

The effect of a localized geothermal heat source on deep water formation

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Abstract. In a simplifie two-dimensional model of a buoyancy-driven overturning circulation, we numerically study the response of the fl w to a small localized heat source at the bottom. The fl w is driven by differential thermal forcing applied along the top surface boundary. We evaluate the steady state solutions versus the temperature difference between the two ends of the water surface in terms of different characteristic parameters that properly describe the transition from a weak upper-layer convection state to a robust full-depth deep convection. We conclude that a small additional bottom heat flu underneath the "cold" end of the basin is able to initiate full-depth convection even when the surface heat forcing alone is not suffic ent to maintain this state.

1 Introduction

The engine of the Great Ocean Conveyor is the sinking of cold and saline surface waters to the bottom of the oceanic basin, referred to as Deep Water Formation (DWF). Under the present climatic conditions, DWF can occur only at a few compact polar downwelling regions, all of which are located in the Atlantic basin (van Aken, 2007). This polar sinking together with the distributed upwelling of water masses drives the Atlantic Meridional Overturning Circulation (AMOC) (Stommel, 1958), which is an important contributor to the European climate, because of its large northward heat transport of an order of magnitude of 10^{15} W (Ganachaud and Wunsch, 2000).

The question arises of what makes the Atlantic the only basin where DWF can occur among the present climatic conditions. The average surface salinity of the Atlantic is significantly higher than that of all other basins, due to its larger



Correspondence to: M. Vincze (vincze.m@lecso.elte.hu) evaporation-precipitation difference. The combined effect of high salinity and the heat release, which occurs in the polar regions results in an unstable stratificatio that makes the dense surface water parcels descend to the bottom. However, it has been shown that the amount of precipitation is quite similar over the Atlantic and the Pacific and the evaporation difference is due to the temperature difference between the basins, which is largely a consequence of the ocean circulation itself (Huisman et al., 2009). This implies that the correct explanation of the Atlantic DWF must involve additional effects besides the widely studied role of surface buoyancy (i.e. heat or fresh water) fl xes.

Furthermore. Sandström's thermodynamic theorem (Sandström, 1908) states that a density-driven circulation can only be maintained if the spatial position of the positive heat source is below the level of the negative heat source (cooling). In the ocean this is seemingly not the case, as most of the warming and cooling occurs at the water surface. The weak geothermal heating of the seafloo is usually neglected in general ocean circulation models, as zero heat flu boundary conditions are being applied (e.g., Frankcombe et al., 2009). To fulfi Sandström's theorem, these models use large, highly anisotropic eddy diffusivity and viscosity values to parameterize turbulent mixing, which is believed to be responsible for the required upward heat and momentum "pumping". In some numerical studies (e.g., Urakawa and Hasumi, 2009), the effect of geothermal heat on a density-driven circulation was also taken into account, by applying a *uniform* constant heat flu at the bottom of the basins. According to in-situ measurements, however, the heat flu distribution of the oceanic crust is far from homogeneous. In Scott et al. (2001), the authors investigated the effect of an enhanced heat fl w that is present in the vicinity of the mid-ocean ridges in a highly idealized ocean model, and concluded that even such an inhomogeneous thermal perturbation can cause anomalous response in the AMOC.

Surprisingly enough, the locations of the two most important DWF regions, namely at the Greenland Sea, and the Weddell Sea both exhibit extremely high values of geothermal heat fl x ($\sim 120 \text{ mW m}^{-2}$), twice as high as the global average (Shapiro and Ritzwoller, 2004). To our best knowledge, this coincidence remained unnoticed in the literature. The scope of the present work is to investigate the response of a density-driven two-dimensional circulation to a compact, localized bottom heat source, placed underneath the "polar" end of the basin, where the surface heat fl w is negative (cooling occurs).

Although the concept originates from the above discussed oceanographic problem, the parameters used here are rather unrealistic, as we intended to model a laboratory-scale setup for a better understanding of the basic underlying physics. Furthermore, we think that such parametrization supports a direct comparison with actual experiments (we compare some aspects of the ocean circulation and the numerical and experimental setups in the Appendices). Our main result is that even a weak local heat source beneath the cold end of the basin perturbs significant1 the initially shallow-layer horizontal convection and markedly contributes to the DWF process.

2 The model setup and numerical methods

The present model is based on the two-dimensional nonhydrostatic Boussinesq equations. These were solved on a 20×200 equidistant array of Arakawa C cells (Arakawa and Lamb, 1977) which corresponds to a 2 m deep and 20 m long rectangular tank. The effect of salinity differences was not considered, hence the fl w was only forced by the incoming heat flu es from the surface, the bottom and the lateral sidewalls (a qualitative argument on the possible effect of salinity on the phenomena described here, is presented in Appendix B). The density $\rho(T)$ was assumed to obey a linear temperature dependence with reference values $T_{\rm ref} = 25^{\circ}$ C, $\rho_{\rm ref} = 997.075 \text{ kg m}^{-3}$ and volumetric thermal expansion coefficien $\alpha = 2.5 \times 10^{-4} \text{ K}^{-1}$. The kinematic viscosity $v = 10^{-6} \text{ m}^2 \text{ s}^{-1}$ and the thermal diffusivity $\kappa = 1.4 \cdot 10^{-7} \,\mathrm{m^2 \, s^{-1}}$ were treated as isotropic constants, of their usual molecular values, resulting in a Prandtl number of Pr = 7.14. We note, that the three orders of magnitude larger eddy viscosity and diffusivity values that are set in ocean models (e.g. $\nu_{eddy} \sim 10^{-3} \text{ m}^2 \text{ s}^{-1}$ and $\kappa_{eddy} \sim 10^{-4} \text{ m}^2 \text{ s}^{-1}$, as in Frankcombe et al. (2009)) yield approximately the same dimensionless ratio, therefore this part of the dynamics remains unchanged by the upscaling.

Numerical solutions were obtained using the Advanced Ocean Modeling open-source software package, written in FORTRAN95 environment (Kämpf, 2009), in which the system of PDEs are being solved with the Successive Over-Relaxation (SOR) method.



Fig. 1. The schematic drawing of the setup, with the domains where the different values of T_{relax} were applied along the boundaries.

According to the initial conditions, the flui was at rest and its temperature was uniformly set to the value of T_{ref} in the whole solution domain. At the water surface and the sidewalls slip boundary conditions were applied for the velocities, while friction was taken into account at the bottom in the form of

$$\left. \nu \frac{\partial u}{\partial z} \right|_{\text{bottom}} = r u_b \left| u_b \right|,\tag{1}$$

where *u* denotes the horizontal velocity, with a value of u_b adjacent to the bottom boundary, and the bottom-drag coefficien *r* was set to 0.001 as by Kämpf (2009). The fl ws in the domain were forced by restoring temperature boundary conditions at all boundaries, represented by a distributed heat source that is proportional to the temperature difference between the local water temperature and a spatially varying prescribed temperature fi ld $T_{relax}(x, z)$, as given by:

$$\frac{\partial T}{\partial t} = -\frac{1}{\tau} \left(T - T_{\text{relax}} \right). \tag{2}$$

Generally, the restoring timescale τ was set to 2100 s at all boundaries, based on our laboratory measurements (details will be reported elsewhere). We note here, that for an extension of a similar model setup to oceanic scales one cannot apply the same restoring timescales at the water surface and at the other boundaries. In the case of a real ocean the heat exchange between the uppermost layer and the atmosphere is orders of magnitude more effective ($\tau \sim 30$ days, as in Frankcombe et al. (2009)) than it is at the abyssal regions, due to the wind-driven turbulent mixing. However, for a laboratory-scale setup that is studied here the usage of the same restoring timescales at all boundaries is a reasonable assumption. Further comparison of the thermal boundary conditions of the setup and the ocean can be found in Appendix A.

For the restoring temperature, a value of $T_{\text{relax}}(x,z) = T_{\text{ref}} = 25^{\circ}\text{C}$ was define at the vertical sidewalls and at the bottom. At the water surface, $T_{\text{relax}}(x,z) = T_{\text{warm}} = 32^{\circ}\text{C}$ was prescribed in the leftmost 20 cells. A similar domain of 20 parcels was define at the right margin of the surface as well, in which $T_{\text{relax}}(x,z) = T_{\text{cold}}$ was adjusted in the range



Fig. 2. Averaged streamfunction (Ψ) patterns for the quasi-stationary parts of different runs. The gray-scale values cover the interval between $-\Psi_{max}$ (white) and Ψ_{max} (black), for actual magnitudes see Fig. 3c and f. Correspondingly, dark gray regions reflec positive (clockwise) local vorticity. In the uppermost two snapshots, labels "W" and "C" denote the warming and cooling sides. Label "HS" marks the position of the bottom "hot spot". Figures 2a,b,c and d correspond to the "no hot spot" scenario, i.e. $T_{spot} = T_{ref} = 25$ °C. The transition from a multi-cellular weak convection state to a DWF state is clearly visible as a function of T_{cold} . In Fig. 2e,f,g and h the same transition is shown in the case of $T_{spot} = 30$ °C. In Fig. 2e the presence of the hot spot initiates a marked two-cell convection along the whole basin, which – at $T_{cold} = 19.73$ °C – develops to a full-depth counterclockwise Benard cell above the hot spot (Fig. 2f), that transfers momentum to the neighboring anticyclonic downwelling. In Fig. 2g the full-depth DWF state is present, and the counterclockwise cell already vanished. Note, that in the "hot spot" case, the marked one-cell convection is visible at $T_{cold} = 18.9$ °C, unlike in the "no hot spot" case. The vertical lines in each snapshot represent the left boundary of the region over which the mean value $\langle w \rangle_{polar}$ (see Fig. 3b and e) is evaluated.

of $T_{\text{cold}} = 4-24^{\circ}\text{C}$ for the different numerical experiments. Between these sections – that model the equatorial and polar regions of the ocean surface – the value of the restoring temperature was interpolated linearly between T_{warm} and T_{cold} at the water surface, as depicted in Fig. 1.

Besides T_{cold} , our other key control parameter was the restoring temperature $T_{relax}(x, z) = T_{spot}$ of a "hot spot", i.e. a 10 parcel-long section at the bottom right corner of the basin (see Fig. 1). This domain represents the aforementioned compact Atlantic seafloo regions of high geothermal flu at higher latitudes. T_{spot} varied in an interval between 25°C (= T_{ref}) and 35 °C for the different runs.

The spin-up time of the model was taken to be 12 000 s, after which a quasi-stationarity of the average surface temperature time series was achieved in every run. In order to properly describe the transition between the different con-

vection states, we introduced some characteristic parameters, which exhibit jumps at the transitions between different dynamical regimes. These parameters were evaluated for the quasi-stationary part of the process.

3 Results

In our firs series of experiments, the value of T_{spot} was set to T_{ref} , corresponding to the "no hot spot" scenario. In this case the fl w pattern is determined by one single control parameter T_{cold} , since $T_{\text{warm}} = 32^{\circ}$ C was fi ed throughout all the computations. The "phase transition" from a weak upper-layer convection to a robust full-depth deep convection (i.e. DWF) is clearly visible in the left column of Fig. 2, where the time-averaged streamfunctions are depicted for four different values of T_{cold}



Fig. Tibe: The set of the the set of the stream of the st

f. The dashed and dashed-dotted vertical lines mark the critical T_{cold} , where the onset of DWF takes place in

Naturally, the onset of DWF is related to the presence of unstable density stratificatio at the "polar" region of the tank. Firstly, we determined the time averaged temperatures T_{asympt}^{top} and T_{asympt}^{bottom} , measured at the rightmost upper and bottom corners of the basin (solid and dashed lines with symbols in Fig. 3a). It is clearly visible in the higher T_{cold} -range that the asymptotic bottom temperature practically reaches the prescribed value of $T_{ref} = 25^{\circ}$ C. This implies that the bottom layer of the flui stays at rest in this regime. The transition – where T_{asympt} reaches the same value at the surface and at the bottom – occurs at $T_{cold}^* = 18.3^{\circ}$ C (denoted with dotted vertical line in Fig. 3a, b and c).

Next, we measured the mean vertical sinking velocity $\langle w \rangle_{polar}$, that is averaged in the 5 m long "polar" section identifie by the vertical lines in Fig. 2. (Negative values of $\langle w \rangle_{polar}$ represent downward fl w.) The onset of DWF is

clearly indicated as a break point at $T^*_{cold} = 18.3^{\circ}$ C (Fig. 3b). At temperature values $T_{cold} < T^*_{cold}$, the mean vertical velocities $\langle w \rangle_{polar}$ have definit negative values.

Thirdly, we computed the maximal value of the timeaveraged streamfunction (Ψ_{max}) for each run. The curve in Fig. 3c demonstrates the same transition: in the range $T_{cold} < T^*_{cold}$, the large values of Ψ_{max} are related to vigorous deep-water convection.

For the following numerical experiments we introduced a restoring temperature $T_{spot} > T_{ref}$ at the bottom hot spot, in order to evaluate whether this extra heat flu affects the state transition in terms of the critical T_{cold} . The right column of Fig. 3 depicts the behavior of the above mentioned order parameters in the case of $T_{spot} = 30^{\circ}$ C. The corresponding streamfunction patterns for the same series of experiments are shown in Fig. 2e–h. It is important to note that in



Fig. 4 F(g) $4w_{\text{polar}}$ as a function of the for four differentiation of T_{spot} , for three first and T_{spot} , for three first values of T_{cold} , see legends. T_{spot} , for three first values of T_{cold} , see legends. the same quantity $\langle w \rangle_{\text{polar}}$ on T_{spot} , for three fixed values of T_{cold} , see legends.

the presence of the additional "geothermal heat", the critical value of T_{cold}^* has shifted considerably upward, to the value of 20.2°C (dashed-dotted in the right column of Fig. 3).

The next question of importance is whether there exists a certain T_{spot} for a given T_{cold} that maximizes the flu of the polar downwelling. Intuitively, one can argue that over a certain temperature threshold the bottom hot spot launches a rising plume – as discussed in e.g.: Stommel (1982) – that would tend to reverse the direction of the circulation, thus hindering DWF rather than enhancing it. Fig. 4a shows $\langle w \rangle_{\text{polar}}$ as a function of T_{cold} for $T_{\text{spot}} = 25.0, 27.2, 30.0,$ and 32.5°C, the firs and third curve being the same as in Figs. 3b and e. There are two main observations to be emphasized: firstl, the critical crossover temperature T^*_{cold} depends weakly on the restoring hot spot temperature T_{spot} ; secondly, the largest downward flu values in the range $T_{\text{cold}} \leq T_{\text{cold}}^*$ do not belong to the highest value of T_{spot} . According to the expectations, high enough T_{spot} temperatures seem to hinder DWF, see the dotted line in Fig. 4a, in the range of T_{cold} < $15^{\circ}C$ (for $T_{spot} = 32.5^{\circ}C$).

In order to move along an orthogonal axis of the parameter space, we evaluated the same quantity $\langle w \rangle_{polar}$ as a function of the bottom heating in a broader T_{spot} range for three fi ed T_{cold} values (Fig. 4b). When the driving horizontal temperature difference $T_{warm} - T_{cold}$ is too small, DWF is hindered even when some hot spot is present (see the topmost curve of Fig. 4b, $T_{warm} = 32.00^{\circ}$ C as in each case, $T_{cold} =$ 20.78°C). At large enough horizontal temperature gradients, a localized bottom heat source enhances downward DWF flu es, exhibiting an optimal value at $T_{spot}^{opt} = 28^{\circ}$ C for $T_{cold} =$ 19.73°C (middle curve in Fig. 4b), and $T_{spot}^{opt} = 30^{\circ}$ C for $T_{cold} = 18.90^{\circ}$ C (lowermost curve in Fig. 4b). Both series of experiments point out that – in agreement with the qualitative reasoning – there exists a small value of localized heat flu for every given "equator-to-pole" temperature difference that is capable to maximize the flu rate of DWF.

4 Conclusions

We performed numerical experiments in a simplified twodimensional laboratory-scale setup in order to capture the basic effects of a localized bottom heat source on Deep Water Formation in a convective system driven basically by top heat flu es. As far as we know, this is the firs study in a similar arrangement. Previous studies, such as Mullarney et al. (2006) incorporated *uniform bottom heating* and pointed out strong perturbations in the convection, however they did not study an isolated heat source. We hope that our choice of parameters promotes laboratory-scale control experiments.

Numerical simulations performed in oceanic-scale threedimensional setups (Scott et al., 2001) demonstrated that a realistically small uniform bottom heat flu (~ 50mW m⁻²) can have a significan effect on DWF. As a consequence of the extremely weak stratificatio of abyssal ocean waters, even this flu can lead to an extra temperature difference of $\Delta T \sim 0.5^{\circ}$ C between the bottom and the surface (Scott et al., 2001). Our results support the idea that a localized hot spot with relatively weak extra heat flu is able to initiate DWF under such conditions when the surface heat forcing alone is not sufficien to maintain the deep-convection state. Therefore we believe that taking bottom heat sources into account in ocean models is clearly not an unrealistic idea.

Our results might imply that in the present climate, the equator-to-pole temperature difference is not high enough for

the onset of a *distributed* polar DWF. It might happen that the current temperature gradient is sufficien to maintain only *localized* DWF regions in the vicinity of larger than average geothermal heat sources, where the bottom heating can enhance downwelling. This conclusion might provide a new argument in understanding the sensitivity of AMOC to the climatic conditions that has been observed in paleoclimatic data (Thornalley et al., 2011), and might explain the lack of DWF regions in the Pacific

Appendix A

Heat fluxe

The incoming solar radiation plays an essential role in creating the meridional temperature and salinity differences that drive the oceanic overturning circulation. The annual average of the net irradiance received on a given surface area raises approximately from 50 W m^{-2} to 300 W m^{-2} , mainly depending on the latitude (Shapiro and Ritzwoller, 2004).

Compared to this, the geothermal heatfl w at the seafloo is roughly three orders of magnitude smaller, with an average value of $50 \,\mathrm{mW}\,\mathrm{m}^{-2}$. For the regions that exhibit elevated geothermal flu (e.g. the vicinity of hot spots, or mid-ocean ridges) this value can be as high as $120 \text{ mW} \text{ m}^{-2}$. The locations of our particular interest, where Deep Water Formation actually occurs, are such that - being in subpolar regions they exhibit relatively low average irradiance at the surface and higher-than-average geothermal heating at the seafloo. We compare these realistic heatfl w values to those that are present in our experimental setup. Since restoring boundary conditions (2) have been applied for the temperature in our study, once a quasi-equilibrium state is reached, the heatfl w at the boundaries (in $W m^{-2}$ units) can be approximated as follows, see e.g., (Frankcombe et al., 2009) or (Kämpf, 2009):

$$Q = -\frac{\rho_0 C_p \delta z}{\tau} \left(T^{\text{asympt}}(x, z) - T_{\text{relax}}(x, z) \right),$$
(A1)

where $\rho_0 = 1000 \text{ kg/m}^3$ is the reference density, $C_p = 4000 \text{ J kg}^{-1} \text{ K}^{-1}$ is the heat capacity, and $\tau = 2100 \text{ s}$ is the characteristic restoring timescale, as estimated by measuring the surface temperature response of an actual laboratory-scale experimental setup, after a jumpwise change in the surface heat forcing. This also sets the thermal diffusional lengthscale to $\delta z = \sqrt{\kappa \tau} \sim 0.01 \text{ m}$, as taken with the molecular thermal diffusivity $\kappa = 1.4 \cdot 10^{-7} \text{ m}^2 \text{ s}^{-1}$. $T_{\text{relax}}(x, z)$ denotes the prescribed values of restoring temperatures at the boundaries, and $T^{\text{asympt}}(x, z)$ stands for the actual quasistationary temperature value which the system reaches following a transient phase.

If no differential heating, diffusion or advection took place in the setup, the restoring boundary condition alone would drive the system towards an equilibrium state of $T \equiv T_{relax}$, i.e. Q = 0 (no-heatfl w state). However, because of the dynamics that is present here, the actual equilibrium state exhibits non-zero flu es at all boundaries. These can be evaluated using Eq. (A1), by detecting the values of $T^{\text{asympt}}(x,z)$ at grid locations in the vicinity of the different boundaries.

Substituting the values of the equilibrium temperatures of our setup, the surface heat fl w is found to be on the order of 100 W m⁻² for all the runs (the precise values, of course, depend on the horizontal position, and on the actual T_{cold} and T_{spot} boundary conditions for the given run). The magnitude of the bottom heat fl w, as averaged over the whole basin length, varied between 0.01 W m⁻² and 0.1 W m⁻². If averaged only over the vicinity of the "hot spot", the heat flu es raised from 0.01 W m⁻² to 10 W m⁻², depending on the value of the restoring temperature T_{spot} .

This implies, that – as for the heat flu es at the boundaries – the actual values of the real ocean lie within the range studied in our numerical experiments. For the better understanding of the basic dynamics though, we investigated a wider-than-realistic parameter range.

It is to be emphasized again, that this setup is far not a realistic ocean circulation model, rather it may be thought of as a "toy model" that is meant to drive the attention to a previously uncovered aspect of the dynamics in a densitydriven circulation. This effect (namely, the enhancement of downwelling by a weak localized bottom heat fl w) certainly exists, but to reveal the importance of its contribution to the actual oceanic Deep Water Formation would definitel require more advanced simulations.

Appendix B

Salinity effects on oceanic scale

In linear approximation, the density ρ of a water parcel is determined by its temperature *T* and salinity *S* as:

$$\rho(T, S) = \rho_0 [1 - \alpha (T - T_0) + \beta (S - S_0)],$$
(B1)

where ρ_0 , T_0 , and S_0 denote the reference values of density, temperature and salinity, respectively, α marks the thermal expansion coefficien and β represents the haline contraction coeffic ent. In our numerical setup the salinity term was neglected in order to reduce the investigated parameter space. In the case of the real ocean, however, the meridional gradient of surface salinity is an important driving force of the overturning circulation. This gradient arises because of the differential evaporation/precipitation over the basin. Intense evaporation increases the salinity of a water parcel at the Equatorial regions. Once this parcel reaches the subpolar Deep Water Formation region, this elevated salinity – together with cooling – helps to build up a vertical density instability, that eventually forces the parcel to descend. So, taking salinity differences into account would, in general, enhance Deep Water Formation.

On the other hand, a stable vertical salinity gradient above the hot spot could theoratically counteract the destabilizing effect of this abyssal heat source, and thus suppress downwelling. However, according to fiel data (van Aken, 2007), the typical oceanic salinity profile are such, that marked gradients are present only at the uppermost ~ 100 m thick mixing layer, while in the deep ocean the salinity is basically homogeneous, therefore the buoyancy differences in the vicinity of the seafloo are determined dominantly by the temperature field

This means, that adding realistic surface evaporationprecipitation differences and realistic density profile to the model, we would expect the observed phenomena to be enhanced, rather than suppressed. We note however, that the evaporation-precipitation dynamics are not expected to be successfully resolved by any real laboratory-scale experiment, as the timescale of the evaporation cannot be scaled down to be comparable to the characteristic timescale set by the thermally driven part of the circulation, as in the case of the real ocean.

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