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Mohammad Alkhouli

Chalak Berzingi

Amer Kowatti

Fahad Alqahtani

Vinay Badhawr

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Heart and Vascular Institute.

Morgantown, West Virginia, USA

West Virginia University

Correspondence to

Dr Mohamad Alkhouli;

adnanalkhouli@gmail.com

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openheart Comparative early outcomes of tricuspid Valve repair versus replacement for secondary tricuspid regurgitation

Mohamad Alkhouli, Chalak Berzingi, Amer Kowatli, Fahad Alqahtani, Vinay Badhwar

ABSTRACT

Background Comparative outcome data on tricuspid valve repair (TVr) versus tricuspid valve replacement (TVR) for severe secondary tricuspid regurgitation (TR) are limited.

Methods We used a national inpatient sample to assess in-hospital morbidity and mortality, length of stay and cost in patients with severe secondary TR undergoing isolated TVr versus TVR.

Results A total of 1364 patients (national estimate=6757) underwent isolated tricuspid valve surgery during the study period, of whom 569 (41.7%) had TVr and 795 (58.3%) had TVR. There was no difference in the prevalence of major morbidities between the two groups, except for liver disease and hepatic cirrhosis, which were more common in the TVR group. Before propensity matching, in-hospital mortality was similar between patients who underwent isolated TVr and TVR (8.1% vs 10.8%, p=0.093), but the incidence of postoperative morbidities differed: TVR was associated with higher rates of permanent pacemaker implantation and blood transfusion, while TVr was associated with more acute kidney injury. After rigorous propensity score matching, TVR was associated with significantly higher rates of inhospital death (12% vs 6.9%, p=0.009) and permanent pacemaker implantation (33.7% vs 11.2%, p<0.001). Postoperative morbidities and length of stay, however, were not different between the two groups. Nonetheless, cost of hospitalisation was 16% higher in the TVr group. Conclusions In patients undergoing isolated surgery for secondary TR, TVR is associated with higher in-hospital mortality and need for permanent pacemaker compared with TVr. Further studies are needed to understand the impact of the type of surgery on the short-term and longterm mortality in this complex undertreated population.

Secondary tricuspid regurgitation (TR) is the predominant cause of severe TR in North America.¹ Despite the deleterious effect of severe TR on long-term outcomes, contemporary data on tricuspid valve (TV) surgery remain limited.² This is likely multifactorial due to modest annual volume of TV surgery, under-recognition of TV disease, delayed

Key questions

- What is already known about this subject?
- Comparative outcomes data for tricuspid valve repair versus replacement inpatients with severe secondary tricuspid regurgitation are limited.

What does this study add?

In patients with severe secondary tricuspid regurgitation, tricuspid valve replacement is associated with significantly higher rates of in-hospital death (12% vs 6.9%, p=0.009) and permanent pacemaker implantation (33.7% vs 11.2%, p<0.001).</p>

How might this impact on clinical practice?

- In patients with severe secondary tricuspid regurgitation and suitable anatomy, tricuspid repair is associated with at least comparable outcomes compared with valve replacement.
- However, further comparative studies are needed to assess long-term outcomes of patients undergoing repair versus replacement.

clinical presentation, high prevalence of comorbidities in these patients, and lack of consensus on the approach and timing of TV surgery.³ Once the patient is referred for TV surgery for severe TR, the decision to repair or replace the valve is also complex and depends on the patient's age and comorbidities, the degree of right ventricular dilatation and dysfunction, the need for a concomitant procedure, and surgical expertise. Comparative outcome data on tricuspid valve repair (TVr) versus tricuspid valve replacement (TVR) for secondary TR are limited. A recent meta-analysis by Choi *et al*⁴ suggested more favourable outcomes with TVr, but this was confounded with the inclusion of patients with primary TR and concomitant operations. The purpose of this study is to assess in-hospital morbidity and mortality, length of stay and cost in patients with severe secondary

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TR undergoing isolated TVr versus TVR using a contemporary national representative database.

METHODS

The Nationwide Inpatient Sample (NIS)³ was used to derive patient-relevant information between January 2003 and December 2014. The NIS is the largest, publicly available, all-payer administrative claims-based database and contains information about patient discharges from ~1000 non-federal hospitals in 45 states. It contains clinical and resource use information on 5-8 million discharges annually, with safeguards to protect the privacy of individual patients, physicians and hospitals. The NIS shares certain similarities with the Medicare database, including the same International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) coding system for procedures and diagnoses. Contrary to the Medicare database, the NIS includes all payers and patients across all ages. These data are stratified to represent ~20% of US inpatient hospitalisations across different hospital and geographical regions (random sample). The national estimates (NE) represent a calculated estimate of the total (100%) US hospitalised population. This is calculated using the Agency for Healthcare Research and Quality sampling and weighting method. Outcomes analysis was performed using the actual 20% sample available in the NIS, whereas the trend analysis was performed using the NE.

Patients aged 18 years and older who underwent TVR (ICD-9-CM procedure code 35.27 and 35.28) and TVr (ICD-9-CM code 35.14) during the study period were identified. To identify patients with secondary TR, those with congenital TV disease (ICD-9-CM codes 764.1, 746.2, 745.4 and 746.89) or those with infective endocarditis (ICD-9-CM code 571.2) were excluded. Patients who underwent redo TV surgery were also excluded (ICD-9-CM codes 35.20 and 35.21). To eliminate potential impact of concurrent cardiac surgery on outcomes, patients who underwent a concomitant valve surgery, coronary bypass grafting or surgical ablation for atrial fibrillation (maze procedure) were excluded. A flow chart of the study is provided in figure 1.

Patients who underwent isolated TV surgery for severe secondary TR were divided into two groups according to the surgical approach (group 1, isolated TVr; and group 2, isolated TVR). We then performed a comparative analysis between the outcomes of isolated TVr and TVR in patients with severe secondary TR. To account for potential confounding factors and reduce the effect of selection bias, a propensity score matching model was developed using logistic regression to derive two matched groups for comparative outcomes analysis. Patients who underwent isolated TVr or isolated TVR were entered into a nearest neighbour 1:1 variable ratio, parallel, balanced propensity matching model using a calliper of 0.01 to attain two pairs of well-matched cohort for outcomes analysis. Propensity scores were derived from 41 hospital, clinical and demographic covariates, including the Elixhauser Comorbidity Index. The primary endpoint was in-hospital death. The secondary outcomes included postoperative morbidities, length of stay, hospital charges and discharge disposition.

Patient-relevant descriptive statistics are presented as frequencies with percentages for categorical variables and as means with SD for continuous variables. Baseline characteristics were compared between the groups using a Pearson's χ^2 test for categorical variables and an independent-samples t-test for continuous variables. A Cochran-Armitage test was used to evaluate trends in isolated TVr and isolated TVR in patients with severe secondary TR. Matched categorical variables were presented as frequencies with percentages and compared using McNemar's test. Matched continuous variables were presented as means with SD and compared using a pair ed-samples t-test. All statistical analyses were performed using SPSS V.24 and R V.3.3.1.

RESULTS

A total of 1364 actual reported cases (NE=6757) underwent isolated TV surgery during the study period, of whom 569 (41.7%) had TVr and 795 (58.3%) had TVR (figure 2). Compared with patients who had TVr, those who underwent TVR were older (56 ± 17 vs 54 ± 18 , p=0.02), were women (57.6% vs 51.1%, p=0.018) and were Caucasians (71.9% vs 64.6%, p=0.018). There was no difference in the prevalence of major morbidities between the two groups (table 1), except for liver disease and hepatic cirrhosis, which were more common in the TVR group (11.1% vs 3.7% and 5.8% vs 1.4%, respectively, p<0.001 for all). Surgery was frequently performed during a non-elective admission in both groups but more commonly for TVr (45.8% vs 35%, p<0.001).

Before propensity score matching, in-hospital mortality was similar between patients who underwent isolated TVr or TVR (8.1% vs 10.8%, p=0.093), but the incidence of postoperative morbidities differed: TVR was associated with a threefold higher rate of permanent pacemaker implantation (34.1% vs 10.9%, p<0.001) and a trend towards more blood transfusion (37.6% vs 32.7%, p=0.06), while TVr was associated with more acute kidney injury (33.7% vs 27.8%, p=0.018). Nonetheless, the need for renal replacement therapy following surgery was not different between the two groups (4.4% vs 5.5% following)TVr vs TVR, p=0.34). Patients who had TVr had longer hospitalisations (23±26 vs 19±24, p=0.013), but both groups had comparable rates of non-home discharges (table 2). Temporal trends in in-hospital mortality following isolated TVR and TVr are shown in (online supplementary figure 1).

After rigorous propensity score matching, no significant differences in baseline characteristic were observed (table 1). In propensity-matched patients, TVR was associated with significantly higher rates of in-hospital death (12% vs 6.9%, p=0.009) and permanent pacemaker

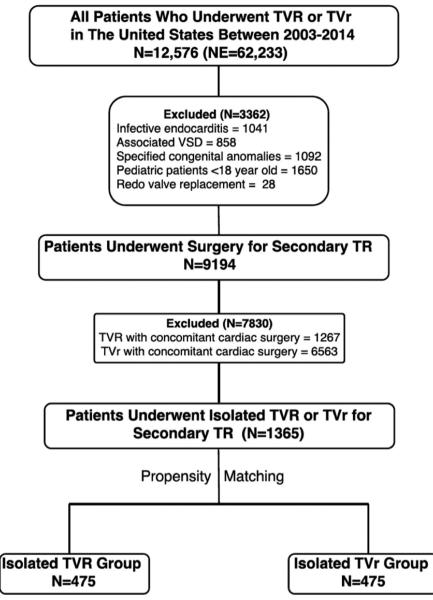


Figure 1 Study flow chart. NE, national estimate; TR, tricuspid regurgitation; TVr, tricuspid valve repair; TVR, tricuspid valve replacement.

implantation (33.7% vs 11.2%, p<0.001). Postoperative morbidities and length of stay, however, were not different between the two groups (table 2). Nonetheless, cost of hospitalisation was 16% higher in the TVr group.

DISCUSSION

The following are the main findings of the present investigation: (1) Isolated tricuspid surgery for secondary TR is infrequently performed in the USA. (2) Patients undergoing isolated TVR versus TVr have similar baseline characteristics, with the exception of chronic liver disease, which was more prevalent in the TVR group. (3) In propensity-matched cohorts of patients, isolated TVR is associated with 74% higher incidence of in-hospital mortality and 300% higher rate of permanent pacemaker implantation compared with isolated TVr. Although length of stay was comparable in both groups, cost of hospitalisation was 16% higher after TVr than after TVR.

It is estimated that 1.6 million patients in the USA suffer from moderate to severe TR.⁵⁶ In the Framingham Study moderate or severe TR was present in 1.5% of men and 5.6% of women >70 years of age.⁷ The prevalence is higher in patients with concomitant valvular disease or cardiomyopathy. Moderate to severe TR is found in 30%–50% of patients with severe mitral regurgitation, 12%–25% of patients with severe aortic stenosis and 19% of patients with congestive heart failure.^{8–12} The percentage of patients with symptomatic TR is uncertain and remains difficult to estimate given the high prevalence of other potential sources of symptoms and comorbidities in these patients.¹³ Nonetheless, the current study confirms that only a small fraction of patients at

estimates).

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600

500

400

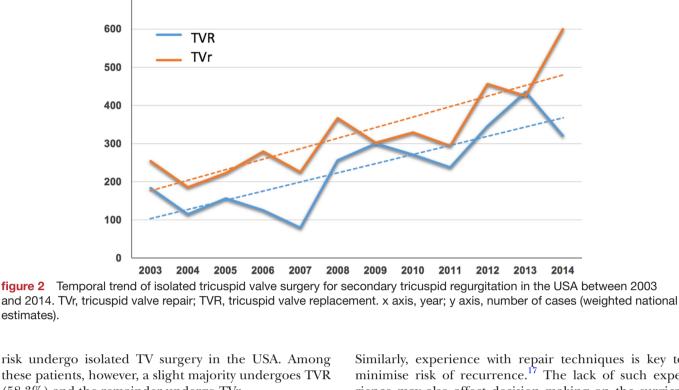
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(58.3%) and the remainder undergo TVr. Despite the relatively young age of patients in this cohort (55±17 years), major comorbidities were common (hypertension 43%, diabetes 18%, atrial fibrillation 45%, coagulopathy 28% and chronic renal disease 22%). Although both groups had comparable baseline risk profiles, the TVR group had higher prevalence of chronic liver disease. This is important and this could be related to a more advanced right ventricular disease and subsequent congestive hepatopathy, both are likely to contribute to worse short-term and long-term outcomes in the TVR group.

The decision of whether to repair or replace the TV is complex and depends on multiple factors: (1) timing of surgery, (2) anatomical factors and (3) clinical expertise. Unlike aortic or mitral valve disease, where the timing of operation may often be driven by symptoms, many patients with severe TR remain symptomatic for prolonged periods before being referred to surgical correction. At this point, patients have often developed severe tethering and marked right ventricular dysfunction that may inevitably impact surgical outcomes. Anatomical factors, such as the degree of annular dilatation and leaflet tethering, play a significant role in determining the surgical therapy. Although TVr is usually the preferred approach in order to minimise bypass time, TVR is frequently needed in cases of extreme annular dilatation or leaflet abnormality (such as in pacemaker-related TR)14 15 Patient choice, compliance and clinical expertise also influence the choice of TVr versus TVR. Bioprosthetic valve degeneration and mechanical valve thrombosis continue to generate much debate and may impact the decision to repair or replace in patients with compliance issues.¹⁶

Similarly, experience with repair techniques is key to minimise risk of recurrence.¹⁷ The lack of such experience may also affect decision making on the surgical approach. Hence, selection bias will remain a significant confounder in any retrospective investigations pertaining to TV surgery. Notably, a high percentage of patients had bioprosthetic valve implantation in this study, likely due to concerns about non-compliance with lifelong anticoagulation. Although we performed vigorous propensity score matching to account for differences in baseline risk profiles and hospital characteristics, the above-mentioned factors should be considered when interpreting the current study results.

In propensity-matched groups, TVR was associated with higher in-hospital mortality compared with TVr. Prior comparative studies on TVr versus TVR yielded conflicting data likely due to design issues and the inclusion of heterogeneous groups of patients with various TR aetiologies.^{18–27} A recent comprehensive meta-analysis pooled data from 17 studies and compared TVr versus TVR in patients with severe TR for the primary endpoint of all-cause mortality.⁴ This meta-analysis showed that TVR was associated with higher mortality compared with TVr (HR 1.59, 95% CI 1.26 to 2.00) $(I^2=62\%)$. A subanalysis including only studies with predominantly patients with secondary TR revealed that TVR remained associated with higher odds of all-cause mortality (HR 1.39, 95% CI 1.04 to 1.86). This is consistent with the current findings of a 74% higher risk of postoperative mortality following TVR versus TVr. The contribution of right ventricular dysfunction, duration of the TV disease, and surgical volume and techniques on this excess mortality in the TVR group cannot be ascertained due to the lack of data on disease duration or echocardiographic findings in the NIS and

 Table 1
 Characteristics of unmatched and propensity-matched patients undergoing isolated tricuspid repair versus
 replacement, 2003–2014

replacement, 2003–2014									
	Unmatched				Matched cohorts				
Baseline characteristics	TVr n=569	TVR n=795	P values	TVr n=475	TVR n=475	P values			
Age (years), mean (SD)	54 (18)	56 (17)	0.021	55 (18)	55 (17)	0.818			
Female, n (%)	291 (51.1)	458 (57.6)	0.018	259 (54.5)	259 (54.5)	0.99			
White race	307 (64.6)	466 (71.9)	0.018	306 (64.4)	308 (64.8)	0.76			
Black race	99 (20.8)	88 (13.6)		83 (17.5)	75 (15.8)				
Hispanic race	35 (7.4)	49 (7.6)		39 (8.2)	37 (7.8)				
Medical comorbidity									
Hypertension	241 (42.4)	346 (44)	0.56	209 (44)	205 (43.2)	0.838			
Diabetes	103 (18.1)	140 (17.6)	0.815	85 (17.9)	84 (17.7)	0.99			
Chronic lung disease	81 (14.2)	106 (13.3)	0.633	67 (14.1)	66 (13.9)	0.99			
Atrial fibrillation/ flutter	243 (42.7)	366 (46)	0.222	210 (44.2)	201 (42.3)	0.582			
Anaemia	120 (21.1)	175 (22)	0.683	99 (20.8)	99 (20.8)	0.99			
Coagulopathy	155 (27.3)	219 (27.9)	0.816	129 (27.2)	131 (27.6)	0.943			
Conduction abnormalities	17 (3)	23 (2.9)	0.919	11 (2.3)	12 (2.5)	0.99			
Vascular disease	37 (6.5)	54 (6.8)	0.832	33 (6.9)	29 (6.1)	0.688			
Chronic renal disease	125 (22)	183 (23)	0.647	100 (21.1)	101 (21.3)	0.99			
Haemodialysis	17 (3)	36 (4.5)	0.147	17 (3.6)	18 (3.8)	0.99			
Coronary artery disease	84 (14.8)	98 (12.3)	0.192	65 (13.7)	66 (13.9)	0.99			
Liver disease	21 (3.7)	87 (11.1)	<0.001	20 (4.2)	27 (5.7)	0.265			
Liver cirrhosis	8 (1.4)	46 (5.8)	<0.001	8 (1.7)	13 (2.7)	0.267			
Hospital characteristics									
Teaching hospital	489 (86.2)	682 (86)	0.899	410 (86.3)	406 (85.5)	0.775			
Large hospital size	e 494 (87.1)	650 (82)	0.033	406 (85.5)	400 (84.2)	0.342			
Rural location	6 (1.1)	9 (1.1)	0.894	6 (1.3)	6 (1.3)	0.99			
Non-elective admission	260 (45.8)	278 (35)	<0.001	187 (39.4)	179 (37.7)	0.622			
Mechanical prosthesis	0 (0)	305 (38.4)	<0.001	0 (0)	203 (42.7)	<0.001			
Primary payer, n (%)			0.019			0.595			
Medicare/ Medicaid	315 (55.4)	506 (63.6)		275 (57.9)	271 (57.1)				
Private, including HMO	214 (37.6)	240 (30.2)		169 (35.6)	168 (35.4)				
Self-pay/no charge/other	18 (3.2)	25 (3.1)		15 (3.2)	16 (3.4)				
Median income, n (%)		0.565			0.437			
1.0–25th percentile	159 (28.7)	200 (25.9)		135 (28.4)	126 (26.5)				
2.26–50th percentile	128 (23.1)	196 (25.4)		113 (23.8)	127 (26.7)				

	Unmatched cohorts			Matched cohorts		
Baseline characteristics	TVr n=569	TVR n=795	P values	TVr n=475	TVR n=475	P values
3.51–75th percentile	137 (24.7)	184 (23.8)		111 (23.4)	105 (22.1)	
4.76–100th percentile	130 (23.5)	193 (25)		116 (24.4)	117 (24.6)	
he inability to po analysis due to t	the very low v	volume of TV s	urgery in sul	osequently unde	rwent TVR (50	luring follow-up a %) and TVr (50%
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	Unmatched cohorts				Matched cohorts			
Clinical outcomes	TVr n=569	TVR n=795	P values		TVr n=475	TVR n=475	P values	
In-hospital death	46 (8.1)	86 (10.8)	0.093		33 (6.9)	57 (12)	0.009	
Permanent pacemaker	62 (10.9)	271 (34.1)	<0.001		53 (11.2)	160 (33.7)	<0.001	
Stroke	13 (2.3)	10 (1.3)	0.146		9 (1.9)	7 (1.5)	0.804	
Acute kidney injury	192 (33.7)	221 (27.8)	0.018		146 (30.7)	141 (29.7)	0.773	
New dialysis	25 (4.4)	44 (5.5)	0.343		21 (4.4)	30 (6.3)	0.253	
Blood transfusion	186 (32.7)	299 (37.6)	0.06		159 (33.5)	170 (35.8)	0.493	
Cardiac tamponade	14 (2.5)	9 (1.1)	0.06		9 (1.9)	5 (1.1)	0.424	
Pneumonia	49 (8.6)	54 (6.8)	0.21		36 (7.6)	32 (6.7)	0.708	
Prolonged ventilation	42 (7.4)	45 (5.7)	0.2		29 (6.1)	37 (7.8)	0.389	
Wound infection	6 (1.1)	16 (2)	0.166		5 (1.1)	12 (2.5)	0.143	
Pulmonary embolism	23 (4)	20 (2.5)	0.112		16 (3.4)	17 (3.6)	0.99	
Deep venous thrombosis	9 (1.6)	6 (0.8)	0.149		7 (1.5)	5 (1.1)	0.754	
Discharge status, n (%)			0.113					
Discharged home	408 (71.8)	557 (70.2)			348 (73.3)	324 (68.2)	0.003	
Discharged SNF/ NH/IC	114 (20.1)	147 (18.5)			94 (19.8)	92 (19.4)	0.801	
Length of stay (days) mean (SD)	, 23 (26)	19 (24)	0.013		21 (26)	21 (28)	0.114	
Postoperative length of stay	18 (21)	15 (20)	0.027		16 (22)	15 (20)	0.496	
Length of stay >5 days	483 (84.9)	690 (86.8)	0.317		395 (83.2)	413 (86.9)	0.018	
Cost, mean (SD)	\$120 849 (\$123 772)	\$84 637 (\$8300)	<0.001		\$106 143 (\$108 803)	\$91 316 (\$86 768)	<0.001	

IC, intermediate care;NH, nursing home;SNF, skilled nursing facility;TVR, tricuspid valve replacement;TVr, tricuspid valve repair.

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favourable effects of modified ultrafiltration (decreasing myocardial oedema, improving left ventricular function and improving pulmonary function compliance) have been demonstrated in non-TV cardiac surgical operations but can be quite useful in patients with TV disease due to the higher prevalence of right ventricular failure and pulmonary hypertension.^{29–31} Further studies are required to assess the impact of early operations, surgical techniques and various postoperative management strategies on the outcomes of TV surgery in the contemporary era.

Major postoperative complications were not significantly different between the two groups, with the exception of the rate of permanent pacemaker implantation, which was threefold higher following TVR versus TVr. Similar findings were reported in prior smaller studies.^{32 33} Further studies are needed to assess the potential adverse impact of permanent pacemaker implantation on long-term outcomes in these patients, especially given that one-third of patients undergoing TVR received a permanent pacemaker prior to discharge. A study by Jokinen *et al*³² showed that patients who received permanent pacemakers following TVR experienced a higher rate of thromboembolic complications and impaired quality of life during long-term follow-up. Hospital length of stay and frequency of non-home discharges were similar between the two groups. The modestly increased cost with TVr was therefore unexpected, particularly with the much lower rate of pacemaker implantation in this group. We speculated the following reasons to have contributed to this cost difference: (1) The TVr group had lower in-hospital mortality and longer length of stay. The excess number of death in the TVR group might have contributed to the lesser cost as these patients would have incurred more cost should have they survived to longer hospital stays. (2) The TVr group had higher incidence of patients admitted non-electively and hence they possibly incurred additional costs associated with emergency room charges, early diagnostic testing and so on, which might have played a role in the cost difference between TVR and TVr. (3) The difference in cost, although statistically significant, is modest and may be affected by unmeasured confounders that are difficult to ascertain in administrative databases. Nonetheless, further studies are needed to elucidate the underlying actiology of this increased cost, such as the potential influence of right ventricular failure.

Limitations

This study has several limitations. Although this is the largest nationwide study examining comparative outcomes of TVr versus TVR in patients with severe secondary TR, the results should be interpreted in the context of its limitations. (1) The NIS is a hospital claims database derived from ICD-9-CM codes and is therefore prone to coding inconsistencies. However, quality control measures are embedded in the Agency for Healthcare Research and Quality methodology to minimise these issues. In addition, the hard clinical endpoints in this study are difficult to miscode (death, pacemaker implantation, discharge status and so on). (2) We intended to study patients with secondary TR, and hence we excluded those with congenital heart disease and endocarditis. However, we cannot ascertain that these exclusion criteria were sufficient to identify a pure cohort of secondary TR. (3) A major limitation of this study is the inability to capture relevant data related to the nature and stage of TV disease (right ventricular dimension and function, pulmonary artery pressure, aetiology and duration of TV disease, left ventricular ejection fraction, and so on). We applied several layers of exclusions to arrive at a pure secondary TR population, but the lack of granular haemodynamic and echocardiographic data can possibly confound the results of the study, such as cost assessment. (4) Details on the urgency of surgery, surgical repair or replacement techniques, perioperative medications and ventilation management, and long-term outcomes beyond hospital discharge are not available in the NIS. (5) The underlying mechanism of severe TR in a non-negligible number of patients undergoing TVR is pacemaker-related TV disease. In these patients the surgery might include removal and reimplantation of permanent pacemaker leads, and hence this can potentially falsely increase the rate of permanent pacemaker implantation in the TVR group.

CONCLUSIONS

Compared with TVR, TVr is associated with at least comparable in-hospital outcomes in patients with suitable anatomy for valve repair. Further studies are needed to understand the differential impact of the type of surgery on the short-term and long-term mortality in this complex undertreated population.

Contributors MA and CB planned the study and drafted the manuscript. AK and FA conducted the analysis. VB contributed to the study's planning and made critical edits of the manuscript. MA is responsible for the overall content as the guarantor.

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Competing interests None declared.

Patient consent Not required.

Ethics approval The institutional review board approved the study and waived informed consent requirements because the data are derived from a nationwide deidentified database.

Provenance and peer review Not commissioned; externally peer reviewed.

Data sharing statement Data used for this analysis will be available to other researchers upon request for the purpose of reproduction of the study's results. No other unpublished data of this study are available.

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