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Obesity has limited behavioural overlap with addiction and psychiatric phenotypes

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| 3 | Obesity has limited behavioural overlap with addiction and psychiatric phenotypes |
| 4 | |
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Obesity is a widespread health condition¹, likely driven by increased availability of inexpensive 14 high-calorie food². People vary in their behavioural response to food plenty. Such variation is 15 likely driven by behavioural styles^{3,4}, as behaviour accounts for entire food intake⁵. A prominent 16 hypothesis is that people with obesity respond to rewards similarly to people with addictions 17 such as alcohol abuse or smoking^{6,7}. For instance, perceived overeating or "Uncontrolled Eating" 18 (UE) is the most common obesity-associated personality trait⁸ and resembles the perceived loss 19 of control seen in drug addiction. Likewise, both obesity and addictive behaviours have similar 20 correlations with broad personality domains³. Here, we seek to empirically test whether obesity 21 22 and UE overlap behaviourally with addiction and psychiatric disorders, collectively referred to as phenotypes. We test for behavioural similarity by linking the personality profiles of each 23 phenotype. NEO Personality Inventory (NEO PI-R/3) profiles of 28 phenotypes were extracted 24 from 22 studies, encompassing summary statistics from 18,611 unique participants. Obesity had 25 moderate and UE high behavioural similarity with addictions. UE also overlapped behaviourally 26 27 with most psychiatric phenotypes, whereas obesity was behaviourally similar with mood disorders and certain personality disorders. Facet-based phenotype profiles provided more 28 29 information than domain-based profiles.

30

Vulnerability to drug use and to overeating have been suggested to have a shared behavioural
basis ^{3,6,7}. For instance, drug use and obesity are associated with similar personality
questionnaires and cognitive tests³. However, the similarities are observational and have not been
quantified. Quantifying the behavioural overlap between obesity and addictions has nosological
and therapeutic implications, and may reveal underlying behavioural mechanisms and risk
factors.

| 37 | Addictive features may also characterise a commonly identified eating-related phenotype, |
|----|--|
| 38 | Uncontrolled Eating (UE). UE is conceptualised as high food reward sensitivity combined with |
| 39 | poor self-control ⁸ , and this trait explains most of the variance in the common eating-related |
| 40 | questionnaires measuring emotional eating, food addiction, or binge eating ^{8,9} . Despite these |
| 41 | questionnaires' slightly differing definitions and item content (^{reviewed in 10}), UE-related |
| 42 | questionnaires demonstrate similar correlations with other variables, such as obesity 8 and |
| 43 | personality traits ¹⁰ . UE may capture aspects of behaviour that resemble addictions, as one of the |
| 44 | UE questionnaires, the Yale Food Addiction Questionnaire, is based on the Diagnostic and |
| 45 | Statistical Manual of Mental Disorders' criteria for drug abuse. However, the behavioural |
| 46 | similarities between addiction, obesity, and UE have not yet been systematically investigated. |
| 47 | Addictive features likely explain only part of the behavioural repertoire of obesity, as this |
| 48 | condition can develop from multiple behavioural paths ¹¹ . Therefore, we also assessed potential |
| 49 | behavioural similarities of obesity and UE with other psychiatric conditions. Obesity and the |
| 50 | extreme form of UE, binge eating ⁸ , are comorbid with various psychiatric phenotypes, such as |
| 51 | autism ¹² , anxiety ¹³ , mood disorders, including depression and bipolar disorder ¹⁴ , as well as with |
| 52 | avoidant, antisocial, and schizotypal personality disorders ¹⁵ . This raises the possibility of an |
| 53 | underlying behavioural endophenotype that confers vulnerability to obesity, overeating, and |
| 54 | various psychiatric phenotypes. We therefore explored the similarity of the behavioural profiles |
| 55 | of obesity and UE with those of several possibly relevant psychiatric phenotypes. |
| 56 | To estimate behavioural similarity, we compared the personality profiles of each phenotype |
| 57 | derived from a popular and comprehensive personality test, the NEO PI-R/3 ^{16,17} . We use the |
| 58 | term behavioural similarity only for simplicity as NEO PI-R/3 summarises people's actions, as |
| 59 | well as thoughts, feelings, and goals ¹⁶ . NEO PI-R/3 has 240 questions, which can be summarised |

into 30 facets, which in turn belong to five major personality domains: Neuroticism, a tendency
to experience negative affect; Extraversion, a tendency to experience positive affect; Openness, a
preference for novelty and intellectual curiosity; Agreeableness, a tendency for altruism; and
Conscientiousness, an ability to control impulses that facilitates goal-directed behaviour. A
phenotype's personality profile refers to the pattern of associations that this phenotype has with
personality traits.

66 Most profile comparison research has focused on the broad domains level where the phenotypes 67 of interest tend to be behaviourally similar. Several reviews and meta-analyses have shown the 68 tendency for alcohol consumption, smoking, obesity and different psychiatric phenotypes to have a similar underlying personality profile, characterised by high Neuroticism and low 69 Conscientiousness ^{3,4,18,19} (see also Figure 1, domain section). However, this literature ignores the 70 71 more fine-grained information contained in the facets that make up each domain. Two 72 phenotypes seemingly similar based on high Neuroticism and low Conscientiousness domain 73 scores, may, in fact, be very different in their facet-level personality profiles. This is not a mere 74 hypothetical, as obesity is associated only with specific facets within Neuroticism and Conscientiousness ^{e.g., 20}. Therefore, obesity might indeed be less similar with addictions based on 75 76 facet-level behavioural profiles than the domain-based evidence would suggest (compare domain 77 vs facet profiles in Figure 1).



Figure 1. Personality trait profiles of obesity and selected addictions. Neuroticism has been
reversed to Emotional Stability to avoid inflation of profile correlations. Solid vertical line
separates domains from facets. The horizontal dashed line indicates 0 on y axis. Figure has been
conceptually reproduced from Michaud et al. ³. ALC = Alcohol; GMB = Gambling; OB =
Obesity; OPI = Opioid abuse; *r* = correlation; SMK = Smoking
To assess behavioural similarity between obesity, UE, addiction and psychiatric phenotypes, we
"upcycled" previously published NEO PI-R/3 domain and facet profiles of each phenotype

87 PI-R/3 scores of people with a diagnosis (e.g., depression) to those of a control group, or

86

correlating the NEO PI-R/3 facet scores with a continuous trait, such as body mass index (BMI)

(Supplementary Table 1). The personality profiles were obtained either by comparing mean NEO

89 or a self-report questionnaire score. An example set of profiles is presented in Figure 1. We then

| 90 | formally assessed behavioural similarity of the phenotypes by correlating the personality |
|-----|---|
| 91 | profiles, obtaining <i>personality correlations</i> (r_p) between them. For most analysis, we present the |
| 92 | domain-based results along with the facet-based results, to compare their informativeness. |
| 93 | Inspecting personality correlations revealed an overall similarity in all phenotypes, but also some |
| 94 | notable clusters among them. See Supplementary Figure 1 for domain-based personality |
| 95 | correlations and Supplementary Figure 2 for facet-based personality correlations. The mean |
| 96 | absolute personality correlations were stronger within the domain-based analysis (mean r_p = .54, |
| 97 | 95% CI [.51, .56]) than facet-based analysis (mean r_p = .45, 95% CI [.42, .47], as confirmed by |
| 98 | paired two-tailed <i>t</i> -test: <i>t</i> (377) = 9.55, <i>p</i> < .001, <i>d</i> = .49, 95% CI [.35, .64]. This suggests that |
| 99 | facet-level profiles demonstrate behavioural differences between phenotypes that are not evident |
| 100 | from domain-based profiles. In other words, facets allow for greater discriminant validity among |
| 101 | the phenotypes. |
| 102 | We next sought to formally assess the extent to which our target phenotypes, obesity and UE had |
| 103 | behavioural similarities with addiction and psychiatric phenotypes. As an effect size baseline, |
| 104 | our analysis also included education and gender to provide a "null hypothesis" for the |
| 105 | associations. As maximum expected effect size, we considered the correlations that |
| 106 | addiction/psychiatric phenotypes had with each other. |
| 107 | At the domain level, phenotypes varied in the personality correlations they had with addictions |
| 108 | $(F(4, 34) = 11.26, p < .001, \eta_p^2 = .57, 95\%$ CI [.27, .68]). This is illustrated in Figures 2a and 2c, |
| 109 | where obesity (mean r_p = .73, 95% CI [.52, .94]) and UE (mean r_p = .67, 95% CI [.48, .86]) |
| 110 | seemed to have considerably stronger personality correlations with addictions than gender (mean |
| 111 | r_p = .42, 95% CI [.23, .61]) or education (mean r_p = .48, 95% CI [.26, .69]) . At the same time, |
| | |

| 112 | there was considerable behavioural similarity between UE, obesity, and addictions, as |
|-----|---|
| 113 | personality correlations that UE or obesity had with addictions were of similar magnitude as |
| 114 | those between the different addictive phenotypes (mean r_p = .85, 95% CI [.78, .92], Figure 2c). |
| 115 | To statistically test the differences between the mean values in personality correlations with |
| 116 | addictions, we repeated the ANOVA as a regression model where phenotype category predicted |
| 117 | personality correlation strength with addictions, setting obesity or UE as a reference category |
| 118 | (full model adjusted R^2 = .52, 95% CI [.31,.79], $F(4,34) = 11.26$, $p < .001$). We then extracted |
| 119 | the contrasts comparing OB and UE with other phenotypes and corrected p-values across these |
| 120 | contrasts with Holm correction. Education had lower personality correlations with addictions |
| 121 | than obesity ($b =38, 95\%$ CI [5718], $p = .003$) and than UE ($b =28, 95\%$ CI [4708], p |
| 122 | = .03). Similarly, gender had lower personality correlations with addictions compared to obesity |
| 123 | (<i>b</i> =43, 95% CI [6323], <i>p</i> = .001) and to UE (<i>b</i> =33, 95% CI [5313], <i>p</i> = .009). At |
| 124 | the same time, there were no statistical differences between addiction-related personality |
| 125 | correlations of obesity and UE ($b =1$, 95% CI [3 .1], $p = .652$), between obesity and |
| 126 | addictions ($b = 0$, 95% CI [16 0.17], $p = .989$), and between UE and addictions ($b = .1$, 95% CI |
| 127 | [06 .27], $p = .652$). All reported statistical comparisons are also reported in Supplementary |
| 128 | Table 2. |

A more nuanced result emerged from facet-level analyses (Figures 2b and 2d). The five groups were better separable in the personality correlations they had with addictions, as suggested by higher effect size (F(4, 34) = 40.82, p < .001, $\eta_p^2 = .83$, 95% CI [.67, .87]). Figure 2d revealed a gradient of similarities with addictions, where education (mean $r_p = .21$, 95% CI [.06, .37]) and gender (mean $r_p = .18$, 95% CI [.11, .24]) were the lowest, followed by obesity (mean $r_p = .36$, 95% CI [.23, .49]), which was followed by UE (mean $r_p = .51$, 95% CI [.36, .66]), and then by

| 135 | addictions (mean r_p = .73, 95% CI [.67, .8]). The gradient was confirmed when comparing |
|-----|--|
| 136 | differences in personality correlation estimates from the regression model (adjusted R^2 = .81, |
| 137 | 95% CI [.73,.9], $F(4,34) = 40.82$, $p < .001$.) Regarding baseline phenotypes, gender had weaker |
| 138 | personality correlations with addictions than obesity ($b =22$, 95% CI [3609], $p = .006$) and |
| 139 | than UE ($b =4$, 95% CI [5427], $p < .001$). Similarly, education had weaker personality |
| 140 | correlations with addictions compared to obesity ($b =22$, 95% CI [3609], $p = .006$) and |
| 141 | (UE4, 95% CI [5427], p <.001). Intriguingly, UE had higher similarity to addictions than |
| 142 | obesity ($b = .18, 95\%$ CI [.04 .31], $p = .019$). Further, addictions had even higher similarities |
| 143 | with each other than with UE ($b = .15, 95\%$ CI [.04 .26], $p = .019$) and with obesity ($b = .33$, |
| 144 | 95% CI [.22 .44], <i>p</i> <.001). |









| 152 | personality correlations, thick lines represent mean values, beans represent smoothed densities, | |
|-----|---|--|
| 153 | and the rectangles represent 95% confidence intervals. EDU, GEN, OB, UE have 6 personality | |
| 154 | correlations, ADD has 15 personality correlations. Horizontal brackets indicate significant | |
| 155 | differences in two phenotypes regarding their mean personality correlation with addiction | |
| 156 | phenotypes. Differences were detected with multiple regression, using Holm corrected p value | |
| 157 | < .05. Full statistics are reported in Supplementary Table 2. ADD = Addictions; ALC = Alcohol; | |
| 158 | EDU = Education; GEN = Gender; GMB = Gambling; GMB.A = Gambling with attention | |
| 159 | deficit hyperactivity disorder; OB = Obesity; OPI = Opioid abuse; SMK = Smoking; THC = | |
| 160 | Cannabis; UE = Uncontrolled Eating. | |
| 161 | | |
| 162 | We repeated the analytic approach with psychiatric phenotypes other than addiction. In the | |
| 163 | domain-based analysis, the five groups differed little in their behavioural similarities with | |
| 164 | psychiatric condition (Figures 3a and 3c; $F(4, 220) = 3.30$, $p = .012$, $\eta_p^2 = .06$, 95% CI [0, .11]). | |
| 165 | Reanalysis with the regression model (R^2 = .04, 95% CI [0,.12], $F(4,220) = 3.3$, $p = .012$) | |
| 166 | revealed that there were no significant differences between obesity (mean r_p = .46, 95% CI | |
| 167 | [.35, .57]), gender (mean r_p = .49, 95% CI [.34, .65]), and psychiatric conditions (mean r_p = .54, | |
| 168 | 95% CI [.5, .58]) , apart from UE (mean r_p = .64, 95% CI [.51, .78]) being more similar to | |
| 169 | psychiatric conditions than education (mean r_p = .37, 95% CI [.25, .48];31, 95% CI [48 | |
| 170 | 13], $p = .007$). The non-significant contrasts were: obesity-education: $b =1$, 95% CI | |
| 171 | [28 .08], <i>p</i> = .63; obesity-gender: <i>b</i> = .04, 95% CI [14 .22], <i>p</i> = .665; obesity-UE: <i>b</i> = .21, | |
| 172 | 95% CI [.03 .39], <i>p</i> = .135; obesity-psychiatric conditions: <i>b</i> = .09, 95% CI [05 .22], <i>p</i> = .63; | |

UE-gender: *b* = -.17, 95% CI [-.35 .01], *p* = .319; UE-psychiatric conditions: *b* = -.12, 95% CI
[-.26 .01], *p* = .319, see also Supplementary Table 3.

| 175 | However, facet-based analyses once again revealed more differences between obesity and UE in |
|-----|---|
| 176 | their personality correlations with psychiatric conditions (Figures 3b and 3d, $F(4, 220) = 9.42$, p |
| 177 | < .001, η_p^2 = .15, 95% CI [.06, .22]). Regression analysis of personality correlations (R^2 = .13, |
| 178 | 95% CI [.07,.21], $F(4,220) = 9.42$, $p < .001$) revealed that obesity had generally low similarity |
| 179 | with psychiatric conditions (mean r_p = .24, 95% CI [.17, .31]). Namely, obesity had similar |
| 180 | personality correlations with psychiatric conditions like the two baseline phenotypes of gender |
| 181 | (mean r_p = .32, 95% CI [.23, .42]); b = .09, 95% CI [07 .25], p = .794 and education (mean r_p |
| 182 | = .27, 95% CI [.2, .34]); <i>b</i> = .03, 95% CI [13 .19], <i>p</i> = 1, and correlations among psychiatric |
| 183 | phenotypes were considerably higher than obesity's personality correlations with them ($b = .27$, |
| 184 | 95% CI [.15 .39], p <.001). UE (mean r_p = .49, 95% CI [.38, .6]) had higher similarity with |
| 185 | psychiatric conditions than obesity b = .28, 95% CI [.12 .44], p = .004 or education b =25, |
| 186 | 95% CI [4109], <i>p</i> = .013, but not gender <i>b</i> =19, 95% CI [3503], <i>p</i> = .085. UE had |
| 187 | similar personality correlations with psychiatric conditions to the personality correlations |
| 188 | between psychiatric conditions (mean <i>r</i> _{<i>p</i>} = .5, 95% CI [.46, .54]); <i>b</i> =01, 95% CI [13 .11], <i>p</i> |
| 189 | = 1 (Supplementary Table 3). This suggests that there was considerable behavioral overlap |
| 190 | between UE and many psychiatric phenotypes but obesity had generally lower similarities. |





Figure 3. Personality correlations (*r_p*) with psychiatric phenotypes. A and B: Spring-embedded
network graph based on domains (A) or facets (B), using Fruchterman-Reingold algorithm.
Circles mark psychiatric phenotypes, triangles mark targets of the behavioural similarity
analysis, rectangles mark baseline phenotypes. Blue edges mark positive correlations, red edges
mark negative correlations. C and D: Same data as in panels A and B based on domains (C) or
facets (D). Correlations are in absolute values, organised by analysis targets. Points represent

| 198 | individual correlations, thick lines represent mean values, beans represent smoothed densities, |
|-----|---|
| 199 | and the rectangles represent 95% confidence intervals. EDU, GEN, OB, UE have 18 data points, |
| 200 | PSY has 153 data points. Horizontal brackets indicate significant differences in two phenotypes |
| 201 | regarding their mean personality correlation with psychiatric phenotypes. Differences were |
| 202 | detected with multiple regression, using Holm corrected p value < .05. Full statistics are reported |
| 203 | in Supplementary Table 3. ANX = Anxiety disorders; ASD = Autism; ASO = Antisocial; AVO = |
| 204 | Avoidant; BDL = Borderline; BIp = Bipolar; DEp = Depression; DPD = Dependent; ED = Non- |
| 205 | anorexic eating disorders; EDU = Education; GEN = Gender; HIS = Histrionic; NAR = |
| 206 | Narcissistic; OB = Obesity; OCD = Obsessive compulsive disorder; OCPD = Obsessive |
| 207 | compulsive personality disorder; PAR = Paranoid; PSY = Psychiatric phenotypes; PTSD = Post |
| 208 | traumatic stress disorder; SCH = Schizophrenia; SZD = Schizoid; SZT = Schizotypal; UE = |
| 209 | Uncontrolled Eating. |

As exploratory analysis, we described psychiatric phenotypes whose personality correlation with 211 obesity was observed of similar magnitude like between obesity and addictions (r_p = .46, Figure 212 4c). A systematic review by Gerlach et al ¹⁵ suggested that cluster C personality disorders related 213 to anxiety and fearfulness may have higher overlap with obesity than other two other personality 214 clusters (cluster A "odd-eccentric" and cluster B "dramatic-emotional"). However, only 215 obsessive-compulsive personality disorder from cluster C had a negative association with obesity 216 in our quantitative analysis (Figures 3b, 4f, and Supplementary Figure 2). Other correlations of 217 218 similar magnitude implicated behavioural similarities between obesity and mood disorders (bipolar and borderline) and cluster B personality disorders (antisocial disorder, narcissistic 219 disorder, histrionic disorder) (Figures 3b, 4d, and 4e, and Supplementary Figure 2). 220

| 221 | To understand which facets from the NEO PI-R/3 tended to account for the behavioral | | | |
|-----|---|--|--|--|
| 222 | similarities of addictions, obesity and UE, we plotted the facet-based behavioural profile | | | |
| 223 | correlations. UE's similarity with addictions (Figure 4a) was characterised by high scores on | | | |
| 224 | Neuroticism and no associations with Openness. In contrast, obesity's similarity with addictions | | | |
| 225 | (Figure 4c) was mostly driven by certain specific facets: N5: Impulsiveness, C2: Order, and C5: | | | |
| 226 | Self-discipline. Perhaps su <i>rp</i> risingly, the E5: Excitement-Seeking facet was an outlier as it was | | | |
| 227 | associated with addictions, but not with UE or obesity. | | | |
| 228 | Similarly, the association between UE and psychiatric phenotypes was again driven by high | | | |
| 229 | associations with Neuroticism and generally no associations with Openness (Figure 4b). Because | | | |
| 230 | the behavioural similarity between obesity and psychiatric phenotypes was generally low, we | | | |
| 231 | inspected the few psychiatric phenotypes that had a relatively higher behavioural similarity with | | | |
| 232 | obesity (Figures 4d-f). Again, similarity in associations with specific facets, such as N5: | | | |
| 233 | Impulsiveness, C2: Order, and C5: Self-discipline was prominent. Interestingly, obesity's | | | |
| 234 | association with cluster B personality disorders also highlighted that these phenotypes were | | | |
| 235 | similar in having association with E3: Assertiveness (Figure 4d). | | | |



Figure 4. Scatterplots of personality correlations (r_p) between profiles of Uncontrolled Eating and obesity and addiction and psychiatric phenotypes. Associations for Neuroticism were inverted to avoid inflation of profile correlations as Neuroticism is keyed to the socially undesirable direction, as opposed to the other four domains. X and y values represent correlations of phenotypes with individual facets of NEO PI-R/3. Profiles on y axis in plots A-E have been aggregated across several profiles, See Figure 1, Figure 2, and Supplementary Table 1 for

classification. Data points represent individual personality facets, colour-coded by domain.
OCPD = Obsessive-compulsive personality disorder.

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The current analysis provides a quantitative estimation of behavioural similarities between 245 obesity, UE, and addictions. This was achieved by employing the personality profile comparison 246 247 approach. Although the similarity was highly uniform based on five broad personality domains, higher-resolution facet-based analysis revealed that behavioural overlap between obesity and 248 addiction was smaller than those of UE and addictions or among addictions themselves. Facet-249 250 based behavioural similarity analyses further revealed that UE had considerable behavioural similarity with most psychiatric phenotype tested, whereas obesity's behavioural similarity 251 pertained to mood disorders, cluster B personality disorders and obsessive-compulsive 252 personality disorder. 253

254 The moderate behavioural similarity between obesity and addictions provides empirical support 255 for comparing these phenotypes in more detail ³. Specifically, we also highlighted the personality facets possibly characterising both obesity and addictions. The similarity was mostly driven by 256 high N5: Impulsiveness and low Conscientiousness. This is in accordance with previous 257 evidence ¹⁸ and suggests that similar self-regulation therapeutic approaches can be developed for 258 both obesity and addictions²¹. But unlike addictions, obesity does not have a consistent 259 association with sensation-seeking ^{3,18}, here measured by the E5: Excitement-seeking facet of the 260 NEO PI-R/3, which characterises craving excitement and stimulation. Therefore, sensation-261 seeking aspects of addictions might not translate to obesity. 262

263 Intriguingly, UE had more similarity with addictions than obesity. UE may therefore be 264 considered as a useful phenotype to summarise addiction-like behaviours potentially contributing to obesity. It is important to note that the UE profile was derived from questionnaires that were 265 not based on the "food addiction" concept ²². Therefore, we suggest that creating and using a 266 food addiction-specific questionnaire is not crucial to understand the common substrate between 267 addictions and overeating or UE^{e.g., 23}. In the current study, the behavioural similarity between 268 UE and addictions was driven by Neuroticism, a tendency towards negative mood states and 269 anxiety driven behaviours. Several mechanisms are possible: either traits encompassed by 270 Neuroticism could be common causes contributing to overeating and addictive behaviours, or 271 overeating and addictive behaviours contribute to a person focusing on the negative aspects of 272 these behaviours, leading to higher Neuroticism scores ^{10,24}. 273 As obesity is less addiction-centred than UE, we explored whether the behavioural profile of 274 obesity could be similar to profiles of other psychiatric phenotypes. Only a handful psychiatric 275 phenotypes seemed to have behavioural similarity with obesity with an effect size close to the 276 association between obesity and addictions. Note that the similarities were descriptive and not 277 278 statistically tested. These were mood disorders, cluster B personality disorders, and obsessivecompulsive personality disorder. Their overlap with obesity was driven by associations with N5: 279 280 Impulsiveness and Conscientiousness. As a novel finding, similarities with cluster B personality 281 disorders was further driven by the positive association between obesity and the E3: 282

Assertiveness facet of Extraversion. While assertiveness (or dominance) has been implicated in previous NEO PI-R/3 studies of obesity ^{e.g., 20}, it has not been considered in behavioural models of obesity. Individuals with Cluster B personality disorders, particularly individuals with narcissism are known to have an exaggerated sense of superiority²⁵, which may explain their higher scores

in E3: Assertiveness. At first, it is hard to imagine most people with obesity having a heightened
sense of superiority, as people with obesity typically have lower self-esteem²⁶. However, it could
be speculated that low self-esteem in obesity is a response to the increased status-driven
individualism²⁷, which may be indexed by higher E3: Assertiveness. Alternatively, higher E3:
Assertiveness may index increased reward sensitivity in people with obesity³. Future focused
analysis will have to disentangle this association.

A caveat is that each personality correlation was based on 5 domains or 30 facets. At the same 292 293 time, the "scores" for domain or facets were not single-participant data points, but average scores of at least 52 participants – at times even thousands of participants. While each correlation had 294 only 3 or 28 degrees of freedom, it borrowed power from the studies that the average facet scores 295 were based on. Future methodological developments are required to properly assess the role of 296 sample sizes that correlation profiles are based on, providing more accurate standard errors and *p* 297 values for personality correlations. Until then, less emphasis should be put on their p values than 298 on their relative magnitudes. In addition, profiles based on smaller sample sizes may provide 299 noisier estimates which lowers the personality correlations. However, there was no statistically 300 301 detectable association between profiles ranked by sample size and by profiles' average of absolute personality correlations (domains: rho = -.14, 95% CI [-.57, .35], n = 18, p = .59; facets: 302 *rho* = -.31, 95% CI [-.68, .18], *n* = 18, *p* = .21). 303

Another caveat is that the personality profile of phenotypes may vary depending on the diagnostic instrument as well as the personality trait measure used ²⁸. This is not a major concern for BMI, whose behavioural profile correlates $r_p = .96$ -.99 with other measures of adiposity ²⁰. Regarding the personality measure, current analysis was mostly limited to the NEO PI-R/3, which is intended for use in normal populations. For now, we find that these limitations are

offset by the NEO PI-R/3 profiles' wide availability. Future research of this kind may benefit 309 from operationalising behavioural profiles using more numerous and more specific personality 310 characteristics, possibly operationalised as single test items (nuances)²⁹. Indeed, as recently 311 reviewed ^{30,31}, there is a considerable amount of reliable information present in the 240 NEO PI-312 R/3 items that is lost when the single items are aggregated into the 30 facets and, especially, the 313 five domain scores. Therefore, researchers should make their data available at the item level, 314 enabling more sophisticated profile comparison based on hundreds of specific behavioural 315 characteristics. Until these more detailed profiles become widespread, researchers are forced to 316 work with the 30 NEO PI-R/3 facets, which "are not likely to be the ideal specification of lower 317 level traits, but for now they are a serviceable one, with known reliability, validity, and utility." ³² 318 Even at the facet level, as used here, the behavioural similarity analysis can bring novel insights 319 into similarities between phenotypes. Currently, we focused on addiction and psychiatric 320 321 phenotypes as there was a priori theoretical and empirical evidence for potential overlap. In the explorations, obesity and UE can be related to any other phenotype for which a NEO PI-R/3 322 profile has been published. This "upcycling" approach is more cost-effective than measuring all 323 324 the phenotypes and obesity in a single study. Once behavioural similarity is established, the analysis on the particular facets driving the similarity can provide insights into how the 325 326 behavioural similarity emerges. These insights can inform study design when obesity and the 327 behaviourally similar phenotypes are finally included in the same study. For instance, current results suggest that obesity, personality disorders, and E3: Assertiveness-related behaviours 328 329 should be studied together in greater detail.

In summary, obesity has behavioural similarity with addictions. The main overeating-related
trait, UE is even more addiction-related, suggesting that UE is a useful summary of food-related

addictive behaviours. However, obesity cannot only be explained by a propensity to addictive
behaviours. Comparison with psychiatric phenotypes highlighted that cluster B personality
disorders might bring additional insight into understanding the behavioural profile of obesity.
Our study provides a general framework for quantifying the behavioural similarity across many
phenotypes.

337 Methods

338 Justification for NEO PI-R/3. We chose to conduct behavioural profiling based on the 30 personality traits forming the facets of the five-factor model as operationalised in the NEO PI-R/ 339 3^{16,17}. The 30 NEO PI-R/3 facets are designed to comprehensively sample aspects of behaviour 340 related to the Five-Factor Model of personality (or the Big Five)³³, and the questionnaire has 341 been related to a wide range of phenotypes. While the questions are designed to be used in 342 normal populations ³⁴, the NEO PI-R/3 performs surprisingly well in subpopulations with 343 addiction or psychiatric phenotypes – theoretical factor structure can be recovered, the 344 345 questionnaire has test-retest reliability, and the questionnaire is also responsive to treatment of a psychiatric condition ^{35–37}. Here we analyse the personality domain and facet profiles of 346 347 phenotypes of interest based on previously published associations.

Finding papers. Studies profiling obesity, UE, addiction, and psychiatric phenotypes with the
NEO PI-R/3 were searched for in Google Scholar by entering "NEO PI-R/3" together with
phenotype names, such as obesity, smoking, gambling, drug use, and other phenotypes listed in
Supplementary Table 1. The goal of the search was not to be exhaustive, but to find a broad set
of addiction and psychiatric phenotypes. When several papers were available on the phenotype,
the effect sizes were either merged (see below), or previously calculated meta-analytic estimates
were preferred over individual studies. Only papers reporting NEO PI-R/3 facet-based

associations were included. 21 empirical papers ^{20,35,38–56} were kept in the analysis, which 355 analysed data from 19 different samples (Supplementary Table 1). We also included results from 356 357 one meta-analysis summarising 16 different empirical studies analyzing 18 independent samples ²⁸. Altogether, the analysis is based on the summary statistics from 18,611 unique participants. 358 Besides the phenotypes outlined in the introduction, we also included personality profiles of 359 360 education and gender. As true null association between profiles cannot be expected, we provide education and gender as reference effect sizes for interpreting the effect sizes of obesity and UE. 361 We further use personality correlations among addiction/psychiatric themselves as maximum 362 expected correlations. 363

364 **Data extraction, transformation, aggregation.** Our goal was to present all associations 365 between personality traits and phenotypes in a common metric – correlation. Correlation or 366 another measure of effect size was readily available in fewer than half of the empirical papers ^{20,38–44}. In other papers, correlations were obtained in the following way. Most papers reported 367 368 NEO PI-R/3 facet T-score means and standard errors / standard deviations (SD) for one or more 369 study group (s) and control group. For some of the traits, multiple groups were available, for instance smokers, never smokers, and former smokers ⁵⁰ or underweight, normal weight, 370 overweight, and obese ⁵¹. In these cases, we focused on the phenotype group vs control group, 371 372 for instance smokers vs never smokers; normal weight vs obese. We excluded former drug users, as for instance former smokers have a different personality than current and never smokers ⁵⁵. 373 We extracted the mean, SD, and sample size for study groups [psychiatric, current users, obese 374 (body mass index BMI \ge 30 kg/m²)], and control group [never users, normal weight (BMI 375 between 18.5 and 24.9 kg/m²). Using control group data from the included studies was preferred, 376 as this approach reduces cross-cultural differences that may occur when the study and control 377

groups come from different countries or regions ³⁸. However, when control group data was not 378 available, the NEO PI-R/3-R US normative sample (mean = 50, SD = 10, n = 1000)¹⁶ was used. 379 380 US normative sample data was also used in cases where the control group consisted of participants with psychiatric disorders ⁵². In one case, findings were available for two time 381 points; these measurement were aggregated ³⁵. When *SD* was not available ^{35,45}, it was calculated 382 from standard error, or assumed to be 10, as per the NEO PI-R/3-R manual ¹⁶. 383 The mean difference between the study group and the control group in a personality trait was 384 converted into a correlation in the following way. First, a summarised *t*-test was performed 385 386 between the control group and the study group for each domain and facet, using the extracted means, *SD*-s and sample sizes. Unequal variances were used as per previous recommendations ⁵⁷. 387 The procedure was conducted using tsum.test() from the R package BSDA ⁵⁸. The *t*-test was two-388 sided with a *p*-value of .05. However, the *p*-values were not used in the further effect size 389 conversion process. Obtained effect sizes were converted to a correlation coefficient using 390 conversion formulas implemented in the compute.es R package, which first convert the *t*-test 391 values into Cohen's *d*, which is then converted into a correlation, using standard formulas ^{59,60}. 392 We tested the effect size conversion procedure using data from a paper ²⁰ that provided both trait 393 mean and *SD* for both groups that had either normal weight or obesity, as well as continuous 394 trait-BMI correlations ²⁰. The trait-obesity correlations reported in that paper ²⁰ were almost 395 396 identical to the trait-obesity status correlations calculated from contrasting the group having normal weight with the group having obesity ($r_p = .99$). 397

When several papers were available, the correlations were aggregated using meta-analytic
 random effects aggregation. Random effects aggregation accounts for variation in study
 methodology between different study sites ⁶¹. Before meta-analysing, correlations were

| 401 | transformed based on Fischer's <i>r</i> -to- <i>z</i> transformation (from Pearson- <i>r</i> to normal distribution <i>z</i> - |
|-----|--|
| 402 | score), which is a recommended approach as r is not normally distributed ⁶¹ . These steps were |
| 403 | conducted by the metacor() function of the meta R package ^{62,63} . We also aggregated data for |
| 404 | conceptually similar smaller samples, for instance phobias and anxiety disorders. There, the |
| 405 | sample size weight was the size of the study group (see phenotype group column in |
| 406 | Supplementary Table 1). Since many eating-related traits are highly similar ^{8,9} , we also |
| 407 | aggregated emotional and external eating ³⁹ into UE. Some papers omitted facets with small |
| 408 | effect size; missing facets were then replaced with domain level effect sizes. Two papers ^{38,54} |
| 409 | omitted domain-outcome correlations. We then used the other 26 profiles to train a model that |
| 410 | predicted each domain-outcome correlation from the facet-outcome correlations belonging to |
| 411 | that domain. 5-fold cross-validation within the 26 profiles revealed that mean absolute error |
| 412 | ranged from $r = .03$ to $r = .04$ for different domains. Only self-reported profiles were used ^{e.g., 43} . |
| 413 | Data sources and meta-analytic aggregations are summarised in Supplementary Table 1. |
| 414 | Data analysis. Profile similarity was assessed by shape similarity, which is computed with |
| 415 | Pearson correlations between profiles ⁶⁴ . We focus on similarity based on profile shape, as shape |
| 416 | is the most fundamental element for personality profile comparison and drives other similarities |
| 417 | ⁶⁴ . We inverted the scores of Neuroticism, to avoid inflation of profile correlations due to |
| 418 | Neuroticism being keyed to the socially undesirable direction, while the other four domains are |
| 419 | keyed in the socially desirable direction. For an initial presentation (Supplementary Figures S1 |
| 420 | and S2), the resulting correlation matrix was clustered with the "wa $rp.d2$ " method ⁶⁵ . We |
| 421 | conducted separate analysis for addiction and psychiatric phenotypes. Subsets of the main |
| 422 | correlation matrix were visualised with a network with spring-embedded layout 66 that creates |
| 423 | clusters of more strongly related variables (Figures 1a, 1b, 2a, and 2b). |

| 424 | We first sought to establish, if there were any differences in how addiction/psychiatric |
|-----|---|
| 425 | phenotypes related to baseline, target, and other addiction/psychiatric phenotypes. Therefore, |
| 426 | personality correlations of addiction/psychiatric phenotypes were organised into five groups: |
| 427 | correlations with 1) education, 2) gender, 3) obesity, 4) UE, and 5) other addiction/psychiatric |
| 428 | phenotypes. Those groups were used as predictors of absolute personality correlation in a one- |
| 429 | way ANOVA model. Post-hoc tests were run in a linear regression model where target |
| 430 | phenotype (obesity or UE) was the reference category, whose absolute correlations with |
| 431 | addiction/psychiatric phenotypes were compared with the correlations that variables within four |
| 432 | other variable sets had with the same phenotypes (Supplementary Tables S2 and S3). <i>p</i> values of |
| 433 | post-hoc comparisons of interest were two-sided and corrected for multiple comparison with |
| 434 | Holm method. |
| 435 | To understand which facets of NEO PI-R/3 drive the correlations, scatterplots between the |
| 436 | profile correlations were inspected. To limit the number of scatte <i>rp</i> lots, the profiles of addiction |
| 437 | or psychiatric phenotypes were aggregated by the categories outlined in Supplementary Table 1, |
| 438 | using meta-analytic principles, but keeping the sample sizes equal (e.g. $n = 100$), as we wanted |
| 439 | each phenotype to contribute equally to the aggregated profile. |
| 440 | All analysis was conducted in Microsoft R Open 3.5.1 62 using the August 2018 version of |
| 441 | several addon packages ^{58,59,63,67–77} . Analysis code is available as described in code availability |
| 442 | section. |
| | |

Data availability

444 The correlation profiles of phenotypes used in the analysis are available at https://osf.io/zfsxd/
445 and also as Supplementary Data and part of Supplementary Software.

| 446 | Code | availa | ability |
|-----|------|--------|---------|
| | | | |

- 447 The analysis script used to generate results based on the correlation profiles is available at
- 448 https://osf.io/zfsxd/ and also as Supplementary Software

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631 **Competing interests**

632 The authors declare no competing interests

633 Author contributions.

- All authors read and contributed significantly to the manuscript and approved the submitted
- 635 version.
- 636 U.V: collected data, analysed data, wrote the paper.
- 637 B.M: contributed to data analysis
- 638 Y.Z.: contributed to data analysis methods
- 639 A.M.: contributed to inte*rp*retation
- 640 R.M.: contributed to data analysis methods and interpretation

641 A.D.: contributed to data analysis methods and inte*rp*retation

642

643 Figure legends

| 644 | Figure 1. Personality trait profiles of obesity and selected addictions. Neuroticism has been |
|-----|---|
| 645 | reversed to Emotional Stability to avoid inflation of profile correlations. Solid vertical line |
| 646 | separates domains from facets. Figure has been conceptually reproduced from Michaud et al. 3. |
| 647 | ALC = Alcohol; GMB = Gambling; OB = Obesity; OPI = Opioid abuse; <i>r</i> = correlation; SMK = |
| 648 | Smoking |

| 649 | Figure 2. Personality correlations (r_p) with addiction phenotypes. A and B: Spring-embedded |
|-----|--|
| 650 | network graph based on domains (A) or facets (B), using Fruchterman-Reingold algorithm. |
| 651 | Circles mark addiction phenotypes, triangles mark targets of the behavioural similarity analysis, |
| 652 | rectangles mark baseline phenotypes. Blue edges mark positive correlations, red edges mark |
| 653 | negative correlations. C and D: Same data as in panels A and B based on domains (C) or facets |
| 654 | (D). Correlations are in absolute values, organised by analysis targets. Points represent individual |
| 655 | personality correlations, thick lines represent mean values, beans represent smoothed densities, |
| 656 | and the rectangles represent 95% confidence intervals. EDU, GEN, OB, UE have 6 personality |
| 657 | correlations, ADD has 15 personality correlations. Horizontal brackets indicate significant |
| 658 | differences in two phenotypes regarding their mean personality correlation with addiction |
| 659 | phenotypes. Differences were detected with multiple regression, using Holm corrected p value |
| 660 | < .05. Full statistics are reported in Supplementary Table 2. ADD = Addictions; ALC = Alcohol; |
| 661 | EDU = Education; GEN = Gender; GMB = Gambling; GMB.A = Gambling with attention |
| 662 | deficit hyperactivity disorder; OB = Obesity; OPI = Opioid abuse; SMK = Smoking; THC = |
| 663 | Cannabis; UE = Uncontrolled Eating. |

| 664 | Figure 3. Personality correlations (r_p) with psychiatric phenotypes. A and B: Spring-embedded |
|-----|---|
| 665 | network graph based on domains (A) or facets (B), using Fruchterman-Reingold algorithm. |
| 666 | Circles mark psychiatric phenotypes, triangles mark targets of the behavioural similarity |
| 667 | analysis, rectangles mark baseline phenotypes. Blue edges mark positive correlations, red edges |
| 668 | mark negative correlations. C and D: Same data as in panels A and B based on domains (C) or |
| 669 | facets (D). Correlations are in absolute values, organised by analysis targets. Points represent |
| 670 | individual correlations, thick lines represent mean values, beans represent smoothed densities, |
| 671 | and the rectangles represent 95% confidence intervals. EDU, GEN, OB, UE have 18 data points, |
| 672 | PSY has 153 data points. Horizontal brackets indicate significant differences in two phenotypes |
| 673 | regarding their mean personality correlation with psychiatric phenotypes. Differences were |
| 674 | detected with multiple regression, using Holm corrected p value < .05. Full statistics are reported |
| 675 | in Supplementary Table 3. ANX = Anxiety disorders; ASD = Autism; ASO = Antisocial; AVO = |
| 676 | Avoidant; BDL = Borderline; BIP = Bipolar; DEP = Depression; DPD = Dependent; ED = Non- |
| 677 | anorexic eating disorders; EDU = Education; GEN = Gender; HIS = Histrionic; NAR = |
| 678 | Narcissistic; OB = Obesity; OCD = Obsessive compulsive disorder; OCPD = Obsessive |
| 679 | compulsive personality disorder; PAR = Paranoid; PSY = Psychiatric phenotypes; PTSD = Post |
| 680 | traumatic stress disorder; SCH = Schizophrenia; SZD = Schizoid; SZT = Schizotypal; UE = |
| 681 | Uncontrolled Eating. |
| 682 | Figure 4. Scatter plots of personality correlations (r_p) between profiles of Uncontrolled Eating |
| 683 | and obesity and addiction and psychiatric phenotypes. Associations for Neuroticism were |
| 684 | inverted to avoid inflation of profile correlations as Neuroticism is keyed to the socially |
| 685 | undesirable direction, as opposed to the other four domains. X and y values represent |
| 686 | correlations of phenotypes with individual facets of NEO PI-R/3. Profiles on y axis in plots A-E |

- have been aggregated across several profiles, See Figure 1, Figure 2, and Supplementary Table 1
- 688 for classification. Data points represent individual personality facets, colour-coded by domain.
- 689 OCPD = Obsessive-compulsive personality disorder.