity analysis shows that a potential
355 variation in electricity cost would have a negligible impact on the economic profits of
356 TH plants. As a conclusion, it appears that the impact of TH on reducing wastewater
357 treatment costs is mainly due to sludge disposal savings rather than other energetic
358 factors.

359 **4.6. Economy of scale: influence of the WWTP size on the payback time of TH unit**

360 Figure 6 shows the influence of sludge TS concentration on the payback times for the
361 TH unit in the different WWTP sizes considered in this work. The comparison of the
362 different WWTP configurations for a specific size shows that the conventional scenario

363 is the one that achieves the lowest payback times, whereas the alternative based on
364 RBF+HRAS is the one achieving the highest ones. The effect of TS concentration on
365 the payback time is much more relevant in the 100,000 inhabitants WWTP (Figure 6A),
366 and more specifically in the range 5-11% of TS. The minimum payback times for this
367 WWTP size are 23 years for the scenario based on RBF+HRAS and 15-16 for the
368 others, including the conventional alternative, what might result too high. For the
369 250,000 inhabitants WWTP (Figure 6B) payback times are 2-fold decreased compared
370 to the 100,000 inhabitants WWTP, achieving minimum values of 9 years for the
371 RBF+HRAS alternative and around 6 for the others.

372 For the 500,000 and 1,000,000 inhabitants WWTPs (Figure 7C and 7D, respectively),
373 considerably lower payback periods were determined. Sludge concentration has a much
374 lower influence on payback time than in smaller WWTPs. Minimum values of 5 years
375 for RBF+HRAS configuration and of 3-4 years for the other alternatives were
376 calculated for the 500,000 inhabitants WWTP. Very similar payback periods were
377 achieved for the 1,000,000 inhabitants WWTP, which range from 2 to 4 years, being the
378 influence of TS concentration almost negligible. Specific information regarding the
379 sensitivity analysis is gathered in Section S2 in the Supporting Information.

380 **5. Conclusions**

381 Sludge thermal hydrolysis approaches novel WWTPs to the energy self-sufficiency,
382 which is not reachable in any of the analysed configurations. In novel WWTP schemes,
383 the impact of thermal hydrolysis on the WWTP economy is mainly due to sludge
384 disposal savings rather than other energetic factors and a minimum total solids
385 concentration of approximately 1-2% to achieve a reduction in operational costs was
386 found. Payback times for a new thermal hydrolysis unit are greatly dependent on the
387 WWTP size, showing that their profitability is considerably higher in huge WWTPs.

388 **Acknowledgements**

389 The authors would like to thank the EU (ID199) and AEI (PCIN2015-22) for funding, in
390 the frame of the collaborative international Consortium Pioneer_STP financed under
391 Water Joint Programming Initiative. The authors belong to the Galician Competitive
392 Research Group ED431C 2017/029 and the CRETUS Strategic Partnership (ED431E
393 2018/01). These programmers are co-funded by FEDER (EU). Authors also want to
394 thank Professor Fernando Fdz-Polanco for his support, providing the full-scale data.

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571 **Web sites**

- 572 http://appsso.eurostat.ec.europa.eu/nui/show.do?dataset=env_ww_spd&lang=en (last access
573 29.10.2018).

574 **Table legends**

575 **Table 1.** Data considered for the WWTP energetic evaluation.

576 **Table 2.** Novel sludges physico-chemical characterization. RBF: rotating belt filters

577 sludge, CEPT: chemically enhanced primary treatment sludge, HRAS: high-rate

578 activated sludge.

Technology	Energy demand (kWh/m³ wastewater)
Wastewater pumping	0.03 (Longo et al., 2016)
Rotating belt filters	0.04 (Salsnes, 2016)
Chemically enhanced primary treatment	0.03 (Longo et al., 2017)
High-rate activated sludge reactor	0.05* (Ge et al., 2015)
Partial nitrification-anammox reactor	0.25** (Schaubroeck et al., 2015)
Conventional primary treatment	0.03 (Greenfield and Batstone, 2005)
Activated sludge reactor	0.45*** (Gikas, 2017; Siegrist et al., 2008)

580 * calculated from the value of 0.66 kWh/kgCOD oxidized, ** calculated as 60% of those of a conventional activated sludge reactor,
581 ***calculated from the value of 0.37 kWh/m³ which was increased 20% to consider the organic load increase due to sludge
582 supernatant recycling.

583 **Table 2**

	CEPT	HRAS I	RBF	HRAS II
TS (g/kg)	34.2 ± 0.1	59.2 ± 0.1	57.7 ± 2.4	44.3 ± 0.3
VS (g/kg)	23.4 ± 0.1	42.7 ± 0.2	51.6 ± 2.4	33.6 ± 0.2
COD _{tot} (g/kg)	39.3 ± 4.5	73.0 ± 2.5	61.9 ± 2.0	51.0 ± 0.6
COD _{sol} (g/kg)	1.02 ± 0.01	2.06 ± 0.05	1.64 ± 0.02	0.65 ± 0.01
VS/TS	0.69 ± 0.1	0.72 ± 0.04	0.89 ± 0.08	0.76 ± 0.01
COD/VS	1.68 ± 0.19	1.71 ± 0.07	1.20 ± 0.06	1.52 ± 0.03

584

585 **Figures captions**

586 **Fig. 1.** Wastewater treatment plant configurations studied in this work based on: A)
587 chemically enhanced primary treatment; B) High-rate activated sludge; C) Rotating belt
588 filter + high-rate activated sludge; D) Primary settling + conventional activated sludge.

589 **Fig.2.** BMP for fresh (\square) and pretreated sludges (\boxtimes) (For the conventional scenario data
590 was considered from Perez-Elvira et al. (2008)).

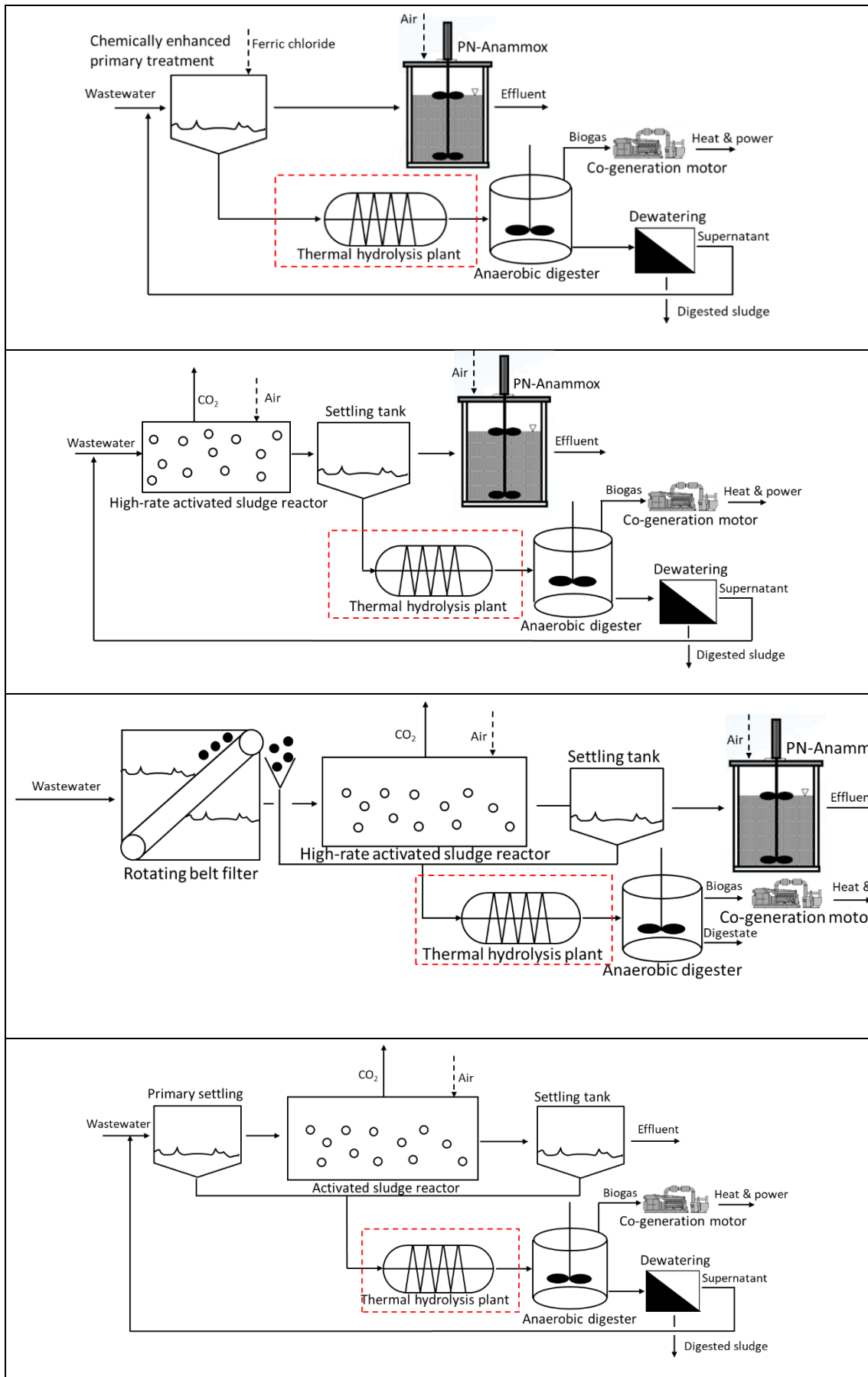
591 **Fig. 3.** A) Methane production in the different WWTP configurations (\square) and additional
592 production caused by sludge TH (\boxtimes). B) Digested solids production in each scenario
593 with (\square) and without (\boxtimes) TH.

594 **Fig. 4.** Influence of sludge TS concentration in the feeding to the TH unit on A) the
595 WWTP energy consumption and B) on the WWTP operational costs: CEPT (\blacktriangle), HRAS
596 (\bullet), RBF (30% TS) + HRAS (\blacksquare) and conventional (*) scenarios. (\bullet) represent the
597 WWTP energy consumption when sludge is not pretreated before AD. Error bars
598 represent the impact of the potential fluctuations of electricity cost.

599 **Fig. 5.** Economic benefit of sludge TH due to electricity generation (\boxtimes) and sludge
600 disposal savings (\square). 10% and 20% refer to sludge TS concentration. Continuous bar
601 errors represent the impact of the potential fluctuations of electricity cost between 0.10
602 and 0.14 €/kWh and discontinuous bar errors of sludge management cost between 60
603 and 100 €/ton TS.

604 **Fig. 6.** Influence of sludge concentration on the payback time for a TH plant in CEPT
605 (\blacktriangle), HRAS (\bullet), RBF+HRAS II (\blacksquare) and conventional (*) scenario for a wastewater
606 treatment plant for A) 100,000 B) 250,000 C)500,000 and D) 1,000,000 inhabitants
607 equivalents.

Figure 1



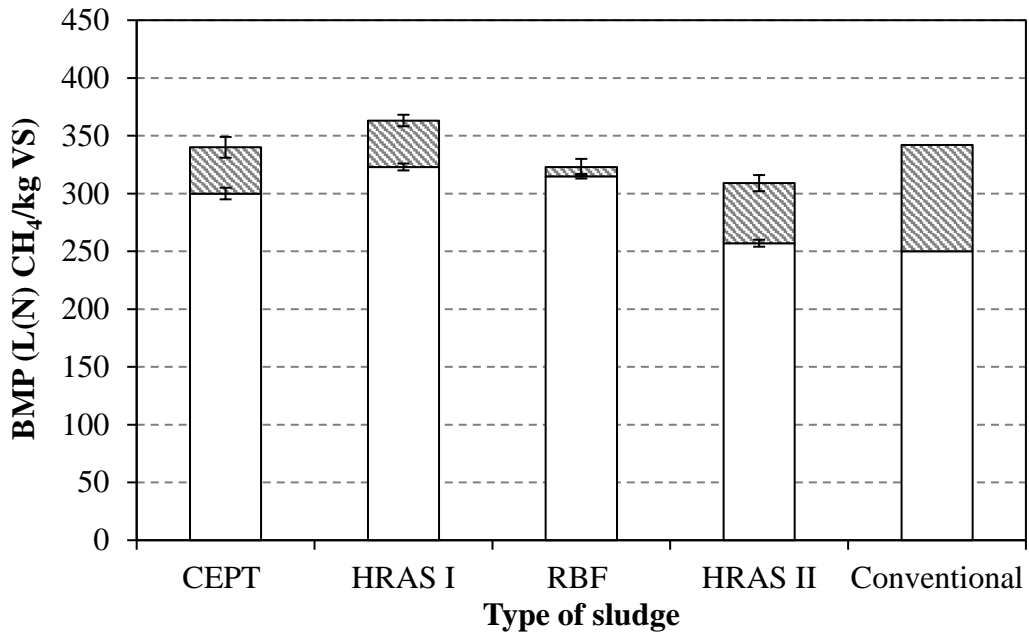
A

B

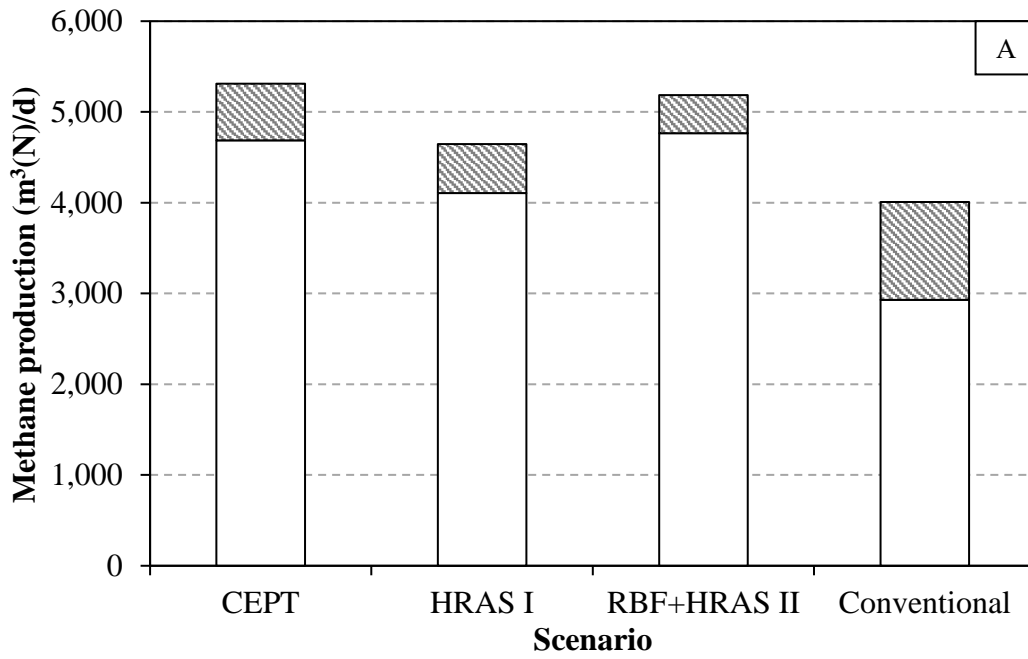
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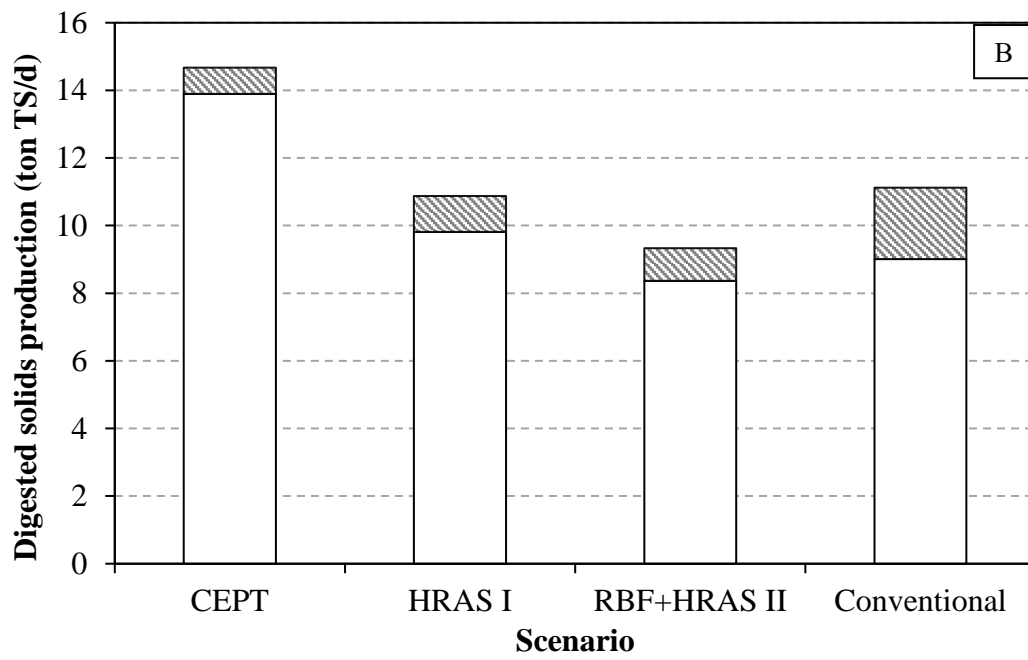
Figure 2



609 **Figure 3**



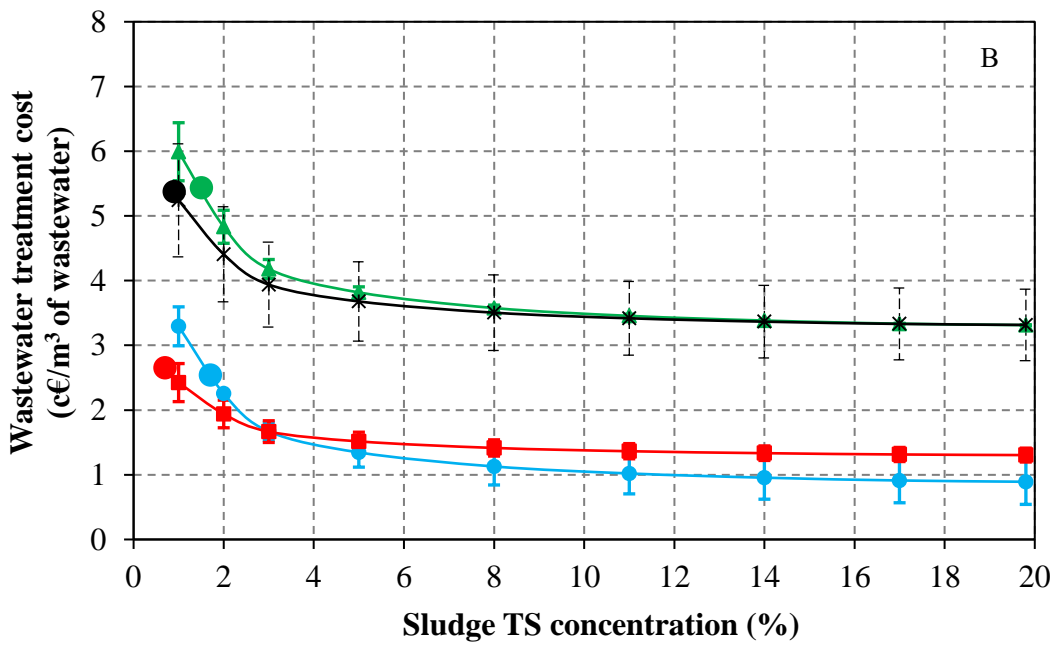
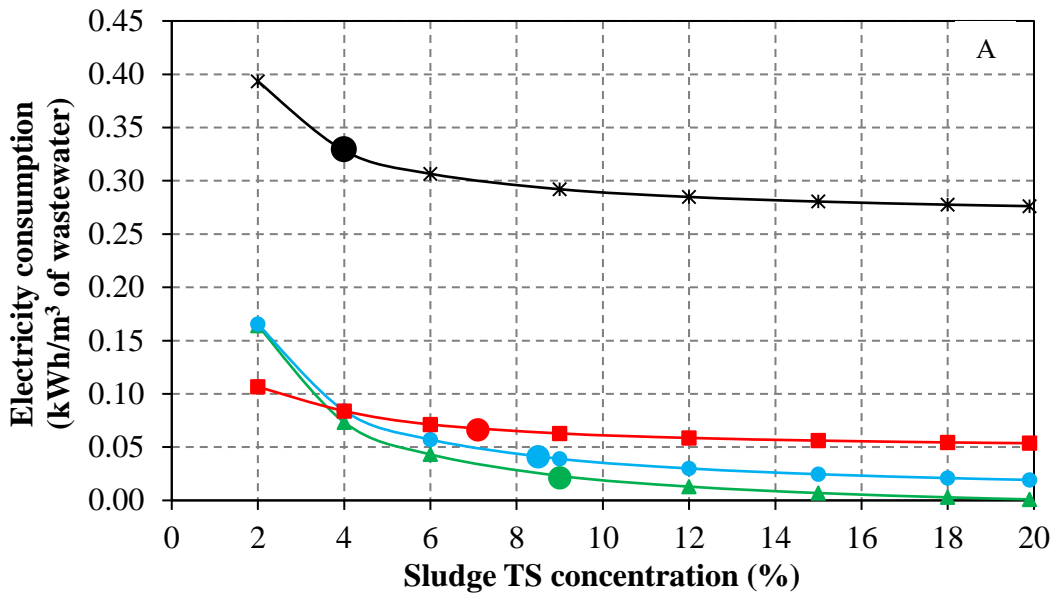
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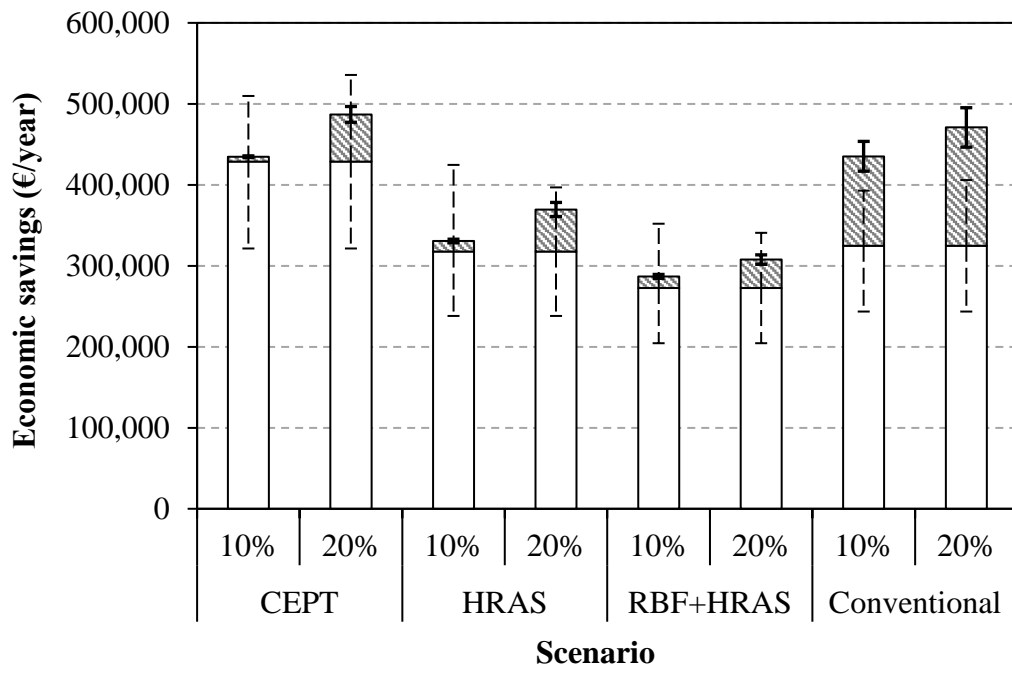
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612 **Figure 4**

613

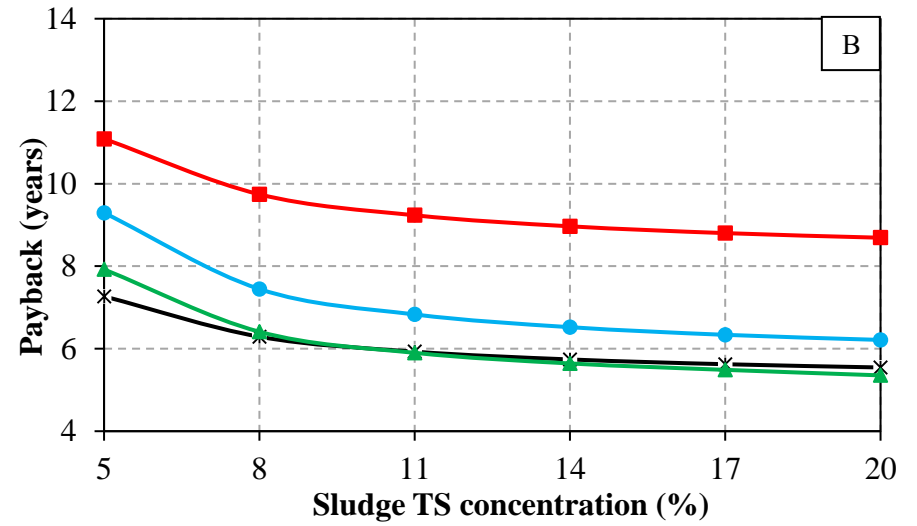
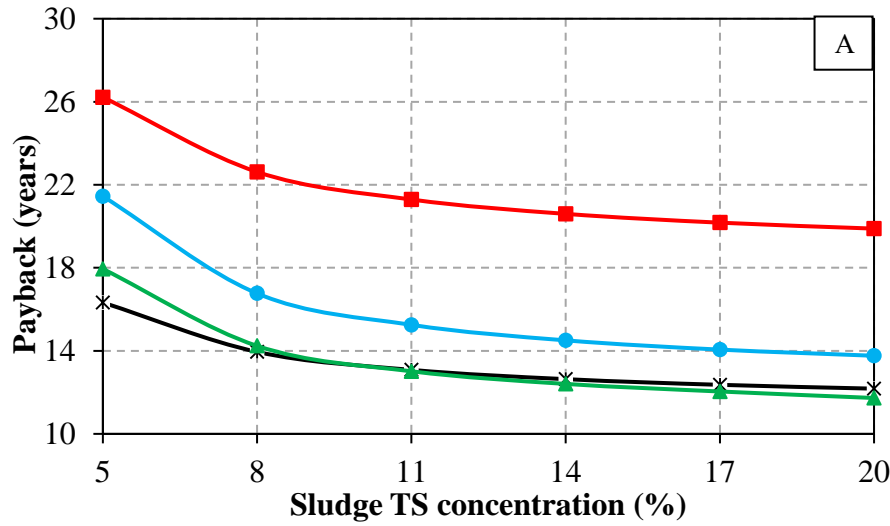


617 **Figure 5**

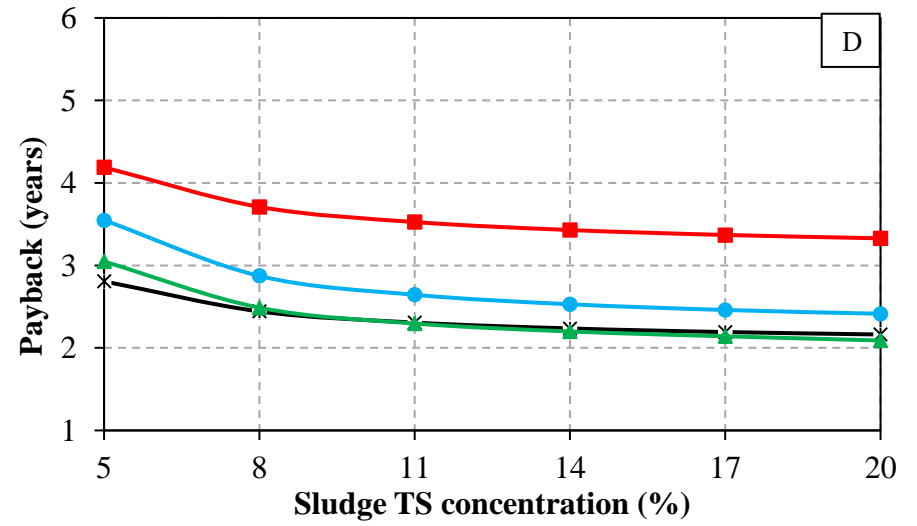
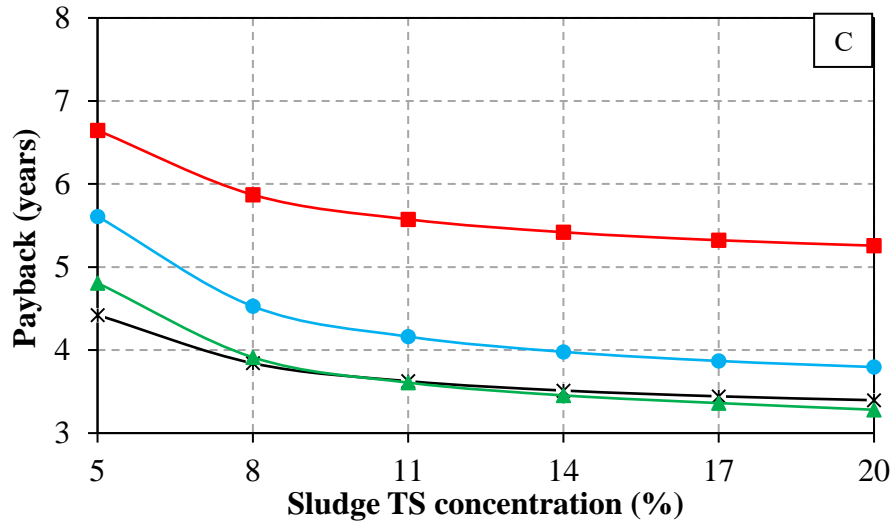


618

619 **Figure 6**



620



621