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1	Can nutritional interventions modulate the activation of the NLRP3 inflammasome in
2	chronic kidney disease?
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# 21 Abstract

22 Inflammatory and innate immune responses triggered by pathogen-associated and other 23 danger-associated signals emerging during infections, results in the activation of cytosolic 24 inflammasomes. The nod-like receptor pyrin domain containing 3 (NLRP3) is one of the 25 inflammasomes mediating such responses through the activation of caspase-1, which 26 increases the production and release of pro-inflammatory cytokines, such as IL-1 $\beta$  and IL-18 27 and induces programmed cell death through pyroptosis., NLRP3 is thought to play a crucial 28 role in the underlying inflammatory responses in many lifestyle related chronic diseases. 29 Consequently, research on the NLRP3 inflammasome has expanded dramatically in recent 30 years. Although several studies have investigated the role of NLRP3 activation in chronic 31 kidney disease (CKD), few studies have evaluated strategies to modulate its activation by 32 means of interventions using bioactive compound present in food. This review discusses 33 bioactive compounds that have been shown to influence NLRP3 in experimental models of 34 renal disease, and in CKD. It discusses how these compounds could potentially dampen 35 NLRP3 associated inflammatory burden, as part of nutritional strategies to prevent and treat 36 CKD and its complications.

37 Keywords: bioactive compound, chronic kidney disease, inflammation, inflammasome,38 oxidative stress.

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# 41 **1.** Introduction

42 Inflammasomes are large cytoplasmic multiprotein complexes belonging to the innate 43 immune system, that are formed in response to stimuli from pathogen-associated molecular 44 patterns (PAMPs) and danger-associated molecular patterns (DAMPs), emerging during 45 infections, tissue damage or cellular stress. These signals are sensed by pattern recognition 46 receptors (PRRs) and lead to activation of inflammasome mediated caspase-dependent 47 inflammatory responses, through the release of cytokines and induction of cell death by 48 pyroptosis (a form of caspase induced inflammatory programmed cell death). To date, the 49 following inflammasomes have been reported: leucine-rich repeat (LRR)-containing proteins, 50 nucleotide-binding oligomerization domain (NOD) (also known as NOD-like receptors (NLRs), 51 such as NLRP1, NLRP3, and NLRC4); absent-in-melanoma 2 (AIM2); and other similar 52 complexes, such as NLRP2, NLRP6, NLRP7, NLRP12 and IFI16, are also considered 53 inflammasomes (Guo et al., 2015; Kelley et al., 2019).- Might be useful to tabulate these?

Inflammasomes mediate activation of Caspase-1, which cleaves pro-interleukin-1β (pro-IL-1β) and pro-IL-18 into their mature IL-1β and IL-18 forms. This in turn, leads to a complex network of cellular reactions initiating local and systemic inflammatory reactions (Boaru et al., 2015; Afonina et al., 2017). Four inflammasomes (NLRP1, NLRP3, AIM2, and pyrin) contain an adaptor protein termed apoptosis-associated speck-like protein containing a caspase-recruitment domain (ASC), which leads to the recruitment of pro-caspase-1 (Kelley et al., 2019).

The best characterized inflammasome is the Nod-like receptor pyrin domain containing 3 (NLRP3), which responds to pathogen ingress, or damage signals arising from endogenous from tissue injury (Guo et al., 2015). NLRP3 activation induces cell death via caspase 1 dependent pyroptosis, 1. In this process, caspase 1 induces generation of plasma membrane pores that facilitate osmolytic cell death and the release of IL-1 $\beta$  (Swanson et al., 2019; Li et al., 2019; Broz & Dixit, 2016; Carty et al., 2019).

NLRP3 is primarily associated with host immune defenses against infections provoked by viruses, bacteria or fungi. However NLRP3 is also linked to the development of several chronic life style related diseases characterized by persistent inflammation, such as Alzheimer disease, gout, atherosclerosis, diabetes, autoinflammatory diseases, arthritis, obesity and CKD (Zamani et al., 2019; Masood et al., 2015; Guo et al., 2017; Kim et al., 2018; El-Horany et al., 2017).

73 Persistent low-grade inflammation is a prominent feature of the uremic phenotype and 74 both a driver of cardiovascular diseases (CVD) (Mafra & Fouque, 2015; Farage et al., 2016; 75 Jankowska et al., 2017; Koppe et al., 2019) and a common feature of the diseasome of 76 ageing (Kooman et al., 2017). Thus, understanding the mechanisms and interplay between these complications in CKD patients, may help the development of therapeutic strategies to 77 78 reduce mortality and improve the quality of life for these patients (Granata et al., 2015; Ding 79 et al., 2015; Hautem et al., 2017). One non-pharmacological strategy proposed for clinical 80 use to reduce inflammation in in CKD patients has been interventional nutrition, using 81 bioactive nutraceuticals (Saldanha et al., 2016; Martins et al., 2018; Alvarenga et al., 2018; 82 Mafra et al., 2019a; Alvarenga et al., 2019). The review will discuss the rationale and 83 evidence underpinning such a nutraceutical based intervention in CKD, specifically with 84 reference to the NLRP3 inflammasome.

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## 2. Mechanisms of NLRP3 inflammasome activation

87 NLRP3 is a member of the Nod-Like Receptor (NLR) family, that is functionally active 88 within the innate immune system (Chen et al., 2011; Kim et al., 2017). It possesses a C-89 terminal leucine-rich repeat protein-3; intermediate NOD domain (NACHT domain), which 90 has ATPase activity; a variable N-terminal pyridine domain (PYD) and ASC adapter protein 91 (protein associated with apoptosis of the adapter molecule containing a CARD - caspase 92 activation and recruitment domain), which harbors the PYD and CARD domains (Yang et al., 93 2019; Kim et al., 2017; Gao et al., 2016). NLRP3 is expressed primarily in a range of tissues ( 94 principally the lungs, liver, kidney, colon, skin, eyes and ovaries), and in immune cells (such 95 as neutrophils, macrophages, Th2 cells, monocytes, primary keratinocytes (PK), HaCaT cells 96 derived from keratinocytes, primary mast cells (MS), granulocytes and B cells) (Chen et al., 97 2011).

98 NLRP3 can be activated by molecular patterns associated with pathogens (PAMPs) and 99 molecular patterns associated with damage (DAMPs) (Swanson et al., 2019). Among factors 100 involved in NLRP3 activation are toxins, or environmental irritants, which cause lysosomal 101 disruption (Kelley et al., 2019). Microbes, extracellular ATP, RNA viruses, potassium efflux 102 and calcium influx may also act as activators of NLRP3 (Gaidt & Hornung, 2018). In addition, 103 a priming signal occurs through two pathways (i) on toll-like receptors (TLRs) activated by microbes or (ii) on TNF and IL-1 receptors, which lead to the activation of the transcription
 factor nuclear factor kappa B (NF-κB), which upregulates the expression of NLRP3 (Kelley et
 al., 2019).

Activation of NLRP3 is through ubiquitination via DHX33 (cytosolic double-stranded RNA sensor, a member of DExD/H-box helicase family), where NLRP3 is modified by specific ubiquitin chains, mainly lysine 63(K63) (Kattah et al, 2017; Bednash et al, 2016). TRIM33 (a member of the tripartite motif and E3 ubiquitin (Ub) ligases) binds DHX33 and induces the K63-polyubiquitination, which is essential to form the DHX33-NLRP3 inflammasome complex, resulting in to NLRP3 activation (Kattah et al, 2017).

When NLRP3 is activated, the PYD, present in the inflammasome structure, undergoes oligomerization, which triggers the recruitment and nucleation of the apoptosis-associated speck-like protein containing a CARD (ASC) adapter protein (Li et al. 2019). Thus, an association between the NLRP3 inflammasome and the ASC protein adapter, generates the formation of a complex structure (Tsuchiya, 2020). This structure initiates autocatalytic activation of caspase-1, which cleaves cytokine precursors pro-IL1β and pro-IL18, generating their active form, IL1β and IL18 (Li et al. 2019; Tsuchiya, 2020; Chan & Schroder, 2020).

Additionally, recent studies have demonstrated that the NLRP3 inflammasome can be regulated by the transcriptional control of gene expression, via microRNAs (miRNAs) (Zamani et al., 2019; Kim et al., 2017). It is also responsive to mitochondrial dysfunction, which leads to ROS production that activates the phosphorylation of p65 NF-κB, thus inducing NLRP3 (Bauernfeind et al., 2016; Liang et al., 2017; Swanson et al., 2019). Some molecular signaling mechanisms that have been shown to activate the NLRP3 inflammasome are illustrated in **Figure 1**.

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#### 3. Other substances: Negative regulation of NLRP3

After the primary goal of the inflammatory response is achieved, the organism must restore normal tissue homeostasis, hence resolution of the inflammatory response should occur (Bauernfeind et al., 2012; Zamani et al., 2019). Additionally, it is important to understand the negative regulatory pathways of the inflammasome, as they may provide tools for more potent therapeutic strategies for the treatment of inflammatory diseases related to the activation of the NLRP3 inflammasome (Yang et al., 2019).

135 The negative regulation of NLRP3 occurs through type I interferons (IFN- $\alpha$  and IFN $\beta$ ), 136 which are able to inhibit the activity of the NLRP3 inflammasome. To limit the initiation of 137 NLRP3, type I IFNs influence the availability of the precursor forms of IL-1 $\alpha$  and IL-1 $\beta$  by 138 reducing their expression levels (Guarda et al., 2009; Guarda et al., 2011). Type I IFNs are 139 involved in the activation of inducible nitric oxide synthase (iNOS), which in turn generates 140 nitric oxide (NO). iNOS depletion leads to inhibition of NO production, which resulst in 141 accumulation of dysfunctional mitochondria (a hallmark of age related loss of physiological 142 function, in keeping with CKD being a disease of accelerated ageing) and increase in IL-1β 143 production and caspase-1 activation (Mao et al., 2013). In this context, when iNOS is 144 activated and there is production of NO, mitochondrial function is stabilised NLRP3 activity 145 is inhibited (Mao et al., 2013; Sutterwala et al., 2014).

146 Furthermore, the activation of antioxidant enzymes, especially heme oxygenase, can 147 inactivate NLRP3 by inhibiting the expression of the ROS-sensitive thioredoxin-interacting 148 protein (TXNIP). The most widely accepted pathway for this inhibition is via the activation of 149 nuclear factor erythroid 2-related factor 2 (Nfr2) cytoprotective responses. This is an 150 important transcription factor for the regulation of cellular antioxidant defences (Xiaoyu et 151 al., 2017) that play a significant role in chronic lifestyle related diseases, where systemic 152 expression of Nrf 2 is typically diminished (Stenvinkel et al., 2019; Arefin et al 2020 Nrf2 in 153 early vascular ageing: calcification, senescence and therapy Clin Chim Acta. 2020 Feb 22. pii: 154 S0009-8981(20)30089-9. doi: 10.1016/j.cca.2020.02.026). Consequently, when NLRP3 155 inflammasome function is dysregulated, it is observed in the context of a range of 156 morbidities, including CKD, chronic inflammatory disorders, depression, colorectal cancer 157 and metabolic disorders (Inserra et al., 2018; Chen et al., 2017).

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#### **4. Chronic kidney disease and NLRP3 inflammasome**

The progression of CKD is closely related to levels of persistent inflammation and oxidative stress (Zoccali et al., 2017). CKD is characterized by increase in ROS production and impairment of the antioxidant responses, including a reduction in the expression of Nrf2 and increase in the expression of NF-κB expression, and consequential overexpression of proinflammatory cytokines (Mihai et al., 2018; Pedruzzi et al., 2012; Leal et al., 2015; Jankowska et al. 2017).

166 NLRP3 has been described as a promising candidate in mediating the inflammatory 167 response in CKD, where cellular injury and inflammation coexist (Vilaysane et al., 2010; Ben 168 et al., 2018; Mulay, 2019). Consequently, renal inflammation and fibrosis activate several 169 NLRP3 agonists (Gong et al., 2016; Hutton et al., 2016). The renal NLRP3 exerts its' effects via 170 activation of both the canonical regulation of caspase 1 pathway, with IL-1 $\beta$  and IL-18 171 secretion leading to GSDMD cleavage and consequent cellular pyroptosis and, by the non-172 canonical route of activation of alternative caspases, such as caspase -4, -5 and -11. 173 Epithelial-mesenchymal transition, fibrosis and cell death are also intimately involved in this 174 process (Masood et al., 2015; Darisipudi & Felix Knauf, 2016; Komada et al., 2019).

175 Animal and in vitro studies have shown a consistent link between NLRP3 function, 176 mitochondrial damage and apoptosis in renal cells, leading to increased expression of IL-18 177 and IL-1β (Guo et al., 2017; Kim et al., 2018; Ding et al., 2016; Szeto et al., 2017). A study of 178 renal tubule interstitial lesions, for example, has shown that the more severe the lesion, the 179 greater the cellular expression of IL-1 $\beta$ , TLR-4 and NLRP3 mRNA expression, in keeping with 180 the above hypothesis. Moreover, NRLP3 protein was expressed in greater amounts in cells 181 with the highest degree of injury (Tashiro et al., 2016). Accordingly, Vilaysane et al. (2010) have reported that NLRP3<sup>-/-</sup> mice displayed less tubular injury, attenuation of the 182 183 inflammatory process, decreased caspase-1 activation and inhibition of IL-1β and IL-18 maturation. Furthermore, in tissue from human renal biopsies from patients with diabetic 184 185 nephropathy, increased expression of NLRP3 mRNA has been reported to correlat with renal 186 function (Vilaysane et al., 2010).

187 Increased expression of NLRP3 mRNA and serum IL-1β 188 level have been observed in CKD (Granata et al. 2015; El-Horany et al., 2017), which can be 189 interpreted as being a consequence of hyperglycemia, oxidative stress and/or pathogenic 190 mechanisms (Qiu & Tang, 2016). It is worth noting that uremic toxins, such as IS, indole 3-191 acetic acid (IAA), TMAO and p-cresyl sulphate (p-CS), are generated by the gut microbiota 192 and contribute to the activation of inflammatory responses and mitochondrial dysfunction 193 (Wong et al., 2014; Sogawa et al., 2018; Wakamatsu et al., 2018; Li et al., 2019; Mafra et al., 194 2019b). In support of this thesis, Chin et al. (2017) have observed a positive regulation of 195 NLRP3, IL-1 and IL-18 in by indoxyl sulfate (IS), which contributed to myocardial apoptosis in 196 nephrectomized rats. Recently El-Deeb et al., (2019) have observed that trimethylamine N-197 oxide (TMAO) can activate NLRP3 indirectly, because it induces oxidative stress and triggers

ROS/NLRP3 signaling, resulting in the release of inflammatory cytokines in CKD patients.
However, the pathway of inflammasome activation through specific PAMPs and DAMPs in
the gut remains largely unknown. It is possible that inflammatory porcesses are also
trigerred by microbial ingress into the circulation via a leaky gut (O'Toole, P.W. and Shiels,
P.G. The role of the microbiota in sedentary life style disorders and ageing: Lessons from the
animal kingdom.J Intern Med. 2020 Jan 19. doi: 10.1111/joim.13021
Poore, G. D. *et al. Nature* https://doi.org/10.1038/s41586-020-2095-1 (2020)).

In toto, as NLRP3 appears to be involved in the pathogenesis of CKD, it may constitute a
 therapeutic target for prevention of CKD development and progression (Anders et al., 2016;
 Foresto-Neto et al., 2018).

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## 209 **5** Nutritional strategies to modulate the NLRP3 inflammasome

210 Bioactive compounds are substances present in food, such as vegetables and fruits that have 211 beneficial effects on health (Liu, 2013). Diverse bioactive nutrients have been applied as part 212 of preventive and/or therapeutic nutritional strategies to tackle non-communicable diseases 213 (NCDs), such as diabetes mellitus, hypertension, CVD, CKD and cancer (Liu, 2013). In this 214 context, several studies have found positive effects for bioactive compounds in modulating 215 inflammation and oxidative stress (Saldanha et al., 2016; Martins et al., 2018; Alvarenga et 216 al., 2018; Mafra et al., 2019; Alvarenga et al., 2019). It is thought that these effects – at least 217 in part - could be attributed to these bioactive compounds mitigating harmful effects 218 associated with inflammasome-related components in disease (Chuang et al., 2014). These 219 observations are now discussed in detail with specific reference to individual bioactive 220 compounds..

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## 222 **5.1** Catechins

Catechins are polyphenols present in food, such as apples, blueberries, gooseberries, grape seeds, kiwi, strawberries, green and black tea, grape and cocoa. The antioxidant properties of polyphenols are mainly due to their redox properties, as they act as reducing agents, hydrogen donors and free oxygen suppressors (Grzesik et al., 2018). Correspondingly, a number of groups have demonstrated salutogenic effects for catechins in pre=clinical models of disease. For example, Tsai et al. (2011) have shown in an animal model of lupus that epigallocatechin-3-gallate (EGCG) - a refined catechin from green tea - prevented impaired renal function and proteinuria, increased Nrf2 activity, reduced NF-kB activation
 and NLRP3 mRNA protein expression. Furthermore, Jhang et al (2015) have demonstrated
 in rats with gout (induced by monosodium urate), that subcutaneous catechin injection
 reduced ROS production, secretion of IL-1β and the NLRP3 inflammasome activation.

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#### 5.2 Cinnamaldehyde

235 Cinnamaldehyde is one of the major bioactive compounds found in Cinnamomum 236 Osmophloeum kaneh leaves. Cinnamaldehyde has been reported to reduce the expression 237 of pro-inflammatory mediators in LPS-activated macrophages, inhibiting the generation of 238 ROS and reducing NF-κB activation (Ka et al., 2016; Su-Chen et al., 2018). Cinnamaldehyde 239 can prevent the activation of pro-caspase 1 and pro-IL-1β, thus avoiding the final activation 240 of NLRP3 (Su-Chen et al., 2018). A number of groups have demonstrated that 241 cinnamaldehyde can counter the effects of inflammasome activation in a range of disease 242 and injury models (Qu et al.2019; Lee et al, 2018). In a renal setting in particular, it 243 decreased albuminuria, glomerular sclerosis and peri-glomerular inflammation (Ka et al., 244 2016; Kang et al., 2016), via inhibition of NLRP3, diminution of ROS production and 245 repressed activation of NF-kB and pro-inflammatory mediators (Ka et al., 2016). Additionally, 246 in a murine model of fructose-induced metabolic syndrome, cinnamaldehyde reduced 247 cardiac oxidative stress and attenuated the activation of NLPR3 and TGF-β, by inhibiting TLR-248 mediated signaling (Kang et al., 2016).

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#### **5.3** *Curcumin*

251 Curcumin is a curcuminoid found in Curcuma longa (Zingiberaceae), known for its 252 anti-oxidant and anti-inflammatory potential. Guglielmo et al. (2017) have observed that 253 LPS stimulated macrophages treated with curcumin decreased IL-1 $\beta$  secretion. In addition to 254 this, curcumin may change S-glutathionylation critical to NLRP3 formation, thus limiting its 255 capability to contribute to pathogenesis per se (Guglielmo et al, 2017). Other studies have 256 shown that curcumin administration decreases the activation of the inflammasome via 257 TXNIP associated NLRP3 inflammasome activation (TXNIP / NLRP3). Not only does curcumin 258 participate in the cleavage of caspase-1 but it reduces expression of both inflammatory 259 mediators IL-1 $\beta$  and TNF- $\alpha$ , (Ding et al., 2018; Li et al., 2015; He et al., 2018; Sun et al., 2017; 260 Gong et al., 2018; Liu et al., 2018; Li et al., 2019; Zhang et al., 2019).).

261Additionally, curcumin suppresses NLRP3 mediated inflammation through a number262of distinct processes, including blocking potassium efflux from the cell and stimulation of263ASC retention thus preventing the formation of NLRP3 in mitochondria (Yin et al., 2018;264Gong et al., 2015). Additionally, curcumin reverses the activation of the purinergic 2X7265(P2X7R) siRNA receptor, thus reducing NLRP3 expression and caspase-1 /IL-1β secretion266(Kong et al., 2016).

267 Pre-clinical models of systemic lupus erythematosus (SLE) and CKD have indicated 268 reno-protective effects for curcumin. Female mice with SLE, supplemented with curcumin at 269 200 mg/kg/day for 8 weeks, presented with decreased glomerular cell inflammation and 270 decreased renal expression of inflammasome NLRP3 and caspase-1, in addition to decreased 271 serum levels of IL-1 $\beta$  (Zhao et al., 2019). Supplementation with theracurmin (a curcumin 272 analog with high bioavailability) at 100 mg/kg/day for 5 weeks showed attenuated 273 proteinuria and heart damage in a mouse model of CKD. These observatiosn were 274 accompanied by an observed reduction in NLRP3 and mature IL-1ß activation (Bugyei-Twum 275 et al., 2016). Only one study has shown the effects of curcumin supplementation in 276 hemodialysis patients, where 2.5 grams of turmeric (95%curcumin) given 3 times per week 277 over three months, was not able to decrease the mRNA expression of the NLRP3 278 inflammasome, nor IL-1β. However, curcumin was able to reduce NF-kB trasncriptional 279 expression and high sensitivity C-reactive protein (hsCRP) plasma levels (Alvarenga et al., 280 2020). These results suggest that curcumin may be a new therapeutic option to reduce 281 inflammasome activation and protect against cardiovascular events in CKD (Bugyei-Twum et 282 al., 2016; Zhao et al., 2019).

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## **5.4** *Emodin*

285 Emodin is a bioactive compound present in rhubarb and oriental herbs, being the 286 main active ingredient of the Chinese Qingyi decoction, and widely used to treat allergies 287 and inflammation (Han et al., 2015). Some pre-clinical studies have shown that emodin can 288 modulate the NLRP3 activation pathway, thus decreasing the inflammatory response by 289 attenuation of IL-1 $\beta$  secretion, so inhibiting the activation of the NLRP3 inflammasome (Han 290 et al., 2015). Ye et al., (2019) have observed that emodin is effective in protecting the 291 myocardium against ischemic injury. via prevention of NLRP3-mediated pyroptotic cell 292 death and and attenuation of NLRP3 activation. Additionally, in a rat model of pancreatitis,

emodin has been shown to inhibit NLRP3 signaling by blocking P2X7, thus inducing a
reduction in IL-1β, IL-18 and myeloperoxidase plasma levels (Zhang et al., 2019).

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#### **5.5** *Ginsenoside*

297 Ginseng, a plant grown in East Asia and Northeast America, has long been used in 298 traditional medicine. Ginseng is rich in ginsenoside, a steroidal saponin. belonging to a group 299 of compounds, the ginsenosides, comprising Rb1, Rb2 and Rd, 20 (S) -Rg3 and 20 (R) -Rg3 300 (Yoon et al., 2015). Ginsenoside Rg3 exhibits cyto-protective and physiologically salutogenic 301 properties, such anti-inflammatory, antioxidant, anti-obesity activities (Kim et al., 2014). 302 Several experimental studies have shown that ginsenosides hold promise for suppressing 303 activation of various types of inflammasomes, including NLRP3. Consistent with this, 304 ginsenosides can also inhibit caspase-1 activation and reduce IL-1β and IL-18 expression (Yi, 305 2019). Furthermore, the salutogenic propertie attributed to ginsenoside Rg1 include 306 increaseing expression of superoxide dismutase (SOD) and peroxisome proliferator-activated 307 receptor-alpha (PPAR $\alpha$ ), thereby stimulating the oxidation of beta fatty acids, and regulation 308 of inflammatory processes by inhibiting NLRP3, IL-1β and IL-18 (Xu et al., 2018), possibly via 309 inhibition of P2X7 receptor activation and NF-κB (Han et al., 2018; Li et al., 2018). Another 310 mechanism has also been proposed for Rb1 ginsenoside, via stimulation of Nfr2, thereby 311 reducing the interaction of the NLRP3 inflammasome with TXNIP, thus imhibiting pro-IL-1B 312 maturation (Chen et al., 2016; Zhai et al., 2018).

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The non-saponin fraction of ginsenoside can also modulate the action of the NLRP3 inflammasome, by inhibiting NLRP3 signaling and preventing pro-IL1β maturation. by inhibition of TLR4-MyD88-NF-κB interaction (Byung-Cheol et al. 2017;Ahn et al., 2019).

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# 5.6 Purple sweet potato

The anthocyanin giving purple sweet potato its colour, is a natural antioxidant and anti-inflammatory compound with geroprotective (anti-ageing) properties that may be beneficial in slowing and preventing the progression of CKD (Shan et al., 2014; Sun et al., 2015; Sun et al., 2019). Correspondingly, it It has been reported to retard glucose-induced endothelial senescence via inhibition of the NLRP3 inflammasome, activation of autophagy, and attenuation of ROS production (Sun et al., 2015; Sun et al., 2019).

325 Notably, Shan et al., (2014) have observed that rats with liver inflammation receiving 326 purple sweet potato supplementation displayed reduced expression of the renal NLRP3 327 inflammasome, with concomitant inhibition of ASC, caspase-1 and IL-1β. Additionally, 328 inhibition of IKKβ activation and NF-κB expression was reported. In the same animal model, 329 purple sweet potato blocked hepatic oxidative stress by increasing the level of NAD+ in the 330 endoplasmic reticulum. This lead to suppression of the nuclear translocation of NF-κB p65 (a 331 structural protein of NF- $\kappa$ B), with consequent decreased activation of NLRP3 (Wang et al., 332 2017). This is also consistent with geroprotective activity ascribed to purple sweet potato, as 333 NAD+ is a major substrate for the sirtuin family of proteins, which regulate the epigenetic 334 landscape of ageing in response to metabolic stress (reviewed in Shiels et al Nat. Rev Neph 335 2017).

336

# 5.7 Quercetin

337 Quercetin is a polyphonic flavonoid found in onion, garlic, apple and red fruits. It 338 possesses anti-oxidant and anti-inflammatory capabilities. Moreover, quercetin protects 339 mitochondrial integrity and inhibits mitochondrial ROS release through its action on the 340 NLRP3 inflammasome (Xue et al., 2017), NF- $\kappa$ B expression and the levels of IL-1 $\beta$  and IL-18 341 (Liu et al., 2018). Quercetin (and Ascorbic acid) may also diminsih inflammation by inhibiting 342 TXNIP, which is one of the components that activates NLRP3 (Wu et al., 2014; Choe et al., 343 2017). In pre-clinical models of arthritis, quercetin has been reported to reduce post 344 transcriptional expression of NLRP3, caspase-1 and IL-1 $\beta$  (Yang et al, 2018). Ding et al. (2018) 345 have shown that dihydroquercetin supplementation modulates the overproduction of ROS 346 and decreases NLRP3 activation in rats with diabetic nephropathy, resulting in 347 nephroprotection and improvement in glucose and lipid control.

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- **5.8** *Resveratrol*

Resveratrol, a powerful geroprotective compound, belonging to the polyphenol family, is found in wine, grapes and peanuts (Zhang et al., 2017). It is a Sirtuin 1 agonist with a proven capacity for mitigating the effects of cellular stress. Studies have shown that resveratrol plays an important role in controlling inflammatory and antioxidant responses. One of its modes of action is through modulation of the NLRP3 system (He et al., 2017; Ding et al., 2019). Resveratrol can block the transcriptional expression of NLRP3, caspase 1, IL-1β and IL-18, as well as that of their cognate proteins (Dong et al., 2015; Sui et al., 2016; Wu & Huang, 2017). Resveratrol inhibits the expression of NLRP3 and cytokines by several
mechanisms. Firstly, via blockade of the NLRP3 signaling pathway which leads to inhibition
of inflammatory processes and production of mature cytokines (Misawa et al., 2015).
Secondly, through the reduction of mitochondrial ROS production, by blocking TXNIP protein
expression (Jiang et al., 2016; Li et al., 2016; Zou et al., 2018).

Misawa et al. (2015) have shown that resveratrol inhibits the accumulation of tubulin acetylates responsible for mitochondrial damage and consequent induction of NLRP3 Consequently, tubulin acetylate inhibition by resveratrol leads to inhibition of ASC on the mitochondria and NLRP3 inactivation. Notably, inhibition of mitochondrial damage impairs the assembly of inflammasome (Chalons et al., 2018).

Thirdly, via Syk dependent suppression of IL-1β secretion (Chung et al., 2019).

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This mechanistic understanding is supported by a number of observations in preclinical models (including of CKD), demonstrating a capacity for resveratrol to mitigate NLRP3 mediated ischaemia- reperfusion damage in the rat intestine (Zhao et al., 2018), promotion of autophagy and in renal cells (Chang et al., 2015; Lin et al. 2017; Chen et al., 2019).

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#### 375 **5.9 Silybin**

376 Silybin is the major bioactive compounds found in silymarin, extracted from the 377 medicinal plant Silybummarianum. IN a range of in vitro and in vivo experimental systems, 378 silvbin has been shown to attenuat the production of IL-1 $\beta$  and TNF, and decreases the 379 recruitment of inflammatory cells, including macrophages, T cells, THP-1 cells and 380 neutrophils (Tian et al., 2017; Zhang et al., 2018). The mechanistic basis for these 381 observations has been attributed to an inhibitory effect for silybin on NF-kB and NLRP3 382 signaling (Tian et al., 2017; Zhang et al., 2017). Silybin modulates the NLRP3 inflammasome 383 by blocking the TLR4 / NF-KB pathway and inhibits NLRP3 by reducing TXNIP expression, pro-384 caspase 1 activation, and IL-1β release (Zhang et al., 2018; Matias et al., 2019). In addition to 385 these, silybin elevates the expression of Nrf2 and HO-1 thus enhancing physiological 386 resilience.(Greaney et al., 2016; Yuan et al., 2017).

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# 388 **5.10** Sulforaphane

389 Sulforaphane, a bioactive compound found in cruciferous vegetables like broccoli, 390 Brussel sprouts, cauliflower, cabbage, which has a range of geroprotective and salutogenic 391 properties, including influencing the assembly and activation of NLRP3 (Yang et al., 2018). 392 Sulforaphane can act as an antioxidant through the suppression of the production of 393 mitochondrial ROS, thus depressing NLRP3 expression (Lee et al., 2016). Importantly, 394 sulforaphane is an Nrf2 agonist, in addition to upregualting the expression fo a battery of 395 other cytoprotective genes, including quinone oxidoreductase-1, HO-1, SOD1 and GPX1 396 (Dong et al., 2016; Li et al., 2019). The result of such activities is a consequential inhibition of 397 p20 caspase-1, p17 IL-1β and ASC, which are primary components for the formation of the 398 NLRP3 inflammasome (Li et al., 2019; Li et al, 2018).

Sulforaphane has also been reported to have anti-oncogenic properties (ref), though
as Nrf 2 is specifically up-regulated in a range of tumours (and not systemically in cancer).
and sulforaphane is an Nrf 2 agonist, its clinicnal use must be treated with caution, until
hermetic dosing levels are determined (ref)

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# 5.11 Procyanidin

405 Procyanidins are flavonoids found in red fruits and red wine that inhibit the activation of
 406 NLRP3 by inactivating caspase-1 and secretion of IL-1β, decreasing ROS and the transcription
 407 of activator protein-1 (AP-1) (Yang et al., 2014).

408 Procyanidins can also inhibit the activation of NLRP3 by inactivating the NF- $\kappa$ B signaling 409 pathway (Yang et al., 2014; Liu et al., 2017). The proposed mechanism is thought to be via 410 inhibition of p65 nuclear expression and DNA binding, resulting in the transcriptional 411 repression of target genes, such as COX2, iNOS and production of IL-6, IL-18, IL-1β and NO 412 (Martinez-Micaelo et al., 2015).

Furthermore, procyanidins decrease the ASC and caspase-1 signalling, which consequently leads to less activation of NLRP3 (Jiang et al., 2018). Procyanidins have been shown to attenuate experimental colitis in vivo, by suppressing NF- $\kappa$ B and NLRP3 signaling expression in colon tissue in mice (Chen et al, 2018). A reduction in NLRP3 activation and serum IL-1 $\beta$  and IL-18 production was also found in rats with lupus nephritis receiving procyanidin supplementation for 8 weeks (100 mg/kg) (He et al., 2018).

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420 **5.12** *Probiotics* 

421 Probiotics are natural or genetically modified microorganisms, capable of conferring a 422 health benefit when established in the human gut (FAO/WHO, 2017). Among many 423 suggested benefits, probiotics influence the expression of inflammasomes and modulate gut 424 inflammation (Wang et al., 2016). Dolpady et al. (2016) have shown that rats receiving 425 probiotic supplements (Bifidobacteriaceae, Lactobacillaceae and Streptococcus 426 *thermophilus*), presented with reduced IL-1 $\beta$  expression and reduced differentiation of Th1 427 and Th17 cells in the intestinal mucosa. Supplementation of *Lactobacillus plantarum* for 8 428 weeks reduced LPS-induced intestinal inflammation in rats (Vilahur et al., 2015). Thus, 429 stabilization and regulation of gut microbiota may lead to a reduction in inflammatory 430 reactions related to the gut pathologies. In rats, probiotics (Streptococcus thermophilus and 431 thermophiles; Lactobacillus bulgaricus, lactis, acidophilus, reuteri and plantarum; 432 *Bifidobacterium lactis*) have also been reported to decrease hypothalamic expression levels of IL-1β, NLRP3, caspase-1 and NF-kB (Avolio et al, 2019) with salutogenic benefits. 433

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## 5 **5.13** Polyunsaturated fatty acids

Polyunsaturated fatty acids (PUFAs) include agents such as omega-3; α-linolenic acid;
Eicosapentaenoic acid (EPA) and Docosahexaenoic acid (DHA). The dietary sources of these
nutrients are rapeseed oil, flaxseed oil, salmon, mackerel, sardines, sunflower oil, grape seed
oil and corn oil. PUFAs have an important anti-inflammatory and antioxidant capabilities
(Jarmakiewicz-Czaja et al, 2020).

441 Omega-3, as well as EPA and DHA, seems to inhibit expression of NLRP3, by activation of 442 G protein-coupled receptor 120 (GPR120) and GPR40, via binding to NLRP3 (Yan et al, 2013; 443 Williams-Bey et al, 2014). Apparently, a downstream scaffold protein of GPR40, β-Arrestin-2 444 (ARRB2), activates the interaction of GPR120 and GPR40 with NLRP3 and inhibits 445 inflammation (Yan et al, 2013; Lin et al, 2017).

In addition to this, DHA inhibits the inflammasome-priming step, by suppressing the
nuclear translocation of NF-κB and interfering with TLR4 signal transduction (MartínezMicaelo et al, 2016). In fact, in hepatocytes, dietary PUFAs (DHA) regulate the expression and
activity of NLRP3 inflammasome through direct inhibition of NF-κB (Sui et al, 2016). De Boer
et al. (2016), in a study with macrophages in obese adipose tissue, have shown that omega3 was able to decrease transcriptional expression of Caspase 1, IL-1β and IL-18. Additionally,
omega-3 decreased the phosphorylation of p65 NF-kB and expression of NLRP3

453 inflammasome gene thus doing what?...... Furthermore, resolvins, which are DHA 454 metabolites- (e.g. resolvin D1 (RvD1), and 17S-hydroxy DHA (17SHDHA)), also have potent 455 anti-inflammatory effects. They have been demonstrated to prevent 456 hyperhomocysteinemia-induced formation of NLRP3 inflammasomes, ASC, caspase-1 and 457 interleukin-1 production. Moreover, in vivo, DHA metabolites have been observed to inhibit 458 podocyte NLRP3 inflammasome formation and activation (Li et al, 2017).

In a study with mice receiving diets containing palm oil, fish oil, echium oil (containing 18:4 n-3), or borage oil (containing 18:3 n-6), dietary PUFAs have been demonstrated to inhibit inflammasome activation, decrease IL-1  $\beta$  secretion and caspase-1 cleavage in response to NLRP3 inflammasome activators (Shen et al, 2017).

Finally, a study with obese individuals has shown that 4g/day of fish oil supplements (EPA
and DHA) reduced expression of adipose inflammatory genes including inflammasomeassociated IL-18 and IL-1β and circulating IL-18 levels (Lee et al., 2018).

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# 467 **5.14 Other bioactive compounds**

468 Allicin, a bioactive compound of garlic, is able to inhibit the inflammatory activity of 469 NLRP3 by suppression of oxidative stress. Allicin decreases ROS production, lipid 470 peroxidation, and, consequently, activation of the NLRP3 inflammasome (Gao et al., 2019). 471 Endothelial cells treated with dihydromyricetin, a natural flavonoid found in 472 the Ampelopsis species japonica (known as peppervine), megalophylla, and grossedentata, 473 showed inhibition of caspase-1 activation, maturation and release of IL-1 $\beta$  and activation of 474 NLRP3. The proposed mechanism for these observations was suppression of ROS production 475 via increased Nrf2 signaling (Hu et al., 2018).

476 Olive leaf extracts suppress inflammation of placental tissues by inhibiting NF-κB p65 477 protein expression and, consequently decreasing NLRP3 protein expression and pro-IL-1β 478 (Kaneko et al., 2019). Piperine, the main bioactive component of pepper, was effective in 479 inhibiting NLRP3 inflammasome and reducing serum IL-1β in mice with lupus nephritis (Peng 480 et al., 2018).

481 Some vitamins can also modulate the activation and expression of the NLRP3 482 inflammasome. Rao et al. (2019) have observed that the vitamin D receptor may be a 483 negative regulator of NLRP3. Apparently, the vitamin D receptor can bind NLRP3 and block 484 the deubiquitination (cleave ubiquitin molecules from protein substrates) of NLRP3, leading the NLRP3 inhibition. Paricalcitol, a vitamin D agonist, shown to be able to reduce the expression of TGF- $\beta$  and the NLRP3 inflammasome in epithelial cells, is associated with a down-regulation of NOX activity (Ko et al, 2019). Vitamin D3 can downregulate intracellular ROS and inhibit TXNIP and the NOD-like receptor family, involved in NLRP3 inflammasome pathway activation (Lu et al, 2018). Furthermore, calcitriol protects cells against oxidative stress and inflammation through inhibiting ROS-NLRP3-IL-1 $\beta$  signaling axis (Dai et al, 2019).

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492 All these observations relative to vitamin D biology are pertinent to renal disease, as the 493 Vitamin D receptor controls expression of the anti-ageing gene klotho. Klotho is a co-494 receptor with fibroblast growth factor receptor-1 and its FGF23 ligand that regulates renal 495 phosphate and calcium reabsorption (Kuro-o Nat Rev Neph 2019).

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498 Vitamin E seems is also involved with NLRP3 inflammasome pathway. In murine 499 macrophages inflammasome activation, caspase-1 cleavage and IL 1ß secretion have been 500 reported to be attenuated by gamma-tocotrienol treatment (Kim et al, 2016). Gamma-501 tocotrienol may decrease the activation of NLRP3-inflammasome by change of the 502 macrophage lipidome, as reduction of lysophospholipids, diacylglycerol and free arachidonic 503 acid lead to the attenuation of TLR4-NFkB signaling axis activation, resulting in reduced 504 NLRP3 inflammasome activation (Kim et al, 2018). Vitamin B6 also inhibits NLRP3-dependent 505 caspase-1 missing word and secretion of mature IL-1 $\beta$  and IL-18 in LPS-primed macrophages 506 (Zhang et al., 2016).

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## 508 **5.15** Caloric restriction

509 Caloric overload activates NLRP3 through mitochondrial damage, increased blood 510 pressure and insulin resistance (Traba & Michael, 2017). Although caloric restriction may 511 improve mitochondrial functioning and weaken the inflammasome (Traba & Michael, 2017; 512 Bang et al., 2019), few studies involving caloric restriction have evaluated its effects on the 513 formation and signaling of the inflammasome (Bang et al., 2019). Fann et al. (2014) have 514 observed that intermittent fasting in mice leads to inhibition of NF-κB and NLRP3 activation 515 and decreased plasma IL-1 $\beta$  and IL-18 concentrations. In healthy volunteers, Traba et al., 516 (2015) have observed that after a 24-hour fast there was a reduced activation of NLRP3 as 517 compared to the fed state. Finally, a study in rats has shown that ketone bodies ( $\beta$ -518 hydroxybutyrate and acetoacetate) formed during a low caloric diet, decreased the 519 activation of NLRP3. In addition, ketone bodies reduced NLRP3-mediated production of IL-1 $\beta$ 520 and IL-18 interleukin (Youm et al., 2015).

**521 6. Conclusion** 

522 The NLRP3 inflammasome has a key role in processes leading to chronic inflammation, 523 which is an inherent feature of many clifestyle related and other NCDs including CKD. The 524 internal uremic milieu activates NLRP3 and pro-inflammatory cytokines by several 525 mechanisms. There are many naturally occcuring bioactive compounds, such as botanical 526 polyphenols, that may influence the activation of the NLRP3 inflammasome. Accumulating 527 data suggest that a diet rich in bioactive compounds, or the use of probiotics/ microbial 528 biotherapeutics and caloric restriction could be promising strategies to decrease the 529 activation of the NLRP3 inflammasome (Figure 2). However, to the best of our current 530 knowledge, few experimental CKD studies have shown effectiveness for the clinical use of 531 bioactive compounds as a means to control inflammation (Table 1). Further studies are 532 warranted to explore the potentials of nutritional strategies using bioactive nutraceuticals 533 and caloric restriction to control the activation of the NLRP3 inflammasome in CKD patients. 534 This would fit a broader approach that is gaining increased attention of applying the concept of "Food as Medicine" using healthy diets - rather than relying only on pharmaceutical 535 536 interventions - as an overall strategy to prevent and treat non-communicable chronic burden 537 of lifestyle diseases including CKD.

538

## 539 **Conflicts of interest**

540 There are no conflicts of interest to declare.

541

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<sup>1129</sup> Table 1. Summary of effects of bioactive compounds on chronic kidney disease and renal1130 damage markers studies.

		In vitro studies	
Ka et al. (2016)	Murine macrophage cell lines RAW 264.7 and J774A.1	Cinnamaldehyde	<ul> <li>↓ MAPK and PKC-α/δ</li> <li>phosphorylation</li> <li>↓ ROS, ↓ NF-κB activation</li> <li>↓ NLRP3 and IL-1β secretion</li> </ul>
Lin et al. (2017)	human kidney proximal tubular epithelial cell line HK-2 treated with LPS	4 mg/kg/day of resveratrol for 5 weeks	$\downarrow$ expression of NLRP3, ASC, caspase-1 and IL-1 $\beta$
		In vivo studies (Animals)	
Shan et al. (2014)	24 male mice with kidney injury induced by high fat diet	700 mg/kg /day of Purple sweet potato color for 20 weeks	↓ urine albumin-to-creatinine ratio ↓ ROS and AGEs ↓ expression level of kidney NLRP3, ASC, Caspase-1 and IL1β ↓ activation of IKK β and NF- KB ↓ expression level of RAGE and TXNIP
Bugyei-Twum et al. (2016)	42 Sprague- Dawley rats with subtotal nephrectomy	100 mg/kg/day of theracurmin for 5 weeks	<ul> <li>↓ kidney weight</li> <li>↓ systolic hypertension</li> <li>↓ RNAm expression of NLRP3,</li> <li>caspase-1 and IL1β</li> </ul>
Lin et al. (2017)	Male C57BL/6 mice with CKD induced for adenine- containing diet	4 mg/kg/day of resveratrol for 5 weeks	<ul> <li>↓ serum creatinine and blood urea nitrogen</li> <li>↓ the number of cells that expressed NLRP3</li> <li>↓ expression of NLRP3, ASC, caspase-1 and IL-1β</li> </ul>
Ding et al. (2018)	50 Sprague- Dawley rats with Diabetic nephropathy	100 mg/kg/day of Dihydroquercetin for 12 weeks	<ul> <li>↓ urine microalbumin and serum creatinine</li> <li>↓ LDL-c and total cholesterol</li> <li>↓ renal fibrosis, ↓ ROS levels</li> <li>↓ protein activations of NLRP3, caspase-1 and IL1β</li> </ul>
He et al. (2018)	30 female mice with Lupus nephritis	100 mg/kg/day of procyanidin B2 for 8 weeks	$\downarrow$ urine protein levels, serum creatinine and blood urea nitrogen $\downarrow$ glomerular hypercellularity and glomerulonephritis $\downarrow$ NLRP3, ASC, and procaspase-1 $\downarrow$ renal and serum levels of IL-1 $\beta$ and IL-18
Chen et al. (2019)	32 male Sprague- Dawley rats with nephropathy	Injection of resveratrol (30 mg/kg) 60 min before induction of contrast-induced	<ul> <li>↓ serum creatinine and blood</li> <li>urea nitrogen</li> <li>↓ expression level of renal</li> </ul>

		nephropathy	NLRP3, caspase-3 and IL1 $\beta$
Zhao et al. (2019)	24 female mice with Lupus nephritis	200 mg/kg/day of curcumin for 8 weeks	$\downarrow$ proteinuria and nephritis $\downarrow$ NLRP3 and caspase1 p20 expression $\downarrow$ IL-1β levels in the kidneys
		Human studies	
Alvarenga et al. (2020)	28 hemodialysis patients	Control group (n-14): Juice with 100 mL of orange juice with 12 g of carrot after each dialysis session/week for 3 months Curcumin group (n-14): Juice with 100 mL of orange juice with 12 g of carrot and 2.5 g of turmeric (95%curcumin) after each dialysis session/week for 3 months	Curcumin group: ↓NF-κB and hsCRP mRN/ expression ↔ NLRP3 and IL-1β mRN/ expression

1131 **Abbreviations:** LPS: Lipopolysaccharides; I kappa B kinase beta (IKK β); nuclear translocation of nuclear factor 1132 kappa beta (NF-κB); Advanced Glycation End-products (AGEs); oxidative stress-associated AGE receptor (RAGE); 1133 thioredoxin interacting protein (TXNIP); Reactive oxygen species (ROS); Interleukin (IL); Adaptor Protein Apoptosis-1134 Associated Speck-Like Protein Containing CARD (ASC); Nod-like receptor pyrin domain containing 3 (NLRP3); protein 1135 20 (p20); Mitogen Activated Protein Kinases (MAPK); *protein kinase C alpha* and *delta* (PKC-α/δ); high sensitivity C-1136 reactive protein (hsCRP)