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Drug-Coated Versus Plain Balloon Angioplasty In Arteriovenous Fistulas : A Randomized, Controlled Study With 1-Year Follow-Up (The Drecorest li-Study)

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Drug-coated vs. Plain Balloon Angioplasty in Bypass Vein Grafts (the DRECOREST I-study)

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1 **Title page**

2 **Drug-coated vs. Plain Balloon Angioplasty in Bypass Vein Grafts (the**
3 **DRECOREST I-study)**

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22

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24 Vascular Surgery in Lyon, France in September 2017. The work is accepted in the
25 Global and Rising Stars –program at the Charing Cross meeting in London, UK in
26 April 2018.

27 *Keywords:* peripheral arterial disease; drug-coated balloons; vein grafts; restenosis;
28 intimal hyperplasia

29 **Drug-coated vs. Plain Balloon Angioplasty in Bypass Vein Grafts (the**
30 **DRECOREST I-study)**

31

32 **Abstract**

33 *Objective:* Stenosis is a known complication in bypass vein grafts for peripheral
34 arterial disease (PAD). The aim of this study was to evaluate the effect of drug-coated
35 balloons (DCB) in the treatment of vein graft stenoses. *Summary Background Data:*
36 DCBs may prevent restenosis in arterial lesions. One small prospective, and larger
37 retrospective and registry studies have failed to show benefit from DCBs in vein
38 grafts. Prospective data are scarce. *Materials and Methods:* 60 patients treated for
39 primary or recurrent stenosis in venous bypass grafts were randomized to DCB
40 (n=30) or standard balloon angioplasty (BA) (n=30). Follow-up was 1 year. The
41 primary outcome measure was target lesion revascularization (TLR). Secondary
42 outcome measures were assisted primary patency and secondary patency and graft
43 occlusion. *Results:* Fifty-seven patients were analyzed. Three patients were excluded
44 due to primary technical failure (2 DCB, 1 BA). Overall TLR-rate was 34.5 % and
45 46.4 % in the DCB and BA groups, respectively (P= .33). Five (8.8 %) grafts
46 occluded during follow-up (1 DCB, 4 BA)- Assisted primary patency was 93.1%
47 (DCB) vs. 85.7% (BA) (P= .362) and secondary patency was 100 % (DCB) vs. 89.3%
48 (BA) (P= .076). Subgroup analysis showed a significant benefit from DCB in the
49 treatment of primary stenosis (TLR-rate 15.0 % vs. 18.9 %, P= .03). *Conclusions:*
50 There was no significant benefit from DCBs for treatment of vein graft stenosis
51 compared to BA, although a trend in favor of DCBs could be seen. *Trial registration:*
52 ClinicalTrials.gov NCT03023098
53 *Funding:* This trial did not receive external funding.

54

55 *Keywords:* peripheral arterial disease; drug-coated balloons; vein grafts; restenosis;
56 intimal hyperplasia
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ACCEPTED MANUSCRIPT

62 **1. Introduction**

63

64 The use of autogenous vein grafts for arterial bypass is a well-established technique in
65 vascular surgery and remains the gold standard among revascularization techniques
66 for long occlusive lesions in ischemic limbs.¹ These bypass grafts, typically the
67 autologous great saphenous vein (GSV), have demonstrated remarkable longevity.² In
68 contrast to prosthetic grafts, vein grafts remodel to resemble native arterial vessel wall
69 as they are exposed to arterial pressure and blood flow.³ The complex inflammatory
70 processes of arterialization are associated with significant changes in the
71 biomechanical qualities of the graft and with development of neointimal hyperplasia
72 (NIH), stenosis and ultimately graft failure.^{4,5} Vein graft stenosis typically occurs
73 within the first year after operation, and thus warrants ultrasound guided follow-up to
74 prevent occlusion and loss of the graft. There is on-going research into mechanical,
75 pharmaceutical and biological treatments for prevention of NIH and stenosis.⁶
76 Invasive treatments for developed stenoses include balloon angioplasty and in some
77 cases surgical resection and interposition of the lesion.

78

79 Endovascular strategies have dramatically changed the approach to limb ischaemia in
80 recent years.⁷ Drug-eluting stents have already proven their worth in coronary artery
81 lesions and to some degree in superficial femoral artery (SFA) occlusions.⁸ Drug-
82 coated balloons (DCB) are emerging as a promising way of treating recurrent stenosis
83 in peripheral arteries. Conventional percutaneous transluminal angioplasty (PTA)
84 mechanically dilates the stenosis in the vessel, but simultaneously causes intimal
85 injury to the site of the balloon angioplasty (BA). Biological repair processes of
86 intimal injury are associated with NIH and eventually restenosis and occlusion of the
87 vessel. This is known as late lumen loss (LLL). As a consequence, repeat

88 interventions (target lesion revascularization, TLR) are common. The drug-eluting
89 devices are coated with a cytostatic drug, usually paclitaxel or everolimus, to target
90 the development of NIH. DCBs deliver the drug to the site of injury without leaving
91 potentially thrombogenic stent material intraluminally.

92

93 We designed and conducted a prospective, single-center, controlled trial including
94 patients with stenoses in infrainguinal venous bypass grafts. The patients were
95 randomized to BA or DCB and followed up for a year. The objective of the study was
96 to investigate potential benefit from DCBs with respect to restenosis, repeat
97 interventions and bypass failure when compared to conventional balloon angioplasty.

98

99 **2. Materials & Methods**

100 Patients with restenosis in above or below-knee femoropopliteal, femorocrural, or
101 femoropedal vein grafts were randomized between March 2013 and December 2015.
102 Chart 1 shows the design of the study. Inclusion and exclusion criteria are listed in
103 table 1. Perianastomotic (<15 mm from an anastomosis) stenoses were excluded. The
104 autogenous grafts included single-segment and spliced great saphenous and arm
105 veins. All bypasses were performed using translocated, non-reversed and
106 valvulectomized vein. At our institution grafts are routinely monitored with
107 ultrasound check-ups for 12 months postoperatively. Both groups included stenoses
108 that were detected under routine graft surveillance and symptomatic patients with
109 bypass vein grafts who presented at the emergency department. Both groups included
110 *de novo* lesions that had not been treated before, as well as restenosis. Grafts were
111 examined with duplex-ultrasound; graft diameter, cross-section area and peak systolic
112 velocity ratio (PSVR) was measured at the stenosis. The threshold for intervention
113 was a PSVR of 2.5. Clinical presentation did not affect inclusion, as the intervention

114 is purely prophylactic regarding graft salvage. The interventions were performed in
115 the angio suite with ipsilateral or crossover access from common femoral artery or
116 direct graft puncture. In cases of concomitant lesions, the index lesion was always
117 defined as the most proximal lesion. The lesion was crossed after angiography and
118 thereafter predilated with a conventional balloon (90 sec.), and then treated again with
119 DCB or BA according to randomization (90 sec.). Sizing was performed
120 intraoperatively from the angiography images by the treating interventionist. All
121 patients were administered 5000 IU heparin at intervention start. The DCB used in
122 this trial had a paclitaxel dose of $3.5 \mu\text{g}/\text{mm}^2$ and used urea as excipient (Medtronic
123 IN.PACT, Medtronic, Minneapolis, MN, USA). Technical success was defined as
124 residual stenosis $<30\%$ and no graft rupture. All patients, except those on warfarin,
125 were started on dual antiplatelet therapy (DAPT) postoperatively (ASA 100 mg +
126 Clopidogrel 75 mg). DAPT was continued for 3 months, after which the patients
127 returned to their original drug regime. Patients on warfarin received conjunctive ASA
128 50 mg for three months. Patients and outcome assessors were blinded to the groups. A
129 specially trained vascular nurse performed follow-up at 1, 6, and 12 months after
130 intervention. The follow-up protocol included clinical evaluation (symptoms, ABI) as
131 well as duplex ultrasound assessment of the graft and the index lesion (diameter,
132 PSVR). Reinterventions were triggered by a PSVR of >2.5 regardless of clinical
133 findings.

134

135 The primary endpoint was any revascularization of the same lesion (target lesion
136 revascularization, TLR). Secondary outcome measures were graft occlusion, assisted
137 primary patency and secondary patency. Assisted primary patency is defined in
138 relation to the index intervention, i.e. not for the graft itself, and was defined as graft
139 patency maintained by repeated PTA. Secondary patency was defined as time to

140 restored patency after surgical or endovascular thrombectomy and/or angioplasty.

141 Based on the published literature on arterial stenosis at the time of trial design, we

142 assumed that the 12-month TLR-rate for the BA and DCB groups is 30% and 10%,

143 respectively.⁹ With a two-sided 5% significance level and a statistical power of 80%

144 the necessary sample size was 140 (70+70). The study was approved by the Ethical

145 Committee of Helsinki and Uusimaa Hospital District (297/13/03/02/2012). This

146 paper reports the results of a registered study, which can be accessed at

147 ClinicalTrials.gov NCT03023098.

148

149 *2.1 Randomization*

150 Randomization was done after the stenosis was successfully crossed and predilated

151 with a conventional balloon. 1:1 block randomization by sequenced concealed

152 envelopes was used. A research nurse performed patient inclusion and allocation, as

153 well as postoperative follow-up.

154

155 *2.2 Statistical analysis*

156 Statistical analysis was performed with SPSS version 22 (IBM, Armonk, NY, USA).

157 Continuous variables are expressed as mean (SD) and dichotomous variables as

158 proportions. Baseline analysis was performed with Student's t-test and Mann-Whitney

159 analysis. Patency comparison was performed using Kaplan-Meier survival curves and

160 log-rank (Mantel-Cox) testing. The analyses and 95% confidence intervals (CI) were

161 calculated using SPSS. Relative risk was calculated by $RR = (a/(a+b))/(c/(c+d))$.

162 There were no missing TLR data. Missing data for baseline analysis was managed by

163 pairwise deletion.

164

165 **3. Results**

166 254 patients were evaluated for eligible stenosis. 194 patients were excluded due to
167 perianastomotic stenosis or unavailable research personnel. The CONSORT flow
168 diagram is shown in chart 2. The trial was discontinued due to slow recruitment at 60
169 patients. No interim analysis was performed prior to the discontinuation. Fifty-seven
170 cases were ultimately included in the study. Three randomized cases were excluded
171 due to primary technical failure (graft rupture and bail-out stenting (N=2), aborted
172 procedure (N=1)). Baseline homogeneity characteristics are listed in table 2. There
173 was a baseline difference in toe pressure and rate of diabetes; otherwise the groups
174 were homogenous with regard to general health, medication and bypass anatomy and
175 technique. Technical details of the interventions are given in table 3. Six patients died
176 during follow-up (DCB 4, BA 2). There was one major amputation in the BA-group.
177 The overall TLR-rate at one year was 34.5 % and 46.4 % in the DCB and BA groups,
178 respectively (P= .33). Relative risk for DCB was 0.81 (95% CI 0.40-1.63, P= .596).
179 Five (8.8 %) grafts occluded during the follow-up, 1/29 (3.4 %) and 4/28 (14.3 %) in
180 the DCB and BA groups respectively (P=0.36). There was a trend towards benefit
181 from DCB: assisted primary patency was 93.1% (DCB) vs. 85.7% (BA) (P= .362)
182 while secondary patency was 100 % in the DCB group compared to 89.3% in the BA
183 group (P= .076). Figures 3-5 show the Kaplan-Meier plots for patency. There was no
184 difference between the groups in clinical findings at any stage of the trial (table 4).
185
186 In an *ad hoc* subanalysis, TLR-rate was significantly lower in *de novo* lesions that
187 were treated with DCB compared to BA (15.0 % compared to 18.9 %, P= .03).

188

189 **4. Discussion**

190 As vein graft stenoses are often the result of NIH rather than calcified lesions as seen
191 in arterial stenoses, we hypothesized that this model would be ideal to demonstrate

192 clinical effect from NIH suppression by paclitaxel. Our study did not show significant
193 overall benefit from use of paclitaxel-coated balloons. There was, however, a trend
194 toward better overall secondary patency rates in the DCB group, and this was
195 clinically significant in *de novo* stenoses. In further subgroup analysis, the baseline
196 difference in diabetes did not impact outcome.

197

198 Drug coated and drug-eluting devices have in recent years claimed their place in the
199 treatment of peripheral arterial disease, and the trend in clinical practice is
200 increasingly shifting towards balloon angioplasty combined with DCB or stent rather
201 than BA alone. Many studies show clinical benefit particularly in femoropopliteal
202 native artery lesions, with recent trials suggesting benefit several years
203 postoperatively.¹⁰ Kayssi *et al* published a Cochrane review of DCB vs. BA in 2016.
204 This review showed better patency rates, longer freedom-from-TLR, and less binary
205 restenosis after DCB angioplasty. Importantly, however, there was no statistical
206 significance in outcomes such as death, freedom-from-amputation, change in
207 Rutherford, or change in ABI. Furthermore, there was no benefit from DCB in a
208 subgroup analysis of patients with CLI, and another subgroup of tibial vessel
209 lesions.¹¹ In the current study we compared the DCB with BA in patients who
210 underwent treatment for a bypass stenosis. Mid-term results of DCB so far have been
211 controversial; good results are seen in the SFA, while the outcomes of randomized
212 trials are less clear for below-the-knee arteries.¹²⁻¹⁵ With the exception of cell
213 migration, the pathological mechanisms of in-stent restenosis (ISR) are comparable to
214 NIH in grafts. However, during 3 years' follow-up, there was no difference in
215 outcome between DCBs and BA for femoropopliteal ISR in a recent randomized trial.
216 ¹⁶ Another prospective trial showed superior clinical outcomes after DCB for ISR at
217 24 months.¹⁷ Two small studies have demonstrated promising results for use of DCB

218 in failing dialysis accesses.^{18,19} The biomechanical and anatomical properties of vein
219 grafts differ greatly from native arteries, and less is known about the potential of
220 drug-coated devices in this field. One small, randomized trial did not demonstrate
221 benefit from use of DCB over BA in bypass vein grafts.²⁰ This study included
222 synthetic grafts and anastomotic stenosis, and is thus not directly comparable to our
223 design. Similar results were observed in a Danish registry review comparing bare
224 metal stents to drug-eluting stents in vein grafts in coronary bypass surgery²¹, and
225 another retrospective study comparing BA to DCB in peripheral grafts.²² The latter
226 included 83 patients and has a follow-up of >2 years. The results are quite reminiscent
227 of ours with regard to patencies. In a recent small retrospective analysis, 39 patients
228 with failing autologous grafts were analyzed for primary, assisted primary, and
229 secondary patency after DCB or BA.²³ There was no difference between the groups
230 and, on financial grounds, use of DCB was discouraged.

231

232 The indications for use of DCBs in peripheral graft restenosis are, as of yet, not firmly
233 established. Interventions for bypass graft stenosis are relatively common. Usually the
234 stenosis is asymptomatic and is found by the ultrasound follow-up. The indication for
235 PTA is to maintain graft patency, as occlusion usually means loss of the vein graft,
236 and the availability of good vein material for bypass is limited. In our earlier
237 retrospective study we found that there might be some benefit from DCB compared to
238 BA in the treatment of graft stenosis.²⁴ Our current study does not provide conclusive
239 evidence in favor of DCBs as a routine solution for vein graft stenoses. However, it
240 suggests that when a lesion is treated for the first time, there may be benefit from
241 using a DCB. The difference in outcome rates between *de novo* stenosis and
242 restenosis is interesting. Several factors may contribute to this result. By definition,
243 stenoses treated with primary PTA include lesions caused by all underlying etiologies.

244 On the contrary, recurrent stenosis may hypothetically more often be due to other
245 reasons than NIH, such as technical errors in anastomoses, inadequate valvectomy,
246 an erroneously placed clip or ligature in a graft branch *et cetera*. These stenoses of
247 course do not benefit from the use of drug-coated devices, which can explain this
248 difference in outcome. Furthermore, paclitaxel is an antiproliferative agent that is
249 widely used in cancer treatment. Its potential toxic and inflammatory effect on arterial
250 walls has been studied in animal models, with inconclusive results and unpredictable
251 uptake patterns.^{25,26}

252

253 In our institution, the practice has so far been to use DCBs in grafts with a history of
254 one or more balloon angioplasties. However, our results indicate that this practice
255 may need to be revised: there seems to be no benefit from use of DCB in the recurrent
256 lesions, but rather when the vein graft stenosis is treated for the first time.

257

258 Our trial is limited and underpowered by its sample size. The primary reason for
259 exclusion after assessment for eligibility was perianastomotic stenosis; inclusion of
260 these would have yielded a much bigger sample size. However, this way the histology
261 and pathogenesis of the included lesions probably are more homogenous, and
262 confounding from surgical trauma to the graft is minimized. Furthermore, as the
263 annual number of bypass operations has decreased due to the revolution in
264 endovascular techniques, the number of vein grafts at risk has decreased equally. As a
265 consequence of the limited number of patients, there is a high probability of type II
266 error in the results. The main strength of the study is that it is to date the largest
267 prospective controlled trial, with comprehensive follow-up as no patient was lost to
268 follow-up. Furthermore, two dedicated and experienced research nurses, with training
269 in graft surveillance with duplex ultrasound, did the follow-up

270

271 **5. Conclusions**

272 Our results are in line with the earlier retrospective studies. In our trial, no significant
273 benefit was seen from DCBs for all graft stenoses, although a type II error is likely in
274 our underpowered trial and no definitive conclusions can be made. For financial
275 reasons, there has been hesitation towards using drug-coated balloons as a first choice
276 in the treatment of graft stenosis. Our results suggest that this hesitation might be
277 unfounded, and that these lesions could benefit more than recurrent stenoses. More
278 data is needed to, in clinical practice, accurately select which lesions will benefit most
279 from DCB. Furthermore, future trials should not only address patency and freedom
280 from TLR, but also assess cost-efficiency. Also, histological studies on paclitaxel
281 uptake and response in the arterialized venous wall are warranted.

282

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285 conducting the inclusion of patients and ultrasonographical follow-up.

286

- 287 Fig 1 Study setup
- 288 Fig 2 CONSORT flow diagram
- 289 Fig 3 Kaplan-Meier for 1-year primary patency with numbers-at-risk (BA=solid line,
290 DCB=dashed line)
- 291 Fig 4 Kaplan-Meier for 1- year assisted primary patency with numbers-at-risk
292 (BA=solid line, DCB=dashed line)
- 293 Fig 5 Kaplan-Meier for 1-year secondary patency with numbers-at-risk (BA=solid
294 line, DCB=dashed line)
- 295

296

297

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Inclusion criteria	Exclusion criteria
US documented stenosis (PSVR >2.5)	Any previous DCB-treatment
Eligible for angioplasty	Perianastomotic stenosis (<15 mm)
Adequate inflow to graft	Any known coagulopathy
Age >18	Occluded graft
Signed and dated consent	Apparent need for stenting or surgical repair
Negative pregnancy test when applicable	Life expectancy <1 year

Table 1. Inclusion and exclusion criteria

		DCB		BA		<i>p</i> -value
		Mean	Range	Mean	Range	
Age		70.4	45-88	72.3	55-89	.970
		<i>N</i>	%	<i>N</i>	%	
Sex	Female	14	48.3	11	39.3	.639
	Male	15	51.7	17	60.7	
Diabetes	None	18	62.1	11	39.3	.012
	Type 1	0	0	2	7.1	
	Type 2, drug controlled	6	20.7	5	35.7	
	Type 2, insulin controlled	5	17.2	10	17.9	
Hyperlipidemia	None	3	10.3	1	3.6	.409
	Diet controlled	1	3.4	0	0	
	Statin	25	86.2	27	96.4	
Cerebrovascular	None	25	86.2	26	92.9	.381
	Asymptomatic, evidence of disease	2	6.9	0	0	
	TIA, resolved stroke	2	6.9	1	3.6	
	Stroke with permanent deficit	0	0	1	3.6	
Hypertension	None	6	20.7	8	28.6	.366
	1 drug	12	41.4	10	35.7	
	2 drugs	9	31	10	35.7	
	>2 drugs	2	6.9	0	0	
Cardiac	None	15	51.7	17	60.7	.394
	AMI >6 mo, asymptomatic CHF	9	31	5	17.9	
	Stable AP, asymp. arrhythmia	5	17.2	6	21.4	
	Unstable AP, symp. arrhythmia, severe CHF	0	0	0	0	
Pulmonary	Normal X-ray, pulmonary function tests 80% of predicted	24	82.8	24	85.7	.990
	Asymptomatic, mild changes on X-ray, PFT 65-80%	4	13.8	2	7.1	
	Dyspnea, changes on X-ray, PFT 35-65%	1	3.4	2	7.1	
Renal failure	No	23	79.3	24	85.7	.345
	S-creatinine 114-229 μmol/l	2	6.9	1	3.6	
	S-creatinine 230-458 μmol/l	1	3.4	1	3.6	
	S-creatinine >458 μmol/l or on dialysis/transplanted	1	3.4	1	3.6	
Smoking	None	12	41.4	14	50	.579
	No, quit within 10 years	5	17.2	6	21.4	
	Yes, <20/day	9	31	7	25	
	Yes, >20/day	2	6.9	1	3.6	
Medication	ASA	26	89.7	23	82.1	.273

	Clopidogrel	18	62.1	16	42.9	<i>1.000</i>
	Low molecular weight heparin	6	20.7	2	7.1	<i>.079</i>
	Warfarin	5	17.2	5	17.9	<i>.782</i>
Rutherford classification	0 (asymptomatic)	13	44.8	13	46.4	<i>.434</i>
	I, II and III (any claudication)	0	0	5	17.9	
	IV (rest pain)	6	20.7	2	7.1	
	V (ulcers)	7	24.1	3	10.7	
	VI (gangrene)	3	10.3	5	17.9	
Toe pressure (mmHg)		53.1	(5-100)	71.4	(15-148)	<i>.034</i>
Anke-brachial index		0.6	(0-1)	0.74	(0-1,24)	<i>.112</i>
Bypass anatomy	Fem-pop above knee	4	13.8	4	14.3	<i>1.000</i>
	Fem-pop below knee	11	37.9	13	46.4	
	Fem-crural	14	48.3	7	25	
	Fem-pedal	0	0	4	14.3	
Graft	Single-segment GSV-graft	20	69	19	67.9	<i>.185</i>
	Spliced vein and/or arm vein	9	31	9	32.1	
Graft age (days, median)		200	(30 - 2570)	340	(50 - 6840)	<i>.445</i>
Lesion length (mm)		11.5	(2 - 40)	14.4	(2 - 100)	<i>.595</i>
PSV-ratio		6.86	2.9 - 18.8	6.10	2.2 - 17.0	<i>.619</i>
Prior PTA (same lesion)		9	31	11	39.3	<i>.496</i>

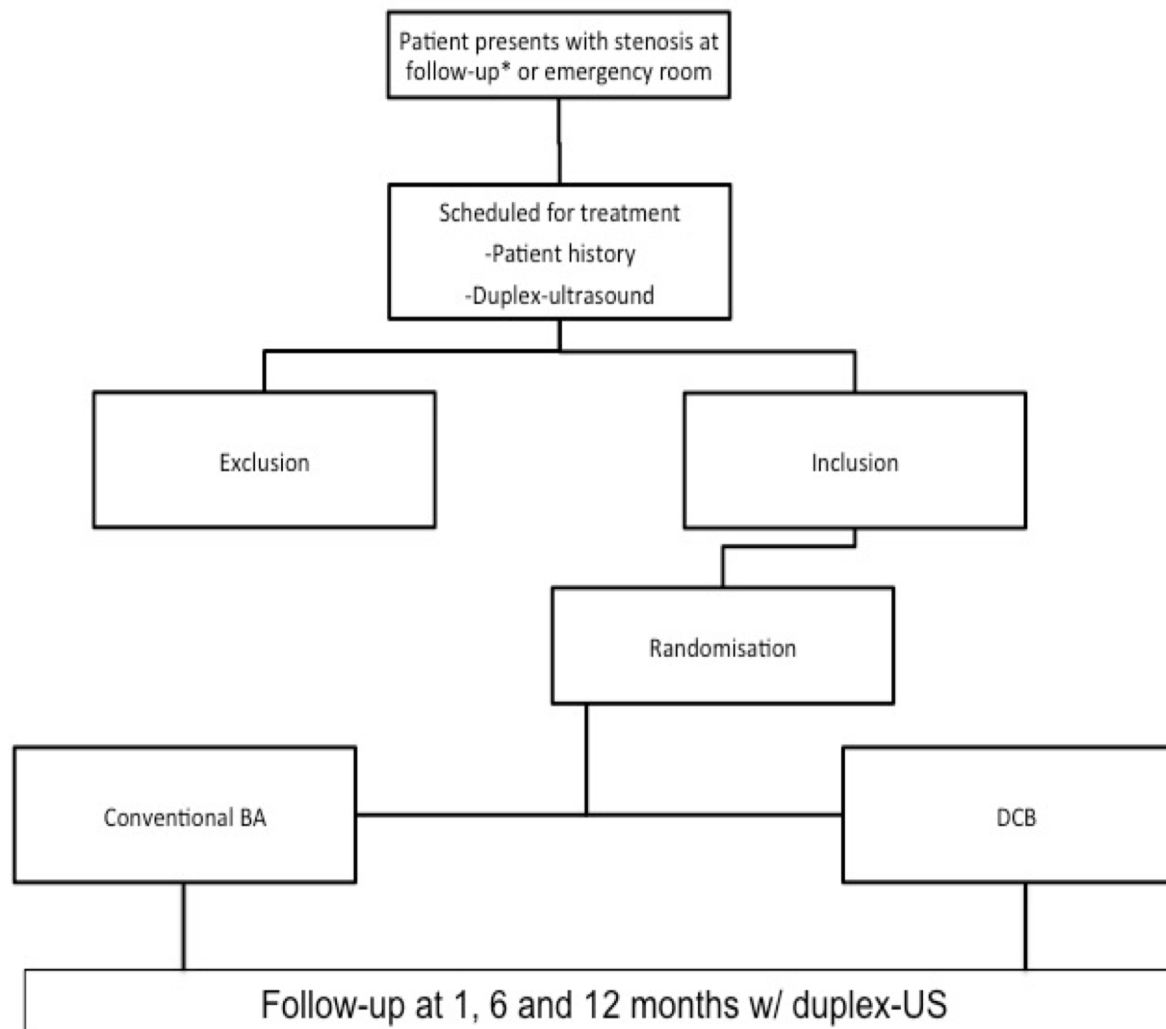
Table 2. Baseline characteristics

	DCB		BA		p-value
	median	range	median	range	
Balloon diameter (mm)	4.2	2.5 - 6	5.0	3 - 5.5	<i>.888</i>
	mean		mean		
Inflation (sec.)	223.5	60 - 510	182.7	60 - 360	<i>.200</i>

Table 3. Intraoperative characteristics

Rutherford class	DCB		BA		<i>p</i> -value
	median	range	median	range	
1 months	1	1 - 6	1	1 - 6	<i>.839</i>
6 months	1	1 - 6	1	1 - 5	<i>.464</i>
12 months	1	1 - 4	1	1 - 4	<i>.851</i>
ABI	mean		mean		
1 months	.99	.69 - 1.14	.88	.41 - 1.16	<i>.118</i>
6 months	.94	.55 - 1.15	.88	.41 - 1.30	<i>.430</i>
12 months	.95	.77 - 1.22	.96	.69 - 1.34	<i>.789</i>

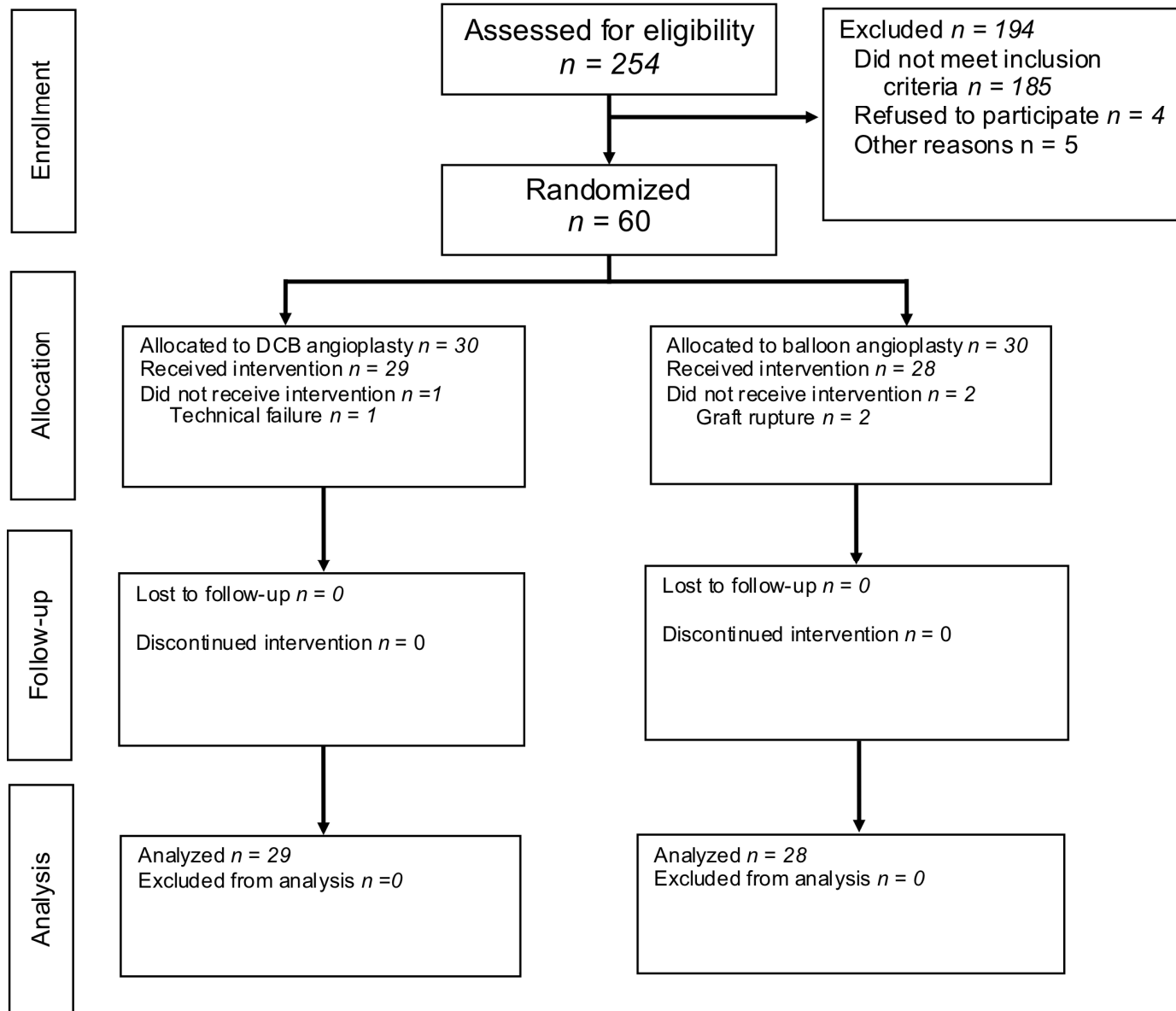
Table 4. Clinical follow-up

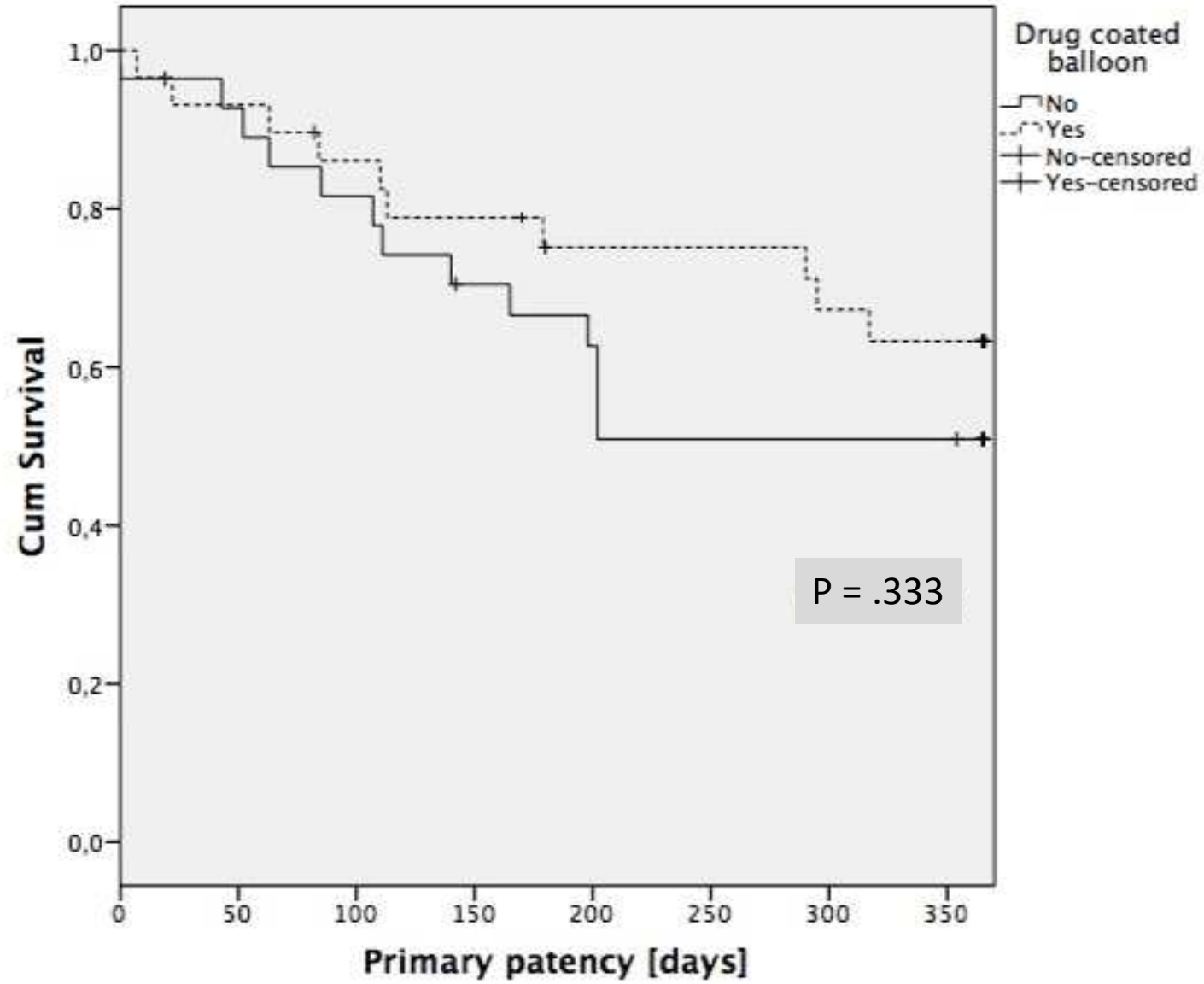


*All bypasses are routinely followed up at 1, 6, 12 and 24 months postoperatively

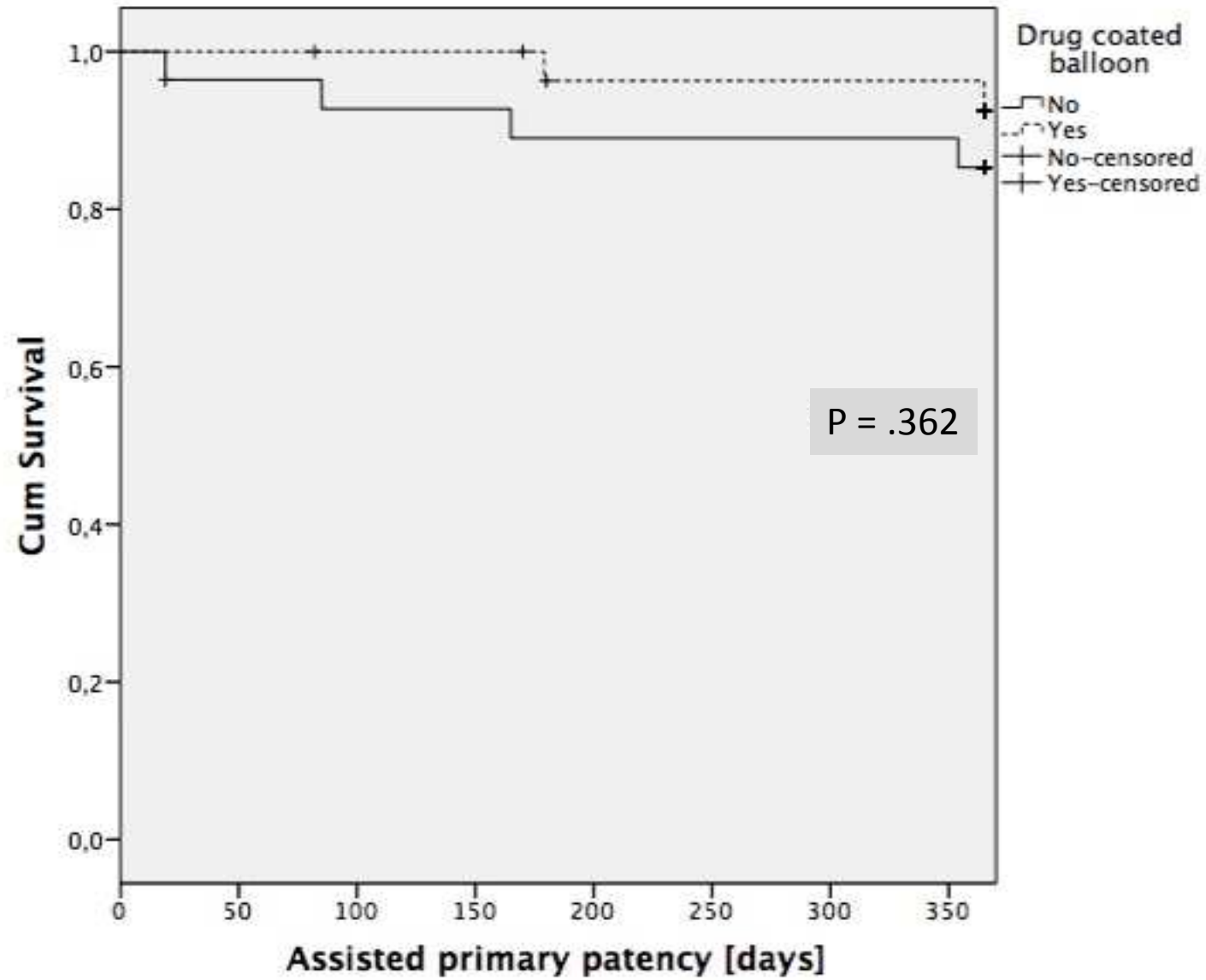
Figure 1. Study design

CONSORT diagram

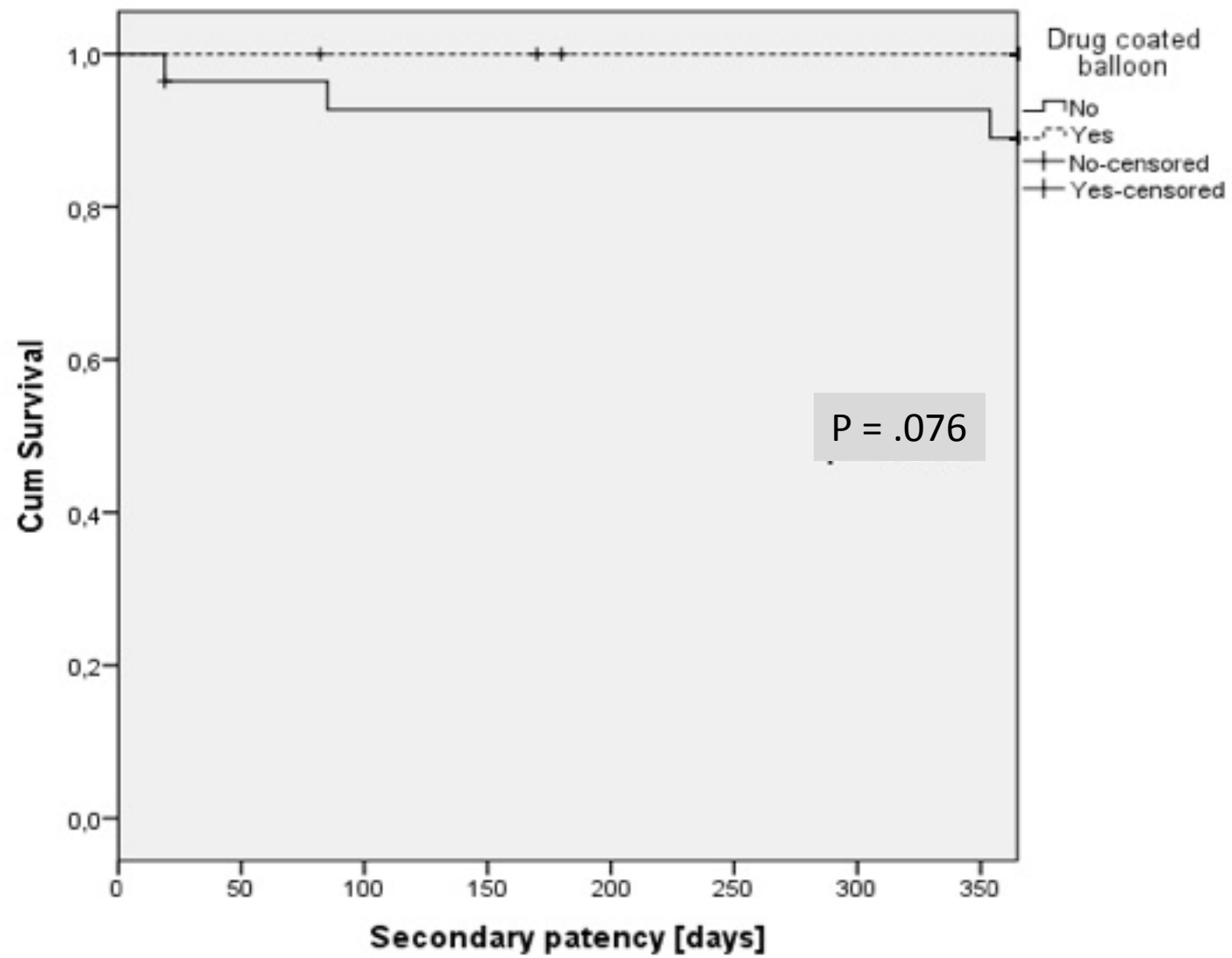




BA	28	25	22	18	15	13	13	13
DCB	29	26	23	21	18	18	16	15



BA	28	26	25	24	23	21	21	21
DCB	29	26	24	23	20	20	19	19



BA	28	27	27	27	26	26	26	26
DCB	29	29	28	28	26	26	26	26