

## Catechol versus carboxyl linkage impact on DSSC performance of synthetic pyranoflavylum salts

**Authors:** Ana Lucia Pinto,<sup>a</sup> Luis Cruz,<sup>b</sup> Vânia Gomes,<sup>b</sup> Hugo Cruz,<sup>a</sup> Giuseppe Calogero,<sup>c</sup> Victor de Freitas,<sup>b</sup> Fernando Pina,<sup>a</sup> A. Jorge Parola,<sup>a</sup> J. Carlos Lima<sup>a,\*</sup>

<sup>a</sup>LAQV-REQUIMTE, Departamento de Química, Faculdade de Ciências e Tecnologia, Universidade NOVA de Lisboa, 2829-516 Caparica, Portugal.

<sup>b</sup>LAQV-REQUIMTE, Departamento de Química e Bioquímica, Faculdade de Ciências, Universidade do Porto, Rua do Campo Alegre, 687, 4169-007 Porto, Portugal.

<sup>c</sup>CNR, Istituto per i Processi Chimico-Fisici, Sede di Messina, Salita Sperone, C. da Papardo, I-98158 Faro Superiore Messina, Italy.

**Keywords:** dye-sensitized solar cells, pyranoflavylum dyes, bio-inspired DSSCs

### Abstract

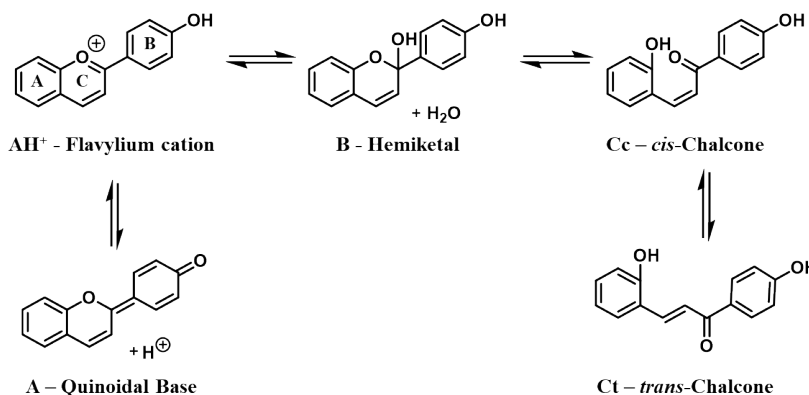
Anthocyanins are the main polyphenolic dyes found in young red wines, which are transformed into more stable structures such as pyranoanthocyanins, during wine ageing and maturation. While anthocyanins practically lose their red color between pH 1 and 5, as a result of the formation of colorless hemiketals, pyranoanthocyanins practically do not change their color intensity. For that they constitute a photosensitizer family with great potential for bio-inspired dye-sensitized solar cells (DSSCs). In this work, a series of pyranoanthocyanin derivatives were designed, synthesized and applied for the first time as dye sensitizers in DSSCs. A relation was established between dye structure and cell efficiency. Specifically, the influence of different linker units, carboxyl and catechol, was studied in terms of their influence in the various parameters related to DSSC efficiency. The presence of the catechol unit was shown to be essential for efficient electron injection of the dye into the TiO<sub>2</sub> semiconductor, since carboxylic units showed a deleterious effect in electron injection due to their electron withdrawing character. An overall efficiency of 1.15% was obtained for the best performing compound, 10-catecholpyrano-3',4',5,7-tetrahydroxyplavylium, with no further optimization.

### Introduction

Dye-Sensitized Solar Cells (DSSC), as described by Brian O'Regan and Michael Grätzel<sup>1</sup>, are photovoltaic devices based on the sensitization of wide band-gap semiconductor electrodes with dyes absorbing visible light. These devices have attracted a lot of attention since they display a large flexibility in shape, colour and transparency, compatibility with flexible substrates and a large variety of designs to facilitate market entry.<sup>1-3</sup> Following the pioneering work of Grätzel, the

57  
58  
59 fundamentals of the working principles of DSSCs are basically understood using several types of  
60 dyes such as the original ruthenium dyes,<sup>4-6</sup> but also porphyrins,<sup>6-10</sup> anthocyanins<sup>6-8,11,12</sup> and, in  
61 recent years, perovskite materials.<sup>13-15</sup> Until recent years, DSSCs exhibiting higher energy  
62 conversion yields were based on functional ruthenium or osmium(II)-polypyridyl complexes,  
63 which are expensive and toxic.<sup>16</sup> In the last years, however, porphyrin dyes and perovskites  
64 challenged these compounds in terms of performance, demonstrating the importance of exploring  
65 systematically other types of dyes. To this regard, natural anthocyanin dyes and their synthetic  
66 derivatives were shown to have promising properties as efficient photosensitizers for DSSCs.<sup>6,7,11,17-</sup>  
67  
68  
69  
70  
71  
72  
73  
74  
20 Kay and Grätzel were probably the first to elucidate the photoelectrochemical behaviour of  
natural chlorophylls,<sup>9</sup> while Tennakone and co-workers were the first to use cyanidin (cyanin  
without the sugar moieties) in a dye sensitized nanocrystalline solar cell.<sup>21</sup> Grätzel and co-workers  
applied, for the first time, anthocyanin dyes extracted from blackberries (cyanidin-3-glucoside) in a  
DSSC displaying a conversion yield of 0.56%.<sup>12</sup>

75 Flavylium compounds represent a family of natural , which includes anthocyanins, anthocyanidins  
76 and 3-deoxyanthocyanins, responsible for the colours seen in a broad variety of flowers, fruits,  
77 vegetables and roots.<sup>22</sup> From pink to red, violet and blue, anthocyanins present themselves as  
78 versatile compounds that can change their colour (by means of structural variations) depending on  
79 external stimuli such as pH, temperature and light. In fact, they share in common the same chemical  
80 equilibrium network in acid to neutral medium (Figure 1). In the case of anthocyanins, flavylium  
81 cation (**AH<sup>+</sup>**) is the only species present in very acidic medium. Once the pH is raised two parallel  
82 reactions can take place: deprotonation of phenol groups in the flavylium structure to form the blue  
83 quinoidal base (**A**) and/or hydration to form a colorless hemiketal (**B**). Since hemiketal formation  
84 occurs from the hydration of the flavylium cation and not from the quinoidal base, these two  
85 reactions are competitive. Consequently, upon pH increase, **A** appears as a kinetic product, but  
86 since it is fairly unstable at equilibrium, it gradually disappears with time to form hemiketal through  
87 the flavylium cation. The hemiketal undergoes tautomerization which leads to the formation of the  
88 pale yellow *cis*-chalcone (**Cc**), and finally (in a longer timescale) *cis*-chalcone isomerizes and  
89 gives the *trans*-chalcone (**Ct**).<sup>22</sup>



106  
107  
108  
109  
110  
111  
112

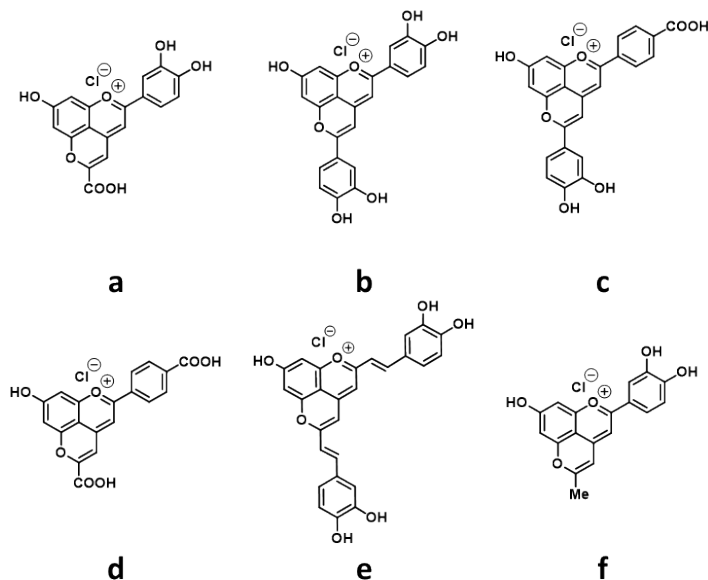
**Figure 1** - Flavylium network of chemical reactions exemplified from 4'-hydroxyflavylium.

Anthocyanins are, as well, the main polyphenolic dyes found in young red wines, which can be transformed into more stable structures such as pyranoanthocyanins, during wine ageing and maturation. Pyranoanthocyanins display different chromatic hues with a wide range of colors from

113  
114  
115 yellow to blue. Furthermore, pyranoanthocyanins have been shown to display higher color intensity  
116 and stability at a wider pH range comparatively to their anthocyanin precursors.<sup>23,24</sup> In fact, while  
117 anthocyanins practically lose their red color between pH 1 and 5, as a result of the formation of  
118 colorless hemiketals, pyranoanthocyanins practically do not change their color intensity.<sup>23,24</sup> For  
119 these reasons, these dyes are interesting for a wide range of potential applications namely as food  
120 colorants, hair dyes, laser dyes, as well as photosensitizers for medical applications namely in  
121 photodynamic therapy and for energy applications such as DSSCs.<sup>25,26</sup>  
122  
123

124 However, these natural occurring dyes develop through Nature's evolution process, optimizing and  
125 rearranging according to its needs. In fact, some natural flavylum derivatives, such as cyanidin,  
126 delphinidin and petunidin, can anchor efficiently to TiO<sub>2</sub> through the catechol unit in the B ring, but  
127 do not have the donor-acceptor pattern optimized for electron transfer. So, for DSSC purposes, for  
128 example, it is possible to follow a bio-inspired strategy and with the adequate structural  
129 modifications prepare quasi-natural biomimetic compounds. With simple, non-toxic and  
130 environmentally safe synthetic procedures it is possible to design and prepare compounds with  
131 similar properties of natural occurring anthocyanins while tailoring desired energy levels,  
132 absorption properties and linker units. In fact, recently a yield of 2.2% was obtained with the  
133 compound 7-diethylamino-3',4'-dihydroxyflavylium (and upon device assembly optimization an  
134 efficiency of 3.0% was achieved, using the same compound).<sup>17,27</sup> Anchoring to TiO<sub>2</sub> is one of such  
135 traits that can be optimized and can greatly influence the overall cell efficiency. In flavylum  
136 derivatives, TiO<sub>2</sub> anchoring is expected to happen through the quinoidal base form.<sup>17,27,28</sup> But  
137 different linker units have different impacts on dye adsorption and electron injection.  
138  
139

140 In this work, a series of pyranoanthocyanin derivatives were designed, synthesized and applied as  
141 dye sensitizers in DSSCs, Figure 2. The spectral response and current *vs.* potential properties of  
142 photoanodes using these dyes were measured. A relation was established between dye structure and  
143 cell efficiency. Specifically, the influence of different linker units, carboxyl and catechol, was  
144 studied in terms of their influence in the various parameters related to DSSC efficiency.  
145  
146  
147  
148  
149  
150  
151  
152  
153  
154  
155  
156  
157  
158  
159  
160  
161  
162  
163  
164  
165  
166  
167  
168



**Figure 2** – Chemical structures of the six pyranoflavilylium dyes studied in this work.

## Experimental

### General information and instruments

All solvents and chemicals employed for synthesis and for preparation of samples were of reagent or spectrophotometric grade and were used as received.

*Synthesis* – The syntheses of compounds **a** and **d**<sup>23</sup>, **b** and **f**<sup>24</sup> and **e**<sup>29</sup> were previously described.

*Pyrano-4'-carboxy-7-hydroxyflavilylium-10-catechol (c)* Caffeic acid (1.6 mmol, 10 eq.) was added to a solution of 4'-carboxy-5,7-dihydroxyflavilylium (0.132 mmol, 50 mg) previously obtained,<sup>23</sup> in a mixture of H<sub>2</sub>O/EtOH (60:40) (v/v) (50 mL) and pH was set to 3.5. The reaction mixture was left at 60 °C for 5 days. Then, the ethanol was evaporated, and the crude product was pre-purified in a Buchner funnel loaded with C18 silica gel and eluted with acidified aqueous solution containing 30–60% of MeOH. The product was isolated by column chromatography using C18 silica gel with 50% of acidified MeOH. A dark orange powder was obtained with 8% yield.

<sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>/TFA 9:1) δ 8.26 (d, J = 8.4 Hz, 2H, H2' and H6'), 8.16 (d, J = 8.4 Hz, 2H, H3' and H5'), 7.82 (s, 1H, H3), 7.68 (dd, J = 8.5, 2.1 Hz, 1H, H6''), 7.63 (s, 1H, H9), 7.61 (d, J = 2.2 Hz, 1H, H2''), 7.25 – 7.21 (m, 2H, H6 and H8), 7.01 (d, J = 8.5 Hz, 1H, H5''). <sup>13</sup>C NMR (151 MHz, DMSO-*d*<sub>6</sub>/TFA 9:1) δ 168.77 (C10), 167.62 (C7), 166.83 (4'-COOH), 164.58 (C2), 153.80 (C5 or C8a or C4''), 153.70 (C5 or C8a or C4''), 153.44 (C5 or C8a or C4''), 151.04 (C4), 146.91 (C3''), 135.25 (C4'), 133.92 (C1'), 130.57 (C3' and C5'), 127.75 (C2' and C6'), 122.41 (C6''), 120.82 (C1''), 117.08 (C5''), 114.90 (C2''), 108.17 (C4a), 104.12 (C3), 101.97 (C9), 100.99 (C6 or C8), 100.88 (C6 or C8). LC-DAD/ESI-MS: [M]<sup>+</sup> *m/z* 415, calculated for C<sub>24</sub>H<sub>15</sub>O<sub>7</sub><sup>+</sup>: 415.1.

225  
226  
227 *Physico-chemical characterization of the compounds* – Optical measurements: The UV-Vis  
228 absorption spectra of the solutions and the dyes adsorbed to TiO<sub>2</sub> in transmittance mode were  
229 recorded by a Varian Cary 5000. All the spectra were collected at room temperature.  
230

231  
232 Electrochemical measurements: Cyclic voltammetry (CV) and differential pulse voltammetry  
233 (DPV) measurements were performed on a  $\mu$ Autolab Type III potentiostat/galvanostat, controlled  
234 with GPES software version 4.9 (Eco-Chemie), using a cylindrical 5 mL three-electrode cell. A Pt  
235 wire was used as counter-electrode. To perform the measurements on the I<sup>-</sup>/I<sub>3</sub><sup>-</sup> system, a glassy  
236 carbon electrode (MF-2013,  $r=1.6$  mm, BAS inc.) was used as the working electrode. Prior to use,  
237 the working electrode was polished in aqueous suspensions of 1.0 and 0.3  $\mu$ m alumina (Beuhler)  
238 over 2–7'' micro-cloth (Beuhler) polishing pads, then rinsed with water and ethanol. This cleaning  
239 procedure was always applied before any electrochemical measurements. The electrolyte  
240 composition was 0.1 M tetrabutylammonium tetrafluoroborate, 10 mM LiI in  
241 acetonitrile:valeronitrile (85:15, % v/v). To perform the measurements on the dye-coated TiO<sub>2</sub>  
242 films, the films themselves were used as working electrode. A fresh sample was used for each scan  
243 to avoid uncertainty due to degradation between scans. The electrolyte composition was 0.1M  
244 tetrabutylammonium tetrafluoroborate in acetonitrile:valeronitrile (85:15, % v/v). All potentials  
245 refer to an SCE (Saturated KCl) reference electrode (Metrohm). CV measurements were performed  
246 between 0 and +1V, with a scan rate of 50 mV/s. DPV measurements were performed between 0  
247 and +1V, using scan rate 5 and 10 mV/s and a pulse amplitude of 50 mV. The samples in the  
248 electrochemical cell were de-aerated by purging with nitrogen for 10 minutes prior to, and during,  
249 the electrochemical measurements.  
250  
251

252  
253 *Photoelectrochemical Measurements* – Current-Voltage curves were recorded by a digital Keithley  
254 SourceMeter multimeter (PVIV-1A) connected to a PC. Simulated sunlight irradiation was provided  
255 by an Oriol solar simulator (Model LCS-100 Small Area Sol1A, 300 W Xe Arc lamp equipped with  
256 AM 1.5 filter, 100 mW/cm<sup>2</sup>).  
257

258 The thickness of the oxide film deposited on the photoanodes and the oxide film used for UV-Vis  
259 absorption experiments were measured using an Alpha-Step D600 Stylus Profiler (KLA-Tencor).  
260

### 261 **DSSCs fabrication and photovoltaic characterization**

262 The conductive FTO-glass (TEC7, Greatcell Solar) used for the preparation of the transparent  
263 electrodes was first cleaned with detergent and then washed with water and ethanol. To prepare the  
264 anodes, the conductive glass plates were immersed in a TiCl<sub>4</sub>/water solution (40 mM) at 70 °C for  
265 30 min, washed with water and ethanol and sintered at 500°C for 30 minutes. The TiO<sub>2</sub>  
266 nanocrystalline layers were deposited on the FTO plates by screen-printing the transparent titania  
267 paste (18NR-T, Greatcell Solar) using a frame with polyester fibres having 43.80 mesh per cm<sup>2</sup>.  
268 This procedure, involving two steps (coating and drying at 125 °C), was repeated two times. The  
269 TiO<sub>2</sub> coated plates were gradually heated up to 325 °C, then the temperature was increased to 375  
270 °C in 5 minutes, and afterwards to 500 °C. The plates were sintered at this temperature for 15 min,  
271 and finally cooled down to room temperature. Afterwards the TiO<sub>2</sub> film was treated with the same  
272 TiCl<sub>4</sub>/water solution (40 mM), following the procedure previously described. A coating of reflector  
273 titania paste (WER2-O, Greatcell Solar) was deposited by screen-printing and sintered at 500 °C.  
274 Each anode was cut into rectangular pieces (area: 2 cm × 1.5 cm) having a spot area of 0.196 cm<sup>2</sup>  
275  
276  
277  
278  
279  
280

281  
282  
283 with a thickness of 15  $\mu\text{m}$ . The titanium oxide film employed for UV-Vis absorption experiments  
284 was prepared by doctor blade: two edges of the glass plate were covered with stripes of an adhesive  
285 tape (3 M Magic) in order to obtain a transparent ultrathin  $\text{TiO}_2$  film with an estimated thickness of  
286 about 6  $\mu\text{m}$ . Dye solutions of the pyranoflavylium salts (0.5 mM) were prepared in ethanol. The  
287 photoanodes were prepared by soaking the screen-printed glasses overnight ( $\sim 17\text{h}$ ) in the different  
288 dye solutions, at room temperature in the dark. The excess dye was removed by rinsing the  
289 photoanodes with the same solvent as that employed in the dye solution.  
290

291 Each counter-electrode consisted of an FTO-glass plate (area: 2 cm  $\times$  2 cm) in which a hole (1.5  
292 mm diameter) was drilled. The perforated substrates were washed and cleaned with water and  
293 ethanol in order to remove any residual glass powder and organic contaminants. The Pt transparent  
294 catalyst (PT1, Grealcell Solar) was deposited on the conductive face of the FTO-glass by doctor  
295 blade: one edge of the glass plate was covered with a stripe of an adhesive tape (3 M Magic) both to  
296 control the thickness of the film and to mask an electric contact strip. The Pt paste was spread  
297 uniformly on the substrate by sliding a glass rod along the tape spacer. The adhesive tape stripe was  
298 removed, and the glasses heated at 550  $^\circ\text{C}$  for 30 min. The photoanode and the Pt counter-electrode  
299 were assembled into a sandwich type arrangement and sealed (using a thermopress) with a hot melt  
300 gasket made of Surlyn ionomer (Meltonix 1170-25, Solaronix SA).  
301

302 The electrolyte was prepared by dissolving the redox couple,  $\text{I}^-/\text{I}_2$  (0.8 M LiI and 0.05 M  $\text{I}_2$ ), in  
303 acetonitrile/valeronitrile (85:15, % v/v) mixture. The electrolyte was introduced in to the cell via  
304 backfilling under vacuum through a hole in the back of the cathode. Finally, the hole was sealed  
305 with adhesive tape.  
306

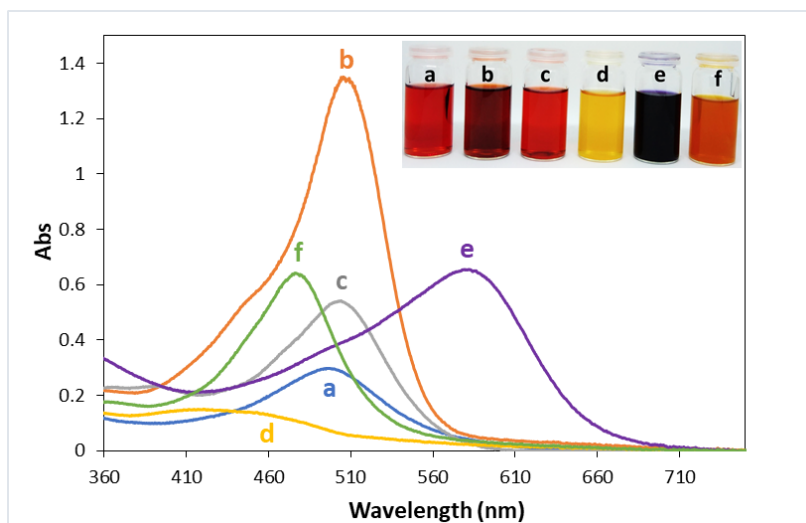
307 For each compound, three cells were assembled under the same conditions, and the efficiencies  
308 were measured 10 times for each one resulting in 30 measurements *per* compound, in order to  
309 calculate average and standard deviation values.  
310

## 311 **Results and Discussion**

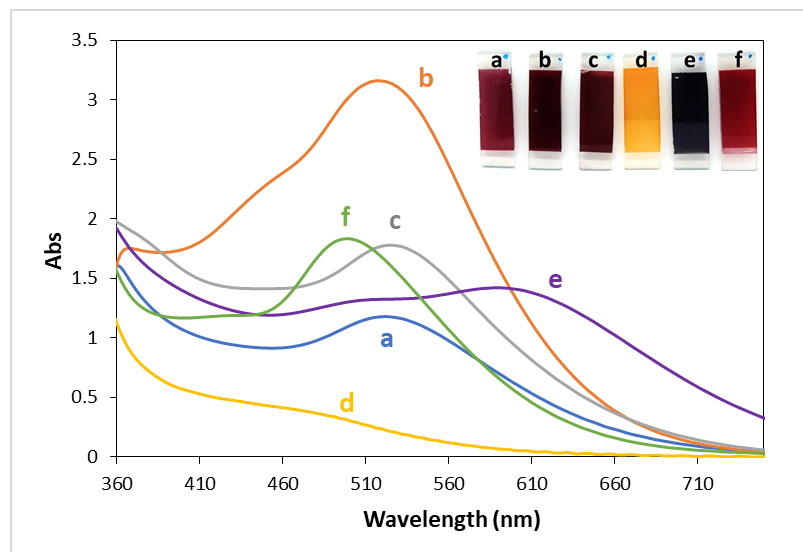
### 312 **UV-Vis absorption**

313  
314  
315  
316 Dye sensitization plays a crucial role in DSSCs. A dye for DSSC should ideally absorb solar  
317 radiation strongly with absorption bands in the visible and NIR region, preferably covering a wide  
318 range of wavelengths. The absorption spectra of compounds **a** - **f** in ethanol (0.05 mM) are shown  
319 in Figure 3. Compound **d** has the most blue-shifted absorption maximum (458 nm) followed by  
320 compounds **f** (476 nm), **a** (495 nm), **b** (503 nm) and **c** (504 nm). Compound **e** exhibits an absorption  
321 maximum at lower energies (582 nm) when compared with the other compounds, reflecting the  
322 effect of extending the conjugation through a styryl unit to the catechol groups. In addition, since it  
323 has a broader band, absorbing a wider range of visible frequencies, it gives rise to a darker colored  
324 solution and film (see insets in Figures 3 and 4, respectively). Since these solutions were prepared  
325 in ethanol, with no addition of acid, some of these absorption maxima may already have a  
326 contribution from the presence of quinoidal base species. Upon adsorption onto  $\text{TiO}_2$  (Figure 4) all  
327 compounds show red-shifted absorption maxima (between 8 and 27 nm for compounds **e** and **a**,  
328 respectively), maintaining however the same qualitative behaviour. This is an indication that  $\text{TiO}_2$   
329 adsorption involves coordination to the Ti(IV) *via* a quinoidal base formed upon deprotonation of  
330 the flavylium cation. The interaction of flavylium cations with some metal ions such as aluminium,  
331  
332  
333  
334  
335  
336

337  
338  
339 iron and titanium, is known to involve deprotonation and formation of metal complexes with the  
340 quinoidal bases, displacing the flavylum-quinoidal equilibrium toward the complexing quinoidal  
341 form.<sup>12,30,31</sup> Five of the six studied compounds (**a**, **b**, **c**, **e**, **f**) exhibited strong absorptions in the  
342 Visible region and this characteristic makes them potential candidates for light harvesting in  
343 DSSCs.  
344  
345



362 **Figure 3** - UV-Vis absorption spectra of compounds **a** - **f** 0.05 mM in ethanol. Inset: solutions of the  
363 compounds.  
364



381 **Figure 4** - UV-Vis absorption spectra of compounds **a** - **f** 0.5 mM in ethanol, adsorbed on a thin (ca. 6 μm)  
382 TiO<sub>2</sub> film on FTO glass. Inset: picture of the films.  
383  
384

### 385 Electrochemical properties

386  
387  
388  
389  
390  
391  
392

Cyclic and Differential Pulse Voltammetry were used to characterize the compounds electrochemically (see Supplementary Information for full CV and DPV voltammograms). The anodic oxidation peaks obtained from DPV data are presented in Table 1. The minimum value of  $E_{pa}$  = 0.332 V was obtained for compound **e** containing two styryl moieties and two catechol groups, one in each styryl unit. Compound **b** comes next with two catechol units and  $E_{pa}$  = 0.366 V. Compounds **a**, **c** and **f**, containing only one catechol group and carboxylic acid or methyl groups, are characterized by higher  $E_{pa}$  values. These results are a consequence of the electron-donor/acceptor character of the substituents on the pyranoflavylum core. Compounds possessing catechol units, which are electron-donating substituents, and extended conjugation (styryl moieties) are easier to oxidize, resulting in lower oxidation potentials. The presence of the methyl group, a mild electron-donor through hyperconjugation, or of electron-withdrawing carboxylic acid groups contribute to increase the oxidation potential.

**Table 1** – First anodic oxidation potentials of dyes **a** - **f** adsorbed to TiO<sub>2</sub>, obtained through Differential Pulse Voltammetry (E vs. SCE) in acetonitrile:valeronitrile (85:15, % v/v).

Dye	$E_{pa}$ (V)
<b>a</b>	0.527
<b>b</b>	0.366
<b>c</b>	0.645
<b>d</b>	~ 0.6 <sup>a</sup>
<b>e</b>	0.332
<b>f</b>	0.508

<sup>a</sup> The low intensity of the signal prevents a better definition of  $E_{pa}$ .

An important thermodynamic requirement for dyes to be used in DSSC technology is that electron transfer from the excited state of the dye to TiO<sub>2</sub> must be faster than the decay to the ground state. The LUMO of the dye must then be sufficiently high in energy for efficient charge injection into the TiO<sub>2</sub> conduction band (-4.24 eV)<sup>2</sup>. Also, the HOMO level of the sensitizer must be sufficiently low in energy for efficient regeneration of the oxidized dye by the redox couple.<sup>1,2,17</sup> To experimentally determine the HOMO and LUMO energy levels of the dyes, the first oxidation and reduction potentials obtained from CV experiments may, respectively, be used. Alternatively, the LUMO energy can be obtained by adding the optical absorption energy to the HOMO value.<sup>32</sup> In here, we considered the first anodic oxidation peak obtained from DPV performed on the dyes adsorbed on TiO<sub>2</sub>, hence determining the HOMO of the dye-TiO<sub>2</sub> complex ( $HOMO_{dye@TiO_2}$ ) (Eq. 1). Addition of the optical absorption energy obtained from the spectra in Figure 4 allowed to obtain the LUMO energy for the dye-TiO<sub>2</sub> complex ( $LUMO_{dye@TiO_2}$ ) (Eq. 2). These results are presented in Table 2.

$$E(HOMO_{dye@TiO_2}) = -(E_{pa} \text{ (vs. SCE)} + 4.44) \text{ eV} \quad (\text{Eq. 1})$$

$$E(LUMO_{dye@TiO_2}) = E(HOMO_{dye@TiO_2}) + E_{\text{absorption edge}} \text{ eV} \quad (\text{Eq. 2})$$



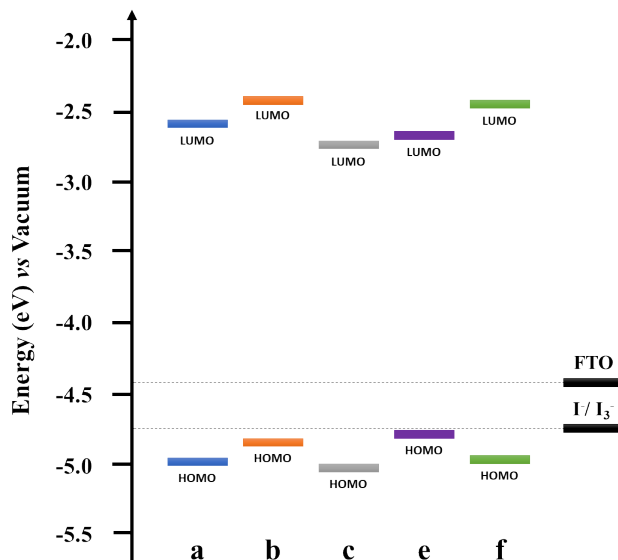
**Table 2** – HOMO and LUMO energy levels of the dye-TiO<sub>2</sub> complex calculated from optical and electrochemical data.

Dye	Absorption edge (nm)	Energy (eV)	HOMO <sub>dye@TiO<sub>2</sub></sub> vs. SCE (V)	HOMO <sub>dye@TiO<sub>2</sub></sub> vs. Vacuum (eV)	LUMO <sub>dye@TiO<sub>2</sub></sub> vs. Vacuum (eV)
<b>a</b>	522	2.375	0.527	-4.967	-2.592
<b>b</b>	518	2.394	0.366	-4.806	-2.412
<b>c</b>	525	2.361	0.645	-5.085	-2.724
<b>d</b>	470	2.638	-	-	-
<b>e</b>	590	2.101	0.332	-4.772	-2.671
<b>f</b>	499	2.485	0.508	-4.948	-2.463

$$E(\text{HOMO}_{\text{dye@TiO}_2}) = - (E_{\text{pa}} \text{ (vs. SCE)} + 4.44) \text{ eV} \quad (\text{Eq. 1})$$

$$E(\text{LUMO}_{\text{dye@TiO}_2}) = E(\text{HOMO}_{\text{dye@TiO}_2}) + E_{\text{absorption edge}} \text{ eV} \quad (\text{Eq. 2})$$

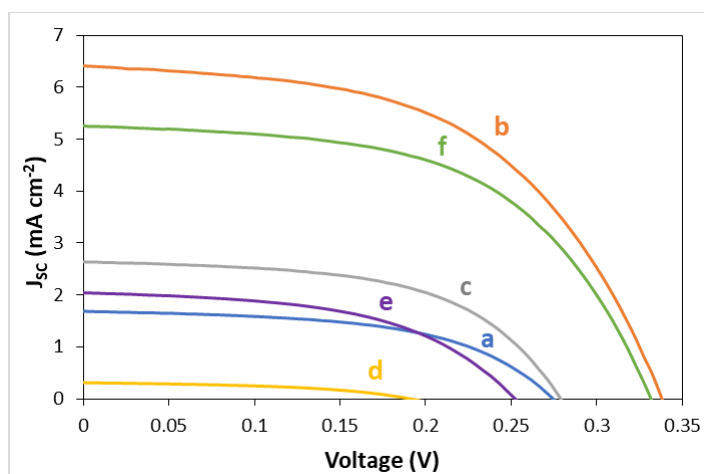
**Table 2** Since we are determining the HOMO and LUMO energies of the dye-TiO<sub>2</sub> complex, we will consider electron injection into the Fermi level potential of the FTO (-4.4 eV)<sup>32</sup>, instead of the TiO<sub>2</sub> conduction band (-4.24 eV), when evaluating electron injection ability.<sup>32</sup> A schematic representation of the energy levels of the dyes adsorbed onto TiO<sub>2</sub> *versus* the Fermi level potential of the FTO (-4.4 eV) and the calculated redox potential of the redox couple I<sup>-</sup>/I<sub>3</sub><sup>-</sup> (-4.718 eV) is represented in Figure 5. All the studied pyranoflavylium dye-TiO<sub>2</sub> complexes should be able to inject electrons into the FTO band, given that for every case the difference between the LUMO and the potential of the FTO is ~2 eV. In the case of the HOMO level, it is possible to identify two distinct groups. Although all the pyranoflavylium compounds possess a HOMO energy level below the potential of I<sup>-</sup>/I<sub>3</sub><sup>-</sup>, thus being able to be reduced by the electrolyte, for dyes **b** and **e** this difference is quite small (0.088 and 0.054 eV, respectively). This fact can result in inefficient regeneration of the compounds by the electrolyte, affecting the performance of the cell. In the case of dyes **a**, **c** and **f**, this difference is higher than 0.2 eV, rendering these compounds easy to regenerate by the electrolyte, thus resulting in well-functioning devices.



**Figure 5** - Schematic representation of the energy level diagram ( $\text{HOMO}_{\text{dye@TiO}_2}$  and  $\text{LUMO}_{\text{dye@TiO}_2}$ ) of the pyranoflavylium dyes adsorbed onto the  $\text{TiO}_2$  film vs. the FTO and  $\text{I}^-/\text{I}_3^-$  redox potentials.

### DSSCs photovoltaic performance

The photocurrent–voltage plots for DSSCs assembled with pyranoflavylium dyes **a - f** are shown in Figure 6. Analysis of these data allowed to determine the short circuit current density ( $J_{\text{SC}}$ ), open circuit voltage ( $V_{\text{OC}}$ ), fill factor (FF) and overall conversion efficiency ( $\eta$ ), summarized in Table 3.



**Figure 6** - J–V curves of DSSCs based on dyes **a - f** measured under AM 1.5 solar light ( $100 \text{ mW cm}^{-2}$ ), using  $0.8 \text{ M LiI}$  and  $0.05 \text{ M I}_2$  in acetonitrile:valeronitrile (85:15, % v/v) as electrolyte.

**Table 3** - Photovoltaic performance parameters of DSSCs based on pyranoflavylum dyes **a - f**, under 100 mW cm<sup>-2</sup> simulated AM 1.5 illumination.  $\lambda_{\max}$  /  $A_{\max}$  refers to the absorption maxima and respective absorbance measured in transmission mode for each dye upon adsorption over TiO<sub>2</sub>. The results presented are for the best performing cell.

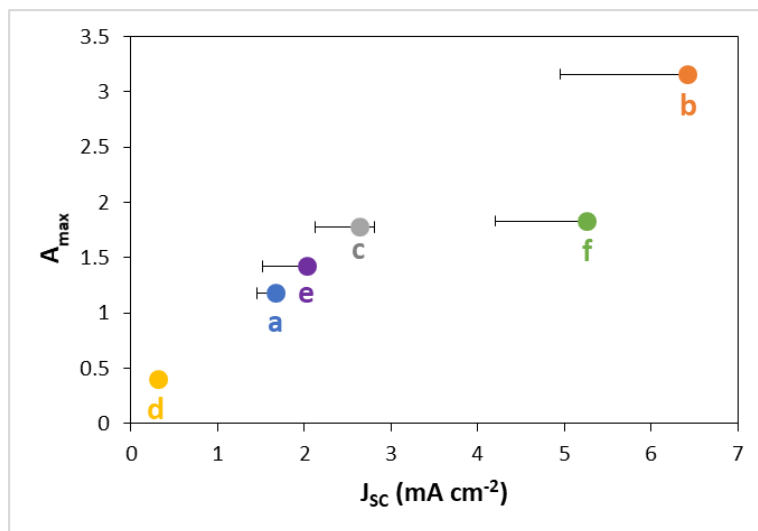
Dye	$\lambda_{\max}$ / $A_{\max}$	$J_{SC}$ (mA cm <sup>-2</sup> )	$V_{OC}$ (mV)	FF	$J_{\max}$ (mA cm <sup>-2</sup> )	$V_{\max}$ (mV)	$\eta$ (%)
<b>a</b>	522 / 1.18	1.68	274	0.54	1.28	194	0.25
<b>b</b>	518 / 3.16	6.43	338	0.53	5.02	229	1.15
<b>c</b>	525 / 1.78	2.63	279	0.56	2.06	199	0.41
<b>d</b>	483 / 0.36	0.31	191	0.46	0.21	129	0.03
<b>e</b>	590 / 1.42	2.03	252	0.51	1.53	172	0.26
<b>f</b>	499 / 1.83	5.26	332	0.56	4.16	233	0.97

Lithium is known to act as a TiO<sub>2</sub> Fermi level stabilizer, thus moving TiO<sub>2</sub> conduction band towards more positive potentials, favouring the photocurrent over the potential.<sup>33</sup> Since the photovoltage generated by the cell under illumination corresponds to the difference between the Fermi level of the electron in the semiconductor and the redox potential of the electrolyte,<sup>3</sup> the electrolyte composition is determinant to the  $V_{OC}$  values obtained.

Nevertheless, the structural features of the compounds also affect significantly the values obtained. The most relevant observation is that the presence of acidic groups such as -COOH, contributes to the decrease of  $V_{OC}$ , as shown in the literature for N3 vs. N719.<sup>34</sup> This effect is notorious on the  $V_{OC}$  of **a** (274 mV) and **c** (279 mV), possessing one carboxylic group each, when compared with **d** (191 mV) possessing two carboxylic groups or **b** (338 mV) and **f** (332 mV) which have no carboxylic units.

Compound **e**, containing two styryl groups, does not follow this pattern. In this case, a decrease in  $V_{OC}$  from 338 to 252 mV with respect to the **b** analogue is observed due to a change in the direction of dipolar moment, as previously shown for ruthenium derivatives.<sup>35</sup>

On the other hand, for a comparison of the overall performances, we have to take into consideration that  $J_{SC}$  is strongly affected by the amount of absorbed light, which is significantly different among the several dyes. A plot of the absorbance at the absorption maximum for each dye (Figure 4) against the short circuit current, yields a straight line (Figure 7), which means that the absorption corrected  $J_{SC}$  values would be comparable for the series. Dyes **b** and **f**, possessing only hydroxyl groups, present  $J_{SC}$  values slightly higher than the linear correlation defined by the other dyes. This suggests that carboxylates (**a**, **c**, **d**) decrease electron injection and/or increase recombination, conveying them as poorer anchoring groups in these family of dyes.



**Figure 7** - Relation between the absorbance at  $\lambda_{max}$  for **a - f** dye-TiO<sub>2</sub> complexes and the respective  $J_{sc}$  measured for the best efficiency performance. The error bars correspond to the  $J_{sc}$  results obtained for 3 DSSCs assembled for each compound, measured 10 times each.

Despite the evident difference in absorbance, with that of **a** being almost 3-fold higher than that for **d** (1.18 vs. 0.36, respectively, as measured by the absorbance at  $\lambda_{max}$ ) this difference by itself is not sufficient to account for the ~ 9-fold decrease in overall DSSC efficiency (0.25% vs. 0.03%, respectively). This difference is however mostly justified by the ~ 6-fold decrease in  $J_{sc}$  (1.68 vs. 0.31, for **a** and **d** respectively). So, the photocurrent must also reflect, additionally to the higher amount of absorbed light, a better electron injection on the TiO<sub>2</sub> conduction band for compound **a**.

Compound **b** is the best performing dye with an efficiency of 1.15%, under the present (non-optimized) conditions, and the highest  $V_{oc}$  and  $J_{sc}$ , 338 mV and 6.43 mA.cm<sup>-2</sup>, respectively. This is also the compound with the highest absorbance at  $\lambda_{max}$  (3.16) and the highest LUMO energy (-2.412 eV). Compound **b** not only is the dye with the highest absorption, but also the compound with the better adjusted LUMO for improved electron injection into the TiO<sub>2</sub> conduction band. Comparing these results with compound **e** (its styryl catechol analogue) we see for the latter an overall worse performance. Despite of the broader and red-shifted absorption band, compound **e** has lower absorbance (1.42), which corresponds to a lower light absorption under the same conditions, with direct impact in  $J_{sc}$  (2.03 mA.cm<sup>-2</sup>). Additionally, a reduction of ~100 mV in  $V_{oc}$  further reduces the performance of dye **e**. This difference can as well be attributed to the lower LUMO energy of this compound (-2.671 eV), resulting in lower  $J_{sc}$ . This can be due to the presence of *cis*- and *trans*-isomers in the styryl units anchored to TiO<sub>2</sub>. Probably, for different isomers, molecular orbitals may not always be optimized for electron charge transfer resulting in less effective electron injection.

Compounds **c** and **f** display an interesting “couple” since despite the almost insignificant difference in absorbance (1.78 and 1.83, respectively), the  $J_{sc}$  measured (Figure 7) and, consequently the efficiencies, are quite different. Compound **f** has twice the value of  $J_{sc}$  (5.26 vs. 2.63 mA.cm<sup>-2</sup>, respectively) resulting in twice the efficiency (0.97% vs. 0.41%, respectively). This can be due to the significant difference in LUMO energies between the compounds, with that of compound **f** being higher than that of compound **c** (-2.463 vs. -2.724 eV). Once again, the compound with the

673  
674  
675 higher LUMO is the one with a better  $J_{SC}$  performance due to the higher driving force for electron  
676 injection into the  $TiO_2$  conduction band. Once more, the main difference between **c** and **f** is the  
677 presence of a carboxylic group in **c** which is absent in **f**. In fact, a closer inspection of Figure 7  
678 shows that also **b**, which lacks a carboxylic group, yields a slightly higher photocurrent than  
679 expected from the linear correlation with absorption.  
680  
681

682 In summary, the overall performance of these compounds in DSSCs is clearly dominated by light  
683 absorption of the dyes. We can further correlate the resulting efficiency with the nature of the linker  
684 units and their electron-donor/acceptor character. In this class of dyes, carboxylic groups seem to  
685 have a deleterious effect in electron injection due to their electron withdrawing character.  
686 Moreover, this same characteristic reflects in lower  $J_{SC}$  results and, consequently, in lower cell  
687 efficiencies.  
688  
689

## 690 691 **Conclusions**

692  
693  
694 Pyranoanthocyanins display great potential as photosensitizers in bio-inspired DSSCs. The best  
695 known efficiency reported so far using this family of compounds is 0.006 % for cyanidin-3-O-  
696 glucoside-pyruvic acid adduct.<sup>36</sup> In this work, for the first time, six pyranoflavylum salts were  
697 synthesized following a bio-mimetic approach, and successfully applied, as light harvesters in  
698 DSSCs. An overall efficiency of 1.15% was obtained for the best performing compound, 10-  
699 catecholpyrano-5,7,3',4'-tetrahydroxyplavylium (**b**), with no further optimization. When  
700 considering naturally occurring dyes, betalains which contain carboxylates as anchoring groups  
701 consistently show higher efficiencies compared to anthocyanins.<sup>8,11,37,38</sup> This led to the idea that  
702 carboxylic linkage was essential in order to have strong electronic coupling and rapid forward and  
703 reverse electron transfer reactions between the dye and the DSSC.<sup>8,11</sup> In this work, where both  
704 anchoring groups are compared within closely related molecules with the same pyranoflavylum  
705 core, it became clear that the presence of catechol unit increases electron injection to the  $TiO_2$   
706 semiconductor. The electron withdrawing carboxylic units showed, on the other hand, a deleterious  
707 effect in electron injection reflected in lower  $J_{SC}$  and, consequently, in lower cell efficiencies. In  
708 summary, the overall performance of these compounds in DSSCs is clearly dominated by the nature  
709 of the linker units and their electron-donor/acceptor character.  
710  
711  
712  
713

## 714 715 **Acknowledgements**

716  
717 This work was supported by the Associate Laboratory for Green Chemistry, LAQV-REQUIMTE  
718 which is financed by national funds from FCT/MCTES (UID/QUI/50006/2013) and co-financed by  
719 the ERDF under the PT2020 Partnership Agreement (POCI-01-0145-FEDER - 007265).  
720 FCT/MCTES is acknowledged for Project PTDC/QEQ-QFI/1971/2014, grants  
721 PD/BD/135087/2017 (ALP), SFRH/BD/136556/2018 (VG) and, a research FCT contract (LC).  
722  
723  
724  
725  
726  
727  
728

## References

1. O'Regan, B. & Grätzel, M. A low-cost, high-efficiency solar cell based on dye-sensitized colloidal TiO<sub>2</sub> films. *Nature* **353**, 737–740 (1991).
2. Grätzel, M. Photoelectrochemical cells. *Nature* **414**, 338–344 (2001).
3. Grätzel, M. Solar energy conversion by dye-sensitized photovoltaic cells. *Inorg. Chem.* **44**, 6841–6851 (2005).
4. Nazeeruddin, M. K., Liska, P., Moser, J., Vlachopoulos, N. & Grätzel, M. Conversion of Light into Electricity with Trinuclear Ruthenium Complexes Adsorbed on Textured TiO<sub>2</sub> Films. *Helv. Chim. Acta* **73**, 1788–1803 (1990).
5. Wang, Q., Moser, J.-E. & Grätzel, M. Electrochemical impedance spectroscopic analysis of dye-sensitized solar cells. *J. Phys. Chem. B* **109**, 14945–14953 (2005).
6. Sharma, K., Sharma, V. & Sharma, S. S. Dye-Sensitized Solar Cells : Fundamentals and Current Status. *Nanoscale Res. Lett.* **6**, 381 (2018).
7. Calogero, G. *et al.* Natural dye sensitizers for photoelectrochemical cells. *Energy Environ. Sci.* **2**, 1162–1172 (2009).
8. Calogero, G., Bartolotta, A., Di Marco, G., Di Carlo, A. & Bonaccorso, F. Vegetable-based dye-sensitized solar cells. *Chem. Soc. Rev.* **44**, 3244–3294 (2015).
9. Kay, A. & Grätzel, M. Artificial photosynthesis. 1. Photosensitization of titania solar cells with chlorophyll derivatives and related natural porphyrins. *J. Phys. Chem.* **97**, 6272–6277 (1993).
10. Lu, J., Liu, S. & Wang, M. Push-Pull Zinc Porphyrins as Light-Harvesters for Efficient Dye-Sensitized Solar Cells. *Front. Chem.* **6**, 541 (2018).
11. Calogero, G. *et al.* Anthocyanins and betalains as light-harvesting pigments for dye-sensitized solar cells. *Sol. Energy* **86**, 1563–1575 (2012).
12. Cherepy, N. J., Smestad, G. P., Grätzel, M. & Zhang, J. Z. Ultrafast Electron Injection: Implications for a Photoelectrochemical Cell Utilizing an Anthocyanin Dye-Sensitized TiO<sub>2</sub> Nanocrystalline Electrode. *J. Phys. Chem. B* **101**, 9342–9351 (1997).
13. Wali, Q., Elumalai, N. K., Iqbal, Y., Uddin, A. & Jose, R. Tandem perovskite solar cells. *Renew. Sustain. Energy Rev.* **84**, 89–110 (2018).
14. Kalaiselvi, C. R., Muthukumarasamy, N., Velauthapillai, D., Kang, M. & Senthil, T. S. Importance of halide perovskites for next generation solar cells – A review. *Mater. Lett.* **219**, 198–200 (2018).
15. Ng, C. H., Lim, H. N., Hayase, S., Zainal, Z. & Huang, N. M. Photovoltaic performances of mono- and mixed-halide structures for perovskite solar cell: A review. *Renew. Sustain. Energy Rev.* **90**, 248–274 (2018).

- 785  
786  
787  
788  
789  
790  
791  
792  
793  
794  
795  
796  
797  
798  
799  
800  
801  
802  
803  
804  
805  
806  
807  
808  
809  
810  
811  
812  
813  
814  
815  
816  
817  
818  
819  
820  
821  
822  
823  
824  
825  
826  
827  
828  
829  
830  
831  
832  
833  
834  
835  
836  
837  
838  
839  
840
16. Grätzel, M. Recent Advances in Sensitized Mesoscopic Solar Cells. *Acc. Chem. Res.* **42**, 1788–1798 (2009).
  17. Calogero, G. *et al.* Synthetic analogues of anthocyanins as sensitizers for dye-sensitized solar cells. *Photochem. Photobiol. Sci.* **12**, 883–94 (2013).
  18. Hug, H., Bader, M., Mair, P. & Glatzel, T. Biophotovoltaics: Natural pigments in dye-sensitized solar cells. *Appl. Energy* **115**, 216–225 (2014).
  19. Calogero, G. & Marco, G. Di. Red Sicilian orange and purple eggplant fruits as natural sensitizers for dye-sensitized solar cells. *Sol. Energy Mater. Sol. Cells* **92**, 1341–1346 (2008).
  20. Mohiuddin, O., Obaidullah, M. & Sabah, C. Improvement in dye sensitized solar cells from past to present. *Opt. Quantum Electron.* **50**, 377 (2018).
  21. Tennakone, K., Kumarasinghe, A. R., Kumara, G. R. R. A., Wijayantha, K. G. U. & Sirimanne, P. M. Nanoporous TiO<sub>2</sub> photoanode sensitized with the flower pigment cyanidin. *J. Photochem. Photobiol. A Chem.* **108**, 193–195 (1997).
  22. Pina, F., Melo, M. J., Laia, C. A. T., Parola, A. J. & Lima, J. C. Chemistry and applications of flavylum compounds: a handful of colours. *Chem. Soc. Rev.* **41**, 869–908 (2012).
  23. Sousa, J. L. C. *et al.* Synthesis and equilibrium multistate of new pyrano-3-deoxyanthocyanin-type pigments in aqueous solutions. *Tetrahedron* **73**, 6021–6030 (2017).
  24. Cruz, L., Sousa, J. L. C., Marinho, A., Mateus, N. & de Freitas, V. Synthesis and structural characterization of novel pyranoluteolinidin dyes. *Tetrahedron Lett.* **58**, 159–162 (2017).
  25. Roque, A. *et al.* Photochromic properties of 3-methyl-substituted flavylum salts. *European J. Org. Chem.* 2699–2709 (2002).
  26. Czerney, P., Graneß, G., Birckner, E., Vollmer, F. & Rettig, W. Molecular engineering of cyanine-type fluorescent and laser dyes. *J. Photochem. Photobiol. A Chem.* **89**, 31–36 (1995).
  27. Calogero, G. *et al.* Electronic and charge transfer properties of bio-inspired flavylum ions for applications in TiO<sub>2</sub>-based dye-sensitized solar cells. *Photochem. Photobiol. Sci.* **16**, 1400–1414 (2017).
  28. Cherepy, N. J., Smestad, G. P., Grätzel, M. & Zhang, J. Z. Ultrafast Electron Injection: Implications for a Photoelectrochemical Cell Utilizing an Anthocyanin Dye-Sensitized TiO<sub>2</sub> Nanocrystalline Electrode. *J. Phys. Chem. B* **101**, 9342–9351 (1997).
  29. Gomes, V., Mateus, N., de Freitas, V. & Cruz, L. Synthesis and Structural Characterization of a Novel Symmetrical 2,10-Bis-Styryl-1-Benzopyrylium Dye.

- 841  
842  
843 *Synlett* **29**, 1390–1394 (2018).  
844  
845 30. Goto, T. & Kondo, T. Structure and Molecular Stacking of Anthocyanins - Flower  
846 Color Variation. *Angew. Chemie - Int. Ed. Engl.* **30**, 17–33 (1991).  
847  
848 31. Bayer, E., Egeter, H., Fink, A., Nether, K. & Wegmann, K. Complex Formation and  
849 Flower Colors. *Angew. Chemie Int. Ed. English* **5**, 791–798 (1966).  
850  
851 32. Kavitha, S., Praveena, K. & Lakshmi, M. A new method to evaluate the feasibility of  
852 a dye in DSSC application. *Int. J. Energy Res.* **41**, 2173–2183 (2017).  
853  
854 33. Jennings, J. R. & Wang, Q. Influence of Lithium Ion Concentration on Electron  
855 Injection, Transport, and Recombination in Dye-Sensitized Solar Cells. *J. Phys.*  
856 *Chem. C* **114**, 1715–1724 (2010).  
857  
858 34. Nazeeruddin, M. K., Humphry-Baker, R., Liska, P. & Grätzel, M. Investigation of  
859 Sensitizer Adsorption and the Influence of Protons on Current and Voltage of a Dye-  
860 Sensitized Nanocrystalline TiO<sub>2</sub> Solar Cell. *J. Phys. Chem. B* **107**, 8981–8987  
861 (2003).  
862  
863 35. Moehl, T. *et al.* High Open-Circuit Voltages: Evidence for a Sensitizer-Induced  
864 TiO<sub>2</sub> Conduction Band Shift in Ru(II)-Dye Sensitized Solar Cells. *Chem. Mater.* **25**,  
865 4497–4502 (2013).  
866  
867 36. Santos, C. M. *et al.* Pyranoflavylum Derivatives Extracted from Wine Grape as  
868 Photosensitizers in Solar Cells. *J. Braz. Chem. Soc.* **25**, 1029–1035 (2014).  
869  
870 37. Zhang, D. *et al.* Betalain pigments for dye-sensitized solar cells. *J. Photochem.*  
871 *Photobiol. A Chem.* **195**, 72–80 (2008).  
872  
873 38. Qin, C. & Clark, A. E. DFT characterization of the optical and redox properties of  
874 natural pigments relevant to dye-sensitized solar cells. *Chem. Phys. Lett.* **438**, 26–30  
875 (2007).  
876  
877  
878  
879  
880  
881  
882  
883  
884  
885  
886  
887  
888  
889  
890  
891  
892  
893  
894  
895  
896