

## Evaluation of turbulence-related high-frequency tidal current velocity fluctuation

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## Abstract

Within the development needed for economy viability of tidal stream energy, adaptability of laboratory converters to sea flow conditions is a milestone. The objective of this work is to investigate the high frequency fluctuations in current velocity magnitude and direction related to the turbulent nature of the flow and present a new method for their prediction. With this purpose, high frequency data measured by two ADV (32 Hz) and two ADCP (8 Hz) at four different points in the sea area surrounding Goto Islands (Japan) are analyzed. The data were divided in short-time samples (3-minutes data for ADV and 5-minutes data for ADCP) and treated separately. Velocity magnitude fits a normal distribution, with prediction levels higher than 95% for a margin of error of 0.25 m/s when comparing different percentiles between 0.1 and 99.9. Flow direction is analyzed in terms of opening angle between two representative percentiles equidistant from the median (99.9-0.1, 95-5, . . .), giving as a result a leptokurtic distribution, more outlier-prone than normal. Empirically, for opening angles 99.9-0.1, 97.7-2.3 and 95-5, slopes of 6.79 (6 in normal distribution), 4.17 (4) and 3.38 (3.29) were found, with results similar to a theoretical normal distribution for narrower angles. The new prediction method for high frequency fluctuations is based in this direct correlation between velocity magnitude and direction fluctuations with turbulence intensity and transverse turbulence intensity, respectively. These two parameters can be estimated indirectly by numerical models, giving rise to a tool for the prediction of turbulence-related high frequency fluctuation.

**Keywords**— Tidal energy, Turbulence, ADCP, ADV

# Evaluation of turbulence-related high-frequency tidal current velocity fluctuation

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## 1 Introduction

Tidal stream energy has been presented as one of the most promising renewable energy options among other reasons due to the high prediction level of available resource. Tides can be defined as the sum of constituent components as a result of the interaction between Earth, Sun and Moon gravitational attractions, expressed mathematically as [1]:

$$\zeta = \sum_{i=0}^n a_i \cos(\omega_i t + \varphi_i) \quad (1)$$

being  $t$  the time;  $a_i$  the angular frequency dependent on the relative movement between Earth, Sun and Moon; and  $\omega_i$  and  $\varphi_i$  the amplitude and phase, respectively, for each tide constituent, which varies according to the geographical position. This definition has been a base for widely proven tide level prediction methods [2, 3].

Harmonic forcing simulating tide conditions has been also used for numerical modelling-based tidal stream energy assessment works [4, 5, 6], with good agreement both in terms of water level [4] and tidal velocity [5, 6] when comparing 3-minutes averaged [6] measured data with prediction results.

Nevertheless, when thinking on energy extraction, not only tides but also currents must be taken into account. In this regard, despite the capability of harmonic analysis based approaches to predict averaged tidal current velocities [5, 6], there are certain current properties (three-dimensional nature, effect of local geomorphology and non-sinusoidal characteristics such as sub-tidal variations, supra-tidal variations or turbulence) differentiable from tides which limit these methods for current evaluation. This issue was already pointed a few decades ago by Godin [7], who concluded that currents cannot be predicted with the same level of precision as the tide. These variations from the harmonic analysis-based estimation have meaningful consequences in the turbine loads [8], making of this a key point for tidal stream energy technologies. A case that clearly exemplifies this statement is the failure of an OpenHydro turbine in the Bay of Fundy in November 2009, due to tidal flows that were two and a half times stronger than expected [9].

55 With the aim of getting a better understanding of tidal currents, Polagye et al [10]  
56 analyzed high frequency velocity data measured in Puget Sound, observing two kinds  
57 of variations. First, non-sinusoidal fluctuations over time scales around 1 hour, which,  
58 although cannot be estimated by harmonic analysis, exhibit a certain degree of peri-  
59 odicity, allowing its description by site-specific empirical functions which must include  
60 ebb and flood variations or diurnal inequality. Second, turbulence related fluctuation  
61 over time scales under one minute. This fluctuation was found more important than the  
62 first one in terms of magnitude (over 0.5 m/s for peak currents) and it was considered  
63 unpredictable.

64 Regarding this second fluctuation type, although its effect on energy potential esti-  
65 mation is lower, its study is necessary for turbine design considerations, such as blade  
66 loads, support structures, seabed connections [11] or devices stabilization on the bot-  
67 tom by the generation of down force from the tidal flow [12]. For these reasons, several  
68 authors have carried out turbulence studies at potential tidal stream energy exploita-  
69 tion sites based on velocity measurement. In these studies, besides the calculation of  
70 representative turbulent related parameters such as turbulence intensity, turbulent ki-  
71 netic energy or integral time and length scales, whose characterization is also crucial for  
72 turbine design due to its effect on blade loads, power and thrust coefficients or wake  
73 characteristics [13, 14, 15, 16], high frequency velocity fluctuation was also analyzed. In  
74 this respect, velocity fluctuations within a range higher than 1 m/s during a 1-minute  
75 period for which averaged value was approximately 2 m/s were found in the streamwise  
76 signal of an ADV measurements in the Sound of Islay [17]. Also, in Kobe Strait [18],  
77 instantaneous streamwise velocities between 0.5 m/s and 2.5 m/s were observed with an  
78 ADCP for a 5-minute averaged value of 1.5 m/s.

79 Recently, the efforts of tidal energy researchers have been focused on the prediction of  
80 turbulence conditions at a given location. On this point, results from turbulence models  
81 simulating current conditions at tidal stream energy sites able to estimate turbulent  
82 kinetic energy have been recently published [19]. In terms of velocity fluctuation, Harding  
83 et al [11] presented a long-term prediction method for extreme velocities (higher and  
84 lower than the averaged) based on 32 Hz and 2 Hz velocity data measured by an ADV and  
85 an ADCP, respectively, simultaneously and at the same point in Puget Sound. With one  
86 month data, a 50-year velocity perturbation from a 64s mean velocity could be predicted.  
87 However, the results show considerable discrepancies between the measurements of both  
88 devices and “several years of data is required to perform the analysis to an acceptable  
89 level of confidence”.

90 In this context, this study attempts to seek a new method to predict turbulence  
91 related velocity perturbations both in terms of magnitude and direction, thus reducing  
92 the need for in-situ measurement, following the Godin [7] suggestion that “the study  
93 of currents is essentially a research problem and should not be considered a matter for  
94 routine data processing at the clerical or technical level”. The present proposal is based  
95 on the analysis of data measured by two 32 Hz ADVs (Acoustic Doppler Velocimeter)  
96 and two 8 Hz ADCPs (Acoustic Doppler Current Profiler) at four different points in  
97 Goto Islands, Japan.

98 **2 Materials and Methods**

99 **2.1 Location**

100 Located in Nagasaki Prefecture, southwestern Japan, between the Strait of Korea and  
101 the Pacific Ocean, Goto Islands is an archipelago formed by 140 islands (see Fig.1). Five  
102 of these islands form four main channels (from west to east, Tanoura Strait, Naru Strait,  
103 Takigawara Strait, and Wakamatsu Strait). The big amount of water passing through  
104 these channels generates strong currents, making of this area a good location for tidal  
105 stream energy exploitation. Therefore, two of these channels (Tanoura Strait and Naru  
106 Strait) have been designated as a tidal energy test site by the Japanese government [20].  
107 At this area, the tide type is typically mixed mainly semidiurnal, being M2 the main  
tidal constituent.

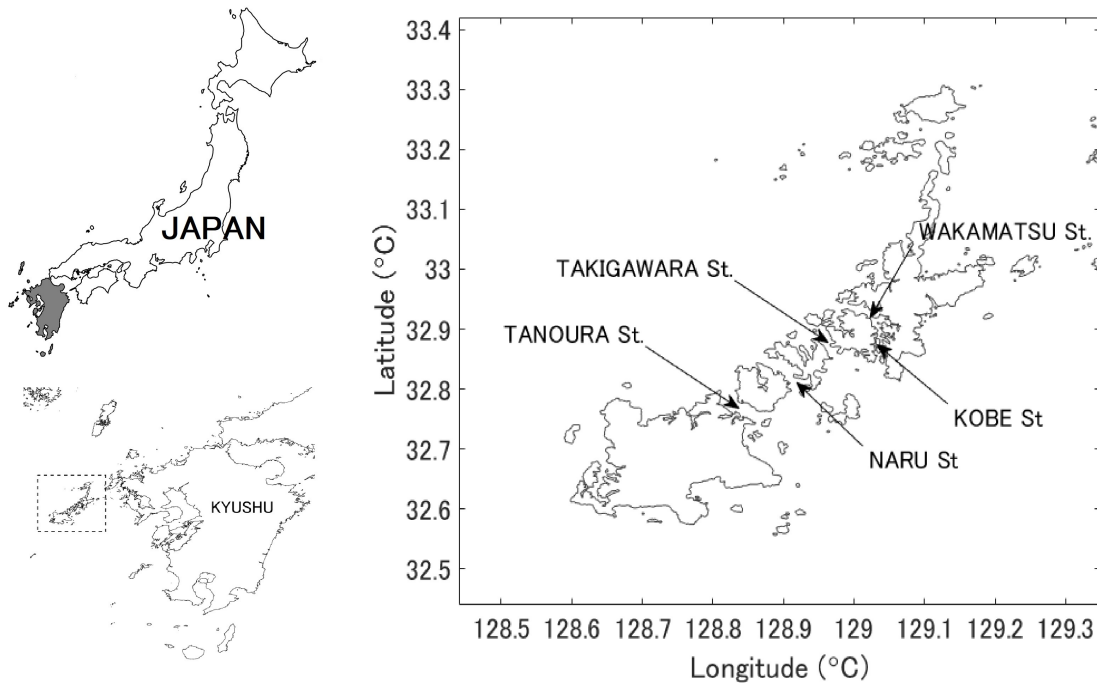


Figure 1: Tanoura Strait, Naru Strait and Kobe Strait in Goto Islands, Nagasaki Prefecture, Japan

108  
109 The areas selected for measuring devices installation are Naru Strait, Tanoura Strait  
110 and Kobe Strait, a small semi-enclosed channel formed by an inner island in Wakamatsu  
111 Strait (for a more detailed description of these channels refer to [18, 21]). The importance  
112 of the first two channels lies in their high tidal stream energy potential, as mentioned  
113 in the previous paragraph. Kobe Strait, due to its lower depth and current velocity,  
114 could be a considerable option for testing low-velocity converter devices or in an earlier  
115 development stage. A brief description of the four measuring locations for this study is

116 presented below.

## 117 2.2 Data measurement

118 One Nortek Vector ADV was operating during 8 days from November 17th at 0:00h to  
119 November 24th at 17:10h in 2014 in ( $32^{\circ}46'45.2''\text{N}$ ,  $128^{\circ}50'3.1''\text{E}$ ; hereafter P1), Tanoura  
120 Strait, between Fukue Island and Hisaka Island. The dimensions of this channel are 6  
121 km length and 2 km width, approximately. Water flows in a SE-NW direction during  
122 flood tide and vice versa during ebb tide, with minor variations due to very local geomor-  
123 phologic characteristics. In the southern mouth, water move is affected by Tatarajima  
124 Island, dividing the flow into two bifurcations. The sea bottom is mainly rocky. Focus-  
125 ing on the measuring point, it is located at nearly 200 m from Hisaka Island western  
126 coastline, where the averaged depth during the data collection period was 26.1 m. The  
127 ADV installed at 3 meter from the sea bottom at this point was set to measure during  
128 the first 3 minutes of every 10-minute period, followed by 7 minutes in stand-by. This  
129 device collects high resolution velocity, temperature and pressure data. The internal  
130 sampling rate is 250 Hz, while the sampling output rate was set as 32 Hz, thus collecting  
131 5760 data for every 3-minute measuring period. The sampling volume dimensions are  
132 15 mm diameter and 5 mm height. Its velocity measurement accuracy is 0.5% of the  
133 measured value  $\pm 1$  mm/s.

134 Simultaneously, in Naru Strait ( $32^{\circ}49'41.1''\text{N}$ ,  $128^{\circ}58'56.4''\text{E}$ ; hereafter P2) a second  
135 Nortek Vector ADV, with the same characteristics above presented, was operating under  
136 analogous setup conditions. Naru channel length and width are approximately 7 km  
137 and 2 km, respectively, with the exceptions of the narrowing due to Kagaribisaki cape  
138 and Suetsujima Island. As with Tanoura Strait, bottom is mainly rocky and the main  
139 axis orientation is NW-SE. The averaged depth during the 8-days period at the ADV  
140 measuring point, nearly 300 m east of Warabikojima Island, was 24.6 m. At this same  
141 channel, at approximately 200 m east from the ADV measuring point ( $32^{\circ}49'38.8''\text{N}$ ,  
142  $128^{\circ}54'3.7''\text{E}$ ; hereafter P3), one Nortek Signature 1000 AD2CP was operational from  
143 April 14th until May 24th, 2016. Nevertheless, it must be said that quality of data  
144 collected during the last 15 days is not good enough to guarantee reliable results, so  
145 they were removed for further analysis. This device beam frequency and width are 1  
146 MHz and  $2.9^{\circ}$ , respectively. The measuring sampling volume for each beam and layer is  
147 defined by a polyhedron of 142 mm height and 212 mm length, its minimum accuracy is  
148 a 0.3% of the measured value and the velocity resolution is 0.1 cm/s. This AD2CP was  
149 set to cyclically measure with an 8Hz sampling output rate during 5 minutes followed  
150 by 15 minutes in standby. The number of vertical layers is 22, 1 m width each one, with  
151 a blanking distance of 1 m. Since the time averaged depth during the measuring period  
152 was 35.0 m, approximately two-thirds of the water column were covered.

153 The same AD2CP had been operational from February 27th to March 14th, 2014,  
154 at ( $32^{\circ}52'40.81''\text{N}$ ,  $129^{\circ}01'46.79''\text{E}$ ; hereafter P4) in Kobe Strait. At this point, where  
155 the time averaged depth during this 15-day period was 18.0 m, 36 vertical layers (0.5 m  
156 thickness) were measured with the same timing and frequency measuring setup (8 Hz,  
157 5-minute on, 15-minute off) as previously presented for P3. The blanking distance was

158 set as 0.1 m. Due to the water column width limitations, data measured for the seven  
159 last vertical layers counting from the bottom (29 to 36) are unreal and not considered for  
160 the water flow analysis. Likewise, due to bottom interaction, an accurate measurement  
161 cannot be guaranteed in the deepest layer, so it is discarded.

## 162 2.3 Data treatment

### 163 2.3.1 Signal pretreatment

164 Previous to the velocity fluctuation and turbulence analysis, a data quality pretreatment  
165 is necessary. In the four cases, this pretreatment consisted of two steps: denoising and  
166 despiking. Noise was eliminated following the manufacturer recommendations, replacing  
167 points for which correlation is under 70% for the ADV signals [22] and under 50% for  
168 the AD2CP signals [23] by the linearly interpolated values. The already denoised signal  
169 was treated with a Kernel Density based algorithm developed by Islam and Zhu [24] and  
170 tested in this kind of data despiking. After noise elimination, 5-minute (ADCP) and 3-  
171 minute (ADV) averaged values for the three signals, one for each component of velocity,  
172 were calculated. Based on these averaged values and using a least square method which  
173 guarantees that the mean value of all the 5-minute or 3-minute averaged transverse  
174 velocities during the measuring period is null, a rotation angle is calculated to convert  
175 the original data to a streamwise (parallel to N-S axis), transverse (perpendicular to  
176 N-S axis) and vertical coordinate system. Rotation angles were calculated separately  
177 for flood and ebb tide and for each measuring point and vertical layer (in the case of  
178 ADCP). This data rotation allows a clearer analysis of the flow characteristics.

### 179 2.3.2 Velocity magnitude fluctuation

180 In order to analyze only turbulence-related variations and minimize the influence of  
181 other fluctuation generator factors, every data block corresponding to a 3-minute mea-  
182 suring period for ADV data and 5-minute measuring period for ADCP data was treated  
183 separately. The velocity magnitude fluctuations are parameterized by percentiles. For  
184 each data block, percentiles for each multiple of five from 5 to 95, as well as those per-  
185 centiles corresponding with sigma integer multiplying factors for a theoretical normal  
186 distribution (0.1, 2.3, 15.9, 84.1, 97.7, 99.9), are extracted.

### 187 2.3.3 Current direction fluctuation

188 The analysis in the current direction fluctuation is analogous to the presented for velocity  
189 magnitude. Considering  $0^\circ$  for East and  $90^\circ$  for North, a numerical value is given for the  
190 direction observed for each 8 Hz (ADCP) or 32 Hz (ADV) measurement. With these  
191 values, the same percentiles presented in 2.1.2 are extracted from each 5-minute or 3-  
192 minute data block. Finally, assuming symmetry in short time period data, the fluctuation  
193 in the current direction is parameterized by opening angles between two percentiles  
194 equidistant from the median value (99.9-0.1, 97.7-2.3, 95-5,...). In this case, most of  
195 the 5-minute or 3-minute data blocks are “contaminated” with the current directions

196 collected from very low velocity magnitude points, resulting on opening angles much  
197 wider than those to be considered for tidal turbine designing purposes. In order to avoid  
198 this kind of contamination in the samples, instantaneous flow directions corresponding  
199 with velocity magnitudes lower than 0.5 m/s are excluded for the current direction  
200 analysis. A graphic summary of the procedure for the extraction of opening angles is  
201 presented in Fig 2, showing the opening angles between percentiles 99.9 and 0.1 and  
202 between percentiles 70 and 30.

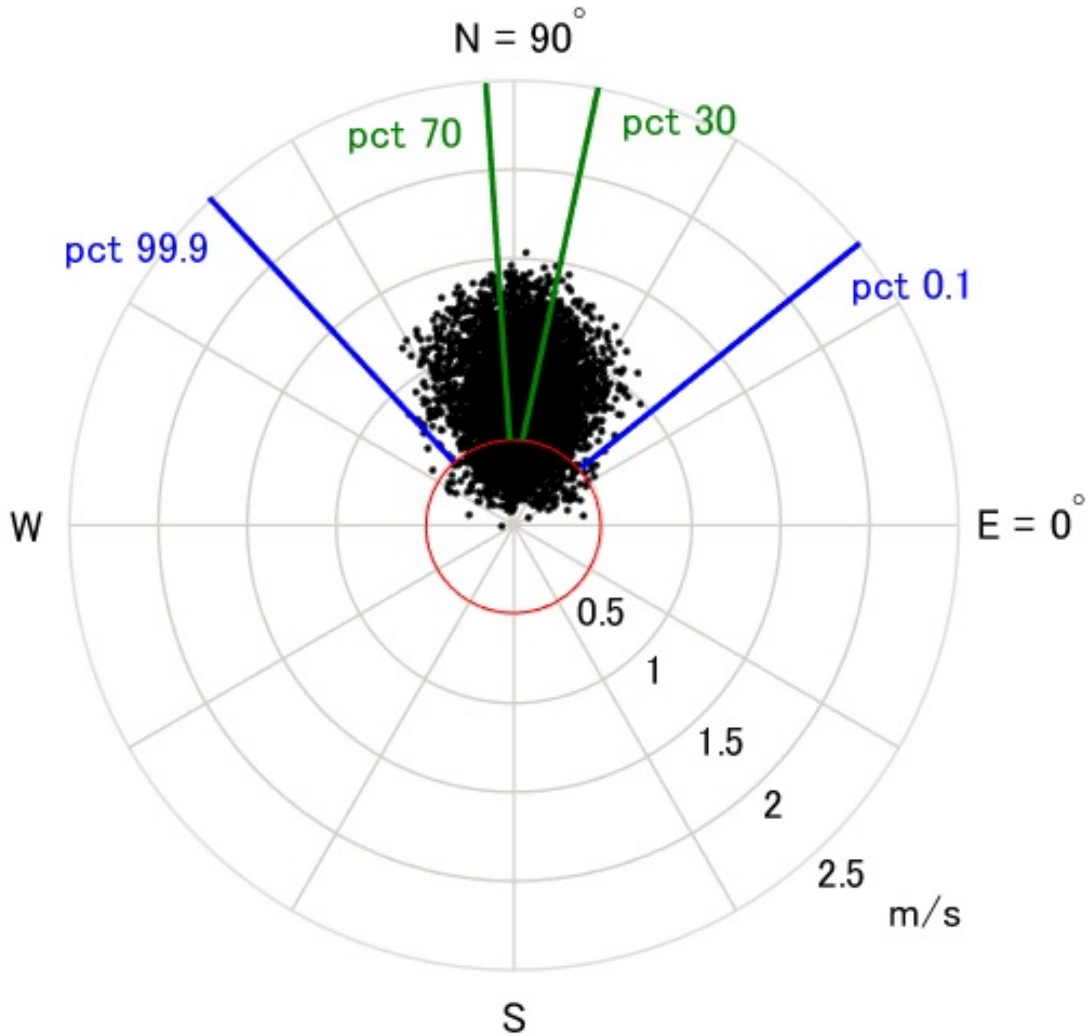


Figure 2: Graphic representation of opening angles extraction with pct 99.9 - pct 0.1 opening angle (blue), pct 70 - pct 30 opening angle (green) and 0.5 m/s threshold (red)



203 2.3.4 Turbulence intensity and prediction of velocity magnitude fluctuation

204 Laboratory tests have demonstrated the influence of turbulence intensity (TI) conditions  
 205 to the converter devices in terms of fatigue [13]. Furthermore, higher turbulence intensity  
 206 values lead to a reduction in the velocity deficit downstream, with the maximum deficit  
 207 point closer to the converter [14]; and a small reduction in the averaged flapwise and  
 208 edgewise blade root bending moments, though fluctuations increase [15]. For this reason,  
 209 turbulence intensity is a key parameter for tidal stream energy converters design.

210 Turbulence intensity is defined for a given period of time as the ratio of standard devi-  
 211 ation to averaged velocity magnitude (see Eq 2). Thus, when current velocity approaches  
 212 zero, very high and unrepresentative values are obtained for turbulence intensity. This  
 213 effect was observed and analyzed in previous similar studies, neglecting turbulence in-  
 214 tensity results for defined “slack conditions” range for which tidal energy extraction is  
 215 expected to be null [25]. In the present study, the upper limit for this range can be set  
 216 at 0.7 m/s, a typical cut-in speed for tidal stream energy turbines [26], discarding all  
 217 the 3-minute or 5-minute data blocks for which mean velocity is lower.

$$TI = \frac{\sigma_V}{\bar{V}} \quad (2)$$

218 Besides its effect on the converter behavior, summarized in the first paragraph in Sec-  
 219 tion 2.3.4, in the present study turbulence intensity is used as a means for the prediction  
 220 of the different percentiles of velocity magnitude. The election of turbulence intensity  
 221 as the parameter used for this purpose is based on two reasons. First, the dimension-  
 222 less nature of turbulence intensity, which makes the direct comparison with velocities  
 223 possible. Second, the capability of numerical methods for its prediction. Turbulence  
 224 intensity can be estimated from numerical model results for turbulent kinetic energy by  
 225  $tke = \frac{3}{2} (U_{avg} \cdot TI)^2$  [19]. For a short period of time, in this case 5 (ADCP) or 3 (ADV)  
 226 minutes, the direct correlation between a certain percentile  $\alpha$  of velocity magnitude and  
 227 turbulence intensity proposed in the present study is defined by Eq 3:

$$V_{p\alpha} = (MF_M \cdot TI + 1) \cdot \bar{V} \quad (3)$$

228 Where  $V_{p\alpha}$  is the velocity magnitude value for a certain percentile,  $MF_M$  is a mul-  
 229 tiplier factor for that velocity magnitude percentile, and TI and  $\bar{V}$  are the turbulence  
 230 intensity and averaged velocity magnitude, respectively, for a 3-minute or 5-minute pe-  
 231 riod. Physically, in a flow with no turbulence, velocity fluctuation is null. This is  
 232 represented in the equation with the “+1” term.

233 The method to establish the  $MF_M$  for each percentile starts assuming and evaluat-  
 234 ing a theoretical normal distribution. If this does not fit the measured data, alternative  
 235 values are calculated empirically. The procedure is as follows. From a first lineal ap-  
 236 proach, for which all the 3-minute (ADV) or 5-minute (ADCP) samples with mean  
 237 velocity higher than 0.7 m/s are used, the 1% farthest points are discarded in order to  
 238 avoid outliers, calculating the final equations with the lineal regression of the remaining  
 239 99% points. The resulting 52 approaches (P1, P2, 22 layers in P3 and 28 layers in P4)  
 240 are evaluated individually comparing them with the measured data at its corresponding

241 point and layer, obtaining the 15% prediction level for each case. With these results, a  
 242 prorated averaged value for  $MF_M$  based on the individual slopes and their corresponding  
 243 prediction levels is calculated.

### 244 2.3.5 Transverse turbulence intensity and prediction of current direction fluctuation

245 After the data rotation to the N-S parallel axis, current direction is mainly defined by  
 246 the transverse component of velocity. For this reason, the prediction of the opening  
 247 angles presented in Section 2.3.3 is based on the transverse turbulence intensity (TTI),  
 248 defined as the ratio of the standard deviation of the transverse component of velocity by  
 249 the averaged velocity magnitude (Eq 4):

$$TTI = \frac{\sigma_{v_t}}{\bar{V}} \quad (4)$$

250 As for TI, transverse turbulence intensity is dimensionless and can be estimated from  
 251 numerical methods results for turbulent kinetic energy and assuming a theoretical rela-  
 252 tion between standard deviations of the streamwise, transverse and vertical components  
 253 of velocity of  $\sigma_s:\sigma_t:\sigma_v=1:0.71:0.55$ , proposed by Nezu and Nakagawa [27] based on an  
 254 experimental study with two-dimensional channel flows at relatively low Reynolds num-  
 255 bers. Similar ratios have been also observed by Milne et al [17] at a tidal stream power  
 256 site (1:0.75:0.56).

257 Similarly to velocity magnitude, the proposed direct correlation between opening  
 258 angles and transverse turbulence intensity for a short period of time is defined by Eq 5.

$$OA_{q_\alpha, q_{1-\alpha}} = (2 \cdot MF_D \cdot TTI) \quad (5)$$

259 Where OA is the opening angle for a given pair of percentiles ( $q_\alpha, q_{1-\alpha}$ ) for a 3-  
 260 minute or 5-minute data block,  $MF_D$  is a direction multiplying factor dependent on the  
 261 opening angle, and TTI is the transverse turbulence intensity for a 3-minute or 5-minute  
 262 period. Theoretically, the lack of turbulence means a constant direction, thus the “+1”  
 263 term in Eq 3 is not needed for the angle calculation.

264 The procedure for the  $MF_D$  value selection is analogous to the presented in Section  
 265 2.3.4 for the velocity magnitude, starting with the evaluation of a normal distribution,  
 266 and looking for alternative empirical values if the measured data is not well adapted to  
 267 the theoretical normal distribution.

## 268 3 Results

### 269 3.1 Measured fluctuations

270 Strong fluctuations in the three components of velocity, especially in P2, can be observed  
 271 in Fig 3, which shows a time history representation of streamwise, transverse and vertical  
 272 signals for a 3-minute period in P1 and P2 and a 5-minute period in P3 and P4. In the  
 273 four cases, the figures correspond to a 3-minute or 5-minute averaged velocity magnitude  
 274 of 1 m/s during flood tide at a distance of 3 m from the sea bottom.

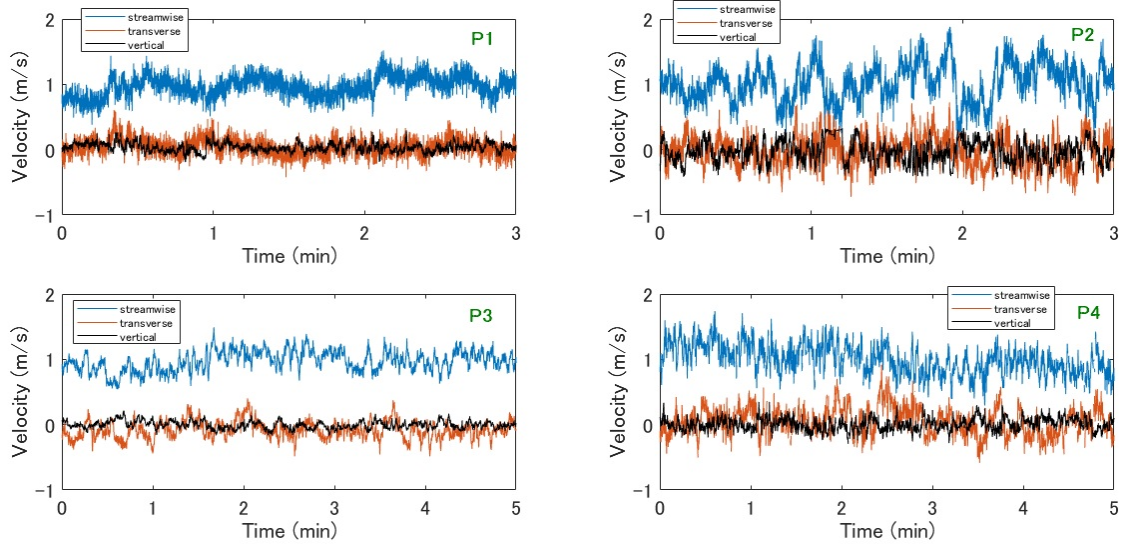


Figure 3: Time fluctuation of streamwise (blue), transverse (red), and vertical (black) for 3 min (P1 and P2) and 5 min (P3 and P4) for which mean velocity magnitude is 1 m/s during flood tide

275 Table 1 presents velocity magnitude ranges and direction fluctuation angle for the  
 276 3-minute and 5-minute periods presented in Fig 3 as well as for other representative  
 277 depths in the case of P3 and P4, including also ebb tide conditions. In order to avoid  
 278 the effect of outliers, values shown in Table 1 refer to the gap between percentiles 99.9  
 279 and 0.1 for velocity magnitude and the opening angle between percentiles 99.9 and 0.1  
 280 for the current direction fluctuation.

281 In terms of depth dependence, results show a clear tendency, with higher values for  
 282 range and angle near the seabed due to the bottom effect. This is confirmed with the  
 283 vertical profiles for velocity magnitude and direction in Fig 4 (P3) and Fig 5 (P4). In  
 284 this figures,  $180^\circ$  are subtracted to the current directions during ebb tide for an easier  
 285 comparison with flood results. Magnitude and direction fluctuation gradually increase  
 286 from the surface to the bottom in flood tide in P4 and in both tide directions in P3.  
 287 For ebb tide in P4, fluctuation is almost constant throughout the water column, except  
 288 for depths under 4 m. Also, in P3, for distances from the bottom higher than 20 m, a  
 289 slightly increase appears due to the effect of water surface.

290 Comparing conditions at different locations at 3 m from the seabed, magnitude ranges  
 291 and opening angles are comparable at the four measuring points during ebb tide, while  
 292 during flood tide clearly higher values are found at P2 and P4 due to the geomorphologic  
 293 characteristics of the areas surrounding the devices installation spot. More specifically,  
 294 the presence of a small underwater hill some meters north to P2 in Naru Strait and the  
 295 convergence of port and channel flows near P4 in Kobe Strait. This characteristic is also  
 296 clear in the time history plots in Fig 3.

Table 1: Averaged velocity, velocity magnitude range and direction opening angle for flood and ebb tide in P1, P2 and representative depths of P3 and P4

Point	Distance from bottom (m)	Tide direction	Avg velocity (m/s)	Range (m/s)	Direction fluctuation (rad)
P1	3	Flood	0.984	0.885	0.901
		Ebb	1.020	0.913	0.865
P2	3	Flood	1.059	1.594	1.776
		Ebb	1.012	0.778	0.891
P3	3	Flood	0.986	0.822	0.841
		Ebb	0.987	0.998	0.884
	10	Flood	1.243	0.865	0.663
		Ebb	1.231	0.553	0.467
	15	Flood	1.307	0.667	0.369
		Ebb	1.298	0.484	0.411
	20	Flood	1.360	0.580	0.376
		Ebb	1.347	0.620	0.378
P4	3	Flood	1.029	1.284	1.250
		Ebb	1.002	1.093	0.997
	10	Flood	1.480	1.048	0.665
		Ebb	1.126	0.937	0.967
	15	Flood	1.519	0.887	0.575
		Ebb	1.131	0.970	0.862

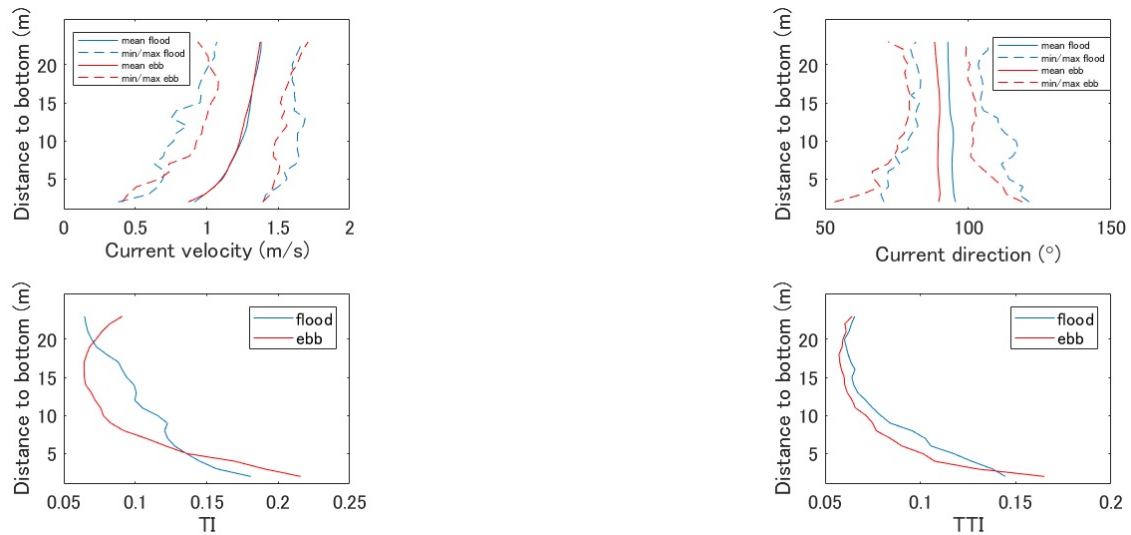


Figure 4: P3 vertical profiles for maximum, minimum and mean velocity magnitude and current direction; TI and TTI

### 297 3.2 Prediction of velocity magnitude fluctuation

298 Time history of turbulence intensity for a tidal cycle at the four measuring points is  
 299 presented in Fig 6. Excluding slack conditions, turbulence intensity values between 5%  
 300 and 40% are observed. Regarding data measured at 3 meter from the bottom, a strong  
 301 variation between ebb and flood conditions is found in P2. To a lesser extent, this effect  
 302 can be also observed in P3 and P4, where turbulence intensity is slightly higher during

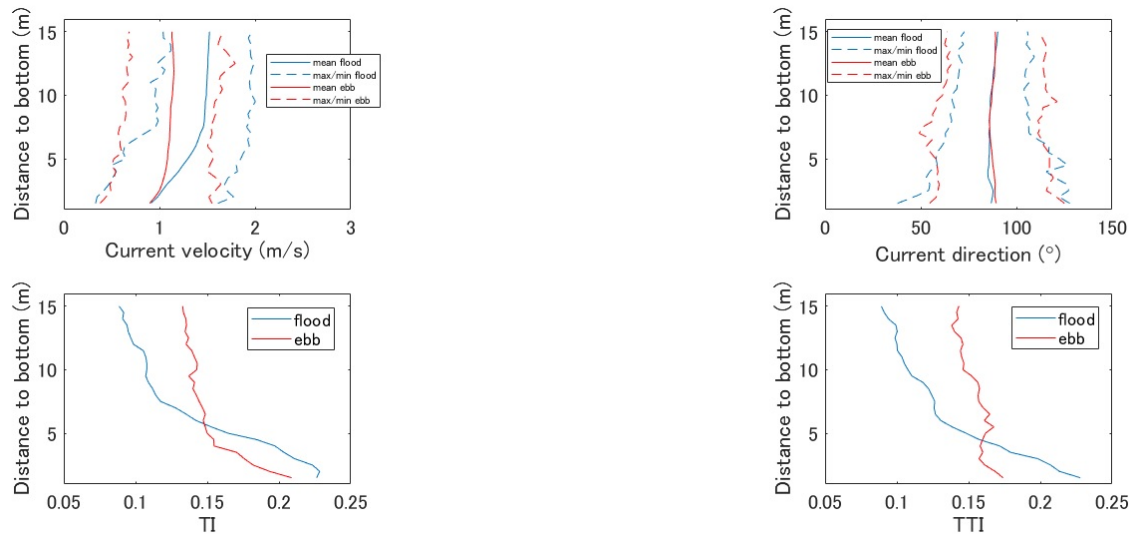


Figure 5: P4 vertical profiles for maximum, minimum and mean velocity magnitude and current direction; TI and TTI

303 ebb and flood tide, respectively. As stated in Section 3.1, these variations are due to  
 304 the geomorphological conditions of the area surrounding the measuring point, and have  
 305 been observed in similar studies for points near the coast [25].

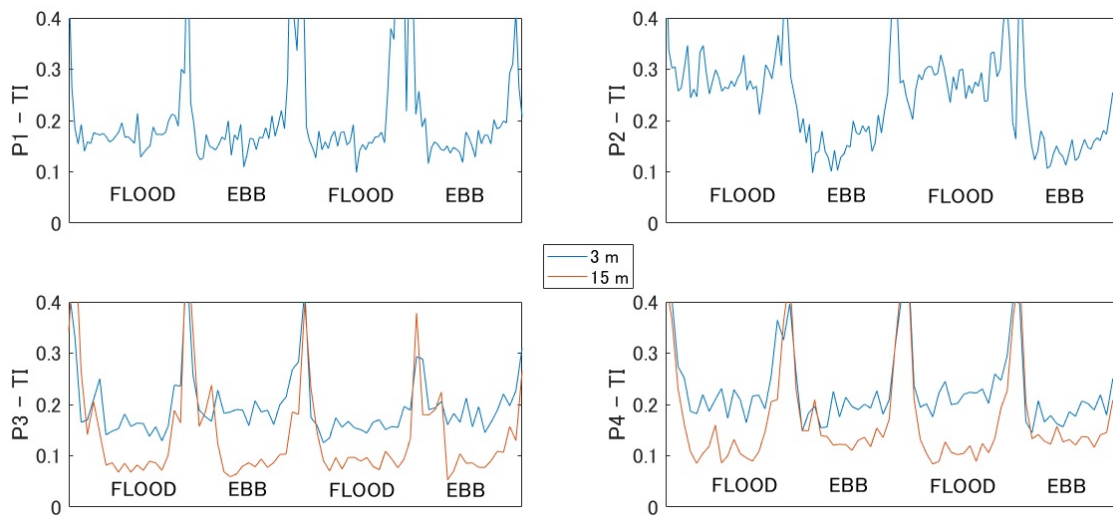


Figure 6: Turbulence intensity time history for a tidal cycle in P1 (3m), P2 (3m), P3 (3m, 15m) and P4 (3m, 15m)

306 With regard to the dependence on turbulence conditions with depth (P3 and P4),  
 307 lower turbulence intensity was found at shallower layers. This effect is clearly notable in

308 Fig 6 for P3, where TI-time representations at 3 m and 15 m follow parallel trends with  
 309 averaged turbulence intensity values of approximately 15% and 8%, respectively. Similar  
 310 characteristics are found in P4 during flood tide. However, during ebb tide, due to the  
 311 confluence of port and channel flows near the measuring point, the influence of horizontal  
 312 turbulence generator forces is stronger and the gap between shallower and deeper layers  
 313 due to the bottom effect is lower. The differences between the flows at both depths is  
 314 also clear in the vertical profiles in 4 and 5. Turbulence intensity decreases from the  
 315 bottom to shallower depths in P3 and with flood tides in P4 (with the exception of the  
 316 upper layers in P3 due to surface effect), while for ebb tide in P4 turbulence intensity  
 317 decreases from the bottom to nearly 4 m and remains almost constant for shallower  
 318 layers.

319 As expected, comparing results for turbulence intensity and velocity magnitude fluc-  
 320 tuations (Section 3.2), a clear relation appears. As presented in Section 2.3.4, once this  
 321 correlation is confirmed, the following analysis aims at finding the value for  $MF_M$  in  
 322 Eq 3 for the various percentiles. The first option is assuming a normal distribution.  
 323 Skewness and kurtosis analysis are carried out to validate this assumption.

324 Skewness is parameterized by the Groeneveld and Meeden [28] factor (Eq 6):

$$S_{GM} = \frac{\int_0^{\frac{1}{2}} (Q_{1-\alpha} - 2M + Q_\alpha) \partial\alpha}{\int_0^{\frac{1}{2}} (Q_{1-\alpha} - Q_\alpha) \partial\alpha} \quad (6)$$

325 Where Q is the quantile and M is the median. The percentiles used for this integration  
 326 are those corresponding with sigma integer multiplying factors for a theoretical normal  
 327 distribution (0.1, 2.3, 15.9, 84.1, 97.7, 99.9), as well as all the multiple of five from 5  
 328 to 95.  $S_{GM}$  is bounded by 1 on its absolute values, being zero the value for completely  
 329 symmetrical data. Results for this skewness factor are represented in Fig 7. Red lines  
 330 mark the median value, blue boxes limit the area between percentile 25 and percentile  
 331 75, and the dot lines show the range from the minimum to the maximum value excluding  
 332 outliers. Considering the median value of the whole measuring period, skewness factors  
 333 between -0.05 and 0.05 are found in all the layers and points, without a uniform tendency  
 334 (slightly positive skew in the lower layers in P4 and deepest layer in P3, slightly negative  
 335 skew in P1, P2 and all the other layers in P3, and negligible skew from layer 7 to 29 in  
 336 P4).

337 Kurtosis is calculated by Eq 7. With this definition, normal distribution data is  
 338 identified by  $k=3$ . Kurtosis lower than 3 means a more outlier-resistant distribution,  
 339 while for more outlier-prone distributions kurtosis is higher than 3.

$$k = \frac{E(x - \mu)^4}{\sigma^4} \quad (7)$$

340 where  $\mu$  is the mean of x,  $\sigma$  is the standard deviation of x, and E(t) represents the  
 341 expected value of the quantity t. Following the same procedure as for the skewness  
 342 factors, median values very close to 3 were found. Only for P1, P2 and the deeper layers  
 343 in P3 lower k was observed (see Fig 8). This can be attributed to 5-minutes or 3-minutes

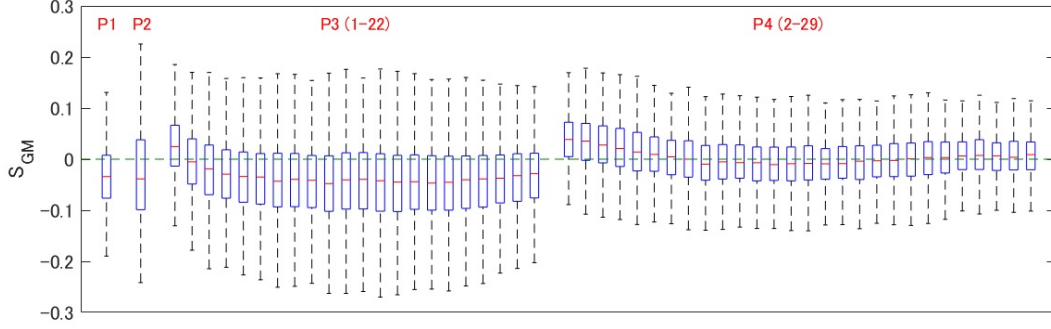


Figure 7: Groeneveld and Meeden skewness factor box plot for velocity magnitude short samples measured in P1, P2, P3 and P4

344 periods with low averaged velocities ( $\bar{V} < 1$  m/s), when the normal distribution bell is  
 345 cut in its left corner (from zero) due to the lack of negative values. Thus, a good fit  
 346 of measured data to the normal distribution can be concluded and the corresponding  
 347 theoretical  $\sigma$  multiplying factor ( $MF_M$ ) for a given magnitude percentile in a normal  
 348 distribution can be assumed for its prediction with Eq 4.

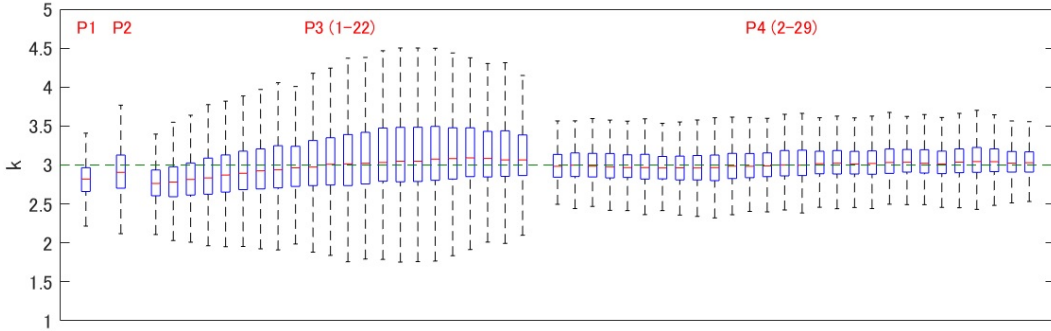


Figure 8: Kurtosis factor box plot for velocity magnitude short samples measured in P1, P2, P3 and P4

349 The validity of this equation was evaluated by comparing the different percentiles  
 350 extracted from every 3-minute or 5-minute period with their estimated values. As for  
 351 skewness and kurtosis analysis, the 52 spatial cases were treated separately (P1, P2, 22  
 352 layers in P3 and 28 layers in P4), calculating for each of these cases the prediction levels  
 353 for 0.1 m/s, 0.15 m/s and 0.25 m/s absolute errors.

354 The median of the 52 spatial cases prediction levels are presented in Fig 9 for seven  
 355 representative percentiles. According to these results, velocity magnitude fluctuation fits  
 356 well with a normal distribution, with prediction levels very close to 100% for the central  
 357 percentiles of the bell (from 2.3 to 97.7). For percentiles 0.1 and 99.9, a slight variation  
 358 is observed. An analysis of the errors observed for these two cases is presented in Fig 10.  
 359 With a median of absolute error close to zero for all the spatial cases, an overestimation

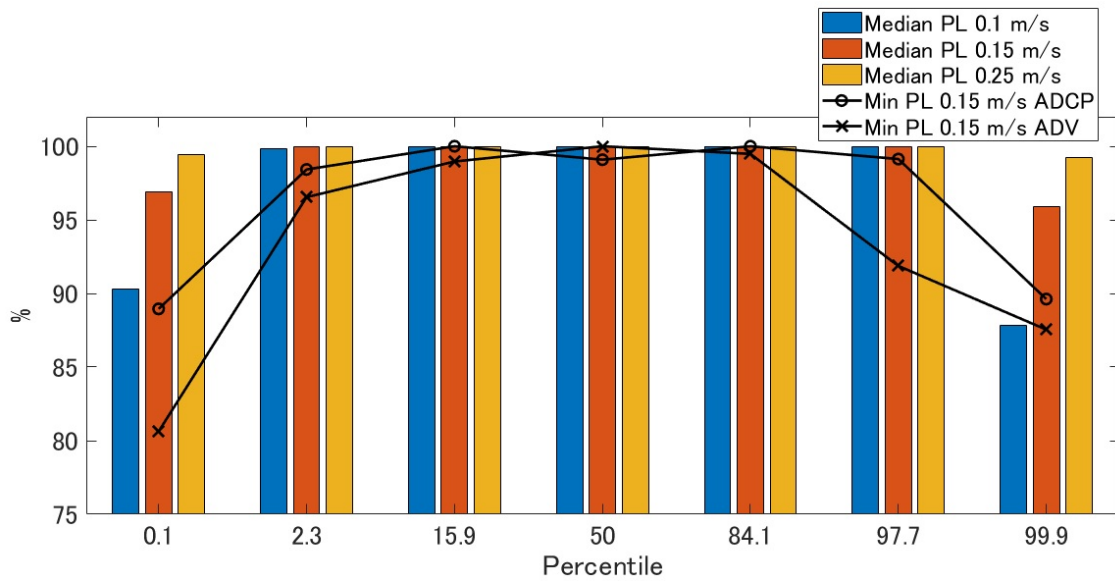


Figure 9: Median value of the 52 prediction levels (Median PL) for margins of error of 0.1 m/s, 0.15 m/s and 0.25 m/s considering a normal distribution for velocity magnitude fluctuation and minimum prediction levels (Min PL) for a margin of error of 0.15 m/s using ADCP and ADV

360 or underestimation of percentile 99.9 and percentile 0.1 (and by extension to the other  
 361 percentiles) assuming a normal distribution can be discarded.

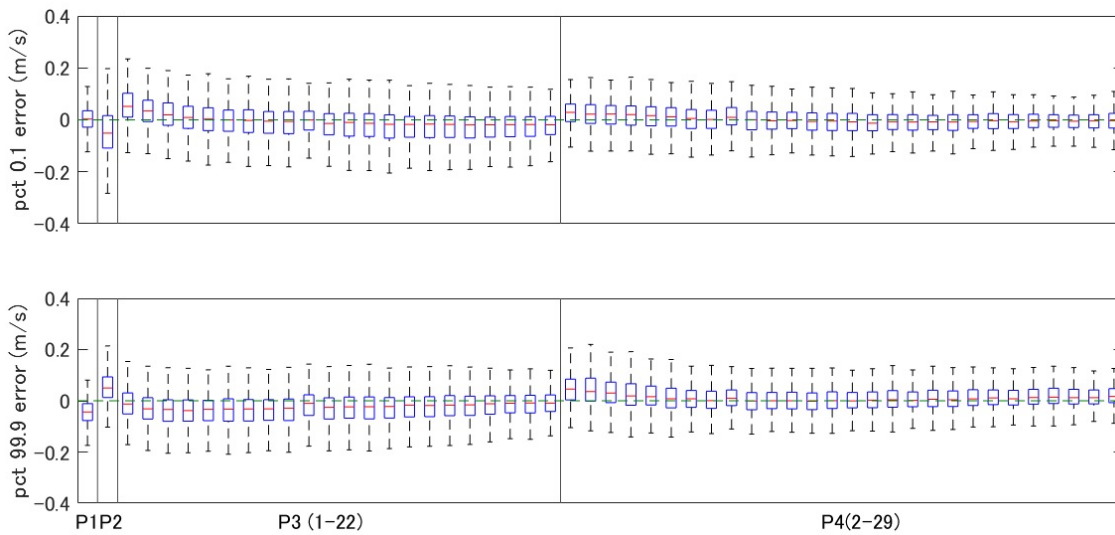


Figure 10: Absolute errors box plot for percentiles 99.9 (pct 99.9) and 0.1 (pct 0.1) of velocity magnitude with the normal distribution assumption



### 3.3 Prediction of current direction fluctuation

Time history for the same period as for turbulence intensity in Fig 6 is presented in Fig 11 for transverse turbulence intensity. Except for the more pronounced difference between ebb and flood tide conditions in P3 at 3 meter from the bottom or in P4 at both representative depths, results are very similar to those presented in Fig 6. Regarding the vertical profiles, shown in Fig 4 and Fig 5, TTI curves for ebb and flood in P3 are similar, decreasing from the bottom to approximately 12 m from the seabed and remaining almost constant for upper layers. In P4, during flood tide TTI gradually decreases from the bottom to the surface, while for ebb tide it is constant throughout the whole water column. The same characteristics were observed for the variations in current direction for a 3-minute or 5-minute period (Fig 4 and Fig 5), confirming the expected relation between transverse turbulence intensity and current direction fluctuation.

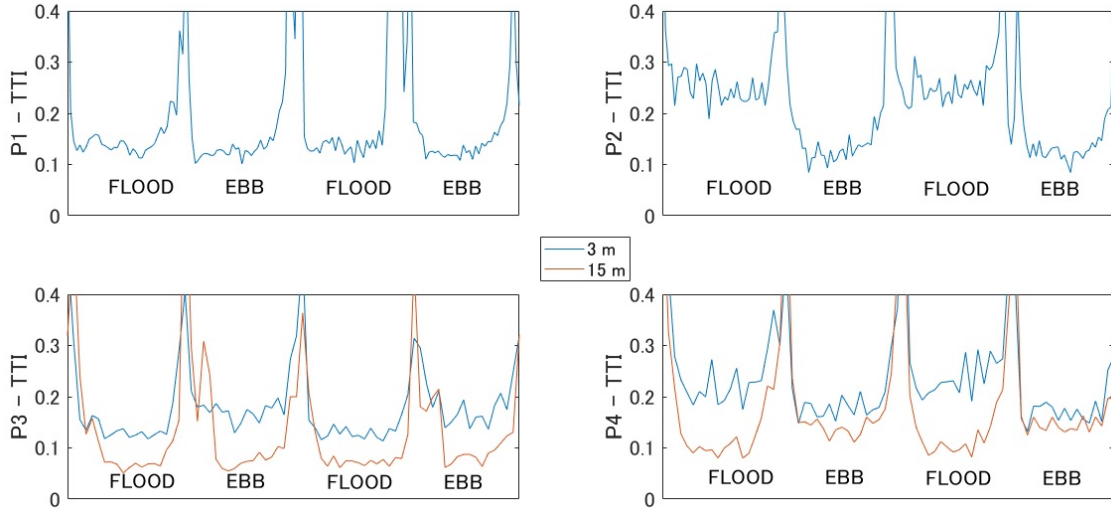


Figure 11: Transverse turbulence intensity time history for a tidal cycle in P1 (3m), P2 (3m), P3 (3m, 15m) and P4 (3m, 15m)

As for velocity magnitude, the adaptability of current direction fluctuation in a short period of time to a normal distribution is evaluated with skewness and kurtosis analysis. Results obtained for skewness evaluation are shown in Fig 12, with coefficients slightly deviated from zero for all the cases ( $|S| < 0.05$ ), and without a uniform tendency (positive skew in P4 and the lower layers in P3 and negative skew in the upper layers in P3, P1 and P2). In addition to the evaluation of the adaptability to a normal distribution, this also confirms symmetry in current direction fluctuation for a short period data and validates the assumption established in Section 2.3.3.

Regarding kurtosis, Fig 13 shows values clearly higher than 3 for all the 52 cases, based on which a leptokurtic distribution more outlier-prone than normal can be concluded. Substituting  $MF_D$  in Eq 5 by the theoretical  $\sigma$  multiplier factor in a normal distribution for every analyzed opening angle, despite the good adaptability for central

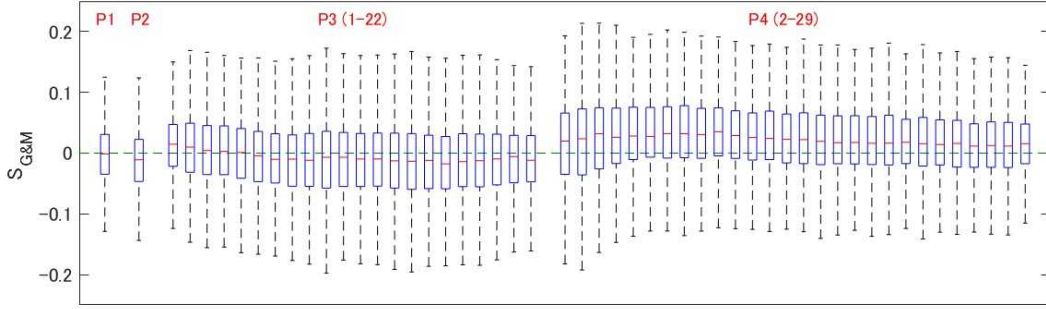


Figure 12: Groeneweld and Meeden skewness factor box plot for velocity direction short samples measured in P1, P2, P3 and P4

386 angles (55-45 to 90-10), flow direction fluctuation does not fit well with a normal distri-  
 387 bution for the widest angles (see Fig 14). Thus, alternative  $MF_D$  must be found for the  
 388 correlation between transverse turbulence intensity and opening angle. The prorated  
 389 values obtained empirically for each opening angle following the procedure described in  
 390 Section 2.3.4 and Section 2.3.5 are presented in Table 2.

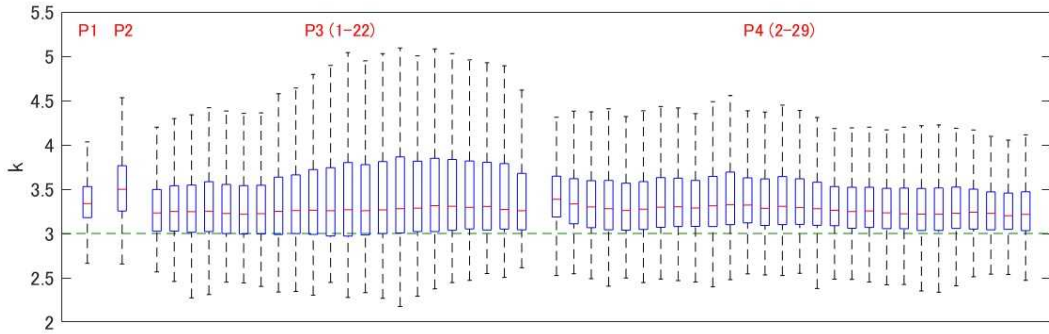


Figure 13: Kurtosis factor box plot for velocity direction short samples measured in P1, P2, P3 and P4

391 The multiplier factors for the different angles are consistent with the leptokurtic  
 392 distribution concluded from the kurtosis analysis, with  $MF_D$  higher than those in a  
 393 normal distribution for the three widest angles. This approximation fits more than 75%  
 394 of 3-minutes or 5-minutes samples with a margin of error of 15% and more than 92% if  
 395 we consider a margin of 25% from the measured value for the 0.1-99.9 angle. Considering  
 396 absolute error, results are also clearly better than those observed when compared with  
 397 the normal distribution, increasing the median of prediction levels for 1°, 2° and 5° in 14,  
 398 18 and 21 percentage points. For the 2.3-97.7 angle, the proposed approximation fits  
 399 more than 77% of samples with a 5% margin of error, increasing to almost 100% for  
 400 higher margins, as shown in Table 2. For narrower opening angles, with empirical  $MF_D$   
 401 very close to a normal distribution, error conclusions are similar to those presented in

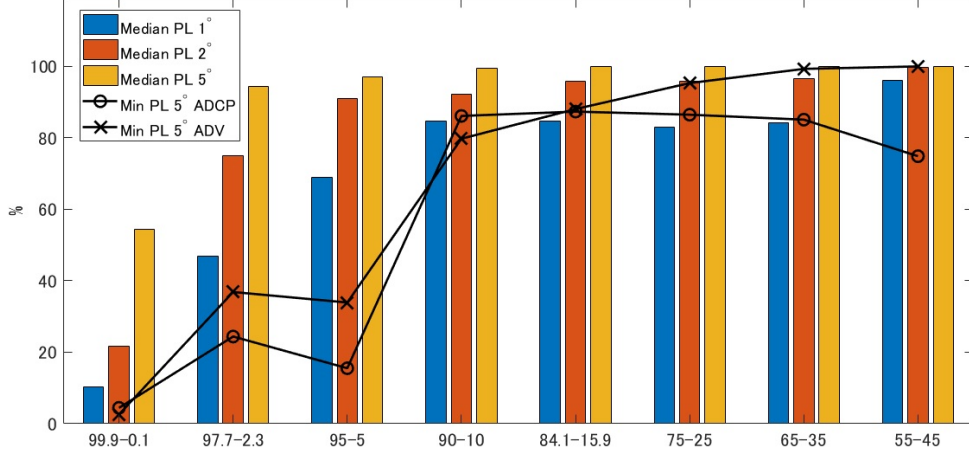


Figure 14: Median value of the 52 prediction levels for margins of error of 1°, 2° and 5° considering a normal distribution for velocity direction fluctuation and minimum prediction levels for a margin of error of 2° using ADCP and ADV

Table 2: Multiplying factor, absolute and relative prediction levels for the different analyzed opening angles

Angle	$MF_D$ (Theo. Normal Distribution)	PL abs 5°(min-max)	PL abs 10°(min-max)	PL rel 15% (min-max)	PL rel 15% (min-max)
99.9-0.1	6.79 (6.00)	0.55-0.89	0.82-0.98	0.75-0.97	0.92-0.99
97.7-2.3	4.17 (4.00)	0.93-1.00	0.98-1.00	0.98-1.00	0.99-1.00
95-5	3.38 (3.29)	0.97-1.00	0.99-1.00	0.99-1.00	0.99-1.00
90-10	2.60 (2.56)	0.99-1.00	0.99-1.00	0.98-1.00	0.99-1.00
85-15	2.08 (2.07)	0.99-1.00	0.99-1.00	0.97-1.00	0.98-1.00
84.1-15.9	2.01 (2.00)	0.99-1.00	0.99-1.00	0.97-1.00	0.98-1.00
80-20	1.68 (1.68)	0.99-1.00	0.99-1.00	0.97-1.00	0.98-1.00
75-25	1.37 (1.35)	0.99-1.00	0.99-1.00	0.97-1.00	0.98-1.00
70-30	1.08 (1.05)	0.99-1.00	0.99-1.00	0.97-1.00	0.98-1.00
65-35	0.81 (0.77)	0.99-1.00	1.00-1.00	0.97-1.00	0.98-1.00
60-40	0.54 (0.51)	0.99-1.00	1.00-1.00	0.97-1.00	0.98-1.00
55-45	0.27 (0.25)	1.00-1.00	1.00-1.00	0.98-1.00	0.99-1.00

402 Fig 14. Focusing in the widest angle, for which the highest difference with the measured  
403 data is found, a deeper error analysis is presented in Fig 15. For all the 52 cases, median  
404 values are close to zero, with a highest median relative error of 4.82% in the eighth layer  
405 in P3 and a lower of -4.97% in P2. Thus, as for the velocity magnitude fluctuation  
406 prediction method, overestimation or underestimation can be discarded.

## 407 4 Discussion

408 The new methods are evaluated by comparing the results from the estimation by Eq  
409 3 and Eq 5 with measured data for velocity magnitude percentiles and opening angles,  
410 respectively.

411 Regarding velocity magnitude fluctuation, Fig 16 shows a comparison of velocity  
412 magnitude measured and estimated values for the 0.1 and 99.9 percentiles during the

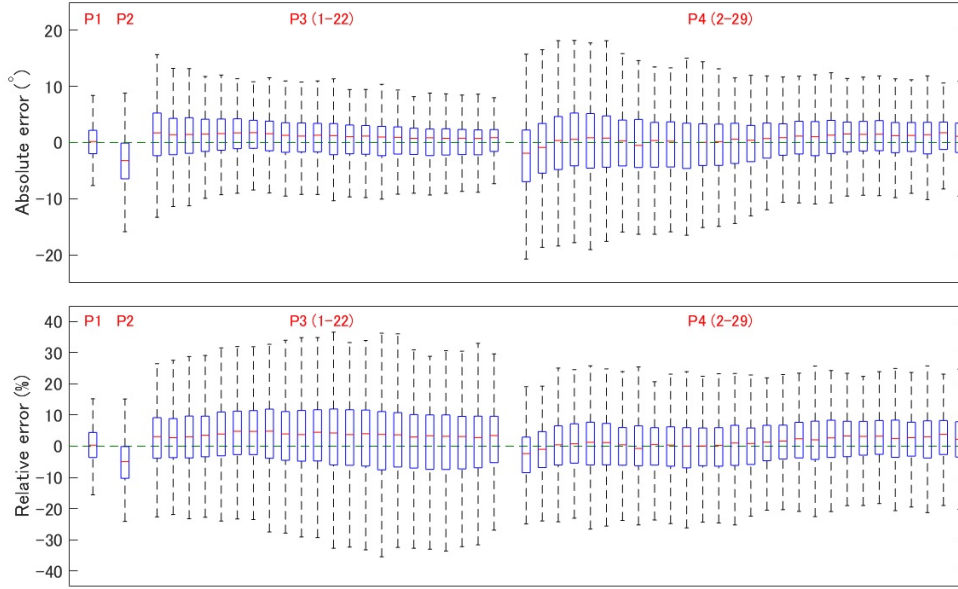


Figure 15: Absolute and relative errors box plot for pct 99.9 - pct 0.1 opening angle estimation with the empirical multiplying factor

413 measuring period in P2. Even in the worst scenario (percentiles with the lower prediction  
 414 levels and the measuring point with the highest median error), red line shows a very good  
 415 agreement with measured data, giving an idea of the high accuracy of this estimation  
 416 method. In Fig 16, it is also notable the important difference between flood and ebb,  
 417 with good results for both tide directions, which shows the capability of this method for  
 418 diverse flow conditions.

419 Similarly, a comparison of measured and estimated values for the three widest angles  
 420 in P2 is shown in Fig 17, for which values corresponding with 3-minute averaged velocity  
 421 lower than 0.7 m/s are discarded. As in Fig 16, the difference between ebb and flood  
 422 tides is notable, getting a very good correlation for both flow conditions. Since these  
 423 three opening angles show the lowest prediction levels according to information provided  
 424 in Table 2, a better agreement is expected for narrower angles and other spatial cases.

425 For current direction fluctuation prediction by numerical modelling, a turbulence  
 426 anisotropic ratio ( $\sigma_s:\sigma_t:\sigma_v$ ) needs to be assumed. As presented in Section 2.3.5, Nezu  
 427 and Nakagawa [27], based on an experimental study with two-dimensional channel flows  
 428 at relatively low Reynolds numbers, proposed the ratios 1:0.71:0.55. Regarding data  
 429 measured in strong tidal channels, Milne et al [8] observed 1:0.75:0.56 ratios for current  
 430 velocities around 2 m/s. The ratios calculated from the data measured for the present  
 431 study for the 3-minute data blocks representative of flood conditions at 1 m/s shown  
 432 in Table 1 are 1:0.85:0.45 for P1 and 1:0.78:0.58 for P2. Concerning the data measured  
 433 by ADCP, vertical averaged ratios are 1:0.79:0.40 for P3 and 1:0.98:0.41 for P4. Except  
 434 for P4, both the ratios shown in [8] and measured in the present study agree relatively

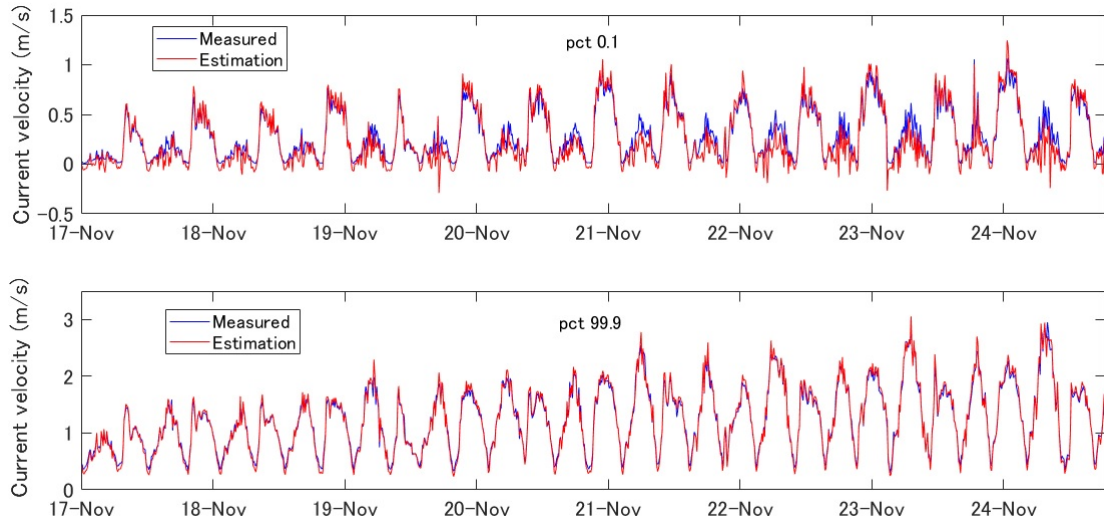


Figure 16: Comparison of measured (blue) data and estimation (red) values for percentiles 99.9 (pct 99.9) and 0.1 (pct 0.1) of velocity magnitude

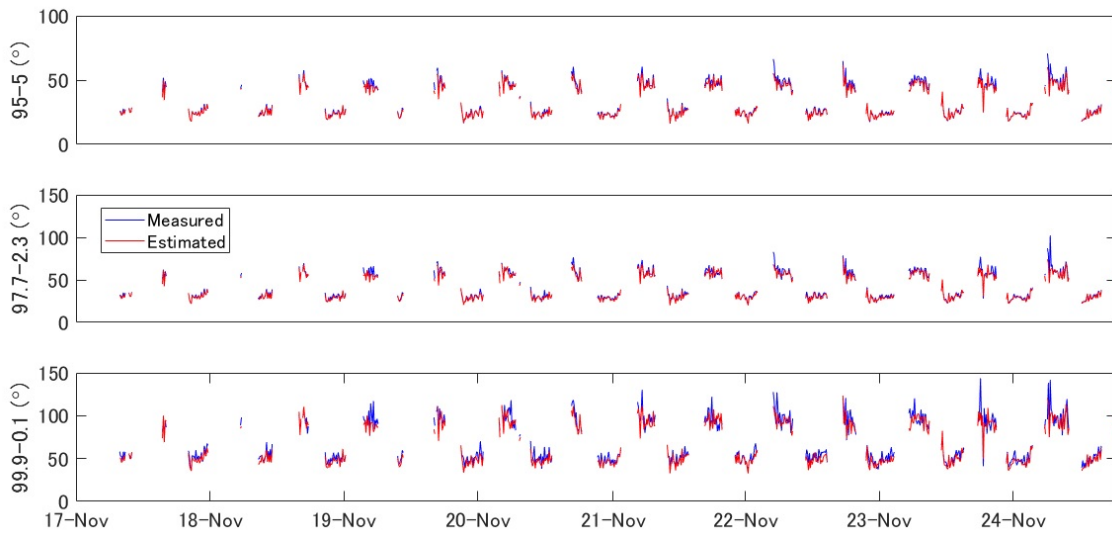


Figure 17: Comparison of measured (blue) data and estimation (red) values for opening angles 99.9-0.1, 97.7-2.3 and 95-5

435 well with those proposed by Nezu and Nakagawa [27], with the expected deviations from  
 436 the theoretical ratios due to the differences in bathymetry and Reynolds numbers. In  
 437 the case of P4, due to the shallower and narrower nature of the channel, the irregular  
 438 shape of the coastline (multiple small capes and gulfs) and the variable depth (hills and  
 439 valleys in various directions), the fluctuation in the transverse component of velocity

440 becomes more important. These differences in the turbulence anisotropic ratios must be  
441 considered when applying this method to the prediction of current direction fluctuation  
442 by numerical modelling.

## 443 5 Conclusions

444 Within the journey towards tidal energy stream commercial exploitation, one of the main  
445 topics is the adaptability of laboratory devices to sea water and flow conditions. In this  
446 regard, some converters have had to face issues related to biofouling, marine corrosion  
447 or turbulence conditions. Concerning this last topic, notable turbulence-related high  
448 frequency fluctuations in velocity magnitude and direction have been observed by in-  
449 situ measurements. The present paper analyzes this fluctuation and introduces a new  
450 method for its estimation based on short data samples measured by two ADVs and two  
451 ADCPs at 4 different locations with diverse flow conditions in the waters of Goto Islands,  
452 Japan.

453 Dividing measured data into 3-minutes (ADV) and 5-minutes (ADCP) samples, mag-  
454 nitude fluctuation is well adapted to a theoretical normal distribution. With this as-  
455 sumption, more than 80% percentiles 0.1 and 99.9 can be predicted within a margin  
456 of error of 0.15 m/s. This percentage increases to values very close to 100% for more  
457 centered percentiles (2.3, 97.7, 5, 95, . . .). For direction fluctuation, data samples show  
458 a leptokurtic distribution, which implies a greater importance of outliers. Thus, the  
459 normal distribution assumption showed good results for central opening angles (from  
460 percentiles 45-55 to percentiles 10-90), whilst for wider angles there is an underestima-  
461 tion problem. The three widest angles (5-95, 2.3-97.7, 0.1-99.9) were treated empirically,  
462 obtaining slope trends of 3.38, 4.17 and 6.79, respectively, instead of 3.29, 4 and 6 of the  
463 theoretical normal distribution. With these new factors, more than 75% of the 99.9-0.1  
464 and more than 98% of 97.7-2.3 opening angles can be estimated with a 15% margin  
465 of error. These results demonstrate the validity of this method for the estimation of  
466 this kind of fluctuation, regardless of measuring device, frequency sampling, location or  
467 depth.

468 The importance of this work lies not only in the understanding of this kind of fluc-  
469 tuations, but also in the relation of these with turbulence intensity for magnitude and  
470 transverse turbulence intensity for direction. Since both parameters can be calculated  
471 indirectly by numerical models, the conclusions extracted from this paper represent a  
472 significant step towards tidal stream energy commercialization. Reducing the need for  
473 in-situ measurements, this new prediction method allows a higher accuracy in energy  
474 resource prediction (residuals from harmonic analysis up to 5 kW/m<sup>2</sup> have been ob-  
475 served in a tidal site with maximum velocity of 3 m/s [10]) and an important advance  
476 concerning converters design, since high frequency fluctuations in velocity have an im-  
477 portant effect on the device stabilization [12] and on the dynamic loading conditions on  
478 the blades, support structures and seabed connections [11].

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