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# Combined Economic and Emission Dispatch Incorporating Renewable Energy Sources and Plug-In Hybrid Electric Vehicles

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## Abstract

Conventional transportation and electricity industries are considered as two major sources of greenhouse gases (GHGs) emission. Improvement of vehicle's operational efficiency can be a partial solution but it is necessary to employ Plug-In Hybrid Electric Vehicles (PHEVs) and Renewable Energy Sources (RESs) in the network to slow the increasing rate of the GHGs emission. However, it is crucial to investigate the effectiveness of each solution. In this paper, a combination of generation cost and GHGs emission of the two mentioned industries, as economic and environmental aspects of using PHEVs and RESs will be analyzed. The effectiveness of five different scenarios of utilizing the mentioned elements is studied on a test system. To have a realistic evaluation, an extended cost function model of wind farm is employed in optimal power dispatch calculations. Particle Swarm Optimization (PSO) algorithm is applied to the combined economic and emission dispatch (CEED) non-linear problem.

## Keywords

*Economic Dispatch; Emission; Plug-In Hybrid Electric Vehicles (PHEVs); Particle Swarm Optimization (PSO); Smart Grid; Weibull; Wind Farm*

## Introduction

In recent years, power industry has faced many economic and environmental issues. Increasing rate of fossil fuels' cost and environmental laws such as The Kyoto Protocol to the United Nations Framework Convention on Climate Change (UNFCCC) and Low Carbon Transition Plan in July 2009, whereby must achieve 80 percent all carbon emissions reduction target by 2050 (DECC), forced governments to go towards wide incorporating of renewable energy

Sources. The concern about the depletion of fossil fuels along with their negative environmental impact are the most critical issues in the field of energy that encouraged many researchers for development of new

techniques for control and link integration of the power system (Farhadi, M., et al, 2014). Furthermore, the deployment of next-generation plug-in vehicles on the roads, which include plug-in hybrid electric vehicles (PHEVs) and EVs with vehicle to grid (V2G) capability, both called Gridable Vehicle (GV) in this paper, seems to be an appropriate solution to our problem. GVs are rapidly developing and penetrating to the fleet transportation. Since 2008, more than 116,000 highway-capable plug-in electric cars have been sold in the United States through June 2013 (Voelcker, 2013). In 2011, based on the U.S. Department of Energy's (DoE) forecasts, President Barack Obama set the goal for the U.S. to become the first country to have 1 million electric vehicles on the road by 2015 (Mitlitski, 2012). Subsequently, the U.S government has invested a lot of money on this section to accomplish the aims. For example, it has pledged US\$2.4 billion in federal grants to support the development of next-generation electric cars and batteries, and US\$115 million for the installation of electric vehicle charging infrastructure (Mitlitski, 2012).

Effects of GVs from different aspects are studied in many literatures. In (Masoum et al, 2013; Amini et al, 2013), new smart load management (SLM) approach for the coordination of multiple GV chargers in distribution feeders is proposed. In a report of the National Renewable Energy Laboratory (NREL), emission's variation is only considered in several scenarios for charging. It has been represented that using PHEVs will lead to significant reduction in CO<sub>2</sub> emission (Parks et al, 2007). Some studies modeled the effect of electricity price and charging mode on electric vehicles' customer behaviour (Amini, M.H. et al, 2012). These vehicles have charge/discharge capability that can influence load profile. In (Kempton and Tomic, 2005), (Kempton and Tomic, 2005), authors surveyed

the advantage of V2G capability for PHEVs as a reserve to help load shaving and regulation. In (Hadley and Tsvetkova, 2008), effect of GVs on electrical load curve grid, generation capacity and cost has been analyzed. In (Meliopoulos et al, 2009), two studies are presented quantifying the impact of GVs on the power grid. The first study quantifies this impact in terms of (a) primary fuel utilization shifts, (b) pollution shifts, and (c) total cost for consumers. In the second study, the impact on distribution transformers is quantified through a loss of-life (LOL) calculation that is based on the transformers hot-spot temperature. In (Hutson et al, 2008), an intelligent method has been proposed for scheduling the use of available energy storage capacity from GVs.

This paper presents the best framework of utilizing GVs to reduce the GHGs emission and generation cost. Actually, it is indicated that employing GVs in an inappropriate outline without providing the necessary infrastructure may increase the total emission due to the increased load grid caused by connecting GVs and as a result, consuming more fossil fuels by thermal power plants to supply increased demand. This increase in emission of power plants could be higher than corresponding decrease by fleet transportation, thus, the net emission changing will be positive i.e. emission will increase. Economically, engaging GVs in an unsuitable scenario can impose incremental costs due to the increased load demand. New power plants will be needed to supply the peak load if it is greatly increased which may be very costly. In this paper, smart grid framework has been proposed as the most suitable way to incorporate GVs because it makes a better use of RESs that can help to solve the problem since renewable resources use any fossil fuels that make them cheaper and cleaner than traditional types. It is shown that implementation of RESs (wind and solar) will lead to reduce production cost and emission. In order to obtain precise and more realistic results, a new model of Wind Farms (WFs) is used for optimal power dispatch among units. Since the proposed cost function of WF in this paper is nonlinear and cannot be solved by analytical methods, evolutionary algorithms must be applied to solve the problem. Prior research using the genetic-algorithm (GA) and simulated annealing (SA) techniques has provided effective solutions for multi-objective optimization problem (Moghadasi, A.H. et al, 2011 and Heydari, H., 2011). In this paper, the particle swarm optimization (PSO) algorithm (Kennedy and Eberhart, 1995) is utilized due to its high ability in finding best result.

The rest of this paper is organized as follows. Section II describes problem formulations. To avoid redundant repetition, concepts related to the economic dispatch (ED) and PSO which are known and mentioned in many papers, are briefly explained in this section. In section III, PSO is applied to the ten units system in different scenarios to investigate the influence of GVs and RESs on cost and emission. Finally, the conclusion is given in section IV.

## Problem Formulation

In this section, ED, WF cost function and PSO formulations are expressed in brief.

### *Combined Economic Emission Dispatch (CEED)*

In this study, the objective function is composed of two terms, generation cost and emission, as given in (1). For a specified power plant, both cost and emission can be expressed as a polynomial function separately. The order of these functions depends on the intended accuracy. In this paper, a quadratic function is considered for cost and emission function as described in (2) and (3), respectively. This problem handles power balance equation (4) and power generation limits (5) are considered as physical and operating constraints (Venkatesh et al, 2003), (Liu, 2011).

$$OF = \omega_1 TC + \omega_2 TE \quad (1)$$

$$TC = \sum_{i=1}^n a_i + b_i P_i + c_i P_i^2 \quad (2)$$

$$TE = \sum_{i=1}^n \alpha_i P_i^2 + \beta_i P_i + \gamma_i \quad (3)$$

$$D = \sum_{i=1}^n P_i \quad (4)$$

$$P_{i\min} \leq P_i \leq P_{i\max} \quad (5)$$

where OF, TC and TE are objective function, total cost and total emission, respectively.  $\omega_1$  and  $\omega_2$  are weight factors.  $a_i$ ,  $b_i$  and  $c_i$  are the positive fuel cost coefficients of unit  $i$ .  $\alpha_i$ ,  $\beta_i$  and  $\gamma_i$  are GHGs emission coefficients for unit  $i$ .

### *Wind Farm (WF) Cost Function*

In some literatures, WF is modeled as a negative load without any cost (Yong et al, 2007), (Farhat and El-Hawary, 2010) but it is not compatible with reality due to the uncertain nature of wind and output of the WF. Underestimation and overestimation of the available wind energy which may happen as a result of WF's bad modeling can impose additional costs to private

company owner that participate in electricity market and sell their power to customer by means of Independent System Operator (ISO). For this reason, it is necessary to model WF more detailed and accurate. In this study, authors use a new cost function in ED formulation. In this model, three cost functions form the main wind cost function that described in (6) (Jadhav and Roy, 2013).

$$C = \sum_{i=1}^N C_{w,i}(w_i) + \sum_{i=1}^N C_{p,w,i}(W_{i,av} - w_i) + \sum_{i=1}^N C_{r,w,i}(w_i - W_{i,av}) \quad (6)$$

where  $N$ ,  $w_i$ ,  $W_{i,av}$  and  $w_{r,i}$  are number of wind farms, scheduled wind power from the  $i$ th WF, available wind power from the  $i$ th WF and rated wind power from the  $i$ th WF, respectively.

In (6), the first term is the cost that system operator must pay to the WF's owner against generated power. This cost function is modeled linearly as indicated in (7).

$$C_{w,i}(w_i) = d_i w_i \quad (7)$$

where  $d_i$  is the direct cost coefficient for  $i$ th wind farm. In (Jadhav and Roy, 2013), it has been shown that the total cost of wind generation is around 57% of that for thermal one. Consequently, this cost coefficient can be chosen accordingly in optimal dispatch formulation.

Second and third part of (6) is related to the uncertainty nature of wind power output given in (8) and (9). (8) is considered as a penalty cost function for not using all the available wind power which assumed to be linearly related to the difference between the  $W_{i,av}$  and  $w_i$ . The reserve requirement cost is due to being  $W_{i,av}$  less than the  $w_i$ . It is similar to penalty cost. If the WF is not owned by the system operator, the direct cost coefficient and penalty cost may be zero. The power Probability Density Function (PDF) of the wind energy conversion system (WECS) output power that indicated by  $f_w(w)$  in (10) is obtained by well-known two-parameter Weibull function dependent on wind speed and probability theory for random variables. More detailed information about the formulation of wind cost function is given in (Juliana and Sauer, 2013). Estimating methods of Weibull shape and scale factors ( $k$  &  $c$ ) using the available wind speed data are given in (Seguro and Lambert, 2000).

The PDF of Weibull function are illustrated in Fig.1 for different  $k$  and  $c$  parameters.

$$C_{p,w,i}(W_{i,av} - w_i) = k_{p,i}(W_{i,av} - w_i) = k_{p,i} \int_{w_i}^{w_{r,i}} (w - w_i) f_w(w) dw + k_{p,i} w_i \left\{ \exp\left(-\left(\frac{v_r}{c}\right)^k\right) - \exp\left(-\left(\frac{v_o}{c}\right)^k\right) \right\} \quad (8)$$

$$C_{r,w,i}(w_i - W_{i,av}) = k_{r,i}(w_i - W_{i,av}) = k_{r,i} \int_0^{w_i} (w_i - w) f_w(w) dw + k_{r,i} w_i \left\{ 1 - \exp\left(-\left(\frac{v_i}{c}\right)^k\right) + \exp\left(-\left(\frac{v_o}{c}\right)^k\right) \right\} \quad (9)$$

$$f_w(w) = \frac{klv_i}{w_r c} \left(\frac{(1 + \rho l)v_i}{c}\right)^{(k-1)} \times \exp\left(-\left(\frac{(1 + \rho l)v_i}{c}\right)^k\right) \quad (10)$$

where  $k_{p,i}$  and  $k_{r,i}$  are penalty and reserve cost coefficients due to the underestimation and overestimation of wind power for  $i$ th WF in \$/MW respectively.  $v$ ,  $\rho = w/w_i$  and  $l = (v_r - v_i)/v_i$  are wind speed, ratio of wind power output to rated wind power and ratio of linear range of wind speed to cut-in wind speed respectively.

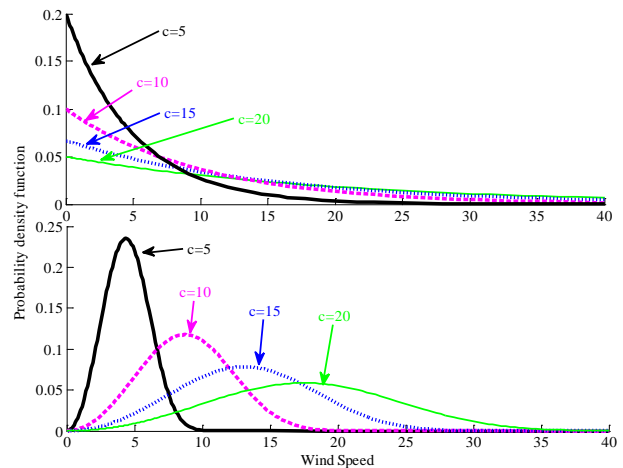


FIG. 1 PDF OF WEIBULL FUNCTION FOR DIFFERENT K AND C PARAMETERS

### Case Study

To investigate the effectiveness of the proposed model, a ten-unit system with 50,000 GVs is simulated using MATLAB 2011a software. The number of vehicles for this system has been calculated based on an approximate method which offered in (Roe and Meisel, 2008). The capacity of solar and WFs is considered 40 MW and 30 MW respectively. Calculations are executed on a 3.2 GHz, Core i5 processor with 4 GB RAM. The generators parameters and load data are given in table 2 (Ting et al, 2006).

Solar insolation and wind speed data are obtained from NREL. The WF parameters are given in Table 2. Other parameters' values used in this paper are as

TABLE 1 GENERATOR SYSTEM OPERATOR DATA

	Unit 1	Unit 2	Unit 3	Unit 4	Unit 5	Unit 6	Unit 7	Unit 8	Unit 9	Unit 10
Pmax (MW)	455	455	130	130	162	80	85	55	55	55
Pmin (MW)	150	150	20	20	25	20	25	10	10	10
a(\$/h)	1000	970	700	680	450	370	480	660	665	670
b(\$/MWh)	16.19	17.26	16.6	16.5	19.7	22.26	27.74	25.92	27.27	27.79
c(\$/MWh <sup>2</sup> )	0.00048	0.00031	0.002	0.00211	0.00398	0.00712	0.0079	0.00413	0.00222	0.00173
$\alpha$ (ton/h)	10.33908	10.33908	30.0391	30.0391	32.00006	32.00006	33.00056	33.00056	35.00056	36.00012
$\beta$ (ton/MWh)	-0.24444	-0.24444	-0.4069	-0.4069	-0.38132	-0.38132	-0.39023	-0.39023	-0.39524	-0.39864
$\gamma$ (ton/MWh <sup>2</sup> )	0.00312	0.00312	0.00509	0.00509	0.00344	0.00344	0.00465	0.00465	0.00465	0.0047

TABLE 2 PARAMETER OF WF

$W_r$ (MW)	$V_{in}$ (m/s)	$V_r$ (m/s)	$V_{out}$ (m/s)	$d$ (\$/MW)	$k_p$ (\$/MW)	$k_r$ (\$/MW)
30	5	15	45	7	6	10

follows: charging–discharging frequency=1 per day; scheduling period=24 hrs; for PSO, swarm size=50, iterations=1000, and accelerating parameters are  $C_1=1.5$ ,  $C_2=2$  and finally Range=0.5. The average distance driven by each GV in a year and its needed energy are assumed 12000 mile and 8.22 KWh per day respectively. According to the average GV’s emission of 445gram/mile, it is concluded that GHGs emission produced by a GV will be 5340000 gram per year. Therefore; the total emission from 50,000 GVs will be 267,000ton per year (Seguro and Lambert, 2000 ), (UEPA).

In this paper, to show the effect of GVs and renewable energy sources on the electricity and transportation industries, five scenarios are considered: 1) without GVs and renewable energy sources, 2) with GVs considering load leveling, 3) with GVs and WF, 4) with GVs and solar farm 5) with GVs and renewable sources (solar and wind simultaneously). Last three scenarios are referred to as “smart grid model” by the authors.

**Without GVs and Renewable Energy Sources**

First, PSO is applied to the ten-unit system without considering GVs and RESs for 24 hours to find optimal power dispatch according to the CEED objective function.

**With GVs Considering Load Levelling**

In this case, GVs are just charged through conventi-

onal generation units using load-leveling optimization and don’t have a bidirectional power flow with the grid. The purpose of GVs in this scenario is to increase the load level at off-peak hours in order to make the load curve flatter. The load profile is shown in Fig.2, before and after load leveling. cost and emission are calculated considering the load demand from 50,000 GVs and leveling the extra load. The obtained results for this scenario is given in Table 3.

By comparing scenario1 and 2, it can be inferred that daily emission is increased for 763.32 ton (21685.7 – 20922.38 ton) with considering load leveling. This extra emission (763.32 ton) which generated by power plants is to supply energy demand of 50,000 GVs during 24 hrs. Thus, the extra emission is 278611.8 tons (763.32 ton × 365) per year in addition to 267,000 tons from the transportation sector. Moreover, decreasing system efficiency and increasing losses caused by added load will result into additional emission term that must be added to this value. Therefore, as it was shown, load leveling by GVs will not lead to GHGs emission reduction, and even will increase it.

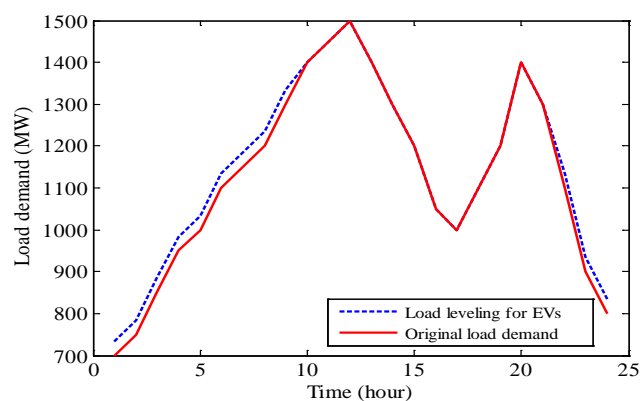


FIG. 2 EFFECT OF EVS ON THE LOAD PROFILE

TABLE 3 EMISSION AND COST FROM TEN-UNIT SYSTEM WITH GVS CONSIDERING LOAD LEVELING

Time	Demand (MW)	P1 (MW)	P2 (MW)	P3 (MW)	P4 (MW)	P5 (MW)	P6 (MW)	P7 (MW)	P8 (MW)	P9 (MW)	P10 (MW)	Emission (ton)	Fuel Cost (\$)
1	734.3	429.10	150.03	20.01	35.17	25	20	25	10	10	10	739.84	19644.81
2	784.3	226.42	175.784	129.89	129.97	47.235	20	25	10	10	10	472.03	20645.54
3	884.3	264.218	212.837	130	130	72.245	20	25	10	10	10	557.39	22417.37
4	984.3	299.002	251.39	130	130	98.907	20	25	10	10	10	661.96	24204.31
5	1034.3	318.595	268.744	130	130	111.95	20.01	25	10	10	10	723.33	25097.78
6	1134.3	350.418	301.232	130	130	132.52	35.13	25	10	10	10	838.37	26957.43
7	1184.3	365.591	315.418	130	130	143.4	44.89	25	10	10	10	897.52	27904.99
8	1234.3	380.446	330.843	130	130	154.52	53.49	25	10	10	10	962.59	28849.83
9	1334.3	416.972	367.737	130	130	162	72.59	25	10	10	10	1122.22	30699.04
10	1400	444.568	398.432	130	130	162	80	25	10	10	10	1256.59	31867.30
11	1450	455	438	130	130	162	80	25	10	10	10	1376.90	32733.90
12	1500	455	455	130	130	162	80	25	43	10	10	1415.37	33894.61
13	1400	445.265	397.735	130	130	162	80	25	10	10	10	1256.79	31866.67
14	1300	403.184	354.54	130	130	162	65.28	25	10	10	10	1063.11	30069.65
15	1200	370.194	321.896	130	130	146.17	46.74	25	10	10	10	919.84	28194.34
16	1050	324.473	274.856	130	130	114.72	20.95	25	10	10	10	743.44	25379.56
17	1000	306.42	256.015	130	130	102.56	20	25	10	10	10	681.49	24482.10
18	1100	338.867	290.955	130	130	125.75	29.43	25	10	10	10	797.35	26317.45
19	1200	370.429	322.046	130	130	146.69	45.83	25	10	10	10	920.98	28190.95
20	1400	444.962	398.038	130	130	162	80	25	10	10	10	1256.70	31866.95
21	1300	402.322	355.237	130	130	162	65.44	25	10	10	10	1062.54	30071.37
22	1134.3	351.649	301.742	130	130	132.05	33.86	25	10	10	10	841.53	26948.00
23	934.3	281.202	232.432	130	130	85.665	20	25	10	10	10	606.75	23310.52
24	834.3	245.335	193.225	130	130	60.74	20	25	10	10	10	511.06	21533.32
<b>Total</b>												<b>21685.70</b>	<b>653147.79</b>

**Smart Grid Model**

The effect of RESs on production cost and emission through three different scenarios is investigated in this section.

**1) With GVs and WF**

In this scenario, WF is added to conventional units and GVs are charged as loads and discharged into the grid as sources. PSO successfully employed to analyze the effect of WF on cost and emission. The total production cost and emission will be 638933.23 \$ and 20197.48 tons. Based on the obtained results, the emission and generation cost has been reduced because of utilizing clean and cheap energy of wind to supply a part of grid’s demand.

**2) With GVs and Solar Farm**

The WF is replaced with a solar farm in this case. The total production cost and emission will be 641026 \$ and 20255.7 tons. The solar energy is not available in all day long (only available from 7 AM to 4 PM) while WF can generate energy in 24hrs. For this reason, although the rated power of solar

PV is greater than that of wind power, the total generated energy by PV plant is less than wind type and consequently, production cost and emission in this scenario will be higher than wind scenario.

**3) With GVs and Renewable Sources (Solar and Wind Simultaneously)**

Finally, results from a smart grid model with wind, solar and GVs are shown in Table II, where GVs operate as loads and sources. Moreover, uncertainties of wind and solar sources, load and variable exchanged power between GVs and grid are considered.

According to Table 4, GVs are charged from the grid at off-peak load during the 1st–7th, 16th–18th, and 22nd–24th hours. On the other hand, GVs are discharged into the grid at peak load during the 8th–15th and 19th–21st hours.

Fig. 3 and Fig. 4 illustrate the comparison of the proposed scenarios with respect to emission and cost respectively. As it is demonstrated in these figures, fifth scenario is preferable because of less GHGs emission and cost caused by supplying a part of the grid’s demand by RESs.



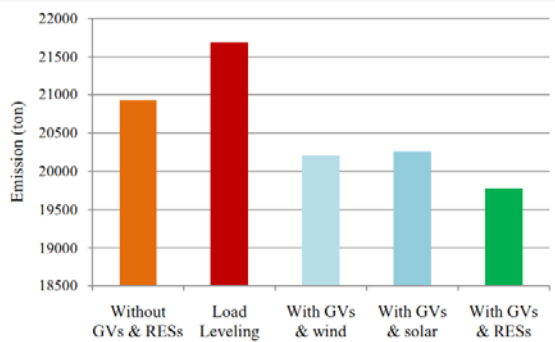


FIG. 3 COMPARISON OF THE PROPOSED SCENARIOS IN EMISSION

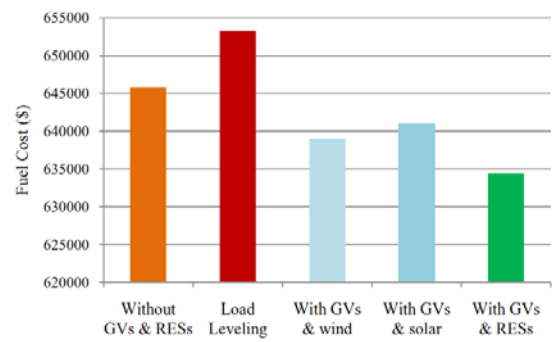


FIG. 4 COMPARISON OF THE PROPOSED SCENARIOS IN FUEL COST

TABLE 4. DISPATCH OF CONVENTIONAL UNITS AND RESS CONSIDERING GVS AS LOADS AND SOURCES IN SMART GRID

Time	Demand (MW)	V2G/G2V (MW)	P1 (MW)	P2 (MW)	P3 (MW)	P4 (MW)	P5 (MW)	P6 (MW)	P7 (MW)	P8 (MW)	P9 (MW)	P10 (MW)	Wind (MW)	Emission (ton)	Fuel Cost (\$)
1	700	-22.96	204.58	151.08	120.16	121.78	33.72	20	25	10	10	10	16.64	414.65	19282.07
2	750	-19.09	221.26	166.00	120.52	122.55	38.94	20	25	10	10	10	24.78	444.08	19939.14
3	850	-15.66	244.51	199.29	130	129.91	63.30	20	25	10	10	10	23.64	515.99	21675.62
4	950	-22.16	285.52	240.58	130	130	92.33	20	25	10	10	10	18.73	624.90	23659.49
5	1000	-25.15	313.34	269.45	129.85	130	104.83	20.57	25	10	10	10	2.09	712.41	24887.49
6	1100	-17.52	341.99	294.55	130	129.97	126.58	31.79	25	10	10	10	7.65	808.87	26501.79
7	1150	-14.08	351.83	306.05	130	130	137.66	40.67	25	10	10	10	12.78	851.20	27297.92
8	1200	28.32	350.13	299.90	129.99	130	131.29	37.08	25	10	10	10	20.82	834.71	26948.45
9	1300	31.07	374.92	336.72	130	130	148.62	55.85	25	10	10	10	6.36	957.79	28792.47
10	1400	23.77	418.26	367.09	130	129.99	161.49	67.56	25	10	10	10	10.79	1123.04	30582.40
11	1450	20.56	432.49	387.03	130	130	161.81	77.18	25	10	10	10	17.85	1200.75	31397.74
12	1500	73.1	431.37	382.07	129.99	130	162	77.99	25	10	10	10	22.54	1187.56	31314.87
13	1400	15.03	410.34	361.83	130	129.99	162	69.00	25	10	10	10	30	1094.33	30402.77
14	1300	16.76	375.41	332.80	130	130	151.27	51.14	25	10	10	10	26.03	953.38	28678.77
15	1200	15.08	357.30	305.26	130	130	133.65	38.36	25	10	10	10	25.64	858.71	27238.73
16	1050	-21.43	323.46	264.91	130	130	110.32	21.81	25	10	10	10	22.99	725.39	25118.82
17	1000	-37.33	321.31	257.26	129.97	130	104.66	20	25	10	10	10	19.13	709.37	24791.87
18	1100	-16.27	334.42	295.20	130	129.98	124.66	30.32	25	10	10	10	16.66	795.11	26316.33
19	1200	19.34	358.34	307.34	130	130	137.92	44.30	25	10	10	10	17.70	866.00	27517.92
20	1400	50.73	418.79	366.76	130	130	161.99	70.56	25	10	10	10	16.16	1124.30	30664.93
21	1300	24.98	393.85	346.47	130	130	161.46	58.23	25	10	10	10	0.01	1025.80	29599.54
22	1100	-15.59	342.39	291.07	130	130	128.57	34.98	25	10	10	10	3.58	804.66	26562.22
23	900	-35.22	282.02	232.78	130	130	82.47	20.01	25	10	10	10	2.93	607.77	23265.48
24	800	-56.28	255.55	199.71	129.99	130	66.02	20	25	10	10	10	0	531.17	21920.61
<b>Total</b>														<b>19771.93</b>	<b>634357.42</b>

Conclusion

In this paper, influence of GVs and RESs on production cost and emission of electricity and transportation industries is investigated comprehensively. A combined economic emission objective function is used for optimal power dispatch among network units. Obtained results by PSO algorithm prove that using GVs without any sustainable energy resources may increase net emission of both industries. However, this increase was just calculated in load leveling framework while

connecting GVs to the grid at off-peak hours for charging will even result into more increase than before. Moreover, as it was anticipated, using RESs in power system reduces cost and emission. In this regard, wind energy has more ability for this purpose due to its more availability in same rated power plant.

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