

1 October 2019

Mapping of variations in child stunting, wasting and underweight within the states of India:

2000-2017

India State-Level Disease Burden Initiative CGF Collaborators

(List of authors to be added later)

Correspondence to: Prof. Lalit Dandona, Public Health Foundation of India, Plot 47, Sector 44 Institutional Area, Gurugram – 122002, National Capital Region, India; lalit.dandona@phfi.org

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Summary

Background: To inform actions at the district level under the National Nutrition Mission (NNM), we assessed the prevalence trends of child growth failure (CGF) indicators for all districts in India and inequality between districts within the states.

Methods: We assessed the trends of CGF indicators (stunting, wasting and underweight) from 2000 to 2017 across the districts of India, aggregated from 5x5 km grid estimates, using all accessible data from various surveys with subnational geographical information. The states were categorised into three groups using their Socio-demographic Index (SDI) levels calculated as part of the Global Burden of Disease Study based on per capita income, mean education and fertility rate in women younger than 25 years. Inequality between districts within the states was assessed using coefficient of variation (CV). We projected the prevalence of CGF indicators for the districts up to 2030 based on the trends from 2000 to 2017 to compare with the NNM 2022 targets for stunting and underweight, and the WHO/UNICEF 2030 targets for stunting and wasting. We assessed correlation between two major national surveys for district-level estimates of CGF indicators in the states.

Findings: The prevalence of stunting ranged 3.8 times from 16.4% (95% UI 15.2-17.8%) to 62.8% (95% UI 61.5-64.0%) among the 724 districts of India in 2017, wasting ranged 7.3 times from 4.1% (95% UI 2.6-6.2%) to 30.0% (95% UI 28.2-31.8%), and underweight ranged 4.6 times from 11.0% (95% UI 10.5-11.9%) to 51.0% (95% UI 49.9-52.2%). 36% of the districts in India had stunting prevalence 40% or more, with 67% districts in the low SDI states group and only 1.1% districts in the high SDI states with this level of stunting. The change in prevalence of stunting from 2010 to 2017 varied between the districts from a reduction of 40.4% to no significant change, for wasting from a reduction of 48.2% to an increase of 118%, and for underweight from a reduction of 53.4% to no significant change. The CV varied 7.4 times for stunting, 12.2 times for wasting, and 11.3 times for underweight between the states in 2017; the CV increased for stunting in 28 out of 31 states, for wasting in 17 states, and for underweight in 20 states from 2000 to

44 2017. In order to reach the NNM 2022 targets for stunting and underweight individually, 82.9% and 98.6%
45 of the districts in India would need a rate of improvement higher than they had up to 2017, respectively. To
46 achieve the WHO/UNICEF 2030 target for wasting, all districts in India would need a rate of improvement
47 higher than they had up to 2017. The correlation between the two national surveys for district-level
48 estimates was poor, with r^2 of more than 0.5 only in Odisha and four small north-eastern states out of the
49 27 states covered by these surveys.

50 **Interpretation:** CGF indicators have improved in India, but there are substantial variations between the
51 districts in their magnitude and rate of decline, and the inequality between districts has increased in a large
52 proportion of the states. The poor correlation between the national surveys for CGF estimates highlights
53 the need to standardise collection of anthropometric data in India. The district-level trends in this report
54 provide a useful reference for targeting the efforts under NNM to reduce CGF across India and meet the
55 Indian and global targets.

56
57 **Funding:** Bill & Melinda Gates Foundation; and Indian Council of Medical Research, Department of Health
58 Research, Ministry of Health and Family Welfare, Government of India.

Introduction

59
60 Child malnutrition is a major public health problem that has adverse short-term and long-term health
61 effects. It is an important risk factor for death and disease globally,¹⁻⁵ and often results in compromised
62 cognitive development and physical capabilities, poor school performance, and low productivity.^{6,7} Child
63 growth failure (CGF), measured as stunting, wasting and underweight, is a subset of undernutrition
64 characterised by insufficient height or weight against age-specific growth reference standards.⁸⁻¹⁰ The
65 international commitment to reduce and ultimately eliminate child malnutrition in all its forms was
66 strengthened in 1990 with the World Declaration on the Survival, Protection and Development of
67 Children, accelerated during the Millennium Development Goals era, and gained further momentum
68 with the adoption of WHO global nutrition 2025 targets, the UN Sustainable Development Goals 2030,
69 and the WHO/UNICEF 2030 nutrition targets.¹¹⁻¹⁵

70 The India State-Level Disease Burden Initiative has reported that over one-fifth of the under-5
71 deaths and disease burden in India can be attributed to CGF, and that there are wide variations in the
72 prevalence of the CGF indicators across the states, ranging from 21.3% to 49% for stunting, 6.3% to
73 19.3% for wasting, and 16.5% to 42.2% for underweight in 2017.^{16,17} Variations are expected within the
74 states as well, as many states have large populations and the districts within the states often vary in
75 terms of ecology, demography and economy, all of which affect child health. The National Nutrition
76 Mission (NNM), also known as POSHAN Abhiyaan, launched in India in 2018 has emphasized targeting
77 efforts at the district as well as sub-district levels to accelerate improvement in CGF and other indicators
78 of malnutrition.¹⁸⁻²⁰ Some understanding of the sub-state level heterogeneity in the prevalence of CGF
79 indicators and their correlates is available in India from previous reports that have used one round of
80 the National Family Health Survey data.²¹⁻²⁵ However, there has been no comprehensive consolidation of
81 the district-level trends of all three CGF indicators using all available data sources from India over a long

82 period of time, which also relates the district-level trends with the targets set by NNM for 2022 and
83 WHO/UNICEF for 2030.

84 To address this knowledge gap, in this article we report geospatial analysis of stunting, wasting
85 and underweight in children under-five in India at the 5x5 km grid and district level from 2000 to 2017,
86 and relate these trends to the NNM 2022 and WHO/UNICEF 2030 targets. This granular assessment
87 would be useful for better targeting of efforts at sub-state levels to improve CGF across India.

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Methods

89 **Overview**

90 The analysis and findings on CGF indicators presented in this report were produced by the India State-
91 Level Disease Burden Initiative as part of the Global Burden of Diseases, Injuries, and Risk Factors Study
92 (GBD) 2017. The work of this initiative has been approved by the Health Ministry Screening Committee
93 of the Indian Council of Medical Research and the ethics committee of the Public Health Foundation of
94 India. Detailed description of the metrics, data sources, and statistical modelling for CGF indicators at
95 various geographic levels down to the 5x5 km grids has been reported elsewhere.^{5,16,26} The methods
96 relevant for this paper are summarised here and described in detail in the appendix (pp 3-20).

97 **Estimation and mapping of CGF indicators**

98 All accessible data sources from India were utilised to estimate the prevalence of stunting, wasting and
99 underweight at the state level in GBD 2017, which included national household surveys, a variety of
100 dietary and nutrition surveys, and other epidemiological studies (appendix pp 21-25).^{5,16} A
101 Spatiotemporal Gaussian process regression was used to estimate the time trends of the indicators at
102 the state level. This modelling approach integrated multiple data inputs and borrowed information
103 across age, time and location to produce the best possible estimates of prevalence by location, age, sex,
104 and year. Stunting, wasting and underweight were defined as height-for-age, weight-for-height and
105 weight-for-age below two standard deviations of the median in the WHO 2006 standard curve,
106 respectively.²⁷

107 The prevalence of stunting, wasting and underweight was estimated for each year from 2000 to
108 2017 at a spatial resolution of a $0.042^\circ \times 0.042^\circ$ grid cells over the globe, which is 5x5 km at the
109 equator.²⁶ The details of this method are given in the appendix (pp 3-20). Data on individual-level height,
110 weight and age for children under-five were extracted from large-scale national household surveys such
111 as the National Family Health Surveys, District Level Household Surveys, National Institute of Nutrition

112 Surveys, and other surveys in India (appendix pp 21-25). All the extracted data for the estimation at 5x5
113 km grids were georeferenced to either global positioning system (GPS) location points or the smallest
114 possible administrative units (polygons) in the absence of GPS coordinates. The administrative unit data
115 were converted to points spread across the corresponding administrative division according to a
116 resampling algorithm that accounted for population distribution. The combined dataset consisting of
117 geo-referenced points and converted points provided the number of children and sample size for a
118 particular location by age and time period. Boundary information for these administrative units for the
119 year 2018 was obtained as shape files from the ML Infomap (<https://www.mlinfo.com/>).

120 Based on geo-referenced survey data and gridded covariates over space and time, a stacked
121 generalisation ensemble modeling was first implemented to capture the possible non-linear effects and
122 complex interactions between covariates.^{26,28} Several socioeconomic and environmental covariates at
123 5x5 km grid level were used across space and time in the first stage of initial model fits to strengthen the
124 predictive estimates. The covariates were selected on the basis of their expected predictive power for
125 each CGF indicator as determined by a review of available evidence in the literature and are listed in
126 appendix (p 10). The combined effect of the covariates from the ensemble model was combined with
127 the survey data to fit a Bayesian hierarchical model using a logit link function and a spatially and
128 temporally explicit hierarchical generalised linear regression model. From the fitted posterior
129 distribution, 1000 draws were taken, and combined and processed into 1000 candidate 5x5 km
130 resolution maps that were used to generate the final results. Out-of-sample predictive performance
131 metrics were generated using cross validation. Estimates were generated using a statistical model that
132 was continuous in space, and prediction was done at a grid-cell resolution of approximately 5x5 km and
133 aggregated up to the district, state and country levels, using a state level calibration factor in order to
134 harmonize the geospatial estimates with the GBD state- and country-level estimates for India.¹⁶

135 **Projection of CGF indicators to 2030**

136 The trends of stunting, wasting and underweight from 1990 to 2017 were used to project their
137 prevalence to 2030 for every state of India as part of GBD, giving higher weight to the more recent
138 annual rate of change to project from 2018 to 2030.^{16,29} To project prevalence at 5x5 km grids up to
139 2030, the annual rate of change from 2000 to 2017 was applied to obtain estimates for subsequent
140 years, using a projection methodology that has been used previously for such geospatial analyses.²⁶
141 Across 1000 draws, a logit-transformed annual rate of change from 2000 to 2017 was calculated at each
142 pixel for the CGF indicators, and was then applied to the final 2017 pixel estimates to generate the
143 projected estimates up to 2030. Population-weighted aggregations of prevalence at the district levels
144 were calculated from the pixel draws, which were then harmonized with the national and state level
145 GBD projected prevalence by applying the relevant raking factor. These methods are described in the
146 appendix (pp 17-18) and elsewhere.^{5,16,26}

147 **Analysis presented in this paper**

148 We report prevalence and trends of stunting, wasting and underweight from 2000 to 2017 at 5x5 km
149 grids across India and for the 724 districts. We estimate the change in these indicators over time,
150 highlighting the more recent change from 2010 to 2017. We report inequality between districts within
151 each state using coefficient of variation (CV), defined as the ratio of standard deviation to mean
152 expressed as percentage, and how this has changed over time. We also present results by districts in
153 three groups of states based on Socio-demographic Index (SDI). The states were grouped on the basis of
154 their SDI as calculated by GBD in 2017: low SDI (≤ 0.53), middle SDI (0.54–0.60), and high SDI (> 0.60 ,
155 appendix p 26).^{16,30,31} SDI is a composite indicator of development status, which ranges from 0 to 1, and
156 is a geometric mean of the values of the indices of lag-distributed per capita income, mean education
157 for those 15 years of age or older, and fertility rate among women younger than 25 years. For the
158 districts created after 2000, geolocated data were used to arrive at estimates for these districts prior to
159 their creation.

160 We present examples of three states to demonstrate how differences in the magnitude of
161 stunting, wasting and underweight and their rate of reduction from 2000 to 2017 can help identify
162 districts that need higher priority for CGF reduction. The districts were grouped using the tertiles of
163 prevalence in 2017 for each indicator, and the tertiles of their annual rate of reduction from 2010 to
164 2017, for the distribution within the states and across India. This led to nine categories of district in a
165 3x3 paired combination of high, medium and low prevalence crossed with low, medium and high annual
166 rate of reduction.

167 We projected the prevalence of stunting, wasting and underweight for each district up to 2030
168 based on the trends from 2000 to 2017 and compared these with the NNM 2022 and the WHO/UNICEF
169 2030 targets to highlight the rate of improvement needed in each district to achieve the targets. The
170 NNM has set a target of stunting prevalence of 25% in 2022 and a 2 percentage points reduction
171 annually from 2017 to 2022 for underweight.^{18,20} The WHO/UNICEF 2030 target is 50% reduction from
172 2012 to 2030 in the number of children under-five who are stunted and a prevalence of less than 3% for
173 wasting in 2030.¹⁵ We estimated a relative reduction in the prevalence of stunting instead of the
174 absolute numbers for consistency with other indicators, as all other targets are based on prevalence.¹⁶
175 The NNM 2022 target for stunting and underweight is for children 0-6 years, but for consistency with the
176 WHO/UNICEF 2030 targets we estimated these for children under-five years. We applied these targets
177 to each district of India. We computed the gap between the projected prevalence of stunting and
178 underweight in 2022 with the NNM 2022 targets in each district of India. Similarly, we computed the gap
179 in 2030 for the WHO/UNICEF targets for stunting and wasting.

180 We assessed the correlation for the district-level estimates of stunting, wasting and
181 underweight between the National Family Health Survey-4 (NFHS-4, 2015-2016) and the two
182 complementary nationally representative household surveys (District-Level Household Survey [DLHS-4,
183 2012-2014] and Annual Health Survey [AHS, 2014]) for the 27 states covered by these surveys.³²⁻³⁴ DLHS-

184 4 was conducted in states other than the nine states covered by AHS, which included Bihar,
185 Chhattisgarh, Jharkhand, Madhya Pradesh, Odisha, Rajasthan, Uttar Pradesh, Uttarakhand, and Assam.

186 All estimates are reported with 95% uncertainty intervals (UIs) where relevant, and were based
187 on 1000 draws for each estimate, with the mean taken as the point estimate and the 2.5th and 97.5th
188 percentiles as the 95% UI (appendix p 18).⁵

189 **Role of the funding source**

190 Some staff of the Indian Council of Medical Research are co-authors on this paper as they contributed to
191 various aspects of the study and this analysis. The other funder of the study had no role in the study
192 design, data collection, data analysis, data interpretation, or writing of this paper. The corresponding
193 author had full access to all of the data in the study, and had final responsibility for the decision to
194 submit for publication.

Results

195

196 District-level variations

197 The prevalence of stunting in India decreased from 55.8% (95% UI 55.0-56.6) in 2000 to 47.3% (46.5-
198 48.1) in 2010 and 39.3% (39.1-39.6) in 2017 (figure 1 and appendix pp 27-35). This prevalence varied 3.8
199 times between the 724 districts in 2017, ranging from 16.4% (95% UI 15.2-17.8) to 62.8% (95% UI 61.5-
200 64.0). The stunting prevalence was more than 40% in 261 (36.0%), 30-40% in 310 (42.8%), and less than
201 30% in 153 (21.1%) of districts. 209 (67.0%) of the 312 districts in the low SDI, 50 (21.3%) of the 235
202 districts in the middle SDI, and 2 (1.1%) of the 177 districts in the high SDI states group had prevalence
203 more than 40%. From 2010 to 2017, the change in stunting prevalence varied between the districts from
204 a reduction of 40.4% to no significant change (appendix pp 27-35). This reduction was more than 30% in
205 50 (6.9%) districts, 20-30% in 236 (32.6%) districts, and less than 20% in 428 (60.5%) districts. A higher
206 proportion of the districts in the low SDI states had a reduction of less than 20% in stunting prevalence
207 from 2010 to 2017 (71.2%) compared to the middle SDI (57%) and the high SDI (46.3%) states. The
208 median annual rate of reduction among the districts in the low SDI states from 2010 to 2017 was 2.37%
209 (interquartile range [IQR] 1.68-3.33), 2.89% (IQR 2.10-3.81) in the middle SDI states, and 3.34% (IQR
210 2.49-3.94) in the high SDI states.

211 The prevalence of wasting decreased from 19.2% (18.6-19.9) in 2000 to 17.1% (16.6-17.7) in
212 2010, and to 15.7% (15.5-15.9) in 2017 in India (figure 1 and appendix pp 27-35). This prevalence varied
213 7.32 times between the districts in 2017 ranging from 4.1% (95% UI 2.6-6.2) to 30.0% (95% UI 28.2-
214 31.8). The wasting prevalence was more than 15% in 398 districts (55.0%), 10-15% in 267 districts
215 (36.9%), and less than 10% in 59 districts (8.1%). 184 (59.0%) out of 312 districts in the low SDI states,
216 133 (56.6%) out of 235 districts in the middle SDI, and 81 (45.8%) out of 177 districts in the high SDI
217 states had wasting prevalence more than 15% in 2017. The change in prevalence of wasting from 2010
218 to 2017 varied between the districts from a reduction of 48.2% to an increase of 118% (appendix pp 27-

219 35). Wasting prevalence increased significantly in 108 (39.4%) districts from 2010 to 2017. In the
220 remaining states, the reduction was more than 30% in 43 (5.9%) districts, 20-30% in 112 (15.5%)
221 districts, and less than 20% in 350 (48.3%) districts. Similar proportion of districts in the low, middle and
222 high SDI states had less than 20% reduction (46.5%, 49.8% and 49.7%). The median annual rate of
223 reduction from 2010 to 2017 was 1.71% (IQR 0.54-2.86) among the districts in the low SDI states, 2.66%
224 (IQR 1.54-3.84) in the middle SDI states, and 2.25% (IQR 1.44-3.92) in the high SDI states.

225 The prevalence of underweight decreased from 53.4% (52.9-53.9) in 2000 to 40.9% (40.1-41.8)
226 in 2010, and 32.7% (32.5-32.8) in 2017 in India (figure 1 and appendix pp 27-35). This prevalence varied
227 4.6 times between the 724 districts ranging from 11.0% (10.5-11.9) state to 51.0% (49.9-52.2) in 2017.
228 The underweight prevalence was more than 35% in 232 (32.0%) districts, 25-35% in 283 (39.1%)
229 districts, and less than 25% in 209 (28.9%) districts. 188 (60.3%) out of 312 districts in the low SDI states,
230 40 (17.0%) out of 235 districts in the middle SDI states, and only 4 (2.3%) out of 177 districts in the high
231 SDI states had prevalence more than 35%. The change in underweight prevalence from 2010 to 2017
232 varied between the districts from a reduction of 53.4% to no significant change. This change was more
233 than 30% in 114 (15.7%) districts, 20-30% in 270 (37.3%) districts, and less than 20% in 340 (47.0%)
234 districts (appendix pp 27-35). Similar proportion of districts in the low, middle, and high SDI states
235 (46.2%, 49.4%, 45.2%) had a reduction of less than 20%. This median reduction from 2010 to 2017 was
236 3.28% (IQR 2.13-4.43) among the districts in the low SDI states, 3.18% (IQR 1.85-4.11) in the middle SDI
237 states, and 3.37% (IQR 2.21-4.58) in the high SDI states.

238 **Inequality within states**

239 Inequality between the districts within states, measured as CV, increased for stunting in 28 out of 31
240 states from 2010 to 2017, for wasting in 17 states, and for underweight in 20 states (figure 2 and
241 appendix p 36). There were wide variations in the magnitude of inequality for the three CGF indicators
242 even between states at similar levels of socio-demographic development. The CV for stunting in 2017

243 ranged from 4.4% in Bihar to 21.1% in Odisha among the low SDI states, from 5.8% in Haryana to 20.8%
244 in Karnataka among the middle SDI states, and from 2.9% in Delhi to 19.1% in Kerala among the high SDI
245 states. Among the low SDI states, the CV for stunting increased four times for Odisha and two times for
246 Madhya Pradesh, Uttar Pradesh, and Rajasthan from 2000 to 2017, and decreased in Assam and Bihar.
247 Among the middle SDI states, the CV for stunting increased three times for Telangana and Jammu and
248 Kashmir during this period. The CV for stunting increased for all high SDI states except Sikkim, ranging
249 from a modest increase to high increases in Kerala and Nagaland.

250 The CV for wasting prevalence ranged from 8.9% in Jharkhand to 20.2% in Odisha for the low SDI
251 states group, 8.3% in Tripura to 26.6% in Jammu and Kashmir in the middle SDI states group, and 2.2% in
252 Delhi to 19.0% in Nagaland in the high SDI states group (figure 2 and appendix p 36). The CV for wasting
253 prevalence increased in some of the states, while it decreased for the others, spread across the low,
254 middle and high SDI states. Among the low SDI states, the CV increased two times for Bihar, Madhya
255 Pradesh, Chhattisgarh, and Odisha from 2000 to 2017. On the other hand, it declined in Assam, Uttar
256 Pradesh and Rajasthan during the same time period. Among the middle and high SDI states, the CV
257 increased in several states and decreased in others.

258 The CV for underweight prevalence ranged from 6.9% in Jharkhand to 26.7% in Odisha among
259 the low SDI states, 8.1% in Meghalaya to 25.2% in Jammu and Kashmir among the middle SDI states, and
260 from 3.1% in Delhi to 19.2% in Mizoram among the high SDI states (figure 2 and appendix p 36). Among
261 the low SDI states, the CV for underweight increased four times for Odisha and two times for Rajasthan,
262 but decreased in Assam and Chhattisgarh from 2000 to 2017. There was a mixed pattern of increase or
263 decrease in CV among the middle and high SDI states.

264 **Identification of priority districts in states**

265 We use examples of three states in the low SDI group to highlight how the differences in prevalence and
266 rate of change over time can help identify districts that need higher priority attention. We selected

267 Odisha as it had the highest inequality between districts for all three CGF indicators in 2017, Uttar
268 Pradesh as it had the highest level of stunting and medium level of inequality between the districts, and
269 Bihar as it had one of the highest levels of stunting and among the lowest level of inequality between
270 districts.

271 Based on tertiles of the distribution, the districts in the south-west handle of Odisha generally
272 had high prevalence in 2017 and low rate of reduction from 2010 to 2017 for the CGF indicators (figure
273 3). Kalahandi, Koraput, and Rayagada in this group stood out as having this trend for all three CGF
274 indicators. Balangir in this group had this trend for stunting and underweight, while Naupada,
275 Nabrangpur, and Malkangiri in this group had this trend for underweight and wasting. In addition,
276 districts with either high prevalence and medium rate of reduction or medium prevalence and low rate
277 of reduction would also need attention for them not to spill over to the worst group with high
278 prevalence and low rate of reduction. Bargarh had high prevalence and medium rate of reduction for
279 stunting and wasting. Mayurbhaj and Kenduajhar in the north-west part of the state also had high rates
280 of stunting and underweight with low or medium rates of reduction. A cluster of districts in the central-
281 south part of the state had medium rate of prevalence and low rates of reduction, which included
282 Khandamal with this trend for all three indicators, Gajapati with this trend for stunting and wasting, and
283 Ganjam with this trend for stunting. Baleshwar in the north-east part of the state also had this trend for
284 stunting.

285 A similar approach based on tertiles of the distribution revealed that no district in Uttar Pradesh
286 fell in the category of high prevalence and low rate of reduction for all three CGF indicators, showing a
287 different pattern from Odisha (figure 3). A cluster of 13 districts in the northern part of Uttar Pradesh
288 had high prevalence and low rate of reduction for stunting, a cluster of 3 districts in the south-east part
289 of the state had this trend for underweight, and one neighbouring district had this trend for wasting.
290 Aurangabad district in south-west of Bihar stood out as having high prevalence and low rate of reduction

291 for all three CGF indicators. This trend was present in three neighbouring districts for underweight and
292 wasting. One district in the south-west corner of the state had this trend for stunting, and one each in
293 the west and east had this trend for wasting. As in Odisha, there were districts with high prevalence and
294 medium reduction rate or medium prevalence and low reduction rate that would also need attention.
295 Using this approach, the identification of priority districts in 15 other states with 20 or more districts is
296 shown in the appendix (pp 37-51).

297 Examining districts in these three states based on tertiles of the nationwide distribution of
298 stunting, wasting and underweight and their rate of reduction provides a complimentary understanding
299 to that obtained using tertiles of the state-level distribution (figure 1 and figure 3). All 38 districts in
300 Bihar were in the high tertile of stunting for the national distribution and 94.7% in the high tertile for
301 underweight, and none were in the high tertile for the rate of reduction for stunting (appendix p 52).
302 Likewise, in Uttar Pradesh, 97.3% of the districts fell in the high tertile for stunting and only 12% were in
303 the high tertile for the rate of reduction. For wasting, 60% of the districts in Odisha were in the high
304 tertile of prevalence for the national distribution, which was in contrast to 66.7% districts in Uttar
305 Pradesh in the low tertile.

306 **Comparison of trends with targets**

307 In order to reach the NNM 2022 target of 25% stunting prevalence individually, 600 (82.9%) of the 724
308 districts in India would need a rate of improvement higher than they had up to 2017 (figure 4 and
309 appendix pp 53-60). This includes 307 (98.4%) of the 312 districts in low SDI states, 193 (82.1%) of the
310 235 districts in middle SDI states, and 100 (56.5%) of the 177 districts in high SDI states. Similarly, to
311 reach the WHO/UNICEF 2030 target of 50% reduction in stunting prevalence from 2012, 80.2% of the
312 districts in India would need a higher rate of improvement than they had up to 2017; this proportion
313 was 90.1%, 80.0% and 63.3% in the low, middle and high SDI states, respectively (figure 4 and appendix
314 pp 61-71). If the trends up to 2017 were to continue, the gap between the projected prevalence and the

315 WHO/UNICEF 2030 stunting target would be 10% or more in 110 (15.2%), 5-9.9% in 153 (21.1%), and
316 less than 5% in 317 (43.8%) of the total districts. To reach the NNM 2022 underweight target of 2
317 percentage point reduction annually, 98.6% of the districts would need a rate of improvement higher
318 than they had up to 2017 (figure 4 and appendix pp 53-60).

319 To reach the WHO/UNICEF 2030 target of wasting prevalence less than 3%, all districts in India
320 would require a higher rate of improvement than they had up to 2017 (figure 4 and appendix pp 61-71).
321 If the trends up to 2017 were to continue, the gap between the projected prevalence and this target
322 would be 10% or more in 398 (55%), 5-9.99% in 265 (36.6%), and less than 5% in 60 (8.3%) of the total
323 districts in India.

324 **Correlation between major national surveys**

325 The correlation between the major national surveys, NFHS-4 and AHS which covered the same nine
326 states, for district-level estimates of the CGF indicators was significant only in three states for stunting,
327 three states for wasting, and two states for underweight, but with a r^2 of more than 0.5 only in Odisha
328 for stunting ($r^2=0.62$, $p<0.0001$) and underweight ($r^2=0.53$, $p<0.0001$; appendix p 72). In the two states
329 with the highest prevalence of stunting in 2017, there was no correlation between these two surveys in
330 Bihar ($r^2=0.00$, $p=0.95$) and a very poor correlation in Uttar Pradesh ($r^2=0.07$, $p=0.024$), and also no or
331 very poor correlation for underweight and wasting (figure 5 and appendix p 72). Chhattisgarh had a
332 negative correlation for wasting between the two surveys ($r^2=0.52$, $p=0.002$; appendix p 72). The
333 correlation between NFHS-4 and DLHS-4, which covered the same 18 states, for district-level estimates
334 of the CGF indicators was significant only in four states for stunting, in three states for wasting, and in
335 two states for underweight, but with a r^2 of more than 0.5 only in four small states in the northeast part
336 of India and in none of the other larger states (appendix p 72).

337

Discussion

338 This report provides comprehensive estimates of the prevalence of CGF indicators in every district of
339 India from 2000 to 2017 and compares these trends with the Indian and the global targets up to 2030 to
340 inform district-specific policy action under NNM. The prevalence of CGF indicators has declined across
341 India, but inequality between the districts within the states has increased for most of the states,
342 indicating opportunities for improved targeting of efforts to reduce CGF. There was a 4-fold variation
343 between the districts of India for stunting prevalence, 7-fold for wasting prevalence and 5-fold for
344 underweight prevalence in 2017.

345 The vast majority of districts in India need acceleration in their rate of CGF reduction to reach
346 the Indian 2022 and the global 2030 targets. Our findings suggest that if the trends up to 2017 were to
347 continue, the gap between the projected prevalence and the WHO/UNICEF 2030 target would be 5% or
348 more for stunting in 36% of the districts in India and for wasting in 92% of the districts. The extent of the
349 potential gap in each district if the past trends were to continue provides useful information for NNM as
350 it indicates the extent of additional effort needed to meet the targets. It should be noted though that
351 the Indian and global CGF targets are for the country as a whole, but we have applied these to each
352 district.

353 The findings highlight a variety of dynamics among the states for the overall reduction in
354 prevalence and the variance of prevalence across districts within the states. For example, the inequality
355 between districts in the prevalence of stunting increased in the states of Odisha, Madhya Pradesh, Uttar
356 Pradesh, Chhattisgarh, and Rajasthan from 2000 to 2017 but decreased in Assam, whereas all of these
357 low SDI states had a similar one-third overall reduction in stunting prevalence during this period.
358 Likewise, with a similar overall reduction in stunting prevalence of a lower magnitude of about 20% in
359 Bihar and Jharkhand, the former had a one-third decline in inequality between districts and the latter
360 had a one-third increase. An example of varying dynamics for wasting is that Bihar had a 36% reduction

361 in wasting prevalence from 2000 to 2017 and Odisha a lower 12% reduction, but in both states the
362 inequality between districts doubled during this period. The states in which inequality between districts
363 for the prevalence of CGF indicators has increased would benefit from better targeting of districts in
364 which the reduction rates have been lower.

365 We use a relatively simple approach in this report of grouping districts within each state in nine
366 groups using tertiles of the prevalence of CGF indicators and their rate of reduction, which could be
367 useful for policy makers to identify districts that need priority attention. While the districts in the high
368 prevalence and low rate of reduction category would need the highest attention, those in either the
369 high prevalence and medium rate of reduction category or the medium prevalence and low rate of
370 reduction category would also need attention for them not to spill over to the former category. NNM is
371 being implemented at the district-level in a phased manner, with the prioritization of districts for roll out
372 in phases one and two of NNM largely based on stunting prevalence.³⁵ We suggest that considering the
373 rate of reduction in recent years in addition to the prevalence while prioritizing districts for action would
374 be useful. Other more complex approaches have also been suggested for better targeting of
375 investments in nutrition programming to achieve greater impact on reducing CGF.³⁶

376 We found a lower decline in wasting prevalence in India as compared with stunting, with a
377 subset of districts even showing an increase in wasting. A temporary increase or stagnation in wasting
378 prevalence when stunting is declining has been reported previously.³⁷ It is being increasingly realized
379 that acute wasting and chronic stunting represent different but closely related aspects of malnutrition in
380 communities, as they may occur in the same children at different stages and concurrently among
381 children in the same population.³⁸⁻⁴² It has been suggested that stunting is an adaptation to repeat
382 episodes of wasting, and as wasting is an acute phenomenon it often gets less attention than stunting,
383 though both are a consequence of similar determinants.^{40,41}

384 CGF is a result of interaction between a wide variety of factors, which include economic
385 development and urbanization, socioeconomic status, parent's education, women's decision-making
386 status, water and sanitation, maternal nutritional status before conception and during pregnancy,
387 maternal age and height, birth order, child birthweight, dietary intake and diversity, and access to
388 nutritional and health services.⁴³⁻⁵⁵ Poor nutritional status of women, maternal age and height, and birth
389 order have been reported to be associated with fetal growth restriction and preterm birth, which in turn
390 increase the likelihood of CGF.^{44,45,47,48,51,55} Interventions aimed at improving nutrition in the pre-
391 conception period, during pregnancy, and early postpartum period have been shown to benefit
392 maternal nutritional status.⁵⁴ Poor dietary diversity and delayed complementary feeding have also been
393 reported to be associated with an increased risk of CGF.^{50,52} A study has reported that the proportion of
394 children in India who do not meet the recommended dietary allowance for caloric, protein and fat
395 intake was quite high, but there was weak correlation between this and the CGF indicators, indicating
396 that only improving dietary intake is not enough to reduce CGF.⁵⁶ Given the multitude of factors that
397 influence child growth, efforts at improving CGF have to address the variety of multi-sectoral
398 determinants.

399 To address undernutrition, India devised its first National Nutrition Policy in 1993 aggregating
400 various programmes under one umbrella.⁵⁷⁻⁵⁹ Other policies such as National Health Policy 2002 and
401 2017 and the National Policy for Children 2013 have also set a foundation for addressing
402 malnutrition.^{60,61} The lack of focus previously on children below three years during this critical period of
403 life has contributed to the slow progress in malnutrition. To address this need, NNM has been designed
404 to provide a continuum of care through a comprehensive package of convergent interventions across
405 multiple government schemes and programmes focusing on the first 1000 days of the child, which
406 includes the nine months of pregnancy, six months of exclusive breastfeeding, and the period from 6
407 months to 2 years.⁶² Additional one year of sustained intervention would ensure that the gains of the

408 first 1000 days are consolidated.³⁵ The implementation strategy under NNM would focus on the district
409 and sub-district levels to bring convergence in addressing the multi-sectoral and multi-dimensional
410 nature of malnutrition.²⁰ Swachh Bharat Mission has made substantial efforts to improve sanitation
411 coverage across India which is likely to be beneficial for CGF as well due to its interaction with
412 sanitation.⁶³

413 Several states of India have nutrition intervention programmes aimed at reducing malnutrition
414 and some states have made more progress than others which could offer learnings for broader
415 application.⁶⁴⁻⁶⁸ Evidence from various low- and middle-income countries suggests that the successful
416 interventions to reduce CGF include a combination of political commitment, multi-sectoral
417 collaboration, community engagement, community-based service delivery platform, and wider
418 programme coverage and compliance.^{53,69} The success of Peru in reducing its stunting rate by more than
419 half in less than a decade through strong political commitment, pro-poor policies, and implementation
420 of a multi-sectoral approach to address the various determinants of stunting could offer useful insights
421 for India and other countries that have high levels of CGF.^{70,71} NNM is attempting to address many of
422 these aspects together in a coordinated manner in India, which is expected to enhance the rate of
423 improvement and increase the likelihood of reaching the targets. Interestingly, a cost-benefit analysis
424 has suggested that nutrition interventions have good returns, with stunting reduction in children leading
425 to subsequent higher wage earning as adults.⁷²

426 We found a very poor correlation between the major national surveys for district-level estimates
427 of CGF indicators in India. This discrepancy has also been observed in several other countries across
428 surveys.⁷³ Nutrition programmes are often based on estimates of malnutrition obtained from national
429 surveys. However, multiple surveys with different sampling strategies and methodologies conducted
430 over various time periods pose a challenge as to which one is closer to the true burden of
431 undernutrition.^{74,75} Obtaining high quality anthropometry data for children can be difficult in large scale

432 surveys.⁷⁶ Anthropometry data can be improved by following a quality assurance protocol and standard
433 operating procedures.^{77,78} To deal with this challenge in our analysis, we used all available data sources
434 from India in a consolidated framework to produce the best possible estimates of CGF indicators.

435 The limitations of the estimation and mapping methods of CGF that we used are described
436 elsewhere.^{5,26} A summary of the limitations follows. The quality of anthropometry data collected in
437 various surveys is variable as noted above. Height and weight data in surveys are often prone to biases
438 as they may have been measured or recorded incorrectly, and there may be recall error of the child's
439 age in less developed settings, which underscores the need for improving data collection methodology
440 and the design of surveys. The surveys do not capture anthropometric measurements of children who
441 died due to malnutrition or other causes before the survey, thus potentially underestimating CGF. Data
442 for many covariates used were available only for geographic clusters and not at the child-level, which
443 may have masked some of the heterogeneity. The spatial covariates used in this report, although
444 comprehensive, do not include the complete set of drivers or confounders due to lack of spatially
445 disaggregated data on these. We mapped CGF prevalence for both sexes together, which would have
446 masked potential differences between boys and girls. The strengths of the findings in this report include
447 the use of all accessible data sources in India to produce the best possible estimates of child growth
448 failure indicators at the district-level by aggregating the estimates from 5x5 km grids. The pooling of
449 various data sources reduces the biases associated with single survey estimates and is likely to lead to
450 more robust estimates than from individual surveys separately. The categorisation of districts based on
451 prevalence, rate of reduction, and socio-demographic index, as done in this study, provides various
452 options for policy makers to formulate intervention strategies.

453 Substantial district-level variations in the magnitude of CGF indicators and their rate of decline,
454 and the increasing inequality between districts in a large proportion of the states, as presented in this
455 report provides robust district-level trends that can serve as a resource for NNM to inform the extent of

456 effort needed in each district to meet the malnutrition reduction targets. These comprehensive and
457 granular estimates based on composite analysis of all available data sources in India have substantial
458 policy and implementation relevance, as these could enable better targeting of strategy and resources
459 at sub-state levels to reduce CGF. NNM also suggests planning of action at the sub-district block level as
460 districts in India are relatively large with an average population of about 2 million. The fine-grid
461 geospatial mapping approach presented in this report has the potential for providing CGF trends at the
462 sub-district level as well.

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463

Research in context

464 Evidence before this study

465 Evidence suggests that India continues to have high prevalence of CGF indicators. State-level variations
466 in these indicators have been reported but the sub-state level trends are less readily available. We
467 searched PubMed for published literature on CGF in India, Google for reports in the public domain, and
468 references in these papers and reports, using the search terms “child growth failure”, child
469 malnutrition”, “district-level”, “epidemiology”, “geospatial”, “geospatial mapping”, “India”, “inequality”,
470 “national nutrition mission”, “prevalence”, “under-five”, “subnational”, “stunting”, “trends”,
471 “undernutrition”, “underweight”, and “wasting” on August 5, 2019, without language or publication
472 date restrictions. We found only a few previous studies that have reported district-level variations in
473 CGF in India, using a single data source. Comprehensive mapping of the variations between districts in
474 the prevalence of stunting, wasting and underweight, and their progress towards achieving the Indian
475 and the global nutrition targets, using all available data sources in a single framework has not been
476 compiled to inform action under the district-focused approach of the National Nutrition Mission (NNM).

477 Added value of this study

478 This study provides the first comprehensive analysis of district-level prevalence of CGF indicators in India
479 by aggregating the best possible estimates at a resolution of 5x5 km grid, using all accessible geo-
480 referenced survey data and gridded covariates from multiple sources. The findings highlight wide
481 variations in prevalence, rate of reduction, and inequality between districts within the states. Majority
482 of the districts in India would need a higher rate of improvement than they had up to 2017 to achieve
483 the NNM 2022 and the WHO/UNICEF 2030 targets, with the findings pointing to the additional effort
484 needed in each district. The identification of priority districts with high prevalence and low rates of
485 reduction within each state is useful for policy makers. The findings in this report are timely as the
486 Government of India is intensifying its efforts to accelerate the reduction in child malnutrition across the

487 country through decentralised planning and implementation of targeted nutrition interventions at the
488 district-level under NNM.

489 **Implications of all available evidence**

490 This granular analysis of the trends of CGF indicators from 2000 to 2017 for every district of India, and
491 the relation of their progress to the efforts needed to achieve the India 2022 and the global 2030
492 targets, enables identification of districts in each state that have persistently high prevalence of
493 stunting, wasting and underweight and low rates of improvement. Such fine-grain insights into the
494 prevalence of CGF indicators for the 1.4 billion population of India are useful to inform decisions on
495 policy and programmatic actions tailored precisely for each district to accelerate the progress in
496 achieving better nutritional status and reducing inequalities within states and across the country.

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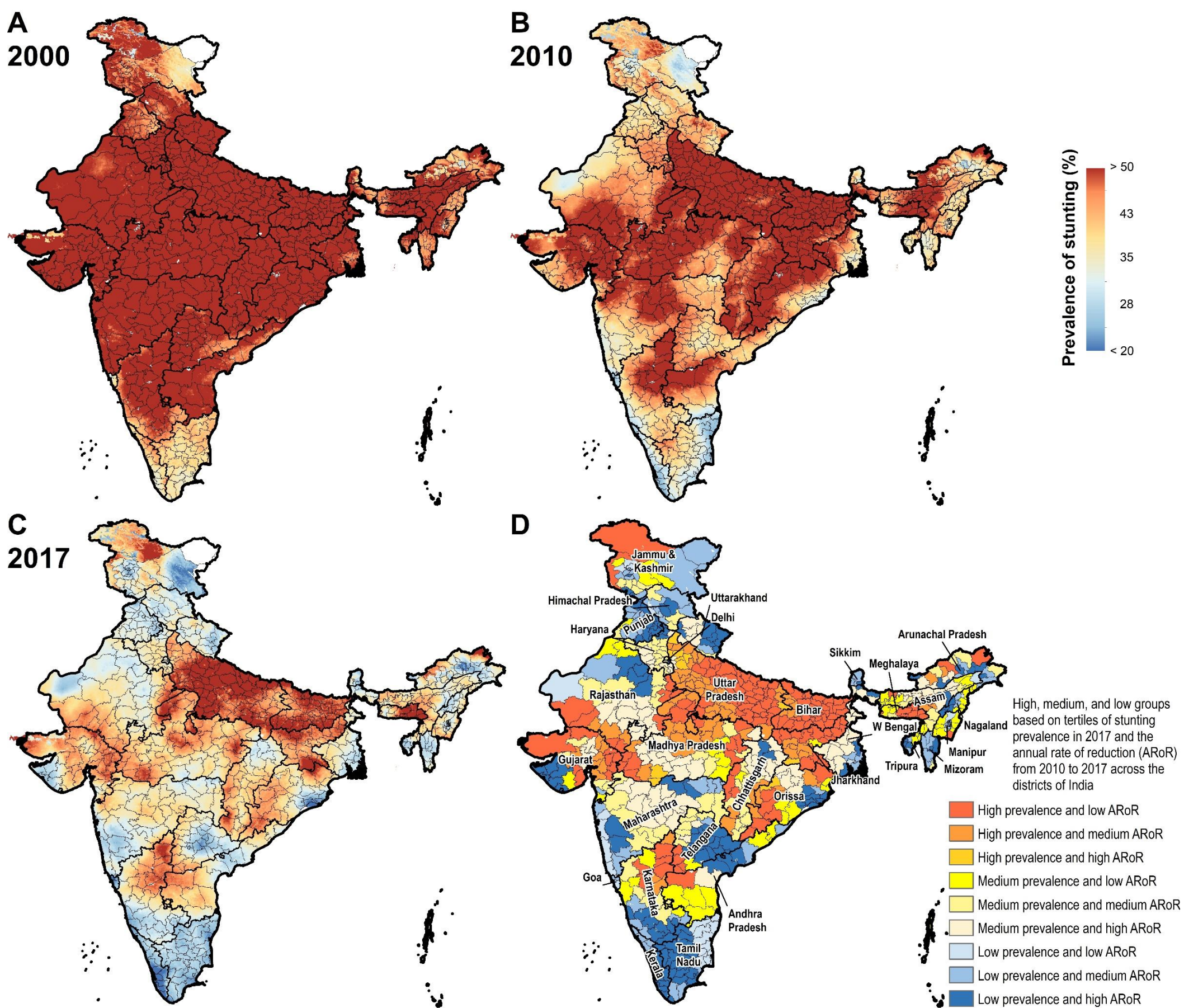
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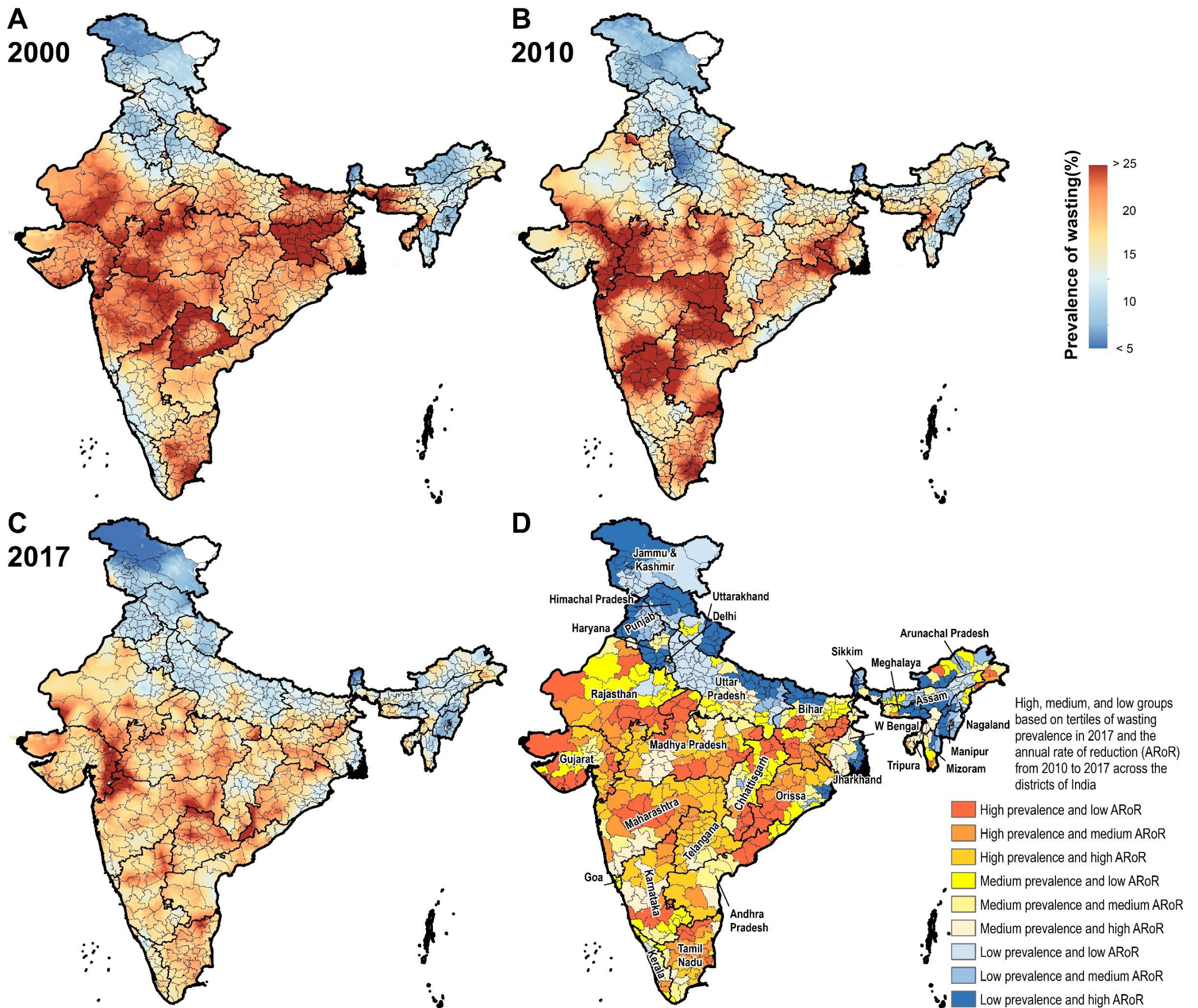
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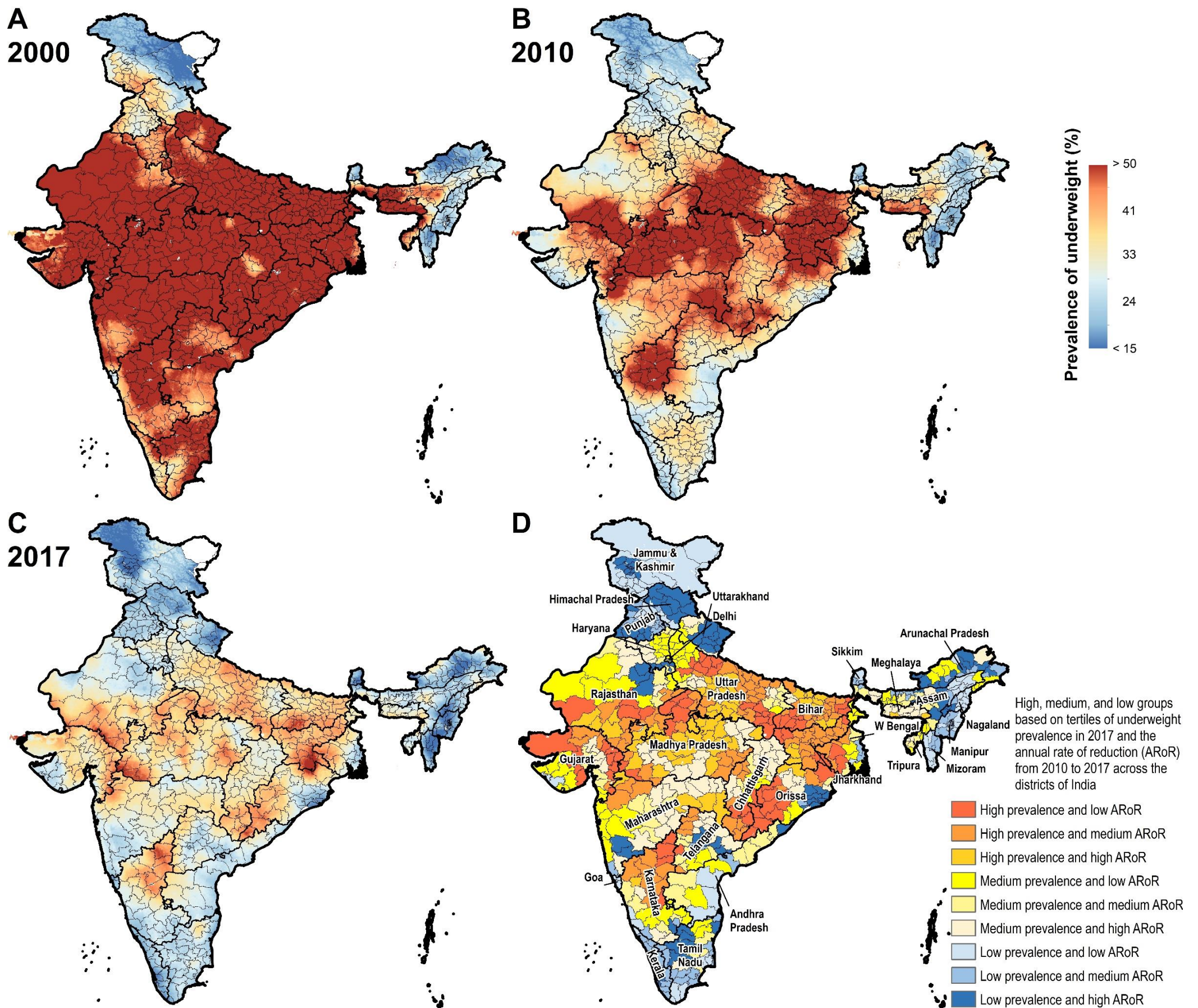
Figure 1. Stunting, wasting, and underweight mapping in India. Pixel-level prevalence in (A) 2000, (B) 2010, and (C) 2017. (D) Tertile groupings of district-level prevalence in 2017 against the annual rate of reduction from 2010 to 2017.



The tertile cut-offs for stunting prevalence were 33.1% and 41.1% and for its annual rate of reduction were -2.23% and -3.37%.



The tertile cut-offs for wasting prevalence were 13.4% and 17.6% and for its annual rate of reduction were -0.10% and -2.12%.



The tertile cut-offs for underweight prevalence were 25.7% and 34.5% and for its annual rate of reduction were -2.43% and -3.90%.

Figure 2. Coefficient of variation for stunting, wasting and underweight between the districts within the states of India, 2000 and 2017. Data shown for states with more than 10 districts.

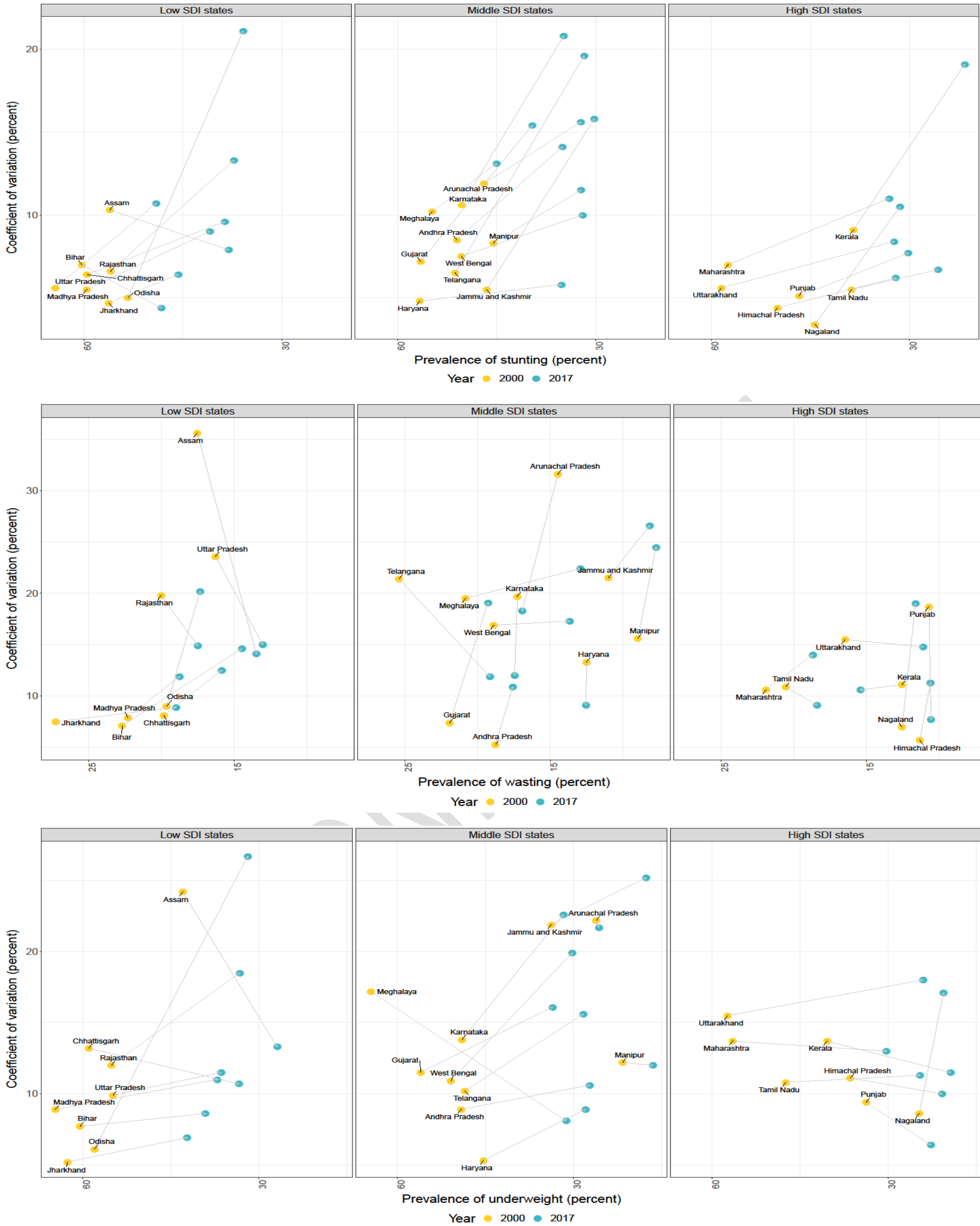
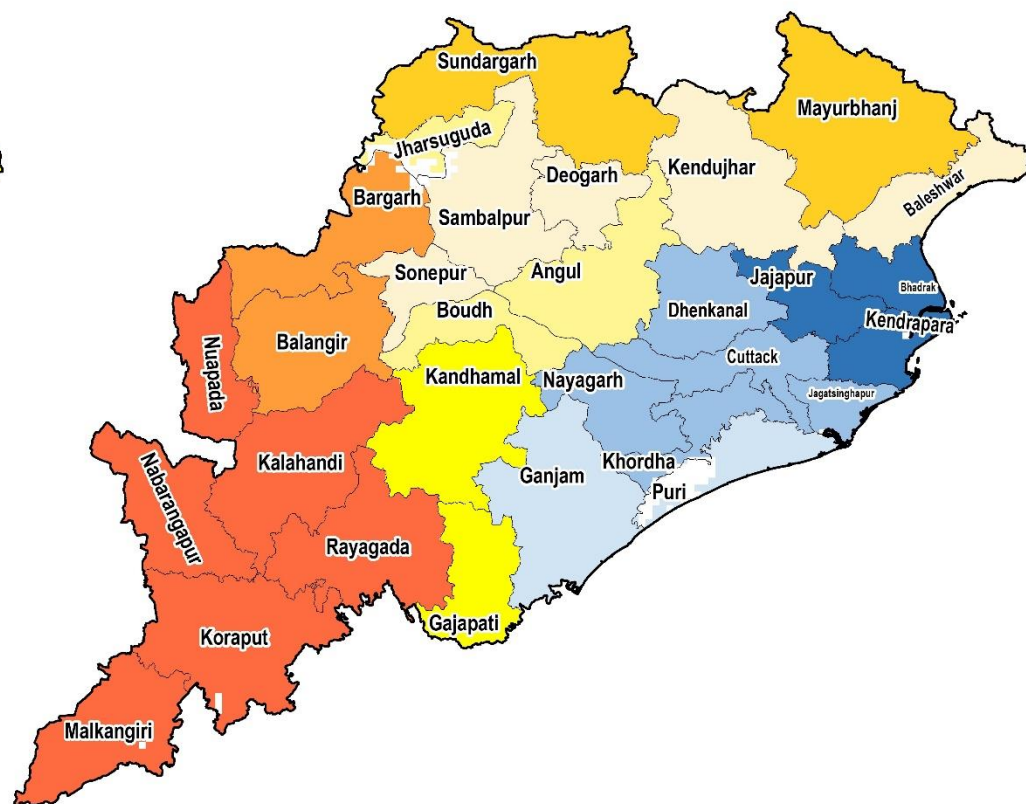
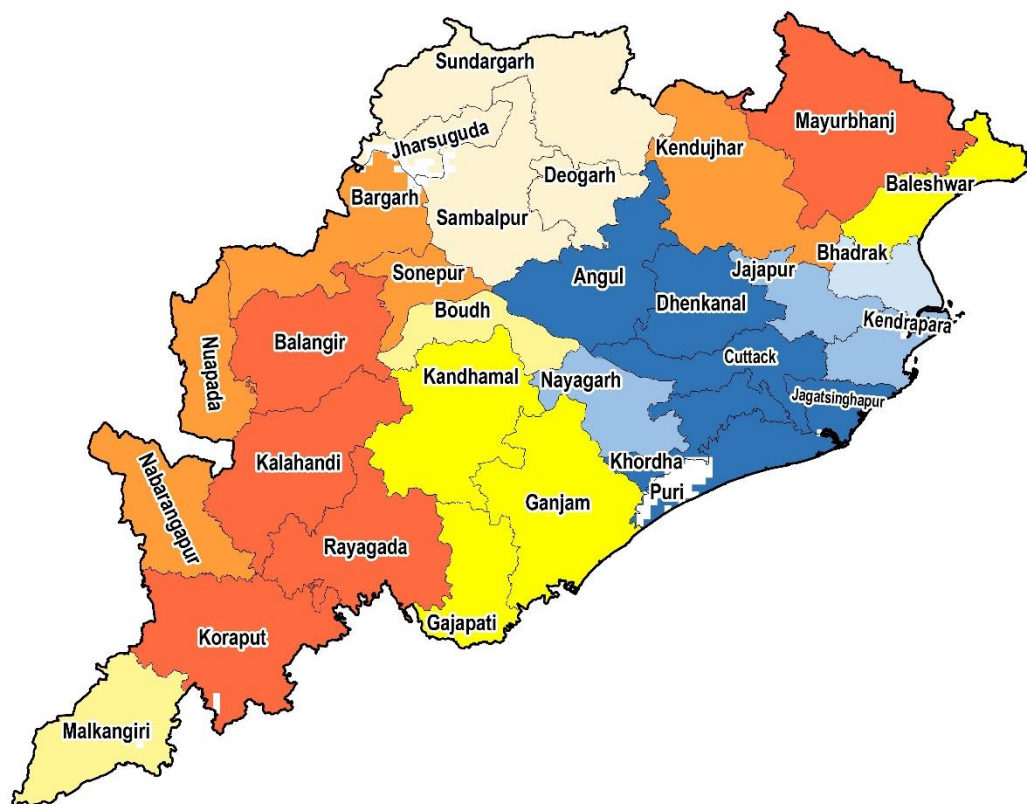


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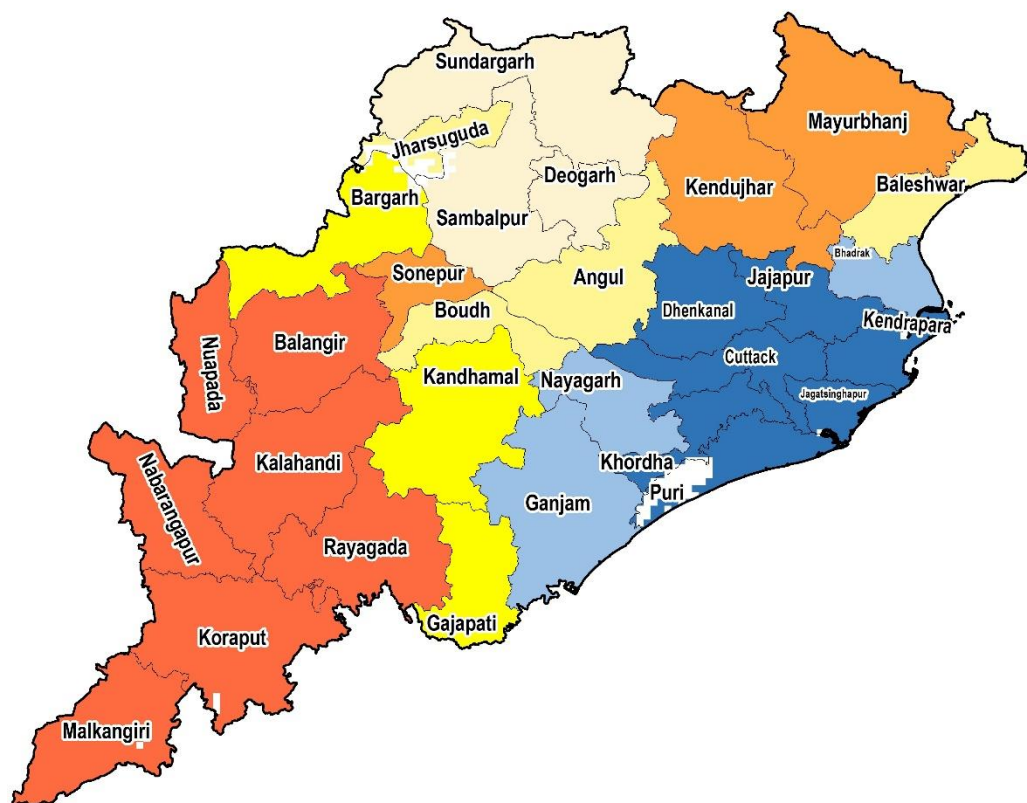
Odisha

A Stunting

B Wasting



C Underweight



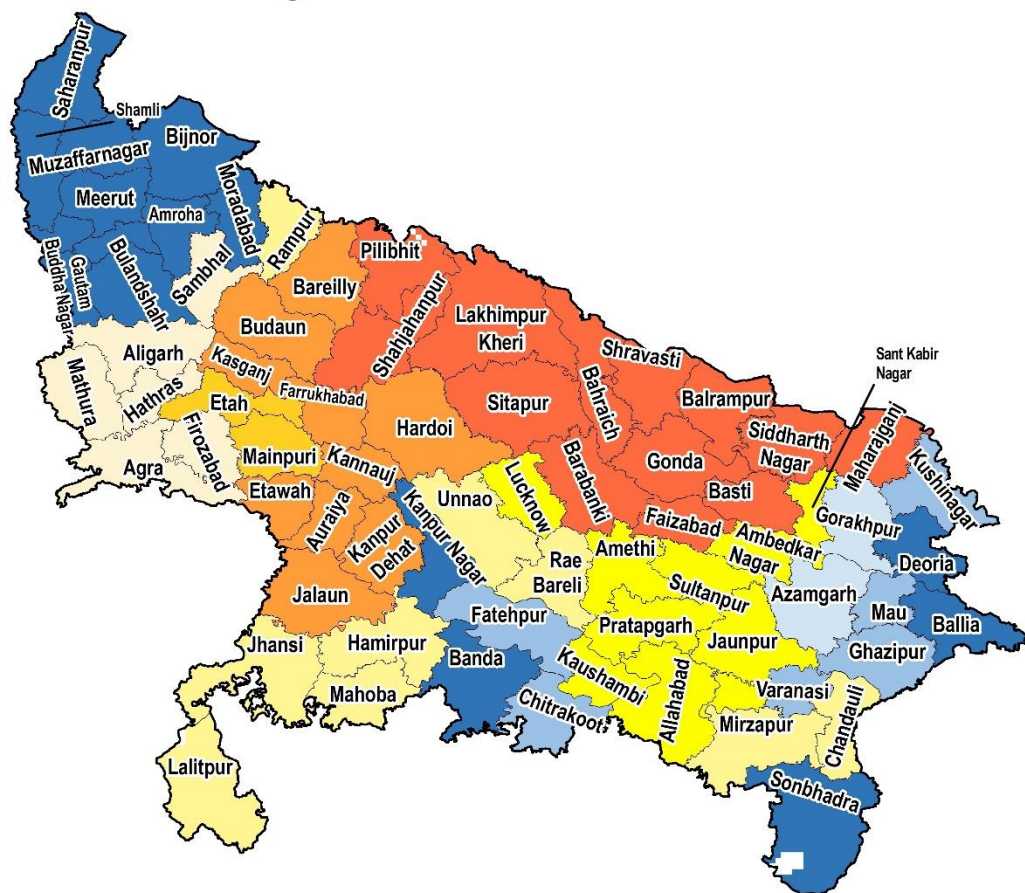
High, medium, and low groups based on tertiles of prevalence in 2017 and the annual rate of reduction (ARoR) from 2010 to 2017 among the districts within the state

- High prevalence and low ARoR
- High prevalence and medium ARoR
- High prevalence and high ARoR
- Medium prevalence rate and low ARoR
- Medium prevalence and medium ARoR
- Medium prevalence and high ARoR
- Low prevalence and low ARoR
- Low prevalence and medium ARoR
- Low prevalence and high ARoR

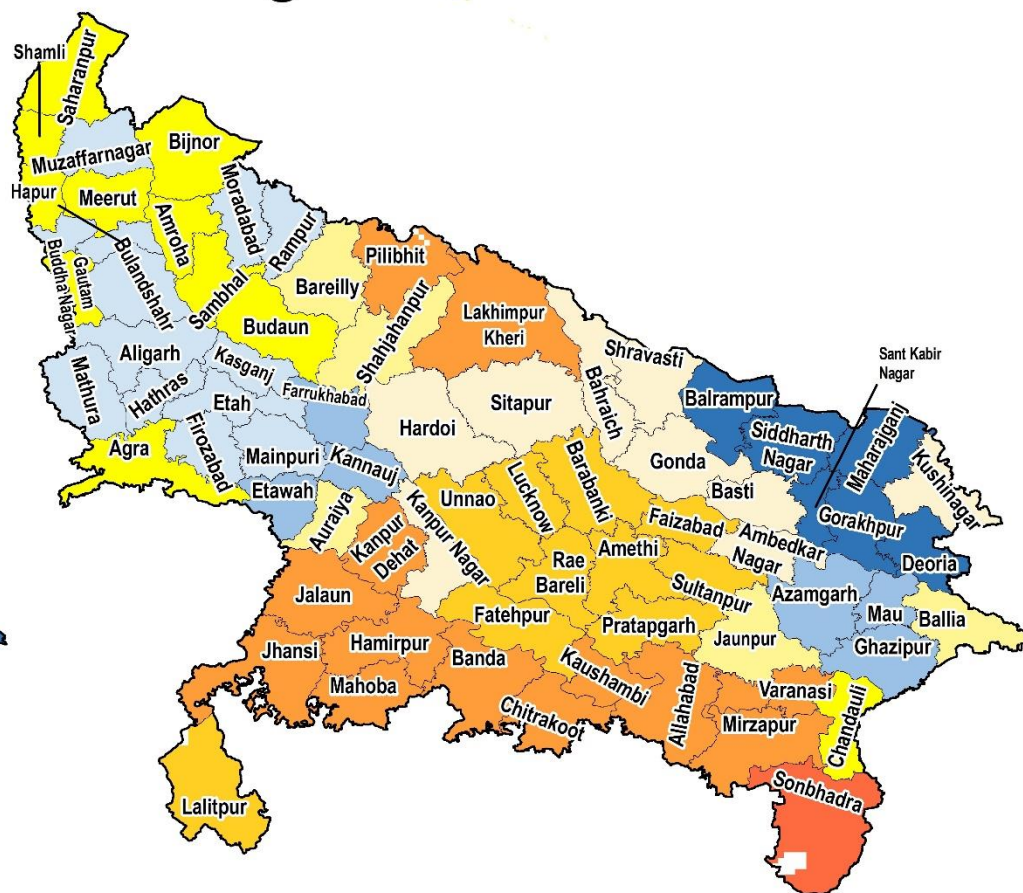
The tertile cut-offs for stunting prevalence were 35.7% and 42.2% and for its annual rate of reduction were -2.20% and -3.74%.
 The tertile cut-offs for wasting prevalence were 15.7% and 19.5% and for its annual rate of reduction were -0.18% and -1.80%.
 The tertile cut-offs for underweight prevalence were 29.7% and 39.0% and for its annual rate of reduction were -1.78% and -4.39%.

Uttar Pradesh

A Stunting



B Wasting



C Underweight



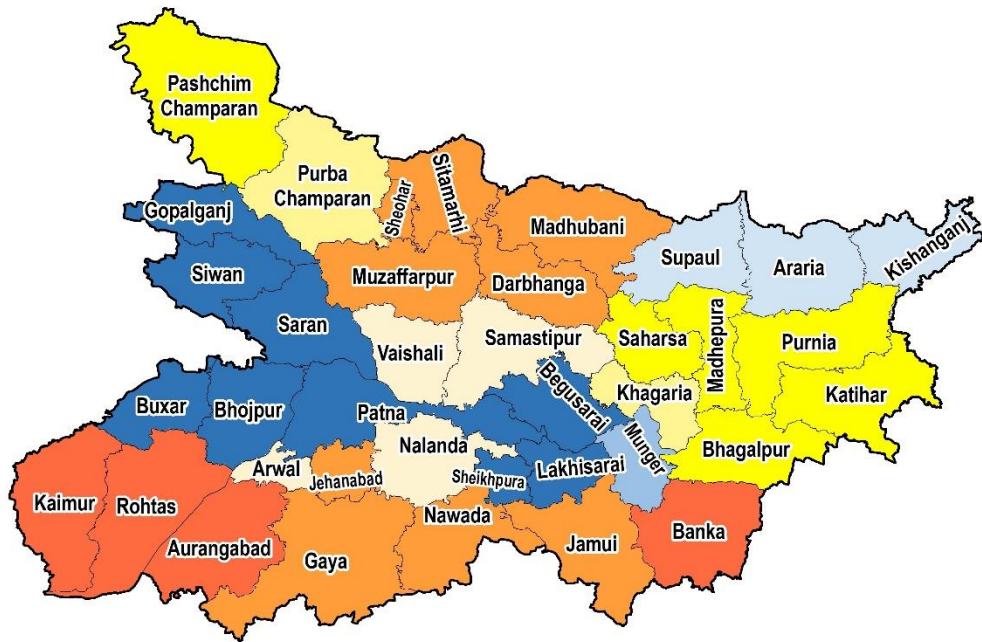
High, medium, and low groups based on tertiles of prevalence in 2017 and the annual rate of reduction (ARoR) from 2010 to 2017 among the districts within the state

- High prevalence and low ARoR
- High prevalence and medium ARoR
- High prevalence and high ARoR
- Medium prevalence rate and low ARoR
- Medium prevalence and medium ARoR
- Medium prevalence and high ARoR
- Low prevalence and low ARoR
- Low prevalence and medium ARoR
- Low prevalence and high ARoR

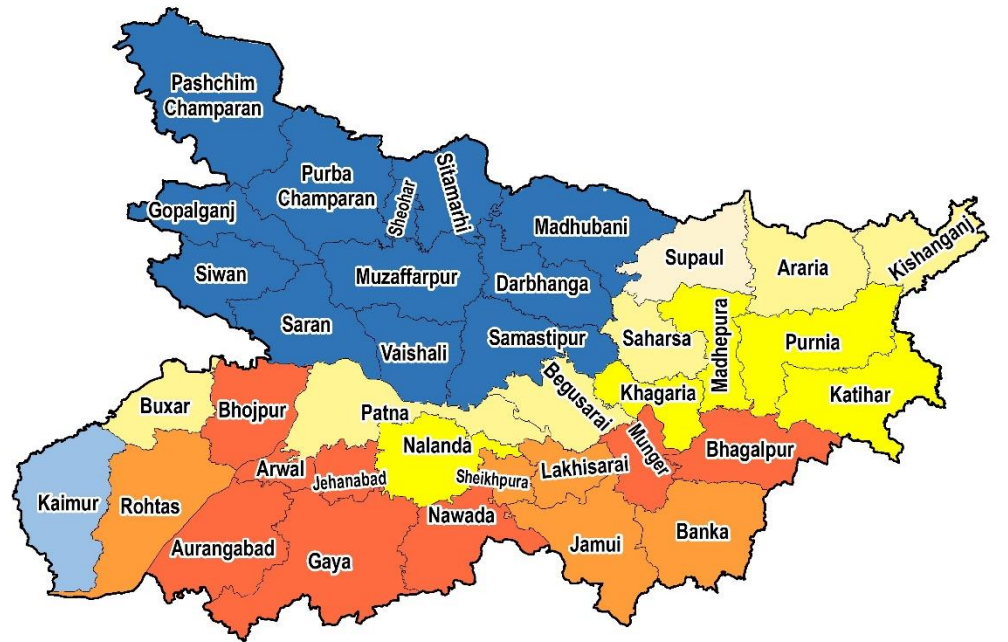
The tertile cut-offs for stunting prevalence were 47.1% and 51.0% and for its annual rate of reduction were -1.67% and -2.73%.
 The tertile cut-offs for wasting prevalence were 11.8% and 13.4% and for its annual rate of reduction were -2.38% and -3.76%.
 The tertile cut-offs for underweight prevalence were 34.1% and 38.4% for its annual rate of reduction were -2.10% and -3.68%.

Bihar

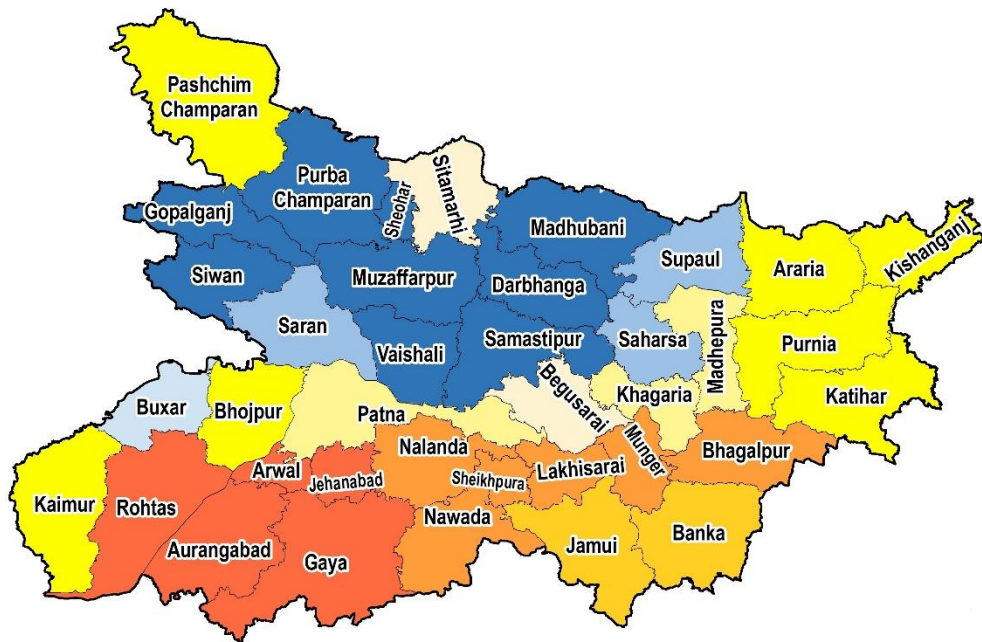
A Stunting



B Wasting



C Underweight



High, medium, and low groups based on tertiles of prevalence in 2017 and the annual rate of reduction (ARoR) from 2010 to 2017 among the districts within the state

- High prevalence and low ARoR
- High prevalence and medium ARoR
- High prevalence and high ARoR
- Medium prevalence rate and low ARoR
- Medium prevalence and medium ARoR
- Medium prevalence and high ARoR
- Low prevalence and low ARoR
- Low prevalence and medium ARoR
- Low prevalence and high ARoR

The tertile cut-offs for stunting prevalence were 48.1% and 49.3% and for its annual rate of reduction were -1.51% and -2.01%.
 The tertile cut-offs for wasting prevalence were 13.7% and 15.8% and for its annual rate of reduction were -0.12% and -1.21%.
 The tertile cut-offs for underweight prevalence were 38.7% and 40.5% and for its annual rate of reduction were -2.68% and -3.86%.

Figure 4. Gap between the projected prevalence of child growth failure indicators in the districts of India in 2022 and 2030 based on the trends from 2000 to 2017 versus the NNM 2022 and the WHO/UNICEF 2030 targets

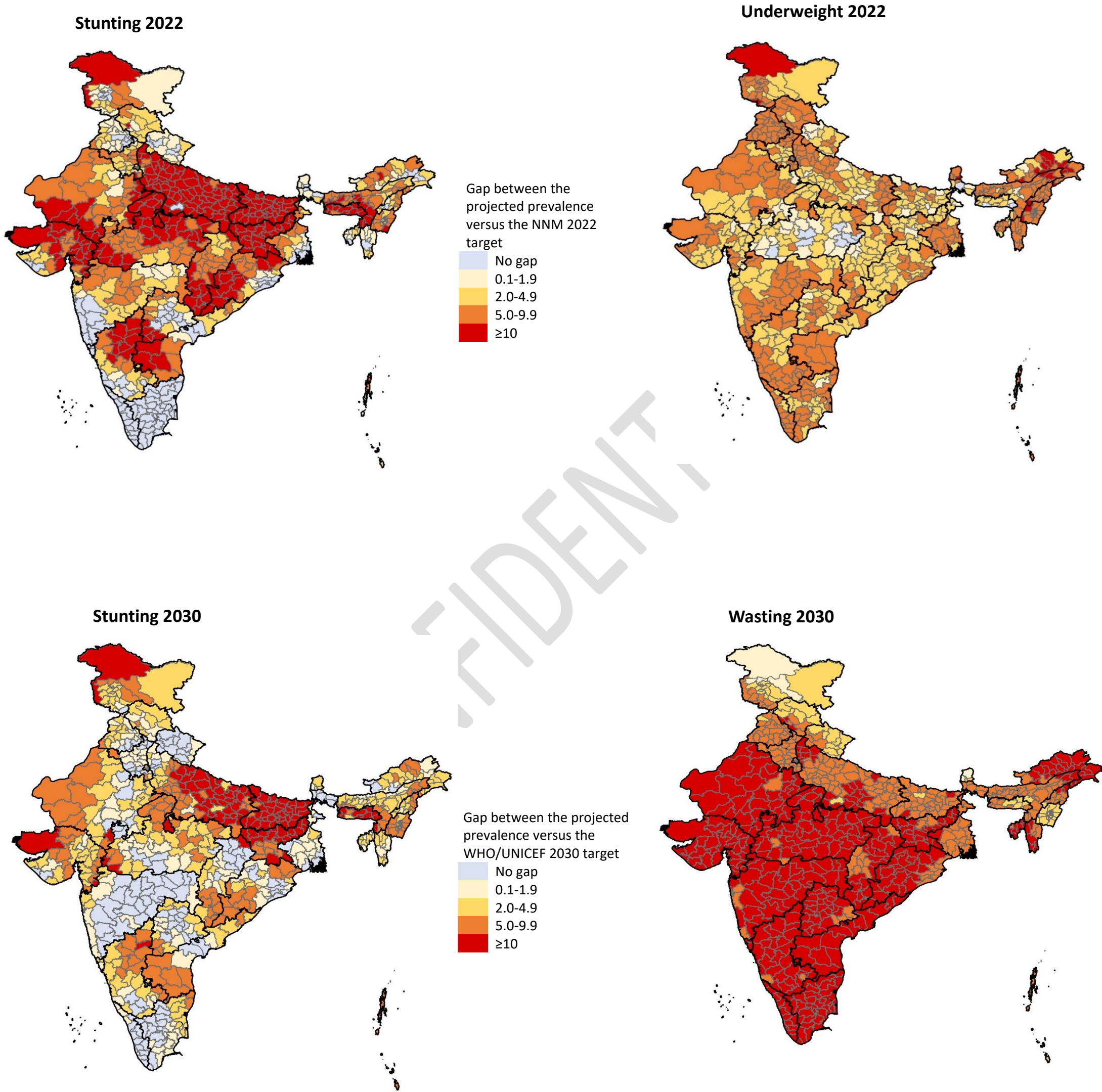
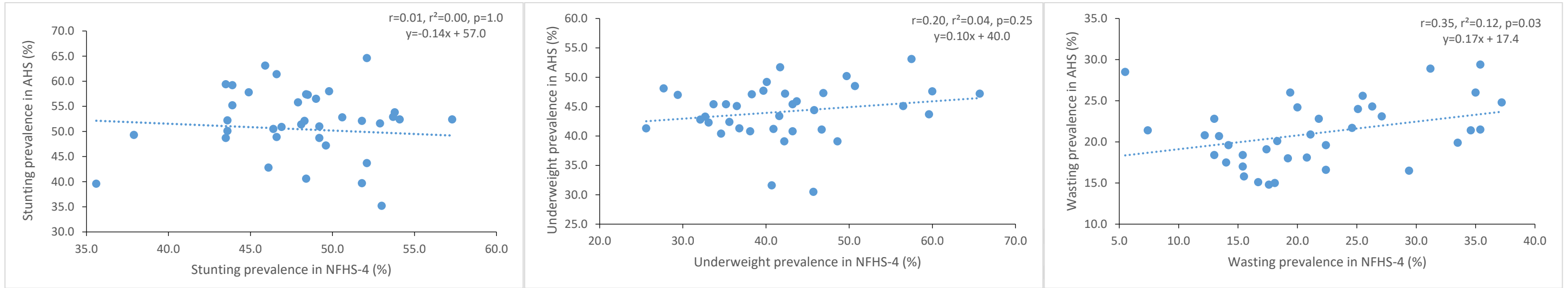
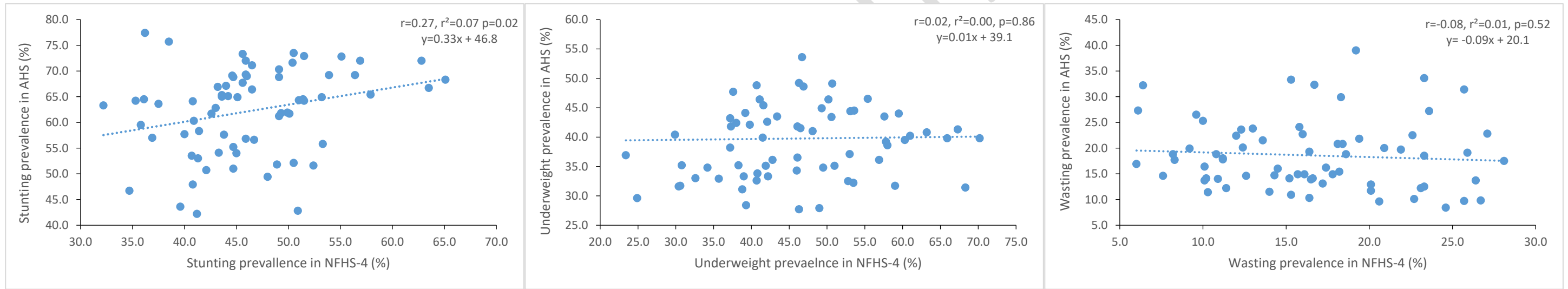


Figure 5. Correlation between the national surveys for district-level prevalence of stunting, wasting and underweight in Bihar, Uttar Pradesh and Maharashtra

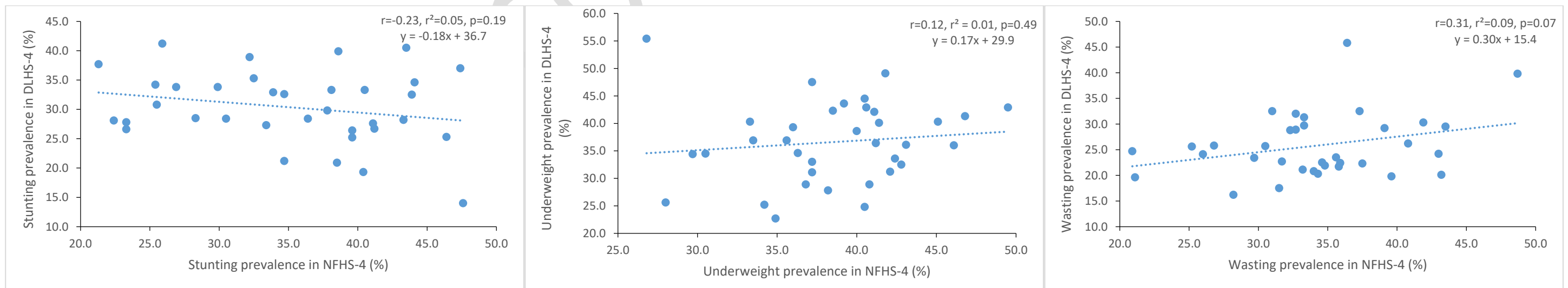
Bihar



Uttar Pradesh



Maharashtra



NFHS=National Family Health Survey; DLHS=District-level household survey; AHS=Annual Health Survey; r=correlation coefficient.