## Dissociation between Private and Social Counterfactual Value Signals Following Ventromedial Prefrontal Cortex Damage

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## **Abstract**

■ Individuals learn by comparing the outcome of chosen and unchosen actions. A negative counterfactual value signal is generated when this comparison is unfavorable. This can happen in private as well as in social settings—where the foregone outcome results from the choice of another person. We hypothesized that, despite sharing similar features such as supporting learning, these two counterfactual signals might implicate distinct brain networks. We conducted a neuropsychological study on the role of private and social counterfactual value signals in risky decision-making. Patients with lesions in the ventromedial prefrontal cortex (vmPFC), lesion controls, and healthy controls repeatedly chose between lotteries. In private trials, participants could observe the out-

comes of their choices and the outcomes of the unselected lotteries. In social trials, participants could also see the other player's choices and outcome. At the time of outcome, vmPFC patients were insensitive to private counterfactual value signals, whereas their responses to social comparison were similar to those of control participants. At the time of choice, intact vmPFC was necessary to integrate counterfactual signals in decisions, although amelioration was observed during the course of the task, possibly driven by social trials. We conclude that if the vmPFC is critical in processing private counterfactual signals and in integrating those signals in decision-making, then distinct brain areas might support the processing of social counterfactual signals.

## **INTRODUCTION**

When we choose among alternatives, we may have the opportunity to compare the consequences of our choices with the consequences of foregone options or with the consequences of choices other people have made. In a private context, the unfavorable comparison between obtained and foregone outcomes (what might have been) can generate negative counterfactual value signals, called regret in economics (Bell, 1982; Loomes & Sugden, 1982) and psychology (Zeelenberg, van Dijk, Manstead, & van der Pligt, 2000), or fictive errors (Lohrenz, McCabe, Camerer, & Montague, 2007) in neuroscience literature. In a social environment, unfavorable social comparison might generate interpersonal negative counterfactuals and thus elicit envy (Bault, Coricelli, & Rustichini, 2008; Orthony, Clore, & Collins, 1988). The two types of private and social counterfactual value signals may therefore be useful to improve our decisions.

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The involvement of the OFC in processing relative values and counterfactual signals is well established in private settings. Evidence from single-cell recordings in nonhuman primates suggests that the OFC carries information related to the relative value of rewarding options (Padoa-Schioppa & Assad, 2006). OFC neurons responding to fictive value signals have been found in rats (Steiner & Redish, 2014) and nonhuman primates (Abe & Lee, 2011), influencing the animals' decisions toward options associated with hypothetical larger rewards in previous trials (Kim, Huh, Jang, Lee, & Jung, 2015; Hayden, Pearson, & Platt, 2009). In humans, the OFC encodes the difference between obtained and foregone outcomes (Boorman, Behrens, Woolrich, & Rushworth, 2009; Coricelli et al., 2005), along with other brain areas such as the striatum and ACC (Lohrenz et al., 2007), and keeps track of the reward probability of the best unchosen option (Boorman, Behrens, & Rushworth, 2011). Patients with lesions in ventromedial prefrontal cortex (vmPFC)—which includes the medial OFC—failed to report regret after comparing the outcome of a bad choice with a better foregone outcome (Camille et al., 2004), although a recent study attributed this deficit to the lateral OFC (Levens et al., 2014). Moreover, vmPFC patients are impaired in anticipating the negative consequences of their choices, and they generally do not learn from negative experience (Wheeler & Fellows, 2008).

By contrast, the implication of the OFC in valuating one's own reward relative to rewards obtained by others is unclear. Intracranial recordings in macaques in a social setting revealed that only a few neurons of the OFC respond to another's reward (Chang, Gariépy, & Platt, 2013). Neurons of ACC, however, encoded either peers' rewards or both the monkey's own and its peers' rewards (Chang et al., 2013), which is consistent with a role of these neurons in social comparison. ACC neurons also predict another agent's decision to cooperate (Haroush & Williams, 2015). In an fMRI study with humans (Bault, Joffily, Rustichini, & Coricelli, 2011), we showed that the OFC activity was modulated by counterfactual comparisons, but OFC signals did not distinguish between situations where the counterfactual outcome was that of a nonchosen alternative or that of another participant. This distinction was, however, observed in the medial prefrontal cortex (mPFC; including parts of the ACC and the frontal polar cortex). The mPFC signaled events in which participants won more than their counterparts. Moreover, the mPFC was more activated during decisions made in a social than in a private context, concurring with the proposal that the ventrodorsal organization of the mPFC represents a distinction between self- versus other-oriented preferences (Sul et al., 2015; D'Argembeau et al., 2010; Mitchell, Macrae, & Banaji, 2006). Nonetheless, other studies have reported activity in the OFC related to relative payoff in social comparison environments (Fliessbach et al., 2007) or to the prediction error of outcomes obtained by others (Burke, Tobler, Baddeley, & Schultz, 2010). Thus, it is not clear whether the OFC is critical in encoding social comparison signals or whether more dorsal prefrontal areas might have a more important role.

Patients with lesions in the vmPFC are known to display abnormal emotional responses to reward and punishment (Bechara, Tranel, & Damasio, 2000; Bechara, Tranel, Damasio, & Damasio, 1996), as well as reduced self-conscious emotions such as shame or embarrassment (Beer, Heerey, Keltner, Scabini, & Knight, 2003). vmPFC patients have also been reported to display inappropriate social behavior (Beer et al., 2003; Rolls, Hornak, Wade, & McGrath, 1994; Stuss & Benson, 1984). Evidence suggests they lack concern for social and moral rules and do not experience the aversive emotional responses to moral violations that are normally found in nonclinical populations (Gu et al., 2015; Ciaramelli & di Pellegrino, 2011; Koenigs et al., 2007). These observations have led to the argument that the impaired emotional system associated with vmPFC damage contributes to the patients' inappropriate social behavior (Kringelbach & Rolls, 2004; Bechara, Damasio, & Damasio, 2000; Elliott, Dolan, & Frith, 2000). By contrast, in interactive games, vmPFC patients have been reported

to display normal emotional reactions (Moretti, Dragone, & di Pellegrino, 2008; Elliott et al., 2000), though their choice behavior was different from that of healthy participants and from patients with lesions in other parts of the brain. In the ultimatum game (Moretti et al., 2008; Koenigs & Tranel, 2007), vmPFC patients rejected unfair offers more often than control participants, although showing normal levels of anger following unfair offers. Thus, the role of the vmPFC in processing social emotional responses and the nature of lesion patients' impairment in social behavior remains an open question. In particular, it is still unclear whether changes in social behavior following vmPFC lesions should be attributed to lesions in the OFC or rather to lesions in adjacent regions such as the frontal polar cortex and ACC (Rudebeck, Bannerman, & Rushworth, 2008). We propose to investigate this question by directly comparing decisions in two environments that differ only in the dimension—social or nonsocial—of the counterfactual information available.

The aim of our study is twofold. First, we investigate the role of the OFC in the integration of social information in the decision-making process and specifically whether the OFC is necessary in the processing of social counterfactual value signals. Second, we seek to confirm the finding that vmPFC patients are impaired in the processing of private counterfactual value signals (Camille et al., 2004) by testing a different cohort of patients with brain lesion, as these results have been subject to debate (Levens et al., 2014). We suggest that the OFC might not be crucial in maintaining typical behavior in social settings, in contrast to private ones. Thus, patients with lesions in the vmPFC might still be able to use social information in their decisions. To test this hypothesis, we studied emotional and behavioral responses to private and social counterfactual comparison in patients with lesions affecting or sparing the prefrontal cortex (PFC) as well as in a group of healthy controls. Lastly, we investigated how these two signals affected subsequent choices.

#### **METHODS**

#### **Participants**

Twenty-five patients with brain lesion and 23 healthy participants took part in the study. We recruited 10 patients with lesions predominantly localized in the ventral part of the PFC (vmPFC group). The nonfrontal lesion comparison group included seven patients with lesions in parts of the occipital, parietal, or temporal cortex and sparing the frontal lobe. To perform voxel-based lesion—symptom mapping (VLSM) analyses, eight additional patients with prefrontal lesion, outside or not restricted to the vmPFC, were recruited.

Patients with brain damage were recruited from the Centre for Studies and Researches in Cognitive Neuroscience in Cesena (Italy), the Rouen Hospital Charles

Table 1. Demographic Data of the Patients with PFC Lesion, Control Lesion Patients, and Healthy Control Participants

Group	Nationality (French/Italian)	Sex (F/M)	Age at Test in Years (SD)	Education in Years (SD)
vmPFC patients $(n = 10)$	5/5	1/9	51 (12)	9 (3)
Other PFC patients $(n = 8)$	1/7	3/5	54 (13)	12 (1)
Control lesion patients $(n = 7)$	0/7	5/2	52 (12)	12 (4)
Healthy controls $(n = 23)$	12/11	4/19	52 (8)	11 (2)

Nicolle (France), and the Lyon Neurological Hospital (France). Potential participants suffering from visual, motor, or strong attention deficits that could interfere with their ability to perform the task were not included in the study. Twelve patients were taking psychoactive medications, most commonly anticonvulsants or antidepressants.

Control participants were recruited both in France and Italy via newspaper advertising. They were screened to exclude psychoactive medication and conditions of past or current psychological or neurological illness and history of head injury.

Patient and control groups differed neither in age (Table 1; Kruskal–Wallis  $\chi^2 = 0.236$ , p = .97) nor education level ( $\chi^2 = 5.530$ , p = .14). When considered separately, neither patient group significantly differed from the healthy control group in age or level of education. All volunteers gave fully informed consent for the project, approved by the French National Ethical Committee (Comité Consultatif de Protection des Personnes dans la Recherche Biomédicale).

## **Lesion Analysis**

All patients had stable, adult-onset, and well-defined lesions. Etiologies and lesion localization of all patients are described in Tables 2 and 3. Lesion analysis was based on the most recent clinical MRI (n = 16) or CT (n = 8). Lesions were manually drawn by an expert (blind to task performance) onto the normalized T1-weighted template MRI scan from the Montreal Neurological Institute (MNI) using MRIcron (Rorden, Karnath, & Bonilha, 2007). Three-dimensional representations of lesions were created by drawing the lesion on the relevant slices of the template. When a surgical clip was present and artifacts made it difficult to observe damaged tissue, if damage was evident above and below the slices containing clip artifacts, then it was assumed that the lesion also included the region occupied by the clip. Volumes of lesions were computed with the VOI description tool of MRIcron. A prefrontal patient was included in the vmPFC group if the lesion met the following criteria: (1) more than 50% of the lesion volume was situated in BA 10 and BA 11 and (2) the lesion's center of mass was situated in BA 11 or in the ventral part of BA 10. Therefore, patients with a lesion having a center of mass situated in the PFC who did not meet the vmPFC inclusion criteria were included only in the VLSM analyses. These patients had either nonfocal lesions of the vmPFC (extending to the lateral OFC and to other parts of PFC) or lesions damaging other parts of PFC. As they do not constitute a homogenous lesion group, we expected them to display different patterns of responses depending on the precise localization and extent of their lesions. The inclusion criteria for the lesion control group were a lesion's center of mass outside the frontal lobe and an intact prefrontal lobe. The overlap maps of lesions for the vmPFC and nonfrontal lesion comparison patient groups are shown in Figure 1.

## **Neuropsychological Assessment**

A trained neuropsychologist administered a short neuropsychological test battery to all participants. The following tests were used to assess the participants' executive functions: the Trail-Making Test (Tombaugh, 2004; see Giovagnoli et al., 1996, for the Italian version without J and K), the Modified Card Sorting Test (Nelson, 1976), and the Frontal Assessment Battery (Dubois, Slachevsky, Litvan, & Pillon, 2000; for Italian norms, see Appollonio et al., 2005). Scores for these tests are provided in Table 4.

#### **Experimental Procedure**

## Experimental Setup

Lesion patients participated with a confederate of the same sex. The confederates were members of the research facility and unknown to the patients. They were instructed exactly as normal participants to ensure they would behave as such, but their data were later disregarded because of the possible decision bias induced by their knowledge of interacting with a patient. Healthy control participants were paired with another control of the same sex. One control participant played with a confederate because the second participant failed to show at the experimental session. The two participants of each pair sat in the same room and were separated by a panel wall. They were told they were about to play the same game together but that their payoff would only

Table 2. Description of Patients' Lesions

Patient	Etiology Lesion Localization		Lesion Volume (cm³)
vmPFC Pa	tients		
1	Meningioma	vmPFC, bilateral	31.38
2	Penetrating ballistic brain injury	vmPFC, bilateral	19.73
3	Cranial trauma	Right vmPFC	10.80
4	Angioma	Right vmPFC	7.12
5	Meningioma	vmPFC, bilateral	15.36
6	AcoA aneurysm	vmPFC, bilateral	41.69
7	AcoA aneurysm	vmPFC, bilateral	52.67
8	AcoA aneurysm	vmPFC, bilateral	56.93
9	Cranial trauma	Left vmPFC, dlPFC	27.55
10	ACoA aneurysm	Anterior OFC, ACC, frontopolar	52.34
Other PFC	Patients		
11	Meningioma	Left vmPFC, temporal lobe	57.63
12	AcoA aneurysm	Left vmPFC, left frontopolar, dlPFC	95.69
13	Aneurysm (right anterior cerebral artery, A1–A2 tract)	ACC, subgenual area	5.55
14	AcoA aneurysm	ACC	25.91
15	Ischemic stroke	Left insula, dlPFC	27.15
16	Ischemic stroke	Post. OFC, right dlPFC, temporal poles	3.69
17	Meningioma	Right lateral OFC, ACC, frontopolar	158.81
18	Ischemic stroke	Left insula, lateral PFC	35.93
Control Le	sion Patients		
19	Glioblastoma	Right temporoparietal area	127.83
20	Ischemic stroke	Right occipital cortex	145.30
21	Venous stroke	Left middle temporal cortex	56.14
22	Left ischemic stroke	Left insula, putamen Rolandic operculum	25.63
23	Aneurysm (left medial cerebral artery)	Left middle temporal cortex, insula	56.61
24	Spontaneous hemorrhage	Left occipital cortex	7.25
25	Meningioma (postoperation)	Right occipital cortex	13.35

AcoA = anterior communicating artery; dlPFC = dorsolateral PFC.

depend on their own choices and not on the other's choices.

#### Task

A lottery task adapted from Bault et al. (2008) was used, manipulating the magnitude and probabilities of potential gains and losses (see Figure 2). Participants repeatedly chose between two lotteries, each with two

possible outcomes from the set of values  $\{-20; -5; +5; +20\}$ . The probability of obtaining each outcome was taken from the set  $\{0.2; 0.5; 0.8\}$ . Probabilities were indicated by a sector on a circle. To make potential gains and losses easier to differentiate for patients, numbers and circle sectors were depicted in green for positive outcomes and in red for negative outcomes. The expected values of the two lotteries were always of the same sign (i.e., both positive or both negative). We ensured that the

**Table 3.** Number of Voxels Lesioned in Each Brodmann's Area, Proportion of the Area with Lesions, and Maximum Overlap of Lesions, for the PFC Patients

		vmPFC Patients		Other PFC Patients					
BA	No. of Voxels with Lesion	Proportion Area with Lesion	Maximum Overlap	No. of Voxels with Lesion	Proportion Area with Lesion	Maximum Overlap			
10	29138	0.783	7	33861	0.909	4			
11	50610	0.767	7	32941	0.499	3			
24	2206	0.21	4	4550	0.433	2			
25	702	0.051	4	3807	0.278	2			
32	11548	0.36	4	23340	0.728	2			
38	251	0.009	2	5935	0.219	3			
46	3778	0.132	3	24988	0.876	4			
47	8096	0.235	4	22996	0.667	3			
48	487	0.003	2	26805	0.169	2			
4	_	_	_	638	0.019	1			
6	_	_	_	11112	0.113	2			
8	_	_	_	14383	0.568	2			
9	_	_	_	29819	0.823	2			
43	_	_	_	96	0.014	1			
44	_	_	_	12305	0.653	2			
45	_	_	_	24119	0.846	3			

difference in expected values of the two lotteries of all pairs did not exceed €7 (see Bault et al., 2008, for a complete list of the lotteries pairs).

#### **Conditions**

In total, participants underwent 120 trials of the experimental task. The initial 80 trials had complete feedback, that is, the outcome of both lotteries was revealed, and could be either private (40 trials) or social (40 trials), in randomized order (see Figure 2). The following 40 trials had partial feedback, that is, only the outcome of the chosen lottery was revealed.

Private versus social trials (complete feedback) At the beginning of the trial, two lotteries were displayed, surrounded by a green-dotted square for private trials and a yellow-dotted square representing the second player in social trials. Participants could choose one of the two lotteries at any time by pressing the left or right arrow on the keyboard. In social trials, after making their choice, participants could also see the choice made by the second player. After a spinning period, the outcomes of both lotteries were displayed simultaneously. In private trials, participants could then compare their outcome to that of

the unchosen lottery (counterfactual comparison). In social trials, they could compare their outcome to that of the second player (social comparison); they were told the lotteries were drawn once for the two computers, that the two players would see the same outcome screen, and that if both players had chosen the same lottery, they would receive the same payoff. Finally, in both private and social trials, participants were asked to provide a subjective emotional rating on the outcome of their choice ("How do you feel about the outcome of your choice?"), on a scale ranging from -50 (extremely negative) through 0 (neither positive nor negative) up to +50 (extremely positive).

Partial versus complete feedback We introduced partial feedback trials to ensure that the dissociation (amplification effect) between disappointment and regret, previously observed in healthy participants but not in vmPFC patients (Camille et al., 2004), was present with our participants. As it served replication purposes only, the partial feedback condition was always presented after the complete feedback condition. In this way, we avoided potential spillovers of the partial feedback condition on our conditions of interest (i.e., private vs. social with complete feedback). In partial feedback trials, the

Figure 1. Overlap map of lesions of patients. (A) The overlap of lesions of the 10 vmPFC group are projected on seven axial slices of the ch2 template from the MRIcron software. (B) Overlap for the lesion control group. The scale represents the number of patients with overlapping lesions. The slices are oriented according to the neurological convention (i.e., left is left). The positions of the axial slices are displayed on the right bottom sagittal slice.

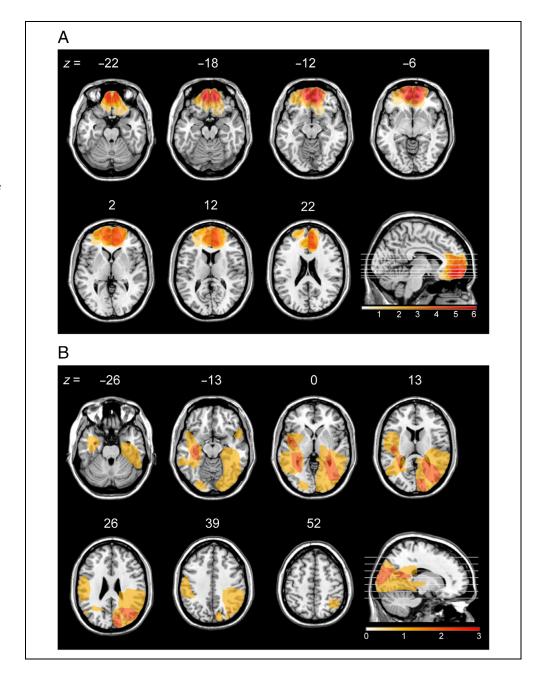
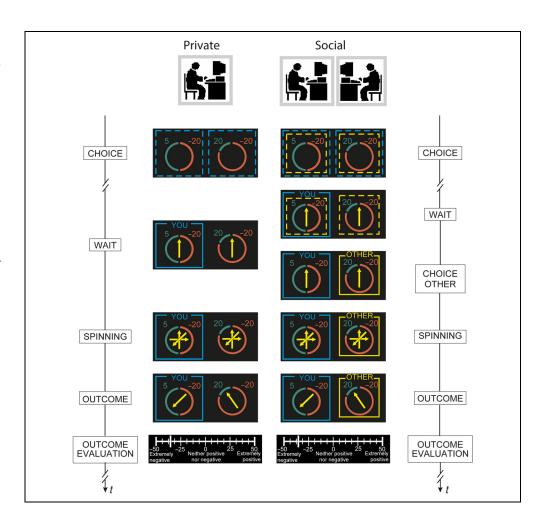


Table 4. Results of the Neuropsychological Tests for the Two Groups of Participants

Group	TMT B-A (sec)	MCST Cat.	MCST PE	FAB Score
vmPFC patients	96 (28)**	4 (2)**	9 (8)**	14 (3)***
Other PFC patients	87 (40)**	3 (2)**	10 (8)**	16 (3)
Control lesion patients	103 (49)**	4 (2)*	7 (7)	16 (2)
Healthy controls	37.96 (24.53)	6.04 (0.56)	1.78 (1.97)	17.35 (0.83)

The line labeled TMT B-A reports the average completion time for the Part B minus Part A of the Trail-Making Task. MCST Cat. is the average number of categories completed in the Modified Card Sorting Task, MCST PE is the average number of perseverative errors in the Modified Card Sorting Task, and FAB Score is the Frontal Assessment Battery average score. Numbers in parentheses are the standard deviations. \*p < .05, \*\*p < .01, \*\*\*p < .001 based on a Dunn's test comparing each patient group and the healthy control group, with a Benjamini–Hochberg adjustment for multiple comparisons.

Figure 2. Experimental task. In the private condition, the participant chose one lottery and then saw an arrow spinning in both lotteries. The participant saw the outcome of both lotteries (complete condition). In the example presented here, they won €5, but they could have won €20 had she chosen the other lottery. In the social condition, a yellow dotted line representing the other participant was displayed in addition to the blue dotted line, so that the participant knew she would see the other's choice. The choice of the other was displayed only after the participant had indicated her own choice. Participants knew that the lotteries were drawn only once; therefore, the same lottery outcomes were displayed on both players' screen.



spinning and outcome revelation occurred for the chosen lottery only, whereas neither the outcome of the unchosen lottery nor the choice nor the outcome of the second participant was revealed.

#### Counterpart's Behavior

During training, participants saw their counterpart's real choices. During the rest of the session, however, the counterpart's choices were computer simulated. This procedure allowed us, first, to analyze the participant's behavior independently from the counterpart's and, second, to control for the environment created by the other player's choice behavior and outcomes. The computer simulated a risk-averse decision maker, as this choice pattern has been proved to elicit competitive behavior, resulting in participants choosing differently than the computer more often than if it was maximizing expected values (Bault et al., 2008, 2011). The computer selected the lottery with the lowest standard deviation (as defined in Bault et al., 2011, equation S4) in 90% of the trials. During debriefing, at the end of the experiment, no participant reported any doubt about seeing the actual choice and outcome of the other participant.

#### **Payment**

To discourage participants to mentally sum their earnings and to enable them to treat trials independently, they were told that the outcome from 20 randomly drawn trials would be cumulated and that, at the end of the experiment, they would receive this amount plus a  $\leqslant$ 5 show-up fee. However, to comply with the ethical committee policy, all participants were offered  $\leqslant$ 20, irrespective of their gains in the game. When the hospital policy did not allow patients to receive monetary compensation, they were offered gift tokens of the same amount.

#### **Statistical Analysis**

## Subjective Ratings Analysis

After the counterpart's choice and the outcome of the lotteries were revealed to the participants, several events were possible. Trials were categorized as follows according to the condition: private partial feedback (PP), private complete feedback (PC), social same choice (SSC; when the participant and the counterpart chose the same lottery), and social different choice (SDC; when they chose different lotteries). Social trials always received complete

feedback, even when both players selected the same lottery. Trials were also categorized as relative gain (+) or relative loss (-) trials, depending on the sign of the difference between the outcomes of the chosen and unchosen lottery. In partial feedback trials, we considered the difference between the obtained and nonrealized outcomes of the chosen lottery.

Nonparametric tests were applied, because several parametric assumptions (particularly normal distribution of errors) were violated. The significance of the difference between behavioral variables, RT, and subjective ratings was estimated with the Wilcoxon signed-rank test (WSRT) using Stata (StataCorp LLC, College Station, TX). One-tailed tests were used when we had strong hypothesis on the directionality of the effect {|PP|, |SSC|} < |PC| < |SDC| (Bault et al., 2008, 2011). Betweengroup differences were tested with Kruskal–Wallis tests. Post hoc planned comparisons between groups were made with a Dunn's test, with a Benjamini–Hochberg adjustment for multiple comparisons.

One vmPFC patient was excluded from the subjective rating analysis because he failed to report them, answering 0 (the default value) in 52.5% in the trials, within 0.78 sec on average (1.59 sec for all trials as opposed to an average of 7.66 sec for the other patients).

#### Choice Behavior Analysis

We analyzed how the expected value of lotteries anticipated disappointment and regret influenced choices in all conditions. The variables difference in expected value (dEV), regret (r), and disappointment (d) are defined following Camille et al. (2004):

$$dEV = EV_1 - EV_2 = [px_1 + (1-p)y_1] - [qx_2 + (1-q)y_2]$$

$$d = [|y_2 - x_2|(1-q)] - [|y_1 - x_1|(1-p)]$$

$$r = |y_2 - x_1| - |y_1 - x_2|$$

where  $x_1$ ,  $y_1$  and  $x_2$ ,  $y_2$  are the two possible outcomes of the first and the second lotteries, respectively, with  $x_1 > y_1$  and  $x_2 > y_2$ . The probability of  $x_1$  is p, and the probability of  $y_1$  is (1 - p). The probability of  $x_2$  is q, and the probability of  $y_2$  is (1 - q).

We estimated the probability of the participant choosing lottery 1 as a function of the difference in expected value (dEV), anticipated regret (r), and disappointment (d).

$$\Pr(c = 1 | c) = \frac{1}{1 + e^{\infty + \beta . \text{dEV} + \gamma . r + \delta . d}}$$

A positive (negative) and significant expected value (dEV) coefficient indicates that subjects were more likely to choose the lottery with highest (lowest) expected value. Anticipated disappointment (d) is related to the perspective of getting the lowest outcome of the chosen lottery in comparison with the highest outcome of the same lottery. This distance in absolute value is weighted

by the probability of the lowest outcome, assuming that participants attempt to avoid highly probable losses. Anticipated disappointment depends on the range of the lotteries' possible outcomes, which is similar to a measure of risk propensity. Anticipated regret is based on the consideration of a possible choice alongside the rejection of its alternatives. The process of minimizing the anticipated regret (denoted as r) consists of rejecting the lottery associated with highest regret propensity, when comparing the lowest outcome of this lottery and the highest outcome of the alternative lottery. In social trials, anticipated regret can be considered as anticipated envy, as previous research has shown that participants' behavior is driven by social comparison (Bault et al., 2008). Positive coefficients for r and d indicate that participants consistently anticipated (minimized) regret and disappointment, respectively.

Choice behavior was analyzed with multilevel mixed logit regressions with participants nested in groups, which allows us to estimate both random and conditional fixed effects. Parameters were estimated by maximum likelihood. All regressions were run using the statistical software package Stata (StataCorp).

For the VLSM analyses, the same regressions (modeling choice as a function of dEV, d, and r) were run at the individual level in private complete and social trial separately. The r coefficients were then used as behavioral predictor in the VLSM analysis. For one patient (Patient 11 in Table 2), the estimation failed because of multicollinearity. The model was reestimated excluding anticipated disappointment (d) from the regression for this patient. The r coefficient was used for VLSM analyses (see below) as for the other participants.

## Voxel-based Lesion-Symptom Mapping

VLSM analyses (Bates et al., 2003) were performed using the Brunner–Munzel rank-order test implemented in Niistat (https://www.nitrc.org/projects/niistat/). This analysis compares coefficients of patients having versus not having a lesion in a given voxel. The eight patients with lesions of PFC nonrestricted to the vmPFC were included in this analysis, in addition to the vmPFC and lesion control patients. Only voxels that were lesioned in at least three patients were considered.

Statistics were computed using the general linear model. The threshold of resulting statistical maps were subsequently set at p < .05 using permutation-derived correction (Z = 2.82 for the subjective ratings analysis). Power analysis revealed sufficient power in the vmPFC, dorsomedial PFC (dmPFC), and left lateral OFC. Power was not sufficient in the right lateral OFC.

In our first analysis, we used the difference in subjective ratings between the PP<sup>-</sup> and PC<sup>-</sup> events as behavioral measure. This measure controls for interindividual variability in the use of the rating scale. For the choice analysis, we used the coefficients for anticipated regret

extracted from individual logistic regressions with all complete feedback trials.

## **RESULTS**

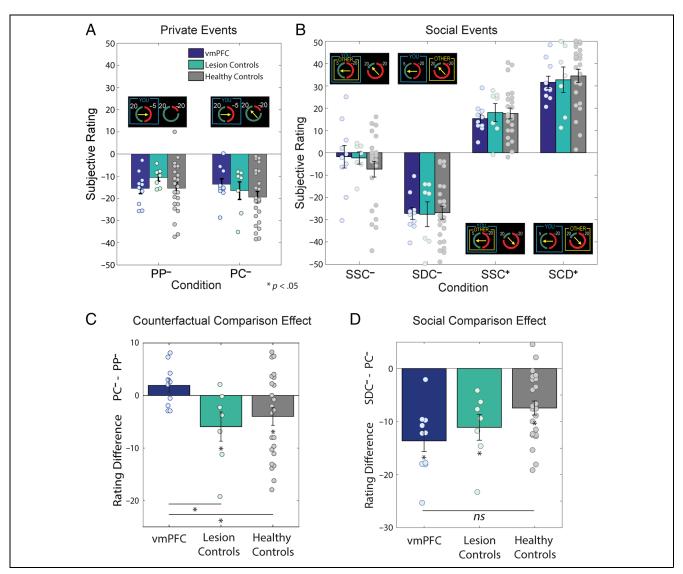
## **Subjective Ratings**

Effect of Counterfactual Comparison: Partial Feedback versus Complete Feedback in Private Trials

We first replicated the results of Camille et al. (2004) concerning the role of the vmPFC in regret (or private counterfactual) processing. In the partial feedback condition, disappointment (PP<sup>-</sup> events) is characterized by the

unfavorable comparison between the obtained outcome and the unobtained outcome from the chosen lottery. In complete feedback condition, regret (PC<sup>-</sup> events) is operationalized as the unfavorable comparison between the outcome of the chosen lottery and the foregone outcome of the unchosen lottery (upward counterfactual). For a given obtained outcome, we expected a stronger effect of regret compared with disappointment (i.e., amplification effect).

In the healthy control group, emotional reactions to relative losses were stronger in the complete feedback condition than in the partial feedback condition (WSRT  $PC^- < PP^-, Z = 1.93, p = .03$ ; Figure 3A). The lesion



**Figure 3.** Subjective ratings in private events and social events. (A) Mean subjective ratings given by the vmPFC patients, lesion control patients, and healthy control participants in the partial and complete feedback conditions for negative events. The control group gave lower subjective ratings in PC trials than in partial feedback trials, whereas the vmPFC patients did not. PP = private condition/partial feedback; PC = private condition/complete feedback. — signs stand for relative losses. (B) Mean subjective ratings for the vmPFC patients, lesion control patients, and healthy control participants in the social condition (complete feedback). Negative (positive) events include all trials in which the obtained outcome was lower (higher) than the foregone outcome. The vmPFC patient group did not differ from any of the control groups for all four events. SSC = social condition/same choice made by both players; SDC = social condition/different choice. + and — signs stand for relative gain and losses, respectively. (C) Mean subjective ratings distance between the negative PC and PP trials for the three groups. (D) Mean subjective ratings distance between the SDC and PC events for the three groups. Circles represent individual data points. Error bars represent SEM.

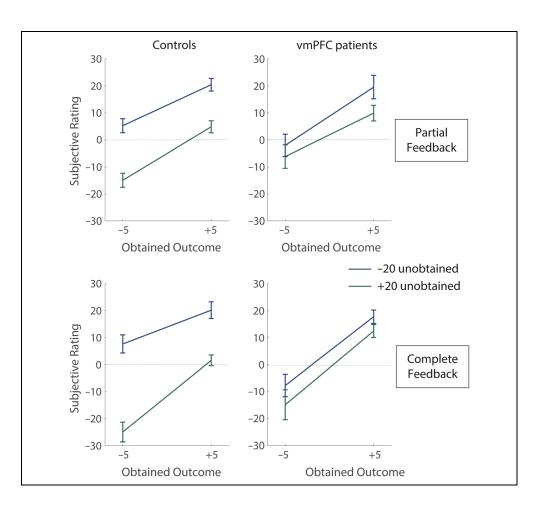
control group showed the same effect (WSRT PC $^-$  < PP $^-$ , Z=1.94, p=.03), whereas the vmPFC patients did not (WSRT PC $^-$  < PP $^-$ , Z=-1.42, p=.92). However, there was no significant effect of group for subjective ratings of PC $^-$  events (Kruskal–Wallis,  $\chi^2=1.775, p=.4118$ ).

To control for the frequencies of specific pairs of obtained/unobtained outcomes that could differ between groups (due to behavioral differences), we restricted the analysis to trials in which, for a given obtained outcome of -5 or +5, subjective ratings could potentially be modulated by higher (+20) or lower (-20) unobtained outcomes (Figure 4). The subjective ratings reported by the vmPFC patients were not modulated at all by the feedback on the outcome of the unchosen gamble. vmPFC patients still evaluated a gain (loss) of €5 positively (negatively) even when they could have won (loss) more choosing the alternative option. The three groups differed in the subjective rating of PC events in the restricted data set (Kruskal–Wallis,  $\chi^2 = 7.672$ , p = .0216). Pairwise comparisons revealed that healthy control participants reported stronger negative ratings when obtaining 5 or -5 in the view of a missed opportunity to get €20 than vmPFC patients (Dunn's test, Z = 2.603, p = .014). The lesion control and healthy control groups did not differ significantly (Dunn's test, Z = 1.522, p = .096).

Note that, similar to the results previously reported (Camille et al., 2004), the impairment in vmPFC patients was restricted to the negative domain. The subjective ratings for PC<sup>+</sup> events did not differ in the three groups (Kruskal–Wallis,  $\chi^2 = 4.309$ , p = .116). These effects were confirmed by a mixed linear regression that used the four lottery values as predictors of subjective ratings (Table 5). Although subjective ratings of healthy controls were decreased by the outcome of the unchosen lottery in PC trials, the ratings of vmPFC patients were unaffected and the group interaction was significant. The lesion control group also differed from healthy controls for both the obtained outcome and outcome of the unchosen lottery, suggesting that the difference was not specific to regret. Indeed, the group interaction disappeared when we restricted the analysis to regret events (Outcome chosen < Outcome unchosen, Outcome unchosen  $\times$  Group,  $\beta = -0.318$ , p = .096), whereas it remained significant for vmPFC patients (Outcome unchosen × *Group*,  $\beta = -0.431$ , p = .007).

Finally, we controlled for a potential effect of medication on regret impairment. Patients under psychoactive medication did not differ from medication-free patients in their ratings in PP<sup>-</sup> and PC<sup>-</sup> events (Mann–Whitney, PP<sup>-</sup>: Z = 0.30, p = .76; PC<sup>-</sup>: Z = 0.91, p = .36).

Figure 4. Effect of unobtained outcomes on mean subjective ratings given by the vmPFC patients and healthy control participants in the partial and complete feedback conditions. Mean subjective ratings reported by control participants and vmPFC patients for two obtained outcomes (-5 or 5) as a function of the unobtained outcome (blue line, -20; green line, +20) in the two private conditions. In the partial condition, the unobtained outcome corresponds to the unobtained value of the chosen gamble. In the complete condition, it corresponds to the outcome of the nonchosen gamble. Error bars represent SEM.



**Table 5.** Effect of Counterfactual Comparison on Subjective Ratings in the Private Condition

	Healthy Controls			vn	nPFC Pai	tients	Lesion Controls			
Subjective Ratings	Coeff	SE	$\overline{z}$	Coeff	SE	$\overline{z}$	Coeff	SE	z	
Outcome chosen	1.370	0.050	27.46***	1.355	0.072	18.85***	1.666	0.086	19.32***	
Nonrealized chosen	-0.155	0.048	-3.25***	-0.046	0.073	-0.63	-0.137	0.090	-1.52	
Outcome unchosen	-0.400	0.045	-8.81***	-0.079	0.069	-1.14	-0.107	0.088	-1.21	
Nonrealized unchosen	0.085	0.049	1.75	0.008	0.070	0.11	0.076	.089	0.85	
Group				-0.533	2.061	-0.26	0.742	2.400	0.31	
× Outcome chosen				0.015	0.086	0.18	0.296	0.100	-2.97**	
× Nonrealized chosen				-0.109	0.086	-1.27	-0.019	0.102	-0.18	
× Outcome unchosen				-0.320	0.082	-3.92***	-0.292	0.100	-2.93**	
× Nonrealized unchosen				0.078	0.084	0.93	0.010	0.102	0.10	
Constant	2.455	1.125	2.18*	3.028	0.993	3.05**	1.713	2.103	0.81	
	PC trials									
	Wald	$\chi^2 = 22$	74.13***	Wald	$\chi^2 = 33!$	96.23***	Wald $\chi^2 = 3031.32***$			

Mixed linear regression modeling the effect of the outcome of the chosen and unchosen lotteries and the nonrealized values of the two lotteries on subjective ratings, in PC trials, for the healthy control and vmPFC patients and lesion control patients. Group interactions are modeled with a dummy variable equal to 0 for healthy controls and 1 for vmPFC or lesion control patients. Effects of particular interest are in **bold**. For healthy controls, subjective ratings increased with the outcome of the chosen lottery and decreased with the unobtained value of the chosen lottery and the outcome of the nonchosen lottery. Subjective ratings were only influenced by the obtained outcome in vmPFC patients. The only significant group interaction was for the outcome of the nonchosen lottery, suggesting that it modulated subjective ratings significantly less for vmPFC patients than healthy controls. Lesion controls differed from healthy controls in the way the outcome of both lotteries modulated their ratings.

Therefore, we exclude that psychoactive drugs can account for the observed impairment in vmPFC patients.

In summary, unlike healthy controls and lesion control patients, vmPFC patients did not take into account the outcome of the unchosen option when evaluating the outcome of their choice.

## Involvement of Different Regions of the PFC in Regret Impairment

We ran a VLSM analysis to better characterize which region of the PFC is critical to report stronger subjective feelings caused by counterfactual thinking after a bad decision. The analysis was run on all patients, including the eight PFC patients with lesions that were not restricted to the vmPFC.

We used the regret amplification variable (difference in subjective ratings between the PP<sup>-</sup> and PC<sup>-</sup> events) as behavioral measure in the analysis. No voxel was associated with reduced regret after correction for multiple comparisons. Uncorrected analysis revealed that damage in the medial OFC was associated with impair-

ment in reporting regret (MNI coordinates -3, 53, -5; Z = 2.54).

#### Effect of Social Comparison: Private versus Social Trials

Social comparison modulated how participants rated the outcome of their choices (Figure 3B). Healthy control participants reported more negative ratings when they lost in the presence of the other player's gain than when they lost in isolation (SDC $^-$  < PC $^-$ : WSRT Z=3.76, p<.001) or when they lost the same amount as the other participant (SDC $^-$  < SSC $^-$ , WSRT  $Z=3.90, p<.001). They also reported more positive ratings when they won more than their counterpart, compared with winning in isolation (SDC<math display="inline">^+$  > PC $^+$ : WSRT Z=4.15, p<.001) or winning the same amount (SDC $^+$  > SSC $^+$ : WSRT Z=4.18, p<.001). Thus, healthy control participants were sensitive to social comparison signals, deriving strong pleasure from outperforming their counterparts and strong displeasure from being outperformed.

Patients with vmPFC lesion displayed the exact same pattern of responses in the social settings. As for the healthy

<sup>\*</sup>p < .05.

<sup>\*\*</sup>p < .01.

<sup>\*\*\*</sup>p< .001.

control participants, SDC<sup>-</sup> events were rated more negatively than PC<sup>-</sup> events (WSRT, Z = 2.75, p = .003) or SSC<sup>-</sup> events (WSRT, Z = 2.75, p = .003). SDC<sup>+</sup> events elicited more positive emotions than PC<sup>+</sup> (WSRT, Z = 2.75, p = .003) and SSC<sup>+</sup> (WSRT, Z = 2.65 p = .004).

The lesion control patient group was sensitive to negative social comparison signals as well (WSRT, SDC<sup>-</sup> vs. PC<sup>-</sup>: Z = 2.28, p = .01; SDC<sup>-</sup> vs. SSC<sup>-</sup>: Z = 2.28, p = .01) and to some extent to positive social comparison signals (WSRT, SDC<sup>+</sup> vs. PC<sup>+</sup>: Z = 1.44, p = .07; SDC<sup>+</sup> vs. SSC<sup>+</sup>: Z = 1.94, p = .03).

The three groups gave similar ratings for all social events (Kruskal–Wallis, SSC<sup>-</sup>:  $\chi^2 = .502$ , p = .778; SDC<sup>-</sup>:  $\chi^2 = 0.038$ , p = .981; SSC<sup>+</sup>:  $\chi^2 = 0.556$ , p = .757; and SDC<sup>+</sup>:  $\chi^2 = 0.977$ , p = .614). In summary, we did not find any difference in the counterfactual evaluation of the outcomes in social settings between vmPFC patients, lesion control patients, and healthy control participants.

These effects were confirmed by a mixed linear regression that used the four lottery values as predictors of subjective ratings in social trials (Table 6). Subjective ratings were influenced by the outcomes of both the chosen and unchosen lotteries in all three groups. The influence of the outcome of the unchosen lottery was not significantly different between the two lesion groups and the healthy control group. Finally, the effect of the outcome of the unchosen lottery on subjective ratings was significantly higher in the social than in the private condition (interaction: Private × Outcome unchosen) for the vmPFC patient group.

# Emotional Amplification Effects in Private and Social Trials

The signature of regret is the emotional amplification observed in PC<sup>-</sup> trials compared with PP<sup>-</sup> trials (Figure 3C).

Table 6. Effect of Counterfactual Comparison on Subjective Ratings in the Social Condition

	Нес	althy Co	ntrols	vm	nPFC Pa	tients	Lesion Controls				
Subjective Ratings	Coeff	SE	$\overline{z}$	Coeff	SE	$\overline{z}$	Coeff	SE	z		
Outcome chosen	1.427	0.091	15.69***	1.374	0.060	22.99***	1.586	0.073	21.68***		
Nonrealized chosen	0.115	0.121	0.95	-0.097	0.07	-1.44	-0.0378	0.085	-0.45		
Outcome unchosen	-0.694	0.109	-6.36***	-0.322	0.105	-3.08**	-0.272	0.135	-2.01*		
Nonrealized unchosen	0.250	0.075	3.34***	0.037	0.058	0.65	0.076	.089	0.85		
Private				4.217	1.338	3.15**	0.707	1.753	0.40		
× Outcome unchosen				0.290	0.110	2.63**	0.101	0.141	0.71		
Group				3.174	2.290	1.39	1.171	2.796	0.42		
× Outcome chosen				-0.011	0.072	-0.16	-0.224	0.085	-2.62**		
× Nonrealized chosen				-0.036	0.079	-0.45	-0.095	0.096	-0.99		
× Outcome unchosen				-0.183	0.126	-1.45	-0.233	0.156	-1.50		
× Nonrealized unchosen				0.097	0.069	1.39	0.010	0.102	0.10		
× Private				-3.807	1.603	-2.37*	-0.298	2.00	-0.15		
× Outcome unchosen × Private				-0.184	0.133	-1.38	0.006	0.163	0.04		
Constant	1.920	1.217	1.58	-1.200	1.908	-0.63	0.805	2.449	0.33		
		SDC trials		PC and SDC trials			PC and SDC trials				
	Wald ?	$\chi^2 = 14$	91.50***	Wald	Wald $\chi^2 = 5835.26***$			Wald $\chi^2 = 4741.12***$			

Mixed linear regression modeling the effect of social comparison on subjective ratings in healthy controls, vmPFC patients, and lesion controls. Interactions with group in SDC trials are modeled with a dummy variable equal to 0 for healthy controls and 1 for patients. Interactions with conditions are modeled with a dummy variable equal to 1 for private trials and 0 for SDC trials. Effects of particular interest are in bold. For all groups, subjective ratings increased with the outcome of the unchosen lottery. Subjective ratings were influenced by the outcome of the unchosen lottery significantly more in SDC than in PC trials in vmPFC patients (significant interaction:  $Private \times Outcome \ unchosen$ ).

<sup>\*</sup>p < .05.

<sup>\*\*</sup>p < .01.

<sup>\*\*\*</sup>p< .001.

Similarly, the signature of envy is the emotional amplification observed in SDC<sup>-</sup> trials compared with PC<sup>-</sup> trials (Figure 3D).

The difference in subjective ratings between PC<sup>-</sup> and PP<sup>-</sup> (Kruskal–Wallis  $\chi^2 = 5.391$ , p = .067) was smaller for vmPFC patients than for the healthy controls (all trials, Dunn's test, Z = 1.90, p = .043) and the lesion control patients (Dunn's test, Z = 2.14, p = .048), whereas the healthy and lesion control groups did not differ (Dunn's test, Z = 0.78, p = .21). The amplification effect between PC<sup>-</sup> and SDC<sup>-</sup> events was comparable for the three groups (Kruskal–Wallis,  $\chi^2 = 4.949$ , p = .084), so was the amplification effect between PC<sup>+</sup> and SDC<sup>+</sup> events ( $\chi^2 = 0.323$ , p = .851).

vmPFC patients experienced a higher emotional amplification elicited by social comparison relative to private counterfactual comparison (PC<sup>-</sup>-SDC<sup>-</sup> > PP<sup>-</sup>-PC<sup>-</sup>, WSRT, Z=2.70, p=.007). This was not the case for the healthy (WSRT, Z=1.49, p=.136) and lesion (WSRT, Z=1.69, p=.091) control groups. The difference in amplification effect was significantly affected by the group variable (Kruskal–Wallis,  $\chi^2=7.781$ , p=.020). The vmPFC group differed from both the healthy (Dunn's test, Z=2.74, p=.009) and lesion controls (Dunn's test, Z=1.90, p=.043). The two control groups did not significantly differ (Dunn's test, Z=0.23, p=.408).

#### **Choice Behavior**

We tested a model of choice incorporating the difference in expected values between the two gambles, anticipated disappointment and regret, as choice predictors in private and social trials. Anticipated regrets in private and social trials are both defined on the same counterfactual comparison between expected outcomes of chosen and unchosen options; the only difference between the two situations is the players' knowledge that the outcome of the alternative lottery could be obtained by the other participant.

Mixed logistic regressions showed that, in addition to maximizing expected values, healthy control participants significantly maximized expected values ( $\beta_{\rm EV}=0.131$ , p<.001) and minimized future regret ( $\beta_{\rm r}=0.042, p<.001$ ) in complete feedback trials (Table 7).

vmPFC patients chose the lottery with highest expected value ( $\beta_{\rm EV} = 0.070, p = .004$ ). Although they maximized expected values less than healthy controls ( $\beta_{\rm EV \times Group} = 0.061, p = .033$ ); it was the strongest predictor of their choice. This suggests that they understood the game and were as motivated to earn money. They took into account potential future regret as well ( $\beta_{\rm r} = 0.015, p = .02$ ). However, they did so significantly less than healthy controls ( $\beta_{\rm r \times Group} = 0.027, p = .001$ ). We tested the hypothesis that vmPFC anticipated regret

Table 7. Choice Behavior in the Complete Feedback Condition

	Н	ealthy Con	trols	vr	nPFC Pati	ients	Lesion Controls				
Choice	Coeff	SE	z	Coeff	SE	z	Coeff	SE	z		
dEV	0.131	0.015	8.44***	0.067	0.024	2.89**	0.009	0.028	0.33		
d	0.006	0.007	0.96	-0.037	0.010	-3.55***	0.049	0.032	0.42		
r	0.042	0.004	9.30***	0.015	0.006	2.38*	0.039	0.014	4.89***		
Group				-0.032	0.089	0.36	0.251	0.123	2.04*		
× dEV				0.061	0.029	2.13*	0.123	0.032	3.86*		
$\times d$				0.044	0.012	3.52***	0.001	0.014	0.11		
$\times$ $r$				0.027	0.008	3.44***	0.003	0.009	0.39		
× Constant	0.041	0.049	0.83	0.077	0.107	0.72	-0.210	0.107	-1.95		
	$LL = -1180.89$ Wald $\chi^2 = 165.71***$			$LL = -1684.54$ Wald $\chi^2 = 206.39***$			$LL = -1545.69$ Wald $\chi^2 = 205.74***$				
	Complete Trials, $N = 80$										

Mixed logistic regression modeling the effect, on choice behavior, of the difference in expected value (dEV), anticipated disappointment (d), anticipated regret (r) between the lotteries, in complete feedback trials. Effects of particular interest are in **bold**. The group variable is equal to 1 for controls and 0 for patients. The interactions between dEV, d, r, and group are also included. vmPFC patients anticipated regret significantly less than healthy controls in their decision.

<sup>\*</sup>p < .05.

<sup>\*\*</sup>p < .01.

<sup>\*\*\*</sup>p< .001.

more in social than private trials; however, it was not the case—the condition interaction was not significant ( $\beta_{r \times Condition} = 0.001$ , p = .917). vmPFC patients minimized future disappointment (variable d in the model) in their choices in private trials. This could reflect risk-taking behavior typical in such patients (the disappointment variable of our model is similar to risk as measured in economics and finance, i.e., variance of the potential outcomes).

Lesion control patients did not maximize expected values ( $\beta_{\rm EV} = 0.009, p = .743$ ), showing reduced performance in the choice task, but they did anticipate regret ( $\beta_{\rm r} = 0.038, p < .001$ ). When compared with the healthy control group, they relied on expected values to make their choice significantly less than the healthy control group ( $\beta_{\rm EV\times Group} = 0.123, p < .001$ ), but they did not differ in regret ( $\beta_{\rm r\times Group} = 0.003, p = .698$ ).

## Choice Adaptation

We next tested the hypothesis that the social context facilitated regret anticipation in vmPFC patients during the course of the task. We found a significant time trend (random effect logistic regression  $\beta_{r \times Trial} = 0.0003$ , z = 2.14, p < .032), where vmPFC patient anticipated regret increasingly during the course of the task (Figure 5A). When dividing complete feedback trials into three equal parts, we found that regret anticipation was not significant in vmPFC patients during early and middle trials

(Trials 1–26,  $\beta_r=0.008$ , p=.444; Trials 27–53,  $\beta_r=0.016$ , p=.119) and significantly lower than in the two other groups (Trials 1–26,  $\beta_{r\times Group}=-0.038$ , p=.003; Trials 27–53,  $\beta_{r\times Group}=-0.024$ , p=.046). During late trials, however, vmPFC patients significantly anticipated regret (Trials 54–80,  $\beta_r=0.028$ , p=.037) and the group interaction was no longer significant (Trials 54–80,  $\beta_{r\times Group}=-0.010$ , p=.524).

We next tested whether this increase of regret anticipation was driven by social trials. We ran a regression looking at the effect of the condition of the preceding trial on regret anticipation. There was a trend for vmPFC patients to anticipate regret more, in their choices, when they have experienced a social trial rather than a private trial before (Figure 5B and Table 8). vmPFC patients significantly minimized future regret in their decisions following a social trial, but not after a private trial.

## VLSM Analyses of Choice

We conducted two VLSM analyses on choice data. We used the coefficients of anticipated regret (*r* coefficients in all complete feedback trials) as the behavioral measures in the first and second VLSM analyses. To test the hypothesis that OFC and dmPFC lesions might result in different effects on regret anticipation in social and private trials, we also tested them separately.

No voxel survived correction for multiple comparisons for either analysis. Uncorrected analyses revealed that

Figure 5. Regret anticipation during choices. (A) Evolution of regret anticipation during the course of the task for the three groups. Logistic regressions were run separately for early Trials 1-26, middle Trials 27-53, and late Trials 54-80. vmPFC patients increasingly anticipated regret over time. In early and middle trials, their regret coefficients were not significantly different from zero and were significantly lower than the other two groups. By the end of the task, they significantly anticipated regret in their choices in a way that was statistically undistinguishable from the other two groups. (B) Regret anticipation for vmPFC patients following private and social trials. vmPFC patients minimized future regret in their choices after having experienced a social trial but not after a private trial. Full regressions are shown in Table 8

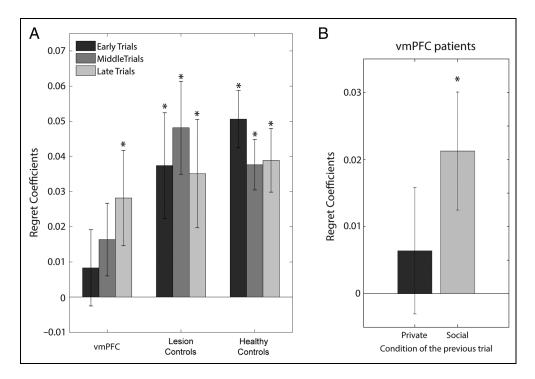


Table 8. Effect of the Condition of the Previous Trial on vmPFC Patients' Choices

	All Complete Trials				Previo	$Previous\ Trial = Private$				Previous Trial = Social			
Choice	Coeff	SE	z	p	Coeff	SE	z	p	Coeff	SE	z	p	
dEV	0.076	0.025	3.08	.002	0.044	0.033	1.33	.184	0.114	0.039	2.92	.003	
d	-0.042	0.011	-3.92	<.001	-0.032	0.014	-2.33	.02	-0.059	0.018	-3.23	.001	
r	0.004	0.008	0.42	.671	0.006	0.009	0.68	.497	0.021	0.009	2.41	.016	
$r  imes  ext{Previous social}$	0.019	0.010	1.9	.057									
Constant	0.021	0.075	0.28	.781	0.102	0.106	0.96	.335	-0.043	0.110	-0.39	.695	
	LL = -495.30				LL = -254.18			LL = -239.59					
	Wald $\chi^2 = 42.35***$				Wa	Wald $\chi^2 = 14.91**$				Wald $\chi^2 = 26.73***$			

Mixed logistic regression modeling the effect of the difference in expected value (dEV), anticipated disappointment (d), anticipated regret (r), and its interaction with the condition, private or social, of the preceding trial. Effects of particular interest are in **bold**. All complete feedback trials were included in the left regression, the middle and right regressions report the effect of dEV, d, and r separately for trials that were preceded by a private or social trial. There was a trend for vmPFC patient to take into account anticipated regret in their choice more after having just experienced a social trial rather than a private trial. Anticipated regret was not significant when the previous trial was private, but it was significant for decisions following a social trial, irrespective of the condition of the current trial.

lesions in the medial orbitalfrontal cortex (MNI coordinate 5, 45, -20; Z = -2.29) were associated with reduced anticipation of regret (r coefficients) in all trials. Restricting the analysis to private trials yielded to the same results (MNI coordinate 6, 44, -19; Z = -2.48). No voxel was associated with a deficit in regret anticipation in social trials.

## **DISCUSSION**

We studied the involvement of the ventromedial PFC in decisions taken in private versus social settings. We considered two aspects of the decision process: (1) the effect of private versus social counterfactual comparison on the subjective evaluation of a decision outcome and (2) the impact of private and social counterfactual information on future decisions. In private settings, we confirmed the existence of an impairment in patients with lesions in the vmPFC in feeling regret after a suboptimal decision, as they did not show typical emotional reactions to upward counterfactual comparison. In social settings, by contrast, patients with vmPFC lesion provided normal subjective ratings when confronted with the outcome received by another person. More specifically, their reported levels of envy were similar to controls, showing a strong emotional amplification induced by social comparison. This suggests that the vmPFC does not critically mediate the effect of social comparison on outcome evaluation. When considering choice behavior, we observed that vmPFC patients avoided future regret less than control participants. During the course of the task, however, they increased their anticipation of regret. In particular,

although they did not display clearly distinct choice behaviors in the private and social conditions, they integrated their experience in private and social trials into future choices differently. Even if they did not attempt to avoid regret in choices following private trials, they did use counterfactual information in their decisions in choices following social trials, suggesting that the increase of regret anticipation over time might be driven by social comparison.

After correcting for multiple comparisons, VLSM analyses did not reveal any significant lesion pattern associated with regret deficit. Uncorrected analyses suggested that failure to reason counterfactually during choices might be associated with lesions in the mOFC.

The findings that vmPFC patients are not sensitive to regret signals in private settings confirm the results of a previous study (Camille et al., 2004) on a different cohort of vmPFC patients. Unlike healthy and lesion controls, in private settings, vmPFC patients did not take into account the outcome of the nonchosen lottery when evaluating their outcome, nor did they anticipate potential negative consequences of their choices. Notably, our vmPFC patients have lesions that spare lateral portions of the PFC, and the results of the VLSM analyses confirmed the implication of the medial OFC in the experience and anticipation of regret. Thus, contrary to Levens et al. (2014), we found impairment in regret processing associated with a damaged medial OFC. However, our sample of patients with specific lateral OFC lesions is too small to exclude the possibility that lateral OFC lesions would result in a similar impairment. The regret impairment is consistent with recent evidence of an

<sup>\*\*</sup>p < .01.

<sup>\*\*\*</sup>p < .001.

inability to imagine fictitious and future events following vmPFC damage (Bertossi, Aleo, Braghittoni, & Ciaramelli, 2016). Indeed, the feeling of regret requires considering an alternative reality in which a greater outcome is obtained by making a different choice.

This study revealed, for the first time, that vmPFC patients are unimpaired when confronting the result of their choices compared with those of others, showing normal emotional responses to social comparison. Similarly to healthy and lesion controls, vmPFC patients reported feeling worse after a loss when informed of a counterpart's gain than after a loss without that information. They also evaluated their outcomes more positively when winning more than their counterparts, compared with when they were winning alone. Thus, vmPFC patients' subjective ratings are consistent with envy and gloating, despite their impairment in recognizing these emotions (Shamay-Tsoory, Tibi-Elhanany, & Aharon-Peretz, 2007).

One possible explanation for the vmPFC patients' lack of impairment in the social comparison condition during outcome evaluation concerns the visual salience in the task of the lottery chosen by the other participant, which was surrounded by a bright yellow square. Yet if the impairment in the private condition had been due to a lack of attention to the counterfactual information, vmPFC patients would have been impaired for positive counterfactuals as well. Indeed, they would not have been able to report relief if they had not processed the outcome of the unchosen lottery first as healthy participants do (Bault, Wydoodt, & Coricelli, 2016). Nonetheless, their deficit is specific to negative counterfactuals, because they did report subjective experiences similar to controls for positive events. In addition, they distinguished between the private condition and situations in which the other player chose the same lottery, suggesting that the information on the other's outcome is meaningful for evaluating their own outcome. Thus, we can conclude that, if the social information is more salient, it must derive from its motivational relevance rather than from perceptual aspects. A previous study with five prefrontal patients reported higher skin conductance responses to emotionally charged pictures during an active task, compared with passive viewing (Damasio, Tranel, & Damasio, 1990). Although all conditions of our task were identically "active," it is possible that the social condition was more engaging for patients, resulting in normal emotional responses.

Notably, the computational operation of comparing an obtained outcome with an alternative outcome is the same in both the private and the social condition. The only difference in the social condition concerns the knowledge that the alternative outcome belongs to somebody else. The dissociation we observed in vmPFC patients between private and social counterfactual processing suggests that different brain networks are involved in integrating these two types of information in the valuation process.

Our previous fMRI findings (Bault et al., 2011) showed that the mOFC encoded the difference between obtained and foregone outcome the same regardless of the condition (private or social). By contrast, the dmPFC (frontal polar cortex and ACC), the dorsolateral PFC, and the TPJ responded more to social counterfactuals than to private ones. In addition, the dmPFC was more activated during decisions in social contexts than in private contexts. The dissociation we observed in vmPFC patients between private and social counterfactuals is thus consistent with our fMRI results: (1) the mOFC seems critical for encoding and employing regret signals in guiding decisions and (2) by contrast, integrating social comparison signals into the representation of an outcome value might be supported by a more distributed network, as all of our vmPFC patients were sensitive to social comparison.

The normal emotional responses expressed by vmPFC patients during social comparison events might be related to the specificity of our social condition, which participants perceive as competitive. The social impairment typically observed in this patient group might be specific to altruistic choices, whereas competitive situations are spared (Krajbich, Adolphs, Tranel, Denburg, & Camerer, 2009). Nevertheless, a recent study that included a high number of patients with brain lesion attributed changes in altruistic donation and punishment to the dmPFC, whereas the vmPFC did not bear a robust relationship to social decisions (Moll et al., 2018).

Although social comparison strongly affected how vmPFC patients evaluated the outcomes of their choices, it was less clear how this effect translated into choice behavior. vmPFC patients did not anticipate future regret as much as control participants—especially during early trials—but there was no distinction between private and social trials. Failure to anticipate regret in vmPFC patients is consistent with the proposed role of the vmPFC in generating a mental representation of the choice problem—specifically, integrating the elements relevant to the decision and incorporating information about the potential future consequences of available actions (McCormick, Ciaramelli, De Luca, & Maguire, 2018).

Interestingly, vmPFC patients progressively avoided regret in their decisions during the course of the task. Such learning effect was not previously reported and could be driven by the social condition, with a spillover effect on private trials. When looking at trial-by-trial effects on future regret avoidance, we observed that vmPFC patients were influenced by past counterfactual information from social trials only, not from private trials. It suggests that emotions elicited by social comparison, such as envy and gloating, are able to drive behavior where private regrets fails as a teaching signal in vmPFC patients. It is also possible that vmPFC patients were able to learn from imitating the choice behavior of their counterparts. Our design does not allow us to disentangle between these two

possibilities; future research could employ a learning paradigm to investigate this question.

Finally, we were not able to confirm our hypothesis concerning a potential dissociation between ventral and dorsal portions of the medial PFC in their involvement in private and social decisions. Although preliminary evidence associated deficits in anticipating future regret in private settings, no brain regions were associated with deficit in social settings. A larger number of patients with distinct focal vmPFC and dmPFC patients would be needed to conclude on the precise role of these regions in integrating social counterfactual value signals into decisions. In addition, multivariate analyses might be more appropriate to uncover a dissociation between two adjacent brain areas (Mirman et al., 2018; Mah, Husain, Rees, & Nachev, 2014).

To conclude, our findings suggest that patients with lesions tightly restricted to the vmPFC are unable to reason counterfactually and to anticipate the negative consequences of their choices, while they preserve the ability to experience emotional reactions elicited by social comparison. vmPFC patients were able to learn to anticipate regret over the course of the task, an effect that seems to be driven by their experience in social trials. We suggest that vmPFC patients' deficit in valuation and decisionmaking cannot be attributed to a specific deficit in the processing of social information. The abnormal social behavior that is typically found in this patient group should be attributed to a general decision-making impairment rather than to a failure to take into account other people in evaluating the outcomes of their choices. It remains unclear how their ability to process social comparison signals transfers to future choices. Further work will be needed to confirm that social comparison may serve as a learning signal in patients with lesions in the vmPFC, as it may have great potential for rehabilitation

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