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Article

# Modeling and Optimization of High-Performance Polymer Membrane Reactor Systems for Water–Gas Shift Reaction Applications

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**Abstract:** In production of electricity from coal, integrated gasification combined cycle plants typically operate with conventional packed bed reactors for the water-gas shift reaction, and a Selexol process for carbon dioxide removal. Implementation of membrane reactors in place of these two process units provides advantages such as increased carbon monoxide conversion, facilitated CO<sub>2</sub> removal/sequestration and process intensification. Proposed H<sub>2</sub>-selective membranes for these reactors are typically of palladium alloy or ceramic due to their outstanding gas separation properties; however, on an industrial scale, the cost of such materials may become exorbitant. High-performance polymeric membranes, such as polybenzimidazoles (PBIs), present themselves as low-cost alternatives with gas separation properties suitable for use in such membrane reactors, given their significant thermal and chemical stability. In this work, the performance of a class of high-performance polymeric membranes is assessed for use in integrated gasification combined cycle (IGCC) units operated with carbon capture, subject to constraints on equipment and process streams. Several systems are considered for use with the polymeric membranes, including membrane reactors and permeative stage reactors. Based upon models developed for each configuration, constrained optimization problems are formulated which seek to more efficiently employ membrane surface area. From the optimization results, the limiting membrane parameter for achieving all carbon capture and H<sub>2</sub> production specifications for water–gas shift reactor applications is determined to be the selectivity,  $\alpha_{\text{H}_2/\text{CO}_2}$ , and thus a minimum value of this parameter which satisfies all the constraints is identified for each analyzed configuration. For a CO<sub>2</sub> capture value of 90%, this value is found to be  $\alpha = 61$  for the membrane reactor and the 3-stage permeative stage reactor and  $\alpha = 62$  for the 2-stage permeative stage reactor. The proposed systems approach has the potential to be employed to identify performance limitations associated with membrane materials to guide the development of future polymeric and other advanced materials with desired membrane characteristics for energy and environmental applications.

**Keywords:** polymer membranes; water-gas shift membrane reactors; optimization

## 1. Introduction and Prior Work

As the world transitions to a more environmentally conscious economy, the importance of hydrogen (H<sub>2</sub>) production processes is paramount. Hydrocarbons such as petroleum, natural gas, coal and biomass serve as the principal sources of H<sub>2</sub>, which will see use as a feedstock in myriad clean energy and chemical production processes. As H<sub>2</sub> production from hydrocarbons

generates carbon dioxide (CO<sub>2</sub>), processes incorporating carbon capture technologies are necessary to achieve the objective of reduction of CO<sub>2</sub> emissions in accordance with protocols that seek to mitigate global climate change. Based upon extrapolation of the rates of consumption and available reserves, projections posit that petroleum resources may be depleted within 50 years and natural gas resources within 100; however, coal resources may exhibit their current availability for a couple hundred years [1]. Consequently, emerging energy technologies that utilize coal as the feedstock, such as integrated gasification combined cycle (IGCC) power plants operated with carbon capture, are particularly promising.

Coal-based IGCC units produce electricity through a synthesis gas (syngas) intermediate, which is subjected to the water-gas shift (WGS) reaction to maximize H<sub>2</sub> produced prior to the stream being sent to the gas turbine portion of the unit. An IGCC process scheme with carbon capture typically utilizes packed-bed WGS reactors followed by CO<sub>2</sub> removal by a Selexol process [2,3]. An alternative to this method of syngas conversion utilizes membrane reactors (MRs) equipped with H<sub>2</sub>-selective membranes, which grant advantages such as increased carbon monoxide (CO) conversion, facilitated CO<sub>2</sub> removal/sequestration (CO<sub>2</sub>-rich effluent is produced at high pressure), and process intensification through a reduction to the total number of process units [2,4].

There are challenges inherent to the use of MRs for such an application as the H<sub>2</sub>-selective membranes must be stable under high-temperature and extreme pressure conditions in the presence of water and contaminants such as hydrogen sulfide (H<sub>2</sub>S). H<sub>2</sub>-selective membranes commonly considered for this application are as follows: (i) zeolite-based molecular sieves; (ii) dense metals such as Pd; and (iii) polymeric membranes. Of these potential membrane materials, (i) and (ii) possess highly favorable gas separation properties in terms of selectivity and flux, but the cost for these materials may be prohibitive for industrial-scale application. Only some polymeric membranes can be considered for the WGS application, as the elevated operating temperature of the MR unit is often outside the stability limits of the membrane material or the membrane material exhibits limited gas separation properties at the operating temperatures defined by the WGS-MR. However, if the aforementioned performance and stability challenges are addressed, polymeric membranes possessing suitable gas separation properties offer the potential to greatly reduce the cost of industrial-scale-MR implementation.

Polybenzimidazoles (PBIs) represent one such class of high performance polymers having exceptional chemical and physical characteristics enabling H<sub>2</sub>/CO<sub>2</sub> separation in challenging thermo-chemical environments. These materials exhibit molecular-sieving mechanisms analogous to those observed in zeolite-based membranes, which imbues these materials with attractive H<sub>2</sub>/CO<sub>2</sub> selectivity for syngas separations. High-performance polymeric materials have also been found to exhibit good thermal stability up to 400 °C and chemical stability in the presence of common syngas contaminants [5,6].

One objective of this study is to assess the feasibility of the state-of-the-art high-performance polymeric materials for use in membrane reactor systems with respect to performance constraints set forth by the U.S. Department of Energy (DOE) for pre-combustion CO conversion/CO<sub>2</sub> separation processes within IGCC units [3]. In this study, the performance characteristics of PBI-based membranes, as demonstrated by Berchtold and coworkers in [5], are used to develop the benchmark case for the polymer membrane-based MR process schemes investigated and developed herein. These PBI-based membrane materials have demonstrated industrially attractive H<sub>2</sub>/CO<sub>2</sub> separation characteristics including ideal H<sub>2</sub> permeabilities between 58 and 78 barrer and H<sub>2</sub>/CO<sub>2</sub> selectivities between 23 and 43 at 250 °C [5,7]. Additionally, this study seeks to determine the minimum membrane characteristics needed to satisfy the DOE's performance constraints by considering process models for several reactor designs. The performance of the various reactors is assessed in the base case conformations, which are then modified by considering different catalyst/membrane placement about the axial axis. Alternative reactor designs are developed by seeking to maximize reactor performance (H<sub>2</sub> recovery) for the minimum reactor cost as determined by the required membrane surface area. As demonstrated previously, an optimization problem is formulated to guide these designs [2].

With regard to the systems analysis, there are several MR models (utilizing H<sub>2</sub>-selective membranes) related to the WGS reaction available in the literature, encompassing the range from 1-D/isothermal to 2-D/non-isothermal. Also available in the literature are MR models that employ H<sub>2</sub>-selective membranes relating to widely varied applications (see [2] for a summary for MR models, efforts and applications). A review of literature shows a few computational modeling studies based on membrane reactors employing polymeric membranes [8,9], due in part to the temperature limitations imposed by available polymers. However, the literature suggests a lack of studies on optimization of polymer-based MR configurations. Recent and continued development and demonstration of high performance polymers such as PBIs for potential use in challenging membrane separation environments, such as those encountered in the vicinity of the WGS reaction, presents an opportunity to derive a MR model for a system utilizing such H<sub>2</sub>-selective polymers and subsequently evaluate their potential in this challenging separations role [5,7,10]. This study is focused on H<sub>2</sub>-selective membranes due to their advantages over CO<sub>2</sub>-selective membranes in IGCC process schemes, as discussed by [11].

Moreover, several optimization studies relating to packed-bed MRs and reactor systems employing membrane separators are available in the literature. These studies have utilized H<sub>2</sub>-selective membranes (ceramic or Pd) to formulate optimization problems that examine staged membrane reactors [12–14] and traditional MRs [2]. In the case of the staged membrane reactors, the optimization problems were formulated with the objective of maximizing methane conversion, H<sub>2</sub> recovery or H<sub>2</sub> yield in a steam methane reforming (SMR) process employing a Pd-based membrane. These studies considered a permeative stage membrane reactor (PSMR) with a fixed number of stages, or a staged membrane reactor (continuous membrane, catalyst packing with inert stages). The decision variables were composed of the catalyst/membrane stage lengths, but the problem was not subject to performance constraints. For the case of the traditional MR performing the WGS reaction, the study formulated an optimization problem in terms of economic variables that maximizes performance (H<sub>2</sub> recovery) for the minimum cost (membrane surface area) subject to multiple constraints on reactor effluent streams by considering alternative catalyst/membrane placement about the axial axis of the reactor. With regard to the available literature, it is worth noting that computational studies of SMR or WGS processes that use Pd/micro-porous ceramic membranes have H<sub>2</sub> selectivity values that are comparatively larger than those of polymeric membranes.

Thus, the computational study performed here of MR systems employing novel polymeric membrane materials provides insight into their feasibility for WGS reaction applications. Additionally, such a study may be used to identify performance limitations associated with the material, which may be used to guide the development of future polymeric materials with desired membrane characteristics. To this end, mathematical models are developed for traditional MRs and PSMRs using the performance characteristics of PBI membranes; these models are subsequently employed to develop reactor designs that satisfy the set of performance constraints set forth by the U.S. DOE for pre-combustion CO conversion (WGS reaction)/CO<sub>2</sub> separation processes within IGCC units. Using these process models for the MR and PSMR cases, constrained optimization problems are formulated that seek to maximize performance (H<sub>2</sub> recovery) through minimization of membrane surface area—this is achieved by considering alternate membrane placement about the axial axis of the reactor. Through the formulation of two optimization problems, the performance-limiting membrane parameter is identified and a minimum value that satisfies all equipment/stream constraints is successfully calculated for each configuration. This study contributes insight into identifying and prioritizing the membrane parameters that should be the focus of future polymeric membrane development efforts, and provides a minimum value for key parameters that satisfy the set of six performance constraints; to this end, it is worth noting that the minimum selectivity value (one such key parameter) presented here is unique to the operating temperature and pressure of the process units, and the syngas feed/steam sweep flowrates.

## 2. Systems Analysis—Process Modeling, Simulation and Optimization Approach

### 2.1. Membrane Modeling

The membrane reactor model employed for the performance assessment and optimization studies is a one-dimensional, isothermal model in which operation is steady-state and the ideal gas law is assumed to hold. The 1-D and isothermal model assumptions are reasonable for a laboratory-scale membrane reactor [15]. This model was developed based on the WGS-MR model in [2]. A summary of the development is presented below; refer to [2] for additional detail. Assuming plug-flow operation, the membrane reactor model consists of species mole balances for co-current and counter-current cases:

Mole balance, tube:

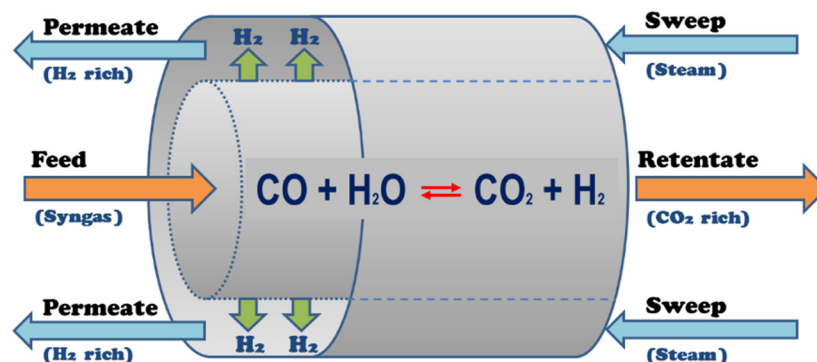
$$\frac{dF_{i,t}}{dz} = r_i A_t - J_i \pi d_t$$

where  $F_{i,t}$  is the flow rate in the tube,  $r_i$  is the species reaction rate,  $A_t$  is the cross-sectional area of the tube,  $J_i$  is the molar flux across the tube wall, and  $d_t$  is the tube diameter. Additionally,  $r_i = r_{\text{CO}}$  for  $i = \text{CO}, \text{H}_2\text{O}$ ;  $r_i = -r_{\text{CO}}$  for  $i = \text{CO}_2, \text{H}_2$  and  $r_i = 0$  for  $i = \text{N}_2$ . The reaction rate,  $r_{\text{CO}}$ , is the rate associated with the Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst [16].

Mole balance, shell:

$$(\pm) \frac{dF_{i,s}}{dz} = J_i \pi d_t$$

where  $F_{i,s}$  is the flow rate in the shell. The positive coefficient corresponds to co-current operation and negative to counter-current. For the permeative stage membrane reactor, the only differences in the model are:  $J_i = 0$  in the reactor stages, and  $r_i = 0$  in the membrane separator stages. The resulting mathematical model consists of an ODE system corresponding to an initial value problem (co-current) or a boundary value problem (counter-current), both of which may be solved using the MATLAB subroutines *ode15s* or *bvp4c*, respectively. A schematic of the counter-current MR design employed here is shown in Figure 1; co-current operation of the unit would align the feed/sweep in the same direction with respect to the axial axis. A comparison using models developed in Aspen Plus considering the scenarios of an IGCC plant with the WGS-MR process against the CO shift followed by physical absorption (e.g., Selexol) technologies for CO<sub>2</sub> capture will be analyzed in a future publication.



**Figure 1.** The membrane reactor consists of a shell and tube setup in which the tube is packed with catalyst and the membrane is fixed to the tube wall; reaction/permeation occur simultaneously.

The flux through the high-performance polymer membrane is assumed to be Fickian activated diffusion, which is proportionate to the component partial pressure difference across the membrane [17]; and is described by:

$$J_i = Q_i \Delta p_i$$

where  $\Delta p_i$  is the partial pressure difference of the component across the membrane. The permeance of a component  $i$ ,  $Q_i$ , is determined by the membrane properties that are taken from test systems

available in the literature. The permeability ( $P_i$ ) of a component through polymers is considered to be the product of the diffusion coefficient,  $D_i$ , and solubility coefficient,  $S_i$  [18].

Noting that selectivity ( $\alpha$ ) of species  $i$  to  $j$  is defined as the ratio of the permeability of species  $i$  to  $j$ , such that:

$$\alpha_{ij} = \frac{P_i}{P_j}$$

and the permeance of a species is:

$$Q_i = \frac{P_i}{\delta_{mem}}$$

where  $\delta_{mem}$  is the membrane thickness.

In this paper, we focus on a class of high-performance PBI-based H<sub>2</sub>-selective polymeric membranes utilizing their demonstrated separation performance characteristics in multiple platforms including flat sheet, tubular, and hollow fiber [5,7,10] for an assumed industrially relevant selective layer thickness range of 100–200 nm. Thus, the following membrane characteristics are used in this study:

- $Q_{H_2} = 250$  GPU
- $\alpha_{H_2/CO_2} = 20$ –28

Also, for this study we assume that at high temperatures, these membranes have high permeability to water ( $\alpha_{H_2/H_2O} = 0.33$ ) and low permeability to the other species considered here. The H<sub>2</sub>/CO selectivity in this study was assumed to be 99, similar to experimentally measured H<sub>2</sub>/N<sub>2</sub> selectivity of 99. However, based on the size difference between CO (kinetic diameter = 3.76 Å) and N<sub>2</sub> (3.64 Å), a H<sub>2</sub>/CO selectivity greater than 99 would be possible. In particular, both H<sub>2</sub>/CO<sub>2</sub> and H<sub>2</sub>/CO permselectivities must be high for this application as one desires to produce a purified CO<sub>2</sub> effluent from the reactor side.

## 2.2. Simulation Set Up

The reactor feed composition/molar flow rate and sweep composition/molar flow rate are drawn from [2]; the feed corresponds to a syngas stream from the gasifier after steam injection (it is assumed that sulfurous compounds and other impurities have been removed) while the sweep composition is pure steam. The feed/sweep compositions are summarized in Table 1.

**Table 1.** Molar composition of reactor inlet streams, given in mole fraction.

Component	Syngas Feed	Steam Sweep
H <sub>2</sub>	0.1933	0
CO <sub>2</sub>	0.0568	0
H <sub>2</sub> O	0.4886	1
CO	0.2443	0
N <sub>2</sub>	0.017	0

The performance of each reactor system is evaluated in terms of the three performance goals set forth by the U.S. DOE for CO conversion, H<sub>2</sub> recovery and CO<sub>2</sub> capture in addition to three constraints on the reactor effluent streams as defined [2]:

- CO conversion ( $X_{CO}$ )

$$X_{CO} = \frac{\text{CO converted}}{\text{CO in feed}} = \frac{F_{CO,f} - (F_{CO,r} + F_{CO,p})}{F_{CO,f}} \geq 98\%$$



- H<sub>2</sub> recovery ( $R_{H_2}$ )

$$R_{H_2} = \frac{H_2 \text{ in permeate}}{(H_2 + CO) \text{ in feed}} = \frac{F_{H_2,p}}{F_{H_2,f} + F_{CO,f}} \geq 95\%$$

- CO<sub>2</sub> Capture ( $C_{CO_2}$ )

$$C_{CO_2} = \frac{\text{carbon in retentate}}{\text{carbon in feed}} = \frac{F_{CO,r} + F_{CO_2,r}}{F_{CO,f} + F_{CO_2,f}} \geq 90\%$$

- CO<sub>2</sub> + H<sub>2</sub>O purity in the retentate

$$purity_{CO_2+H_2O,r} \geq 95\%$$

- H<sub>2</sub> mole fraction in the retentate

$$y_{H_2,r} \leq 4\%$$

- H<sub>2</sub> purity in the permeate

$$purity_{H_2,p} \geq 44\%$$

The reactor designs considered in the performance assessment and the optimization problems (as the initial guess) are a 2-stage PSMR, 3-stage PSMR and a conventional MR. Reactor feed/sweep molar flow rate, composition and flow arrangement (counter-current) are kept constant across all simulations, as are all other reactor operating conditions such as temperature (constant at 300 °C), tube/shell pressure (47.63 atm/25.86 atm, respectively), mass of catalyst (20 mg), and tube/shell diameter (1.02 cm/6.12 cm, respectively). Flow arrangement was fixed as counter-current as co-current results were consistently unable to satisfy any constraint other than CO conversion; this result was observed in [2] and was verified in the co-current simulations performed as part of this study. With regard to the membrane properties, maintenance of constant temperature, and fixed permeance (and selectivity) values for the analyzed polymer material are considered. For base case performance studies, total reactor length is kept constant at 300 cm (thereby making membrane surface area a constant) for MRs, and for the PSMRs the catalyst/membrane are divided into their components and arranged in equally sized pieces such that the total length (L) of the 2/3-stage PSMRs are 600 cm—this corresponds to catalyst/membrane lengths of 150 cm per stage in the 2-stage PSMR and 100 cm per stage in the 3-stage PSMR.

### 2.3. Optimization Problem Formulations

The first of the two formulated optimization problems seeks to maximize reactor performance, expressed by H<sub>2</sub> production, subject to the six performance constraints, by considering alternative catalyst/membrane placement while minimizing the total membrane surface area ( $S_m$ ) required. To this end, cost parameters were associated with  $R_{H_2}$  and  $S_m$  in accordance with the method set forth by [2]. The main difference in this analysis is the cost of the high-performance polymer membrane. The polymer membrane cost is estimated to range between \$5–200/m<sup>2</sup> depending on the membrane module platform [19], where an all polymeric hollow fiber platform typically provides the best economics, *i.e.*, lowest cost, and a porous inorganic supported composite tubular membrane platform is typically the highest cost option. The application of robust stainless steel porous supports with weldability and correspondingly perceived lower risk for incorporation into MR configuration can further increase the cost of the resulting polymer/inorganic tubular membranes [20]. For the purposes of this work, we have chosen the highly robust tubular membrane platform as our benchmark. As such, a cost of  $\$m = \$500/m^2$  has been assumed as an upper bound estimate for the cost of the polymer membrane selective layer on a tubular stainless steel support (the platform utilized by [5] in their year plus evaluations of this membrane in elevated temperature separation environments).

The objective function formulated for this optimization problem is defined as:

$$\varphi = \min_x [cost_m - credit_{H_2}]$$

In which:

$$credit_{H_2} = F_{H_2,p} HHV_{H_2} \$_{H_2} Op$$

$$cost_{m,2\text{-stage PSMR}} = \$_m \pi d_t (l_2 - l_1 + l_4 - l_3)$$

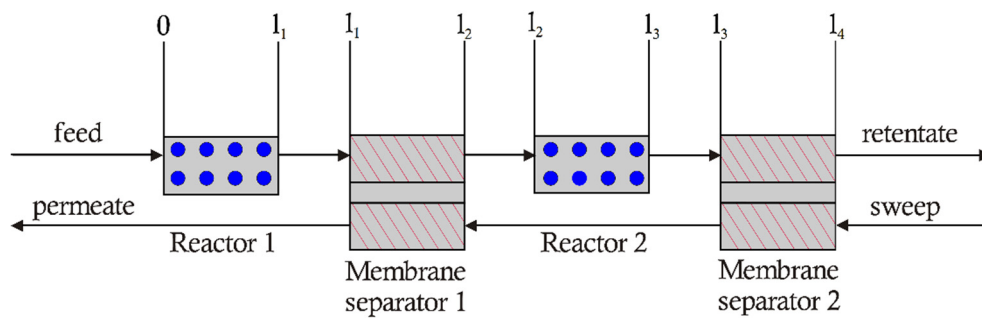
$$cost_{m,3\text{-stage PSMR}} = \$_m \pi d_t (l_2 - l_1 + l_4 - l_3 + l_6 - l_5)$$

$$cost_{m,MR} = \$_m \pi d_t (l_6 - l_5 + l_8 - l_7 + L - l_9)$$

where  $F_{H_2,p}$  (mol/s) is the molar flow rate of  $H_2$  in the permeate,  $HHV_{H_2}$  (BTU/mol) is the higher heating value of  $H_2$ ,  $\$_{H_2}$  (\$/BTU) is the monetary credit associated with the heating value, and  $Op$  is the 1-year operating period in seconds.

In particular, for the 2-stage PSMR design depicted in Figure 2, the vector of decision variables is as follows:

$$x_{2\text{-stage PSMR}} = [l_1 \ l_2 \ l_3 \ l_4]^T$$



**Figure 2.** Arrangement of decision variables about the axial axis of the 2-stage permeative stage membrane reactor (PSMR).

Subject to the dimensional constraints on the catalyst stage lengths:

$$l_1 > 0, l_3 \geq l_2$$

and for the membrane stage lengths:

$$l_2 \geq l_1, l_4 \geq l_3, l_4 \leq L$$

The initial guess for the 2-stage PSMR optimization problem corresponds to four equally sized stages (two catalyst, two membrane) consisting of the same membrane surface area and catalyst mass as the conventional MR, given by:

$$x_{2\text{-stage PSMR,initial}} = [150 \ 300 \ 450 \ 600]^T$$

The vector of decision variables for the 3-stage PSMR design shown in Figure 3 is as follows:

$$x_{3\text{-stage PSMR}} = [l_1 \ l_2 \ l_3 \ l_4 \ l_5 \ l_6]^T$$

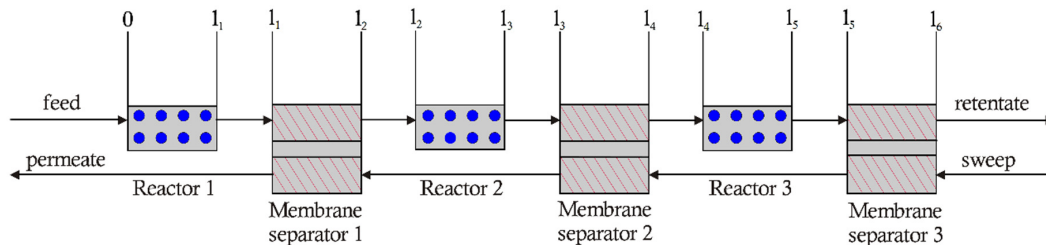
Subject to the dimensional constraints on the catalyst stage lengths:

$$l_1 > 0, l_3 \geq l_2, l_5 \geq l_4$$



and for the membrane stage lengths:

$$l_2 \geq l_1, l_4 \geq l_3, l_6 \geq l_5, l_6 \leq L$$



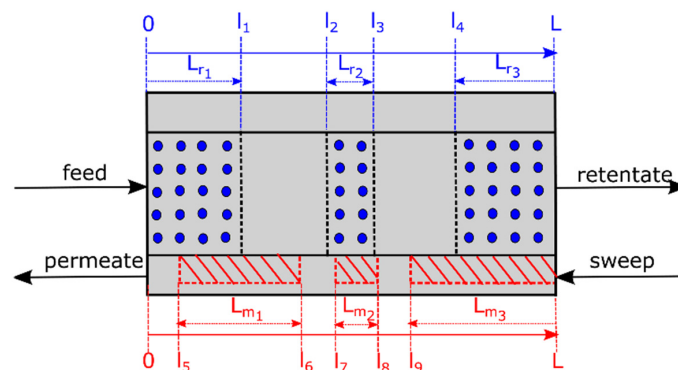
**Figure 3.** Arrangement of decision variables about the axial axis of a 3-stage PSMR.

The initial guess for the 3-stage PSMR corresponds to six equally sized stages of catalyst/membrane, using the same membrane surface area and catalyst mass as the 2-stage PSMR, is as follows:

$$x_{3\text{-stage PSMR,initial}} = [100 \ 200 \ 300 \ 400 \ 500 \ 600]^T$$

The vector of decision variables corresponding to the MR design presented in Figure 4 is as follows:

$$x_{\text{MR}} = [l_1 \ l_2 \ l_3 \ l_4 \ l_5 \ l_6 \ l_7 \ l_8 \ l_9]^T$$



**Figure 4.** Arrangement of decision variables about the axial axis of the MR.

Subject to the dimensional constraints on the reaction zone:

$$l_1 > 0, l_2 \geq l_1, l_3 \geq l_2, l_4 \geq l_3, l_4 \leq L$$

and in the permeation zone:

$$l_5 \geq 0, l_6 \geq l_5, l_7 \geq l_6, l_8 \geq l_7, l_9 \geq l_8, l_9 \leq L$$

The initial guess for the MR is a conventional case in which catalyst/membrane are present along the whole axial length, the vector for which is:

$$x_{\text{MR,initial}} = [100 \ 100 \ 200 \ 200 \ 0 \ 100 \ 100 \ 200 \ 200]^T$$

A second optimization problem is formulated to verify the hypothesis that minimization of membrane surface area also corresponds to maximization of the limiting performance parameter

( $C_{CO_2}$ ), using the five remaining nonlinear constraints on reactor performance with the same linear constraints as the cost optimization problem described above. The difference in this case is in the formulation of the objective function, which is defined as:

$$\varphi = \min_x [-C_{CO_2}]$$

As both optimization problems possess nonlinear objective functions subject to a set of nonlinear constraints, solutions may be obtained through the MATLAB *fmincon* subroutine employing the “active-set” algorithm.

### 3. Systems Analysis Results

#### 3.1. 2-Stage Permeative Stage Membrane Reactor Performance, Optimization

The performance of the high-performance polymeric membrane is first assessed as part of a 2-stage PSMR, using permeance ( $Q_{H_2} = 250$  GPU) and  $H_2/CO_2$  selectivity ( $\alpha_{H_2/CO_2} = 28$ ) values. The results of this simulation are summarized in Table 2, which employs the feed/sweep flow rate, flow composition, flow arrangement (counter-current), temperature and pressure conditions as defined above. The placement of catalyst/membrane correspond to  $x_{2\text{-stage PSMR,initial}}$  or the base case design.

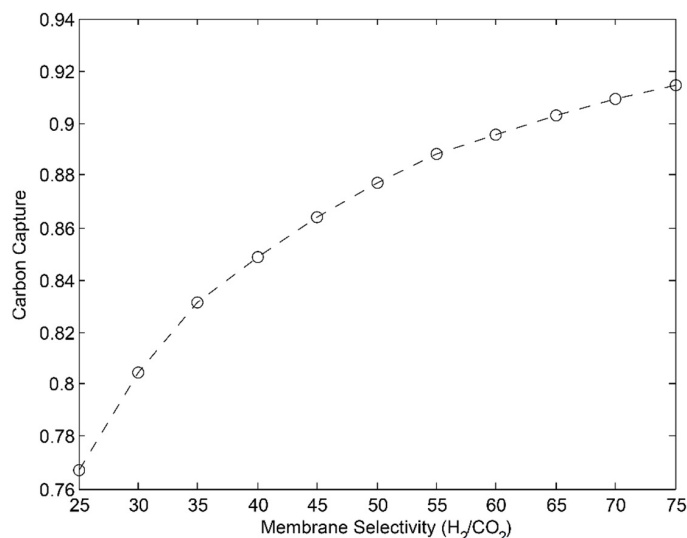
**Table 2.** Performance of polymer membrane in a 2-stage PSMR ( $Q_{H_2} = 250$  GPU,  $\alpha_{H_2/CO_2} = 28$ ).

Parameter	Value (%)	Target (%)
$X_{CO}$	98.95	98
$R_{H_2}$	98.60	95
$C_{CO_2}$	74.71	90
$\text{purity}_{CO_2+H_2O,r}$	95.98	95
$\text{purity}_{H_2,p}$	41.71	44
$y_{H_2,r}$	0.77	$\leq 4$

Thus, two stages for this base case satisfies all but carbon capture and  $H_2$  purity constraints for this reactor configuration. Also through simulations, the limiting membrane characteristic for these performance parameters is identified as the  $H_2/CO_2$  selectivity (though reduction to total membrane surface area improves carbon capture, sufficient reductions cannot be performed should one desire to satisfy the remaining five performance constraints). Thus, an incremental variation of  $H_2/CO_2$  selectivity is performed for the range of  $\alpha = 25\text{--}75$  with the objective of determining the minimum  $H_2/CO_2$  selectivity that would satisfy the carbon capture and permeate hydrogen purity constraints in an optimized (minimum membrane surface area) 2-stage PSMR. Utilizing an  $\alpha$  increment of 5, eleven optimization problems were formulated and solved using the technique described above. Designs employing values of  $\alpha_{H_2/CO_2}$  greater than 30 satisfy all but the carbon capture constraint; the first design to satisfy all six constraints in a 2-stage PSMR falls within the range  $\alpha_{H_2/CO_2} = 60\text{--}65$ , and occurs at a  $H_2/CO_2$  selectivity value of approximately 62. As the solutions represent a maximization of carbon capture attainable in a 2-stage PSMR, while satisfying the other five performance constraints, the results may be used to determine the minimum  $H_2/CO_2$  selectivity needed to satisfy a given carbon capture constraint. The carbon capture resulting from varying selectivity on the range of 25–75 in an optimized 2-stage PSMR employing the minimum membrane area is shown in Figure 5.

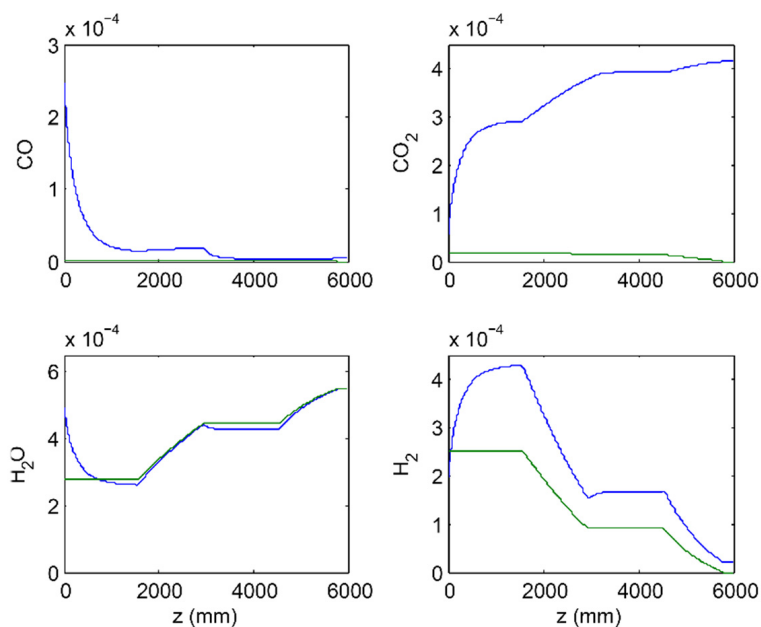
The solution vector for the case corresponding to  $\alpha_{H_2/CO_2} = 60$  is shown below; this case is closest to satisfying  $C_{CO_2} \geq 0.90$  in the 2-stage PSMR.

$$x_{2\text{-stage PSMR,final},\alpha} \frac{H_2}{CO_2} = [156.28 \ 295.85 \ 454.85 \ 579.93]^T$$

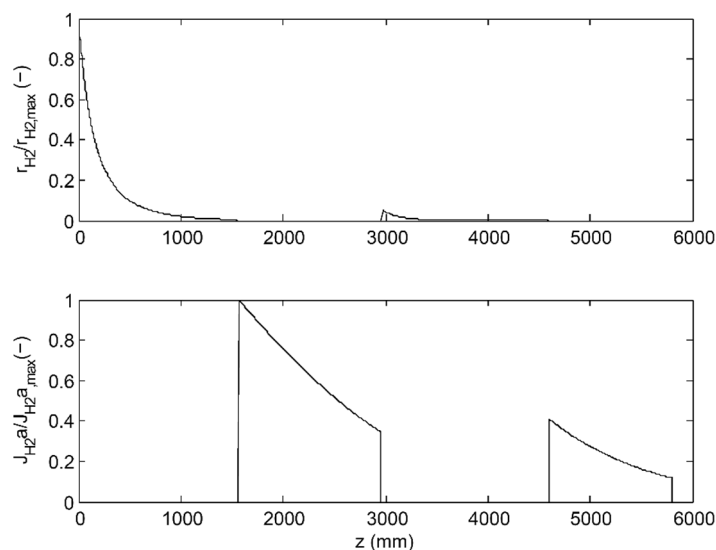


**Figure 5.** Maximization of carbon capture in an optimized 2-stage PSMR; only the design corresponding to  $\alpha_{\text{H}_2/\text{CO}_2} = 25$  fails to satisfy  $\text{purity}_{\text{H}_2,p}$  otherwise the remaining five constraints are satisfied for all cases;  $C_{\text{CO}_2} \geq 90\%$  corresponds to the target value used in this study.

Upon examination of the optimization results representing a minimization of membrane surface area, a pattern is noted in the optimal 2-stage PSMR catalyst/membrane placement. In each design, there is an approximately equal distribution of catalyst mass across the two stages; the membrane stages are unevenly distributed, with the first membrane stage slightly larger than the second for all cases. The membrane placement likely results from the relatively high partial pressure of  $\text{H}_2$  in the stream exiting the first reactor stage (see Figure 6), thus, placement of more membrane directly after the first reactor allows for greater utilization of the permeation driving force and consequently greater  $\text{H}_2$  recovery in this region (relative to the second membrane separator stage). Figure 7 shows the profiles for the  $\text{H}_2$  reaction and diffusion rates as function of axial axis for this optimized 2-stage PSMR.



**Figure 6.** Species concentration ( $\text{mol}/\text{cm}^3$ ) as function of axial length for optimized 2-stage PSMR for  $\alpha_{\text{H}_2/\text{CO}_2} = 60$ , corresponding to the solution vector shown above; solid blue and solid green lines denote feed and sweep streams, respectively.



**Figure 7.** H<sub>2</sub> reaction ( $r_{H_2}$ ) and diffusion ( $J_{H_2,a}$ ,  $a \equiv 4/d_t$ ) rates as function of axial axis for the optimized 2-stage PSMR ( $\alpha_{H_2/CO_2} = 60$ ); dimensionless quantities scaled by the maximum H<sub>2</sub> reaction and diffusion rates, respectively.

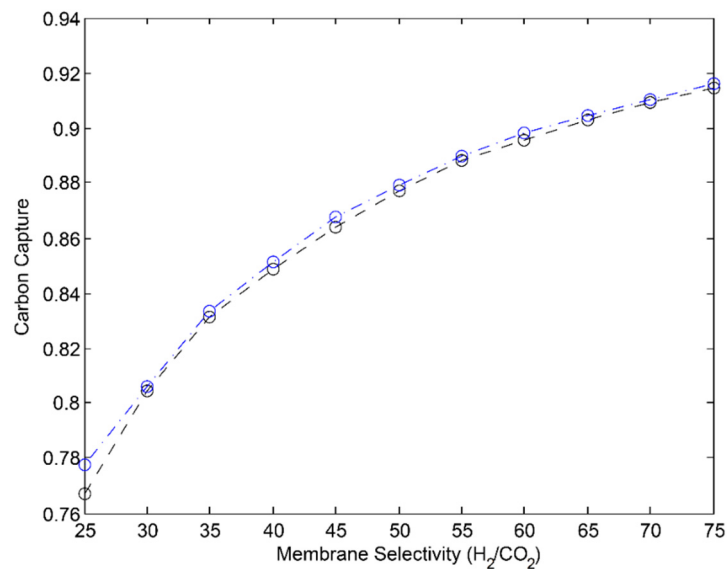
### 3.2. 3-Stage Permeative Stage Membrane Reactor Performance, Optimization

The performance of the polymeric membrane is assessed next as a 3-stage PSMR, using  $Q_{H_2} = 250$  GPU, and  $\alpha_{H_2/CO_2} = 28$  once again as base case. The results of this simulation, which uses precisely the same process conditions as the 2-stage PSMR, are summarized in Table 3; the placement of catalyst/membrane in the 3-stage PSMR correspond to  $x_{3-stage\ PSMR,initial}$ .

**Table 3.** Performance of polymeric membrane in a 3-stage PSMR ( $Q_{H_2} = 250$  GPU,  $\alpha_{H_2/CO_2} = 28$ ).

Parameter	Value (%)	Target (%)
$X_{CO}$	99.44	98
$R_{H_2}$	98.82	95
$C_{CO_2}$	74.73	90
$\text{purity}_{CO_2+H_2O,r}$	96.17	95
$\text{purity}_{H_2,p}$	41.82	44
$y_{H_2,r}$	0.71	$\leq 4$

Once more, given the membrane properties, the material did not to satisfy the carbon capture/permeate hydrogen purity constraint while satisfying the other four performance constraints. Similar to the previous case, an incremental variation of H<sub>2</sub>/CO<sub>2</sub> selectivity was performed for the range of  $\alpha = 25$ –75 so as to determine the minimum H<sub>2</sub>/CO<sub>2</sub> selectivity that would satisfy the carbon capture and permeate hydrogen purity constraints in an optimized 3-stage PSMR. These simulations indicate that designs employing H<sub>2</sub>/CO<sub>2</sub> selectivity values greater than 30 satisfy all but the carbon capture constraint, with the first design satisfying all six constraints falling in the  $\alpha_{H_2/CO_2}$  range of 60–65 with the minimum H<sub>2</sub>/CO<sub>2</sub> selectivity that satisfies all constraints of approximately 61. The carbon capture resulting from varying selectivity on the range of 25–75 in an optimized 3-stage PSMR employing the minimum membrane area is shown in Figure 8, along with the previously obtained result for the 2-stage reactor.

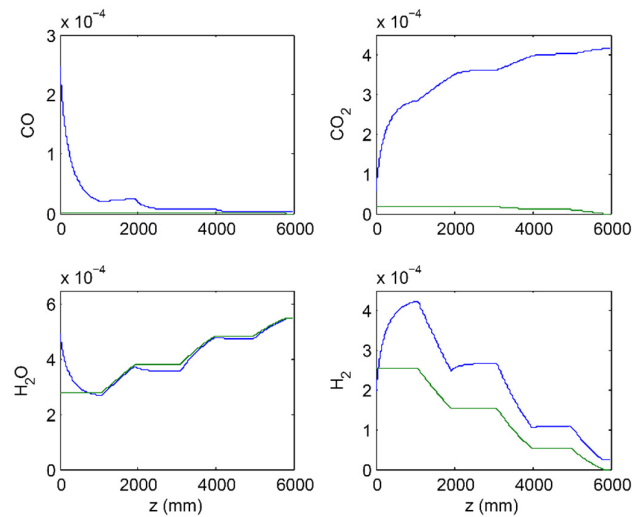


**Figure 8.** Maximization of carbon capture in an optimized 3-stage PSMR (blue) as well as optimized 2-stage PSMR (black); only the designs corresponding to  $\alpha_{\text{H}_2/\text{CO}_2} = 25$  fail to satisfy  $\text{purity}_{\text{H}_2,p}$ , otherwise the remaining five constraints are satisfied for all cases;  $C_{\text{CO}_2} \geq 90\%$  corresponds to the target value used in this study.

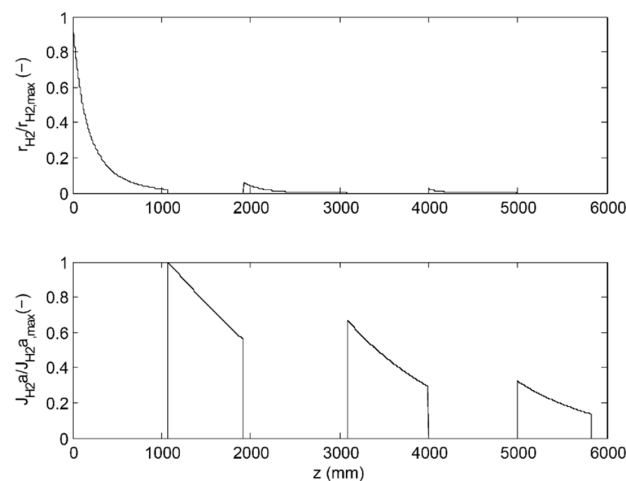
The solution vector for the case corresponding to  $\alpha_{\text{H}_2/\text{CO}_2} = 60$  is shown below; this case is closest to satisfying  $C_{\text{CO}_2} \geq 0.90$  in the 3-stage PSMR.

$$x_{3\text{-stage PSMR, final, } \alpha} \frac{\text{H}_2}{\text{CO}_2} = [107.26 \ 192.26 \ 309.39 \ 399.86 \ 499.83 \ 583.20]^T$$

From the optimization results corresponding to a minimization of membrane area for the given range of selectivity, the designs conformed to a general pattern (as viewed from left to right in Figure 3): an uneven catalyst distribution that preferentially placed the most catalyst in the second reactor stage, and slightly more catalyst in the first reactor stage than the third reactor stage (in this case differences in catalyst amount corresponded to less than 10% of the total catalyst mass). As for the membrane area placement, a pattern was also observed across the range of selectivity values in which more membrane was utilized in the second stage than the first/third stages; however, the difference in total membrane area between each stage was small (the second membrane separator stage utilized 5%–15% more membrane than the first/third stages). The difference in membrane placement is likely due to the relatively large difference in partial pressure presented in the second membrane stage (see Figure 9); at this point, the sweep gas has a relatively low H<sub>2</sub> mole fraction while the reactor stream has a relatively larger H<sub>2</sub> mole fraction (having passed over approximately  $\frac{2}{3}$  of the total catalyst mass (two reactor stages), but only one membrane separator stage). When comparing the performance of the 2-stage PSMR to that of the 3-stage PSMR, it is worth noting that the use of three reaction/permeation stages allowed for increased CO conversion and H<sub>2</sub> recovery by relieving equilibrium limitations on the WGS reaction (the first membrane separator is implemented after the reactor feed sees approximately  $\frac{1}{3}$  of the total catalyst, rather than  $\frac{1}{2}$  the total catalyst as in the 2-stage PSMR). Additionally, the 3-stage design permitted increases to carbon capture through more efficient membrane utilization (optimized 3-stage PSMRs employed slightly less membrane area than optimized 2-stage PSMRs). Figure 10 depicts the profiles for the H<sub>2</sub> reaction and diffusion rates as function of axial axis for this optimized 3-stage PSMR.



**Figure 9.** Species concentration ( $\text{mol}/\text{cm}^3$ ) profiles in the optimized 3-stage PSMR for  $\alpha_{\text{H}_2}/\text{CO}_2 = 60$ , corresponding to the solution vector shown above; solid blue and solid green lines denote feed and sweep streams, respectively.



**Figure 10.**  $\text{H}_2$  reaction ( $r_{\text{H}_2}$ ) and diffusion ( $J_{\text{H}_2,a}$ ,  $a \equiv 4/d_t$ ) rates for the optimized 3-stage PSMR ( $\alpha_{\text{H}_2}/\text{CO}_2 = 60$ ); dimensionless quantities scaled by the maximum  $\text{H}_2$  reaction and diffusion rates, respectively.

### 3.3. Membrane Reactor Performance, Optimization

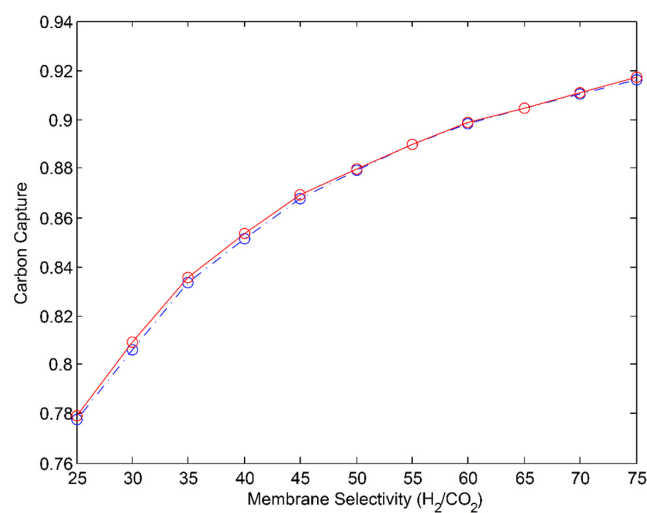
Finally, the performance of the polymeric membrane ( $Q_{\text{H}_2} = 250 \text{ GPU}$ ,  $\alpha_{\text{H}_2}/\text{CO}_2 = 28$ ) is assessed as a traditional membrane reactor. The results of this simulation, which uses the same process conditions as the 2,3-stage PSMRs, are summarized in Table 4; the reactor design is that of a conventional MR, which corresponds to  $x_{\text{MR},\text{initial}}$ .

Noting that the material did not satisfy the carbon capture and hydrogen purity constraints, the same procedure outlined above for the 2-stage and 3-stage PSMRs was performed for the MR; that is: incremental variation of  $\text{H}_2/\text{CO}_2$  selectivity was performed for the range of  $\alpha = 25\text{--}75$  so as to determine the minimum  $\text{H}_2/\text{CO}_2$  selectivity that would satisfy the carbon capture and permeate hydrogen purity constraints in an optimized MR. All values of  $\alpha_{\text{H}_2}/\text{CO}_2$  greater than 30 satisfied the permeate hydrogen purity constraint, and the carbon capture constraint is satisfied in the range of  $\alpha_{\text{H}_2}/\text{CO}_2 = 60\text{--}65$ , occurring at a value of approximately 61. The carbon capture resulting from varying

selectivity on the range of 25–75 in an optimized MR utilizing the minimum membrane area is shown in Figure 11.

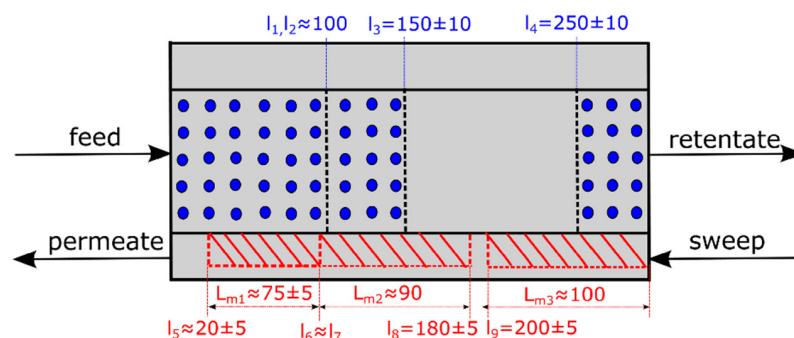
**Table 4.** Performance of polymeric membrane ( $Q_{H_2} = 250$  GPU,  $\alpha_{H_2/CO_2} = 28$ ) in a conventional MR for the same conditions of the PSMR.

Parameter	Value (%)	Target (%)
$X_{CO}$	99.36	98
$R_{H_2}$	98.38	95
$C_{CO_2}$	75.77	90
$pur_{CO_2+H_2O,r}$	96.05	95
$pur_{H_2,p}$	42.05	44
$y_{H_2,r}$	1.01	$\leq 4$



**Figure 11.** Maximization of carbon capture in an optimized MR (red) as well as 3-stage PSMR (blue); only the design corresponding to  $\alpha_{H_2/CO_2} = 25$  fails to satisfy  $pur_{H_2,p}$ , otherwise the remaining five constraints are satisfied for all cases;  $C_{CO_2} \geq 90\%$  corresponds to the target value used in this study.

As for the arrangement of the membrane about the axial axis of the reactor, the optimal solutions (representing a minimization of membrane surface area) were all of the same general form as shown in Figure 12. The cause for variation in total membrane surface area was due to differences in selectivity, with lower values of  $\alpha_{H_2/CO_2}$  allowing for dilution of the permeate with  $CO_2$ , which improved  $H_2$  recovery by lowering  $H_2$  partial pressure in the shell.



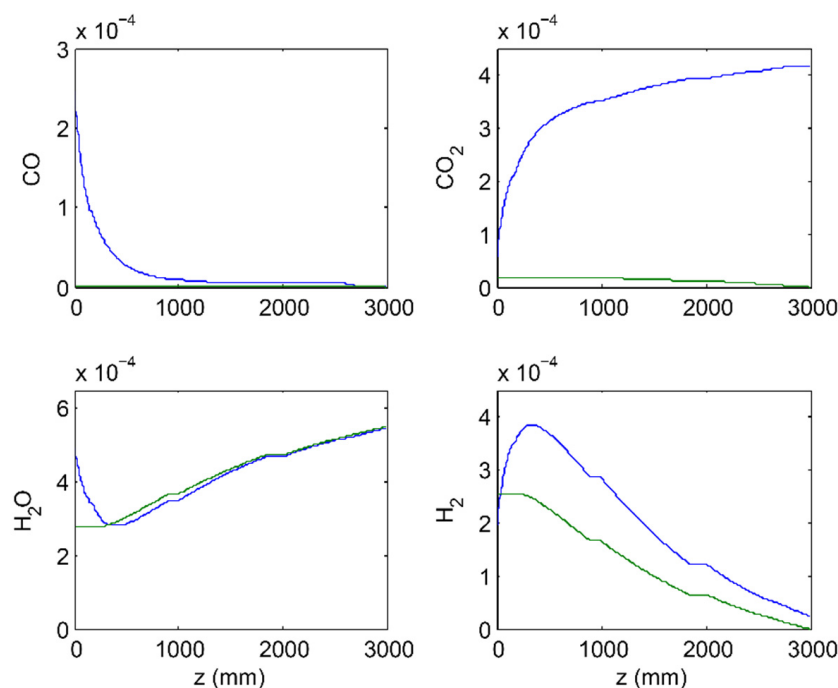
**Figure 12.** General optimized MR design; the solution specific to each  $H_2/CO_2$  selectivity (on range 25–75) value falls within the range presented.



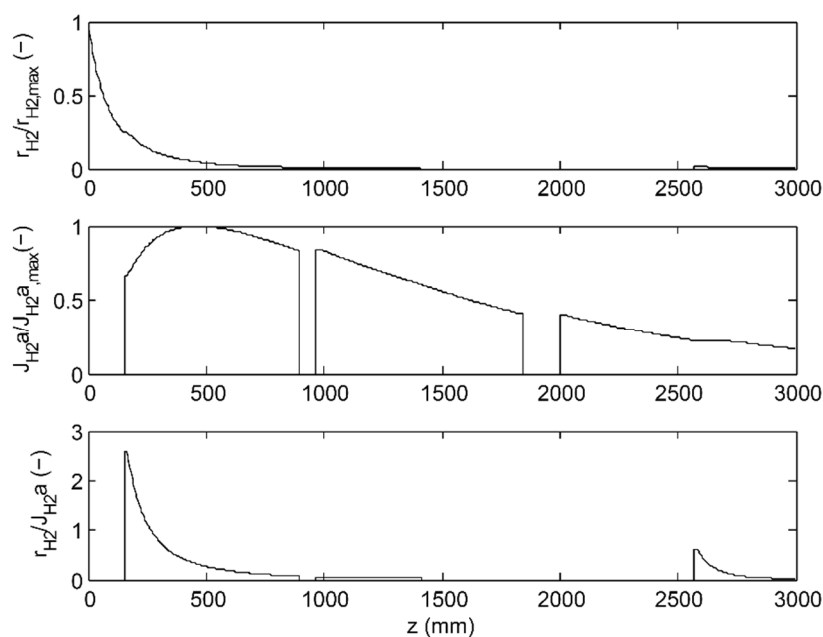
The solution vector for the case corresponding to  $\alpha_{\text{H}_2/\text{CO}_2} = 60$  is shown below; this case is closest to satisfying  $C_{\text{CO}_2} \geq 0.90$  in the MR (see Figure 13 for concentration profiles and Figure 14 for reaction/diffusion rate profiles associated with this case).

$$x_{\text{MR,final},\alpha} \frac{\text{H}_2}{\text{CO}_2} = 60 = [98.43 \ 98.43 \ 142.53 \ 257.30 \ 15.22 \ 90.54 \ 96.88 \ 185.21 \ 200.20]^T$$

Each optimal design consisted of a short pre-shift zone lacking membrane; following the pre-shift zone, a region resembling a conventional MR exists until approximately 150 cm. From 150 cm to 250 cm, catalyst is absent and only membrane is placed so as to remove the reaction  $\text{H}_2$  product from the tube side (though, there is a small section of membrane removed between 180 and 200 cm). From 250 cm to 300 cm, the design is once more that of the conventional MR, indicating that further  $\text{CO}$  conversion is best achieved after removal of a significant portion of the reaction products. The resulting design can be explained by the more efficient membrane area utilization resulting from increased  $\text{H}_2$  partial pressure in the tube side achieved through use of a pre-shift followed by a conventional MR. In essence, the use of the combined pre-shift zone and conventional MR increases  $\text{H}_2$  partial pressure in the reaction side, but as products build up the thermodynamic limitation associated with the WGS is increased. At this point, membrane is added to remove products and alleviate this limitation. Following the pre-shift zone in which product concentration is not sufficiently high, nearly continuous removal of product through the membrane permits increased  $\text{CO}$  conversion with respect to the staged reactors.



**Figure 13.** Species concentration ( $\text{mol}/\text{cm}^3$ ) profiles as function of axial length in tube (solid blue line) and shell (solid green line) for the solution vector shown above (optimized MR with  $\alpha_{\text{H}_2/\text{CO}_2} = 60$ ).



**Figure 14.** H<sub>2</sub> reaction ( $r_{H_2}$ ) and diffusion ( $J_{H_2,a}$ ,  $a \equiv 4/d_t$ ) rates, dimensionless quantities scaled by their maximum rates, for optimized MR ( $\alpha_{H_2/CO_2} = 60$ ); the ratio of reaction to diffusion rate ( $r_{H_2}/J_{H_2,a}$ , defined only where both catalyst and membrane are present) provides insight into the catalyst packing near the end of the reactor.

The optimized MRs exhibited slightly higher CO conversions than were obtained in the 2,3-stage PSMRs, as well as attained slightly higher H<sub>2</sub> recovery values; these results for  $\alpha_{H_2/CO_2} = 60$  are summarized in Table 5.

**Table 5.** CO conversion and H<sub>2</sub> recovery values for optimized MR, 2-stage PSMR and 3-stage PSMR at  $\alpha_{H_2/CO_2} = 60$ .

Reactor Configuration	$X_{CO}$ (%)	$R_{H_2}$ (%)
2-stage PSMR	98.68	96.17
3-stage PSMR	99.16	96.07
MR	99.62	96.21

The increase in CO conversion is due to the continuous removal of products (CO<sub>2</sub>, H<sub>2</sub>) in portions of the MR, which constitutively relieves equilibrium limitations on the WGS reaction; the increase in H<sub>2</sub> recovery is due to the higher H<sub>2</sub> partial pressure differences between reactor/sweep streams achieved through selective placement of membrane in a reactor in which reaction/diffusion occur simultaneously. With regard to carbon capture, the MR achieves higher values at lower selectivity ( $\alpha_{H_2/CO_2} \leq 50$ ) due to lower CO<sub>2</sub> partial pressure differences between the tube/shell (a positive factor for carbon capture, but this works against the operator for H<sub>2</sub> recovery); at higher values of selectivity, the 3-stage PSMR and MR produce nearly the same values for carbon capture, with the MR exceeding the 3-stage PSMR for  $\alpha_{H_2/CO_2} = 55$ –75 by a very small margin.

From the 2-stage and 3-stage results, improved performance is the result of increasing the stage number, which allows for implementation of membrane stages at increased H<sub>2</sub> partial pressures (see Figure 6 vs. Figures 7 and 9 vs. Figure 10 for such configurations) As the stage number increases dramatically to the point at which the number of stages bring the reactor design to the limiting case (infinitesimally small stage lengths), the design equation associated with plug-flow becomes that associated with a continuous-flow stirred tank reactor (CSTR). As each stage operates as a CSTR and an infinite sequence of algebraic stages (infinite stage number reactor) may be taken to represent the

differential reactor (the MR), large stage numbers cause the PSMR design to approach that of the MR, where the MR represents the maximum achievable performance for a given set of conditions. The trend for increasing stage number in PSMRs leading to operation resembling that of an MR is present as early as 2/3 stages for the case considered herein (Figures 8 and 11); four or more stages should yield results that increasingly resemble the MR. The ratio of reaction to diffusion rate in the membrane reactor configuration (see Figure 14) suggests that for cases in which minimization of membrane area is desired at fixed catalyst mass, more densely packing the catalyst (compared to spreading the fixed mass across the entire reactor length, as in the base case) can achieve this aim, provided temperature limitations are not present; alternatively for cases in which there is unlimited catalyst, dense packing throughout the entire reactor may serve to improve reactor performance.

In general, the supported tubular membrane platform benchmarked here is a desirable platform for the MR configuration as it allows efficient catalyst packing and the mechanical strength required to contain catalyst material. However, based on the results of this study, the performance of an optimized 3-staged PSMR can be comparable to that of a MR. This result presents an exciting opportunity for lower cost high performance hollow fiber membranes in this application. Given the high surface area to volume ratio of hollow fiber membrane modules and their resulting dramatically reduced containment vessel/module size, their cost per  $m^2$  is estimated to be an order of magnitude lower than the tubular platform benchmarked here. As the optimization results presented here are sensitive to membrane cost, the influence of such membrane cost reduction opportunities on the process optimization will be explored in future studies.

#### 4. Conclusions

One-dimensional isothermal models were developed for traditional MRs and PSMRs, and used to assess high-performance polymeric membrane reactor systems. Constrained cost optimization problems were formulated so as to systematically determine optimal reactor designs through more efficient membrane placement. As the solutions to these optimization problems also corresponded to a maximization of the limiting performance parameter,  $C_{CO_2}$ , an incremental search of  $H_2/CO_2$  selectivity was then performed with the intent of determining  $C_{CO_2}$  as a function of  $\alpha_{H_2/CO_2}$  at constant permeance. These designs were generated through the cost minimization optimization problem and the result that the economic optimum corresponds to maximization of carbon capture was verified by way of the second optimization problem formulation (utilizing identical decision variables), which sought to maximize  $C_{CO_2}$  subject to the other five performance constraints. This analysis was successfully completed for the three reactor designs considered (2-stage PSMR, 3-stage PSMR, MR). Graphs of carbon capture as a function of selectivity for fixed conditions were produced, which can guide the development of polymeric membrane materials to achieve all the desired specifications for their implementation in IGCC WGS environments.

Using the unit design framework considered herein, one may generalize from the process conditions of feed/sweep molar flow rate, flow composition/arrangement, reactor operating conditions (temperature, pressure), catalyst mass, tube dimensions and membrane properties to grant insight into future membrane material development by identifying the limiting parameter and determining a minimum value that satisfies all imposed constraints. Having identified a minimum value for a given parameter ( $\alpha_{H_2/CO_2}$  in this case), a clear goal can be set for researchers in material development (should it be desired to use the process designs considered here). As the optimization results presented here are sensitive to membrane cost, it is desirable to investigate membranes with varied cost (*i.e.*, hollow fibers) to further understand the resulting outcomes in terms of required performance characteristics and optimized PSMR design. To that end, the presented modeling framework can be extended to evaluate performance of membrane materials in a systematic manner by considering several process designs in which material placement (catalyst, membrane) is guided by economic considerations and/or satisfaction of a set of performance constraints. The formulated

optimization problem can also be extended to consider different operating conditions (temperature, pressure) for each reaction/separation module.

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**Author Contributions:** This paper is a collaborative work among the authors. A.J.R. performed all simulations and wrote the paper. F.V.L. helped with the paper writing and supervised all the technical aspects of the work. R.P.S. and K.A.B. assisted in manuscript preparation and provided technical guidance for the performed work.

**Conflicts of Interest:** The authors declare no conflict of interest.

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