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REVIEW

# Intracranial Atherosclerotic Stenoses: Pathophysiology, Epidemiology, Risk Factors and Current Therapy Options

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## ABSTRACT

Intracranial atherosclerotic stenoses (ICAS) are one of the most common causes of first and recurrent cerebrovascular ischaemic events worldwide, with highest prevalence in Asian, Hispanic and African populations. Clinical trials have improved the understanding of epidemiology, risk factors and imaging characteristics of

patients with ICAS. Current therapeutic approaches concerning these patients include management of risk factors, best medical therapy, potentially endovascular and rarely surgical therapy. In our review, we elucidate the current epidemiology and evidence in evaluation of risk factors and therapeutic options for providing favourable outcome for patients with ICAS.

## PLAIN LANGUAGE SUMMARY

Calcified vessels in the brain are one of the most common causes of first or recurrent ischaemic stroke or transient ischaemic attack worldwide, with highest occurrence in Asian, Hispanic and African populations. Clinical trials have improved the understanding of this particular disease. Current therapy includes management of risk factors, best medical therapy, potentially therapy with a wire and rarely surgical therapy. In our review, we elucidate current knowledge and recommendations.

**Keywords:** Intracranial atherosclerotic stenosis; Best medical therapy; Endovascular therapy; Surgical therapy

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### Key Summary Points

Intracranial atherosclerotic stenoses are one of the most common causes of first and recurrent cerebrovascular ischaemic events worldwide

Artery-to-artery embolism, obstruction of small penetrating arteries and impaired washout of emboli in hypoperfused cerebral tissue with/without poor collaterals are the most important cerebrovascular event mechanisms in these patients

Current therapeutic approaches in these patients include management of risk factors, best medical therapy, potentially endovascular and rarely surgical therapy

Novel, large-scale and high-power randomised clinical trials investigating efficacy and safety of best medical therapy including modern treatment such as proprotein convertase subtilisin/kexin type 9 (PSCK9) inhibitors and endovascular therapy are urgently needed in these patients

Careful patient selection for endovascular therapy, related to the underlying pathophysiological mechanism of cerebrovascular ischaemic events, as well as improved endovascular devices could result in future better outcome

## INTRODUCTION

Intracranial atherosclerotic stenoses (ICAS) are one of the most common causes of cerebrovascular ischaemic events worldwide. ICAS account for around half of transient ischaemic attacks (TIAs) and ischaemic strokes in Asian populations, for up to one-third in Black populations,

15% in Hispanic populations and 5–10% in Caucasian populations [1–4]. The annual risk of recurrent cerebrovascular ischaemic events in patients with ICAS varies from 4% to 40% according to different studies [5–9]. Risk factors for ICAS traditionally include arterial hypertension, diabetes mellitus, dyslipidaemia, overweight, physical inactivity and smoking. Age and ethnicity also seem to play an important role [2, 10].

Despite considerable rates of patients with ICAS, there are still controversies as to what extent best medical therapy improves outcome and decreases rates of recurrent cerebrovascular ischaemic events, disability and mortality and which patients might benefit from endovascular or from surgical therapy. Of note, ICAS is a heterogeneous disease, causing cerebrovascular ischaemic events by several pathophysiological mechanisms [11].

We aimed to present a comprehensive overview on pathophysiology, epidemiology, risk factors and current therapy options of patients with ICAS by performing a systemic review of current available data.

## METHOD

### Eligibility Criteria

Eligible studies included all age and ethnic patient groups with demographic, clinical, laboratory and imaging information on patients with asymptomatic or symptomatic ICAS. We excluded studies on patients with non-atherosclerotic intracranial stenoses and patients with extracranial atherosclerotic stenoses (ECAS) only, as well as case reports and case series with less than five patients.

### Information Sources

Data for this review was identified via a search on MEDLINE, PubMed and Scopus. Relevant articles were determined using the search terms “ICAS”, OR “intracranial atherosclerotic

stenosis" OR "intracranial stenosis" AND/OR "ischaemic stroke" OR "TIA" OR "transient ischaemic attack". Articles published in English and German between 1 January 1985 and 31 December 2019 were included.

### Study Selection

Citations were assessed against the predetermined inclusion and exclusion criteria by MPK and MRH. Full-text manuscripts were obtained for all studies entering the review. Any uncertainties about including a specific manuscript were resolved by consensus. Reasons for exclusion of publications were mainly lack of specific data e.g. on precise location of atherosclerosis. Finally, several randomised, observational, non-randomised controlled trials regarding patients with ICAS met the inclusion criteria and are elucidated in this review.

### Compliance with Ethics Guidelines

This article is based on previously conducted studies and does not contain any studies performed by any of the authors with human participants or animals.

## DIAGNOSTIC EVALUATION

Diagnostic evaluation of ICAS include transcranial Doppler (TCD) and colour-coded duplex (TCCD) sonography, magnetic resonance angiography (MRA), computed tomography angiography (CTA) and digital subtraction angiography (DSA). Although TCD, TCCD, MRA and CTA are non-invasive, safer and easier accessible modalities, DSA is still considered to be the gold standard technique for assessing ICAS [12].

Since neuroimaging techniques have become more and more available and advanced, several studies related to ICAS have been published within recent years. However, there are still far more studies published in the field of ECAS [13–15].

## PATHOPHYSIOLOGY OF CEREBROVASCULAR ISCHAEMIC EVENTS RELATED TO INTRACRANIAL ATHEROSCLEROTIC STENOSIS

Modern neuroimaging techniques such as diffusion-weighted MR imaging (MRI), perfusion-weighted CT/MRI, MRA, CTA and high-resolution vessel wall MRI have provided new insights into ICAS and into their mechanisms causing cerebrovascular ischaemic events.

An autopsy study ( $n = 339$  brains with ischaemic brain infarcts,  $n = 80$  controls with intracerebral haemorrhages) showed ischaemic stroke to be associated with greater than 30% ICAS in 27% vs. less than 30% ICAS in 15.5% ( $p < 0.05$ ). In those where the stenotic plaques were considered to be the cause of ischaemic stroke ( $n = 15/259$ , 5.8%), because of superimposed clot or ulcerated plaques, higher-grade ICAS was more prevalent (73% vs. 27%;  $p < 0.0001$ , in greater than 75% vs. 30–75% ICAS) [16]. Also, other studies have shown a correlation of higher-grade ICAS and cerebrovascular ischaemic events [7, 17, 18].

Artery-to-artery embolism, obstruction of small penetrating arteries and impaired wash-out of emboli in hypoperfused cerebral tissue with/without poor collaterals are the most important cerebrovascular event mechanisms in patients with ICAS. Rarer causes are hypoperfusion with/without poor collaterals as such or local vessel occlusion. Recent advances in neuroimaging technologies allow one to determine the underlying pathophysiological mechanism.

Artery-to-artery embolism is caused by a thrombus carried to the distal territory by rupture of the atherosclerotic plaque causing ICAS with/without an additional thrombus. Turbulence of blood flow caused by the vessel narrowing, as well as fast velocity increase and shear stress on the endothelium encourage fissuring of the plaque. The latter may rupture and may lead to activation of platelets and clotting factors. In the case of an ischaemic stroke caused by this mechanism, typically, multiple,

scattered, small infarcts are seen on diffusion-weighted MRI (DWI) [19].

Obstruction of small penetrating arteries (Fig. 1) is caused by atherosclerotic plaques protruding into the orifice of perforators and thus impairing blood flow. If an ischaemic stroke is caused by this mechanism, typically, deep subcortical infarcts are seen on DWI [20].

Intracranial atherosclerotic plaques can be identified by high-resolution (HR) vessel wall MRI and by intravascular sonography, which allow visualization not only of the vessel lumen but also of the vessel wall. Similar to more accessible extracranial plaques, intracranial plaques with thin fibrous caps, large lipid cores, high macrophage content, high microvessel density and intraplaque haemorrhage are considered to be unstable and rupture prone due to the increased risk of plaque destabilization [21–30].

Hypoperfusion with/without poor collaterals may be present in high-grade ICAS. Its typical infarction shows a border zone location and pattern [31].

In situ obstruction may possibly show moderate to even large infarcts, especially if the intracranial internal carotid artery, proximal middle cerebral artery or vertebrobasilar arteries are involved leading to an acute large vessel occlusion. However, compared to patients with cardiac embolism, infarct size is smaller in many patients with ICAS because of the well-developed collateral circulation due to the chronic nature of the disease [19].

Of note, a combination of more than one mechanism is also possible [32–34]. For example, impaired washout of emboli may occur in

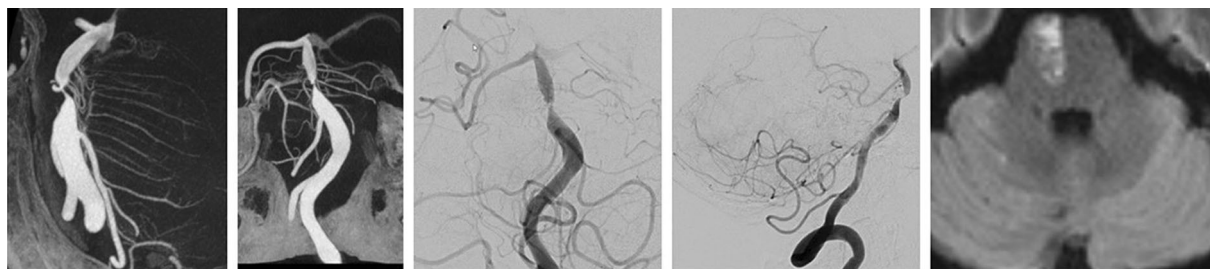
the case of embolism and hypoperfusion with/without poor collaterals combined [35].

In the WASID (Warfarin-Aspirin Symptomatic Intracranial Disease) trial (Table 1), not all 70–99% ICAS were haemodynamically relevant and not all 50–69% ICAS were haemodynamically irrelevant. Collaterals may be seen in present or regressed high-grade ICAS. Depending on the overall haemodynamic status, collaterals may develop in moderate ICAS as well. Furthermore, collaterals may indicate the presence of an emboligenic plaque, with past emboli having induced collateral flow. Also, competing antegrade vs. retrograde collateral flow may cause slower flow at the ICAS location rendering it more thrombogenic [17].

## ASYMPTOMATIC INTRACRANIAL ATHEROSCLEROTIC STENOSES

Few studies have specifically investigated the prevalence, risk factors and prognosis of asymptomatic ICAS, such as the AsIA (Barcelona Asymptomatic Intracranial Atherosclerosis) and the Rotterdam study (Table 1) [36, 37].

A post hoc analysis of data from the WASID trial showed coexisting asymptomatic ICAS in 18.9% ( $n = 14/74$ ) of patients who underwent DSA and in 27.3% ( $n = 65/238$ ) who underwent MRA. During follow-up, despite the high rate of coexisting symptomatic ICAS, the risk of recurrent ischaemic stroke due to asymptomatic ICAS was low and only 5 (5.9%, 95% CI 2.1–12.3%) ischaemic strokes occurred in the asymptomatic ICAS territory (risk at 1 year 3.5%, 95% CI 0.8–9.0%) [40].



**Fig. 1** High-resolution flat panel cone beam CT, DSA and MRI of a patient with high-grade ICAS of the basilar artery and ischaemic stroke predominantly of a perforator-type pattern

**Table 1** Most important studies investigating patients with ICAS

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
ASIA [37]	Population-based, prospective, crossover, observational study, 2007–2010	Spain, Europe	Asymptomatic participants aged $\geq 50$ years underwent TCCD. ICAS severity classified according to Baumgartner criteria. Participants with poor quality of TCCD underwent MRA  Primary endpoint: vascular events (stroke, acute coronary syndrome and/or vascular death)	7.17 years	$n = 933$ (mean age 66.3 years, 36% women)  5 participants with poor quality of TCCD underwent MRA, all with moderate to severe ICAS. The study identified a prevalence of asymptomatic ICAS of any degree of 8.6% (95% CI 6.8–10.4%) and of moderate to severe degree of 3.3% (95% CI 2.1–4.4%). Arterial hypertension (OR 1.78, 95% CI 1.02–3.13; $p = 0.04$ ) and diabetes mellitus were found to be independent risk factors for asymptomatic ICAS of any degree. After 7.17 years of follow-up, there were 51 incident cerebrovascular events (16 TIAs, 27 ischaemic, 8 haemorrhagic strokes), 63 coronary events and 23 vascular deaths. Asymptomatic ICAS was an independent predictor for any vascular event (HR 1.83, 95% CI 1.10–3.03; $p = 0.020$ ) and moderate to severe ICAS for ischaemic stroke (HR 2.66, 95% CI 1.02–6.94; $p = 0.046$ )
CASSISS [131]	Randomised, prospective, multicentre trial, 2014–2017	China	Patients with a recent ischaemic stroke or TIA caused by $\geq 70\%$ ICAS, verified by DSA, randomised 1:1 to best medical therapy with/without stenting using the Wingspan stent system. Best medical therapy included both aspirin 100 mg/day plus clopidogrel 75 mg/day for 90 days (aspirin 100 mg/day alone thereafter) and best medical therapy of risk factors. The latter consisted of normalising LDL-C by statins (goal LDL-C levels $< 2.6$ mmol/l), blood pressure ( $< 140/90$ mmHg), glucose (in diabetic patients checking of HbA1c at enrolment and during each clinical visit with a goal level of $< 6.5\%$ ), as well as of lifestyle modification (defined as intensive therapy of overweight, obesity, physical inactivity smoking)	$\geq 3$ years	Final results are expected in 2020
CHANCE subgroup analysis [108]	Randomised, double-blind, placebo-controlled study, 2009–2012	China, 114 centres	Patients with acute minor ischaemic stroke (NIHSS score $\leq 3$ ) or TIA within 24 h of symptom onset and benefit of short-term use of dual antiplatelet therapy including clopidogrel plus aspirin over aspirin alone. All participants received open-label aspirin at a clinician-determined dosage of 75–300 mg on day 1. All patients had MRA	90 days	$n = 1089$ , 481 patients with ICAS, mean age 66 years, 37.4% women, 231 received an initial dosage of 300 mg clopidogrel, followed by 75 mg/day for 90 days plus aspirin 75 mg/day for the first 21 days, 250 placebo plus aspirin 75 mg/day for 90 days

Table 1 continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
			Primary endpoint: risk of any stroke		Patients with ICAS had higher rates of recurrent ischaemic or haemorrhagic stroke (12.5% vs. 5.4%; $p < 0.0001$ ) than those without. There was no statistically significant treatment by presence of ICAS interaction on either the primary outcome of any stroke (HR for clopidogrel plus aspirin vs. aspirin alone: 0.79 (95% CI 0.47–1.32) vs. 1.12 (95% CI 0.56–2.25); interaction $p = 0.522$ ) or the safety outcome of any bleeding event (interaction $p = 0.2777$ )
CLAIR [106]	Randomised, open-label, blinded-endpoint study, 2003–2008	Hong Kong, Singapore, China, Thailand and Malaysia	Patients with an ischaemic stroke or TIA within 7 days and presence in the symptomatic area of subcranial ( $\geq 50\%$ ) ECAS or ICAS of the internal carotid or middle cerebral artery, confirmed by TCD or MRA, randomly assigned within 7 days of symptom onset to receive clopidogrel (300 mg for the first day, then 75 mg/day) plus aspirin (75–160 mg/day) or aspirin alone (75–160 mg/day) for 7 days	7 days	$n = 100$ , 46 (mean age of 59.2 years, 22% women) received clopidogrel plus aspirin, 52 (mean age of 56.4 years, 23% women) aspirin monotherapy, 93 had ICAS
			Primary endpoint: proportion of patients who had microembolic signals on day 2 in TCD		At day 2, 14 patients in the dual therapy group and 27 in the monotherapy group had $\geq 1$ microembolic signal on TCD (RRR 42.4%, 95% CI 4.6–65.2%; $p = 0.025$ ). Adverse events were similar in both groups. No patients had intracranial or severe systemic haemorrhage, but 2 patients in the dual therapy group had minor haemorrhages
EC-IC bypass trial [139]	Randomised, international trial, 1977–1982	USA, Japan, Europe	Patients with a recent ischaemic stroke, retinal infarction, TIA, attributable to symptomatic extracranial carotid occlusion, distal carotid occlusive disease or ICAS of the middle cerebral artery, randomly assigned to either best medical therapy (aspirin 1300 mg/day usually divided in four dosages) or to the same regimen with the addition of bypass surgery consisting of joining the superficial temporal artery and the middle cerebral artery	Average follow-up duration of 55.8 months	$n = 1377$ , 663 (mean age of 56 years, 19% women) were treated with EC-IC bypass surgery and best medical therapy, 714 (mean age of 56 years, 18% women) with best medical therapy alone

**Table 1** continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
GESICA [7]	Prospective, multicentre, non-randomised study, 1999–2003	Europe, 21 centres	<p>Primary endpoint: rate of stroke and stroke-related death among patients with symptomatic ICAS who underwent anastomosis of the superficial temporal artery to the middle cerebral artery</p> <p>Patients with a recent (&lt; 6 months) minor (non-disabling) ischaemic stroke or TIA, related to a <math>\geq 50\%</math> ICAS, identified either by DSA or TCCD. Medical therapy of risk factors and antithrombotic therapy were at the discretion of the local investigator. Patients were eligible for endovascular therapy after experiencing recurrent ischaemic stroke despite medical therapy</p>	<p>2 years (median follow-up period 23.4 months)</p>	<p>In a total of 149 patients with severe (<math>\geq 70\%</math>) carotid siphon ICAS, ischaemic stroke rates were similar for patients assigned to best medical therapy (36%) and additional EC-IC bypass surgery (38%). However, in 109 patients with <math>\geq 70\%</math> ICAS of the middle cerebral artery, ischaemic stroke frequency was significantly higher for patients who were treated surgically (44%) compared to patients treated with best medical therapy (24%). There was a bypass patency rate of 96%, perioperative (within 30 days of surgery) ischaemic stroke rate of 12.2% for cerebrovascular or retinal events, ranging from non-disabling to fatal strokes and a perioperative mortality rate of 1.1%</p> <p><math>n = 102</math> (mean age <math>63.3 \pm 10.4</math> years, 28.5% women)</p>
			<p>Primary endpoint: rate of recurrent ischaemic cerebrovascular events among patients with symptomatic ICAS</p>		<p>The most common risk factors were arterial hypertension (73.5%), history of smoking (70.5%) and hypercholesterolaemia (50%). After enrolment, 38.2% of patients were treated with a single antiplatelet, 31.4% with an antiplatelet and an anticoagulant, 15.7% with an anticoagulant and 14.7% with other antithrombotic combinations. 38.2% of patients experienced a recurrent ischaemic stroke (13.7%) or TIA (24.5%) in the territory of the stenotic intracranial artery. 1 patient had an ischaemic stroke and 1 a TIA in another vascular territory. 28 patients underwent an endovascular procedure with a neurologic periprocedural complication rate of 14.2%. Coronary artery disease and peripheral artery disease were present in more than 30% of patients at baseline. There were acute coronary/peripheral artery events in 18.6% of patients. The overall vascular death rate was 8.8%. Among 20 patients who underwent angioplasty there was 1 fatal haemorrhagic stroke, which occurred 2 months after the procedure</p>

Table 1 continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
IRIS [65]	Randomised, double-blind, clinical trial, 2005–2015	USA	Nondiabetic patients with an ischaemic stroke or TIA within 180 days and insulin resistance. Patients were randomly assigned to receive either pioglitazone (45 mg/day goal dosage) or placebo. Primary endpoint: fatal or nonfatal stroke or myocardial infarction	5 years (median follow-up of 4.8 years)	$n = 3876$ , mean age 63 years, 35% women  The primary outcome of stroke or myocardial infarction occurred in 175 of 1939 patients (9.0%) in the pioglitazone group, compared to 228 of 1937 (11.8%) in the placebo group (HR 0.76; $p = 0.007$ )  $n = 714$ (mean age 70.5 ± 14.0 years, 58% women)
The Northern Manhattan study [3]	Population-based, prospective, epidemiological study, 1993–1997	USA	Individuals aged ≥ 20 years, who had resided in the area for ≥ 3 months and suffered a first ischaemic stroke within the study time period  Primary endpoint: rates of first ischaemic stroke according to subtype for individuals living in one community	4 years	Arterial hypertension, diabetes mellitus, hypercholesterolaemia, heavy alcohol intake and current smoking were significantly more prevalent in Hispanic and Black populations than in the Caucasian population, whereas atrial fibrillation was significantly more prevalent among Caucasian than among Black and Hispanic populations  The annual age-adjusted incidence of first ischaemic stroke per 100,000 was 88 (95% CI 75–101) in Caucasian, 149 (95% CI 132–165) in Hispanic and 191 (95% CI 160–221) in Black populations. Among the Black compared with the Caucasian population, the relative rate of ICAS-related ischaemic stroke was 5.85 (95% CI 1.82–18.73), of ECAS-related stroke 3.18 (95% CI 1.42–7.13), of lacunar stroke 3.09 (95% CI 1.86–5.11) and of cardioembolic stroke 1.58 (95% CI 0.99–2.52). Among the Hispanic compared with the Caucasian population, the relative rate of ICAS-related stroke was 5.00 (95% CI 1.69–14.76), of ECAS-related stroke 1.71 (95% CI 0.80–3.63), of lacunar stroke 2.32 (95% CI 1.48–3.63) and of cardioembolic stroke 1.42 (95% CI 0.97–2.09)
PRASTRO-I [113]	Randomised, multicentre, double-blind study, 2011–2015	Japan	Patients aged 20–74 years with a non-cardioembolic ischaemic stroke, within 1–26 weeks before randomisation to either prasugrel (3.75 mg/day) or clopidogrel (75 mg/day) orally	96–104 weeks	$n = 3747$ (mean age 62.1 years, 21% women), 1885 received prasugrel, 1862 clopidogrel



**Table 1** continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
Rotterdam study [39]	Population-based cohort study, 2003–2006	Netherlands, Europe	<p>Primary endpoint: ischaemic stroke, myocardial infarction and death from other vascular causes</p> <p>Ischaemic stroke-free persons aged &gt; 55 years. Intracranial internal carotid artery calcification (ICAC) was measured with CTA, from the horizontal segment of the petrous to the top of the internal carotid artery. Scoring of calcification was done without previous knowledge about risk factors and semiautomatically</p> <p>Primary endpoint: investigation of prevalence and risk factors associated with ICAC</p>	6 years	<p>29% of enrolled patients had large artery atherosclerosis. 4% of patients in both groups reached the primary endpoint (RR 1.05, 95% CI 0.76–1.44). The incidence of haemorrhages was not significantly different between both groups; life-threatening haemorrhages were observed in 1% of both groups (RR 0.77, 95% CI 0.41–1.42)</p> <p>n = 2524, mean age 69.6 years</p>
SAMMPRIS [53]	Randomised clinical trial, 2008–2011	USA	<p>Patients with non-disabling ischaemic stroke or TIA caused by DSA-verified 70–99% ICAS of a major intracranial artery, randomised into either best medical therapy alone or percutaneous transluminal angioplasty and stenting (PTAS) plus best medical therapy. Best medical therapy consisted of aspirin 325 mg/day during the entire follow-up period, clopidogrel 75 mg/day for 90 days after enrolment, as well as of best risk factor therapy targeting SBP &lt; 140 mmHg (&lt; 130 mmHg in diabetic patients), LDL-cholesterol levels &lt; 1.8 mmol/l and healthy lifestyle [including smoking cessation: achieving body mass index (BMI) &lt; 25 kg/m<sup>2</sup> if the BMI at enrolment was 25–27 kg/m<sup>2</sup> or 10% weight loss if the BMI at enrolment was &gt; 27 kg/m<sup>2</sup>; and moderate intensity exercise at least 3 times per week for 30 min per session if patients were able to participate]</p>	32.4 months (median duration)	<p>82.2% of participants had ICAC. Age was independently associated with a larger ICAC volume and severity (<math>p &lt; 0.001</math>). Excessive alcohol intake (OR 1.74, 95% CI 1.28–2.37; <math>p &lt; 0.001</math>) and smoking (OR 1.72, 95% CI 1.10–2.70; <math>p = 0.017</math>) were associated with ICAC among men, whereas diabetes mellitus (OR 2.02, 95% CI 1.29–3.17; <math>p = 0.002</math>) and arterial hypertension (OR 1.79, 95% CI 1.20–2.68; <math>p = 0.004</math>) were associated with ICAC among women. Furthermore, in women, obesity was inversely associated with severe ICAC (OR 0.59, 95% CI 0.42–0.84; <math>p = 0.004</math>)</p> <p>n = 451, symptomatic <math>\leq 30</math> (median 7) days, 227 (mean age of 59.5 <math>\pm</math> 11.8, 36.1% women) received best medical therapy alone, 224 (mean age of 61.0 <math>\pm</math> 10.7, 43.3% women) PTAS plus best medical therapy</p>

**Table 1** continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
			Primary endpoint: any stroke or death within 30 days after enrolment or any stroke or death within 30 days of any revascularisation procedure of the qualifying symptomatic intracranial artery done during follow-up or an ischaemic stroke in the territory of the symptomatic ICAS from day 31 after study entry to completion of follow-up		The study was discontinued earlier than scheduled because of the lower 30-day rate of any stroke or death (13 patients, 5.8%) in the best medical therapy group (nonfatal stroke 5.3% and non-stroke-related death 0.4%, $p = 0.002$ ), compared to the PTAS group, whose 30-day rate of any stroke or death was 14.7% (33 patients, nonfatal stroke 12.5% and fatal stroke 2.2%). A total of 19 ischaemic strokes (12 perforator-type, 3 due to artery-to-artery embolism, 2 mixed and 2 due to stent occlusion) and 11 haemorrhagic strokes (6 intracerebral and 5 subarachnoid) occurred within 30 days among the PTAS group patients. There were a total of 25 strokes on the day of procedure, 5 (2.2%) stroke-related deaths happened in the PTAS group and 1 (0.4%) non-stroke-related death in the best medical therapy group
					Beyond 30 days, nonfatal ischaemic strokes in the territory of the ICAS occurred in 13 (5.8%) patients in each group. During a median follow-up of 32.4 months, there were 34 (15%) primary endpoint events in the best medical therapy group and 52 (2.3%) in the stenting group. The absolute differences in the primary endpoints between the two groups were 8.9% at 30 days and 9.0% at 3 years. Moreover, the long-term efficacy of best medical therapy also was superior to PTAS, with 1- and 2-year rates of any stroke of 14.9% and 17.2% in the best medical therapy group compared to 21.9% and 23.3% in the PTAS group. Rates of any death alone were 4.0% at 1 year and 4.5% at 2 years in the best medical therapy group, compared to 4.0% and 4.6%, respectively, in the PTAS group. Rates of any major haemorrhage, defined as any intracranial or systemic haemorrhage requiring admission to hospital, blood transfusion or surgery were 2.4% at 1 year and 3.5% at 2 years in the best medical therapy group, compared to 9.8% and 13.1% in the PTAS group
SOCRATES subgroup study [112]	Randomised, multicentre, international, double-blind study, 2014–2015	USA and Europe	Patients aged $\geq 40$ years with a non-cardioembolic, non-severe acute ischaemic stroke or a high-risk TIA, randomised to ticagrelor (180 mg loading dosage on day 1 followed by 90 mg twice daily for 90 days) or aspirin (300 mg on day 1 followed by 100 mg/day for 90 days)	90 days	$n = 3081$ with ipsilateral ICAS

**Table 1** continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
SPARCL [75]	Randomised, double-blinded, multicentre, prospective, placebo-controlled clinical trial, 2014–2015	Europe, USA, Asia, Australia, Central and South America	<p>Primary endpoint: time from randomisation to first occurrence of any event from the composite of stroke (ischaemic or haemorrhagic), myocardial infarction or death</p> <p>Patients aged <math>\geq 40</math> years within 24 h of symptom onset of a mild ischaemic stroke (NIHSS score <math>\leq 5</math>) or high-risk TIA (ABCD<sup>2</sup> score <math>\geq 4</math>)</p> <p>Primary endpoint: any nonfatal or fatal stroke</p>	4.9 years	<p>Rates of recurrent ischaemic or haemorrhagic stroke, myocardial infarction or death turned out to be lower in the ticagrelor group vs. in the aspirin group (6.7% vs. 9.6%, HR 0.68, 95% CI 0.53–0.88; <math>p = 0.003</math>). There were no significant differences in the proportion of life-threatening, major or minor haemorrhages between both groups</p> <p><math>n = 4731</math></p> <p>Atorvastatin 80 mg/day reduced the incidence of fatal or nonfatal stroke by 16%. A post hoc analysis investigating atorvastatin vs. placebo showed atorvastatin 80 mg/day to be effective in reducing recurrence risk of ischaemic stroke among patients with atherosclerotic large vessel disease (HR 0.7, 95% CI 0.49–1.02)</p>
SSYLVA [120]	Prospective, non-randomised, international trial, 2000–2001	USA and Europe	<p>Patients with symptomatic <math>\geq 50\%</math> ICAS, ECAS, detected by DSA, treated with the balloon-expandable Neurolink stent system. Stent success was defined as <math>&lt; 50\%</math> ICAS and covering an area at maximum length of the original lesion. Procedure success was defined as stent success with no stroke or death before discharge</p> <p>Primary endpoint: rates of death or of any stroke within 30 days of procedure</p>	12 months	<p><math>n = 61</math> (mean age of 63.6 years, 18% women), 43 patients with ICAS, 18 with ECAS</p> <p>Success of stent deployment was achieved in 95% of patients, with no deaths and 6.6% any strokes at 30 days, 3 of which were ischaemic ipsilateral to the stenotic vessel and 1 subarachnoid haemorrhage. Strokes in the distribution of the target lesion occurring beyond 30 days, but within 12 months were seen in 7.3% of patients, among which 2 were in the posterior circulation and 2 in the anterior circulation. Restenoses occurred in 35% of patients, 61% were asymptomatic</p>
TOSS-1 [110]	Multicentre, double-blind, placebo-controlled trial, 2000–2003	Seoul, South Korea	<p>Patients aged between 35 and 80 years, with an ischaemic stroke, related to symptomatic ICAS in the M1 segment of the middle cerebral or basilar artery, randomised within 2 weeks of symptom onset. Degree of stenosis was assessed by MRA and TCD within 2 weeks after ischaemic stroke as well as 6 months after starting study medication. Patients were randomly given either cilostazol (100 mg twice daily) or placebo. All participants received aspirin 100 mg/day during the study period</p>	6 months	<p><math>n = 135</math>, mean age in the cilostazol group of <math>62.2 \pm 10.4</math> years, 38.8% women and mean age in the placebo group of <math>62.5 \pm 9</math> years, 39.7% women</p>

Table 1 continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
TOSS-II [52]	Randomised, double-blind, multicentre clinical trial, 2005–2008	4 East Asian countries (Hong Kong, Korea, Philippines, Thailand)	<p>Primary endpoint: progression of symptomatic ICAS on MRA</p> <p>Patients with acute ischaemic stroke, aged <math>\geq 35</math> years, with symptomatic <math>\geq 50\%</math> ICAS within 2 weeks of symptom onset, related to ICAS in the M1 segment of the middle cerebral or basilar artery, confirmed by MRA and TCD</p> <p>Clostrazol (100 mg twice daily) and aspirin (75–150 mg once daily) were compared with clopidogrel (75 mg once daily) and aspirin (75–150 mg once daily)</p> <p>Primary endpoint: number of participants with ICAS progression</p>	7 months	<p>There were lower rates of ICAS progression in the clostrazol group (6.7% vs. placebo group: 28.8%; <math>p = 0.008</math>), even regression (24.4% vs. placebo group: 15.4%, <math>p = 0.018</math>). Furthermore, no ischaemic or haemorrhagic stroke or TIA occurred in both groups during the study period of 6 months</p> <p><math>n = 402</math>, mean age in the clostrazol group of <math>66.4 \pm 11.3</math> (47.4% women) and mean age in the clopidogrel group of <math>64.6 \pm 11.1</math> (50.2% women)</p> <p>There was a progression of ICAS in 52 (12.9%) patients. Vascular events occurred in 6.4% of patients in the clostrazol group and in 4.4% in the clopidogrel group (<math>p = 0.312</math>). Clostrazol did not reduce the progression of symptomatic ICAS compared to clopidogrel (OR 0.61; <math>p = 0.092</math>), although favourable changes in serum lipoproteins were observed in the clostrazol group</p> <p>There were no significant differences between both groups with respect to new ischaemic lesions (18.7% vs. 12.0%; <math>p = 0.078</math>) and major haemorrhagic complications (0.9% vs. 2.6%; <math>p = 0.163</math>)</p> <p>Major haemorrhagic complications were not significantly different between both groups (<math>p = 0.163</math>). 1 participant in the clopidogrel group died after symptomatic intracerebral haemorrhage in the area of the presenting ischaemic stroke. Gastrointestinal haemorrhages occurred in 5 patients in the clopidogrel group and in 1 patient in the clostrazol group</p>

**Table 1** continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
VAST [129]	Randomised, open-label trial, 2008–2013	Netherlands, Europe	<p>Patients with symptomatic <math>\geq 50\%</math> vertebral ICAS/ECAS, randomised to stenting (with choice of stent at the discretion of the interventional neuroradiologist) or PTA alone if stent placement turned out not to be feasible or contraindicated after the beginning of the intervention) plus best medical therapy and best medical therapy alone. Best medical therapy included antithrombotic and antihypertensive drugs, as well as cholesterol-lowering therapy</p> <p>Primary endpoint: Composite of vascular death, myocardial infarction or any stroke within 30 days after therapy initiation</p>	Median follow-up 3 years	<p><math>n = 115</math>, 58 (mean age of 66 years, 26% women) received best medical therapy alone, 57 (mean age of 65 years, 26% women) stenting or PTA alone plus best medical therapy, 9 (16%) patients with ICAS in the stenting group and 10 (17%) in the best medical therapy group</p> <p>The trial was stopped early because of new regulatory requirements, including the use of a few prespecified stent types and external monitoring, for which no funding was available, 3 (5%) patients reached the primary endpoint vs. 1 (2%) patient in the best medical therapy group. During a median follow-up of 3 years, 7 (12%) patients in the stenting group and 4 (7%) in the best medical therapy group had a stroke in the territory of the symptomatic vertebral artery, 11 (19%) patients in the stenting and 10 (17%) in the medical therapy group experienced a vascular death, myocardial infarction or any stroke. There were 60 serious adverse events (eight strokes) in the stenting group and 56 (seven strokes) in the best medical therapy group</p>
VERTAS [54]	Prospective, multicentre, blinded observational study, 2008–2013	USA and Canada	<p>Patients with posterior circulation ischaemic stroke or TIA within 60 days and with a <math>\geq 50\%</math> vertebral ICAS or ECAS or occlusion, verified by conventional DSA or CTA. All patients underwent a quantitative MRA at enrolment, which evaluated haemodynamic status as low or normal based on a previously published algorithm defining haemodynamic compromise as <math>&gt; 20\%</math> reduction below the normative limits of posterior circulation flow</p> <p>Primary endpoint: fatal and nonfatal ischaemic stroke in the vertebralbasilar territory</p>	12–24 months	<p><math>n = 72</math> (mean age of <math>65.6 \pm 10.3</math> years, 44% women)</p>
VISSIT [109]	Randomised, multicentre, international study, 2009–2012	USA, China, Europe	<p>Patients with within 30 days symptomatic <math>\geq 70\%</math> ICAS, randomised to best medical therapy alone or additional percutaneous transluminal coronary angioplasty plus balloon-expandable-stenting with the Pharos stent system. Best medical therapy included clopidogrel 75 mg/day for the first 3 months, aspirin 81–325 mg/day for the entire study duration, as well as best therapy of risk factors to keep SBP <math>&lt; 140</math> mmHg and LDL-C <math>&lt; 2.6</math> mmol/l</p>	12 months	<p>10 ischaemic strokes occurred in vertebralbasilar territory</p> <p><math>n = 112</math>, mean age of 61.8 years in both groups, 53 (21 women) received best medical therapy, 58 best medical therapy plus PTAS (17 women), mean time of 15.2 vs. 12.3 days from the qualifying event to randomisation in the best medical therapy vs. in the stenting group</p>

Table 1 continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
VIST [128]	Prospective, randomised, open-blinded endpoint clinical trial, 2008–2015	UK, Europe	<p>Primary endpoint: ischaemic stroke in the ICAS territory within 12 months of randomisation or TIA in the same territory from day 2 to 12 months</p> <p>Patients with symptomatic <math>\geq 50\%</math> vertebral ICAS/ECAS, comparing vertebral angioplasty and stenting (balloon-expandable or self-expandable stent) with best medical therapy. No distal protection devices were used. Best medical therapy consisted of antiplatelets or anticoagulation and control of risk factors, without any specific drugs which had to be mandated. The recommended antiplatelet therapy during the procedure was clopidogrel and aspirin with loading with clopidogrel at least 12 h before the procedure (300–600 mg) if the patient was not already taking clopidogrel. It was recommended to continue clopidogrel and aspirin for at least 1 month after the procedure, after which standard antiplatelet therapy for stroke prevention was prescribed</p> <p>Primary endpoint: fatal or nonfatal stroke in any arterial territory (including periprocedural stroke)</p>	$\geq 1$ year (median follow-up 3.5 years)	<p>The study was halted earlier than scheduled because of the lower 30-day rate of any stroke in the best medical therapy group (9.4%; <math>p = 0.05</math>). The primary outcome occurred in 8 patients (15.1%) in the best medical therapy group and in 21 patients (36.2%) in the stenting group [(risk difference, 21.1% (95% CI 5.4–36.8%; <math>p = 0.02</math>)]]. Intracranial haemorrhage within 30 days only occurred in patients in the stenting group [5/58; 8.6% (95% CI 2.9–19.0%)] vs. none (95% CI 0–5.5%; <math>p = 0.06</math>) in the best medical therapy group</p> <p><math>n = 182</math>. ECAS in 83%, ICAS in 17% of patients, mean time delay from symptom onset to procedure of 12.8 days</p>
					<p>Of 91 patients (mean age of 68.3 years, 20% women) randomised to stenting, the procedure was not performed in 30 (33.0%) because in 23 (76.7%) patients, <math>&lt; 50\%</math> ICAS was detected in DSA, 3 (10%) refused allocated treatment, 1 (3.3%) did not want to stop warfarin. In 2 (6.7%) patients, stenting was not technically feasible and 1 (3.3%) patient deteriorated clinically and was not suitable for stenting. Of the 61 patients stented, there was ECAS in 48 (78.7%), ICAS in 13 (21.3%). A total of 58 (63.7%) patients had a stent (56 balloon-expandable and 2 self-expanding stents) placed and 3 patients had angioplasty alone. During the stenting procedure there were 2 major complications, both among patients with ICAS. The first one was a subarachnoid haemorrhage caused by vessel rupture and the second one a nonfatal periprocedural brainstem stroke, whereas in patients with ECAS only 1 stented patient had a nonfatal stroke within 30 days of the procedure</p>

**Table 1** continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
WASID [8]	Randomised, double-blind, multicentre clinical trial, 1999–2003	USA, 59 centres	<p>Non-disabling (mRS <math>\leq 3</math>) ischaemic stroke or TIA within 90 days before randomisation, attributable to 50–99% ICAS (intracranial carotid, middle cerebral, vertebral or basilar artery) verified by DSA, in patients aged <math>\geq 40</math> years</p> <p>Patients randomly received either aspirin 1300 mg/day (or placebo) or warfarin (or placebo), the latter with an initial dosage of 5 mg/day and subsequent adjustment according to the INR. INR goal was 2.0–3.0</p> <p>Primary endpoint: ischaemic stroke, cerebral haemorrhage or death from vascular causes other than stroke</p>	1.8 years (mean duration)	<p><math>n = 569</math>, 289 (mean age of <math>62.8 \pm 11.3</math>; 40% women) received aspirin 1300 mg/day (or placebo), 280 (mean age of <math>64.3 \pm 11.5</math>, 37% male) warfarin (or placebo)</p> <p>The trial was stopped early because of higher rates of intracranial haemorrhage (3.2% in the aspirin vs. 8.3% in the warfarin group, HR 0.39; <math>p = 0.01</math>) and mortality (4.3% vs. 9.7%; <math>p = 0.02</math>) in the warfarin group. In patients whose INR was maintained within the range 2.0–3.0, the risk of major (defined as intracranial or systemic haemorrhage requiring hospitalisation, blood transfusion or surgery) haemorrhage was 3.5% (95% CI 1.6–6.6%) per year compared to 15.2% (95% CI 6.6–30.0%) for those whose INR ranged from 3.1 to 4.4. Recurrent ischaemic stroke rates in any vascular territory (aspirin 20.4% vs. warfarin group 17%; <math>p = 0.29</math>) and in the territory of the stenotic vessel (aspirin 15% vs. warfarin group 12.1%; <math>p = 0.31</math>) were similar between both groups as was the primary endpoint (aspirin 22.1% vs. warfarin group 21.8%; <math>p = 0.83</math>). The risk of recurrent ischaemic stroke in the presence of <math>&gt; 50\%</math> ICAS was not influenced by the type of index event (lacunar vs. non-lacunar) (<math>n = 347</math>, follow-up 1.8 years, 18% in the lacunar presentation group, 22% in the non-lacunar presentation group). The only subgroup with superiority for warfarin in univariate analysis was symptomatic ICAS in the basilar territory (<math>n = 55</math>, aspirin vs. warfarin HR 2.28, 95% CI 1.02–5.08; <math>p = 0.044</math>)</p>
WEAVE [130]	On-label, prospective, multicentre, postmarket surveillance trial, 2013–2017	USA	<p>Patients with symptomatic <math>\geq 70\%</math> ICAS, mRS <math>\leq 3</math>, <math>\geq 2</math> ischaemic strokes in the ICAS territory with <math>\geq 1</math> ischaemic stroke while on medical therapy and stenosis of ICAS <math>\geq 8</math> days after the last ischaemic stroke. Patients were placed on aspirin (325 mg/day), clopidogrel (75 mg/day), a statin and blood pressure medication, if indicated</p>	Periprocedural time window of 72 h	<p><math>n = 152</math> (mean age of <math>61.9 \pm 10.5</math> years, 46.7% women)</p>

Table 1 continued

Study name	Study type and period	Regions in the world	Inclusion criteria and primary endpoint	Follow-up time	Included patients and findings
			Primary endpoint: rate of stroke and death		2.6% of patients suffered any stroke or death within the periprocedural time window of 72 h. Among these patients, there was 1 patient who deteriorated to mRS 4 due to a pontine perforator stroke and 1 deteriorated to mRS 2 due to intracerebral petechial and ventricular haemorrhage, 1 patient suffered a large reperfusion haemorrhage and 1 had a significant recurrent ischaemic stroke, with subsequent withdrawal of care by the family

Despite the reported prevalence and impact of asymptomatic ICAS, the focus of our review is on symptomatic ICAS.

## EPIDEMIOLOGY, RISK FACTORS AND CURRENT AVAILABLE BEST MEDICAL THERAPY

Several studies have investigated conventional risk factors for ICAS, mainly in the Asian populations. They are traditionally divided into two major groups: modifiable (arterial hypertension, diabetes mellitus, dyslipidaemia, overweight, physical inactivity, smoking, etc.) and non-modifiable (age, ethnicity, sex, family history of vascular disease) [19, 41, 42].

Some studies investigating risk factors for ICAS have shown differences between ICAS and ECAS [43].

Better understanding of risk factors traditionally associated with ICAS may not only explain the presence of ICAS and ethnic differences but may also lead to improved outcome in the case of best medical therapy. The following paragraphs focus on epidemiology and on recommendations for treatment of most prevalent modifiable risk factors in patients with ICAS.

### Arterial Hypertension

Arterial hypertension is one of the most important risk factors for ICAS according to autopsy as well as according to many clinical studies [2, 32, 42, 44, 45]. Studies suggest that Asian and African populations are more prone to high-volume arterial hypertension due to more sodium retention, whereas resistant arterial hypertension is more frequent among Caucasian populations [46]. Arterial hypertension has been reported to cause haemodynamic stress, to accelerate atherosclerosis by injuring vessel endothelium and increasing its permeability for lipoproteins [47]. Intracranial arteries are more prone to damage induced by arterial hypertension than extracranial arteries. This may be explained by the different architecture of the vessel walls. Intracranial arteries consist



of a thinner media and adventitia, as well as of fewer elastic medial fibres than extracranial arteries [48]. Arterial hypertension has been demonstrated to be associated with occurrence of ICAS as such, with ICAS severity and progression [49].

The WASID trial (Table 1) showed a higher prevalence of recurrent ischaemic stroke among patients with arterial hypertension. Two patients were excluded because of lack of information about blood pressure. All patients had blood pressure measured at baseline and at every 4-month visit. Data revealed the association between arterial hypertension defined as at least 160/90 mmHg vs. at least 140/90 mmHg and an increased risk of ischaemic stroke not only in the territory of the stenotic vessel ( $p = 0.0065/p < 0.0001$  vs.  $p = 0.015/p < 0.0001$  respectively) but also in other vascular territories ( $p < 0.0001/p = 0.003$  vs.  $p = 0.0003/p < 0.0001$ ). Furthermore, among patients with 50–70% ICAS, BP of at least 140/90 mmHg was associated with a greater risk of any ischaemic stroke ( $p < 0.0001$ ,  $p = 0.003$ ), as well as of ischaemic stroke in the ICAS territory ( $p = 0.0002$ ,  $p = 0.010$ ), similar to the group at large. Furthermore, during follow-up, 30.7% of patients with a mean SBP of at least 140 mmHg suffered an ischaemic stroke, myocardial infarction or vascular death, compared to 18.3% of patients with a mean SBP less than 140 mmHg ( $p < 0.0005$ ) [50]. Multivariable analysis showed that SBP of at least 140 mmHg (HR 1.79, 95% CI 1.27–2.52;  $p = 0.0009$ ) was associated with an increased risk (40%) of a recurrent ischaemic cardio- or cerebrovascular event or vascular death [45]. In the WASID trial (Table 1), elevated mean SBP at enrolment was also found to be associated with presence vs. absence of coexisting asymptomatic ICAS (144.7 mmHg vs. 139.4 mmHg,  $p = 0.028$ ) [40].

In the TOSS-II (Trial of cilOstazol in Symptomatic intracranial arterial Stenosis II) study (Table 1), there turned out to be an association between mean SBP levels and progression of ICAS. Patients with normal SBP levels (less than 120 mmHg) had lowest rates of progression of ICAS (21.4%), whereas patients with levels of at least 160 mmHg showed highest rates of progression (38.9%) [51, 52].

In the SAMMPRIS (Stenting and Aggressive Medical Management for Preventing Recurrent stroke in Intracranial Stenosis) trial (Table 1), three BP measurements were recorded and averaged at baseline and at each follow-up visit throughout the study [53]. The frequency of BP data collection depended on achievement of the SBP target. The normal schedule of follow-up visits was every 4 months after the adjustment of antihypertensive regimen according to the target SBP. A post hoc analysis of patients included in the arm of best medical therapy only found that 53% of these patients had a mean SBP within target (less than 140 mmHg) during their participation in the study and that they were significantly less likely to have a recurrent ischaemic stroke, myocardial infarction or vascular death (OR 1.3, 95% CI 1.1–1.7), compared to those who did not reach those targets (OR 2.1, 95% CI 1.2–4.0) [54].

In the VERiTAS (Vertebrobasilar flow Evaluation and Risk of Transient ischaemic Attack and Stroke) study (Table 1), among 10 subsequent vertebrobasilar territory strokes, seven occurred in patients with BP less than 140/90 mmHg compared to three in patients with BP of at least 140/90 mmHg ( $p = 0.33$ ). When subgroups were compared according to both BP and haemodynamic status, patients with both low flow and BP less than 140/90 mmHg ( $n = 10$ ) had the highest risk of subsequent ischaemic stroke ( $n = 4$ , HR 4.5, 95% CI 1.3–16.0;  $p = 0.02$ ) when compared to all other subgroups combined. After adjustment for the previously identified risk factors for stroke in this cohort, the low flow and BP less than 140/90 mmHg subgroup remained at significantly higher risk (HR 7.3, 95% CI 1.4–37.9;  $p = 0.02$ ) [55].

Based on the evidence from large randomised trials (WASID, SAMMPRIS), the American Heart Association (AHA)/American Stroke Association (ASA) guidelines of 2014 recommend a BP target of less than 140 mmHg for symptomatic 50% or higher ICAS of a major intracranial artery (class I; level of evidence B) [56]. Similarly, the European Society of Cardiology (ESC)/European Atherosclerosis Society (EAS) 2019 guidelines also recommend a BP target of less than 140/90 mmHg [57]. However,

the subgroup of patients with ICAS and low flow might need higher target levels.

### Diabetes Mellitus

Diabetes mellitus has consistently been identified as an independent risk factor for ICAS [2, 42, 44, 58, 59]. Diabetes mellitus has been shown to reduce antioxidant capacity and increase oxidative stress, leading to endothelial dysfunction and inflammation [60].

A couple of decades ago, an autopsy study with consecutive Hong Kong patients ( $n = 114$ ) demonstrated a greater than 50% ICAS determined by morphometric measurement in autopsy in 31.4% of patients. The incidence of risk factors (ischaemic heart disease, arterial hypertension, diabetes mellitus, smoking, history of stroke) was obtained by reviewing clinical history and interviewing patients' relatives. Results showed the association of diabetes mellitus as well as of arterial hypertension and age with ICAS [61].

A case-control autopsy study in mostly white consecutive stroke patients ( $n = 339$ ) reported that diabetes mellitus was the only modifiable risk factor associated not only with intracranial atherosclerotic plaques but also with ICAS, defined as a greater than 30% narrowing of the lumen on the surface section of the arteries [16].

Diabetes mellitus and metabolic syndrome have been reported to be more important risk factors for ICAS than for ECAS and non-atherosclerosis-related stroke (diabetes mellitus: 67% ICAS, 60% ECAS, 48% non-atherosclerotic stroke, and 23% controls,  $p < 0.05$  ICAS vs. controls; metabolic syndrome: 62% ICAS, 40% ECAS, 40% non-atherosclerotic stroke, and 35% controls,  $p < 0.05$  ICAS vs. controls), according to the Northern Manhattan Stroke Study. In a multivariate analysis, diabetes mellitus conferred a higher risk for ischaemic stroke related to ICAS compared to non-atherosclerotic stroke (OR 10.8, 95% CI 2.0–57 vs. OR 2.7, 95% CI 1.9–3.9;  $p < 0.05$ ) and to ECAS-related stroke (OR 6.2, 95% CI 1.2–32). The metabolic syndrome also proved to be a risk factor for ischaemic stroke related to ICAS (OR 4.6, 95%

CI 1.1–18.7) when compared to ECAS (OR 2.3, 95% CI 0.6–9.1) and non-atherosclerosis (OR 2.4, 95% CI 1.7–3.3) related stroke [59].

The AsIA study (Table 1) identified diabetes mellitus (OR 2.95, 95% CI 1.68–5.18;  $p < 0.001$ ) as the most relevant risk factor for asymptomatic ICAS of any degree and also for moderate to severe asymptomatic ICAS (OR 2.85, 95% CI 1.16–6.96;  $p = 0.02$ ) [37]. Another study among asymptomatic Chinese patients suffering from diabetes mellitus with ICAS of one ( $n = 185$ ), both ( $n = 200$ ) or no middle cerebral artery/arteries ( $n = 1492$ ) examined patients with TCD. In 20.6% of patients with type 2 diabetes mellitus there was evidence of ICAS of the middle cerebral artery on at least one side. Severity of ICAS and increasing number of stenotic vessels were associated with serum glucose or glycated haemoglobin levels (HbA1c), duration of diabetes mellitus, as well as with age, higher SBP and LDL-C levels [62].

In prospective Korean studies, diabetes mellitus was found to be an independent risk factor for ICAS in female patients only after 50 years of age and to show a relation with ICAS in posterior but not in anterior circulation [32, 63].

The SAMMPRIS and the WASID trials (Table 1) studied the relationship between better diabetes mellitus control and risk of recurrent ischaemic stroke or vascular events in the follow-up periods of both studies. The protocol of the SAMMPRIS trial required testing HbA1c levels in diabetic patients every 6 months in patients with glycaemic control in the target levels and quarterly in patients who were out of the target levels. A post hoc analysis of patients ( $n = 227$ ) included in the best medical therapy arm of the study failed to demonstrate an association between better controlled HbA1c levels (target less than 7.0%) and recurrence of ischaemic stroke, myocardial infarction and vascular death of other cause (OR 2.0, 95% CI 0.99–4.1) and with ischaemic stroke (OR 2.3, 95% CI 1.0–5.0) at 3-year follow-up [54]. These findings are similar to the results of the WASID trial. In this study, the mean HbA1c level in diabetic patients was 8.4%. A total of 91% of enrolled patients were prescribed insulin or an oral hypoglycaemic agent during follow-up. However, results showed that poor control of

diabetes mellitus, defined as HbA1c greater than 7.0%, was not significantly associated with a higher risk of recurrent ischaemic stroke, myocardial infarction or vascular death, nor with recurrent ischaemic stroke alone during follow-up. The risk of ischaemic stroke, myocardial infarction or vascular death was 31% in diabetic patients with HbA1c greater than 7.0% ( $n = 117$ ) and 20% in diabetic patients with good HbA1c control ( $n = 59$ ) [50].

Possible explanations for the failure of the SAMMPRIS and the WASID trial to detect a significant benefit of better HbA1c control may be either a true lack of benefit or a lack of power to detect an effect.

The benefit of better glucose control not only in diabetic patients but also in patients with insulin resistance for lower recurrence of cardio- and cerebrovascular ischaemic events has been demonstrated by the IRIS (Insulin Resistance Intervention after Stroke) trial (Table 1). The possible explanation for the study findings is that pioglitazone improves insulin sensitivity, reduces plasma glucose, reduces biomarkers of systemic inflammation and improves vasomotor reactivity. Also, it has favourable effects on lipid metabolism, blood pressure and thrombosis. The conclusion drawn from this study was that pioglitazone was effective in secondary prevention of recurrent ischaemic stroke in non-diabetic patients with insulin resistance. The study did not analyse ischaemic stroke related to ICAS as such [65].

According to the ESC/EAS 2019 guidelines, HbA1c less than 7% is recommended for vascular disease prevention [57]. Although the AHA/ASA 2019 guidelines did not set any specific target goals for HbA1c and serum glucose for patients with ICAS, they probably should not differ from those recommended in (atherosclerotic) vascular disease [66].

## Dyslipidaemia

Dyslipidaemia is an important risk factor for ICAS, more even for ECAS [2, 42, 44, 67–69].

In a prospective multicentre study with enrolment of 1000 Korean patients with acute ischaemic stroke or TIA (less than 7 days after

onset), which was caused by either ICAS ( $n = 688$ ), ECAS ( $n = 271$ ) or tandem stenoses ( $n = 41$ ), evaluated by CTA, MRA or DSA, dyslipidaemia was identified as the only modifiable risk factor being more prevalent in ECAS (OR 1.50, 95% CI 1.117–2.018,  $p = 0.007$ ) compared to ICAS [32].

Accumulation of LDL-cholesterol (C) in the intima initiates the development and progression of atherosclerosis and eventually leads to the formation of a plaque. HDL-C plays an anti-atherosclerotic role by promoting cholesterol efflux from macrophages, inhibiting oxidation of LDL-C and migration of smooth muscle cells and aggregation of platelets [70]. However, intracranial arteries seem to have a specific glycocalyx composition on endothelial cells that might inhibit the trapping of very low density lipoprotein resulting in reduction of fatty depositions [71]. Ethnicity and environmental factors may influence the effect of dyslipidaemia on atherogenesis of intracranial arteries. Generally, compared to Caucasian populations, Asian and African populations exhibit lower serum lipid levels, which may be one factor explaining the lower incidence of extracranial and coronary atherosclerosis in these populations [72]. Apolipoprotein (apo)-AI, the major apolipoprotein in HDL-C, has antioxidant and anti-inflammatory effects, whereas apo-B represents the total number of potentially atherogenic properties, generates multiple proinflammatory products and aggravates atherogenesis within the vascular wall through oxidation. López-Cancio et al. demonstrated the association between triglyceride/HDL-C ratio and moderate to severe asymptomatic 50% or higher ICAS, although it was not an independent predictor [37]. A positive correlation between the apo-B/apo-AI ratio and the presence and severity of ICAS has been shown, suggesting that the apo-B/apo-AI ratio may be a promising biomarker for predicting the risk and severity of ICAS [73].

The WASID trial (Table 1) found mean LDL-C levels of 3.2 mmol/l and mean HDL-C levels of 1.1 mmol/l at baseline. Among all patients enrolled, 61% were on a statin at baseline and 82.4% took a statin at any time during the trial. Univariate analysis for the association between

dyslipidaemia and recurrent ischaemic stroke, myocardial infarction or vascular death demonstrated that total cholesterol levels of at least 5.2 mmol/l were associated with an increased risk of a major recurrent vascular event ( $p = 0.0223$ ). Furthermore, 25.0% of patients with LDL-C levels of at least 3.0 mmol/l suffered an ischaemic stroke, myocardial infarction or vascular death, compared to 18.5% of patients with mean LDL-C levels less than 3.0 mmol/l ( $p = 0.029$ ) over the same period. Multivariable analysis showed that total cholesterol level of at least 5.2 mmol/l (HR 1.44, 1.00–2.07;  $p = 0.048$ ) was associated with an increased risk of ischaemic stroke, myocardial infarction or vascular death during the follow-up period. Also, the results revealed that patients were more likely to suffer a recurrent ischaemic stroke if they had total cholesterol levels of at least 5.2 mmol/l (HR 2.06,  $p = 0.0006$ ), LDL-C levels of at least 2.6 mmol/l (HR 1.72,  $p = 0.0326$ ), non-HDL-C levels of at least 3.4 mmol/l (HR 1.94,  $p = 0.0109$ ) and a ratio of total cholesterol/HDL-C levels of at least 4.4 (HR 1.89,  $p = 0.0043$ ). Furthermore, a total cholesterol of at least 5.2 mmol/l (HR 1.95, 95% CI 1.29–2.97;  $p = 0.002$ ) among patients with SBP of at least 140 mmHg (HR 1.58, 1.07–2.32;  $p = 0.02$ ) was the most important predictor of a recurrent ischaemic stroke. History of dyslipidaemia was independently associated with severe ICAS (OR 1.62, 95% CI 1.09–2.42;  $p = 0.02$ ). Furthermore, patients with ICAS of the basilar artery were most likely to have dyslipidaemia (70.86% vs. 64.81% with intracranial ICAS of the internal carotid artery, 57.71% with ICAS of the middle cerebral and 63.81% with ICAS of the vertebral artery;  $p = 0.054$ ) [45, 74].

The SAMMPRIS trial (Table 1) had LDL-C levels below 1.8 mmol/l as a primary risk factor target, as well as non-HDL-C levels below 2.6 mmol/l as a secondary target, with standardised measurements at baseline, 30 days and at 4 months, by using a central core lipid laboratory. Among all patients enrolled in the best medical therapy arm of the trial, a total of 47% had a mean target of LDL-C levels below 1.8 mmol/l and 60% of non-HDL-C levels below 2.6 mmol/l. According to the post hoc analysis of the conservatively treated patients, lower

continuous LDL-C and lower non-HDL-C levels were associated with lower likelihood of recurrent ischaemic stroke, myocardial infarction or vascular death, as well as of recurrent ischaemic stroke alone until 3-year follow-up [54].

According to the SPARCL (Stroke Prevention by Aggressive Reduction in Cholesterol Levels) trial (Table 1), which was not specific to patients with ICAS, reduction of LDL-C levels below 1.81 mmol/l was associated with a 28% reduction in risk of ischaemic stroke compared with a level above 2.59 mmol/l [75]. A post hoc analysis investigating atorvastatin vs. placebo showed atorvastatin 80 mg/day to be effective in reducing recurrence risk of ischaemic stroke among patients with atherosclerotic large vessel disease. However, the SPARCL trial was underpowered for subgroup analyses.

A randomised parallel-group event-driven study assigned a total of 2860 patients with a recent (less than 3 months) ischaemic stroke or a TIA (less than 15 days) to a target LDL-C level of below 1.8 mmol/l (lower-target group,  $n = 1430$ ) or to a target range of 2.3–2.8 mmol/l (higher-target group,  $n = 1430$ ) [76]. All patients enrolled had either ECAS, ICAS, atherosclerotic plaques of the aortic arch (4 mm or larger) or a known history of coronary artery disease. The primary endpoint was defined as ischaemic stroke, myocardial infarction, new symptoms leading to urgent coronary or carotid revascularisation or death from vascular causes during the follow-up period of 3.5 years. Achieved LDL-C targets of 1.8 mmol/l were related to a lower risk of major vascular events, compared to higher LDL-C levels ( $n = 121$ , 8.5% in the lower-target group vs.  $n = 156$ , 10.9% in the higher-target group [HR 0.78 (0.61–0.98), 95% CI,  $p = 0.04$ ]).

AHA/ASA 2019 guidelines recommend high-intensity statin therapy, independent of baseline LDL-C levels, to reduce the risk of vascular events for patients up to 75 years of age with cerebrovascular ischaemic events of atherosclerotic origin and without contraindications. Also, they recommend moderate intensity statin therapy for those over 75 years of age or for those with conditions influencing statin safety or a history of statin intolerance [66].

According to the ESC/EAS 2019 guidelines a therapeutic regimen that achieves at least a 50% LDL-C reduction and a LDL-C goal of below 1.4 mmol/l, non-HDL-C less than 2.2 mmol/l, triglycerides less than 1.7 mmol/l and apo-B less than 0.0013 mmol/l for very high risk patients is recommended for the management of dyslipidaemia. Very high risk patients are defined as those with documented atherosclerotic cardiac disease, as well as those after a cerebrovascular event [57].

Although there are no secondary prevention recommendations about target lipid levels in patients with ICAS, for patients with an ischaemic stroke or TIA attributable to 50% or higher stenosis of a major intracranial artery, high intensity statin therapy is recommended (class I; level of evidence B), according to AHA/ASA 2014 guidelines [56].

### Overweight and Physical Inactivity

Obesity, defined as a body mass index (BMI) of 30 kg/m<sup>2</sup> or higher is an established risk factor for stroke [77].

BMI was recorded at every visit in the SAMMPRIS trial (Table 1). Only 19% of patients enrolled in the best medical therapy arm of the study were in the BMI target (from baseline until adverse event or close-out), defined as BMI less than 25 kg/m<sup>2</sup> for patients with initial BMI between 25–27 kg/m<sup>2</sup> and reduction of body weight by 10% for patients with initial BMI greater than 27 kg/m<sup>2</sup>. Results from the post hoc analysis demonstrated that control of BMI did not have a significant effect on the 3-year rates of ischaemic stroke, myocardial infarction or vascular death (OR 0.9, 95% CI 0.4–2.0), nor on the 3-year rate of ischaemic stroke alone (OR 0.9, 95% CI 0.4–2.1) [54].

Physical activity has been proven to be of benefit, reducing risk factors and risk of stroke [78].

Physical activity was assessed in the SAMMPRIS trial (Table 1) according to the 8-point Physician-based Assessment and Counseling for Exercise (PACE) questionnaire, which the participants completed at each visit [79]. Moderate exercise included activities like brisk walking or

slow cycling for at least 10 min at a time, whereas vigorous exercise consisted of jogging or fast cycling for at least 20 min at a time. Walking turned out to be the most common form of exercise at all time points, as measured by both the duration of exercise and the number of patients performing the exercise. The mean duration of walking and other aerobic activities increased significantly from baseline to all other time points. Physical activity out of target was defined as a PACE score of 3 or less (3 = trying to do vigorous or moderate exercise but not exercising regularly, 2 = no vigorous or moderate exercise but thinking of starting in next 6 months, and 1 = no vigorous or moderate exercise and no intention to start in next 6 months). Results from the post hoc analysis of the patients enrolled in the best medical therapy arm only revealed that for the endpoint of ischaemic stroke alone over the follow-up of 3 years, physical activity was the only risk factor associated with fewer events (OR 0.5, 95% CI 0.4–0.7). Furthermore, participants who were reaching the target for physical activity were significantly less likely to have a recurrent vascular event over the follow-up period compared to those who did not achieve those targets. The conclusion was drawn that while arterial hypertension and dyslipidaemia may contribute to the risk of recurrent vascular ischaemic events, the independent effect of physical activity was stronger for the prediction of vascular events. Notably, physically inactive patients had up to five times the likelihood of having an ischaemic stroke, myocardial infarction or vascular death, as well as a sixfold increased risk of an ischaemic stroke compared to physically active patients.

According to AHA/ASA 2014 guidelines all patients with a cerebrovascular ischaemic event should be screened for obesity with measurement of BMI (class I; level of evidence C) [56]. Despite the shown beneficial effects of weight loss on risk factors, the usefulness of weight loss among patients with a recent cerebrovascular ischaemic event and obesity is uncertain (class IIb; level of evidence C). Since the association between obesity and increased risk for ischaemic stroke may be largely explained by the intermediate risk factors, the consequences

of obesity are controversial and not well established yet.

AHA and ACC recommend that adults participate in 3–4 sessions of aerobic physical activity a week, lasting an average of 40 min and involving moderate (e.g. brisk walking) or vigorous (e.g. jogging) intensity [80].

According to ESC/EAS 2019 guidelines a total of 3.5–7 h moderately vigorous physical activity per week or 30–60 min most days is the target goal for vascular disease prevention [57].

Although there are no secondary prevention recommendations about physical activity which are specific to patients with ICAS, these patients may also benefit from regular exercise, as mentioned above. However, it should be taken into consideration that low flow patients should rather avoid intensive physical activity.

## Smoking

Smoking is widely accepted as a major risk factor, increasing the risk of ischaemic stroke two- to fourfold. Pathophysiologically it increases blood pressure, heart rate and oxidative stress [81].

It has been reported to play an important role both in patients with ICAS [2] and in those with ECAS [82]. However, a randomised prospective study in patients ( $n = 989$ ) with an acute ischaemic stroke or TIA and ICAS detected by MRA concluded that smoking may be more strongly associated (OR 1.83, 95% CI 1.07–3.16,  $p = 0.026$ ) with ICAS (32.9%) than with ECAS (28.2%) [83]. Smoking has been shown to correlate with ICAS progression and severity [84, 85].

Although in the SAMMPRIS and WASID trials (Table 1) smoking was recorded at all study visits, post hoc analyses of both studies failed to demonstrate the benefit of its control for reducing the rate of recurrent vascular events.

According to AHA/ASA 2014 guidelines, smokers with a cerebrovascular event should receive in-hospital initiation of high-intensity behavioural interventions to promote smoking cessation and for smokers with an acute ischaemic stroke, nicotine replacement therapy is recommended (class IA; level of evidence A).

Furthermore, healthcare providers should strongly advise every patient who smokes to quit (class I; level of evidence C-EO) [66].

Although there are no secondary prevention recommendations about smoking which are specific to patients with ICAS, smoking cessation should be aimed for.

## Healthy Lifestyle

Lifestyle modification is crucial for achievement of risk factors targets in patients with ICAS.

SAMMPRIS was the first trial (Table 1) which provided a commercially available telephonic lifestyle modification program (INTERxVENT) free of charge for all patients during study participation. Lifestyle coaches provided individualised risk factor advice twice a month for 6 months and monthly thereafter during the follow-up period. Patients were divided into compliant patients ( $n = 201$ ), who had at least 78.5% of calls and into noncompliant patients with less than 78.5% of calls ( $n = 200$ ). There was a significant difference between compliant vs. noncompliant patients in the change in HbA1c from baseline to 6 months ( $-0.7\% \pm 1.9\%$ ,  $n = 59$  vs.  $0.2\% \pm 2.7\%$ ,  $n = 58$ ;  $p = 0.04$ ) and in the change in SBP from baseline to 24 months ( $-18.4 \pm 21.6$  mmHg,  $n = 171$  vs.  $-11.2 \pm 23.5$  mmHg,  $n = 130$ ;  $p = 0.006$ ). They also differed in the change from baseline to 36 months ( $0.3 \pm 0.4$  mmol/l,  $n = 55$  vs.  $0.1 \pm 0.3$  mmol/l,  $n = 17$ ;  $p = 0.03$ ) for HDL-C levels. However, there was no difference for BMI [86]. These results demonstrate that healthy lifestyle leads to better control of several risk factors.

Healthy living, including healthy diet and regular physical activity, has also been recommended by AHA/ASA 2019 guidelines for all patients after an acute ischaemic stroke [66]. Patients with ICAS should also aim at a healthier lifestyle as part of secondary prevention.

## Age, Ethnicity and Genetics

Results of the AsIA study (Table 1) identified age (OR 1.05, 95% CI 1.004–1.1;  $p = 0.03$ ) to be

associated with moderate to severe asymptomatic ICAS [37].

There is controversial data on studies on the prevalence of ICAS in patients at different age. Autopsy and imaging studies have found that ICAS is more prevalent later in life than ECAS [16, 32]. One of the potential explanations is related to the greater antioxidant enzyme activity at younger age in intracranial arteries, making them more resistant to atherosclerotic plaques [87].

Potential reasons for ethnic differences in the prevalence, severity and location, but also in the pathogenesis and prognosis of ICAS may partially be explained by differences in risk factor profiles [88]. For instance, higher rates of obesity and hyperglycaemia as well as lower rates of physical activity are more typical for Hispanic rather than for Caucasian populations [89]. Also, studies demonstrated Asian populations to have a higher prevalence of arterial hypertension, smoking and visceral obesity, associated with insulin resistance [90, 91].

Also, polymorphisms such as for adipocytokines, lipoprotein lipase and C-reactive protein have been described to be present in patients with ICAS but also ECAS [92].

Moreover, the ring finger protein 213 (*RNF213*) has been shown to be a susceptibility gene not only for moyamoya disease but also for ICAS. In a meta-analysis (11 studies, 1778 patients with ICAS), the variant *RNF213* p.R4810K has been found to increase the risk of ICAS in Chinese patients (OR 5.59, 95% CI 2.12–14.75;  $p = 0.001$ ), with significantly lower effect size than in Japanese and Korean patients (OR 10.71 and 28.52, 95% CI 3.97–28.91 and 11.04–73.67, respectively). The variant *RNF213* p.R4810K is absent in non-Asian populations. Other variants of *RNF213* have been identified to be associated with ICAS [93].

### Anticoagulation and Antithrombotics

Blood thinning is of crucial importance in therapy of patients with ICAS. Anticoagulation interferes with the coagulation cascade, and antithrombotics interfere with platelet activation and aggregation.

Anticoagulants have empirically been used for patients with severe occlusive disease in the vertebrobasilar arteries and in recurrent ischaemic stroke according to favourable results of clinical trials several decades ago [94].

However, the WASID trial (Table 1) showed a benefit of antiplatelet treatment over anticoagulation in patients with non-disabling ischaemic stroke or TIA within 90 days before randomisation, attributable to 50–99% ICAS. This occurred even though the dosage of aspirin was considerable (1300 mg/day). This high dosage of aspirin was prescribed because of its supposed better ability to decrease platelet resistance [95, 96], to diminish shear-induced platelet aggregation [97] and to decrease the inflammatory component of atherothrombosis [98–101].

Since non-vitamin K antagonist oral anticoagulants (NOACs) have been shown to be safer than vitamin K antagonists (warfarin) in secondary stroke prevention [102–105], the question arises whether NOACs would be effective and safe in patients with ICAS. There is a need for clinical trials addressing NOACs in this specific patient group.

The CLAIR (Clopidogrel plus Aspirin for Infarction Reduction) study (Table 1) investigated dual antiplatelet therapy for reduction of microembolic signals (MES) detected by TCD [106]. MES have been described to be a surrogate marker for higher risk of cerebrovascular ischaemic events. All patients had at least 50% symptomatic ECAS or ICAS of the internal carotid artery or ICAS of the middle cerebral artery. Results from this study indicate that dual antiplatelet therapy for 7 days is more effective with a comparable safety than aspirin alone for reducing the number of MES in acute stroke or TIA patients with ICAS, which might be related to a decreased risk of cerebrovascular ischaemic events.

A substudy of the CHANCE (Clopidogrel in High-risk patients with Acute Nondisabling Cerebrovascular Events) trial (Table 1) analysed the efficacy of dual antiplatelet therapy among symptomatic patients with ICAS, compared to patients without ICAS. Results indicated higher rates of recurrent ischaemic or haemorrhagic stroke in minor ischaemic stroke or high-risk

TIA patients with ICAS than in those without. However, there was no significant difference in the response to and concerning safety of the two antiplatelet regimes between patients with and without ICAS [107, 108].

The GESICA (Groupe d'Etude des Sténoses Intra-Crâniennes Athéromateuses symptomatiques) trial (Table 1) evaluated the benefit of medical therapy in patients with minor ischaemic stroke or TIA within 6 months and related to at least 50% ICAS [7]. Patients were treated with a single antiplatelet agent, with one antiplatelet and one anticoagulant, with one anticoagulant or with other antithrombotic combinations of antithrombotics and anticoagulants. There was a considerable recurrence rate of ischaemic stroke in the territory of the ICAS but also of other vascular events. Also, the study found an association between ICAS and coronary artery and peripheral arterial disease. Among 20 patients who underwent angioplasty there was one fatal haemorrhagic stroke, which occurred 2 months after the procedure.

In the SAMMPRIS trial (Table 1), including patients with non-disabling ischaemic stroke or TIA caused by 70–99% ICAS, lower rates of any stroke or death was found in the best medical therapy group compared to the percutaneous transluminal angioplasty and stenting (PTAS) group.

The VISSIT (Vitesse Intracranial Stent Study for Ischaemic stroke Therapy) study (Table 1) also supported the benefit of best medical therapy within 30 days in patients with symptomatic 70% or higher ICAS [109].

Cilostazol is an alternative antiplatelet having been investigated in patients with ICAS. It is a phosphodiesterase 3 inhibitor with vasodilating, anti-inflammatory, anti-atherogenic effects and a low bleeding risk. The TOSS I (Trial of cilostazol in Symptomatic intracranial arterial Stenosis) study (Table 1) randomised Korean patients with symptomatic ICAS either to cilostazol (100 mg twice daily) or placebo. All participants received aspirin 100 mg/day additionally [110]. Results demonstrated that cilostazol may not only prevent ICAS progression, but may even potentiate regression of ICAS. Furthermore, no ischaemic or

haemorrhagic stroke or TIA occurred in both groups during the study period of 6 months.

However, the TOSS II study (Table 1) failed to find a benefit of cilostazol (100 mg twice daily) and of aspirin (75–150 mg once daily) over clopidogrel (75 mg once daily) and aspirin (75–150 mg once daily) in preventing progression of ICAS and occurrence of new ischaemic lesions in the territory of ICAS in the follow-up MRI at 7 months [52]. Safety assessment revealed that major haemorrhagic complications were not significantly different between both groups.

Although both TOSS I and II studies have limitations including evaluation of radiological markers only but not of clinical events, Korean ethnicity of all included patients, short follow-up periods and small number of enrolled patients, cilostazol is currently widely prescribed in patients with ICAS, mostly in Asia.

Ticagrelor is another antiplatelet acting as a platelet P2Y<sub>12</sub> receptor antagonist which has been studied among patients with non-cardioembolic minor ischaemic stroke or TIA in the SOCRATES (Acute Stroke Or Transient ischaemic attack tReated with Aspirin or Ticagrelor and patient outcomES) study [111]. Patients were randomised to receive either ticagrelor (180 mg loading dosage on day 1 followed by 90 mg twice daily for 90 days) or aspirin (300 mg on day 1 followed by 100 mg/day for 90 days). Although the trial failed to show superiority of ticagrelor over aspirin, it has been suggested e.g. to be prescribed in carriers of loss-of-function alleles, causing potential resistance to clopidogrel or in patients with recurrent cerebrovascular ischaemic events.

A substudy of SOCRATES ( $n = 3081$ ) (Table 1) has focused on ischaemic strokes caused by ipsilateral atherosclerotic disease. In this substudy, ticagrelor was superior to aspirin in preventing recurrent ischaemic or haemorrhagic stroke, myocardial infarction or death at 90 days in patients with acute ischaemic stroke or transient ischaemic attack when associated with ipsilateral ICAS. There were no significant differences in the proportion of life-threatening, major or minor haemorrhages between both groups [112].



Prasugrel, which is another antiplatelet and platelet P2Y<sub>12</sub> receptor antagonist, has been compared to clopidogrel among Japanese patients with non-cardioembolic ischaemic stroke (within up to 1–26 weeks before inclusion) in the PRASTRO-I (PRAsugrel and clopidogrel in Japanese patients with ischemic STROke) study (Table 1) [113]. Patients were randomly assigned to either prasugrel (3.75 mg/day) or clopidogrel (75 mg/day) orally. This study did not confirm non-inferiority of prasugrel compared to clopidogrel for the prevention of ischaemic stroke, myocardial infarction and death from other vascular causes in Japanese patients with non-cardioembolic stroke. No safety concerns were identified. Although a total of 29% of enrolled patients had large artery atherosclerosis, the specific location was not described in this study.

According to AHA/ASA 2019 guidelines, for patients with non-cardioembolic acute ischaemic stroke the use of antithrombotics rather than oral anticoagulation is recommended to reduce risk of vascular events (class I; level of evidence A) [66].

According to AHA/ASA 2014 guidelines, for patients with an ischaemic stroke or TIA associated with at least 50% ICAS of a major intracranial artery, aspirin 325 mg/day is recommended in secondary prevention in preference to warfarin (class I; level of evidence B). In at least 70% ICAS, the AHA/ASA 2014 guidelines state that the addition of clopidogrel 75 mg/day to aspirin 100 mg/day for 90 days might be reasonable (class IIb; level of evidence B) [56].

According to AHA/ASA 2014 guidelines for patients with ischaemic stroke or TIA attributable to a 50% or higher ICAS of a major intracranial artery, the data are insufficient to make a recommendation in secondary stroke prevention regarding the usefulness of clopidogrel alone, the combination of aspirin and dipyridamole or cilostazol alone (class IIb; level of evidence C) [56].

## ENDOVASCULAR THERAPY

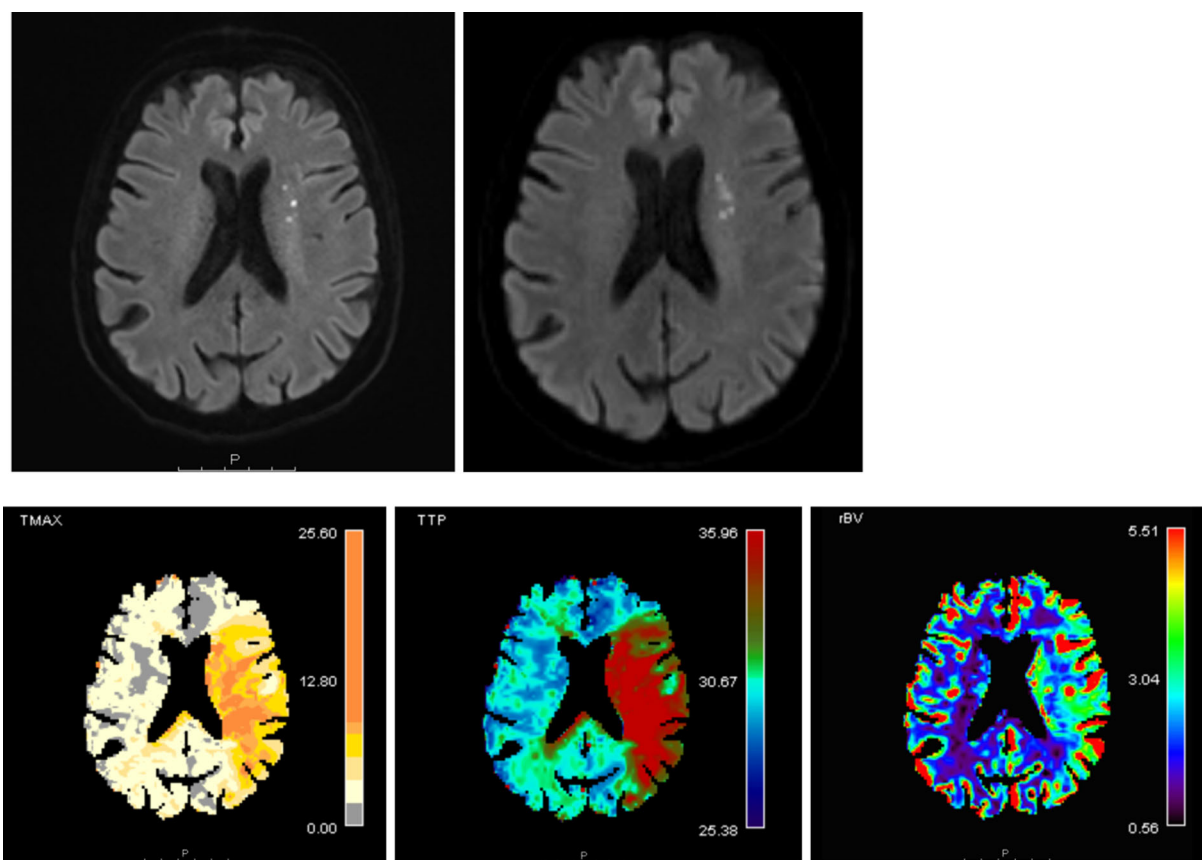
The question arises whether endovascular therapy is safe and effective in patients with ICAS

since considerable recurrence rates of cerebrovascular ischaemic events have been reported despite best medical therapy [114].

The small calibres of cerebral arteries, the relative paucity of supporting medial and adventitia layers, the presence of end-anastomosing perforator branches and the vascular tortuosity demand specialized interventional skills and devices [11]. As a result of the diverse stroke mechanisms of ICAS, careful patient selection, according to the underlying pathophysiological pattern, and evaluation of the indications and procedural risks and benefits are crucial prior to deciding on endovascular procedure. The treatment indications will likely develop in parallel with future advancement of endovascular techniques and better understanding of ICAS.

Various endovascular therapy strategies have been applied in patients with ICAS in recent years. The first and most simple endovascular therapy initiated was the balloon angioplasty alone. It dilated the calibre of the ICAS, leading to increase of perfusion in the downstream territory. In 1980, two patients with frequent, severe, progressive symptoms despite anticoagulation and high-grade ICAS of the basilar artery got treated by cerebral transluminal balloon catheter dilatation [115]. The excellent angiographic and short-term clinical results in these two patients as well as the prevalence of ICAS favoured further research of this approach. Unfortunately, arterial dissection with consecutive thrombosis or rupture, residual stenosis due to sequestration or vessel recoiling and acute or subacute vascular occlusion due to the formation of a wall haematoma were reported as frequent complications in the next case series [116].

In order to reduce periprocedural complications of angioplasty alone, the rigid coronary Palmaz-Schatz stent was applied for the first time in 1996 in a patient with recurrent TIA due to severe ICAS of the right carotid artery despite antiplatelet and anticoagulant therapy [117]. Deploying the stent led to a better angiographic result compared to angioplasty alone. The patient was asymptomatic 4 months after the procedure. However, probably as a result of the rigidity of the coronary stent a high rate of up



**Fig. 2** MRI of a patient with high-grade ICAS of the middle cerebral artery on the left side causing hypoperfusion downstream and recurrent ischaemic stroke despite best medical therapy

to 30% procedure-related complications has been described in various case series [118].

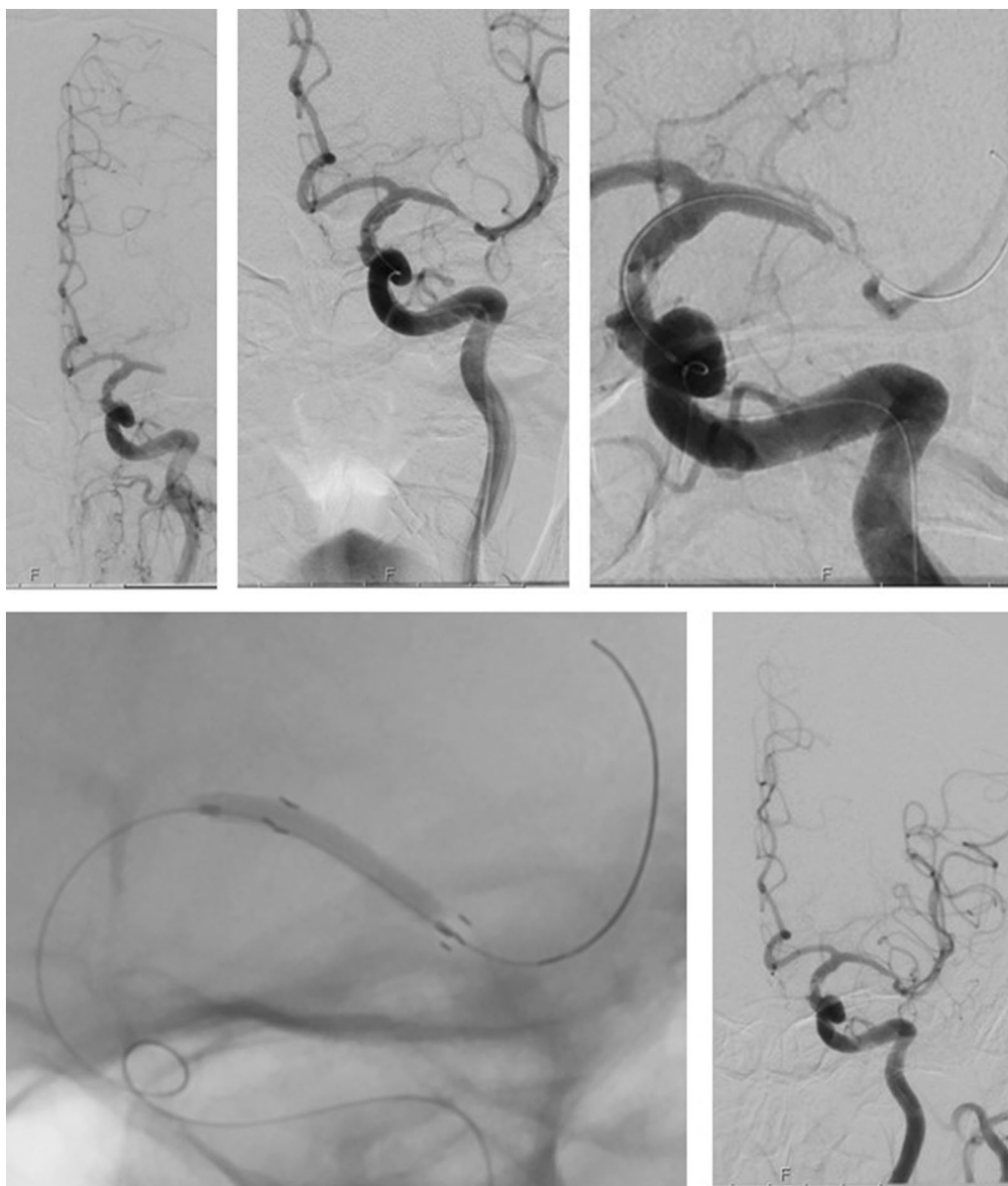
In a retrospective single-centre trial in patients ( $n = 120$ ) with ischaemic stroke or TIA attributable to 50% or higher ICAS measured by DSA, primary angioplasty was associated with a combined periprocedural ischaemic stroke and death rate of 5.8%. In the mean follow-up period of 42.3 months there were 6 (3.2%) patients with an ischaemic stroke in the territory of treatment and 5 (4.4%) patients with ischaemic stroke outside the territory of the treated stenotic vessel [119].

In 2004, the SSYLVIA (Stenting of SYmptomatic Atherosclerotic Lesions in the Vertebral or Intracranial Arteries) trial (Table 1) was the first multicentre, non-randomised, prospective study using the balloon-expandable NeuroLink stent system (Guidant, Indianapolis, IN, USA) in patients with symptomatic 50% or higher ICAS

(70.5%) or ECAS (29.5%) [120]. The study showed that the NeuroLink stent system was associated with a high rate of successful stent deployment.

Thereafter, in 2005 the first self-expanding, nitinol-composed Wingspan stent (Boston Scientific, Fremont, CA, USA) was approved by the US Food and Drug Administration (FDA) for patients with 50% or higher ICAS, symptomatic despite medical therapy.

The first randomised trial assessing best medical therapy with/without the Wingspan stent system in patients with non-disabling ischaemic stroke or TIA caused by 70–99% ICAS is the SAMMPRIS trial (Table 1) [53, 121]. Best medical therapy was superior to PTAS with the use of the Wingspan stent system, both because the risk of any early stroke after PTAS was high and because the risk of any stroke with best



**Fig. 3** DSA of a patient with ICAS-related acute large vessel occlusion of the middle cerebral artery on the left side and prompt reocclusion after initial reperfusion, then

undergoing intra-arterial infusion of glycoprotein IIb/IIIa inhibitor and permanent deployment of a stent retriever with angioplasty

medical therapy alone was lower than expected [122].

Major criticisms about the SAMMPRIS trial were as follows: experience of interventionalists was not optimal. Also, 35.3% of patients included in the PTAS group of the SAMMPRIS trial had not previously failed antithrombotic therapy, which was contrary to the FDA criteria (deployment of the self-expanding Wingspan

stent only in patients having suffered at least two ischaemic strokes, attributable to 50% or higher ICAS, while receiving antithrombotic therapy) [122]. Patients with only one ischaemic lesion attributable to 70% or lower ICAS and without MES detected on TCCD may benefit from the maximal-dosage dual antiplatelet therapy, intensive risk factor control and rigorous lifestyle management, including control of

BMI, smoking cessation and regular physical activity. However, patients with generalized atherosclerotic disease, 70% or higher ICAS, who had recurrent cerebrovascular ischaemic events due to underlying hypoperfusion with/without poor collaterals may benefit more from endovascular therapy. However, the SAMMPRIS trial did not show a benefit in the subgroup of patients with cerebrovascular ischaemic events with underlying hypoperfusion with/without poor collaterals [123, 124]. But, the small sample size of this subgroup analysis limits this finding.

Most patients in the PTAS group were treated in the acute or subacute stage with median time from the qualifying event to randomisation of 7 days (interquartile range 4–16 days). In this time period, atherosclerotic plaques of ICAS might show a certain instability. These patients might benefit from endovascular therapy since their plaques might not stabilize with best medical therapy alone and cause recurrent artery-to-artery embolic strokes. Also, unstable plaques might be dislodged into the orifice of perforators in endovascular therapy [125, 126]. Besides, stents might occlude orifices of perforators by themselves.

Furthermore, among 224 patients in the PTAS group of the SAMMPRIS trial, 61.2% had lesions in the anterior circulation and the remaining (38.8%) in the posterior circulation. Data from a systematic review including 31 studies and 1177 symptomatic patients with at least 70% ICAS receiving stenting demonstrated a significantly lower rate of occurrence of any stroke or death in the anterior circulation compared to the rate in posterior circulation ICAS (6.6 vs. 12.1%, 95% CI 1.21–3.10;  $p = 0.006$ ). The small diameter and angulation of the vertebrobasilar arteries, which is associated with a higher degree of technical complexity, might have contributed to the increased periprocedural complication rates observed in posterior circulation. Furthermore, the probability that a stent covers the ostia of perforators and thereby leads to a subsequent ischaemic stroke is higher in posterior circulation than in anterior circulation [127].

So, it might be of importance to take the initial composition of ICAS and the precise

underlying cerebrovascular ischaemic event mechanism into consideration. Also, high professional expertise of interventionalists and application of different endovascular procedures and different stents deployed might facilitate beneficial outcomes [11].

Similar results as in SAMMPRIS have been demonstrated by the VISSIT (Vitesse Intracranial Stent Study for Ischaemic Stroke Therapy) study (Table 1), which included patients with symptomatic 70–99% ICAS and compared balloon-expandable stenting with the Pharos stent system plus best medical therapy and best medical therapy alone [109]. In this study, the use of a balloon-expandable stent compared with best medical therapy resulted in an increased 12-month risk of ischaemic stroke or TIA in the same territory, and increased 30-day risk of any stroke or TIA. These findings did not support the use of a balloon-expandable stent for patients with symptomatic ICAS.

Criticisms about the VISSIT trial were quite similar as in the SAMMPRIS trial. In particular the heterogeneity of included patients and the non-optimal experience of interventionalists are comparable.

Regarding atherosclerotic stenosis of vertebral arteries, the VIST (Vertebral artery Ischaemia Stenting Trial) trial compared vertebral angioplasty and stenting (balloon-expandable or self-expandable stent) with best medical therapy in patients with symptomatic 50% or higher ECAS (mostly affecting the V1 segment) in 83% of patients and at least 50% ICAS in 17% of patients [128]. The study demonstrated safety of stenting for ECAS.

Low periprocedural risk in stenting of 50% or higher vertebral ECAS/ICAS has been revealed in VAST (Vertebral Artery Stenting Trial) (Table 1). Patients were randomised to stenting (with choice of stent at the discretion of the interventionalist) or PTA alone if stent placement turned out not to be feasible or contraindicated after the beginning of the intervention) plus best medical therapy and best medical therapy alone. There were 16% of patients with ICAS in the stenting group and 17% in the best medical therapy group. Endovascular therapy in this study was associated with a major periprocedural vascular

complication in about one in 20 patients. In the patients studied, the risk of any recurrent stroke under best medical treatment alone was low. It should be pointed out that more than 80% of patients included in the study had ECAS [129].

The WEAVE (Wingspan stEnt system postMarket surveillance) trial (Table 1), which enrolled patients with 70–99% ICAS, baseline mRS score 3 or less, at least two ischaemic strokes (at least one of which while on best medical therapy) in the vascular territory of the ICAS and stenting of the ICAS at least 8 days after last ischaemic stroke, is the largest up to date on-label trial for the self-expandable Wingspan stent system in patients with ICAS [130]. Results demonstrated safety of the Wingspan stent system. The rate of any stroke or death was lower than the target of 4% periprocedural safety set by the FDA. Since the design of the Wingspan stent has not substantially changed since its introduction into the market in 2005, the results of the WEAVE study have been explained by good experience of interventionalists as well as by favourable selection of patients meeting the criteria for stenting by strictly following the on-label indications.

CASSISS (China Angioplasty and Stenting for Symptomatic Intracranial Severe Stenosis) is an ongoing study (Table 1), which aimed to enrol 380 patients with recent ischaemic stroke or TIA caused by 70–99% ICAS of a major intracranial vessel [131]. The recruitment was complete in November 2017. The study compares best medical therapy with/without stenting using the Wingspan stent system. It is noteworthy that patients recruited in the CASSISS trial suffered their last ischaemic stroke after more than 3 weeks in order to lower periprocedural risk of stenting. Also, patients with perforator stroke without distal hypoperfusion with/without poor collaterals or artery-to-artery embolism according to MRI were excluded from the study.

Length of ICAS, procedural arterial access and diameter of culprit arteries and proximity of the plaque and lenticulostriate perforators should also be considered before deciding on the most accurate type of endovascular therapy. According to the Mori classification, three different types of stenoses are subclassified:

Mori A—a short and concentric lesion with a short length (less than 5 mm); Mori B—a tubular or extreme eccentric lesion, at most 3 months old, with intermediate length (between 5 and 10 mm) and Mori C—a diffuse lesion, at least 3 months old, with a long length greater than 10 mm and angled more than 90° [132]. Regarding these lesion characteristics balloon-mounted stents may be more suitable for patients with smooth arterial access and Mori A ICAS, midbasilar artery ICAS and ICAS of the distal M1 segment of the middle cerebral artery; self-expanding stents for patients with tortuous arterial access and Mori B or C ICAS and balloon angioplasty alone for patients with tortuous arterial access with Mori A ICAS and a small target-vessel diameter of less than 2.5 mm [133].

According to AHA/ASA 2014 guidelines, for patients with an ischaemic stroke or TIA attributable to 70–99% ICAS of a major intracranial artery, stenting with the Wingspan stent system is not recommended as an initial treatment, even for patients who were taking an antithrombotic agent at the time of ischaemic stroke or TIA (class III; level of evidence B). Furthermore, even for patients with 70–99% ICAS of a major intracranial artery and actively progressing symptoms after institution of aspirin and clopidogrel therapy, the usefulness of angioplasty alone or placement of a Wingspan stent or other stents is unknown and still considered investigational (class IIb; level of evidence C) [56].

At present, we suggest that endovascular therapy may be considered as a treatment option for patients with recurrent ischaemic stroke (Fig. 2) despite best medical therapy and especially if pathophysiologically attributed to hypoperfusion with/without bad collaterals. The most appropriate type of endovascular therapy should be performed for every patient individually according to the underlying pathophysiological cerebrovascular ischaemic event mechanism and according to characteristics of ICAS. In future, better experience of interventionalists and improved features of stents deployed are also expected to boost outcome of endovascular therapy in ICAS. The stent of the future should be more flexible and

easier to manoeuvre, and the microwire and guiding catheters should be appropriate to support the delivery of the stent system. Drug-eluting stents or PTA balloons may be deployed to decrease the rate of persisting ICAS and restenosis.

Another question arises whether endovascular therapy is safe and effective in patients with ICAS-related acute large vessel occlusion. Currently, temporary stent retriever thrombectomy (SRT) and contact aspiration thrombectomy (CAT) are the most frequently applied endovascular therapy strategies in this setting. Several studies have demonstrated comparable efficacy. However, evidence is limited since most data are from small retrospective case series. For successful CAT, an optimal contact with the proximal surface of a thrombus is crucial. However, this contact may be limited in patients with ICAS since ICAS tend to show an irregular shape. A stent retriever touches the full thrombus length and shows a temporary restoration of flow facilitating endogenous thrombolysis. Since large vessel occlusion in ICAS is typically caused by in situ obstruction based on an unstable plaque, there remains a high risk of reocclusion by subsequent platelet activation and thrombus formation. However, an open artery is crucial for improving outcome. Rescue therapy may be discussed after reocclusion. Rescue therapy at present includes switching to another tool (SRT to CAT or vice versa), simultaneous use of both SRT and CAT, infusion of intra-arterial thrombolytics, of intra-arterial or intravenous glycoprotein IIb/IIIa inhibitor and transiently or permanently deploying a stent or a stent retriever with or without angioplasty (Fig. 3). Repeated passages of a microwire, a microcatheter and SRT may further damage the unstable plaque and thus provoke more platelet activation or dissection. Intracranial angioplasty with or without permanently deploying a stent retriever bears the risk of occlusion of perforators, vessel injury, resulting in vasospasm, dissection and reocclusion. Infusion of thrombolytics or glycoprotein IIb/IIIa inhibitor elevates the risk of intracranial haemorrhage. Future studies are needed concerning endovascular first-line and

rescue therapy in patients with ICAS-related acute large vessel occlusion [134–137].

## SURGICAL THERAPY

Another therapy option to be discussed in patients with ICAS is surgical therapy. Its effectiveness and safety remain unclear. Reperfusion distal to ICAS can be achieved through various bypass surgeries using different donors, recipient arteries and conduit vessels [138].

The landmark international randomised EC-IC bypass trial performed in 1977 failed to demonstrate improved outcome after direct bypass versus best medical therapy among patients with recent ischaemic stroke, retinal infarction or TIA attributable to symptomatic extracranial carotid occlusion, distal carotid occlusive disease or ICAS of the middle cerebral artery who were randomly assigned to either best medical therapy (aspirin 1300 mg/day usually divided in four dosages) or to the same regimen with the addition of bypass surgery consisting of joining the superficial temporal artery and the middle cerebral artery. Overall, the study findings did not support surgical therapy in patients with ICAS on a regular basis, especially not in patients with at least 70% ICAS of the middle cerebral artery. One of the major criticisms that has been raised against the study was the lack of information about haemodynamic status of patients, which were enrolled on the basis of clinical symptoms and angiographic findings only [139–141].

A recent prospective study ( $n = 112$ ) compared EC-IC bypass with/without best medical therapy in patients with symptomatic stenotic disease of the intracranial internal carotid artery or middle cerebral artery. Best medical therapy consisted of adequate control of diabetes mellitus (target HbA1c less than 7%), blood pressure (below 140/90 mmHg), LDL-C (less than 2.6 mmol/l) and single antiplatelet therapy (either aspirin 100 mg/day or clopidogrel 75 mg/day). Patients were selected using TCD sonography and cerebral vasodilatory (CVR) assessment using breath-holding index (BHI). Patients with impaired BHI (less than 0.69) were further evaluated with

acetazolamide-challenge technetium-99m hexamethylpropyleneamine oxime (99mTc HMPAO) SPECT. Bypass surgery was offered to patients with impaired CVR on SPECT. Until 34 months, 13% vs. 45% in the surgery vs. in the best medical therapy group developed cerebrovascular ischaemic events. The best medical therapy was, however, suboptimal, lacking a lifestyle modification programme, best lipid-lowering as well as double antithrombotic therapy with aspirin and clopidogrel during the first 90 days after the index event [142].

According to AHA/ASA 2019 guidelines EC/IC bypass has largely been abandoned as a standard therapy for symptomatic ICAS. However, surgical revascularisation may be considered as a treatment option for patients with recurrent ischaemic stroke despite best medical therapy and pathophysiologically attributed to hypoperfusion with/without bad collaterals, especially if the vascular anatomy is unfavourable for endovascular therapy [66].

## CONCLUSION

Although understanding of ICAS has improved significantly in recent years, further research is warranted for these patients. First, high-quality imaging techniques could further improve diagnostics and assessment of intracranial atherosclerotic plaques. Second, novel, large-scale and high-power randomised clinical trials investigating efficacy and safety of best medical therapy including modern treatment such as proprotein convertase subtilisin/kexin type 9 (PCSK9) inhibitors and endovascular therapy are urgently needed. Importantly, careful patient selection for endovascular therapy, related to the underlying pathophysiological mechanism of cerebrovascular ischaemic events, as well as improved endovascular devices could result in better prognosis and outcome. Finally, more attention should be paid to screening and identification of patients with so far asymptomatic ICAS in order to prevent subsequent vascular events.

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**Compliance with Ethics Guidelines.** This article is based on previously conducted studies and does not contain any studies with human participants or animals performed by any of the authors.

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