

1 **Estimating the environmental impacts of a brewery waste-based biorefinery: bio-ethanol and**  
2 **xylooligosaccharides joint production case study**

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6  
7 **Abstract**

8 In the food industry, the brewing sector holds a strategic economic position since beer is the most  
9 consumed alcoholic beverage in the world. Brewing process involves the production of a large amount  
10 of lignocellulosic residues such as barley straw from cereal cultivation and brewer's spent grains. This  
11 study was aimed at developing a full-scale biorefinery system for generating bio-ethanol and  
12 xylooligosaccharides (XOS) considering the mentioned residues as feedstock. Life Cycle  
13 Assessment (LCA) methodology was used to investigate the environmental consequences of the  
14 biorefinery system paying special attention into mass and energy balances in each production section  
15 to gather representative inventory data. Biorefinery system was divided in five areas: i) reconditioning  
16 and storage, ii) autohydrolysis pretreatment, iii) XOS purification, iv) fermentation and v) bio-ethanol  
17 purification. LCA results identified two environmental hotspots all over the whole biorefinery chain: the  
18 production of steam required to achieve the large autohydrolysis temperature (responsible for  
19 contributions higher than 50% in categories such as acidification and global warming potential) and  
20 the production of enzymes required in the simultaneous saccharification and fermentation (>95% of  
21 contributions to terrestrial and marine aquatic ecotoxicity potentials). Since enzymes production  
22 involves high energy intensive background processes, the most straightforward improvement  
23 challenge should be focused on the production of steam. An alternative biorefinery scenario using  
24 wood chips as fuel source to produce heating requirements instead of the conventional natural gas  
25 was environmentally evaluated reporting improvements ranging from 44% to 72% in the categories  
26 directly affected by this hotspot.

27  
28 **Keywords:** Agricultural residue; Biorefinery scheme; Hydrothermal process; LCA; Sustainability;  
29 Valorization

30

## 31 1. Introduction

32 The depletion of fossil fuels, the increasing concerns regarding climate change effects and the need of  
33 an environmental-friendly economy are forcing the interest towards the development of technologies  
34 based on renewable sources to produce bio-chemicals (e.g., plastics, foams, building blocks,  
35 polymers) and bio-energy (Sanders et al., 2007). In this sense, biomass plays a key role for the  
36 sustainable global development (Sanders et al., 2012). The main use of biomass is for food and feed,  
37 however, valorization of biomass-based waste is focusing the research and development since it could  
38 be used in other large scale applications and the no-competition with food/feed is guaranteed (Liu et  
39 al., 2012; Kolschoten et al., 2014). Moreover, other derived achievements from biomass-based  
40 economies have been identified such as regional energy security and rural economies improvement  
41 (Liu et al., 2012). The implementation of biorefinery approach is attaining special attention not only  
42 from an environmental perspective but also because biorefineries offer unprecedented opportunities  
43 (Liu et al., 2012; Sanders et al., 2012). The biomass-based feedstocks can be deconstructed into  
44 multiple high-added value products depending on the selected strategy (Borrega et al., 2011;  
45 Horhammer et al., 2011; Liu et al., 2012; Kolschoten et al., 2014; Vargas et al., 2015). Thus, the  
46 valorization sequence selected will considerably affects not only to the type of yielding products but  
47 also their yield and inputs/energy requirement.

48 Regarding potential feedstocks used in biorefineries, there is a considerable interest in straw, a  
49 lignocellulosic by-product. Cereal straw is an agricultural residue from harvesting, which has  
50 traditionally been incorporated into the soil as nutrients and carbon supplier, directly burnt for heating  
51 purposes or used as animal bedding (Soon and Lupwayi, 2012). Nevertheless, it has attracted the  
52 attention from cellulosic ethanol industry by environmental and cost-effective issues (Kumar et al.,  
53 2016; Neves et al., 2016; Vargas et al., 2016).

54 Barley (*Hordeum vulgare*) is an abundant cereal in the world and it is one of the ten most common  
55 crops (Krawczy et al., 2005), being Spain placed in the fifth position in terms of global production  
56 volume (Vargas et al., 2015). One of the main barley-demanding industries is the brewing industry,  
57 where the grain is the main raw material. The high starch content and the good adherence of the  
58 husks to the grain body even after malting and milling are the rationale behind barley use in beer  
59 production (Pascari et al., 2018). Beer is the most consumed alcoholic beverage in the world (Pascari  
60 et al., 2018) so, the demand for barley grain is outstanding. According to the beer production process

61 (Mussatto, 2014; Pascari et al., 2018), the brewer's spent grains (BSG) constituted by the husk and  
62 seed coat layers are the main residue from the brewing process (~ 20kg of wet brewer's spent grains  
63 are produced per 100L of beer produced) as well as lacks economically feasible applications  
64 (Mussatto, 2014; Rojas-Chamorro et al., 2018). Moreover, cereal cultivation stage involves the co-  
65 production of straw – up to 0.53 kg straw per kg grain (Larsen et al., 2012; Vargas et al., 2015). Both  
66 (spent grains and straw) are an important and cheap source of lignocellulosic material with high  
67 carbohydrate content, so multiple potential applications such as second generation bio-ethanol and  
68 high-added value products could be identified (Vargas et al., 2015, 2016).

69 Having a look into lignocellulosic structure, it is constituted by cellulose, hemicelluloses and lignin as  
70 principal components. The cellulose, through enzymatic hydrolysis and fermentation, it might be  
71 converted to liquid fuels such as bioethanol. The hemicelluloses are considered an important source  
72 of valuable compounds as xylooligosaccharides (XOS), useful in food and pharmaceutical industries.  
73 XOS (considered novel non-digestible oligosaccharides with prebiotic potential, immunostimulating  
74 effect, anti-allergy, anti-infection and anti-inflammatory properties) are made up of  $\beta$ -(1,4)-linked  
75 xylose units (Chung et al., 2007; Meyer et al., 2015; Reis et al., 2014). The lignin can be used for the  
76 obtaining of high added-value products, such as resin precursors, heavy metal sequestrant,  
77 antimicrobial agents, aromatic compounds, syngas products, among others (Dávila et al., 2017).  
78 Therefore, this work deals with the large scale design and optimization of an industrial process for  
79 both barley straw and BSG valorization following a biorefinery scheme. The valorization sequence  
80 chosen for analysis includes a first step of hydrothermal pretreatment, with recovery of valuable  
81 hemicellulose-derived compounds in a separate liquid stream, and other step of simultaneous  
82 saccharification and fermentation (SSF) of the solid stream to obtain high bio-ethanol concentrations.  
83 The biorefinery scheme has been assessed from an environmental following the LCA methodology  
84 and considering a cradle-to-gate approach. To our knowledge, there is no peer-review studies  
85 available in the literature that analyse the joint production of bio-ethanol and XOS from alternative  
86 feedstocks. In the following, the production process at large scale of bio-ethanol and XOS is described  
87 in detail paying special attention to the design process.

88

## 89 **2. Methodology**

### 90 **2.1. Life Cycle Assessment**

91 Life Cycle Assessment (LCA) is considered one of the most developed tools for looking holistically at  
92 the environmental consequences linked to the life cycle of production processes, products or services.  
93 In this sense, it is widely used by environmental professionals and policy makers for the systematic  
94 evaluation of the environmental dimension of sustainability. Numerous studies focused on chemical  
95 and waste management processes have been environmentally assessed following the ISO 14040  
96 (2006) guidelines (Burgess and Brennan, 2001; Kralisch et al., 2014; Al-Salem et al., 2014; Dearsola  
97 et al., 2012). In addition, several authors have explored the implementation of LCA methodology in  
98 environmental studies of biorefineries (Mu et al., 2010; Neupane et al., 2013; Gilani and Stuart, 2015).  
99 Therefore, its applicability in this area is justified.

100

## 101 **2.2. Goal and scope definition**

102 The goal of this LCA study is to provide a full overview regarding the production of both bio-ethanol  
103 and XOS under a biorefinery scheme as well as to determine its environmental performance. To do  
104 so, the biorefinery process has been modelled at full-scale process based on laboratory-scale data  
105 (Vargas et al., 2015, 2016). The scale-up of chemical processes requires a certain understanding of  
106 the involved steps (Piccinno et al., 2016). Therefore, the framework proposed by Piccinno et al. (2016;  
107 2018) for scaling-up chemical production systems for LCA studies from laboratory-scale data has  
108 been followed in detail. An attributional cradle-to-gate approach has been contemplated in this  
109 research study, considering barley straw and BSG from brewery industry as key raw materials.

110 Since an attributional approach has been considered, the impacts have been estimated from the  
111 processes and material/energy flows used directly in the bio-ethanol and XOS life cycle. Therefore,  
112 energy and mass balances have been performed for the modeling of the full-scale biorefinery plant  
113 with the aim of gathering all the required data for the Life Cycle Inventory stage.

114 As difference to laboratory processes which are often far from being optimized (mostly in terms of  
115 resource consumption and energy efficiency) as well as they do not have the benefit of economies of  
116 scale (Piccinno et al., 2018), scale up production processes give a first approach to identify  
117 bottlenecks that should need to be improved in perspective of a possible industrial production.  
118 Therefore, a contribution analysis of the different production sections has been performed with the aim  
119 of identifying the environmental hotspots.

120

### 121 **2.3. Functional unit and allocation procedure**

122 LCAs are often performed using a functional unit that refers to the product obtained in the production  
123 system. However, biorefineries commonly yield on multiple co-products. In biorefinery systems the  
124 choice of method for allocating environmental impacts between the co-products is a common  
125 challenge (Cherubini et al., 2011; Sandin et al., 2015) since it can considerably influence decision-  
126 making strategies. In addition, allocation problems arise when it is not feasible to split involved  
127 processes or areas between the co-products. Thus, two approaches have been considered in this  
128 study to report the environmental impacts derived from the biorefinery under study.

129 1. Approach avoiding allocation: the functional unit is considered as the portfolio of co-products  
130 (i.e., bio-ethanol and xylooligosaccharides) that are generated in the valorization route (Gilani and  
131 Stuart, 2015). Thus, environmental impacts are calculated for a reference flow of 74.22 tonnes of  
132 lignocellulosic stream that enters in the valorization pathway and corresponds with a production batch.

133 2. Approach including allocation: the environmental impacts of the biorefinery are allocated to the  
134 co-products using a partitioning method based on the economic value (market value) of co-products.  
135 This perspective is deemed reasonable since both are target products for the biorefinery. The  
136 partitioning has been applied to areas connected to both products such as raw material reconditioning  
137 and storage (area 1) and autohydrolysis pretreatment (area 2). Regarding ancillary activities (solid and  
138 liquid waste management) and on-site emissions derived from the valorization strategies, it has been  
139 possible to identify which flow correspond to each co-product and thus, partitioning has not been  
140 required. This overriding approach is acknowledged by ISO 14044 (ISO 14044, 2006).

141

### 142 **2.4. Description of the full-scale bio-ethanol and XOS production biorefinery**

143 The raw material considered in this biorefinery is based on the combination of barley straw from cereal  
144 cultivation stage and the BSG from the brewing process. **Figure 1** displays the simplified system  
145 boundaries for the biorefinery process considered under evaluation. The production process has been  
146 divided in five main areas according to the breakdown of a real industrial plant. Within each area, the  
147 different involved operations have been identified and designed in detail.

148

149

<Figure 1>

150

151 Thus, it has been chosen and designed the appropriate equipment required in the biorefinery process  
152 (e.g., reactors, distillation column, ultrafiltration unit, ...) as well as other secondary machinery (e.g.,  
153 pumps, heat exchangers, conveyor belts, ...) resulting in the simple plant flow diagram displayed in  
154 **Figure 2**. A detailed description of each area and corresponding involved operations is detailed below.

155

156

<Figure 2>

157

158 Area 1: Raw material reconditioning and storage. In this area the raw material is received directly from  
159 both a local cereal farm and a local brewery. Barley straw is milled, air-dried, homogenized and  
160 warehoused in silos at atmospheric pressure and room temperature to guarantee its conservation.  
161 Regarding the BSG, they are received with 78% of moisture content and stored in silos at 4°C until  
162 use.

163 Area 2: Autohydrolysis pretreatment. Both streams (straw and spent grains) are subjected to a non-  
164 isothermal autohydrolysis with water to achieve a liquid to solid ratio of 8 g liquid per g dry material.  
165 The operation temperature in the reactor is 210°C, conditions reported as optimal for obtaining high  
166 amounts of XOS (Vargas et al., 2015). This stage is key since it permits the selective separation of the  
167 main components of the lignocellulosic biomass to give valuable products such as oligosaccharides  
168 derived from the solubilization of the hemicelluloses and a solid fraction rich in cellulose and lignin  
169 (Dávila et al., 2016). At the end of the hydrothermal pretreatment, the reactor is cooled at 45°C. The  
170 autohydrolysis liquors (liquid fraction) are separated from the spent solids (solid fraction) in the  
171 filtration unit (press filter).

172 Area 3: Xylooligosaccharides purification. The liquid fraction rich in hemicellulose-derived compounds  
173 from the filtration unit is subjected to a purification step based on ultrafiltration, evaporation and spray  
174 drying operations. A membrane ultrafiltration unit is required to removing undesired compounds (such  
175 as monosaccharides and compounds derived from extractives and lignin) generated in the  
176 autohydrolysis step and to partially concentrate the liquors (Gullón et al., 2014). The concentrated  
177 fraction rich in XOS is sent to a triple-effect evaporators train under cross-current feeding to increase  
178 the higher economy. The output-stream from the evaporation unit present an average composition of  
179 50% (w/w) in XOS. Next, it is sent to the spray drying unit where oligosaccharides-rich stream is

180 sprayed and the XOS power (maximum 5% moisture) is obtained as final product, which is finally  
181 stored. Natural gas is used as fuel in the drying unit.

182 Area 4: Fermentation. The solid fraction from the filtration unit must be sent for bio-ethanol production.  
183 The fermentative production of bio-ethanol can be performed by consecutive stages of hydrolysis and  
184 fermentation, or in a single stage of Simultaneous Saccharification and Fermentation (SSF). In the  
185 latter, enzymes and fermenting microorganisms are present in the same medium. This configuration  
186 has been chosen for designing since reports multiple advantages (Buruiana et al., 2014). Firstly, the  
187 preparation of the inoculum is carried out; for this, cells of *Saccharomyces cerevisiae* CECT1170  
188 (Spanish Collection of Type Cultures, Valencia, Spain) are grown at 32°C for 24 h in a medium  
189 containing 10 g glucose/L, 5 g peptone/L, 3 g malt extract/L, and 3 g yeast extract/L. After growth,  
190 cells are recovered by centrifugation, resuspended in a phosphate buffer solution and inoculated in the  
191 medium SSF. SSF media was prepared by mixing the desired amounts of barley straw and BSG with  
192 water (at a liquid to solid ratio of 8 w/w), enzymes (cellulase, Cellic Ctec2) at a ratio of 2 Filter Paper  
193 Units (FPU) per g of pretreated dry solid, and nutrients (the same as in the preparation of the inoculum  
194 but without glucose).

195 SSF is the second step and six fermenters are considered for this purpose. SSF is performed in fed-  
196 batch mode and substrate, enzymes and nutrients are fed in three separate loads: the first at the  
197 beginning of the fermentation, the second at 24 h and the third at 48 h (Vargas et al., 2015). The fed-  
198 batch SFF configuration (FBSSF) has been considered since allows working at high solid loading,  
199 achieving high ethanol concentrations and minimising operational problems (Vargas et al., 2015).  
200 FBSSF is performed at pH=5, 35°C and 120 rpm. The fed-batch SSF lasts up to 120 h.

201 It is important to bear in mind that all the required inputs in boths steps must be carefully sterilized  
202 as well as the equipments used (pre-fermenters to produce the inoculum and fermenters to carry out  
203 the SSF) by means of the injection of steam to avoid possible contaminations.

204 Area 5: Bio-ethanol purification. This stage consists on the purification of the bio-ethanol rich stream  
205 from FBSSF. Firstly, solids presented in the stream must be removed (biomass and spent solids). To  
206 do so, the stream is derived to a centrifugation unit. The liquid fraction is heated-up from 35°C to 65°C  
207 with the aim of transferring the dissolved CO<sub>2</sub> from fermentation to gas phase. Secondly, heated  
208 stream is fed into a gas-liquid separator. The gas phase rich is CO<sub>2</sub> is vented and the liquid phase is  
209 heated-up till 95°C (saturated liquid temperature) before being introduced in the distillation unit. After

210 distillation, the bottom stream is mostly constituted by water together with residual sugars, enzymes  
211 and salts from the fermentation medium. Distillate is rich in ethanol (~90% in weight). After being  
212 condensed, it is sent to the dehydration unit (molecular sieves) in order to obtain fuel grade bio-  
213 ethanol (purity >99.5%). Finally, bio-ethanol is stored for further distribution.

214 As indicated in **Figure 2**, solid and liquid wastes are produced in different steps. Activities involved in  
215 these wastes management have been included within the system boundaries as ancillary stages (see  
216 **Figure 1**). Liquid and solid wastes produced in the biorefinery are sent to a wastewater treatment  
217 plant (WWTP) and to composting (SWM), respectively. It is assumed that both installations are placed  
218 in the surroundings of the biorefinery.

219

## 220 **2.5. Life Cycle Inventory data and sources**

221 Among the LCA stages, Life Cycle Inventory analysis is the most relevant one since all data related to  
222 the production process (relevant inputs and outputs as well as emissions) must be gathered and  
223 accounted for further steps. In addition, high quality inventory data must be managed to obtain reliable  
224 results. Data corresponding to the foreground system (i.e., the biorefinery process) have been  
225 modelled in detail and identified all of them per area. The modelling of the full-scale facility required  
226 the scale-up of the laboratory production process. The selected studies (Buriana et al., 2014; Vargas  
227 et al. 2015, 2016) supplied useful information regarding the steps and quantities required at lab scale.  
228 The scale-up sequence proposed by Piccinno et al. (2018) has been followed in detail. In addition,  
229 calculation procedures and equations have been used for the specific design of the required  
230 equipment (Sinnott and Towler, 2009). As in other industrial facilities, the single processes are linked  
231 throughout transfer of reaction mixtures and the inter-process heat and energy recovery. Therefore,  
232 the estimated energy and mass flows have been accomplished as foreground-inventory data. In  
233 addition, the stoichiometric amounts of each reactant (including enzymes) considering lab protocols  
234 have been computed in the inventory data in line with Piccinno et al. (2016, 2018). Relevant inventory  
235 data from mass and energy balances to the foreground system is summarised in **Table 1**.

236

237

<Table 1>

238



239 Whenever possible primary data must be processed to achieve representative results. Nevertheless,  
240 sometimes it is necessary to go to secondary data mainly for background processes. In this study,  
241 only secondary data have been managed for background system, which involves the production of  
242 utilities (electricity, fossil fuels) and other inputs to the foreground system (chemicals, water and  
243 nutrients). Ecoinvent® database version 3.2 (Wernet et al., 2016) has been considered as main  
244 secondary data source. The biorefinery is planned to be placed in Spain due to the large availability of  
245 raw material. Thus, current data for the average electricity generation and imports/exports from Spain  
246 in 2017 (Red Eléctrica de España, 2017) have been considered to update the electricity defined in the  
247 database (Dones et al., 2007). Regarding enzymes production process and derived environmental  
248 impacts, information has been taken from Gilpin and Andrae (2017) as well as from Nielsen and  
249 Wenzel (2007).

250 Ancillary activities such as wastewater treatment and solid waste management have been also  
251 included within the system boundaries to compute the environmental impacts derived. Inventory data  
252 corresponding to the wastewater treatment plant have been taken from Doka (2007). Solid residue  
253 from from the centrifuge is sent to composting and inventory data have been taken from Doka  
254 (2007). **Table 2** lists the background processes directly taken from Ecoinvent® database included in  
255 this study.

256

257 <Table 2>

258

## 259 **2.6. Life Cycle Impact Assessment methodology**

260 The study takes into consideration the following impact categories: acidification potential (AP) as an  
261 indicator of acid rain effect; eutrophication potential (EP) as a sign of nutrients enrichment of water  
262 and soil; global warming potential (GWP) as an indicator of greenhouse effect; ozone layer depletion  
263 potential (ODP) as a pointer of substances emission with ozone-depleting potential, photochemical  
264 oxidation potential (POP) as an indicator of photo-smog creation. In addition, toxicity-based impact  
265 categories which are linked to the exposure of toxic substances for an infinite time horizon have been  
266 included in the analysis such as human toxicity (HTP), freshwater aquatic ecotoxicity potential (FEP),  
267 marine aquatic ecotoxicity potential (MEP) and terrestrial ecotoxicity (TEP). The choice of these  
268 impact categories is because all together give a complete and comprehensive environmental profile

269 related to the production process under evaluation. Characterization factors reported by the Centre of  
270 Environmental Science of Leiden University - CML 2001 method v2.05 (Guinée et al., 2001) have  
271 been considered in this study for the analysis. The implementation of the Life Cycle Inventory data has  
272 been performed in the SimaPro v8.2 (PRé Consultants, 2017) software (Goedkoop et al., 2013).

273

## 274 **2.7. Statistical analysis**

275 Statistical analysis has been carried out using the software R (version 3.4.3) due to the relevance of  
276 electricity requirements in biorefinery systems when environmental burdens are analysed (González-  
277 García et al., 2016; 2018; Gullón et al., 2018). Differences in electricity consumptions in all production  
278 areas have been tested using both one-way analysis of variance (ANOVA) and Tukey's post hoc test.  
279 Differences have been considered significant at  $p < 0.05$  as reported in Table 1.

280

## 281 **3. Results and discussion**

282 **Table 3** shows the characterisation results corresponding to the biorefinery process proposed for  
283 analysis. The results are reported per batch (i.e., for the whole production system involving the  
284 valorisation of 74,216 kg of feedstock) as well as per kg of co-product obtained that is, per kg of bio-  
285 ethanol and per kg of XOS. As indicated in section 2.3. of this manuscript, the estimation of  
286 environmental burdens between both co-products has been carried out following an allocation  
287 procedure considering the market price of both co-products ( $0.64 \text{ €}\cdot\text{kg}^{-1}$  and  $0.67^1 \text{ €}\cdot\text{kg}^{-1}$  respectively  
288 for bio-ethanol and XOS (Joelsson et al., 2016; Alibaba, 2018).

289

290

&lt;Table 3&gt;

291

### 292 **3.1. Global results**

293 The valorisation strategy considered in the designed full-scale plant considers five production units  
294 from feedstock reconditioning till purification sections of both co-products. **Figure 3** displays the  
295 contributions from the different involved units to each impact category. According to it, the  
296 autohydrolysis pretreatment (area 2) is considered as an environmental hotspot in the whole  
297 production system with contributions ranging from 33% to 55% depending on the category, except in

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<sup>1</sup> The market price assumed for XOS corresponds with hemicelluloses (which is lower) due to the lack of information regarding structural characteristics of the oligosaccharides obtained

298 terms of MEP and TEP. Related studies of biorefinery systems also identified this section as important  
299 in terms of environmental impacts (González-García et al., 2016, 2018; Gullón et al., 2018). This  
300 outstanding effect on the environmental profile is associated with the large requirements of steam in  
301 the autohydrolysis reactor since the optimum operation temperature was fixed at 210°C, according to  
302 lab experiments. Area 4 intended for fermentation of cellulose-rich solid fraction obtained in the  
303 filtration unit and constituted by the inoculum preparation and FBSSF plays a key role in MEP and  
304 TEP (100% and 94% of contributing ratios, respectively). Background processes involved in the  
305 production of the enzyme required to transform the cellulose into glucose is behind these remarkable  
306 ratios. The remaining areas contribute to the impact categories in a minor extent. Ancillary activities  
307 report a remarkable effect in terms of EP (around 21% of total responsible factors). This area includes  
308 the management of both wastewater in a treatment plant as well as organic solid residues under a  
309 composting scheme, being their effects distributed as 55% and 45%, respectively. Thus, improvement  
310 research activities should be carried out towards the optimization of steam requirements in the  
311 pretreatment step to obtain outstanding reduction on the global environmental profile.

312

313

&lt;Figure 3&gt;

314

### 315 3.2. Environmental assessment of XOS production

316 The assessment of the environmental burdens associated with the production of XOS has been  
317 addressed in detail since it allows further comparison with other alternative oligosaccharides (pectic  
318 oligosaccharides (POS) and fructooligosaccharides (FOS)) as well as with other production strategies.  
319 This analysis is also important since area 3 is specific for production XOS, so that the environmental  
320 burdens derived from it, has been entirely allocated to this product. **Figure 4a** displays the distribution  
321 of environmental burdens between the involved areas that is, area 1 (feedstock reconditioning and  
322 storage), area 2 (autohydrolysis pretreatment), area 3 (XOS purification) and ancillary activities. The  
323 latter one includes the management of derived wastewater in a WWTP since there is not solid  
324 residues production in this valorisation route. Moreover, the characterisation results per kg of XOS are  
325 summarised in **Table 3**. According to **Figure 4a**, the pretreatment stage (area 2) plays a key role in  
326 the environmental profile being responsible for contributing ratios around ~63% in all the categories  
327 except in EP, where it is of 48%. It is important to bear in mind that the partitioning ratio corresponding

328 to XOS is 44%, which has been estimated taking into account the market value and production yield.  
329 This partitioning ratio has been considered for the distribution of burdens from area 1 and area 2  
330 between both co-products. Area 3 which is related with XOS recovery from the liquid fraction obtained  
331 in the filtration unit (area 2) and consequently purification, reports also an outstanding effect on the  
332 environmental profile of the XOS production. This area is responsible for ~31% of contributing burdens  
333 in all the categories. Having a look into this area, steam is required in the evaporation unit, electricity  
334 in the ultrafiltration unit (as well as in the pieces of equipment such as conveyor belts, pumps and  
335 bucket elevators) and natural gas for heating purposes in the spray drying unit. **Figure 4b** depicts the  
336 distribution of environmental burdens linked to area 3. According to these results the production of the  
337 steam required for the evaporation unit is the responsible for more than 90% of contributions to all the  
338 categories analysed. This can be explained because steam production requires the combustion of  
339 natural gas. Alternative renewable fuels could be considered to reduce environmental burdens from  
340 this operation. Contributions to the environmental profile from electricity requirements are negligible.  
341 Production of heat needed in the spray drying reports an outstanding effect in GWP (10% of total  
342 contributions). The rationale behind this value is the combustion of natural gas in an industrial boiler to  
343 produced heat requirements.

344 Finally, eutrophication potential associated with XOS production is considerably affected by the  
345 wastewater management. Activities carried out in the WWTP are responsible for 28% of total  
346 eutrophying substances.

347

348 &lt;Figure 4&gt;

349

350

### 351 **3.3. Environmental assessment of bio-ethanol production**

352 In line with XOS production, the environmental profile associated with the production of bio-ethanol  
353 from barley straw and BSG biorefinery has been determined. Thus, environmental hotspots can be  
354 identified and the profile can be compared with others available in the literature.

355 **Figure 5a** displays the contributions to the environmental profile from involved stages in its production.  
356 Once again, area 2 plays a key role in some environmental categories such as AP, GWP, FEP and  
357 POP with contributing ratios of 46%, 41%, 51% and 39%, respectively. As previously indicated, a

358 partition of burdens derived from area 1 and area 2 has been considered between both co-products. In  
359 the case of bioethanol, the partitioning ratio is of 56%. Therefore, 56% of burdens from feedstock  
360 reconditioning and autohydrolysis pretreatment have been computed to bio-ethanol production. It is  
361 obvious that further improvements should be focussed on the pretreatment step to enhance the  
362 environmental profile.

363 However, the environmental hotspot in the profile of bio-ethanol production is associated with area 4  
364 (SSF stage), mostly due to the use of enzymes. **Figure 5b** depicts the contributing factors responsible  
365 for burdens derived from area 4. According to it, enzymes production plays the key role in all the  
366 categories evaluated. Enzyme production an energy and steam intensive process, specifically in  
367 activities such as aeration and fermentation (Nielsen and Wenzel, 2007; Gilpin and Andrae, 2017).  
368 Further research should be carried out on the enzymes production process (i.e., nutrients, carbon  
369 source and energy requirement) as well as on the optimization of the required enzymes dose.  
370 Moreover, CO<sub>2</sub> emissions from fermentation are also outstanding in terms of GWP (39%). Production  
371 of nutrients (glucose and peptone) required for the preparation of inoculum and for fermentation step  
372 reports a significant effect in terms of HTP and FEP, due to their background processes.

373

374 <Figure 5>

375

376 Regarding remaining stages, area 1 (raw material reconditioning and storage) and area 5 (bio-ethanol  
377 purification) as well as ancillary activities dedicated to the management of derived waste report a  
378 different behaviour depending on the category. Contributions from area 1 are negligible in all the  
379 categories. The purification stage contribute with no-outstanding ratios in all the categories except in  
380 terms of AP (13%) and FEP (14%). The rationale behind these values is mainly associated with the  
381 production of steam required in the distillation unit (~98%). Finally, ancillary activities include  
382 wastewater treatment and solid waste management under composting. Effect from these activities is  
383 remarkable in AP and EP (19% and 18%, respectively). Composting process is responsible for 99% of  
384 acidifying emissions and 76% of eutrophying emissions.

385

386 **3.4. Uncertainty regarding enzymes' effect on the results**

387 Enzymes are required for the hydrolysis of cellulose into fermentable sugars. As previously discussed,  
388 the production of enzymes has been identified as one major contributor towards the life cycle  
389 environmental analysis of bio-ethanol production. This statement has been reported by other studies  
390 available in the literature (Wiloso et al., 2012; Sebastião et al., 2016; Gilpin and Andrae, 2017).  
391 However, it is not clear in some works which system boundaries have been taken into account  
392 (Borrion et al., 2012; Wiloso et al., 2012). In this sense, it is not evident if production of both chemicals  
393 and enzymes has been considered, which considerably difficult the environmental comparisons with  
394 studies available in the literature.

395 MacLean and Spatari (2009) established that 33% of greenhouse gases (GHG) emission produced all  
396 over the life cycle of bio-ethanol are attributed to enzymes and chemicals required. In our study, their  
397 contribution adds up to 20% of total in line with the findings from Sebastião et al. (2016). It is important  
398 to highlight that the enzyme activity is a key factor which directly affect the environmental profile since  
399 it is directly linked to the dose of enzyme required. In our study, around 135 kg enzyme are required  
400 per 1,000 kg of bio-ethanol produced, a value considerably higher than that reported by Daylan and  
401 Ciliz (2016) that employed 38 kg per 1,000 kg bio-ethanol. Therefore, research and development  
402 should be focused on reducing the amount of enzyme needed or increasing the enzyme productivity  
403 as well as the potential for recycling enzymes (MacLean and Spatari, 2009).

404 Moreover, special attention must be paid to the bio-ethanol production strategy from lignocellulosic  
405 feedstocks. Although in the designed biorefinery, FBSSF has been established for its multiple benefits  
406 such as higher bio-ethanol yields, shorter fermentation time and lower toxic effect of the medium  
407 components (Cheng, et al., 2009); in the literature can be found others approaches for effective bio-  
408 ethanol production such as separate hydrolysis and fermentation (SHF), consolidated bioprocessing  
409 (CBP) or cell recycle batch fermentation (CRBF). In SHF, enzymatic hydrolysis of pretreated biomass  
410 is made separately from ethanol fermentation (Azhar et al., 2017). In CBP, the saccharification and the  
411 fermentation are performed by one single microorganism and in one step (Hasunuma and Kondo,  
412 2012). The CRBF is based on the recycling of the yeast cells, reducing the time and of the cost of the  
413 inoculum preparation (Matano et al., 2013).

414 Furthermore, the pretreatment is a key stage to improve cellulose hydrolysis and to produce a  
415 fermentable sugars stream rich in glucose; likewise, the severity of this stage has a direct effect on the  
416 amount of enzymes required. In our study, autohydrolysis pretreatment has been proposed with the

417 aim of producing not only bio-ethanol but also XOS from hemicelluloses solubilization. In this context,  
418 an increase in the severity of the treatment leads to a greater enzymatic susceptibility of the solid  
419 fraction from the hydrothermal treatment and therefore a higher production of ethanol, however  
420 obtaining XOS could substantially be affected.

421 According to it, it is proved the relevance of including the impact of enzymes production in life cycle  
422 environmental studies of bio-fuels.

423

### 424 **3.5. Production of steam requirements: Sensitivity analysis**

425 Besides enzymes, production of steam requirements is crucial in the environmental profile of the  
426 biorefinery system under study. The rationale behind its large effect on the environmental burdens is  
427 the use of natural gas as fuel, which has been considered as proxy for the current most extended  
428 practices at industrial level. Production of steam requirements in areas 2 (to acquire the optimum  
429 temperature), 3 (in the evaporation unit) and 5 (in the distillation unit) is responsible for contributions  
430 higher than 55% in categories such as AP, GWP, ODP, POP, HTP and FEP as displayed in **Figure**  
431 **6a**. Therefore, an interesting challenge to improve the environmental profile should be focused on  
432 reducing the environmental burdens from this operation. An alternative scenario has been proposed  
433 for analysis considering the production of steam from hardwood chips that is, a renewable source  
434 avoiding the use of a fossil fuel (i.e., natural gas). **Figure 6b** depicts the outcomes of the sensitivity  
435 analysis comparing the profile between base case and the alternative one. According to it, the  
436 alternative scenario yields to the lowest environmental burdens specifically in terms of GWP (reduction  
437 of 61%), AP (72%), FEP (66%) and POP (65%). Therefore, this steam production alternative should  
438 be the most convenient choice. Although background activities involved in chips production (i.e., forest  
439 system) have been computed and require the consumption of diesel in forest machines, global fossil  
440 fuels demand is lower than in the baseline. Thus, global emission parameters (e.g., PM, NO<sub>x</sub>, SO<sub>2</sub>) are  
441 lower in the renewable alternative.

442

443

<Figure 6>

444

445

### 446 **3.6. Comparison with literature**

447 Nowadays the interest on the biorefinery approach is capturing the industry and stakeholders'  
448 attention for multiple motives since a great fraction of energy carriers and materials come from fossil  
449 fuel refineries (Cherubini, 2010). Furthermore, European Commission is implementing strategies to  
450 “closing the loop” of product life cycles in industrial production systems from a circular economy  
451 approach (Liguori and Faraco, 2016). To the best of our knowledge, no other environmental studies  
452 have been published regarding a biorefinery producing both bio-ethanol and oligosaccharides.

453 Bio-ethanol from first generation technology is currently used in commercial gasoline blends. It  
454 requires the use of dedicated crops which derive on direct competition with arable land for food and  
455 feed purposes. Lignocellulosic bio-ethanol is therefore a promising energy alternative being  
456 considered a clean, low carbon and secure energy source (Borrion et al., 2012; Sebastião et al.,  
457 2016). To date, several studies are available regarding the environmental impact of bio-ethanol paying  
458 special attention into GHG emission (Daylan and Ciliz, 2016; Chang et al., 2017). However, the  
459 complexity of the whole bio-ethanol production chain can generate significantly different results due to  
460 differences in input data, feedstock managed, methodologies applied and assumptions, and local  
461 geographical conditions (Sebastião et al., 2016). As previously discussed, system boundaries selected  
462 for the analysis is also a critical issue since discrepancies exist regarding their definition. In this sense,  
463 the production of enzymes required in the fermentation throws up great controversy. Our study is  
464 based on a biorefinery system where not only bio-ethanol is produced but also xylooligosaccharides.  
465 Therefore, it involves specific activities (e.g., area 2) dedicated to the fractionation of the feedstock to  
466 produce both co-products. The autohydrolysis section is not common in dedicated bio-ethanol  
467 production systems playing a key role in our environmental profile. The large energy demand in the  
468 autohydrolysis reactor is behind that issue and thus, the environmental profile associated with the bio-  
469 ethanol obtained in our biorefinery is considerably worse than available studies in the literature.

470 Reported values for second generation bio-ethanol are lower than 0.157 kg CO<sub>2</sub>eq per MJ bio-ethanol  
471 (Sebastião et al., 2016) - which corresponds with wheat straw based bio-ethanol. In our study, the  
472 GWP adds up to 0.280 kg CO<sub>2</sub>eq per MJ bio-ethanol assuming 26.4 MJ·kg<sup>-1</sup> as lower caloric value<sup>2</sup>  
473 and being ~35% of GHG emission derived from autohydrolysis.

474 Regarding oligosaccharides production, González-García et al. (2016; 2018) and Gullón et al. (2018)  
475 environmentally assessed different valorization strategies at pilot scale dedicated to hemicellulosic

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<sup>2</sup> <http://www.eubia.org/cms/wiki-biomass/biofuels-for-transport/bioethanol/> (accessed March, 2018)



476 oligosaccharides production (galactoglucomannans, pectiologosaccharides and xylooligosaccharides,  
477 respectively) from different feedstocks (wood chips, sugar beet pulp and vine shoots). All of them  
478 could be considered alternative oligosaccharides with interest as prebiotic functional food ingredients  
479 and biomaterials. A comparative environmental analysis in terms of GHG emission throughout the  
480 whole life cycle has been addressed with the aim of identifying the best production strategy. The  
481 comparative profiles per kg of oligosaccharide produced are depicted in **Figure 6c**. The best result in  
482 terms of GHG emission corresponds to xylooligosaccharides production from barley straw and  
483 brewer's spent grains under a biorefinery approach together with bio-ethanol as co-product (4.21 kg  
484 CO<sub>2</sub>eq·kg<sup>-1</sup>). It is important to highlight that the study corresponds with a full-scale production whereas  
485 the other studies were performed at pilot scale. As previously mentioned, the production process has  
486 been modelled from laboratory data following the methodology reported by Piccinno et al. (2018)  
487 considering the benefit of economies of scale. The production of pectiologosaccharides under thermal  
488 and enzymatic treatments from sugar beet pulp (González-García et al., 2018) derived on a carbon  
489 footprint around 13 times higher. Large electricity requirements in operations such as freeze-drying  
490 are the rationale behind that result. On the other hand, the worst profiles correspond to the extraction  
491 of galactoglucomannans from residual wood waste under thermal treatment conditions (González-  
492 García et al., 2016) deriving into 189±40 kg CO<sub>2</sub>eq·kg<sup>-1</sup>. Purification and freeze-drying activities are  
493 the key processes responsible for these large results. According to Gullón et al. (2018),  
494 xylooligosaccharides extraction from vine shoots considering different thermal pretreatments and  
495 different valorization routes involves a GWP of 104±49 kg CO<sub>2</sub>eq·kg<sup>-1</sup>. Electricity requirements for  
496 freeze dryer and autoclave as well as enzymes are again environmental hotspots.

497

#### 498 **4. Conclusions and future outlook**

499 The integration of a biorefinery approach in a production system allows the obtention of different high-  
500 added value products from renewable wastes making the process more sustainable not only from an  
501 economic but also from an environmental perspective, reducing residues production and resources  
502 consumption. In this study, wastes from brewery have been considered as potential feedstock for bio-  
503 ethanol and xylooligosaccharides production. The production factory has been modelled at full-scale  
504 considering laboratory data and environmental impacts have been determined following the LCA  
505 methodology. The large requirement of steam, specifically in the autohydrolysis reactor, which is

506 commonly produced from natural gas, has been identified as environmental hotspot. In addition, the  
507 production of enzymes required in the bio-ethanol production route have considerably affected the  
508 environmental profile.

509 The introduction of renewable sources to produce steam requirements such as wood chips can be  
510 considered as a potential improvement, deriving into outstanding environmental reductions. In  
511 addition, the enzyme specific activity is an issue that directly affect the environmental burdens.  
512 According to the outcomes, further research should be focused at large scale not only in the  
513 optimization of enzymes' dose requirement but also in the enzymes production process itself with the  
514 aim of increasing their specific activity and reducing the energy requirements as well as in the  
515 enzymes potential recycling.

516 Environmental sustainability has increasingly been incorporated in the design of biorefinery systems  
517 (although often reduced to GHG emission); economic dimension is often considered mostly  
518 throughout profitability and techno-economic analysis to compare biorefinery alternatives for producing  
519 a given product; however, social dimension of sustainability in contrast to economic and environmental  
520 ones, is generally omitted in design practices. Thus, future efforts must be conducted to develop an  
521 integral sustainability analysis.

522

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532

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