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1 ABSTRACT

2 Dendrimers are synthetic macromolecules composed of repetitive layers of branching units
3 that emerge from a central core. They are characterized by a tunable size and precise number of
4 peripheral groups which determine their physicochemical properties and function. Their high
5 multivalency, functional surface and globular architecture with diameters in the nanometer scale
6 makes them ideal candidates for a wide range of applications. GATG (gallic acid-triethylene
7 glycol) dendrimers have attracted our attention as a promising platform in the biomedical field
8 because of their high tunability and versatility. The presence of terminal azides in GATG
9 dendrimers and PEG-dendritic block copolymers allows their efficient functionalization with a
10 variety of ligands of biomedical relevance including, anionic and cationic groups,
11 carbohydrates, peptides or imaging agents. The resulting functionalized dendrimers have found
12 application in drug and gene delivery, as antiviral agents and for the treatment of
13 neurodegenerative diseases, in diagnosis and as tools to study multivalent carbohydrate
14 recognition and dendrimer dynamics. Herein we present an account on the preparation and
15 recent applications of GATG dendrimers in these fields.

16
17 KEY WORDS: dendrimer, block copolymer, drug delivery, multivalency, NMR

18
19 ABBREVIATIONS: AMCA, Aminomethylcoumarin; CA, HIV capsid protein; CTD, C-
20 Terminal domain; Con A, Concanavalin A; CuAAC, Cu(I)-catalyzed azide-alkyne
21 cycloaddition; DTPA, Diethylenetriaminepentacetate; DOTA, 1,4,7,10-Tetraazacyclododecane-
22 1,4,7,10-tetraacetic acid; DO3A, Tri-tert-butyl 1,4,7,10-tetraazacyclododecane-1,4,7-triacetate;
23 EGFP, Enhanced green fluorescent protein; FDA, Food and drug administration; FITC,
24 Fluorescein isothiocyanate; GATG, Gallic acid-triethylene glycol; G_n , Dendrimer generation, n
25 denotes the generation number; Glc, Glucose; HEK293T, Human embryonic kidney cell line
26 293T; HIV, Human immunodeficiency virus; HPMa, *N*-(2-hydroxypropyl)methacrylamide;
27 HSV, Herpes simplex virus; ITC, Isothermal titration calorimetry; Man, Mannose; Mor,
28 Morpholine; MRI, Magnetic resonance imaging; NOE, Nuclear Overhauser effect; PAMAM,
29 Polyamidoamine; PEG, Poly(ethylene glycol); PPI, Polypropyleneimine; PIC, Polyion complex;
30 RGD, Arginylglycylaspartic acid; SPR, Surface plasmon resonance.

31

32 INTRODUCTION

33 Dendrimers are synthetic tree-like macromolecules composed of repetitive layers of
34 branching units that emerge from a central core (Fig. 1). They are synthesized in a controlled
35 iterative fashion through generations with nil dispersity, precise molecular weight, and discrete
36 properties (1-3). Their high functional surface, globular architecture in the nanometer scale, and
37 inherent multivalency makes them ideal candidates for a wide range of applications, from bio-
38 and nanotechnology (4-8) to catalysis and materials science (9-11). The first reports on

39 dendrimers were published independently in the late 70s and early 80s of the last century by the
40 groups of Vögtle (12), Newkome (13), and Tomalia (14). Since then, over a hundred dendritic
41 architectures have been described in the search of improved and novel properties. Among them,
42 recognized dendritic families include polyamidoamine (PAMAM) (15), polypropyleneimine
43 (PPI) (16), and others based on polyamide (13), polyether (17), polyester (18, 19), and
44 phosphorous-based (20) scaffolds.

45 Recently, we have turned our attention to the GATG (gallic acid-triethylene glycol) dendritic
46 family as a promising platform in the biomedical field (Fig. 1). GATG dendrimers, first
47 described by the group of Roy (21-23), are composed of a repeating unit carrying a gallic acid
48 core and hydrophilic triethylene glycol arms with terminal azide groups. Advantage of the
49 azides is taken for the dendritic generation growth, easily accomplished by a reduction/amide
50 coupling sequence, as well as for the dendrimer decoration by means of the Cu(I)-catalyzed
51 azide-alkyne cycloaddition (CuAAC) (24-28) as demonstrated by our group. As part of our
52 effort to develop the biomedical applications of GATG, we have also described the
53 incorporation of the FDA-approved poly(ethylene glycol) (PEG) at the focal point of dendritic
54 wedges to render PEG-GATG block copolymers with increased solubility and stealth properties.
55 PEG-dendritic block copolymers constitute interesting hybrid structures where differences in the
56 solubility properties of the blocks can be exploited in the preparation of micelles and other
57 nanostructures of biomedical interest (29-31).

58 Herein we present an account of our journey with GATG that focuses on recent examples in
59 the fields of drug and gene delivery, diagnosis, and antiviral activity, as well as the use of
60 GATG as tools to study biological processes and the dynamics of dendrimers.

61

62 SYNTHESIS OF GATG DENDRIMERS AND PEGYLATED BLOCK COPOLYMERS

63 GATG dendrimers ($[G_n]-N_3$, where n is the generation number) are synthesized divergently
64 from a repeating unit shown in Fig. 1, following a straightforward azide reduction/amide
65 coupling sequence. Initial reports by the group of Roy in the 90's described GATG
66 sialodendrimers and dendronized chitosans up to the second generation (G2) as promising
67 microbicides (21-23). Nevertheless, the synthesis of the repeating unit (four steps from
68 triethylene glycol, 23% overall yield) proved to be a hurdle in accessing large quantities and
69 higher G of these dendrimers, which finally hampered their subsequent development.

70 Aware of these limitations, in 2006 our research group described an improved preparation of
71 this repeating unit from commercially available chlorotriethylene glycol in 77% overall yield
72 (32). By observing green chemistry principles (atom economy, safety, waste reduction), this
73 synthetic route has been further developed for the cost-effective production of the repeating unit
74 in batches larger than 100 g in excellent overall yield (86%) and purity (Fig. 1) (33). With an
75 easy and scalable access to the repeating unit, the preparation of GATG dendrimers and PEG-

76 dendritic block copolymers has been efficiently achieved in large quantities up to G4 (243
77 peripheral azides) (32, 34, 35). The PEGylated block copolymers are synthesized following a
78 "chain first" approach where the PEG is initially incorporated at the focal point of a GATG
79 repeating unit and then, the higher G obtained divergently. Noteworthy, PEG facilitates the
80 purification steps in the synthesis of the block copolymers thanks to its properties as a soluble
81 polymeric support (36). As mentioned, the surface functionalization of GATG dendrimers and
82 block copolymers by CuAAC with unprotected ligands (carbohydrates, anionic and cationic
83 moieties, peptides, imaging agents) has proceeded straightforward in our hands, allowing the
84 preparation of a variety of functional dendritic structures of biomedical interest that will be
85 presented in the following sections.

86

87 CELLULAR INTERNALIZATION OF GATG DENDRIMERS

88 Dendrimers have traditionally found great attention in targeted drug delivery, particularly for
89 cancer therapy and diagnosis (5, 6). The high number of functional groups on their periphery
90 allows the simultaneous incorporation of bioactive molecules, cytostatic drugs, diagnostic
91 probes and targeting ligands. In addition, the combination of high water solubility and
92 hydrophobic cores is well suited for the covalent attachment or physical encapsulation of large
93 payloads of therapeutic molecules. Cellular uptake of dendrimer-based drug delivery systems
94 has proved significantly higher than that of linear polymeric carriers (37, 38), which can be
95 explained on the basis of their compact nano-sized architecture in solution.

96 In order to explore the versatility of PEG-GATG block copolymers as tools in the
97 biomedical field, we have analyzed in collaboration with Albertazzi their cellular internalization
98 and intracellular fate (39), both properties of particular interest in drug delivery. To this aim, a
99 PEGylated block copolymer of third generation (PEG-[G3]-N₃) was functionalized *via* CuAAC
100 with different peripheral groups, including neutral and charged moieties, biologically active
101 carbohydrates, and peptides. All dendritic structures were labeled with fluorescein (FITC), and
102 the effect of the surface functionalization on cell-uptake and intracellular trafficking was studied
103 by confocal microscopy in HeLa cells. It was observed that, while cationic PEG-[G3]-NH₃⁺
104 showed a strong internalization consistent with its ability to bind cell membranes through ionic
105 interactions, anionic PEG-[G3]-OSO₃⁻ displayed only a weak internalization because of its
106 lower affinity for cell membranes. This behavior was even more evident for a neutral acetylated
107 PEG-[G3]-NHAc that exhibited no internalization at all. In addition, the intracellular final fate
108 of PEG-[G3]-NH₃⁺ was tracked, revealing colocalization with lysosomes. The effect of the
109 surface functionalization with biologically relevant ligands, such as lactose and a cyclic RGD
110 peptide was also investigated (39). Confocal microscopy and flow cytometry assays showed
111 significant cellular uptake in HepG2 cells for dendritic structures decorated with lactose and in
112 HeLa cells for those containing the RGD sequence. Based on these results, a prototype of drug

113 carrier capable of selectively entering cells and specifically releasing its payload in the acidic
114 lysosomal environment was designed from PEG-[G3]-NH₃⁺. With this aim, a coumarin dye was
115 bound through a pH-sensitive hydrazone linker to the dendritic platform (Fig. 2). The
116 performance of this system was evaluated with a double fluorescent-labeling allowing for
117 simultaneous monitoring the localization of the dendritic carrier (FITC) and the coumarin dye as
118 a model cargo molecule (AMCA-hydrazone). Fluorescence experiments at short incubation
119 times showed high colocalization between FITC and AMCA in the endolysosomal system.
120 However, reduced colocalization and increased fluorescence intensity due to AMCA could be
121 observed at longer incubation times (Fig. 2), which are consistent with a lysosomal hydrolysis
122 of the hydrazone linkers and subsequent release of AMCA to the cytoplasm. This GATG-based
123 conjugate accomplishes the main requirements for a successful drug delivery system (cell
124 internalization, intracellular release, endosomal escape) and represents a promising proof-of-
125 principle for further applications of GATG dendrimers and block copolymers in drug delivery.

126

127 GATG NANOSTRUCTURES FOR DRUG AND GENE DELIVERY

128 PEG-GATG block copolymers are especially suited for drug and gene delivery applications.
129 For instance, they have been used in the preparation of polyion complex (PIC) micelles. PIC
130 micelles are smart delivery systems originally described by the groups of Kataoka and Kabanov
131 (40, 41), that are formed by electrostatic interaction between oppositely charged polyions.
132 Similarly to classical polymeric micelles, PIC micelles have a core-shell structure with a core of
133 ionic blocks surrounded by a neutral hydrophilic corona, typically of PEG. Properties such as
134 their small size, electrical neutrality, and narrow size distribution make these systems highly
135 attractive for drug delivery applications (42, 43).

136 Our research group has reported the preparation of nanosized PIC micelles from an anionic
137 PEG-GATG block copolymer of G3 decorated with 27 peripheral sulfates and poly-*L*-lysine
138 (PLL) as a model polymer of opposite charge (Fig. 3) (44). Notably, these micelles displayed
139 enhanced stability towards ionic strength compared to conventional PIC micelles from linear
140 copolymers, a fact has been ascribed to the more rigid dendritic architecture. These micelles are
141 envisioned as attractive delivery systems for low molecular weight drugs, proteins, nucleic acids
142 and imaging agents. In another example, making use of the developed CuAAC conditions for
143 the anionic decoration of GATG dendrimers, carboxylates have been introduced onto the
144 dendritic periphery of PEG-GATG copolymers, which has allowed the preparation of related
145 pH-sensitive PIC micelles with potential applications in cancer therapy (45).

146 Cationic synthetic carriers have been widely assayed in the last decade as an alternative to
147 viral vectors for the delivery of nucleic acids (46). Among them, cationic dendrimers with the
148 ability to electrostatically interact with negatively charged nucleic acids have received special
149 attention (7, 47). The complexes, named dendriplexes, obtained from commercially available

150 PAMAM and PPI dendrimers have been by far the most investigated ones. Unfortunately, some
151 limitations have arisen, mainly associated to the excess of positive charge necessary to
152 efficiently complex the genetic material, which results in aggregation with blood components
153 and cytotoxicity. One strategy to overcome these limitations has been the use of PEGylated
154 cationic block copolymers to mask the positive charge (48, 49). Similarly to PIC micelles, the
155 positively charged dendritic block interacts with the negatively charged nucleic acid forming an
156 inner core surrounded by a hydrophilic PEG corona. The obtained dendriplexes are sterically
157 stabilized and present lower z-potential, reduced cytotoxicity, and increased circulation times.

158 In light of these results, cationic GATG dendrimers and their block copolymers appeared to
159 be excellent candidates for gene delivery applications. Amine-decorated GATG scaffolds are
160 easily obtained by reduction of the terminal azides. This results in a high positive charge in
161 physiological media and so, in the ability to condense and protect nucleic acids. In addition, the
162 hydrophobic nature of the gallic acid was envisioned to enhance the cellular uptake and
163 transfection efficiency of the dendriplexes. In collaboration with the group of Alonso, we have
164 recently evaluated the ability of amino-functionalized GATG dendrimers and block copolymers
165 to complex plasmid DNA (pDNA) (34, 50). As a result of an analysis of the influence of G, N/P
166 ratio, and the presence/absence of PEG on the dendriplex size and z-potential, we have proposed
167 these dendriplexes as core-shell nanostructures with sterically induced stoichiometry. A single
168 pDNA condensed at the core surrounded by a shell of dendrimers with a stoichiometry
169 determined by the core/dendrimer relative size: the higher the dendrimer G, the fewer the
170 dendrimers that can be accommodated on the dendriplex surface (Fig. 4). Interestingly, in the
171 case of PEG-dendritic block copolymers, this implies the possibility of tuning the PEG density
172 on the dendriplex surface, which may be of interest to control the stealth properties for specific
173 gene therapy applications.

174 The stability, cytotoxicity and interaction with blood components of the dendriplexes were
175 studied, along with their ability to transfect mammalian cells (50). It was revealed that the
176 dendriplexes formed from GATG dendrimers are stable, biocompatible and do protect pDNA
177 from degradation. More importantly, dendriplexes were effectively internalized by HEK-293T
178 cells, which were successfully transfected. It was also observed that PEGylation remarkably
179 influences the properties of the dendriplexes. As previously seen in other nanostructures, PEG
180 improves the biocompatibility at the cost of a reduced cellular uptake (51). Our results
181 highlighted that the PEGylation degree of nanostructures should be carefully adjusted in order
182 to obtain an optimized stealth formulation without compromising the transfection efficiency. A
183 straightforward approach for modulating the density of the PEG shell that ensured a successful
184 transfection was developed by employing mixtures of GATG dendrimers and PEGylated
185 copolymers for the preparation of the dendriplexes (Fig. 4). Further investigations, including *in*

186 *in vivo* studies, are planned to draw definite conclusions on the efficacy of this mixed stealth
187 formulation in animal models.

188

189 GATG DENDRIMERS AS DRUGS: INTERACTIONS WITH PROTEINS AND PEPTIDES

190 Besides the aforementioned application of dendrimers as drug carriers, they can also act as
191 drugs by themselves (8). For instance, several dendritic structures have shown promising
192 antimicrobial and antibacterial activity that pave their way as alternatives to conventional
193 antibiotics (52-54). Anionic dendrimers with anti-inflammatory properties (55, 56) or as agents
194 for the multiplication of human natural killer cells (57) have been also described. The use of
195 dendrimers as inhibitors of viral infections has been investigated as well, in particular against
196 the human immunodeficiency (HIV) and herpes simplex viruses (HSV-1 and HSV-2) (58-60).
197 VivaGel™, a L-lysine-based dendritic microbicide decorated with anionic groups, undoubtedly
198 constitutes the most relevant example. It has been evaluated in Phase II clinical trials as a
199 vaginal gel for preventing/reducing transmission of HIV and genital herpes (61). There are also
200 reports on the use of dendrimers that dissolve prion-protein aggregates and hamper fibril
201 formation of prion and β -amyloid (A β) peptides (62, 63).

202 In this context, our group together with those of Velázquez-Campoy and Neira were
203 encouraged to explore the ability of GATG dendrimers to interact with HIV-1. We hypothesized
204 that if dendrimers can destabilize the tertiary structure of proteins, they could also disrupt the
205 quaternary structure of the capsid protein CA of HIV-1 and hamper its assembly to form the
206 viral capsid. Thus, CA has recently emerged as a promising target for the development of new
207 anti-HIV drugs based on its critical role during HIV morphogenesis (64). Our results
208 demonstrate that G1 GATG dendrimers bind to the C-terminal domain of CA (CTD) with a
209 dissociation constant in the micromolar range, as shown by isothermal titration calorimetry
210 (ITC) (65). The affinity of some of the dendrimers for CTD was similar to that of synthetic
211 peptides binding the dimerization region, and of comparable magnitude to the
212 homodimerization affinity of both CTD and CA. More importantly, a G1 dendrimer decorated
213 by CuAAC with peripheral benzoate groups ([G1]-CO₂Na) was able to hamper the assembly of
214 the HIV capsid *in vitro* (Fig. 5), in what represents the first example of a dendrimer as a lead
215 compound for the development of anti-HIV drugs targeting the capsid assembly.

216 Nanomedicine has shown great potential for the treatment of many central nervous disorders,
217 such as brain cancer, epilepsy, Alzheimer's or Parkinson's diseases. Among the different
218 nanostructures employed for this purpose, dendrimers have been intensively investigated in
219 neurodegenerative processes, especially Alzheimer's disease (66). According to the amyloid
220 cascade hypothesis, amyloid peptide aggregation is closely related to the onset and development
221 of Alzheimer's disease. Since A β peptide oligomers intermediate in the assembly of fibrils are
222 more neurotoxic than the end products, novel strategies aimed to reduce their toxic effects by

223 affecting the aggregation process are of much relevance (62, 67, 68). Encouraged by the
224 promising properties of GATG dendrimers as inhibitors of the dimerization of CA, we
225 envisaged GATG interfering in the formation of amyloid fibrils. In a joint effort with the group
226 of Klajnert, a morpholine-decorated GATG dendrimer ([G3]-Mor) was identified to effectively
227 accelerate the formation of amyloid fibrils from a A β 1-28 peptide (thioflavin T assay, CD,
228 transmission electron microscopy) (Fig. 6) (69). Interestingly, when the cytotoxicity of the A β
229 and the pair A β /[G3]-Mor was monitored at different stages of the aggregation process, it was
230 observed that [G3]-Mor significantly reduced the toxicity of the peptide, most likely by
231 speeding up the fibril formation and lowering the concentration of the toxic prefibrillar forms in
232 the system.

233

234 GATG GLYCODENDRIMERS AS TOOLS TO STUDY MULTIVALENT 235 CARBOHYDRATE RECOGNITION

236 Carbohydrates cover a large spectrum of bioactivities from energy source and structural roles
237 to others crucial for the development, growth, function or survival of organisms. From bacteria
238 to mammals, cells are coated with sugars as first points of contact with their environment. Thus,
239 they are in a position to modulate a plethora of biological processes including, cell–cell
240 recognition, fertilization, pathogen invasion, and toxin and hormone mediation. Moreover,
241 strong evidence suggests that carbohydrates are key diagnostic and prognostic indicators as well
242 as therapeutic targets (70). In addition, the clustered arrangement of carbohydrates on the cell
243 surface enables their multivalent interaction in global processes characterized by affinities and
244 specificities much higher than monovalent interactions (71, 72). This fact has prompted the
245 development of synthetic multivalent glycoconjugates (linear polymers, micelles, nanoparticles,
246 nanotubes, dendrimers) with the ability to promote/inhibit biological events (73). Since
247 carbohydrate recognition is commonly mediated by proteins (lectins), the development of more
248 efficient diagnostic and therapeutic tools relies on a better understanding of the
249 carbohydrate–lectin interaction. However, the complexity of the binding mechanisms associated
250 with multivalency (intermolecular crosslinking, chelation, statistical rebinding) makes them
251 very difficult to measure experimentally. As a consequence, binding data are frequently
252 extracted from indirect competitive methods in solution where only relative affinities are
253 obtained (73). In addition, these experimental designs usually represent rough models for
254 mimicking surface-based interactions as underestimate the multivalency derived from the lectin
255 clustering.

256 With the aim of gaining insight into the fundamental mechanisms of multivalent
257 carbohydrate recognition we have synthesized GATG glycodendrimers by CuAAC from
258 unprotected alkynated saccharides (Fig. 7) (32, 35). These were foreseen as nanotools of precise
259 size and multivalency for mechanistic studies by surface plasmon resonance (SPR). We have

260 compared the outcome of carbohydrate-lectin binding studies in solution (*via* competitive
261 experiments) and surface-bound direct experiments (with immobilized lectins on a chip surface)
262 (74, 75). To that end, we selected the lectin Concanavalin A (Con A) and four generations of
263 glycodendrimers carrying 3-81 mannose/glucose residues ([Gn]-Man and [Gn]-Glc). Solution
264 experiments demonstrated the importance of multivalency in carbohydrate recognition, with
265 affinity increases being observed from the monosaccharide to G2. The lack of further affinity
266 enhancements at higher G, however, contrasts with the surface-bound experiments. This
267 different outcome not only stresses the relevance of the experimental design for soluble *vs*
268 surface-bound lectins, but also that higher dendrimer G not necessarily result in higher affinities
269 in solution.

270 In the surface-bound experiments, a complex binding profile was disclosed with two limiting
271 binding modes, a low-affinity mode associated with dendrimers binding the lectin surface
272 monovalently, and a high-affinity mode associated with dendrimers with higher functional
273 valency. SPR studies also revealed the dynamic nature of the binding mechanisms, with
274 contributions depending on the glycoconjugate multivalency and lectin cluster density, but also
275 on the local concentration of glycoconjugates in the proximity of the lectin cluster, which is a
276 time-dependent factor (Fig. 7). As a result, an original SPR protocol was designed to gather
277 kinetic and thermodynamic information on the interaction by analyzing the early association and
278 late dissociation phases of the sensorgrams, areas where the low analyte concentration nearby
279 the receptor surface favors the highest affinity binding modes. In addition, it was concluded that
280 for surface-bound experiments, the density of receptors should be carefully selected to mimic as
281 much as possible the biological environment if relevant quantitative information is desired
282 beyond a list of relative affinities.

283

284 GATG AS CONTRAST AGENTS FOR MAGNETIC RESONANCE IMAGING

285 Magnetic resonance imaging (MRI) uses a strong magnetic field and radio frequency pulses
286 to obtain internal images of organs and lesions. Differences in contrast of the images reflect the
287 rate at which excited protons of water molecules return to the equilibrium state (relaxation
288 times, T) (76). Although it is possible to obtain high quality images by manipulation of pulse
289 sequences, high contrast is better achieved by adding exogenous contrast agents that, upon
290 coordination to water, accelerate relaxation. Contrast agents in the clinic are based on
291 paramagnetic ions such as gadolinium (Gd^{3+}) complexed to low molecular weight ligands (*e.g.*;
292 DTPA, DOTA, DO3A) (77). These complexes present high relaxivity and adequate
293 biocompatibility, stability and solubility. However, because of their small size they suffer from
294 rapid excretion (high doses necessary) and passive distribution into the interstitial space. The
295 use of macromolecular contrast agents is envisioned to provide longer circulation times in the
296 bloodstream (acquisition windows) and selective diffusion through angiogenic tissue, along

297 with an increased relaxivity per Gd (78). Among the macromolecular contrast agents,
298 dendrimers are especially appealing because of their monodisperse nature and absolute control
299 of their size. Their branched structure imparts rigidity and a high density of functional groups
300 for the multivalent display of Gd and other synergistically integrated agents for therapy and
301 diagnosis. In addition, the pharmacokinetics and pharmacodynamics of dendrimers, their
302 permeability, excretion routes, and recognition by the reticulo-endothelial system can be
303 controlled by generation (78-80). The synthesis of dendritic contrast agents has been
304 conventionally achieved in a stepwise fashion through postlabeling approaches, which involve
305 the incorporation of suitable ligands onto the macromolecular scaffold, followed by
306 complexation to the desired metal ion. Unfortunately, these strategies suffer from incomplete
307 functionalization that results in mixtures of polydisperse compounds and reduced relaxivity. To
308 solve this inconvenience we have turned our attention to a prelabeling approach using Gd
309 complexes and CuAAC as an efficient coupling technology. This way, the complete
310 functionalization of three generations of PEG-GATG block copolymers was demonstrated with
311 an alkynated Gd-DO3A complex (Fig. 8) (81). The resulting monodisperse macromolecular
312 contrast agents, incorporating up to 27 Gd ions at the periphery, display molecular relaxivities
313 that increase with G up to values in the range of Gadomer-17 (82), a polylysine-based dendritic
314 contrast agents bearing 24 DO3A-Gd chelates and considered as a reference in the field. The
315 analysis of the pharmacokinetic properties of this new family of PEG-dendritic contrast agents
316 was studied in mice using a C6 glioma model (Fig. 8). After intravenous injection of the
317 contrast agents, T_1 -weighted images showed similar increments of signal intensity and kinetic
318 profiles to Gadomer-17 (with maximum intensities 4 min after injection), which reveals them as
319 a promising platform for the development of dendritic contrast agents for MRI. The
320 experimental simplicity of this CuAAC-based prelabeling approach should be of relevance for
321 the preparation of alternative macromolecular metal complexes for applications in chemical
322 exchange saturation transfer MRI, fluorescence imaging, and radiolabeling.

323

324 DENDRIMER DYNAMICS

325 The flexibility of the chemical bonds within dendrimers determines their internal dynamics,
326 hydrodynamic size and topological localization of the external groups, all of relevance for
327 pharmacological properties such as biodistribution and surface accessibility. In spite of initial
328 controversies about the dendritic conformation, shape and packing, a consensus has recently
329 emerged on dendrimers as flexible macromolecular structures with a dense core and fluctuating
330 repeating unit groups (83).

331 Nuclear magnetic resonance (NMR) is a powerful tool to study the dynamics of
332 macromolecules at atomic level (84). Information is usually extracted by measuring longitudinal
333 (T_1) and transverse (T_2) relaxation times and nuclear Overhauser effect (NOE). It is especially

334 suited for the analysis of dendrimers since their repetitive nature offers the opportunity to probe
335 different layers and G. Because of our interest in developing the bioapplications of GATG
336 dendrimers, we decided to analyze their dynamical properties. With this aim we initially
337 performed a ^1H NMR relaxation study in CDCl_3 (35). Increasing T_1 and T_2 values were observed
338 on going from the core to the periphery that, according to the theoretical variation of relaxation
339 times with the correlation time (τ) (Fig. 9) (85), were interpreted as a radial increase of
340 dynamics in the same direction (congested core protons surrounded by more flexible external
341 nuclei). This dynamical picture was later confirmed under more relevant aqueous conditions by
342 a quantitative ^{13}C relaxation study in collaboration with the group of Widmalm (86).

343 In general, for NMR studies on the dynamics of macromolecules, quantitative modeling
344 from ^{13}C relaxation is preferred to ^1H . However, lengthy ^{13}C experiments and the necessity of
345 recording various parameters at different magnetic fields have limited such approach in
346 dendrimers to a single report apart from ours (86, 87). Conversely, a great deal of information
347 has been extracted by qualitative interpretation of ^1H and/or ^{13}C relaxation. These studies have,
348 nevertheless, afforded conflicting results on the relative dynamics between the dendritic core
349 and the periphery. With the aim of throwing light on this controversy we have recently
350 performed a comprehensive relaxation study (^1H , ^{13}C ; various magnetic fields and temperatures)
351 of Fréchet-type poly(aryl ether) dendrimers (Fig. 9) (17), as an example of a dendritic family
352 where conflicting relative dynamics between core and periphery have been reported by ^1H T_1
353 relaxation (88, 89) and by alternative techniques (90, 91). As a result of this work (92) it was
354 revealed that NMR relaxation in dendrimers has been often misinterpreted in terms of dynamics.
355 Dendrimers show slower dynamics at internal layers and display internal nuclei with T_2 values
356 shorter than the periphery, but T_1 values that can be either shorter or larger depending on their
357 position in the fast or slow motional regimes (Fig. 9). Accordingly, only the recording of T_1 data
358 at various temperatures (alternatively, T_2 or NOE at one temperature) can ensure the correct
359 interpretation of dendrimer dynamics. The large number of dendritic families, other than
360 poly(aryl ether), where dynamics have been evaluated on the basis of T_1 data at one temperature
361 urges necessity of revisiting previous NMR relaxation studies.

362 The fact that dendrimers obey a dense core model with increasing T_2 values from the core to
363 the periphery has been more recently exploited in our laboratory in T_2 -edited NMR experiments
364 (93) for the stepwise filtering of the internal nuclei (94). The resulting filtered spectra benefit
365 from reduced signal overlapping, which facilitates NMR assignment and characterization (Fig.
366 10). This filtering strategy has been applied to various dendritic families, nuclei (^1H , ^{13}C , ^{31}P)
367 and 2D experiments (COSY and HSQC), and is envisaged to aid structural characterization and
368 end-group analysis in related dendritic structures, including block, dendronized, and
369 hyperbranched polymers functionalized with drugs, active targeting moieties and other labels.

370

371 CONCLUSIONS

372 GATG dendrimers and their PEGylated block copolymers represent promising
373 macromolecular scaffolds for a plethora of biomedical applications. Key features in GATG are a
374 high tunability and versatility. The presence of terminal azides in GATG allows their efficient
375 functionalization with a variety of ligands of biomedical relevance. Depending on the desired
376 application, GATG dendritic scaffolds have been easily decorated in a single step with anionic
377 or cationic groups, carbohydrates, peptides or imaging agents. The resulting functionalized
378 dendrimers have found application as nanotools to study multivalent interactions, as building
379 blocks for the preparation of polymeric micelles and dendriplexes for gene delivery, as antiviral
380 drugs or agents for the treatment of neurodegenerative diseases, and as contrast agents for MRI.
381 In addition, the analysis of GATG dynamics by NMR relaxation has prompted a fundamental
382 study on dendrimer dynamics. As a result, profound differences between the relaxation behavior
383 of dendrimers and linear polymers were revealed that have been exploited in the filtering of
384 NMR spectra to facilitate signal assignment and characterization.

385 As a concluding remark, we would like to highlight that despite the promising results of
386 GATG dendrimers in the biomedical field, there are still ahead of us fascinating puzzles to be
387 solved where GATG might play a role. Examples of current challenges faced by this dendritic
388 family in our laboratory are the development of nanosystems able to tackle unresolved problems
389 in drug delivery and to avoid the limitations derived from the potential Cu contamination after
390 CuAAC. Regarding the first objective, advantage can be taken of the modularity of GATG
391 dendrimers. Indeed, by making discrete structural changes, such as varying the length of the
392 PEG chain, the dendritic generation or the hydrophobicity at the periphery, the solubility and
393 dynamical properties of GATG can be effectively tuned. As for the second challenge, we are
394 currently engaged in the development of Cu-free approaches that circumventing the generation
395 of reactive oxygen species, also avoid the use of large linkers like strained cycloalkynes.
396 Finally, we are motivated to transfer GATG dendrimers to *in vivo* situations where parameters
397 such as, biodegradability, immunogenicity or bioaccumulation, among others, will have to be
398 carefully evaluated to validate their clinical potential.

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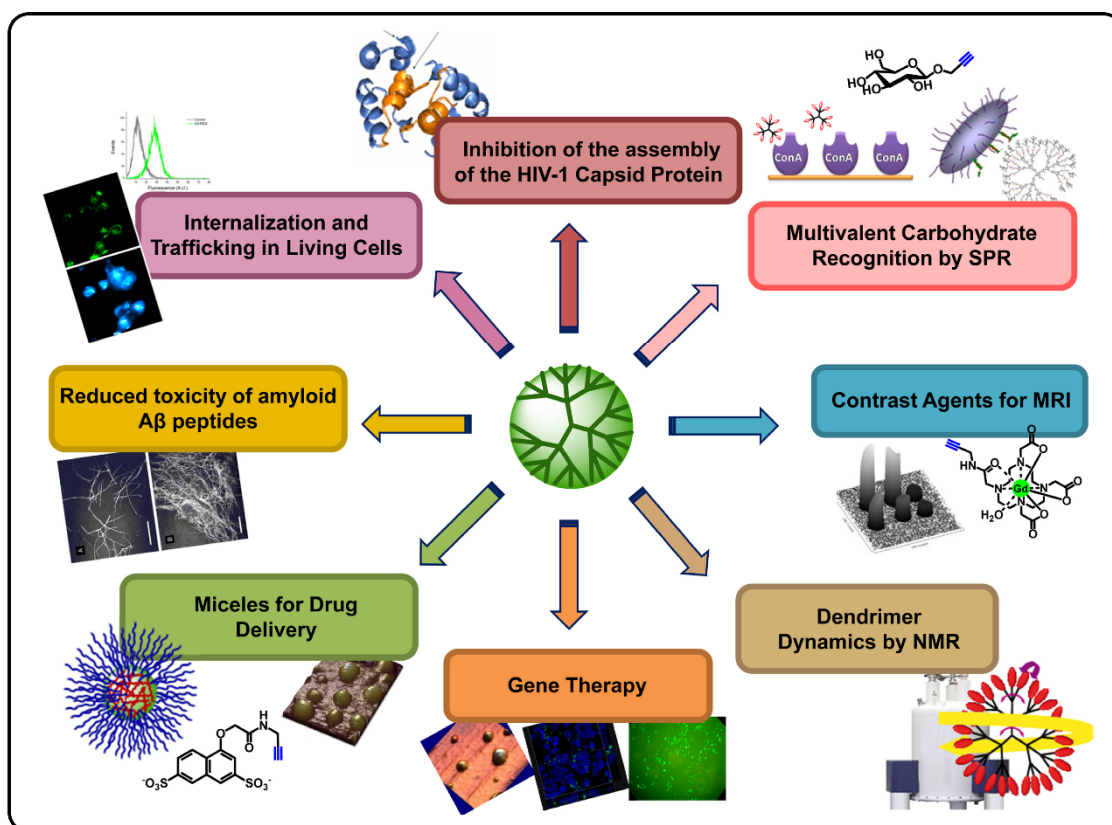
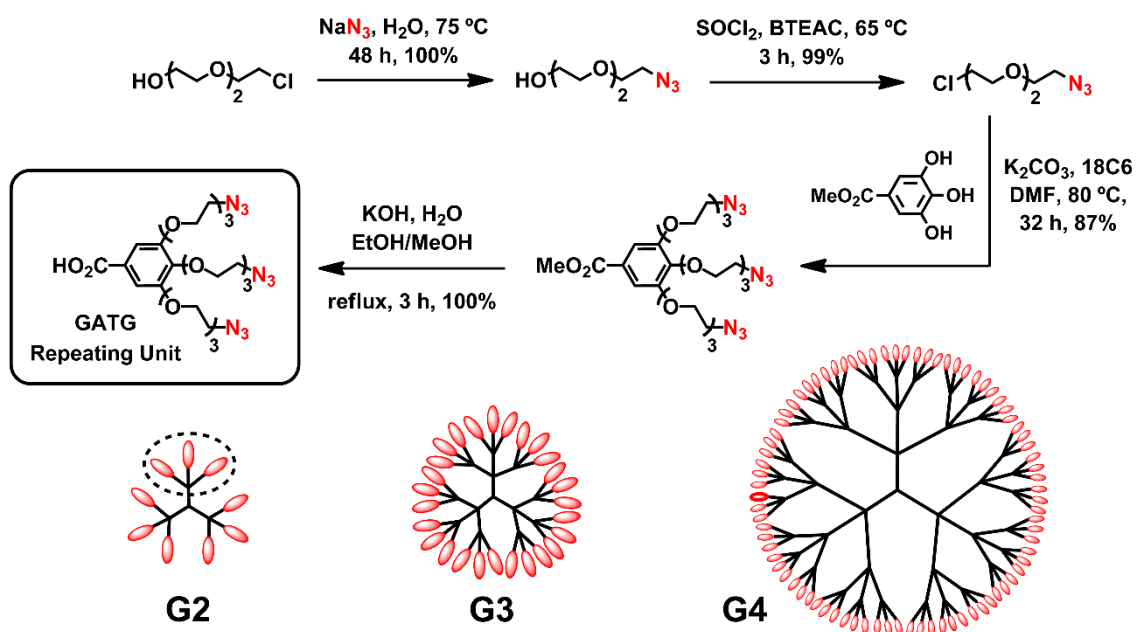
400 ACKNOWLEDGMENTS

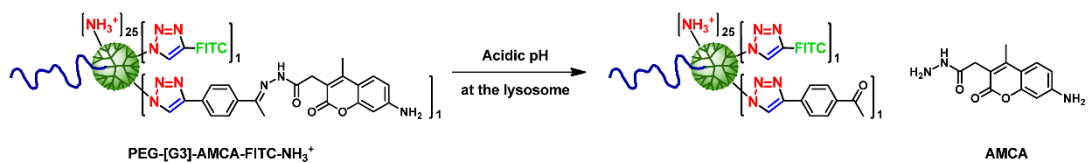
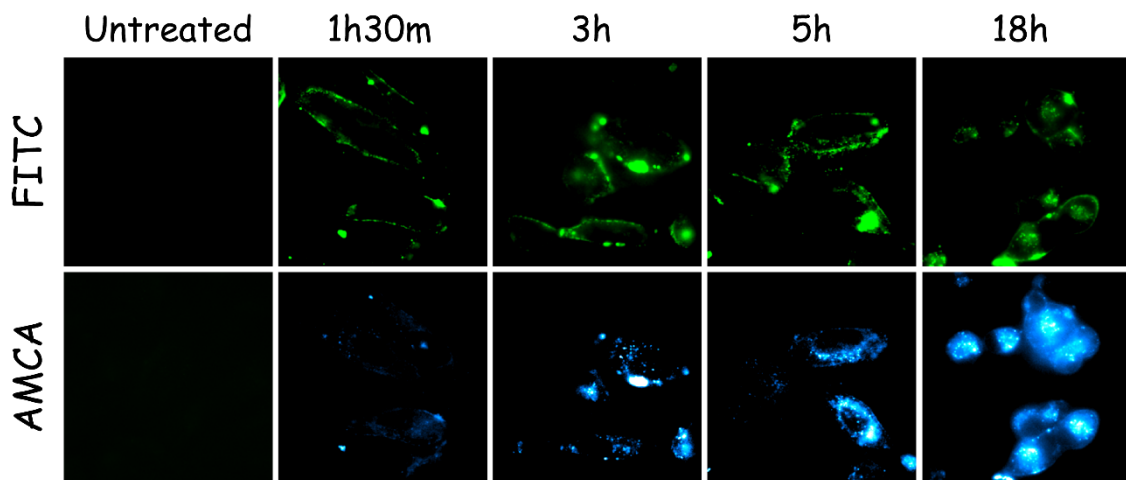
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404 and the Xunta de Galicia (10CSA209021PR and CN2011/037).

405

406 **Conflict of Interest** The authors declare that they have no competing interests.

407

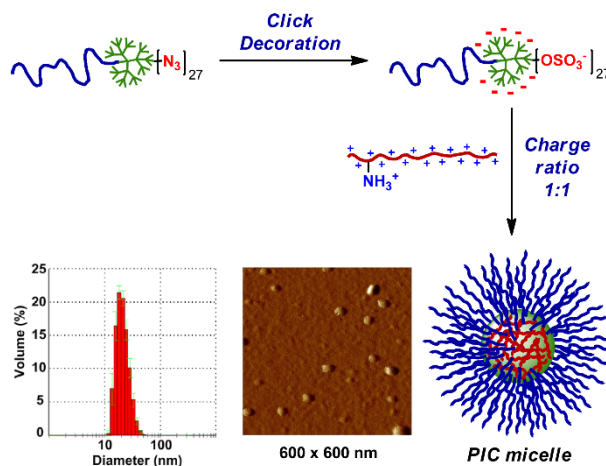




414

415 **Fig. 2.** Schematic structure of PEG-[G3]-AMCA-FITC-NH₃⁺. Cell-uptake and intracellular
 416 trafficking in HeLa cells: fluorescent signals from FITC (carrier) and AMCA (model cargo).
 417 Reprinted with permission from ref. (39).

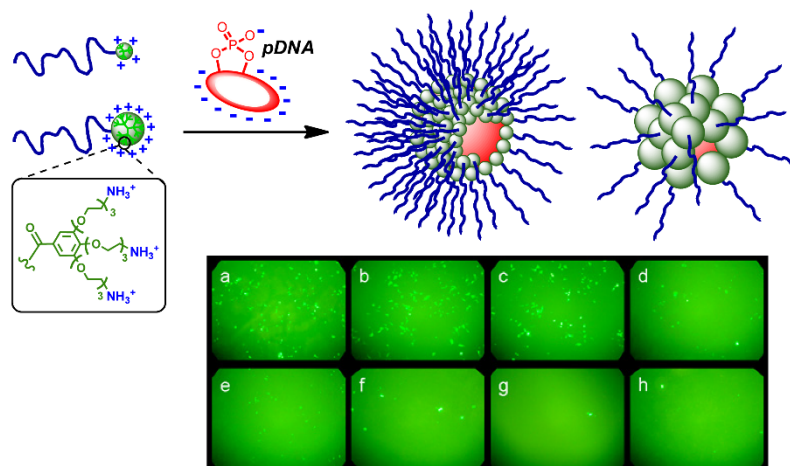
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420 **Fig. 3.** Schematic representation of the formation of PIC micelles from an anionic PEG-GATG
 421 block copolymer and PLL. DLS histogram and tapping-mode AFM image of the micelles.
 422 Reprinted with permission from ref. (44).

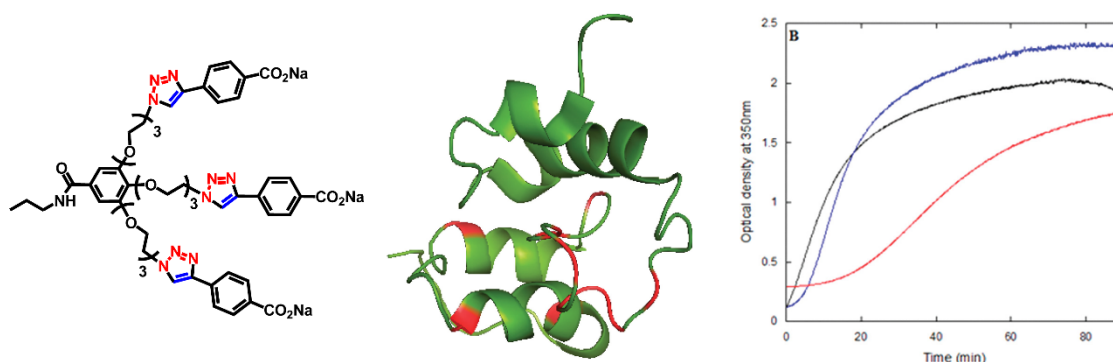
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425 **Fig. 4** Schematic representation of dendriplexes prepared from a plasmid DNA (pDNA) and two
 426 generations of PEG-GATG block copolymers as nanostructures with core-shell stoichiometry.
 427 Expression of EGFP in HEK-293T cells transfected with dendriplexes prepared with increasing
 428 molar ratios of PEG-[G3]-NH₂/[G3]-NH₂: (a) 0% (solely G3), (b) 0.5%, (c) 1%, (d) 5%, (e)
 429 10%, (f) 20%, (g) 50% and (h) 100% (solely PEG-G3). Reprinted with permission from ref.
 430 (34) and (50).

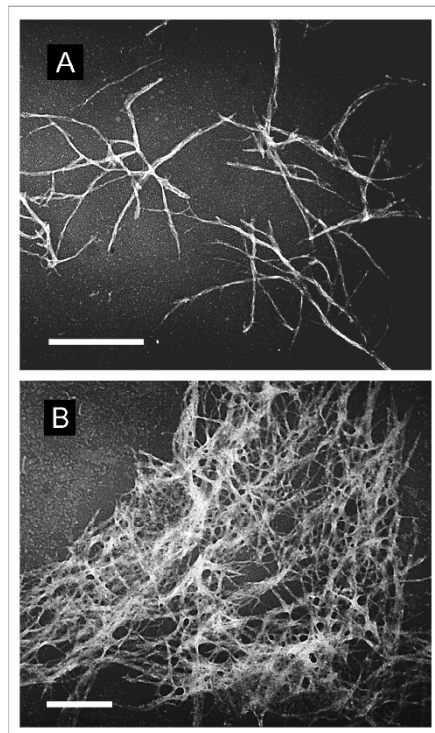
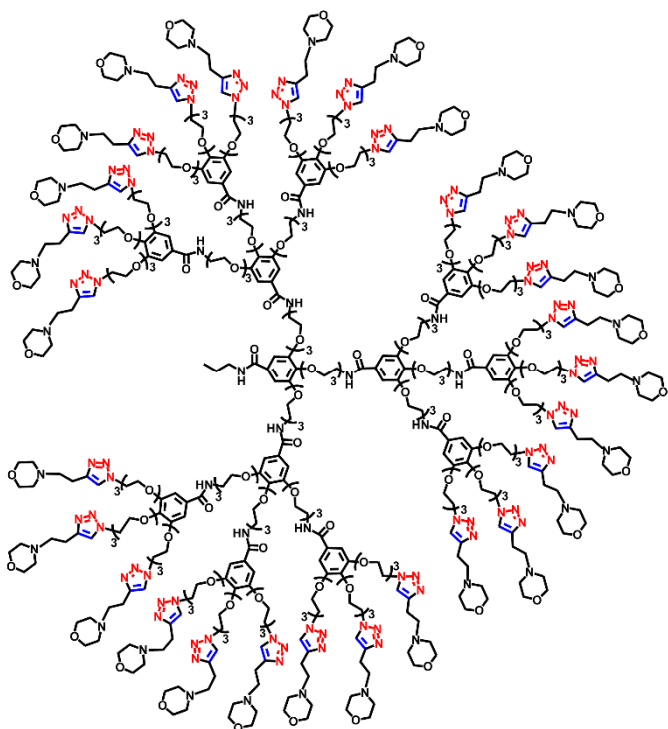
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433 **Fig. 5.** Left: structure of [G1]-CO₂Na. Centre: binding of GATG dendrimers to monomeric
 434 CTDW184A by NMR (residues in red change their peak intensities in the presence of GATG).
 435 Right: inhibitory activity of [G1]-CO₂Na on the *in vitro* assembly of CA. Oligomerization of
 436 CA in the absence (black line) or presence of [G1]-CO₂Na at a 5-fold (blue line) and 10-fold
 437 molar excess (red line). Reprinted with permission from ref. (65).

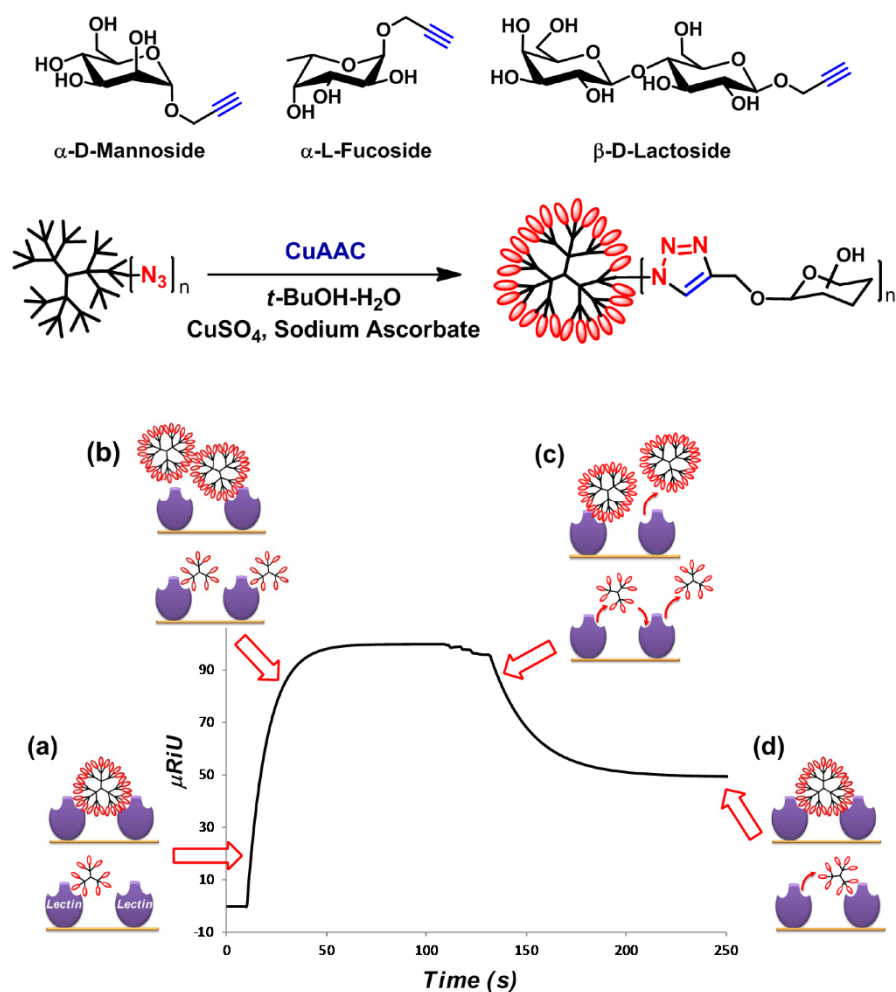
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440 **Fig. 6.** Left: structure of [G3]-Mor. Right: electron micrographs of Aβ 1-28 at the end of an
441 aggregation process in the absence (A) and the presence of 1 μM [G3]-Mor (B). The length of
442 the bar equals to 200 nm. Reprinted with permission from ref. (69).

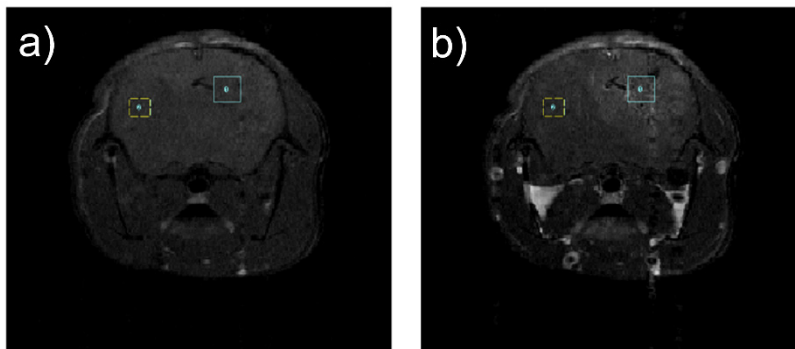
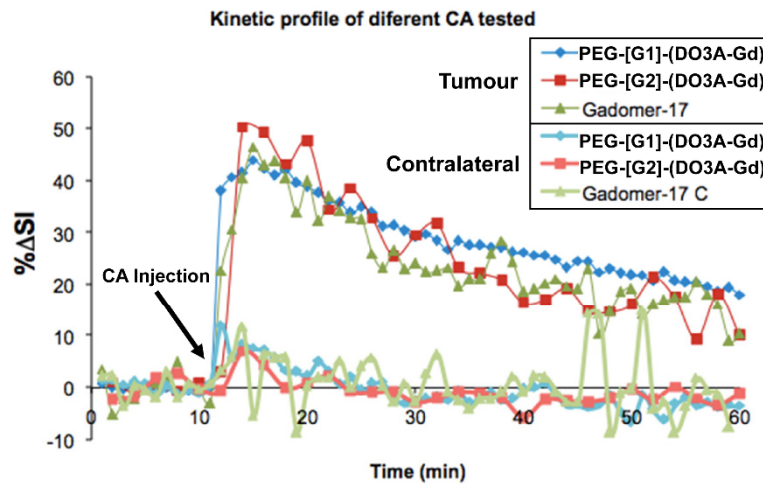
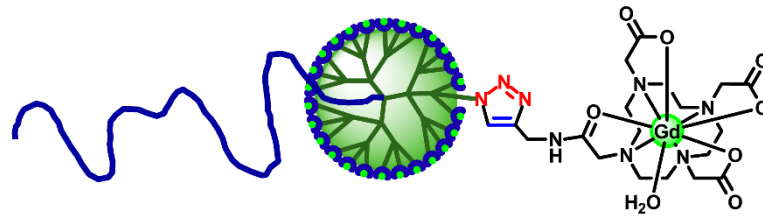
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445 **Fig. 7.** Synthesis of GATG glycodendrimers and schematic representation of the dynamic
 446 binding heterogeneity of surface-bound experiments between lectin clusters and
 447 glycodendrimers: (a) Initial binding of glycodendrimers to the lectin cluster with potential
 448 stabilization *via* chelate mechanism depending on glycodendrimer size and lectin cluster
 449 density. (b) At longer association times, competition between dendrimers for lectin
 450 complexation increases, promoting monovalent interactions primarily stabilized *via* rebinding
 451 effects. (c) An initial fast dissociation of glycodendrimers bound with low affinity is followed
 452 by (d) a slower dissociation due to stabilization by rebinding and potential chelate effects.
 453 Reprinted with permission from ref. (32) and (75).

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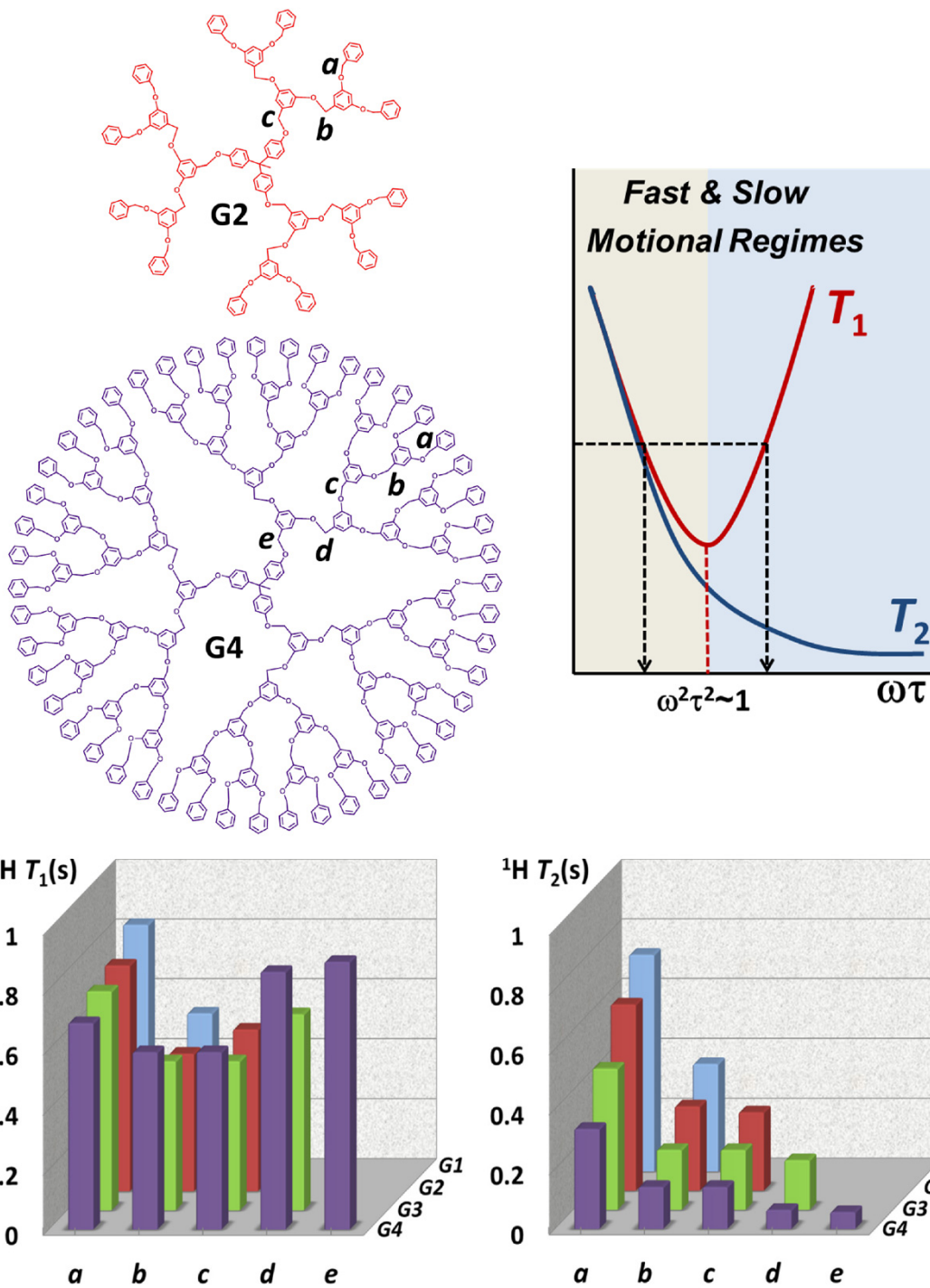
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Fig. 8. Schematic structure of PEG-GATG contrast agents for MRI. Normalized increase in signal intensity (ΔSI) in tumor and contralateral hemisphere. T_1 -weighted images of a mouse brain before (a) and after (b) administration of PEG-[G2]-(DO3A-Gd). Squares in images show tumor (right) and contralateral hemisphere (left) regions analyzed. Reprinted with permission from ref. (81).



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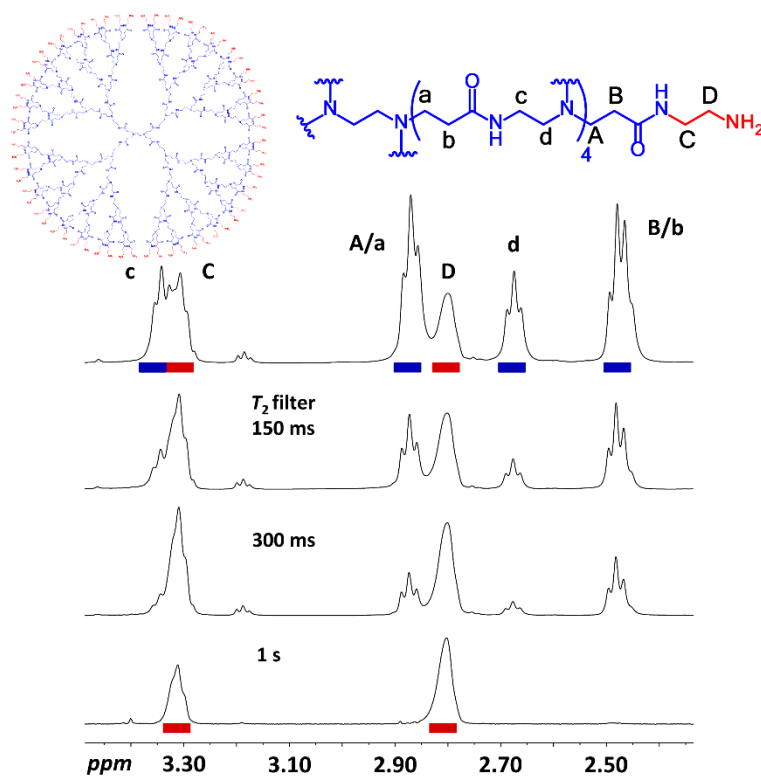
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Fig. 9. Top left panel: structures of poly(aryl ether) dendrimers. Top right panel: schematic representation of the theoretical dependence of T_1 and T_2 on correlation time (τ). Bottom panel: $^1\text{H } T_1$ and T_2 for the benzylic protons of G1–G4 poly(aryl ether) dendrimers (CDCl_3 , 500 MHz, 298 K). Reprinted with permission from ref. (92).



468

469 **Fig. 10.** Structure of G4 PAMAM and ^1H T_2 -filtered NMR spectra (500 MHz, CDCl_3 , 298 K).
 470 Increasing filters between 150 ms and 1 s resulted in a spectrum showing only the most
 471 peripheral protons, which remained partially hidden in the original spectrum. Reprinted with
 472 permission from ref. (94).

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