This is the peer reviewed version of the following article: Amaral, S., Tawara, M., Fernandez-Villamarin, M., Borrajo, E., Martínez-Costas, J., & Vidal, A. et al. (2018). Tuning the Size of Nanoassembles: A Hierarchical Transfer of Information from Dendrimers to Polyion Complexes. Angew. Chem. Int. Ed., 57(19), 5273-5277, which has been published in final form at https://doi.org/10.1002/anie.201712244. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Use of Self-Archived Versions

Tuning the Size of Nanoassembles: A Hierarchical Transfer of Information from Dendrimers to Polyion Complexes

Sandra P. Amaral, Maun H. Tawara, Marcos Fernandez-Villamarin, Erea Borrajo, José Martínez-Costas, Anxo Vidal, Ricardo Riguera, and Eduardo Fernandez-Megia*

Abstract: Herein we describe that the generation of dendrimers represents a powerful tool in the control of the size and biodistribution of polyion complexes (PIC). Using a combinatorial screening of six dendrimers (18-243 terminal groups) and five oppositely charged PEGylated copolymers, a *dendrimer to PIC* hierarchical transfer of structural information was revealed with PIC diameters that increased from 80 to 500 nm on decreasing the dendrimer generation. This rise in size, also accompanied by a micelle to vesicle transition, is interpreted according to a cone- to rod-shaped progression in the architecture of the unit PIC (uPIC). This precise size tuning entitled dendritic PIC as nanorulers for controlled biodistribution. Overall, a domino-like control of the size and biological properties of PIC –not attainable with linear polymers— is feasible through dendrimer generation.

Since modern chemistry and the atomic theory were introduced, a handful of profound breakthroughs have dramatically contributed to our understanding of the complex organization of matter. ¹ A hierarchical transfer of structural information has been revealed from atoms with discrete atomic numbers and electronic configurations to complex molecules, macromolecules and bio-assemblies at the origin of life. ^{1,2} Using the same rationale in the search for innovative functions, scientists attempted to mimic nature by engineering supramolecular structures. ^{3,4} In the quest for a systematic framework for unifying nanoscience as a critical piece between atoms and macroscopic matter, Tomalia has recently proposed dendrimers as *soft superatoms*: quantized building blocks

mirroring the role of atoms at the picoscale.^{1,5} Dendrimers are well-defined, monodisperse, and globular macromolecules that, in contrast to traditional polymers, are synthesized with precise control over six *critical nanoscale design parameters*: size (1-20 nm), shape, surface chemistry, flexibility/rigidity, architecture, and elemental composition.⁶ In this way, the dendritic structure is sought to steer supramolecular associations towards more complex, ordered matter.^{1,7,8,9,10,11} Herein, we describe that the information stored within the size and generation (G) of dendrimers can be hierarchically transferred to polyion complexes (PIC)^{12,13,14} and determine in a domino-like fashion –not attainable with linear polymers— their size and *in vivo* biodistribution.

PIC micelles and vesicles (PICsomes) are nanoassembles prepared from oppositely charged polymers and PEGylated block copolymers. ^{15, 16, 17} Although attracting much attention as drug delivery systems (DDS) because of their electrical neutrality, stealth character and narrow size distribution, an extremely high salt-sensitivity still represents a challenge for PIC. To overcome this shortcoming, we have recently described PIC micelles from PEG-dendritic block copolymers. ^{18, 19} The intrinsic rigidity and globular nature of a dendritic (GATG=gallic acid-triethylene glycol) block^{20,21,22} has resulted in micelles with unprecedented stability in serum and to ionic strengths higher than 3 M, the highest achieved for PIC.

The pharmacokinetics and biodistribution of DDS depend on size.²³ Although size control in PIC has been somewhat achieved by adjusting the composition and concentration of charged linear polymers, 24, 25 a more straightforward approach based on molecular weight (MW) has been hampered by a limited response of PIC.26 As an alternative, we envisaged the use of charged dendrimers, where information stored within G might be hierarchically transferred to PIC with oppositely charged PEGylated linear copolymers, and tune their size and biological properties. We stand our hypothesis on the large differences in local dynamics between linear polymers (dependent on segment, not MW) and dendrimers (that depend on G and hence, MW).27 Since such a size tuning has not been unveiled so far in dendritic PIC micelles^{28,29,30} and vesicles³¹, we decided to thoroughly explore the scope of a dendrimer to PIC hierarchical transfer of information using a combinatorial approach comprising a large PIC library from six GATG amino-dendrimers (18-243 terminal amines) and five PEG-PGA (poly-L-glutamic acid) linear copolymers with various PEG (5 and 20 kDa; corresponding to a degree of polymerization DP 113 and 455, respectively) and PGA (DP 25, 50, 100, 200) blocks lengths.

[*] S. P. Amaral, M. H. Tawara, Dr. M. Fernandez-Villamarin, Prof. Dr. R. Riguera, Prof. Dr. E. Fernandez-Megia Centro Singular de Investigación en Química Biolóxica e Materiais Moleculares (CIQUS) and Departamento de Química Orgánica Universidade de Santiago de Compostela Jenaro de la Fuente s/n, 15782 Santiago de Compostela, Spain. E-mail: ef.megia@usc.es

Dr. E. Borrajo, Prof. Dr. A. Vidal
Departamento de Fisioloxía and Centro Singular de Investigación en
Medicina Molecular e Enfermidades Crónicas (CIMUS)
Universidade de Santiago de Compostela; Instituto de
Investigaciones Sanitarias de Santiago de Compostela (IDIS)
15782 Santiago de Compostela. Spain.

Prof. Dr. J. Martínez-Costas Centro Singular de Investigación en Química Biolóxica e Materiais Moleculares (CIQUS) and Departamento de Bioquímica e Bioloxía Molecular

Universidade de Santiago de Compostela 15782 Santiago de Compostela, Spain.

Supporting information for this article is given via a link at the end of the document

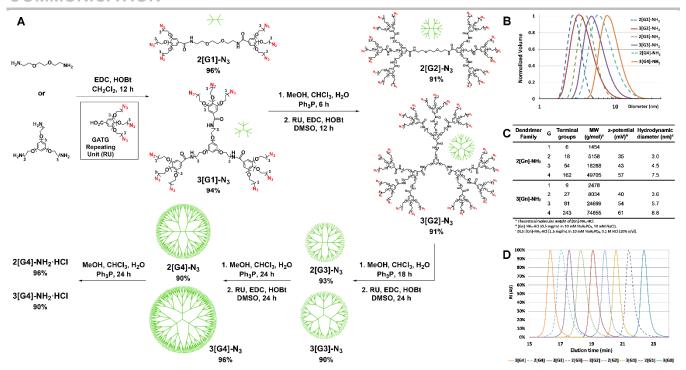


Figure 1. Synthesis of 2[Gn] and 3[Gn] dendrimers (A). DLS size distribution of [Gn]-NH₂·HCl (B). Structural data of dendrimers (C). GPC elugrams of [Gn]-N₃ (THF) (D).

With this aim, two new families of symmetrical GATG dendrimers, namely 2[Gn] and 3[Gn], were designed from di- and trifunctionalized cores (Figure 1). Following a divergent growth sequence involving an amide coupling with the GATG repeating unit (EDC, HOBt, 93-96%) and azide reduction (Ph₃P, 90-100%), four dendrimer generations were synthesized in excellent yields with up to 243 terminal azide/amino groups: 2[G1] (6 terminal groups), 3[G1] (9), 2[G2] (18), 3[G2] (27), 2[G3] (54), 3[G3] (81), 2[G4] (162), 3[G4] (243). The high solubility of these dendrimers facilitates their preparation at high G and purification in large scale (MPLC in just 10 min, ultrafiltration is also possible for G3 and G4), the bottlenecks in the synthesis of dendrimers. Their chemical characterization is described in the SI with convincing evidence by ¹H and ¹³C NMR, IR and MALDI-TOF MS. In addition, their purity and monodispersity were verified by gel permeation chromatography (GPC) and dynamic light scattering (DLS) that showed the expected increased in size with G (Figure 1).

The formation of PIC was straightforward by mixing in stoichiometric charge ratios the G2-G4 amino-dendrimers (18-243 terminal amines) and PEG-PGA (PEG_{5k}-PGA₂₅, PEG_{5k}-PGA₅₀, PEG_{5k}-PGA₁₀₀, PEG_{20k}-PGA₁₀₀, PEG_{20k}-PGA₂₀₀) in 10 mM phosphate buffer (PB), supplemented with 150 mM NaCl (Figure 2). DLS measurements performed 2 h after mixing confirmed the presence of PIC with low polydispersity and hydrodynamic diameters in the 80-500 nm range. PIC revealed high stability over time and temperature (dialysis in 10 mM PB pH 7.4, 150 mM NaCl for 24 h at 25 °C, followed by 24 h at 37 °C), even for dendrimers as small as 2[G2] with only 18 terminal amines (Figures 2 and S3-S6, Table S1); exceeding the stability of PIC from linear polymers of much higher DP as previously reported. 18,19 Larger dendrimers afforded smaller PIC, an effect associated to their higher rigidity and multivalency, in accordance with our hypothesis of a

hierarchical transfer of information. No PIC was obtained from PEG_{5k}-PGA₂₅. Nevertheless, increasing the length of the PGA block successfully led to discrete PIC with G3-G4 for PEG_{5k}-PGA₅₀, and with all dendrimers for PEG_{5k}-PGA₁₀₀; pointing to more stable PIC from the largest dendrimers and PGA blocks. The formation efficiency of these PIC was determined as *ca.* 94% by quantifying the amount of free dendrimer in solution after ultracentrifugation of the 2[G3]/PEG_{5k}-PGA₁₀₀ PIC (SI). Despite the high z-potential of the amino-dendrimers (Figure 1), values for PIC were close to zero in agreement with the PEG corona and the charge stoichiometry of the constituents (SI).

Next, a detail structural analysis was performed with four PIC samples selected from the PEG5k-PGA100 series, the one affording the largest size variation (PIC with 2[G4], 3[G3], 2[G3], and 3[G2] with sizes ca. 100, 175, 200 and 450 nm; Figure 3). Gratifyingly, AFM studies revealed mean diameters in agreement with DLS measurements: 2[G4] (87±7 nm), 2[G3] (222±17 nm), 3[G2] (435±34 nm). Analysis by cryo-TEM showed the 2[G4] PIC as micelle and the three larger assembles as PICsomes with uniform lamella of thickness 22.19±1.41 nm for 3[G3], 13.50±0.59 nm for 2[G3], and 22.12±2.38 nm for 3[G2]. With all PIC in the PEG_{5k}-PGA₁₀₀ series having an identical PEG weight fraction (ca. 13%), we interpret their G-dependent size and structure based on the architecture of the monomeric unit PIC (uPIC, the minimum PIC assemble generated in the early stages of the PIC growth).32 We hypothesize that the size and rigidity of the dendrimer component determine the architecture of the uPIC, which in turn governs the size and structure of the final PIC assemble. Thus, small and flexible dendrimers might easily adopt rod-like uPIC with PEG_{5k}-PGA₁₀₀ that accommodate into 2D lamellae, therefore producing PICsomes. On the contrary, larger and more rigid

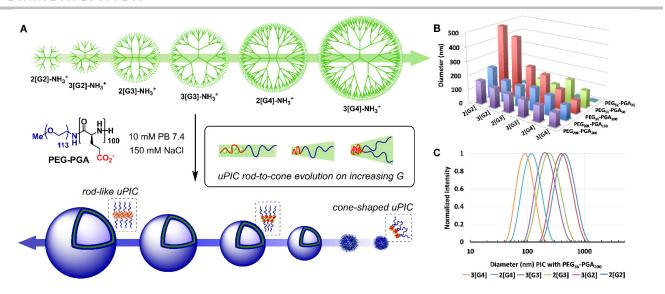
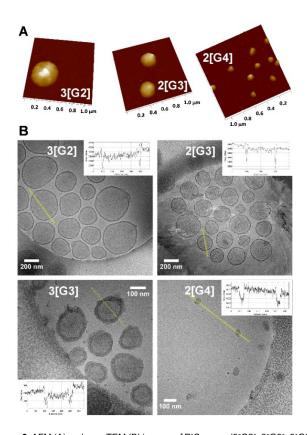


Figure 2. Schematic representation of the *dendrimer to PIC* hierarchical transfer of structural information (A). DLS mean diameters (B) and size distribution (C) of PIC after 24 h at 37 °C in 10 mM PB, pH 7.4, 150 mM NaCl.



 $\label{eq:Figure 3. AFM (A) and cryo-TEM (B) images of PICsomes (3[G2], 2[G3], 3[G3]) and PIC micelles (2[G4]) from PEG_5k-PGA_{100}.$

dendrimers afford cone-shaped uPIC leading to micelles (Figure 2). The larger PIC sizes and micelle to vesicle transition observed on decreasing the dendrimer G are also explained by a progressive cone to rod evolution in the architecture of the uPIC. Thus, within the PICsome window, the more rod-like character of the uPIC (associated to smaller dendrimers), the better its fitting into lamellae with smaller curvature leading to larger vesicles.¹²

The same applies to PIC from large dendrimers in the micelle window: the more cone-shaped uPIC on increasing the dendrimer G affords smaller micelles. As a result, the architecture of the uPIC emerges as a valuable tool in analyzing the size and predicting the structure (micelle to vesicle transition) of PIC. The same model explains the smaller PIC observed from PEG $_{20k}$ copolymers. The larger PEG block in PEG $_{20k}$ -PGA $_{100}$ compared to PEG $_{5k}$ -PGA $_{100}$ should render more cone-shaped dendritic uPIC, favoring the formation of smaller assembles. Finally, not unexpectedly, the MW of the PGA block plays a less drastic role on the size of this PIC library.

The cell internalization and toxicity profile of these PIC were investigated in human adenocarcinoma alveolar basal epithelial (A549) cells with the 100 nm PIC (2[G4]/PEG $_{5k}$ -PGA $_{100}$). A MTT assay revealed limited effect of PIC on cell proliferation (Figure 4A). Even at the highest concentrations analysed, the toxicity associated to the cationic amino-dendrimer was completely screened within the PIC, proving their integrity in culture medium supplemented with fetal bovine serum (FBS). Cellular uptake was studied by laser scanning confocal microscopy (LSCM) with PIC fluorescently labelled at the amino groups of the dendrimer with the green dye fluorescein isothiocyanate (FITC) (SI). Figure 4B shows images of A549 cells treated for as little as 10 min with FITC-PIC (green) that after cell uptake completely colocalize with LysoTracker Red (red), a well-known endosome/lysosome marker (nuclei stained in blue with Hoechst). Interestingly, control experiments performed at the same time with 2[G4]-FITC dendrimer showed entirely different internalization kinetics, with almost no dendrimer found inside the cell while bound to the cell membrane by electrostatic interactions. Such a different localization pattern confirms internalization of the intact PIC without disassembling in the extracellular media supplemented with FBS (validation of this result was also obtained via dual labelling experiment with FITC at the dendrimer and rhodamine B at PEG-PGA, Figure S12). The final fate of PIC in endosome/lysosome, organelles with а characteristic acidic/enzymatic environment, encourages their use as DDS for intracellular drug release following internalization by endocytosis.

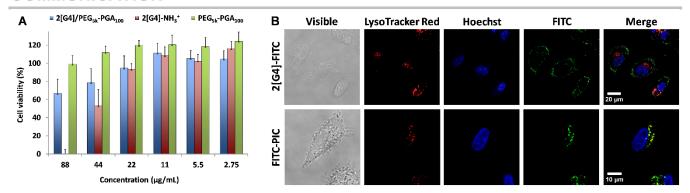


Figure 4. Cell viability (MTT, 24 h) of A549 cells in the presence of 100 nm $2[G4]/PEG_{5k}-PGA_{100}$ PIC (shown concentrations refer to PIC; $2[G4]-NH_2$ and $PEG_{5k}-PGA_{100}$ were used as controls at the same concentrations as in PIC) (A). LSCM images of A549 cells incubated for 10 min with FITC-PIC and control dendrimer 2[G4]-FITC (B).

Considering the relevance of size on the pharmacokinetics and biodistribution of DDS, we envisioned these PIC assembles as privileged nanorulers for a controlled biodistribution in vivo by near infra-red (NIR) fluorescence, using Cyanine7 (Cy7) as dye. To this end, the 100 nm (2[G4]/PEG $_{5k}$ -PGA $_{100}$), 200 nm $(2[G3]/PEG_{5k}-PGA_{100})$ and 450 nm $(3[G2]/PEG_{5k}-PGA_{100})$ PIC were crosslinked and labelled with Cy7-NHS ester at the amino groups of the dendrimers (Figures S7-S9 and S13). After intravenous injection in the tail vein of nude mice, NIR images of excised organs were obtained upon sacrifice at different time points (1, 8, 24 and 72 h). As shown in Figures 5 and S14-S17, high fluorescence intensity was found in highly vascularized organs such as liver and spleen, which also count with resident macrophages that can contribute to uptake. Apart from this, the three PIC show a differential pattern of biodistribution depending on size. The smaller 100 nm micelle displayed faster kinetics with a broad organ distribution as soon as 1 h after injection, including lung, one of the predominant sites of metastatic disease. Interestingly, they could also be detected in the lymph nodes, a finding that opens the venue for possible drug delivery directed to lymphatic dissemination of advanced cancer. Organ fluorescence at the longest time point assessed (72 h) corresponded also to the 100 nm PIC, a finding consistent with previous observations where nanosystems in the range of 100 nm prove long-lasting in circulation. 23,24,25 In contrast, the largest 450 nm PIC showed preferential accumulation in liver, kidneys and spleen, while poorly localized in lymph nodes or absent of organs such as heart, where small PIC were readily detected. The persistent (from 1 to 72 h) signal found in kidneys for the 450 nm PIC probably reflects an impaired excretion due to their size. These results show that a G-dependent modulation of size in dendritic PIC allows differential organ distribution and kinetics. This distinct accumulation is envisaged with great potential in delivery applications. By tailoring size, not only treatments could be preferentially directed to certain tissues, but also minimize their delivery to particular organs to prevent toxicity associated to drug treatments.

In conclusion, a *dendrimer to PIC* hierarchical transfer of structural information is reported, where the dendrimer G controls the size and biodistribution of PIC. By decreasing the dendrimer G, larger PIC sizes (from 80 to 500 nm) accompanied by a micelle to vesicle transition were observed, which is interpreted according to a cone- to rod-shaped progression in the architecture of the uPIC (the minimum PIC assembles involved in PIC growth). This

precise size tuning entitled dendritic PIC as nanorulers for controlled biodistribution: a 100 nm PIC showed faster kinetics, broader organ distribution and longer circulation times than larger assembles. Overall, a domino-like control of the size and biological properties of PIC –not attainable with linear polymers—is shown through dendrimer generation. This result along the previously reported superior stability of dendritic PIC encourage further exploration of their applications in biomedicine.

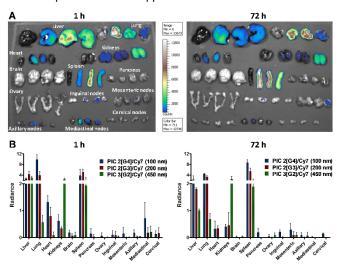


Figure 5. IVIS fluorescence images of selected organs 1 h (left) and 72 h (right) after injection. From left to right organs correspond to non-injected animals (control), and mice injected with Cy7-labelled PIC of 100, 200 and 450 nm (A). Quantification of fluorescence (radiance, photons/s/cm²/sr × 10^7) in excised organs 1 h (left) and 72 h (right) after injection of PIC (data represents mean \pm SEM, n=3) (B).

Acknowledgements

This work was financially supported by the Spanish Ministry of Economy, Industry and Competitiveness (MINECO) (CTQ2015-69021-R, CTQ2014-61470-EXP, BFU2013-43513-R), the Xunta de Galicia (GRC2014/040 and Centro Singular de Investigación de Galicia Accreditation 2016-2019, ED431G/09) and the European Union (European Regional Development Fund-ERDF).

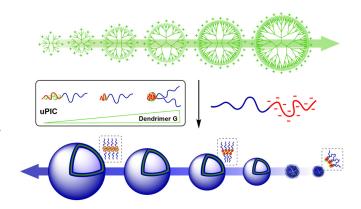
COMMUNICATION

Keywords: dendrimer • polyion complex • PIC • micelle • vesicle

- PICsome hierarchical transfer
- 1 D. A. Tomalia, S. N. Khanna, Chem. Rev. 2016, 116, 2705.
- 2 A. Lima-de-Faria, BioSystems 1997, 43, 115.
- J.-F. Lutz, J.-M. Lehn, E. W. Meijer, K. Matyjaszewski, *Nat. Rev. Mater.* 2016,
 1. 16024.
- 4 S. Erbas-Cakmak, D. A. Leigh, C. T. McTernan, A. L. Nussbaumer, *Chem. Rev.* 2015, 115, 10081.
- 5 J. Kemsley, Chem. Eng. News 2013, 91, 24.
- 6 D. A. Tomalia, J. Nanopart. Res. 2009, 11, 1251.
- 7 D. A. Tomalia, Soft Matter 2010, 6, 456.
- 8 M. A. Kostiainen, O. Kasyutich, J. J. L. M. Cornelissen, R. J. M. Nolte, *Nature Chem.* **2010**, *2*, 394.
- 9 B. M. Rosen, C. J. Wilson, D. A. Wilson, M. Peterca, M. R. Imam, V. Percec, Chem. Rev. 2009, 109, 6275.
- 10 B. N. S. Thota, L. H. Urner, R. Haag, Chem. Rev. 2016, 116, 2079.
- 11 S. E. Sherman, Q. Xiao, V. Percec, Chem. Rev. 2017, 117, 6538
- 12 A. Koide, A. Kishimura, K. Osada, W. D. Jang, Y. Yamasaki, K. Kataoka, J. Am. Chem. Soc. 2006, 128, 5988.
- 13 A. Harada, K. Kataoka, Macromolecules 1995, 28, 5294.
- 14 A. V. Kabanov, S. V. Vinogradov, Y. G. Suzdaltseva, V. Y. Alakhov, Bioconjugate Chem. 1995, 6, 639.
- 15 H. Yoon, E. J. Dell, J. L. Freyer, L. M. Campos, W.-D. Jang, *Polymer* 2014, 55, 453.
- 16 A. Kishimura, Polym. J. 2013, 45, 892.
- 17 Y. Lee, K. Kataoka, Soft Matter 2009, 5, 3810.
- 18 M. Fernandez-Villamarin, A. Sousa-Herves, S. Porto, N. Guldris, J. Martinez-Costas, R. Riguera, E. Fernandez-Megia, *Polym. Chem.* 2017, 8, 2528.
- 19 A. Sousa-Herves, E. Fernandez-Megia, R. Riguera, Chem. Commun. 2008, 3136.
- 20 M. Fernandez-Villamarin, A. Sousa-Herves, J. Correa, E. M. Munoz, P. Taboada, R. Riguera, E. Fernandez-Megia, ChemNanoMat 2016, 2, 437.
- 21 A. Sousa-Herves, C. Sánchez Espinel, A. Fahmi, Á. González-Fernández, E. Fernandez-Megia, Nanoscale 2015, 7, 3933.
- 22 A. Sousa-Herves, R. Novoa-Carballal, R. Riguera, E. Fernandez-Megia, AAPS J. 2014, 16, 948.
- 23 E. Blanco, H. Shen, M. Ferrari, Nat. Biotechnol. 2015, 33, 941.
- 24 H. Cabral et al., Nat. Nanotech. 2011, 6, 815.
- 25 Y. Anraku, A. Kishimura, A. Kobayashi, M. Oba, K. Kataoka, Chem. Commun. 2011, 47, 6054.
- 26 H. M. van der Kooij, E. Spruijt, I. K. Voets, R. Fokkink, M. A. Cohen Stuart, J. van der Gucht, *Langmuir* **2012**, *28*, 14180 and references therein.

- 27 L. F. Pinto, J. Correa, M. Martin-Pastor, R. Riguera, E. Fernandez-Megia, *J. Am. Chem. Soc.* **2013**, *135*, 1972.
- 28 J. Wang, I. K. Voets, R. Fokkink, J. van der Gucht, A. H. Velders, Soft Matter 2014, 10, 7337.
- 29 F. Reinhold, U. Kolb, I. Lieberwirth, F. Gröhn, Langmuir 2009, 25, 1345.
- 30 Y. Li et al., Chem. Mater. 2007, 19, 5557.
- 31 K. Naoyama, T. Mori, Y. Katayama, A. Kishimura, *Macromol. Rapid Commun.* **2016**. 37 1087
- 32 Y. Anraku, A. Kishimura, Y. Yamasaki, K. Kataoka, J. Am. Chem. Soc. 2013, 135, 1423.

Table of Contents



The size and biodistribution of polyion complexes (PIC) can be hierarchically tuned with the generation of a dendrimer component in a way not attainable with linear polymers.