

Carbon and chlorine isotope fractionation patterns associated with different engineered chloroform transformation reactions

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Abstract

To use compound-specific isotope analysis for confidently assessing organic contaminant attenuation in the environment, isotope fractionation patterns associated with different transformation mechanisms must first be explored in laboratory experiments. To deliver this information for the common groundwater contaminant chloroform (CF), this study investigated for the first time both carbon and chlorine isotope fractionation for three different engineered reactions: oxidative C-H bond cleavage using heat-activated persulfate, transformation under alkaline conditions (pH~12) and reductive C-Cl bond cleavage by cast zero-valent iron, Fe(0). Carbon and chlorine isotope fractionation values were $-8\pm 1\%$ and $-0.44\pm 0.06\%$ for oxidation, $-57\pm 5\%$ and $-4.4\pm 0.4\%$ for alkaline hydrolysis (pH = 11.84 ± 0.03), and $-33\pm 11\%$ and $-3\pm 1\%$ for dechlorination, respectively. Carbon and chlorine apparent kinetic isotope effects (AKIEs) were in general agreement with expected mechanisms (C-H bond cleavage in oxidation by persulfate, C-Cl bond cleavage in Fe(0)-mediated reductive dechlorination and E1_{CB} elimination mechanism during alkaline hydrolysis) where a secondary AKIE_{Cl} (1.00045 ± 0.00004) was observed for oxidation. The different dual carbon-chlorine ($\Delta\delta^{13}\text{C}$ vs. $\Delta\delta^{37}\text{Cl}$) isotope patterns for oxidation by thermally-activated persulfate and alkaline hydrolysis (17 ± 2 and

28 13.0±0.8, respectively) vs. reductive dechlorination by Fe(0) (8±2) establish a base to identify and
29 quantify these CF degradation mechanisms in the field.

30 **1. Introduction**

31 Chloroform (CF) is both an anthropogenic environmental contaminant widely distributed around the
32 world as well as a natural compound formed in various aquatic and terrestrial environments¹⁻³. CF of
33 anthropogenic origin has been extensively used as degreasing agent and as a precursor to Teflon and
34 various refrigerants and was historically used in medicine as anesthetic. It is formed as oxidation by-
35 product during drinking water treatment⁴ and may form as a daughter product of carbon tetrachloride
36 (CT) dehalogenation at contaminated sites. As a result, CF is one of the most frequently detected
37 volatile organic compounds (VOCs) in groundwater⁵. Taking into account its high ecotoxicity⁶, CF
38 prominently ranks among the halogenated VOCs on the Agency for Toxic Substances and Disease
39 Registry priority list of hazardous substances⁷.

40 Aerobic and anaerobic cometabolic biodegradation processes of CF have been described⁸. However,
41 CF cometabolic degradation is restricted by several environmental factors such as the presence of
42 other specific compounds that inhibit CF degradation, the availability of the substrate or the toxicity of
43 derived metabolites⁸. Reductive dechlorination of CF via dehalorespiration by two *Dehalobacter* sp.
44 strains (CF50 and UNSWDHB) and one *Desulfitobacterium* sp. strain (PR) has recently been
45 described in laboratory studies⁹⁻¹⁴ and proposed as anaerobic bioremediation strategy. However, this
46 strategy is only applicable to contaminated sites in the absence of its parent compound, i.e. CT, which
47 has been shown to strongly inhibit CF dehalorespiration in an enrichment culture containing
48 *Dehalobacter* spp.¹⁵. In turn, CF itself is a strong inhibitor of chlorinated ethene- or ethane-degrading
49 cultures even when present at low concentrations^{16,17}. These interdependencies make the remediation
50 of sites contaminated with several chlorinated compounds particularly challenging so that multiple-
51 stage remediation strategies are warranted in which inhibitors like chloromethanes are removed
52 upfront.

53 Abiotic reactions bear potential to accomplish such an initial removal. Naturally occurring iron-
54 bearing minerals like goethite and iron sulfide under low-redox environments have been demonstrated
55 to be involved in the reductive dechlorination of CF¹⁸. However, due to the very restricted natural
56 attenuation conditions for CF and its complex distribution in the subsurface as a dense nonaqueous
57 phase liquid (DNAPLs), more efficient engineered remediation strategies have been proposed to
58 increase CF removal in the environment. As a result of the high oxidation state of carbon in CF, its
59 degradation by *in situ* chemical oxidation (ISCO) is in general much less effective than for chlorinated
60 ethenes using common oxidants such as permanganate, iron-activated persulfate (PS), ozone,
61 hydrogen peroxide, or Fenton's Reagent¹⁹. However, thermally-activated PS was recently shown to be
62 a better option for efficient CF oxidation with the advantage that under thermal activation, the strongly
63 oxidizing sulfate radical and other reactive intermediates (i.e. hydroxyl radicals, or reducing radicals
64 such as superoxide radicals, O₂^{•-}) can be generated at neutral pH²⁰⁻²³.

65 Alternatively, CF alkaline hydrolysis has recently been proposed as a remediation technology based on
66 its occurrence in drainage trenches filled with concrete-based construction wastes²⁴. For the
67 sustainable use of this new remediation strategy, identifying and assessing the performance of CF
68 degradation reaction by alkaline hydrolysis, as well as understanding the underlying mechanism, is
69 important.

70 Finally, CF reductive dechlorination by zero-valent metals has been studied only at laboratory scale²⁵⁻
71 ²⁹. Nevertheless, this remediation strategy has been successfully proven at field sites contaminated by
72 chlorinated ethenes using permeable reactive barriers with micro/macro-scale Fe(0)^{30,31} or Fe(0)
73 nanoparticle injection^{32,33}.

74 Improved methods are needed to delineate the relative efficacy of the above mentioned CF
75 remediation approaches in the field. During the last decades, compound-specific isotope analysis
76 (CSIA) has evolved as a tool to monitor transformation reactions and to quantify the progress of
77 natural and enhanced remediation of organic contaminants^{34,35}. Molecules with light isotopes in the
78 reactive position typically react slightly faster than molecules containing the heavy ones leading to a

79 kinetic isotope effect (KIE). As a consequence, the heavier isotopes (e.g. ^{13}C and ^{37}Cl) usually become
80 enriched in the remaining substrate. For a given reaction, quantification of the extent of contaminant
81 transformation based on stable isotope ratios requires the experimental determination of isotopic
82 fractionation (ϵ , see Materials and Methods part) ³⁶.

83 Isotopic fractionation values for transformation reactions need to be known for very practical reasons:
84 (i) to understand what changes in isotope values can be expected in the field at all, and whether this
85 holds promise to qualitatively detect degradation; (ii) to understand what mechanism lies behind the
86 isotope effect, in order to subsequently chose an appropriate ϵ value for quantification in the field.

87 In order to gain insight into the underlying reaction mechanism, apparent kinetic isotope effects
88 (AKIEs) can be derived from determined ϵ values taking into account which of the atoms in the target
89 molecule are expected to be present at the reactive position. Comparison of the observed AKIEs to the
90 theoretical maximum KIEs (“semiclassical Streitwieser Limits”) associated with breakage of chemical
91 bonds, enables interpretation of occurring transition state(s) of a bond cleavage in terms of (i) primary
92 isotope effects affecting the atoms present in the reacting bond, (ii) secondary isotope effects affecting
93 atoms located adjacent to the reacting position^{37,38}. Often, however, it is uncertain whether additional
94 factors exert an influence on observable isotope fractionation such as (iii) masking due to rate-
95 limitation in mass transfer and (iv) superimposed isotope effects of multiple reaction steps typical of
96 enzyme catalysis or multistep chemical reactions³⁹⁻⁴². When observable isotope fractionation of a
97 single element varies between experiments, it is, therefore, often uncertain whether this is due to a
98 different mechanism, or whether these other factors are responsible. Dual-element isotope plots – i.e.,
99 graphs in which changes in isotope values of one element are plotted against those of a second – offer
100 a more reliable distinction between reaction mechanisms than ϵ values alone^{35,39,43-50}.

101 Such information can be highly valuable in field situations. Non-destructive abiotic natural processes,
102 such as sorption, volatilization or diffusion strongly affect concentrations of a contaminant, but
103 generally do not cause significant isotopic fractionation⁵¹⁻⁵⁷. Temporal or spatial shifts in isotope
104 ratios, in contrast, are highly indicative of degradation and can, therefore, better monitor the success of

105 remediation strategies at contaminated sites than mass balances alone⁵⁸. Dual (or multi) isotope
106 patterns, finally, can even be used to derive the relative contribution of different reaction mechanisms
107 and then to quantify the efficiency of each of them in the field – provided that ϵ values of these
108 processes have previously been characterized in laboratory experiments⁵⁹⁻⁶⁴.

109 Reported carbon isotope effects during CF transformation are, however, scarce in the literature. Chan
110 et al.¹¹ reported a carbon isotope fractionation value of $-27.5 \pm 0.9\%$ during dehalorespiration of CF by
111 a mixed culture containing *Dehalobacter* sp. strain CF50. In comparison, a much lower ϵ_C value of -
112 $4.3 \pm 0.4\%$ was reported by Lee et al.²⁹ for the same dechlorination reaction by a mixed consortium
113 containing another *Dehalobacter* sp. strain, UNSWDHB, whereas isotope fractionation in CF abiotic
114 reductive dechlorination by micro-sized Fe(0) was found to be indistinguishable from that of the first
115 experiment ($-29 \pm 2\%$). Significantly, larger carbon isotopic fractionation was observed for CF alkaline
116 hydrolysis at pH ranging from 11.8 to 12.7 ($-53 \pm 3\%$)²⁴. To the best of our knowledge, chlorine
117 isotope fractionation during any CF transformation mechanism has not been reported so far.
118 Specifically, understanding whether different reaction mechanisms lead to characteristic patterns in
119 dual C-Cl isotope plots is still limited even for chlorinated ethenes^{46,47,62} and, to our knowledge, is
120 currently non-existent for chlorinated methanes. Hence, there is a need to explore dual element CSIA
121 for defined reactions under controlled laboratory conditions to pave the path for the interpretation of
122 isotope data in field studies.

123 Therefore, the goal of this study was to determine carbon and, for the first time, chlorine isotope
124 fractionation patterns for different transformation processes of CF in important abiotic engineered
125 reactions in order to explore the ability of CSIA to identify these processes at field sites. The selected
126 chemical reactions were: oxidative C-H bond cleavage by radicals produced from PS activation,
127 alkaline hydrolysis of chloroform at pH 12 and C-Cl bond cleavage in reductive dechlorination by cast
128 milli-sized Fe(0).

129 **2. Material and methods**

130 **2.1. Experimental setup**

131 All the experiments were conducted in duplicate using glass vials completely filled with aqueous
132 solution without headspace to avoid partitioning of chlorinated volatile compounds into the gas phase.
133 For the experiments with heat-activated PS and alkaline hydrolysis, 21-mL vials sealed with PTFE-
134 coated rubber stoppers and aluminum crimp seals were used, whereas the Fe(0) experiments were
135 performed using 42-mL clear glass vials capped with PTFE-coated rubber stoppers and plastic screw
136 caps. A list of chemicals and additional experiment details is available in the Supporting Information
137 (SI).

138 For the thermally-activated PS experiments, the vials were filled with a pH 7 buffer solution and 0.5
139 mL of solutions with variable concentrations of PS were added to achieve initial PS-to-CF-molar
140 ratios of 5/1, 10/1 or 40/1. The vials were placed in a thermostatic water bath at 50.0 ± 0.5 °C and the
141 reaction was initiated by the addition of 0.5 mL of an aqueous CF (99%, Sigma-Aldrich) stock
142 solution containing 2100 mg L^{-1} to achieve initial concentrations of 50 mg L^{-1} . The experiments lasted
143 for 10 hours and samples for analysis were collected at different time intervals. At each sampling time,
144 the vials were removed from the water bath and immediately placed in an ice bath to quench the
145 reaction by chilling. Samples were stored in the dark at 4 °C until analysis. Losses of CF due to
146 volatilization and/or sorption were accounted for in control experiments set up in an identical manner
147 except for the addition of PS.

148 CF alkaline hydrolysis experiments were performed at room temperature ($\sim 25^\circ\text{C}$) in a pH 12 buffer
149 solution and the vials were covered with aluminum foil to avoid photocatalyzed oxidation of CF. The
150 reaction was initiated by the addition of 0.5 mL of the CF (99%, Sigma-Aldrich) stock solution to
151 reach initial theoretical concentrations of 50 mg L^{-1} . The experiments started at different times to
152 achieve reaction times varying from 0 to 35 d. After 35 d from the earliest prepared vials, all the vials
153 were sacrificed at the same time. An appropriate volume of 0.1 M acetic acid was added to the vials to
154 neutralize the solution to pH 6 and quench the alkaline hydrolysis reaction. Samples were stored in the
155 dark at 4 °C until analysis. Control experiments with unbuffered deionized water were also performed.

156 For the Fe(0) experiments, 2 g of milli-sized cast iron ($1.624 \pm 0.007 \text{ m}^2 \text{ g}^{-1}$) were added to each 42-mL
157 vial to reach a surface concentration of $77 \text{ m}^2 \text{ L}^{-1}$. Afterwards the vials were filled with a pH 6.6 buffer
158 solution and the reaction was initiated by the addition of the CF pure phase (99%, Alfa Aesar) to reach

159 initial theoretical concentrations of 100 mg L⁻¹. The vials were covered with aluminum foil to avoid
160 photocatalyzed oxidation of CF and were rotated on a horizontal shaker (IKA KS 260 BASIC,
161 Stanfen, Germany) at 200 rpm. Control experiments without iron were also carried out. The
162 experiments were performed at room temperature (~25°C) and they lasted 51 hours. Reactions were
163 stopped by filtration through 0.2 µm filters at different time intervals and samples for analysis were
164 stored frozen in 10 mL vials covered with aluminum foil.

165 2.2. Analytical Methods

166 Detailed descriptions of analytical methods are available in the SI. Briefly, concentration
167 measurements of chlorinated compounds were performed by headspace (HS) using GC/MS as
168 explained elsewhere²⁴, except for the samples from Fe(0) experiments for which GC/TOF/MS was
169 used. Chloride anion concentrations were analyzed by high-performance liquid chromatography.
170 Carbon isotope analyses of CF and some detectable volatile daughter products were performed using
171 two different GC/IRMS systems located at the University of Neuchâtel (GC/IRMS-1)⁵⁰ and at the
172 Scientific and Technological Centers of the University of Barcelona (GC/IRMS-2)²⁴. Chlorine isotope
173 CF analyses were performed using a GC/qMS system from the University of Neuchâtel as explained
174 elsewhere⁶⁶ or a GC/IRMS system from the Institute of Groundwater Ecology of the Helmholtz
175 Zentrum München (GC/IRMS-3). An interlaboratory comparison demonstrating excellent agreement
176 between the two analytical methods is provided in Heckel et al.⁶⁷.

177 2.3. Isotope data evaluation

178 Bulk carbon and chlorine ε values were obtained from the slope of the linearized Rayleigh equation
179 for a closed system³⁶:

$$180 \quad \ln \left(\frac{\delta_t + 1}{\delta_0 + 1} \right) = \varepsilon \times \ln f \quad (1)$$

181 where δ₀ and δ_t are isotope values in the beginning (0) and at a given time (t), respectively, and f is the
182 fraction of substrate remaining at time t. Isotope signatures were reported in per mil (‰) using the

183 delta notation relative to international standards, i.e. Vienna PeeDee Belemnite for carbon ($\delta^{13}\text{C}_{\text{VPDB}}$)
184 and the international Standard Mean Ocean Chloride (SMOC) for chlorine ($\delta^{37}\text{Cl}_{\text{SMOC}}$):

$$185 \quad \delta \text{ (in ‰)} = (R/R_{\text{std}} - 1) \quad (2)$$

186 where R and R_{std} are the isotope ratios of the sample and the standard, respectively.

187 Errors given for ϵ values are the 95% confidence intervals (CI) of the slope of the regression line in the
188 Rayleigh plots.

189 The apparent kinetic isotope effects (AKIEs) were calculated to evaluate the intrinsic isotope effect of
190 the bond cleavage (see equations in the SI).

191 For dual C-Cl isotope plots, the slope of the correlation trend was determined by linear regression and
192 the uncertainty corresponds to the 95% CI.

193 **3. Results and discussion**

194 3.1. Carbon and chlorine isotope fractionation

195 Changes in CF concentration and C and Cl isotope ratios of CF during degradation by the three
196 different mechanisms are shown in Figure 1. No CF degradation was observed in the experimental
197 controls (without adding PS, Fe(0) or at neutral pH in the case of the hydrolysis reaction) for any of
198 the three studied reactions. Measured concentrations in all the samples from the control experiments
199 were always higher than 80% of the initial CF concentration (Fig 1). Accordingly, no significant
200 changes in $\delta^{13}\text{C}$ and $\delta^{37}\text{Cl}$ isotope values were detected in the control experiments. In the rest of the
201 experiments, a normal isotope effect was observed for both carbon and chlorine. The isotope results of
202 combined experimental replicates, which were highly consistent for each experimental system, were
203 used to derive C and Cl isotope fractionation values by the use of Rayleigh plots (Fig 2 and Fig S3).
204 Further details on kinetics data evaluation, comparison with previous studies and product yields are
205 provided in the SI.

206 PS oxidation. The initial carbon and chlorine isotope composition remained constant ($-42\pm 1\%$ and -
207 $3.1\pm 0.2\%$, respectively, both $n=4$) in the control experiments (Fig S3A, B). Around 90% of CF
208 removal was observed after 6 days in the PS experiments with an initial PS/CF molar ratio of 40/1 (Fig
209 1A). In contrast, only 30% and 20% of CF degradation were accomplished after 7 days with initial
210 molar ratios of 10/1 and 5/1, respectively (Fig. S1). Therefore, isotope ratios were determined only in
211 those samples from the experiments with an initial PS to CF molar ratio of 40/1. Carbon isotope
212 fractionation during oxidation with PS has been shown to be independent of the PS/contaminant molar
213 ratio for chlorinated ethenes and 1,1,1-trichloroethane.^{68,69} Carbon isotope composition exhibited an
214 enrichment of $^{13}\text{C}/^{12}\text{C}$ up to $\delta^{13}\text{C}$ values of $-23.8\pm 0.5\%$, which resulted in an ϵ_{C} value of $-8\pm 1\%$ (Fig
215 2A). Compared to carbon, a much smaller shift in $^{37}\text{Cl}/^{35}\text{Cl}$ was observed (Fig 1A), resulting in $\delta^{37}\text{Cl}$
216 values up to $-1.9\pm 0.4\%$ (Fig S3AB). An ϵ_{Cl} of $-0.44\pm 0.06\%$ was obtained (Fig 2B). Neither carbon
217 nor chlorine isotope fractionation associated with this reaction have been reported so far. The pH was
218 kept at circumneutral values (7.0 ± 0.2) during the course of the experiment. This reaction followed
219 pseudo-first-order kinetics with a k' of $0.40\pm 0.06\text{ d}^{-1}$ ($R^2= 0.96$, Fig S1). Neither PS consumption nor
220 sulfate production were monitored along the experiments. Chloride concentrations released into
221 solution were measured at the end of the experiment and accounted for between 95% and 110% of the
222 total theoretical CF dechlorination yield, which was calculated assuming release of all the three
223 chlorine atoms. Neither products nor intermediates were detected by headspace GC/MS analysis
224 during the course of the experiments.

225 Alkaline hydrolysis. Carbon and chlorine isotope values remained constant ($-41.8\pm 0.5\%$ and -
226 $2.6\pm 0.4\%$, respectively both $n=5$) in control vials at neutral pH. Under alkaline conditions (the pH
227 remained constant 11.84 ± 0.03 over the duration of the experiment), a 85% decrease in CF
228 concentration within approximately 35 days was observed (Fig 1B). Alkaline hydrolysis induced a
229 significant isotope effect, resulting in $\delta^{13}\text{C}$ and $\delta^{37}\text{Cl}$ values up to $+70.6\pm 0.3\%$ and $+5.7\pm 0.4\%$,
230 respectively, after 85% CF removal (Fig S3C, D). An ϵ_{C} of $-57\pm 5\%$ (Fig 2C) and ϵ_{Cl} of $-4.4\pm 0.4\%$
231 (Fig 2D) were determined. So far, the only reported carbon isotope fractionation value for CF alkaline
232 hydrolysis was $-53\pm 3\%$ at a pH range from 11.9 to 12.7)²⁴, which is comparable, within uncertainty,

233 to that obtained in the present study. Carbon isotope fractionation is therefore independent of the pH in
234 the tested range (from 11.8 to 12.7). To our knowledge chlorine isotope fractionation for this reaction
235 has not been reported up to now.. The reaction followed pseudo-first-order kinetics ($R^2= 0.92$, Fig S1)
236 with a k' of $0.052\pm 0.008\text{ d}^{-1}$, which is in agreement with a previously reported rate constant of
237 $0.047\pm 0.004\text{ d}^{-1}$ obtained at a similar pH 11.9 ± 0.1^{24} . No particular attempts were made to identify
238 potential products of CF degradation, such as carbon monoxide (CO), formate (HCO_2^-), and chloride
239 (Cl^-). In our previous work, excellent chlorine balances were achieved in similar experiments,
240 indicating that CF was completely dehalogenated without accumulation of chlorinated intermediates²⁴.

241 Fe(0) dechlorination. CF in the controls without Fe(0) at pH 6.3 ± 0.2 did not show any changes in
242 carbon and chlorine isotope composition ($\delta^{13}\text{C} = -47.8\pm 0.5\text{‰}$, $n=4$ and $\delta^{37}\text{Cl} = -3.2\pm 0.2\text{‰}$, $n= 6$,
243 respectively). In the presence of milli-sized Fe(0), CF isotope signatures of both elements showed
244 significant changes leading up to values of $\delta^{13}\text{C} = +35.9\pm 0.5\text{‰}$ and $\delta^{37}\text{Cl} = +1.7\pm 0.1\text{‰}$, respectively,
245 after 84% CF removal (Fig S3E, F). Isotope fractionation values of $\epsilon_{\text{C}} = -33\pm 11\text{‰}$ and $\epsilon_{\text{Cl}} = -3\pm 1\text{‰}$
246 were determined (Fig 2E and F). The obtained ϵ_{C} was not significantly different from ϵ_{C} of $-29\pm 2\text{‰}$
247 reported recently after 50% of CF dechlorination by commercial micro-sized Fe(0)²⁹. Chlorine isotope
248 fractionation associated with this reaction has not been reported yet. The pH did not vary significantly
249 over the duration of the experiment (6.2 ± 0.2). The degradation kinetics followed a pseudo-first-order
250 rate law at the beginning of the reaction but after 30 hours the disappearance of CF almost stopped
251 (Fig 1C). For Fe(0)-mediated dechlorination of chlorinated ethenes, iron surface passivation due to
252 reactive site saturation by iron hydroxide precipitates has been suggested as the cause of increased
253 reaction half-lives and deviations from pseudo-first-order kinetics at later stages of a reaction⁷⁰. The
254 obtained k' was $0.07\pm 0.01\text{ h}^{-1}$ ($R^2= 0.93$, Fig S1), which corresponds to a k_{SA} of $2.1\pm 0.4\times 10^{-2}\text{ L m}^{-2}\text{ d}^{-1}$
255 (see SI).

256 DCM and free chloride were detected as final products in Fe(0) experiments, whereas no compounds
257 different from CF appeared in the control experiments without iron. The yield of DCM, defined as the
258 moles of product formed per mole of CF transformed ($\text{DCM}_t/(\text{CF}_0 - \text{CF}_t)$), where subscripts 0 and t
259 indicate initial time and different sampling times, respectively) ranged from 0 to 2.4% over time,

260 showing that accumulation of DCM only accounted for a small fraction of the initial CF. DCM was
261 depleted in ^{13}C compared to the initial isotopic composition of the substrate (CF). DCM showed a
262 trend towards higher $\delta^{13}\text{C}$ values, reflecting the enrichment trend of the CF from which it was formed
263 (Fig S3E). The DCM-related isotope fractionation $\epsilon_{\text{substrate}\rightarrow\text{product}}^{\text{C}}$ was estimated as $-19\pm 3\%$ using the
264 fitting parameter, $D(\delta^{13}\text{C}) = +13\pm 2\%$ ($R^2 = 0.62$) (see equations in the SI). This discrepancy between
265 the product-related and the substrate-related isotope fractionations ($\epsilon_{\text{CF}\rightarrow\text{DCM}}^{\text{C}} = -19\pm 3\%$ vs. $\epsilon_{\text{C}} = -$
266 $33\pm 11\%$) is likely attributable to the formation of other products including isotope-sensitive branching
267 from the parent compound or intermediates, such as a dichloromethyl radical (Fig S4), to DCM⁷¹.
268 However, due to the lack of DCM isotope signatures at early stages of reaction, such interpretations
269 must be conducted with caution.

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274 3.2. Mechanistic considerations

275 For further elucidation of the reaction mechanism, AKIE values were calculated using Eq. SI6, to
276 characterize the isotope effect of the cleavage of the chemical bond at the reactive positions. Table 1
277 summarizes the obtained results and proposed reaction pathways for the three studied reactions are
278 shown in Figure 3 and discussed in detail in the SI.

279 The AKIE_{C} for the oxidation reaction was 1.008 ± 0.001 , which is within the range of reported carbon
280 AKIEs for oxidative C-H bond cleavage for both abiotic (1.008-1.015) and microbial oxidation
281 reactions (1.001-1.044) (Table S2), indicating that the observed fractionation was dominated by the
282 KIE associated with oxidative cleavage of a C-H bond. A similar AKIE_{C} value (1.008) was obtained
283 for 1,1,1-TCA oxidation by thermally-activated PS⁶⁵ from which it was suggested that the first
284 reaction step was the rupture of the C-H bond and the abstraction of the hydrogen atom from the

285 molecule by the attack of any of the radicals formed after persulfate activation^{65,72,73}. The secondary
286 $AKIE_{Cl}$ estimated in the present experiments (1.00045 ± 0.00004) also points to an oxidative reaction,
287 where there is not initial C-Cl bond cleavage and thus a primary chlorine kinetic isotope effect is not
288 expected. A reaction pathway involving the cleavage of the C-H as the rate-limiting step is proposed
289 (Fig 3A and SI). In order to track more confidently the proposed mechanism, hydrogen isotope
290 fractionation during CF oxidation with thermally-activated PS might be further measured.

291 During alkaline hydrolysis, CF is abiotically dechlorinated to carbon monoxide and formate^{74,75}. A
292 stepwise elimination mechanism ($E1_{CB}$) has been proposed for this reaction^{24,74,76,77}. This mechanism
293 consists of the rapid, reversible, base-catalyzed deprotonation of the molecule with the formation of a
294 trichloromethyl carbanion ($\cdot CCl_3^-$), followed by the rate-determining unimolecular loss of a chloride
295 ion to produce the reactive intermediate dichlorocarbene (CCl_2), which is then rapidly transformed
296 into carbon monoxide and formate (Fig S4). If this is the case, as the deprotonation step is reversible
297 and the loss of a chloride ion is the rate-determining step, both carbon and chlorine primary isotope
298 effects in the CF molecule are expected during this process. In the present experiments, an $AKIE_C$ of
299 1.061 ± 0.006 was obtained for alkaline hydrolysis, which is consistent with the Streitwieser limit for a
300 primary carbon KIE in C-Cl bonds (1.057 , Table S2)^{37,78} and is equivalent, within the given 95% CI,
301 to the value previously found by Torrentó et al.²⁴ (1.056 ± 0.003). The $AKIE_{Cl}$ was calculated as
302 1.0133 ± 0.0004 , which is equal to the maximum expected KIE_{Cl} for cleavage of a C-Cl bond (1.013 ,
303 Table S2)³⁷, indicating the involvement of a C-Cl bond. In principle, the Cl kinetic isotope effect
304 estimated in the present study is, therefore, consistent with the occurrence of a carbanion mechanism
305 (Fig 3A) but also with a C-Cl bond cleavage via a concerted one-step S_N2 nucleophilic substitution
306 mechanism. Nevertheless, based on energy considerations, the $E1_{CB}$ mechanism seems more plausible
307 for this reaction (see the SI for further discussion). Further deuterium-exchange experiments might be
308 performed to confirm the existence of a carbanion intermediate as a way to further corroborate the
309 occurrence of the stepwise elimination mechanism⁷⁹.

310 In the case of reductive dechlorination by Fe(0), an $AKIE_C$ of 1.034 ± 0.012 was obtained, which is
311 similar to the value of 1.030 ± 0.007 obtained by Lee et al.²⁹ and within the $AKIE_C$ range for the

312 reductive cleavage of C-Cl bonds reported in the literature (1.003-1.060) (Table S2). In fact, most
313 $AKIE_C$ values for reductive dehalogenation fall in the range of 1.027 and 1.033, which corresponds to
314 about 50% bond cleavage when considering a Streitwieser limit for a C-Cl bond of 1.057 for complete
315 bond cleavage in an infinitely late transition state⁸⁰. Regarding $AKIE_{Cl}$, a value of 1.008 ± 0.001 was
316 calculated, which is also about 50% of the Streitwieser limit for KIE_{Cl} in C-Cl bonds (1.013)³⁷. Similar
317 $AKIE_{Cl}$ values, ranging from 1.008 to 1.016 for abiotic reductive dechlorination and from 1.004 to
318 1.011 for biotic reductive dechlorination, have been previously reported for chlorinated methanes,
319 ethenes and ethanes (Table S2). Therefore, both C and Cl-AKIEs pointed to cleavage of a C-Cl bond
320 in the first rate-limiting step, which is compatible with the two-step pathway that is commonly
321 hypothesized for this reaction (see SI). The first step may, for example, involve the transfer of a single
322 electron from the metal surface causing the removal of a chlorine atom and the formation of a
323 dichloromethyl radical ($\cdot CHCl_2$) (Fig 3A).

324 3.3. Dual element isotope plot

325 Figure 3B shows the dual C-Cl isotope plot for the reactions of this study. A linear correlation between
326 $\Delta\delta^{13}C$ and $\Delta\delta^{37}Cl$ was observed for the three studied transformation mechanisms ($r^2 \geq 0.92$). A
327 comparison of the slopes ($\Lambda = \Delta\delta^{13}C / \Delta\delta^{37}Cl$) for the regression lines was performed by analysis of
328 covariance (ANCOVA). Statistical significance was accepted at the $p < 0.05$ level. There is no
329 significant statistical difference between oxidation by thermally-activated persulfate (17 ± 2) and
330 alkaline hydrolysis (13.0 ± 0.8) slopes (ANCOVA, $p = 0.2$). In contrast, these results differ significantly
331 (ANCOVA, $p < 0.0001$) from the slope observed during CF reductive dechlorination by Fe(0) (8 ± 2).

332 Hence, although different mechanisms are involved in CF degradation by oxidation by thermally-
333 activated PS (cleavage of a C-H bond) and by alkaline hydrolysis (cleavage of a C-Cl bond), the
334 obtained Λ values for both degradation reactions are not significantly different. This may be explained
335 by considerations for carbon and chlorine isotope effects. *Carbon*. As expected, the obtained $AKIE_C$
336 value for CF degradation by oxidation with heat-activated PS is smaller than for alkaline hydrolysis.
337 The higher the mass of the bonding partner, the greater is typically the primary kinetic isotope

338 effects³⁷. Hence it can be explained that carbon isotope fractionation associated with C-Cl bond
339 cleavage is greater than in C-H bond cleavage since the carbon atom is bound to a heavier atom
340 (chlorine vs. hydrogen). *Chlorine*. This difference, however, is matched by similar differences in
341 chlorine isotope fractionation. On the one hand, C-Cl bond cleavage involves a primary $AKIE_{Cl}$,
342 which is clearly greater than a secondary $AKIE_{Cl}$ next to a reacting C-H bond. On the other hand, this
343 primary $AKIE_{Cl}$ is “diluted” in ϵ_{Cl} due to the intramolecular competition between three chemically
344 equivalent C-Cl bonds ($z = 3$ in Eq. SI6), whereas the simultaneous secondary $AKIE_{Cl}$ of three Cl
345 atoms are not diluted ($z = 1$). By coincidence, the interplay of these factors results in a similar
346 reduction of carbon as of chlorine isotope fractionation so that similar Λ are obtained. This unexpected
347 result restrains the use of C-Cl isotope plots for distinguishing these reactions in the field and
348 highlights the need to apply this approach with precaution and using complementary tools for
349 identification of degradation mechanisms in the field (e.g., complementary hydrogen isotope analysis).

350 **4. Environmental significance**

351 Carbon and chlorine isotope fractionation of CF during oxidation with heat-activated PS, by alkaline
352 hydrolysis and by reductive dechlorination with Fe(0) was studied in batch experiments in order to
353 explore the potential of CSIA for the identification of reaction mechanisms in the monitoring of
354 remediation strategies at contaminated sites. For the first time, carbon isotope fractionation values (for
355 heat-activated PS) and chlorine isotope fractionation values (for the three reactions) were determined.
356 These new ϵ values increase the options of using CSIA for estimating the extent of contaminant
357 degradation at field sites where remediation strategies are implemented that rely on induced abiotic
358 transformations of CF. Based on the obtained ϵ values, it is likely that changes in isotope values in the
359 field may be larger than 2‰ for carbon and 0.4‰ (for GC/IRMS instruments) or 2‰ (for GC/qMS
360 instruments) for chlorine - these are the significant levels that have been suggested as reliable
361 indicators of degradation^{34,81}. Even with the relatively small carbon fractionation obtained for CF
362 oxidation by PS ($-8\pm 1\%$) and chlorine isotope fractionation observed for Fe(0)-mediated reductive
363 dechlorination ($-3\pm 1\%$), shifts in CF isotopic composition will be already detectable with a reasonable
364 accuracy if the substrate is degraded by 20% and 25-50%, respectively.

365 Although only the reductive dechlorination showed significantly statistically different C-Cl isotope
366 slope compared with the other two reactions (oxidation and alkaline hydrolysis), the dual isotope
367 approach might still be used to identify different CF degradation mechanisms in the field, which
368 would (or not necessarily) take place at the same time. For example, the coupling of two common
369 treatments—ISCO and in situ bioremediation— has been shown not only to be feasible, but in many
370 cases also to be able to provide a more efficient and extensive cleanup of contaminated sites⁸². In the
371 case of PS, the anaerobic environment that is created following the consumption of the oxidant is ideal
372 for CF microbial dehalogenation under sulfate reduction conditions to be enhanced. Enhanced CF
373 bioremediation has also been observed when combining Fe(0) and methanogens that use the cathodic
374 hydrogen generated by iron corrosion for cometabolic degradation of CF⁸³⁻⁸⁵ or even better by
375 dehalorespiring bacteria which are not inhibited at certain concentrations of CF²⁹. Therefore, there
376 would be an increasing number of case studies, where CF degradation due to PS application or Fe(0)
377 barriers should be distinguished from biotic reductive dechlorination in the field. Although chlorine
378 isotope fractionation during biotic CF dechlorination remains to be evaluated in detail, as well as the
379 effect in CF degradation of Fe(0) aging or the presence of Fe(0) impurities such as graphite⁸⁶, the dual
380 C-Cl slopes obtained in the present work sets the grounds for the potential application of this approach
381 for assessing if CF abiotic reductive dechlorination performed by Fe(0)-PRB or naturally occurring
382 iron-bearing minerals would be or not distinguishable from microbial reductive dechlorination.

383 Although CF anaerobic biodegradation has been reported to occur mainly via cometabolic
384 dechlorination or by dehalorespiration⁸, an alternative pathway was suggested in the presence of
385 cobalamins and involving CF hydrolysis^{87,88}. The mechanism of this reaction is not well-known, but it
386 presumably involves the cobalamin-catalyzed conversion of CF to a monochlorocarbene, which would
387 be subsequently hydrolyzed to formaldehyde. The produced formaldehyde could then be oxidized to
388 CO or formate and finally to CO₂. The abiotic alkaline hydrolysis reaction characterized in the present
389 study might be used as a reference system for this suggested CF biotic hydrolysis in future dual
390 isotope-CSIA studies.

391 Finally, due to the significant difference between the C–Cl isotope slope of CF oxidation and
392 reductive dechlorination, the dual isotope approach might be in addition useful for distinguishing
393 between aerobic and anaerobic CF biodegradation pathways. CF aerobic biodegradation only occurs
394 during oxidative cometabolism with other primary substrates such as methane, butane or toluene by
395 oxygenase-expressing microorganisms⁸. The pathway of CF cooxidation starts by insertion of one
396 oxygen atom into the molecule via H abstraction with phosgene as intermediate and final
397 mineralization to chloride and CO₂. The chemical mechanism of CF oxidation is variable among the
398 various existent monooxygenases, but the rate-limiting step is expected to be the cleavage of the C-H
399 bond.

400 In conclusion, our study established an expedient base with carbon and chlorine isotope fractionation
401 during three abiotic CF transformation mechanisms. Further research is needed in order to explore if
402 other CF natural degradation pathways (for example naturally occurring iron-bearing minerals as well
403 as aerobic and anaerobic degraders) might be similar to or different from the patterns generated in this
404 study. Such information will allow connecting dual-plot slopes to known reaction mechanisms with
405 the aim to distinguish different degradation processes in the field. This distinction would be important
406 for better monitoring the success of remediation strategies at contaminated sites.

407 **Supporting Information.** Chemicals; analytical methods; further discussion in kinetics; calculation of
408 AKIE values; calculation of isotope trend for DCM in the Fe(0) experiment; carbon and chlorine
409 isotope fractionation patterns; further discussion in reaction pathways; comparison of ϵ and AKIE
410 values for C and Cl isotopes in different studies.

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678 **Figure captions**

679 **Figure 1.** CF degradation kinetics (upper panels) and changes in C and Cl isotope ratios (lower
680 panels) during oxidation by thermally-activated PS with an initial PS/CF molar ratio of 40/1 (A),
681 alkaline hydrolysis (B) and dechlorination by Fe(0) (C). Data from duplicate experiments (A and B
682 parallel series) and from control (CTRL) experiments are shown. In the upper panels, the error bars
683 show the uncertainty in of C/C_0 , calculated by error propagation including uncertainty in concentration
684 measurements. In some cases, error bars are smaller than the symbols. k' values were obtained from
685 curve fittings according to Eq. SI4 (see Fig S1). Fits were conducted with liner regressions in Sigma
686 Plot 10.0 for Windows. Dashed lines represent 95% CI of regression. For CF dechlorination with
687 Fe(0), k' was calculated omitting data after 30 days when the disappearance of CF almost stopped. In
688 the lower panels, error bars of individual data points indicate standard deviations of the measurements.
689 In most cases, error bars are smaller than the symbols.

690 **Figure 2.** Logarithmic plots according to Rayleigh equation (Eq. 1) of carbon (left panels) and
691 chlorine (right panels) isotope ratios during CF oxidation by thermally-activated PS (A and B),
692 alkaline hydrolysis (C and D) and dechlorination by Fe(0) (E and F). Data from duplicate experiments
693 were used for estimating ϵ_C and ϵ_{Cl} . Dashed lines represent 95% CI of the linear regression. Error bars
694 display the uncertainty calculated by error propagation including uncertainties in concentration and
695 isotope measurements. In some cases, error bars are smaller than the symbols.

696 **Figure 3.** (A) Proposed reaction pathways for CF degradation by the three studied reactions. More
697 details are given in the SI. (B) Dual C-Cl isotope plot for CF degradation by the three studied
698 pathways: oxidation by thermally-activated PS (PS), alkaline hydrolysis (AH) and dechlorination by
699 Fe(0) (Fe(0)). Data from duplicate experiments were combined. Lines are linear regressions of the data
700 sets with 95% CI (dashed lines). Error bars show uncertainty in isotope measurements. Note that error
701 bars of $\delta^{13}C$ values are smaller than the symbols.

702

703 **TABLE 1**

704 **Table 1.** Carbon and chlorine isotope fractionation (ϵ_C and ϵ_{Cl} , respectively), apparent kinetic isotope
 705 effects ($AKIE_C$ and $AKIE_{Cl}$, respectively) and dual isotope slopes ($\Lambda = \Delta\delta^{13}C / \Delta\delta^{37}Cl$) values obtained
 706 for the three studied CF transformation pathways: oxidation with thermally-activated PS, alkaline
 707 hydrolysis and Fe(0)-based reductive dechlorination. The uncertainty of ϵ , $AKIE$ and Λ values
 708 corresponds to the 95% CI. In all cases, $AKIE_C$ was calculated using $x = z = 1$ in Eq. SI6. For both
 709 alkaline hydrolysis and dechlorination by Fe(0), $AKIE_{Cl}$ was calculated using $x = z = 3$ as all C-Cl
 710 bonds are equivalent and compete for reaction. For oxidation with PS, as there is not primary chlorine
 711 isotopic effect, the secondary $AKIE_{Cl}$ was also calculated by Eq. SI6 using in this case $x = 3$ and $z = 1$
 712 because no specific bond containing Cl is broken, and there is, therefore, no intramolecular
 713 competition for this bond.

Experiment	Reaction mechanism	ϵ_{bulkC} (‰)	R^2	$AKIE_C$	ϵ_{bulkCl} (‰)	R^2	$AKIE_{Cl}$	Λ
Persulfate	Oxidative C-H bond cleavage	-8 ± 1	0.94	1.008 ± 0.001	-0.44 ± 0.06	0.97	1.00045 ± 0.00004^a	17 ± 2
Alkaline hydrolysis	E1 _{CB} elimination	-57 ± 5	0.97	1.061 ± 0.006	-4.4 ± 0.4	0.96	1.0133 ± 0.0004	13.0 ± 0.8
Fe(0)	Reductive dechlorination by C-Cl bond cleavage	-33 ± 11	0.82	1.034 ± 0.012	-3 ± 1	0.85	1.008 ± 0.001	8 ± 2

714 ^a secondary isotope effect

FIGURE 1

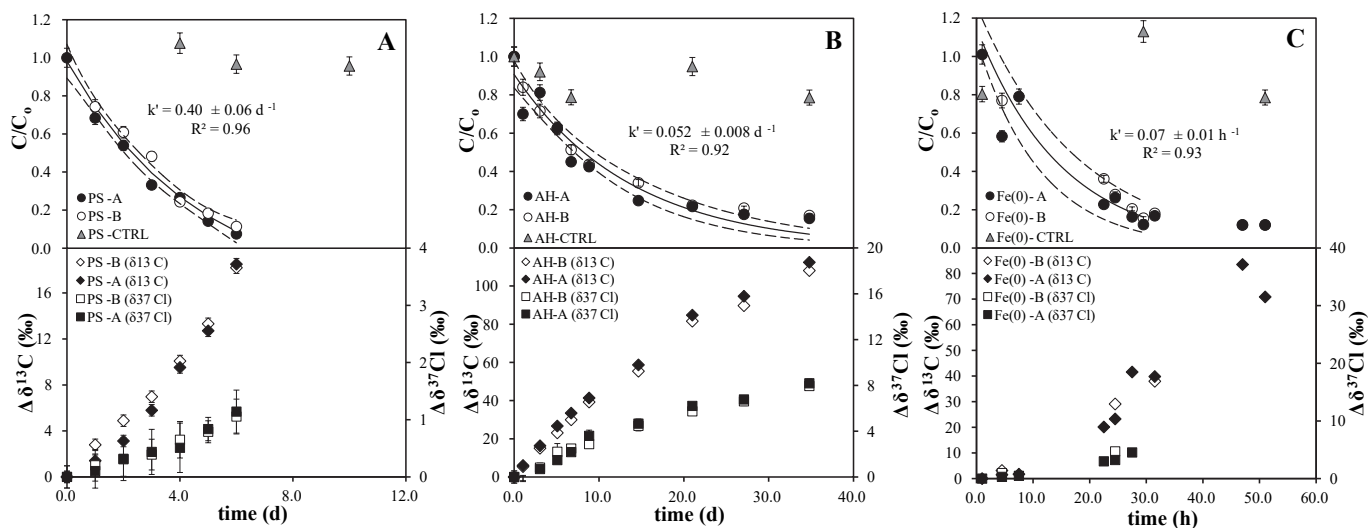


Figure 1. CF degradation kinetics (upper panels) and changes in C and Cl isotope ratios (lower panels) during oxidation by thermally-activated PS with an initial PS/CF molar ratio of 40/1 (A), alkaline hydrolysis (B) and dechlorination by Fe(0) (C). Data from duplicate experiments (A and B parallel series) and from control (CTRL) experiments are shown. In the upper panels, the error bars show the uncertainty in of C/C_0 , calculated by error propagation including uncertainty in concentration measurements. In some cases, error bars are smaller than the symbols. k' values were obtained from curve fittings according to Eq. S14 (see Fig S1). Fits were conducted with liner regressions in Sigma Plot 10.0 for Windows. Dashed lines represent 95% CI of regression. For CF dechlorination with Fe(0), k' was calculated omitting data after 30 days when the disappearance of CF almost stopped. In the lower panels, error bars of individual data points indicate standard deviations of the measurements. In most cases, error bars are smaller than the symbols.

FIGURE 2

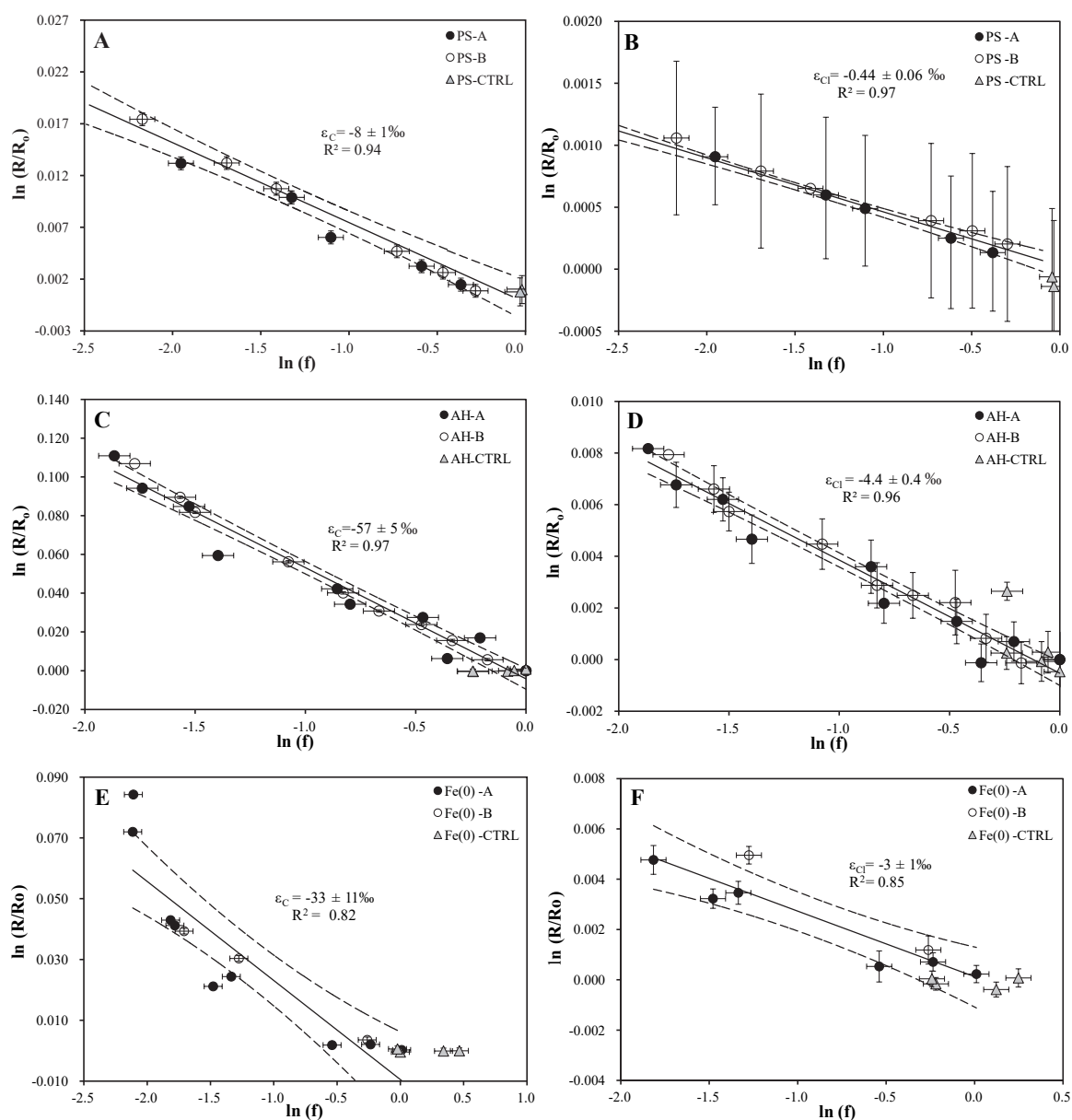


Figure 2. Logarithmic plots according to Rayleigh equation (Eq. 1) of carbon (left panels) and chlorine (right panels) isotope ratios during CF oxidation by thermally-activated PS (A and B), alkaline hydrolysis (C and D) and dechlorination by Fe(0) (E and F). Data from duplicate experiments were used for estimating ϵ_C and ϵ_{Cl} . Dashed lines represent 95% CI of the linear regression. Error bars display the uncertainty calculated by error propagation including uncertainties in concentration and isotope measurements. In some cases, error bars are smaller than the symbols.

FIGURE 3

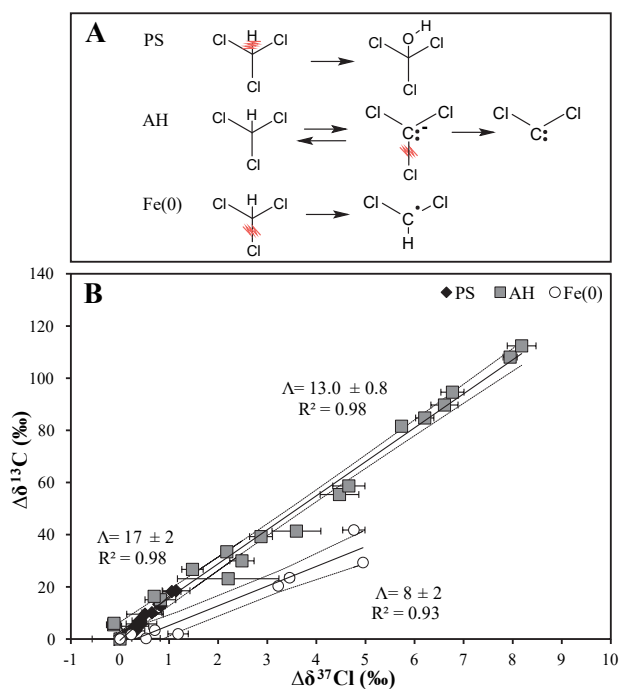


Figure 3. (A) Proposed reaction pathways for CF degradation by the three studied reactions. More details are given in the SI. (B) Dual C-Cl isotope plot for CF degradation by the three studied pathways: oxidation by thermally-activated PS (PS), alkaline hydrolysis (AH) and dechlorination by Fe(0) (Fe(0)). Data from duplicate experiments were combined. Lines are linear regressions of the data sets with 95% CI (dashed lines). Error bars show uncertainty in isotope measurements. Note that error bars of $\delta^{13}\text{C}$ values are smaller than the symbols.