

## Epidemiology and risk factors of osteosarcoma

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## 22 **ABSTRACT**

23 Osteosarcoma is a rare tumor diagnosed at any age; however younger age is a common risk  
24 factor. In addition, multiple factors are believed to contribute to higher rates of osteosarcoma,  
25 particularly race and gender. Although diagnosed worldwide, osteosarcoma is found to be more  
26 prevalent in Africa with high numbers of cases reported in Nigeria, Uganda, and Sudan.  
27 Additionally, higher rates are detected in African Americans, suggesting a genetic predisposition  
28 linked to race. This review focuses on identifying high risk factors of osteosarcoma with an  
29 emphasis on sarcoma epidemiology and risk factors in African countries.

30

## 31 **1 Introduction**

32 Osteosarcoma is a primary bone tumor, characterized by deposition of an immature osteoid  
33 matrix (1). The incidence rates vary depending on age, race, sex and a number of other factors.  
34 The rates vary throughout the world from 3-5 per million in males to 2-4 per million for females  
35 (2). In general is around 5.2 for children aged 0-19 years, per year per millions of people (3). It is  
36 ranked the eighth highest childhood cancers at around 2.4% of tumors diagnosed. Despite being  
37 a rare type of tumor, a number of risk factors have been identified, including race and sex; with  
38 indigenous African and African American males being disproportionately more affected (4). Age  
39 is also a key factor with those aged 10-14 years old most likely to be affected and a second peaks  
40 occurring at adults older than 65 years old (2, 5, 6). Treatment usually concentrates on surgery to  
41 remove the tumor and metastasis, often in combination with chemotherapy (7). Surgery may  
42 involve limb amputation or limb salvage techniques depending on the grade of tumor. Over the  
43 years many differing treatment protocols and in vitro and in vivo models have been described  
44 and developed. In addition, work into immunotherapy-based treatments and pharmacogenomics  
45 has been undertaken but to date outcomes for patients diagnosed with high grade osteosarcoma  
46 remain poor (8-10). Given this, it is essential to conduct research further exploring key risk  
47 factors to understand the pathogenesis of the disease and to develop more effective treatment  
48 plans, which is the primary focus of the current review.

49 Clinically, osteosarcoma can be divided into two stages: localized and metastatic. Localized  
50 osteosarcoma refers to the cancer, affecting only the bone and the tissues in which it developed.  
51 It can then further be split into resectable and non-resectable stages, based on the viability of  
52 surgically removing the tumor. The metastatic stage of osteosarcoma shows that the cancer has  
53 spread from the original site to other organ sites, making it more difficult to treat.

54 The two most common classification systems, used in this review, are the Enneking Staging  
55 System and Broder's classification. The named classification systems are important in treatment  
56 planning, providing insight into prognosis, assisting in evaluating treatment results, facilitating  
57 effective inter-institutional communication, and contributing to investigation of human  
58 malignancies (Jawad and Scully 2010). The Enneking Staging System includes benign and  
59 malignant mesenchymal tumors such as osteosarcoma (11). This system includes three categories  
60 for benign tumors: latent, active, and aggressive. With regards to malignant tumors, the  
61 Enneking Staging System considers grade (G1, G2), local extent of tumor (T, T1, T2), and the

62 presence or absence of metastasis (M0, M1). Stage I includes low-grade (G1) and  
63 intra/extracompartmental tumors (T1 and T2) without metastasis (M0). Stage II tumors are  
64 characterized by high-grade (G2) and intra/extracompartmental tumors (T1 and T2) without  
65 metastasis. Stage III includes any grades and any sites with regional and distant metastasis (11).

66 While the Enneking Staging System characterizes benign and malignant tumors, Broder's  
67 classification focuses specifically on classifying the differentiations in squamous cell carcinomas  
68 (11, 12). According to Broder's classification, tumor grades from 1 to 4 reflect the presence of  
69 anaplasia. Low-grade tumors are characterized by low mitotic rates, low nuclear to cytoplasmic  
70 ratio, and limited pleomorphism. However, high-grade lesions (3 and 4) have a higher incidence  
71 of metastasis and are characterized by mitosis, prominent nucleoli, and pleomorphism (13).

72 Generally, metaphysis of the long bones is the most common site of origin for osteosarcoma in  
73 adults (14), with femur (42%), tibia (19%) and humerus (10%) frequently found to be affected  
74 by the tumor (Figure 1). Other less frequent locations include the skull or jaw (8%) and pelvis  
75 (8%) (14). While osteosarcoma is most common in the long bones of the extremities, in older  
76 patients other bones are also identified as tumor sites. Cranial, facial, and axial tumors increase  
77 in frequency with age, with about 40% of all osteosarcomas localized in patients aged 60 years  
78 or older (4). The overall 5-year survival rate for osteosarcoma is 70% (4, 14), which varies  
79 depending on the type of pathology as follows: chondroblastic 54%, fibroblastic 73%, and  
80 telangiectatic 59% (4).

81

## 82 **2 Pathogenesis**

83 The pathogenesis of osteosarcoma remains largely unknown; however, correlation between bone  
84 growth during childhood/puberty and tumor risk diagnosis suggest that growth factors could play  
85 a role in the onset of the disease (15, 16). Osteoid is commonly found in osteosarcoma,  
86 suggesting that osteoblasts can be involved in the tumor development (17). However, genetic and  
87 epigenetic changes in osteosarcoma cells imply their primitive origin (18-20). Another important  
88 feature of these cells is their ability to differentiate into multiple cell types including osteoblasts  
89 (21). There are currently two primary competing hypotheses regarding the cellular origin of  
90 osteosarcoma: mesenchymal stem cell (MSC) and the osteoblast (22-25). Both hypotheses are  
91 based on results from in-vitro and in-vivo studies.

92 The MSCs origin of osteosarcoma is supported by findings indicating that genetic mutations in  
93 progenitors are linked to failure of osteoblast maturation and development of osteosarcoma-like  
94 tumors in animal models. Spontaneous transformation of MSCs is shown to promote formation  
95 of osteosarcomas in animal models (26, 27). The malignant transformation of MSCs is  
96 accompanied by accumulation of chromosomal instability and various mutations (28, 29).  
97 Additionally, the Rb1 gene deletion in MSCs causes overexpression of c-MYC, which could  
98 promote the osteosarcoma-like properties and express osteosarcoma markers CD99, ALP,  
99 osteonectin, and osteocalcin (30). These MSCs also metastasize into the lung, which is a key  
100 clinical feature of osteosarcoma in humans.

101 Supporters of the osteoblast origin of sarcoma argue that osteoblasts, obtained from  
102 osteosarcoma patients, and not MSCs, maintain in-vitro and in-vivo tumorigenesis, thus playing  
103 a role in the pathogenesis of osteosarcoma (22). Supporting this notion, activation of the  
104 intracellular domain of Notch1 in transgenic mice promotes immature osteoblast proliferation  
105 and induces osteosarcomagenesis (31). Both MSCs and osteoblast hypotheses can explain  
106 pathogenesis of osteosarcoma. It is possible that both cell types contribute to tumor onset.

107 Osteosarcoma can metastasize by dissemination through the circulatory route. Lungs are the  
108 most common site of metastasis (32). The survival rate of osteosarcoma patients with lung  
109 metastases remains low, even when metastases are surgically removed (33). However, there is  
110 evidence suggesting that resection of pulmonary metastases improves the survival of these  
111 patients (34, 35). In contrast, other studies demonstrate that chemotherapy has limited effect on  
112 the prognosis of osteosarcoma outcome in patients with lung metastasis (36). Major setbacks of  
113 chemotherapy are based on low tumor cell sensitivity to the treatment and subsequent side  
114 effects (37, 38). Patients with lung metastasis have a higher risk of tumor relapse and fatal  
115 outcome (39, 40). Axial locations with a tumor diameter larger than 5 cm were linked to a higher  
116 risk of lung metastasis.

### 117 **3 Epidemiology**

118 Osteosarcoma is diagnosed worldwide, however incidence rates vary in different countries and  
119 populations. In the United States, osteosarcoma occurrence was reported to be higher in young  
120 Asian/Pacific Islander and black patients (average rates of 5.3 and 5.1 respectively for 0-24 year  
121 olds) in comparison to non-Hispanic White, Hispanic and American Indian/Alaska Native  
122 populations which saw rates of 3-4.9 per million (4). A similar trend is documented among the  
123 younger age groups in South Europe (Stiller et al., 2009), especially in Italy (41). While  
124 confirming the high rate of osteosarcoma in Italy, Mirabello et al. reported even higher numbers  
125 of cases diagnosed among Latin populations (rates of 7.0–7.6 for males and 3.5–4.9 for females).  
126 This work also highlighted particularly high incidence levels in the Philippines and Ecuador  
127 (rates up to up to 11.4 and 8.2 respectively)(2). Interestingly, overall a high incidence of  
128 osteosarcoma was reported in African countries, predominantly in Sudan and Uganda, as  
129 compared to those in Europe (2, 41).

130 In the United States, osteosarcoma was more often diagnosed in children and adolescents who  
131 were Asian/Pacific Islander, followed by African American (age 25–59 years), and Caucasian  
132 (age 60+ years)(42). In two consecutive studies conducted by the National Cancer Institute  
133 SEER Program, higher annual rates of osteosarcoma in children and young adolescents were  
134 found in African American populations, while lower rates were present among Caucasian  
135 Americans, based on data published for the years 1975–1995 (43). In a more recent study, an  
136 increased number of osteosarcoma incidence was again detected in African Americans, as well  
137 as Hispanics, compared to Caucasian American populations (44). Similar trends persisted in  
138 older age groups, with a higher rate of osteosarcoma diagnosis reported in African Americans as  
139 compared to Caucasians (45). This was supported by rates of 4.6 cases per million people in  
140 Black people compared to 3.7 for non-Hispanic White, 3.0 for Hispanic people, 2.9 in American  
141 Indian/Alaska Native and 1.9 for Asian/Pacific Islanders aged 60+ years old (4). It is important

142 to note that the highest incidence in this report was Asian/Pacific Islanders when aged 0-24 but  
143 by 25-59 and 60+ years they represented the least likely group to be affected.

144 It appears that juvenile osteosarcoma is more often diagnosed in South Europe, Africa, Asia,  
145 South America, and the Pacific Islands, while late age of tumor onset is more prevalent in  
146 Northern Europe, US, and Australia (2). Mirabello et al. suggested that osteosarcoma in elderly  
147 patients could be a result of malignant transformation of Paget's disease (2). To support this  
148 argument, the authors discussed a striking geographic variation in the prevalence of Paget's  
149 disease. High prevalence of the disease is documented in the United Kingdom, Australia, and  
150 North America, with a lower frequency present in Asia and the Middle East (46, 47). This  
151 distribution is consistent with osteosarcoma diagnosis in elderly populations.

152 While most available data on disease epidemiology comes from industrially developed countries,  
153 there is very little information about the rest of the world, including Africa. This can skew the  
154 results of studies that compare disproportionately larger data sets from more developed countries  
155 with smaller data sets from other countries. There are, however, a few studies that prioritize  
156 underrepresented countries. In a comprehensive study by Parkin et al., the systematic review of  
157 childhood cancers focused exclusively on three African countries: Nigeria, Uganda, and  
158 Zimbabwe (41). The overall cancer incidence rate in African countries was the lowest as  
159 compared to the rest of the world. However, when incidence rates of individual tumors were  
160 analyzed, osteosarcoma cases appeared high in Nigeria and Uganda (Figure 2). High rates of  
161 osteosarcoma were also reported among African Americans, suggesting the presence of a genetic  
162 predisposition linked to the race of the patient. Furthermore, the relative frequency of  
163 osteosarcoma in African countries was higher (Sudan 5.3% and Uganda 6.4%) when compared  
164 to European countries (2%-3%). In a more recent study, Aina et al. demonstrated that primary  
165 bone tumors accounted for 1.26% of the total malignant neoplasms diagnosed between 1991 and  
166 2003 in Ile-Ife, South West Nigeria (48). This frequency is similar to that reported in Ibadan  
167 (1.28%), another province in South West Nigeria (49). However, relatively higher osteosarcoma  
168 rates were demonstrated in Zaria, Northern Nigeria (3.6%) (50), and Kenya (2.5%) (51).

169 In the analysis of 117 patients with primary bone tumors, Pillay et al. revealed that osteosarcoma  
170 was the most common primary malignant bone tumor, accounting for 72.6% of all cases  
171 admitted to the Department of Orthopaedic Surgery, Grey's Hospital, South Africa (52). In  
172 accordance with findings reported in previous studies, the authors also confirmed the higher male  
173 to female ratio and younger age of patients, (4, 28, 53, 54). In addition, Pillay et al identified  
174 that osteosarcoma is diagnosed in African patients at a much younger age (18 years Nigeria; 25  
175 years South Africa), compared to patients from the USA (36 years) and United Kingdom (40  
176 years) (52, 55). A decade-long multicenter analysis of bone tumor incidence in Cameroon  
177 showed that osteosarcoma was the most frequent form of primary malignant bone tumor (56).  
178 The authors suggested that the high incidence rate of osteosarcoma in young patients could be  
179 explained by the larger proportion of young people in the population, where 56% of  
180 Cameroonian citizens are children or teenagers, and only 4% are elderly (56). A number of other  
181 hospital-based cross-sectional studies, conducted in Nigeria, Ethiopia and Northern Tanzania  
182 similarly reported it being predominantly diagnosed in young patients (57). Notably, many

183 patients in Tanzania were admitted to the hospital with advanced stages of metastatic  
184 osteosarcoma only after seeking help from a local healer first.

#### 185 **4 Risk factors**

186 **4.1 Age.** Osteosarcoma is characterized by bimodal age distribution, with the first diagnosis  
187 peak associated with young children and adolescents, and the second peak documented in  
188 geriatric patients (54). While the early age incidence rate of osteosarcoma diagnosis is relatively  
189 consistent around the world (3 to 4.5 cases/million population/year) (2, 53, 54, 58), more  
190 variations (1.5 to 4.5 cases/million population/year) were documented among ages of 60 and  
191 over (2, 4). Even though the patient's age is generally agreed to be one of the risk factors and a  
192 potential prognostic marker for osteosarcoma, it cannot be applied to the African population due  
193 to inaccurate or unavailable demographic data (59). Many of the studies show incidence for older  
194 patients but most show a 60+ years rate. Average incidence rates of 1-7 have been observed in  
195 males aged 75+ worldwide some countries such as Australia, Canada and the UK saw even  
196 higher levels (15-18, 10-11 and 11.6 respectively) (2).

197 **4.2 Gender.** Multiple studies, including of the African population, have demonstrated a  
198 gender-specific osteosarcoma association, stronger pronounced among males, than females (4,  
199 43, 45, 49, 60-62). It has also been reported that females under the age of 15, have slightly higher  
200 cancer rates than males in the same age group (4, 41, 42, 63-68). In adolescents, incidence peaks  
201 at a later age and is higher among males (age 15–19, peak rate of 9–15 cases/million population)  
202 compared to females (age 10–14, peak rate of 6–10 cases/million population) (4, 41), suggesting  
203 that bone growth, hormonal changes, and/or development associated with puberty may be  
204 involved in osteosarcoma etiology. In elderly patients, osteosarcoma prevails among African  
205 Americans (42) and females, particularly those with a prior history of cancer (4). In general the  
206 older age groups (60+ years old) also show less disparity between the sexes, with male-to-female  
207 ratios of 1.01:1(2) worldwide and 0.9:1 in the United States. This increases to 1.43:1  
208 (male:female) in those under 24 years old and 1.28:1 in those aged 25-59. This data may further  
209 support the pubertal changes theories in relation to younger patients.

210 **4.3 Socio-economic status.** It appears that patients from lower socioeconomic groups have  
211 higher incidence rates of osteosarcoma and mortality (69). Socioeconomic status, including  
212 education, income, and occupation, was shown to be a strong predictor of morbidity and  
213 mortality, with education having the strongest impact on the patient's survival. Individuals and  
214 caregivers with low or lack of education may have difficulty in understanding the full  
215 seriousness of the disease. This can cause delays in seeking or refusal of medical attention in  
216 favor of such alternative methods as local bonesetters. Traditional bonesetters are commonly  
217 preferred by locals for treating many musculo-skeletal diseases, however, 34% patients withdrew  
218 from treatment according to Oboirien et al.'s study from West Nigeria due to the "lack of  
219 improvement" (60). Since many patients are living in rural areas, traditional bonesetters are often  
220 the only available and affordable source of treatment in that region (Oboirien and Khalid 2013).  
221 Therefore, educating traditional bonesetters in bone tumor awareness is essential to improving  
222 survival rates among osteosarcoma patients.

223 **4.4 Height.** The earliest observation of positive correlation between patient’s height and risk  
224 of osteosarcoma was published by Fraumeni in the 60-s (61) and was later confirmed by multiple  
225 studies (62, 64, 65). Meta-analysis demonstrated that “taller-than-average” and “very tall”  
226 individuals are at an increased risk of developing osteosarcoma (66). The same study also  
227 showed that individuals with high birth weights had increased risks. Furthermore, Longhi et al.  
228 showed a strong correlation between height and osteosarcoma diagnosis in growing individuals  
229 (64). These correlations suggest that growth factors and/or rapid bone growth both in puberty  
230 and *in utero* could play a role in the cancer pathogenesis.

231 **4.5 Genetics.** The etiology of osteosarcoma is complex and not well understood. Studies  
232 have identified several genetic risk markers, including hereditary retinoblastoma (Rb) (67, 68),  
233 Rothmund–Thomson syndrome (70, 71), and Li Fraumeni syndrome (72). Mutations in the Rb  
234 gene have a strong association with predispositions to osteosarcoma (73-75), where the loss of  
235 heterogeneity in the Rb gene could indicate unfavorable disease outcome (76). Additionally,  
236 altered p53 loci was reported in 10–39% of osteosarcoma cases (77-80). Combined mutations in  
237 Rb and p53 show synergistic tumorigenic properties (79, 81, 82).

238 It appears that osteosarcoma is associated with a rapid genotype modification, complicating the  
239 identification of potential therapeutic targets (83, 84). Nevertheless, a variety of macromolecular  
240 biomarkers with potential clinical implications have been identified including ErbB-2 (85, 86),  
241 cathepsin D (87), FBXW7 (88), and miR-421 (89). However, as of yet, the true diagnostic,  
242 etiologic, and clinical significance of these biomarkers is ongoing and controversial.

243 **4.6 Environmental factors.** Environmental conditions were also named as risk factors of  
244 osteosarcoma. Vu et al. have shown that the risk of osteosarcoma is a linear function of local  
245 doses of radiation (90). Similar data was presented by Arlen et al., who showed that residents of  
246 areas with radiation ranging from 1,200 rads/few weeks to 24,000 rads/2 years were more likely  
247 to develop osteosarcoma (91). Additionally, a link between radiation exposure and osteosarcoma  
248 was reported among radium dial workers (92). Accordingly, treatment using teriparatide, a  
249 parathyroid hormone peptide, was suggested to increase the risk of radiation-induced  
250 osteosarcoma (93). Bassin et al. also proposed that exposure to fluoridated water was a potential  
251 risk factor for osteosarcoma (94). Similar observations were published by Gandhi et al., which  
252 suggested that fluoride-induced oxidative and inflammatory stress contribute to the pathogenesis  
253 of osteosarcoma (95). Other chemical risk factors include methylcholanthrene and chromium  
254 salts (96), beryllium oxide (97), zinc beryllium silicate (98), asbestos, and aniline dyes (99).

## 255 **5 Conclusion**

256 Osteosarcoma is a rare tumor, more often diagnosed among young patients. Multiple factors  
257 have been shown to contribute to developing osteosarcoma, most commonly race, gender and  
258 age. A higher incidence rate of the diagnosis is registered among young males of African origin,  
259 which was supported by the research findings conducted in Nigeria, Uganda, and Sudan.  
260 Additionally, high cancer rates are detected among African Americans, suggesting genetic and  
261 racial predispositions to osteosarcoma. Identifying genetic markers is essential to developing  
262 novel therapeutics and diagnostics. Further studies on osteosarcoma genetic markers among the  
263 African population could help to better understand the pathogenesis of the disease.

264

265 **Conflict of Interest**

266 The authors report no conflicts of interest.

267 **Author Contributions**

268 All authors wrote the manuscript and reviewed the final draft.

269

270 **Figure Legends**

271 Figure 1. Osteosarcoma locations within the skeleton.

272 Figure 2. Osteosarcoma in Africa. The frequency rate of osteosarcoma in Sudan (5.3%), Nigeria  
273 (3.6% - 1.28%), Uganda (6.4%), Kenia (2.5%), Tanzania (61%), Cameroon (39%), Zambia  
274 (55.3%), Rwanda (8.2%) and South Africa (72.6%).

275

276 **Contribution to the Field**

277 Osteosarcoma is a rare tumor, which affects young and elderly patients. Incidence rates not only  
278 change with age but also with race and gender. Males living in parts of Africa are more likely to  
279 be affected, as are African Americans, thus indicating a potential genetic predisposition. Other  
280 races have differing incidence rates but some change depending on the age and gender of the  
281 patient group. This review also looks at the treatments, genetic markers and the ongoing work to  
282 develop therapeutics and diagnostic techniques. It also highlights the need for more research into  
283 differing populations and environmental factors in relation to osteosarcoma risk.

284

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