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Photo-ionization and fragmentation of  $\text{Sc}_3\text{N@C}_{80}$  following excitation above the Sc  
K-edge

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We have investigated the ionization and fragmentation of a metallo-endohedral fullerene,  $\text{Sc}_3\text{N@C}_{80}$  using ultrashort (10 fs) x-ray pulses. Following selective ionization of a Sc ( $1s$ ) electron ( $h\nu = 4.55$  keV), an Auger cascade leads predominantly to either a vibrationally cold multiply charged parent molecule or multi-fragmentation of the carbon cage following a phase transition. In contrast to previous studies, no intermediate regime of  $\text{C}_2$  evaporation from the carbon cage is observed. A time-delayed, hard x-ray pulse ( $h\nu = 5.0$  keV) was used to attempt to probe the electron transfer dynamics between the encapsulated Sc species and the carbon cage. A small but significant change in the intensity of Sc-containing fragment ions and coincidence counts for a delay of 100 fs compared to 0 fs, as well as an increase in the yield of small carbon fragment ions, may be indicative of incomplete charge transfer from the carbon cage on the sub-100 fs timescale.

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## INTRODUCTION

26 Endohedral (or internally doped) fullerenes, are intriguing systems that bridge the gap between  
27 molecular and nano-systems<sup>1,2</sup>. Like C<sub>60</sub>, there is much to learn about their behavior when they  
28 are excited with photons, in particular in the hard x-ray regime. Endohedral fullerenes are of great  
29 interest to study due to their unique properties, including electron transfer between the engaged  
30 species and the carbon cage<sup>2</sup>, and their potential use in molecular electronics and organic photo-  
31 voltaics. The understanding derived from the photoionization of carbon nanomaterials can provide  
32 insight towards optimizing their properties for use in these applications<sup>3</sup>. Endohedral fullerenes  
33 have been studied with optical lasers<sup>4,5</sup> and synchrotron radiation<sup>6-9</sup>. Synchrotron studies, which  
34 allow for core-level ionization of the encapsulated species, have indicated that there is a much  
35 higher fragmentation propensity if the inner species becomes highly excited<sup>7</sup>, especially compared  
36 to the level of fragmentation observed following excitation/ionization of valence electrons by op-  
37 tical lasers<sup>5</sup>. The most intriguing aspect of the endohedral systems compared to empty fullerenes  
38 is the mutual influence of the electrons from the cage and the electrons from the encapsulated  
39 moiety, which may significantly modify the dynamics<sup>10</sup>.

40 Like synchrotron radiation, x-ray free electron lasers (FEL) can access the core levels of the  
41 inner moiety of the endohedral fullerene<sup>11</sup>. The brilliance and photon energy tunability of the  
42 FEL allows for site-specific photon absorption, and because they have short pulse durations, also  
43 allows, in principle, for following the dynamics of the molecule<sup>11,12</sup>. Most of the early experiments  
44 involving FELs have been on understanding the nature of the interaction of light atoms, such as  
45 neon<sup>13</sup>, and small molecules, such as N<sub>2</sub><sup>14,15</sup>. Recently, polyatomic molecules<sup>12</sup> and fullerenes<sup>16</sup>  
46 have been studied, with an emphasis on understanding the ionization dynamics involved when  
47 multiple x-rays are absorbed causing ionization and subsequent Auger decay, which contribute  
48 substantially to what is known as ‘radiation damage’<sup>17</sup>.

49 The aim of the present experiment was to investigate Sc<sub>3</sub>N@C<sub>80</sub> by site-selectively ionizing  
50 the Sc (1s) with an approximately 10 fs duration hard x-ray pulse at 4.55 keV photon energy in-  
51 ducing core-ionization followed by an Auger cascade. This investigation allows us to address how  
52 electronic rearrangement affects (i) the nuclear motion leading to atomic rearrangement, (ii) bond  
53 elongation and breaking, (iii) fragmentation of the moiety (inner species) and the cage, and (iv)  
54 bond (re)-forming. **For Sc, it is known that for a K-shell vacancy, the radiative to non-radiative  
55 branching ratio is 0.18:0.82, while for the L-shell vacancy, the radiative yield is negligible com-**

54 expected to non-radiative relaxation which primarily occurs via Coster-Kronig type decay through  
57 the LMM process<sup>18</sup>. Thus, following removal of a K-shell electron of Sc, further ionization of  
58 the whole system is expected to proceed via Auger cascade or impact ionization of the cage. It is  
59 expected from the binding energy of the electrons in Sc, that KLL ( $2s^{-2}/2s^{-1}2p^{-1}/2p^{-2}$ ) Auger  
60 decay will produce a free electron having a kinetic energy (KE) of about 3.5 - 4.0 keV. Likewise,  
61 LMM ( $3s^{-1}3p^{-1}/3p^{-2}$ ) decay creates  $\sim 330 - 400$  eV electrons<sup>19</sup>. The high energy electrons are  
62 expected to escape the cage with a low probability for further excitation of the molecule, while the  
63 few-hundred eV electrons are expected to have a higher probability to undergo inelastic collisions  
64 with the cage<sup>20,21</sup>. A cartoon schematic of this mechanism is shown in Fig. 1 (a). We aimed to  
65 study transient structural changes by using a delayed second x-ray probe pulse, at a photon energy  
66 of 5.0 keV, through monitoring the production of fragment ions.

## 67 II. EXPERIMENT

68 The experiment was carried out at beamline BL3, EH4c hutch<sup>22</sup> of the SPring-8 Angstrom  
69 Compact free electron LAsEr (SACLA)<sup>23</sup> using a time-of-flight ion spectrometer<sup>24</sup>. Both the  
70 "pump" and the "probe" pulses were produced by the same electron bunch using a variable length  
71 undulator scheme<sup>25</sup>. For this experiment, a pump pulse with 4.55 keV photon energy (FWHM =  
72 13.11 eV) and a probe pulse with 5.0 keV photon energy (FWHM = 69.79 eV) were used. Since  
73 the Sc atoms have an average charge state of 2.4+ in the endohedral complex, the pump pulse was  
74 tuned to be well above the K-edge of Sc (4492.8 eV<sup>26</sup>), so that Sc<sup>3+</sup> could be ionized by single  
75 photon absorption. Meanwhile, the probe pulse was chosen to be about 5.0 keV for two reasons:  
76 1) the photon energy of 5.0 keV sufficiently allows for ionization to highly charge states, above  
77 4+, and 2) the difference in photon energies between the two pulses needed to be large enough  
78 such that the pump and the probe could be monitored independently by an in-line spectrometer<sup>27</sup>.  
79 Both beams were focused by a Kirkpatrick–Baez (KB) mirror system to a focal spot size of about  
80  $1.7 \times 1.8 \mu\text{m}^2$  (FWHM). Just prior to the experiment, the beam sizes were individually measured  
81 by using an edge scanning method that utilizes a 200  $\mu\text{m}$  gold wire to create an intensity profile<sup>28</sup>.  
82 During the experiment, the spatial overlap of the pump and the probe was checked for the two  
83 delay points by using another 200  $\mu\text{m}$  gold wire at the interaction region, since the scheme<sup>25</sup> used  
84 for the production of the pump and the probe pulses did not guarantee automatic spatial overlap  
85 following changes in the undulator gap of the electron bunch. The repetition rate of the pulses was

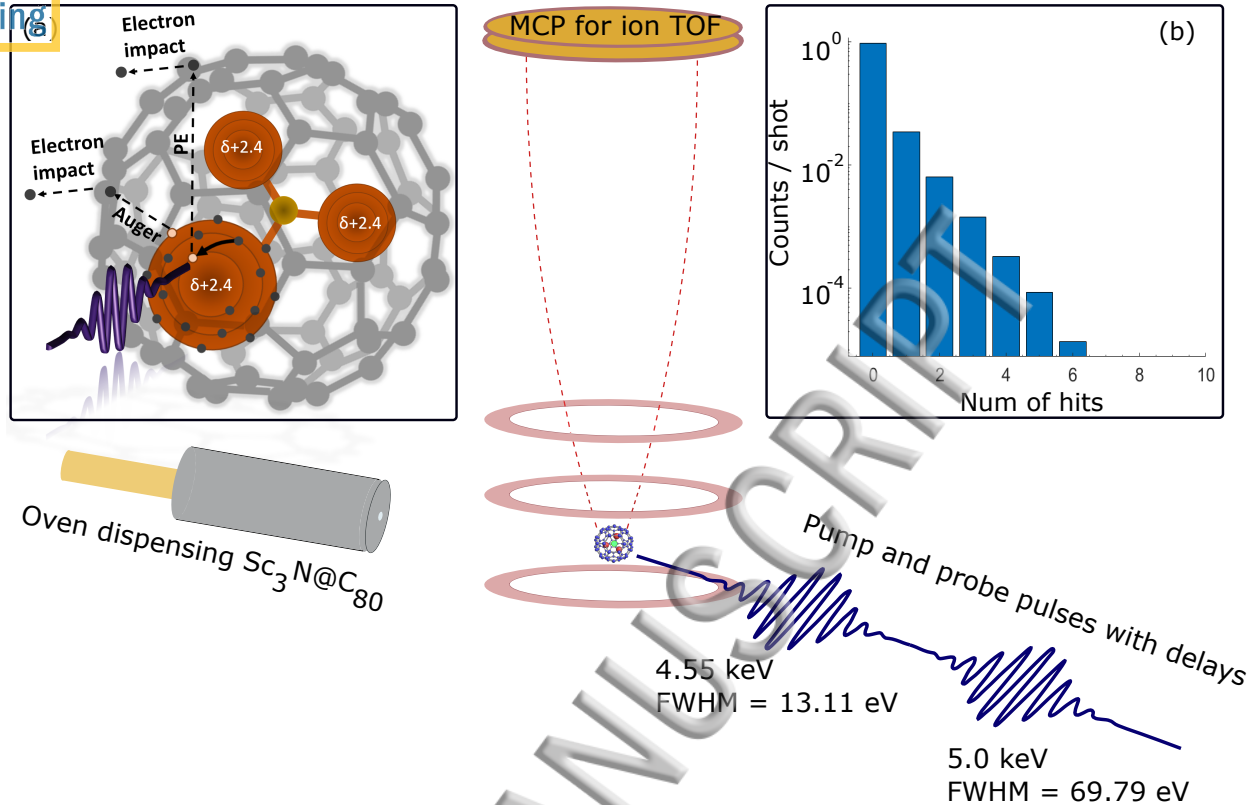


FIG. 1. Schematic of the experiment. An oven is used to vaporize the  $\text{Sc}_3\text{N}@C_{80}$  sample, which is directed to the interaction region through a nozzle. The low-density molecular beam is crossed by the focused FEL pulses in the center of a time-of-flight spectrometer. A moderate extraction field applied to the spectrometer electrodes directs ions to an MCP detector. In inset (a), a cartoon of the electron impact ionization of the cage is shown following the removal of a 1s electron (photoelectron, PE) from Sc by the x-ray photon. Inset (b) shows the number of ion hits on the MCP at 100 fs delay (note that a similar hit rate is also observed for 0 fs delay).

86 60 Hz, and the duration of these two pulses were estimated to be about 10 fs each, as measured us-  
87 ing a spectrometer consisting of an analyzer flat crystal of silicon<sup>29</sup> prior to the experiment. Since  
88 these measurements require their own chamber, the pulse duration was measured ex situ before the  
89 beamtime. The temporal jitter between the two pulses was estimated to be a few fs due to the elec-  
90 tron bunch spacing, as measured previously for this scheme<sup>25</sup>. Using an in-line spectrometer<sup>27</sup>,  
91 the shot-to-shot pulse energy was monitored to be about 105  $\mu\text{J}$  for the pump and 110  $\mu\text{J}$  for the  
92 probe, and the energy fluctuation between the two pulses were found to be about 20% of the mean  
93 for each arm, as also shown in Fig. S1.

The experimental setup is shown schematically in Fig. 1. The  $\text{Sc}_3\text{N@C}_{80}$  sample (97% purity) was procured from SES Research. The sample was converted to an effusive vapor by using a sample dispenser oven<sup>16</sup> which evaporated  $\text{Sc}_3\text{N@C}_{80}$  into its vapor phase at 970 - 1000 K. The orientation of the oven was horizontal with respect to the spectrometer axis, and along the polarization of the x-ray pulses. We estimate the target density from the oven to be about  $2 \times 10^8 \text{ cm}^{-3}$ .

The ions created were extracted by a uniform electric field of 550 V/cm at the interaction region, and were subsequently detected on a microchannel plate (MCP) detector. The ion hits on the MCP were recorded using a digitizer and a software discriminator<sup>30</sup>. More details of the ion TOF spectrometer are described in detail elsewhere, see<sup>24</sup>.

### III. RESULTS AND DISCUSSION

At a fluence of about  $40 \mu\text{J}/\mu\text{m}^2$  for each pulse (corresponding to an intensity of about  $4 \times 10^{17} \text{ W/cm}^2$ ), the ionization mechanism is expected to be step-wise. First there is a photoionization (P) event followed by an intra-atomic Auger (A) decay until a stable charge state is reached. This mechanism was previously reported for FEL interactions with atoms, molecules, viruses and weakly bound clusters<sup>11-14,16,31-37</sup>. At the photon energies used in the experiments, the absorption cross-section of the three scandium ions inside the cage is about  $2.0 \times 10^{-19} \text{ cm}^2$  (0.2 Mb) for the 4.55 keV pump and  $1.5 \times 10^{-19} \text{ cm}^2$  (0.15 Mb) for the 5.0 keV probe pulse. For the cage itself, with 80 carbon atoms, the cross-section is about  $3.0 \times 10^{-20} \text{ cm}^2$  (0.03 Mb) for both of the pulses. The stability of the  $\text{Sc}_3\text{N@C}_{80}$  molecular complex depends on the oxidation state of the cage. It has been determined that electron sharing with the  $\text{Sc}_3\text{N}$  stabilizes the  $\text{C}_{80}$  cage, which attains the stable icosahedral form by accepting about 6.3 electrons from the inner moiety<sup>38</sup>. This induces a partial charge of 2.4+ on each Sc atom inside the cage, for the overall neutral  $\text{Sc}_3\text{N@C}_{80}$ .

Fig. 2 (a) shows the time-of-flight spectrum for  $\text{Sc}_3\text{N@C}_{80}$ , for 0 fs delay between the two x-ray pulses, with the different charge states of the parent molecular ions indicated. Multiple charge states of the parent molecule up to 4+ are observed, as seen previously for the case of the single photon ionization of the Sc  $2p$  orbital<sup>6</sup> for the same target. The lack of singly-charged parent molecules shows that the Sc ( $1s$ ) photo-ionization plus Auger decay efficiently produces multiple charge states of  $\text{Sc}_3\text{N@C}_{80}$ . It is striking that there is no evidence in the mass spectrum for the occurrence of  $\text{C}_2$  fragmentation from the carbon cage, i.e.  $\text{Sc}_3\text{N@C}_{78}$ ,  $\text{Sc}_3\text{N@C}_{76}$  and

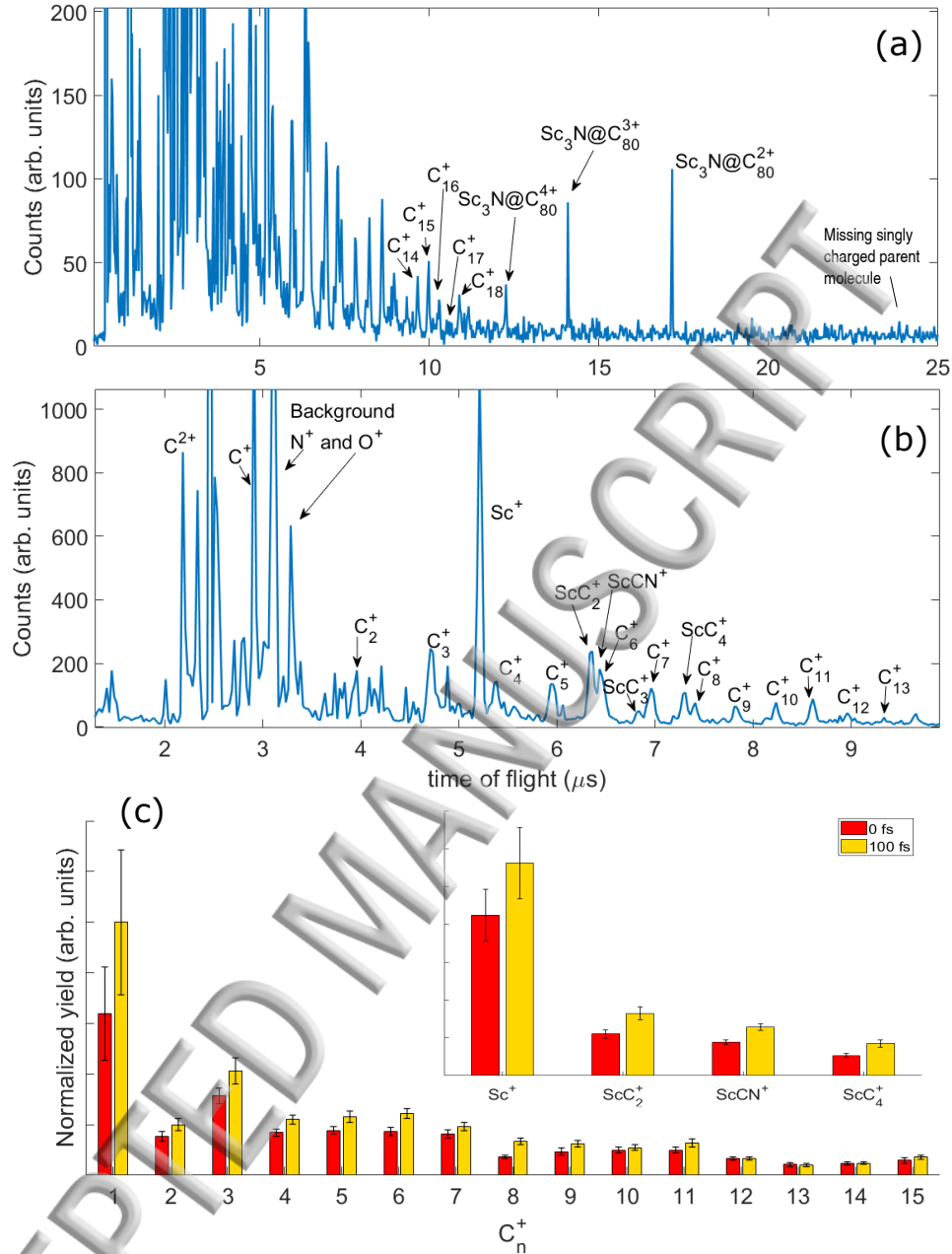


FIG. 2. Time-of-flight mass spectra for  $\text{Sc}_3\text{N}@C_{80}$  with 0 fs delay between the pump and probe pulses. (a) Overall spectra highlighting the different charge states of the parent molecule as well as different fragments. (b) Zoomed-in spectrum highlighting the smaller carbon cage fragment ions. (c) Yield, normalized by the number of FEL shots and pulse energies, comparing the production of carbon fragments for 0 and 100 fs delays. The inset in (c) shows the normalized yield comparison for the Sc-containing fragments.

124 so on. Such typical "shrink-wrapping" behavior has been observed in previous studies where the  
 125 Sc  $2p$  orbital was photoionized<sup>6</sup> and also in intense fs laser studies of  $\text{Ho}_3\text{N}@C_{80}$ <sup>5</sup>. The lack



of  $C_2$  fragmentation from the cage is a clear indication that the parent molecular ions visible in the mass spectrum have low internal energies. Note that the MCP detector was not floated in these experiments to provide high impact velocity, and thus the detection efficiency of the parent molecular ions is expected to be significantly lower than that for the small carbon species in the experiments. The other striking observation is the lack of highly charged fragment ions. The highest fragment charge state that can be clearly distinguished in the spectra is  $C^{2+}$  with possibly a small amount of  $Sc^{2+}$ . The low level of charging and corresponding lack of Coulomb explosion is also manifested by the rather narrow ion peaks and the dominance of the detection of single ions per shot (ca. 96 % of all shots that yield an ion signal), as illustrated in Fig. 1 (b). The most stable charge on the parent molecule is 2+ and 3+. This may be due to the stabilizing nature of the  $\pi$  electron delocalization in the system following ionization, which corresponds to a larger gap between the HOMO and LUMO orbital for the resultant multiply charged parent molecule<sup>39</sup>. Fig. 2 (b) shows the time-of-flight spectrum for the various singly-charged carbon fragments. We observe carbon fragments,  $C_n^+$  for  $n < 20$ . Some doubly charged atomic carbon is also observed, indicating that a portion of the carbon atoms in the cage lost multiple electrons either through their transfer from the cage to the moiety, or through electron collisions from the Auger electrons. What is surprisingly missing in the time-of-flight spectra is the observation of highly charged (>2+) states of Sc ions which one may have expected following Sc (1s) photo-ionization and subsequent Auger decay. That we mainly observe  $Sc^+$ , and only a small amount of  $Sc^{2+}$ , seems to be indicative of charge being efficiently transferred from the cage to the Sc ions.

In Fig. 2 (c), we show the yield of the singly charged carbon fragments for the two delay points, normalized to the number of shots and pulse energy per shot (see supplemental information figure S1 for the distribution of pulse energies for the two pump-probe experiments). The inset shows the Sc-containing fragment ion yields, which includes  $Sc^+$ ,  $ScC_2^+$ ,  $ScCN^+$ , and  $ScC_4^+$ . The latter three fragments are products requiring new bond formation since in the initial system, no direct bond existed between the three Sc and the  $C_{80}$  cage. Such new bonds are typically observed following ionization and multi-fragmentation of the cage, as seen previously for the case of FEL and fs optical laser-induced fragmentation of  $Ho_3N@C_{80}$ <sup>5,32</sup> as well as photo-ionization of Sc (2p) in  $Sc_3N@C_{80}$ <sup>6</sup> and intense ns optical laser photoionization/fragmentation of  $La@C_{82}$ <sup>4</sup>. On comparison of the two sets of data, the normalized ion yield is slightly higher for the small carbon species and, more significantly, the scandium-containing small fragments at 100 fs delay compared to 0 fs. Due to technical reasons of the two pulse scheme<sup>25</sup>, the only way single pulse spectra could be

obtained is by filtering out the probe pulse using an AI filter. This resulted in some absorption of the pump pulse, and thus the pulse energy for single pulse mode was different than what was used for the two pulse experiment. Single pulse spectra obtained for pulse energies of 80  $\mu\text{J}$  and 520  $\mu\text{J}$  show mostly similar trends in the relative intensities of the fragment ion peaks (see supplemental information figures S2, S3, and S4). The pulse energy variation between the two delays (0 fs and 100 fs) was about 5%, which should not change the fact that what we are seeing is predominantly single photon absorption (see supplemental information figure S5, which shows that the effect of this pulse energy variation is negligible for multiple ionization of parent molecules). Inside the cage, each Sc has contributed about 3 electrons to the cage and the nitrogen atom. This implies that the 3d and 4s levels are not occupied. The x-ray absorption first leads to a KLL process, leaving two L-shell holes. This can be followed by subsequent decay of two M-shell electrons to fill the holes. Since direct double ionization has a much lower probability than single Auger decay<sup>40</sup>, this would leave the system with the 4 electrons removed from the Sc. Electrons from the cage would then flow to the ionized Sc. If very little energy is transferred to the cage on the way out or via the charge rearrangement process then one could expect to see up to  $\text{Sc}_3\text{N}@C_{80}^{4+}$ . If the system absorbed more than one photon, then we would expect to see higher charge states. However, the stability of endohedral fullerenes is also dependent upon the  $\pi$ -orbital delocalization of the system<sup>39</sup>, and does not support high charge states beyond 5+. For the case of single photon absorption, one can definitely create multiple charge states on the Sc site. However, as also observed in previous studies of Sc  $2p$  ionization<sup>6</sup>, competing processes of cascade ionization and charge rearrangement from the cage to the moiety leads to fragmentation, as it becomes more and more unfavorable for the system to remain stable with increasingly higher charge states. Therefore, further ionization of the cage would result in instability of the system, manifested by cage opening/break up. This ensures that the products which will show time-dependent features are fragments ensuing from charge rearrangements by the cage. Such fragments are  $\text{Sc}^+$ ,  $\text{ScCN}^+$ ,  $\text{ScC}_2^+$ ,  $\text{ScC}_3^+$ , and so on. It is expected that if the two photon processes are initiated by the absorption of the pump and the probe pulses by the same system, then the newly formed  $\text{ScC}_n^+$  would further ionize and fragment.

In the present case, differences between the two delay points include a significant non-linear increase of the  $\text{C}_3^+$  intensity relative to the other small carbon species and the  $\text{Sc}^+$  intensity relative to the other Sc-containing fragments at the higher pulse energy, which may be indicative of 2-photon processes. In order to obtain more evidence for this we consider the ions that are detected

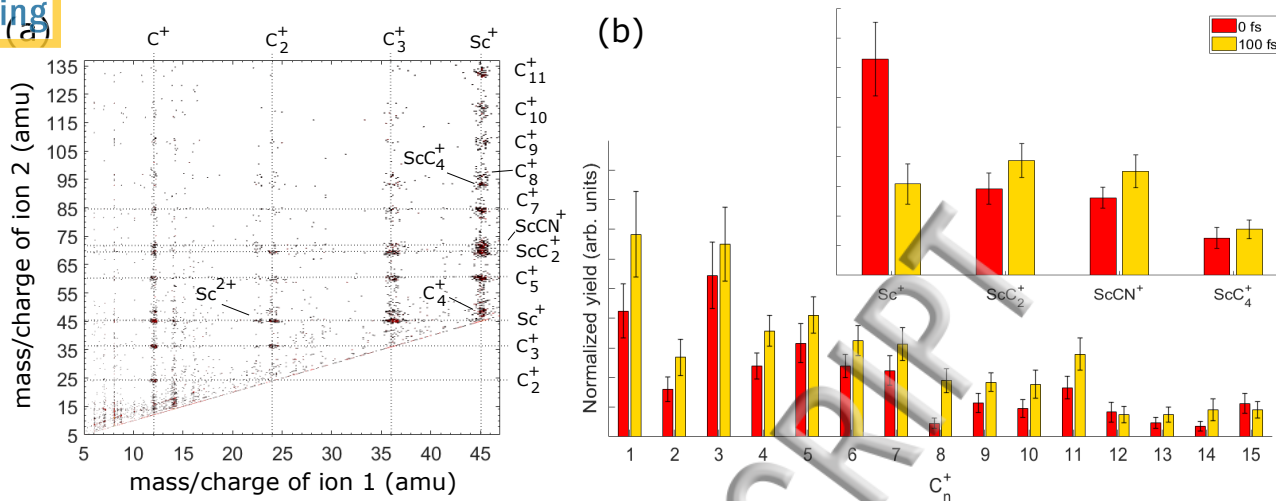


FIG. 3. (a) Photo-ion photo-ion coincidence map at the pump-probe delay of 100 fs. The mass/charge ratio is derived directly from the time-of-flight. Ions 1 and 2 are the first and second ions to hit the detector for a given FEL shot. (b) The yield corresponding to the two pump-probe delays for different carbon fragments coincident with the detection of  $\text{Sc}^+$ . The inset in (b) shows the yield for species produced due to a newly formed bond between the Sc ions and fragments originating from the carbon cage. In both cases for (b), the yield is normalized by the number of FEL shots for the two pump-probe delays. Note that Fig 2 (c) and insets are plotted on the same scale as (b).

190 in coincidence.

191 Fig. 3 (a) shows a portion of the photo-ion photo-ion coincidence (pipico) map for ions rele-  
 192 vant to  $\text{Sc}^+$  and small carbon fragment ions. Since the MCP detector count rate was  $\ll 1/\text{shot}$   
 193 (about 5% of the FEL shot produced any hits on the MCP for the two pulses), we assume the ions  
 194 coincident with each other evolved from the same molecule. The prominent partner ions detected  
 195 in coincidence with  $\text{Sc}^+$  are  $\text{C}_n^+$  with  $n$  spanning  $n = 1 - 18$ . Note that it is not possible to rule out  
 196 the contribution of doubly or triply charged species with the same mass/charge ratio as the singly  
 197 charged species. However a consideration of the peak shapes in the mass spectra would indicate  
 198 that the contribution of multiply-charged species is very low.

199 In Fig. 3 (b), we also see a larger intensity for coincidences between  $\text{Sc}^+$  and  $\text{C}_n^+$  for the 100 fs  
 200 delay data, particularly for the smallest carbon species. There is a more obvious difference in the  
 201 coincidences between  $\text{Sc}^+$  and scandium-containing fragment ions with a very significant decrease  
 202 in the  $\text{Sc}^+$  -  $\text{Sc}^+$  coincidence rate for a delay of 100 fs and increase in the  $\text{Sc}^+$  -  $\text{ScC}_2^+$  and  $\text{Sc}^+$  -  
 203  $\text{ScCN}^+$  intensities. From the pipico data, we also observe indications of  $\text{Sc}^{2+}$  coincident with  $\text{Sc}^+$ ,

with the prominent cage fragment  $C_3^+$ , and with ions where pieces of the cage have formed new bonds with atoms originating inside the cage, i.e.  $ScC_2^+$  and  $ScCN^+$ . As there are large neutral fragments from the original molecule not accounted for, these pipico structures do not form sharp lines, but rather small blobs, as long as the remaining fragment(s) do not carry the majority of the dissociation momentum. Somewhat surprisingly, we do not observe a noticeable amount of  $Sc^{2+}$  coincident with species other than  $Sc^+$ , although we also expect these coincidences to be difficult to identify due to the higher level of multi-body fragmentation, further blurring out the ion-ion coincidence islands. There are also some indications of carbon fragment ions being produced in coincidence with other carbon fragment ions, such as  $C^+ + C_2^+$ ,  $C^+ + C_3^+$ ,  $C^+ + C_4^+$ ,  $C^+ + C_5^+$ ,  $C^+ + C_7^+$  and others.

A consideration of both the full mass spectra and the coincidence data allows us to draw some interesting conclusions about the ionization and fragmentation behavior of the  $Sc_3N@C_{80}$  molecule. The full mass spectra, that we believe to be dominated by single-photon absorption, provide evidence for two distinct processes. Firstly, the strong signal from intact parent molecular ions with charge states from 2+ to 4+ is indicative of Auger cascades involving predominantly the Sc. The doubly charged species arises from a single KLL Auger transition from the Sc (2p) with the emission of a high energy electron. **If we consider the role of the cage which encapsulates the moiety, then we would see that  $C_{80}^{4-}$ , which is the charge state of the cage after charge rearrangement followed by removal of 2 electrons from a single Sc, is as stable as  $C_{80}^{6-}$ , which is the charge states attained by the cage in the neutral system<sup>39</sup>. This is analogous to stating that  $Sc_3N@C_{80}^{2+}$  is as stable as the neutral system due to the interplay of the charge stabilization by the  $\pi$  orbital and the strain effect due to Coulomb repulsion.** Triply and quadruply charged species most likely arise from LMM Auger decays within Sc. Considering that, within the cage, Sc exists on average in a 2.4+ charge state, no further Auger decays within the Sc system to produce higher charge states are possible. For the highest observable charge state, this would leave a Sc ion with an electron configuration of  $1s^22s^22p^43s^23p^6$ . Any subsequent re-arrangement of electrons within the endohedral fullerene system to compensate for the high charge on the Sc ion would transfer electrons either from neighboring species inside the cage or from the carbon atoms in the cage. The lack of  $C_2$  evaporation from these parent molecular ion species, such as  $Sc_3N@C_{78}$ ,  $Sc_3N@C_{76}$  and so on, (see Fig. 2 (a)) is a clear indication that energy transferred to internal vibrational energy of the cage is insufficient to produce any fragmentation on the microsecond timescale in which the ions reside in the extraction region of the mass spectrometer. This would be expected for sequential

317 transfer of electrons from the carbon cage to the Sc ( $n = 3$ ) shell. It can therefore be assumed that  
237 to produce these intact parent molecular ions, the energetic electrons emitted from the KLL and  
238 LMM cascades do not collide inelastically with the carbon cage while exiting the molecule. If  
239 however, there is an inelastic collision between the 300-400 eV electrons from the LMM cascades  
240 with the carbon cage, this will provide enough internal excitation to induce a phase transition in  
241 the hot molecule<sup>41</sup> leading to rapid cage break-up and the characteristic mass spectrum of small  
242 carbon fragment ions that we observe. Extensively studied fragmentation patterns using multipho-  
243 ton laser excitation<sup>42</sup> or collisional excitation<sup>43</sup> transfer a wide range of energies to the fullerenes  
244 and typically produce a bimodal fragment distribution. Here, we either transfer sufficient energy  
245 via inelastic transfer of energy from electrons produced within the cage to cause rapid statistical  
246 cage break-up and the formation of singly-charged ring and chain fragments or there is insuffi-  
247 cient energy transferred to evaporate  $C_2$  from the cage on the timescale of the mass spectrometry  
248 detection, leaving intact parent molecular ions.

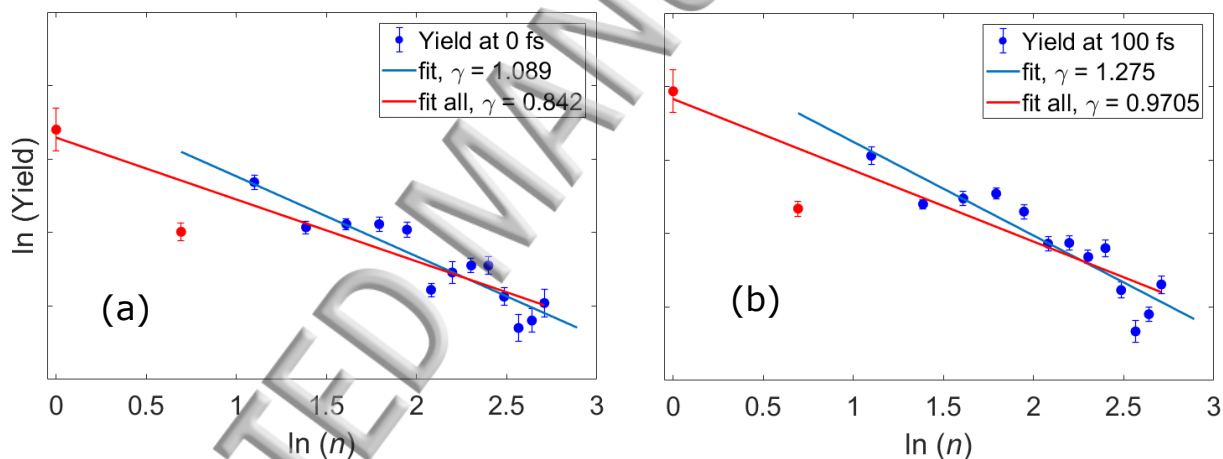


FIG. 4. Logarithmic total yields of  $C_n^+$  for (a) 0 fs and (b) 100 fs. In both panels the blue lines show the linear fits considering fragments  $C_3^+$  to  $C_{15}^+$ , with the first two carbon fragments ( $C^+$  and  $C_2^+$ ) excluded (shown as red dots). In (a) and (b), the red lines show the linear fits considering all the carbon fragments.

Both graphs have the same scale.

249 The statistical break-up of internally excited fullerene cages had been modeled previously us-  
250 ing both a percolation model<sup>44</sup> and a maximum entropy model<sup>41</sup>. In the bond percolation model,  
251 a power-law distribution for the small cage fragment species is predicted. The fragment ion inten-  
252 sities for both the 0 fs and 100 fs delay data have been plotted on a  $\ln$ - $\ln$  plot in Fig. 4. Figures  
253 4 (a) and (b) show that the data fits reasonably well to the expected power law behavior for all

fragments from  $C_3^+$  to  $C_{15}^+$ , as given by:  $S_n \propto n^{-\gamma}$ . Here  $S_n$  is the yield of carbon fragments,  $C_n^+$ ,  
and  $n$  is the number of carbon atoms, while  $\gamma$  is the fit parameter. The goodness of the fit,  $R^2$ ,  
for the blue fit lines for Fig. 4 (a) and (b) are 0.63, 0.82 respectively. For the fragmentation of  
a cage system such as  $C_{60}$ , it has been shown previously that due to finiteness and the periodic  
nature of the system,  $\gamma \approx 1.3$  is obtained for a fullerene system undergoing a phase transition by  
emitting small carbon molecular fragments<sup>44</sup>. This value of  $\gamma$  is a consequence of the amount of  
energy transferred to the fullerene in the highly charged ion collisions<sup>44</sup> after averaging over the  
impact parameter dependence of the energy transfer. The gradient will change depending on how  
much internal energy is present in the system. For very high amounts of internal energy,  $\gamma$  will be  
higher since the fragment distribution will shift more to smaller mass ions. Since, neither from the  
consideration of  $C^+$  and  $C_2^+$  nor from their omission in the overall ln-ln fit, we did not obtain value  
of  $\gamma$  higher than 1.3 for any of the delays, it can be said that the measured distribution is consistent  
with the power law behavior expected from statistical break-up as predicted by the percolation  
model, in analogy with nuclear multi-fragmentation<sup>45,46</sup>.

The maximum entropy model as applied to  $C_{60}$  shows similar behavior but is able to reproduce  
variations in the relative intensities, including the lower than predicted yield for  $C^+$  and  $C_2^+$  by  
taking the ionization energies and binding energies of all possible fragments into consideration<sup>41</sup>.  
For  $C_{60}$  the internal energy leading to the observation of the small carbon ring and chain fragment  
ions was shown to be at about 230 eV<sup>41</sup> while for the larger endohedral system  $La@C_{82}$  it was  
predicted to be at about 300 eV<sup>4</sup>. A simple extrapolation based on the number of degrees of free-  
dom for  $Sc_3N@C_{80}$  would lead to an expected threshold for the phase transition of about 320 eV.  
A lower energy would be expected if the cage was multiply charged. This energy is consistent  
with the electron energies produced by the LMM Auger transitions in Sc. The observed behavior  
is thus supporting a model in which the cage is efficiently excited (possibly further ionized) by  
interaction with Auger electrons as they exit the molecule, leading to a rapid energy equilibra-  
tion followed by cage break-up into predominantly singly-charged fragments. Application of the  
maximum entropy model to  $La@C_{82}$  fragmentation was also shown to reproduce the formation of  
 $La@C_n^+$  fragments, similar to the scandium-containing ions observed in the current experiments<sup>4</sup>.  
The statistical nature of the break-up will also discriminate against highly charged ions in the  
maximum entropy model due to their relatively higher ionization energies. The consideration of  
established results from both these models provide further support for our assertion that the single  
photon absorption process from the pump pulse alone initiates the cage breakup in the present

287 If we again turn our attention to the coincidence data in Fig. 3 (b), we see small but significant  
288 differences between the 0 fs and the 100 fs data which may be indicative of two-photon processes  
289 and the timescale of intramolecular electron transfer events. The increase in the intensity of co-  
290 incidences between  $\text{Sc}^+$  and small scandium-containing fragment ions compared to coincidences  
291 between two singly-charged scandium ions could be due to the dynamics of electron transfer from  
292 the carbon cage to the photoionized Sc ions. At 0 fs delay, all direct or Auger induced photoion-  
293 ization can be expected to occur on the 10 fs timescale. Any two-photon absorption is likely to  
294 produce two charged Sc species within the cage giving a relatively high probability to detect a  $\text{Sc}^+$   
295 -  $\text{Sc}^+$  coincidence signal for 0 fs delay (taking into consideration the energy and charge equili-  
296 bration that will take place as the system is undergoing the rapid phase transition and break-up).  
297 After a delay of 100 fs, there is time for electron transfer from the cage carbon atoms to the highly  
298 charged Sc to occur, transferring the positive charge to the cage prior to the absorption of the sec-  
299 ond photon, and therefore giving a higher probability to detect more small charged carbon species  
300 in coincidence with  $\text{Sc}^+$  on the second (P)-(A) cycle. The higher yield of coincidences between  
301  $\text{Sc}^+$  and scandium-containing fragment ions for 100 fs delay may be caused by the initiation of the  
302 cage break-up on this timescale after the first photon absorption. **In the total count of  $\text{Sc}^+$ , as seen**  
303 **in Fig. 2 (c), we do not see a significant difference between the two delays. K-shell ionization of**  
304 **two neighboring Sc is more likely to give  $\text{Sc}^+$  -  $\text{Sc}^+$  coincidences than in the single photon case.**  
305 **We can see from Fig. S3 that the single pulse  $\text{Sc}^+$  -  $\text{Sc}^+$  peak is much lower in intensity than the**  
306 **pump-probe coincidence data in Fig. 3. This is reasonably convincing evidence that a majority of**  
307 **the intensity of the  $\text{Sc}^+$  -  $\text{Sc}^+$  coincidence peak in Fig. 3 is coming from the pump-probe. At 100**  
308 **fs, the Fig. 3 distribution is much closer to that of Fig. S3, and we can interpret that as the holes**  
309 **in the first ionized Sc being refilled due to transfer from the cage on a sub-100 fs timescale, so**  
310 **that for a delay of 100 fs the Sc is basically similar to that of the pristine molecule with the charge**  
311 **transferred to the carbon cage.**

#### 312 IV. SUMMARY AND CONCLUSION

313 We have experimentally probed the photo-ionization and fragmentation behavior of  $\text{Sc}_3\text{N@C}_{80}$   
314 following K-shell core ionization of the encapsulated Sc. The mass spectra provide evidence for  
315 two processes leading to distinct signatures in the ion distributions: (i) multiple ionization of the

317 present molecular ion via Auger cascades that transfer sufficiently little energy to vibrational exci-  
318 tation of the molecule to survive intact during the microsecond timescale of the mass spectrometer  
319 and (ii) high-energy transfer to the cage followed by cage break-up and the production of small,  
320 predominantly singly-charged fragment ions. Pump-probe measurements at delays of 0 fs and 100  
321 fs provided additional evidence for a "slow" ( $> 10$  fs) electron transfer between the carbon cage  
322 and the multiply-ionized Sc ions. Due to the "all or nothing" nature of the energy transfer to the  
323 cage, the mass spectra look quite different to the typical bimodal fragment distributions that are  
324 normally observed for fragmenting fullerene species. The absence of highly charged species is also  
325 an unusual feature for K-shell excitation studies and is thought to be a consequence of the particu-  
326 lar geometry of the endohedral species and the highly statistical nature of fullerene fragmentation.  
327 Although the current data is of a rather preliminary nature and requires further experimental and  
328 theoretical study to fully unravel the complex dynamics of the studied system, we have shown  
329 the feasibility of x-ray FEL pump-probe experiments to probe the complex electron and nuclear  
330 dynamics of large molecular systems.

### 330 SUPPLEMENTARY MATERIAL

331 See 'Supplementary Information' for the figures S1, S2, S3, S4 and S5 mentioned in the  
332 manuscript.

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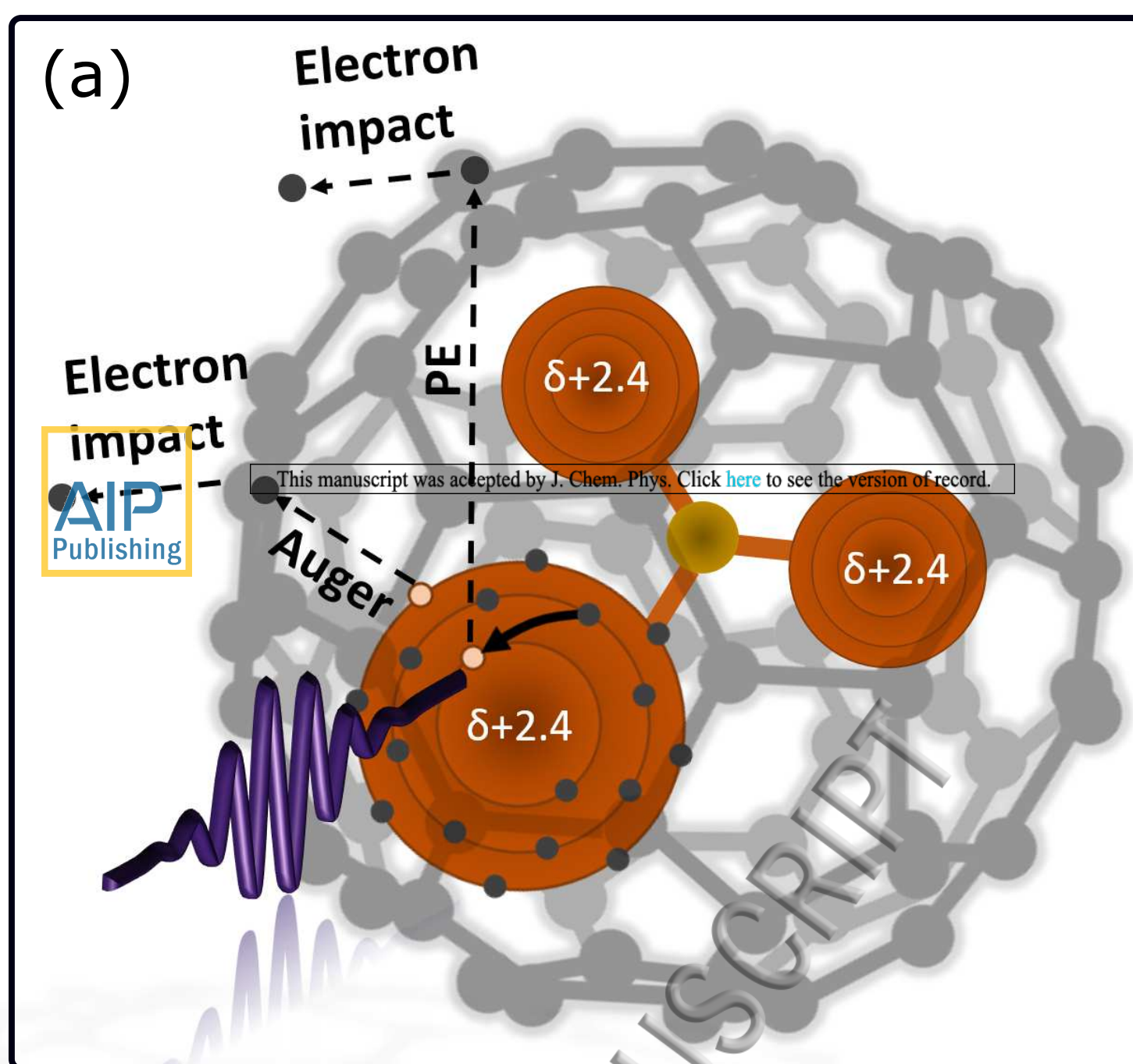
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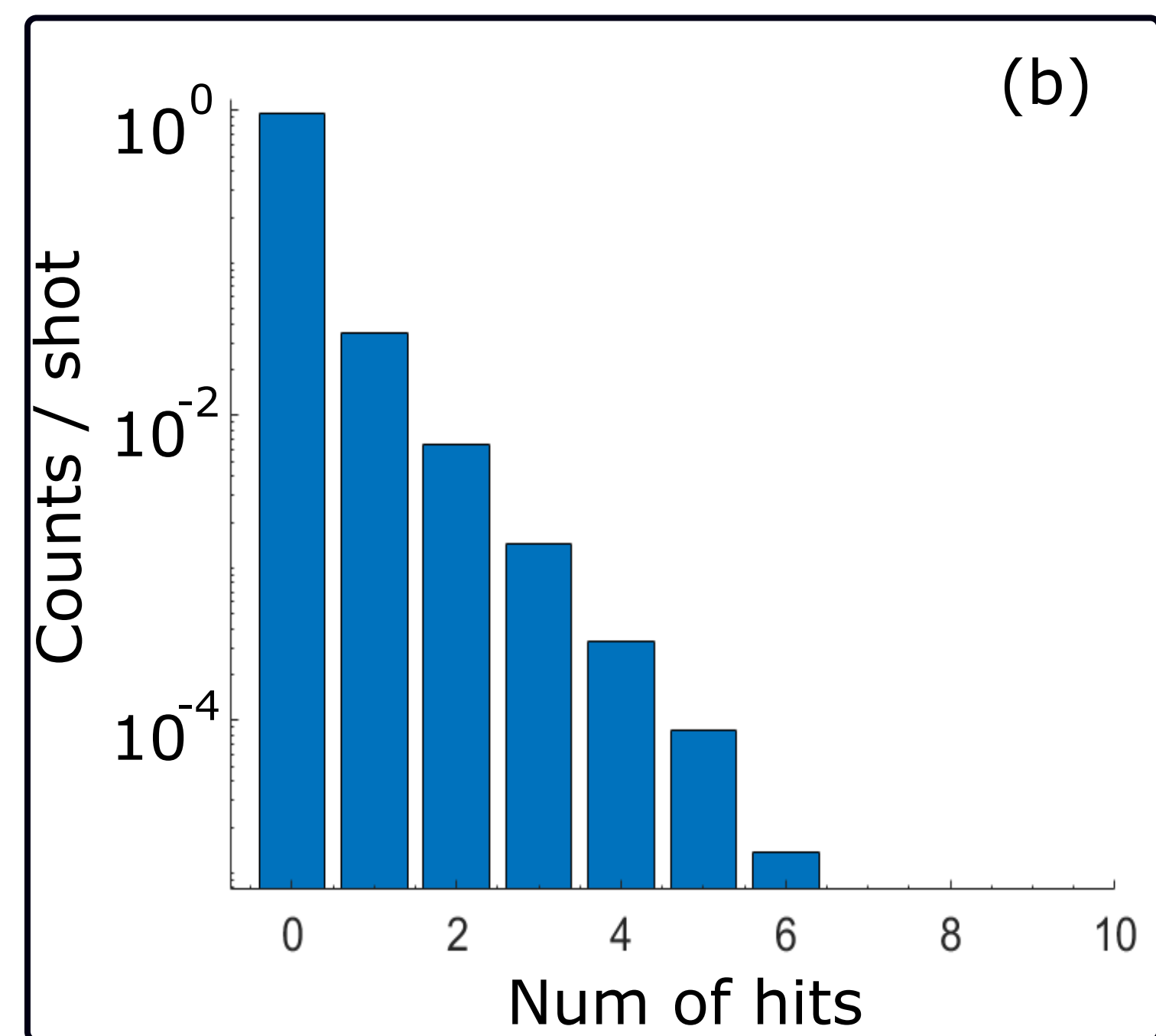
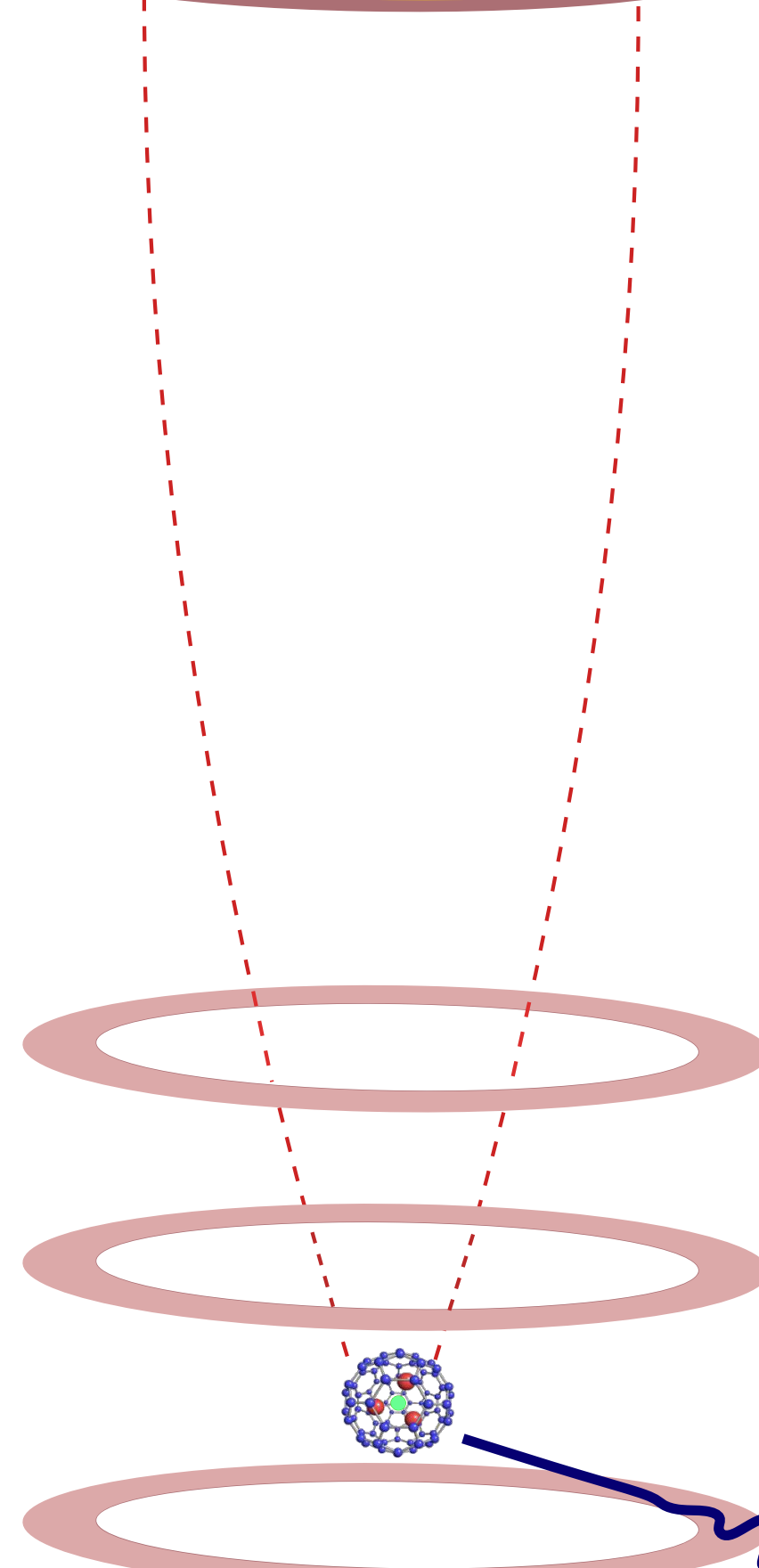
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MCP for ion TOF



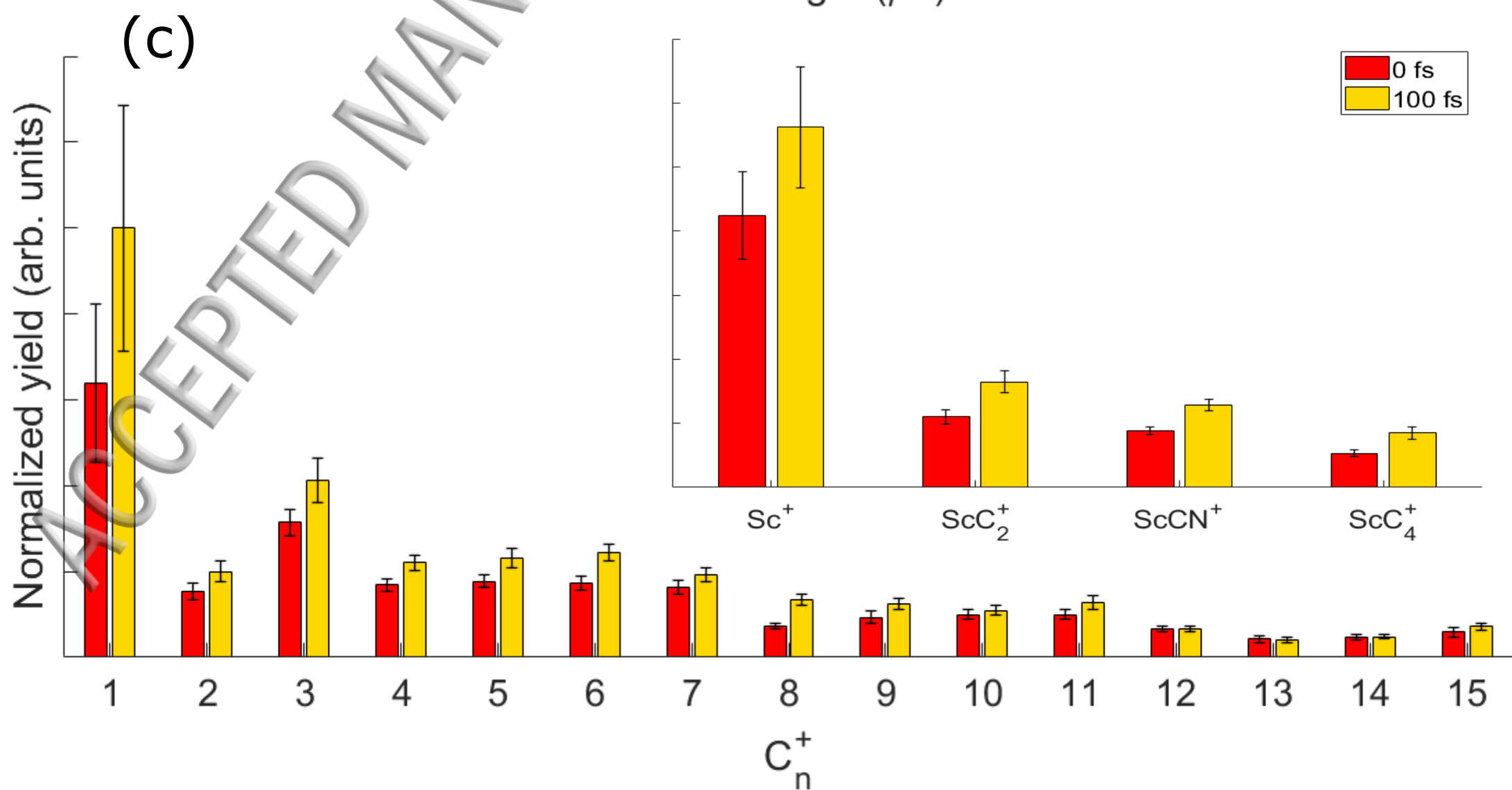
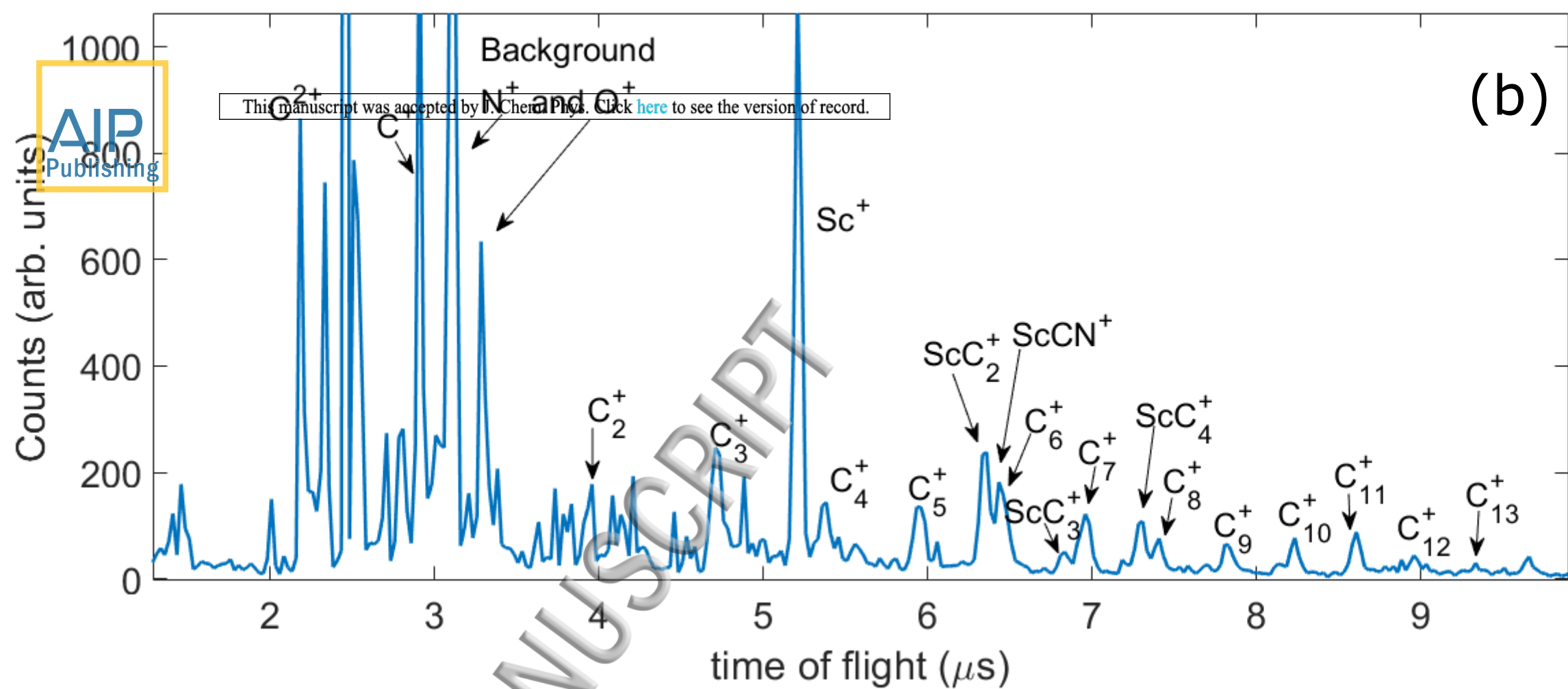
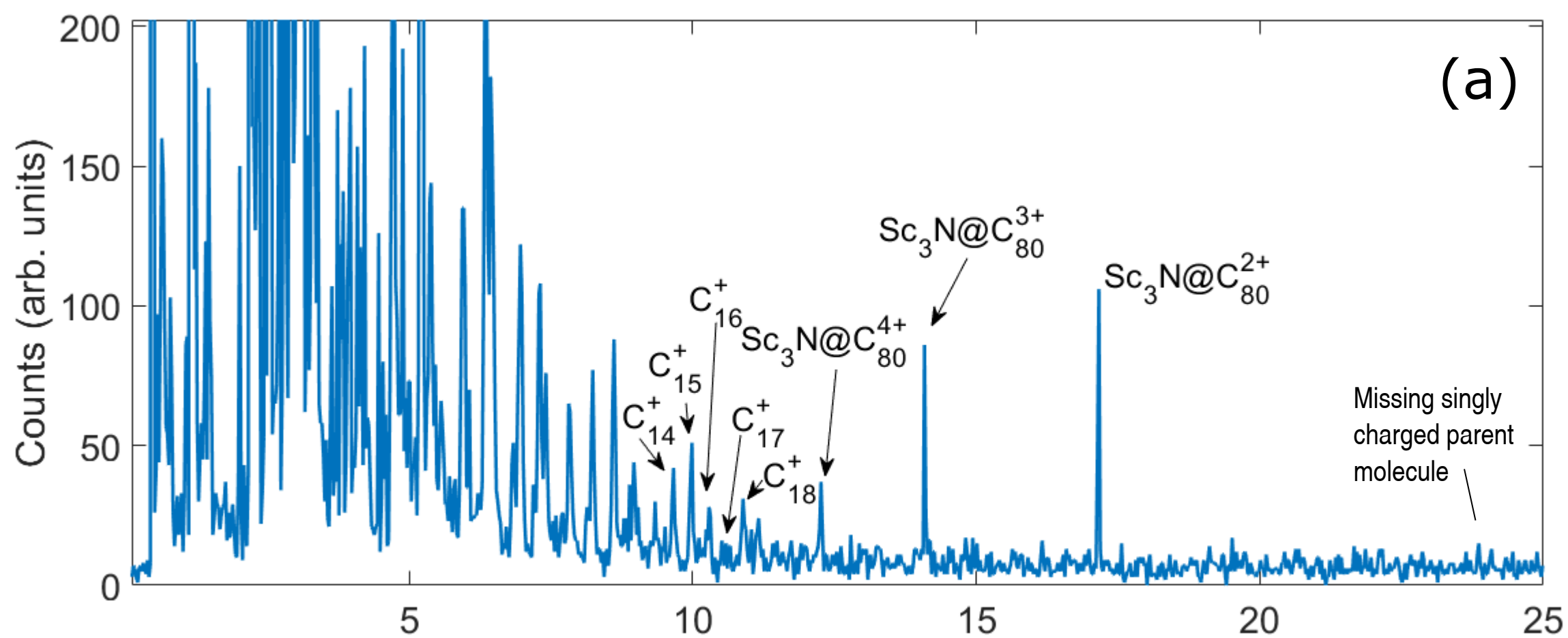
Oven dispensing  $Sc_3N@C_{80}$

ACCEPTED MANUSCRIPT

4.55 keV  
FWHM = 13.11 eV

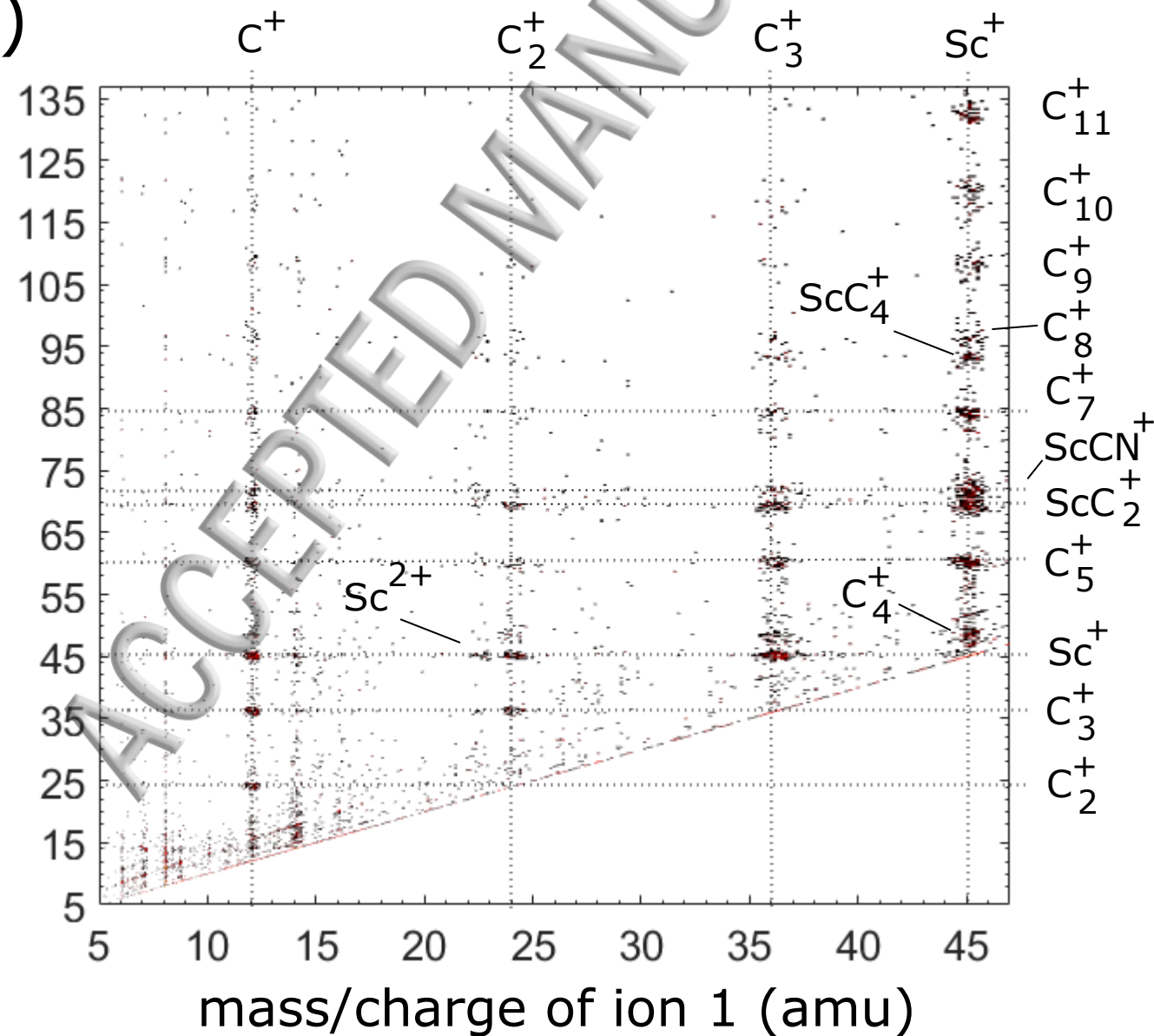
Pump and probe pulses with delays

5.0 keV  
FWHM = 69.79 eV



(a)

mass/charge of ion 2 (amu)



(b)

Normalized yield (arb. units)

