1	Sulfonated activated carbons as potential catalysts
2	for biolubricant synthesis
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17 ABSTRACT

18 In this study, sulfonated activated carbons have been prepared, under different conditions, with the purpose of evaluating the effect of the nature and amount of sulfonic surface groups on the 19 esterification reaction of free fatty acids (FFA) with different long-chain alcohols. The synthesized 20 catalysts were characterized using different techniques and ¹H NMR was used for monitoring the 21 reaction products. The modifications of the surface functionalities were assessed by X-ray 22 Photoelectron Spectroscopy (XPS) and Thermogravimetric analysis (TGA), while changes in the 23 porous network and morphology of the samples were evaluated before and after the treatment of the 24 original activated carbon sample. XPS results showed the presence of two types of sulfur, one from 25 thiophenic sulfur (present on all materials, including the unmodified sample), and the other from 26 27 sulfonic groups (SO₃H), at 168 eV (present only in the modified samples). These catalysts were applied in the esterification reaction and presented excellent catalytic performances, while the 28 original activated carbon exhibited conversions similar to reactions without any catalyst. On the 29 other hand, the conversion of fatty acids when using the modified carbons improves significantly 30 with values up to ~ 100 % to mono alcohols and 70 % to trimethylolpropane. 31

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33 Keywords: activated carbon; sulfonation; esterification; oleic acid; biolubricants.

35 **1. INTRODUCTION**

36 Lubricants constitute an enormous market worldwide, their consumption being mainly in the automotive industry [1]. An interesting approach that would help in reducing the impact caused by 37 petroleum derivatives and their anthropogenic impact to the environment is the use of lubricants 38 39 obtained from vegetable oils. Since the 1980s, the trend in bio-based lubricants has been to overcome the limitations of the oils derived from plants, e.g. by chemical modification of these oils, or the 40 synthesis of esters that may be partially derived from renewable resources [2]. The vegetable oils are 41 formed by fatty acids that may be used to synthesize new bio-based lubricants. In general, the fatty 42 acids comprise about 85 % of the vegetable oils, thus making them the major factor for their 43 physicochemical properties. Their chain lengths and the number of double bonds are important 44 features for the melting point, stability and viscosity of the final products [3]. 45

Nevertheless, a lot of research has been done on the exploration of new feedstocks and 46 modification methods, development of more efficient catalysts for chemical modification of fatty 47 acids, for example oleic acid, and optimization of the modification approaches, using diols, polyols 48 as well as linear and branched alcohols [4-6]. Chemical modifications are mainly devoted to 49 reactions on the carboxylic functional group and double bonds present in the oil. One way is to 50 obtain new esters from triglycerides through transesterification or hydrolysis/esterification to obtain 51 new products with improved physicochemical properties for lubrication applications [7]. The 52 53 reaction between carboxylic acid and alcohol is used for the synthesis of drugs, solvents, perfumes and biofuels [8]. Normally, this reaction is carried out with short chain alcohols such as methanol 54 and ethanol [9-11]. However, long chain alcohols (between C8 and C14) with linear or branched 55 carbon chain or a polyol are considered more interesting for the production of esters that are used as 56 basestocks oil for lubricants formulation. These types of long chain alcohols, when used to produce 57 bio-based lubricants, have a large influence on the properties of the final products, such as viscosity, 58 59 pour point, oxidative stability and others [12].

Catalytic esterification of free fatty acids or carboxylic acid usually works over Brønsted 60 61 acids such as H₂SO₄, HCl, HF, among others. A problem with these catalysts is the difficulty to remove them from the reaction mixture after their use. In addition, the liquid acid can be corrosive to 62 the reactor and produce large amounts of acidic waste water. To try to overcome the problem 63 inflicted by the homogenous catalysts, several studies have used carbonaceous materials with 64 potential application in catalysis. These materials are frequently chemically modified with the 65 purpose to obtain improved catalytic activity, selectivity and stability when dealing with reactions to 66 obtain bioproducts [8, 13-20]. 67

In this study, activated carbons were modified to be used as catalyst in the esterification 68 69 reaction of free fatty acids (FFA) with different long-chain alcohols (octanol, 2-ethylhexanol and trimethylolpropane). The kinetics of each reaction and selectivity measurements were carried out for 70 all catalysts. The original and the sulfonated activated carbons were characterized by X-ray 71 72 Photoelectron Spectroscopy (XPS), Fourier Transformed Infrared Spectroscopy (FTIR), N2 adsorption/desorption, Thermogravimetric analysis (TGA) and Scanning Electron Microscopy 73 (SEM) to evaluate the changes in the surface, the morphology and the porous structure of the 74 catalysts. 75

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77 2. EXPERIMENTAL SECTION

78 *2.1. Materials*

Activated carbon (GAC 1240 PLUS) was provided by Norit (Netherlands). Octanol (>99 wt.%), trimethylolpropane (>98 wt.%), 2-ethyhexanol (>99.6 wt.%) and deuterated chloroform (CDCl₃, 99.8 %) were purchased from Sigma-Aldrich (USA). Oleic acid (C18:1, >98 wt.%) was provided by VETEC (Brazil) and used as FFA model for the esterification reactions. Analytical grade reagents (hydrochloric acid and sulfuric acid) were purchased from Dinâmica (Brazil).

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86 2.2. Modification and characterization of the activated carbon

The sulfonic groups were introduced by aromatic electrophilic substitution (Figure 1). In a first step, the activated carbon (AC) sample was washed with hydrochloric acid (0.1 mol/L) in deionized water until neutral pH, and then oven-dried at 110 °C for 24 h. The AC sample was subsequently chemically treated with sulfuric acid. The treatment was carried out using 5 g of AC with 50 mL of concentrated sulfuric acid, under reflux at 100 °C, 150 °C and 200 °C (labeled ACS1, ACS2 and ACS3, respectively) for 5 h. All materials were then repeatedly washed with deionized water until neutral pH and dried at 110 °C for 24 h.

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- 95

<Figure 1>

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Textural properties were evaluated by nitrogen adsorption at -196 °C in Autosorb IQ3 from 97 Quantachrome Instruments (USA). Approximately 40 mg of sample were degassed under vacuum 98 with the aid of a turbo-molecular pump, heated from room temperature to 150 °C for 6 h, heating 99 rate: 1 °C/min. The specific surface area (S_{BET}) was calculated using the BET method and micropore 100 volume was determined using the Dubinin–Radushkevich (DR) equation. The mesopore volume was 101 102 obtained by subtracting the micropore volume from the total pore volume. The pore size distributions were calculated using the density functional theory method (DFT), following the approach reported 103 104 by Jaciello and Thommes [21].

105 The infrared spectra were recorded on an ABB Bomem FTLA 2000-102 FTIR instrument 106 (USA). The spectra were acquired by accumulating 100 scans at 4 cm⁻¹ resolution in the range of 107 400-4000 cm⁻¹using samples (2 wt.%) with KBr.

The study of the morphology was carried out by scanning electron microscopy using Inspect S50 (FEI, USA) in the magnification range between 500 and 10000. The preparation of the samples was carried out by dispersing it on a carbon tape, and then metalizing it with Au. Energy Dispersive 111 X-Ray Spectroscopy (EDS), measured with the SEM, was used to evaluate the elemental content of112 the original and modified samples.

Thermal stability of catalysts was measured by thermal gravimetric analysis (TGA-QMS
customized, model STA 409 CD/403/5/G SKIMMER – Netzsch, Germany) with a heating ramp of
10 °C/min, from 30 °C to 800 °C.

X-ray photoelectron spectra (XPS) were collected using a K-Alpha spectrometer from 116 Thermo Scientific (USA). All spectra were collected using Al-Ka radiation (1486.6 eV), 117 monochromatized by a twin crystal monochromator, yielding a focused X-ray spot (elliptical in 118 119 shape with a major axis length of 400 μ m) at 3 mA \times 12 kV. The alpha hemispherical analyzer was operated in the constant energy mode with survey scan pass energies of 200 eV to measure the whole 120 energy band and 50 eV in a narrow scan to selectively measure the particular elements. Charge 121 compensation was achieved with the system flood gun that provides low energy electrons and low 122 energy argon ions from a single source. The C 1s core level was used as reference binding energy, 123 124 and it is located at 284.6 eV. The powder samples were pressed and mounted on the sample holder and placed in the vacuum chamber. Before recording the spectrum, the samples were maintained in 125 the analysis chamber until a residual pressure of ca. 5×10^{-7} N/m² was reached. The quantitative 126 127 analysis was estimated by calculating the integral of each peak, after subtracting the S-shaped background, and by fitting the experimental curve to a combination of Lorentzian (30%) and 128 Gaussian (70 %) lines. 129

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- 131 2.3. Catalytic experiments

The catalytic activities in the esterification reaction of oleic acid (OA) with octanol (OcA), 2-ethylhexanol (EhA) and trimethylolpropane (TMP) were carried out under inert atmospheric at 90 °C using a catalyst/oleic acid ratio of 4.0 wt.% and stirring of 500 rpm. The molar ratios of monoalcohols (OcA and EhA) to oleic acid were 4:1. For the TMP, the molar ratio was 1:4 (TMP:OA). The reaction experiments were carried out until 6 h. The products of the esterification reaction were evaluated by Nuclear Magnetic Resonance of Hydrogen (¹H NMR) obtained on Bruker model AVANCE spectrometers operating at a frequency of 400 MHz. The solvent used for the NMR measurements was deuterated chloroform at a temperature of 25 °C. The equations and calculations are described below as well as the reference peaks for each calculation.

The peak of the -CH₂- bond, at approximately 2.25-2.5 ppm, was taken as reference in the conversion calculations and the peak for the -HC=CH- bond was used for the selectivity calculations using Eqs. 1-3:

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$$CO = \frac{a_1}{2}.100\%$$
 (1)

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$$DC = \frac{2-a_2}{2}.100\%$$
 (2)

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$$S = \frac{CO}{CO + DC} .\, 100\%$$
 (3)

where: a_1 is the area of the new binding peak, concerning $-CH_2$ - bond, approximately 4.0 ppm; a_2 is the peak area of double bond, approx. 5.5-5.25 ppm; CO is the conversion of oleic acid; DC is the double bond conversion and S is selectivity for oleic ester.

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151 **3. RESULTS AND DISCUSSION**

152 *3.1. Characterization of modified activated carbons*

The N₂ adsorption/desorption isotherms at -196 °C of the catalysts are shown in Figure 2a 153 (data included in Table 1). All materials exhibited a type IV isotherm, according to the IUPAC 154 155 classification [22], with narrow H4 type hysteresis. This means that the materials are micromesoporous carbons, as shown in Figure 2b. Therefore the treatment with sulfuric acid does not 156 affect significantly their total pore volume, however, a decrease in specific surface area was observed 157 for the ACS1 sample, as previously reported [8, 13, 14, 23 and 24]. It can be observed that ACS1 has 158 larger meso-macropore volume than other catalysts. The PSD curves presented in Figure 2b 159 indicated that the modified activated carbons were very similar in terms of pore size distributions. 160

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<Figure 2>

<Table 1>

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The type of bond on the surface of the catalysts was evaluated using FTIR (Figure 3), to 165 verify the presence of the sulfonic groups on the surface of the materials through their bonds. The 166 wavenumber between 3500 and 3250 cm⁻¹ refers to -OH group, and the region between 1630 - 1730167 cm^{-1} is attributed to stretching of -C=C- and -COOH bonds. Sulfonic acid, when in its anhydrous 168 form, absorbs in the second region: 1150-1300 cm⁻¹. However, a displacement is observed in 169 170 Figure 3 that may be due to the rapid hydration of the sulfonic groups forming hydronium sulfonates in the experimental conditions used in this study [25]. The vibrational band between 1250 and 1000 171 cm⁻¹ refers to the S=O bond [23]. The presence of the C=S bond was confirmed at the wavenumber 172 1120 cm⁻¹ [26] and the stretch remaining at approximately 600 cm⁻¹ is due to C-S binding. Therefore, 173 the presence of the sulfonic groups in all modified samples was evidenced. 174

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- 176

<Figure 3>

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178 XPS studies were carried out to better evaluate the sulfonic groups in all catalysts (see Figure 179 4). The high resolution C 1s can be divided into three small peaks at 284.5 eV (C–C/C=C), 285.6 eV 180 (C–O/C–S), and 287.0 eV (O=C), which are present in all samples (Fig. 4a,c,e,g) [8, 26, 27]. The 181 narrow S 2p presents two relative sulfur types of -C-S-C- attributed to thiophenic sulfur (between 163 and 166 eV) [26]. The sulfonic groups SO₃H (168 eV) [26, 28] were observed only in modified 183 samples. Between all catalysts (ACS1, ACS2 and ACS3), the ACS1 stands out for the amount of 184 sulfonic groups on the surface, much higher than the other catalysts (see Table 1).

<Figure 4>

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The SEM images of activated carbons modified at different temperatures are shown in Figure 188 5. The carbons have a completely irregular morphology, in other words, they are amorphous and 189 have a large particle size with a small difference in the sample treated at 150 °C (ACS2) which 190 apparently has more available surface. The EDS results, measured with the SEM, are shown in Table 191 192 2. The FTIR results had shown the presence of sulfonic groups already in the original carbon, as shown in Table 2; however, it is observed that the mass percentage of sulfur found in the original AC 193 is lower than in those samples treated with sulfuric acid. There was also an increase in the presence 194 195 of oxygen which may also be associated with the presence of the -SO₃H groups. 196 <Figure 5> 197 <Table 2> 198 199 The weight loss curves and those derived from each catalyst sample are presented in Figure 6. 200 201 All samples exhibit a mass loss up to 100 °C, associated to the loss of water. Also, all catalysts present a mass loss in the region of 300 °C, except the original AC (Figure 6a). Malins et al. [6] have 202 203 associated this loss to the decomposition of SO₃H groups. These results are in compliance with what had been observed for the XPS studies (Table 1). 204 205 206 <Figure 6> 207 208 3.2. Catalytic experiments The catalytic performance was measured by ¹H NMR (Figure 7) trying to identify mainly the 209

products of the esterification reactions. The peak at approximately 5.25-5.5 ppm is related to the

protons of the double bond (-HC=CH-) of the oleic acid molecule and the peak at 2.25-2.5 ppm refers to the hydrogen of the $-CH_2$ - group near the carbonyl group. The esterification reaction is confirmed in Figure 7 with the appearance of the peak at 4.0 ppm. As the alcohol had an excess, for reactions with octanol and 2-ethyhexanol, the peak about 3.5 ppm is referring to -CH- related to oxygen, as shown in Figure 7a,b.

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<Figure 7>

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The results of the conversion over time, for esterification reaction using octanol, 2-219 220 ethylhexanol and TMP, are presented in Figure 8, 9 and 10, respectively. For all experiments, the AC sample exhibit a low catalytic activity in the oleic acid esterification, with conversion values close to 221 results of the non-catalytic process, meaning there was no specific catalytic action. The catalytic 222 223 results reveal that all sulfonated samples showed excellent conversion values. For octanol, all samples presented similar results (conversion ≈ 100 % after *ca*. 100 min). However, for 2-224 ethylhexanol (Figure 9) and TMP (Figure 10), the ACS1 sample (most sulfonated one) displayed 225 higher conversion values than the others (ACS2 and ACS3). 226

Although the ACS1 stands out among the catalysts, it exhibits oleic acid conversion value of ~70 % when using TMP (Figure 10). This may be due to a decrease of the nucleophilicity of the alcohol, a steric effect and lower vapor pressure, all these effects would lead to lower conversion values [12, 29-31].

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- 232
 <Figure 8>

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 <Figure 9>
- 234 **<Figure 10>**
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236	The selectivities to esters are presented in Figure 11. Despite the branching of 2-ethylhexanol,
237	the catalysts were more selective in this reaction reaching 90 % when ACS2 was used. However, the
238	selectivity to esters was 48 % when TMP alcohol was used on ACS2 catalyst. The values of
239	selectivities to esters showed decreasing trend with increasing branching level of alcohols
240	(octanol<2-ethylhexanol <tmp), (ac).="" catalyst="" catalysts="" for="" non-modified="" showed<="" sulfonated="" td="" the=""></tmp),>
241	better performances than AC for all alcohols. Thus, the selectivity to esters increased with the
242	presence of the sulfonated catalyst, in all cases in such a way that the modified catalysts exhibited
243	similar selectivity trend (2-Ethylhexyl oleate>Octyl oleate>TMP oleate) after 6 h of reaction. On the
244	one hand, increasing the sulfonic groups and meso-macropore volume in the catalysts
245	(ACS1>ACS2>ACS3), as checked by textural properties and XPS results, the selectivity to obtain
246	TMP trioleate was improved with respect to original AC.

<Figure 11>

4. CONCLUSIONS

252 Sulfonated activated carbons were prepared by aromatic electrophilic substitution. The results of N₂ physisorption and MEV showed that the treatment with sulfuric acid did not change 253 significantly the structure of the materials. However, changes in micropore volume of sulfonated 254 activated carbons were observed. The presence of sulfonic groups was evidenced by XPS and TGA, 255 in the peak S 2p at 168 eV and an additional region of weight loss at 300 °C, respectively. The XPS 256 257 results exhibited the occurrence of two types of sulfur, one from thiophenic sulfur (present on all materials, including the unmodified sample), and the other from sulfonic groups (SO₃H), at 168 eV 258 (present only in the modified samples). 259

The catalytic results in the esterification reaction of oleic acid with three different alcohols were very promising. The original AC showed no significant effect when compared with a noncatalytic process. Nevertheless, the difference is quite discrepant when using the modified carbons (ACS1, ACS2 and ACS3). Conversions values for these catalysts reached approximately 100 % when the mono alcohols were used, with excellent selectivity (up to 90 %). However, the ACS1 sample revealed better performance in obtaining the ester of TMP with selectivity above of 60 %, due mainly the high content of sulfonic groups and meso-macropore volume in this catalyst.

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- 375

376 TABLE CAPTIONS

- 377
- **Table 1.** Textural properties of samples (AC, ACS1, ACS2 and ACS3).
- **Table 2.** Elemental content of the original and modified samples from the EDS measurements.
- 380

	AC	ACS1	ACS2	ACS3
S_{BET} (m ² /g)	931	714	954	869
Total pore volume (cm ³ /g)	0.454	0.468	0.483	0.440
Micropore volume, DR^* (cm ³ /g)	0.397	0.335	0.419	0.400
Meso-macropore volume (cm ³ /g)	0.057	0.133	0.064	0.040
Atomic percentage of S (%) From SO ₃ H (at 168 eV)**	-	0.36	0.17	0.20

F 14-	AC	ACS1 (wt.%)	ACS2	ACS3
Elements	(wt.%)		(wt.%)	(wt.%)
С	83.8	75.3	80.2	84.6
0	6.2	8.4	7.8	8.4
S	0.7	1.1	1.6	1.6
Si	1.3	1.5	1.0	0.6
Al	1.1	1.1	0.9	0.5
Cl	0.7	0.4	0.5	0.2
K	0.1	0.1	0.1	-

FIGURE CAPTIONS 395

396

Figure 1. Sulfonation of activated carbon (modified from [8]). 397

- Figure 2. (a) Nitrogen adsorption/desorption isotherms at -196 $^{\circ}$ C for all samples; (b) Pore size 398 distribution obtained by DFT. 399
- Figure 3. FTIR spectra of samples AC, AS1, ACS2 and ACS3. 400
- 401 Figure 4. XPS spectra of high-resolution C 1s and S 2p of all catalysts.
- Figure 5. Images obtained by scanning electron microscopy of samples (a) AC, (b) ACS1, (c) 402 403 ACS2 and (d) ACS3.
- Figure 6. Mass loss curves and their derivatives:(a) AC, (b) ACS1, (c) ACS2 and(d) ACS3. 404
- **Figure 7.** ¹H NMR of samples obtained after esterification reactions of oleic acid with octanol (a), 405 406 2-ethylhexanol (b) and TMP (c) alcohols at 90 °C. Conditions: 4:1 molar ratio to octanol
- or 2-ethylhexanol/oleic acid; 1:4 to TMP/oleic acid; 4 wt.% of the catalyst/oleic acid. 407
- Conversion over time of the oleic acid with octanol at 90 °C. Conditions: 4:1 molar ratio 408 Figure 8. to octanol/oleic acid; 4 wt.% of the catalyst/oleic acid. 409
- Figure 9. Conversion over time of the oleic acid with 2-ethylhexanol at 90 °C. Conditions: 4:1 410 molar ratio to 2-ethylhexanol/oleic acid; 4 wt.% of the catalyst/oleic acid.
- Figure 10. Conversion over time of the oleic acid with TMP at 90 °C. Conditions: 1:4 to TMP/oleic 412 acid; 4 wt.% of the catalyst/oleic acid. 413
- Figure 11. Selectivity in oleate esters for experiments of oleic acid with octanol, 2-ethylhexanol and 414 TMP at 90 °C (time = 6 h), for all catalysts. 415
- 416

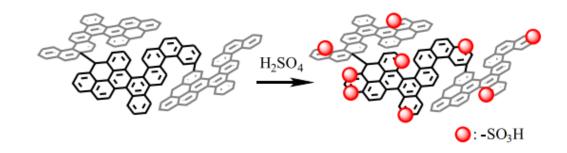


Figure 1

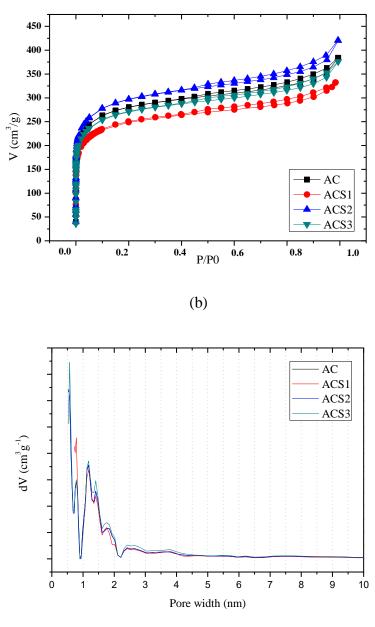


Figure 2

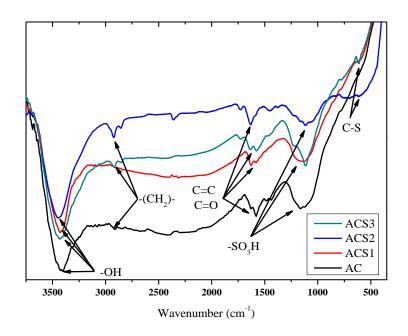
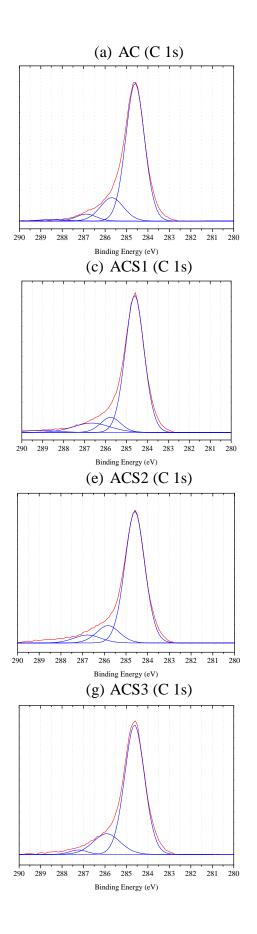


Figure 3



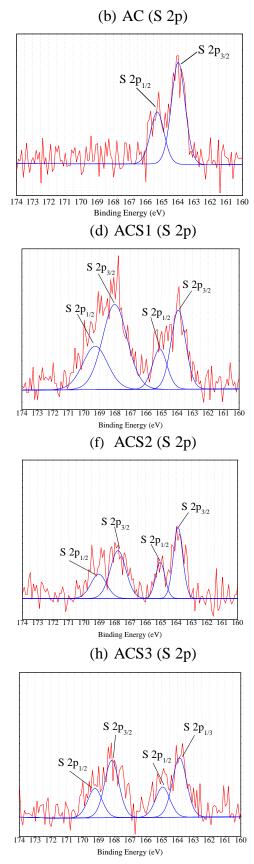


Figure 4

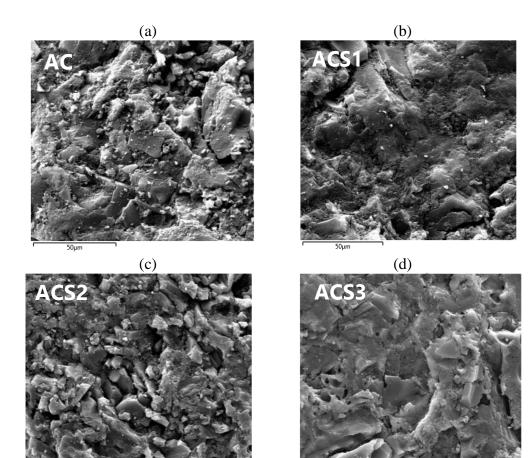


Figure 5



50µr



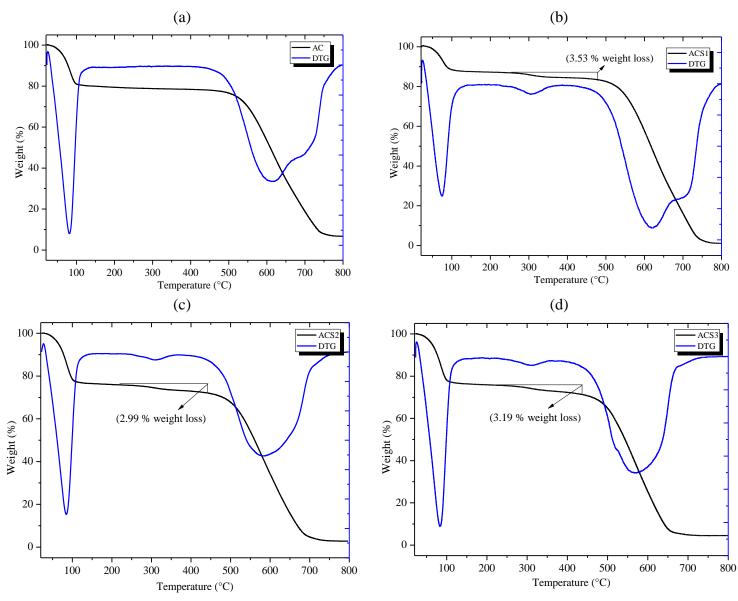


Figure 6

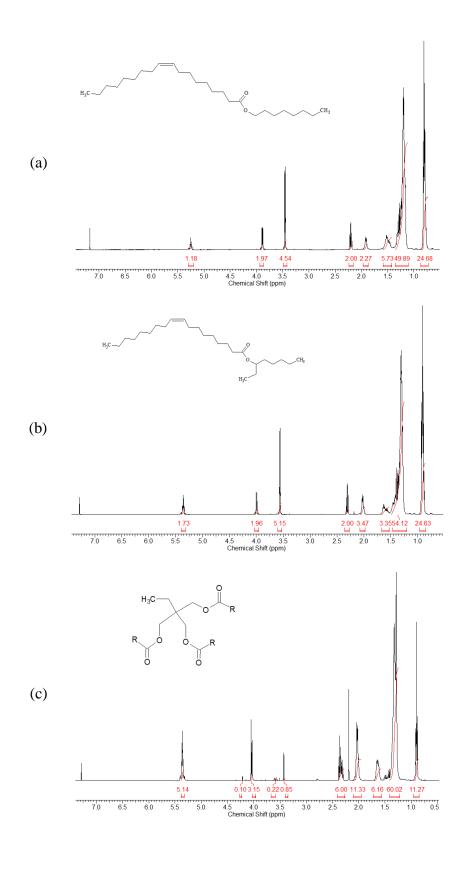


Figure 7

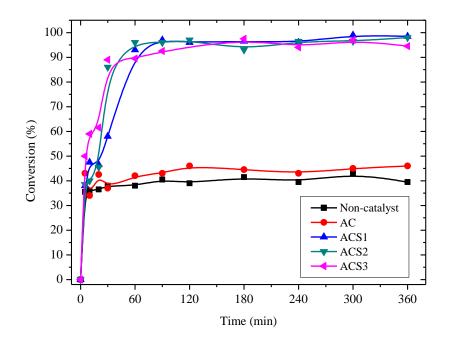


Figure 8

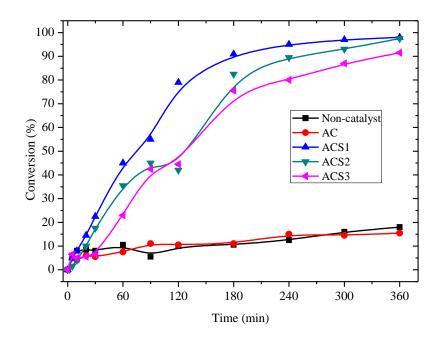


Figure 9

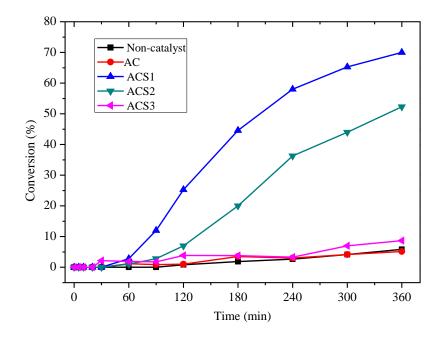


Figure 10

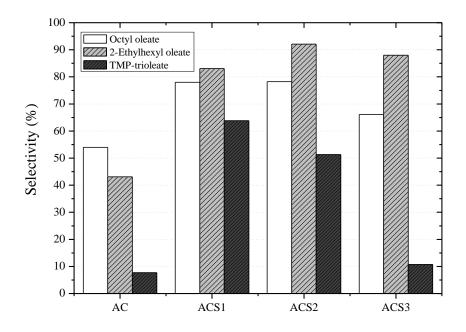


Figure 11