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1 Phylogenetic reclassification of vertebrate melatonin  
2 receptors to include Mel1d

3

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15

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18

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## ABSTRACT

30

31 The circadian and seasonal actions of melatonin are mediated by high affinity G-  
32 protein coupled receptors (melatonin receptors, MTRs), classified into  
33 phylogenetically distinct subtypes based on sequence divergence and  
34 pharmacological characteristics. Three vertebrate MTR subtypes are currently  
35 described: MT1 (MTNR1A), MT2 (MTNR1B), and Mel1c (MTNR1C / GPR50), which  
36 exhibit distinct affinities, tissue distributions and signaling properties. We present  
37 phylogenetic and comparative genomic analyses supporting a revised classification  
38 of the vertebrate MTR family. We demonstrate four ancestral vertebrate MTRs,  
39 including a novel molecule hereafter named Mel1d. We reconstructed the evolution  
40 of each vertebrate MTR, detailing genetic losses in addition to gains resulting from  
41 whole genome duplication events in teleost fishes. We show that Mel1d was lost  
42 separately in mammals and birds and has been previously mistaken for an MT1  
43 paralogue. The genetic and functional diversity of vertebrate MTRs is more complex  
44 than appreciated, with implications for our understanding of melatonin actions in  
45 different taxa. The significance of our findings, including the existence of Mel1d, are  
46 discussed in an evolutionary and functional context accommodating a robust  
47 phylogenetic assignment of MTR gene family structure.

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## INTRODUCTION

51

52 Melatonin is an ancient eukaryotic signalling molecule that regulates diverse  
53 biological functions. While best known as a regulator of biological rhythms in  
54 humans, this hormone also regulates energy balance, temperature, behavior, blood  
55 pressure, and seasonal reproduction. Melatonin is secreted by the pineal gland and  
56 targets the brain as well as peripheral tissues (Hardeland *et al.* 2011, Slominski *et al.*  
57 2012), but is also produced by several tissues, eliciting paracrine effects (Weaver  
58 and Reppert 1990). The actions of melatonin depend on the spatiotemporal  
59 expression of high-affinity melatonin receptors (MTR), representing a specific class of  
60 G protein-coupled receptor (GPCR).

61

62 Three paralogous MTR family members have been characterized in jawed  
63 vertebrates, namely MT1 (Mel1a / MTNR1A), MT2 (Mel1b / MTNR1B), and Mel1c  
64 (MTNR1C / GPR50 in mammals) (Reppert *et al.* 1994, 1995a, 1995b). Despite  
65 showing overlap in expression, these different MTRs have evolved unique functions.  
66 MT1 has a higher affinity for melatonin than MT2 (Dubocovich and Markowska 2005),  
67 and in mammals, Mel1c has lost the ability to bind melatonin (Dufourny *et al.* 2008),  
68 though it does modulate melatonin signaling via its association with MT1 (Levoye *et al.*  
69 2006). While MT1 associates with a range of G proteins to activate several distinct  
70 signalling pathways, eliciting wide-ranging cellular effects (Witt-Enderby *et al.* 2003),  
71 MT2 associates with a single G protein (Jockers *et al.* 2008). Owing to such  
72 functional divergence, different MTRs may have very distinct biological effects, even  
73 when expressed in the same cell types (e.g. Dubocovich and Markowska 2005).

74

75 A past study demonstrated melatonin binding in the brain of jawed vertebrates and  
76 lamprey, but not in hagfishes or amphioxus (Vernadakis *et al.* 1998). Thus, it is likely  
77 that high-affinity MTRs were present in the vertebrate ancestor, and were secondarily  
78 lost in some jawless fishes, as noted for several other traits (*e.g.* reduction of  
79 vertebrae-like elements - Ota *et al.* 2011; *Dlx* genes - Sugahara *et al.* 2013; reviewed  
80 in Kuraku 2013). MTR-like GPCR genes have also been discovered in urochordates,  
81 cephalochordates, hemichordates and echinoderms (Kamesh *et al.* 2008, Nordstrom  
82 *et al.* 2008, Krishnan *et al.* 2013), but their evolutionary affinity to the vertebrate  
83 MTRs remains ambiguous. The distinct MTRs of jawed vertebrates potentially  
84 originated during two rounds (2R) of whole genome duplication (WGD) at the stem of  
85 vertebrate evolution (*e.g.* Dehal and Boore, 2005), though this is yet to be  
86 established. Additional expansions in the MTR family of fishes (*e.g.* Shang &  
87 Zhdanova 2007; Hong *et al.* 2014) may owe to a further round of teleost-specific  
88 WGD ('Ts3R') in the common teleost ancestor, or additional lineage-specific WGD  
89 events in some lineages, *e.g.* the salmonid-specific 4R ('Ss4R') (Macqueen and  
90 Johnston, 2014; Lien *et al.* 2016), though, again, this has not been properly explored.

91

92 The overarching goal of this study was to re-examine the evolutionary history of  
93 vertebrate MTRs, using data in publically-available sequence databases for robust  
94 phylogenetic and comparative genomic reconstructions. Our findings concretely  
95 demonstrate a fourth ancestral MTR ('Mel1d'), along with teleost-specific expansions  
96 in MTR diversity, likely owing to Ts3R and Ss4R. With a new evolutionary framework  
97 in place we reinterpret findings on vertebrate MTR sequence divergence and  
98 expression from past studies. Overall, this study highlights substantial unexplored  
99 diversity in MTR signalling within vertebrates, pointing to new lines of investigation.

100

## MATERIALS AND METHODS

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102

### 103 **Sequence and phylogenetic analyses**

104 Amino acid sequences encoded by MTR or FAT protocadherin family member genes  
105 were collected from representative jawed vertebrate species with high-quality  
106 genome assemblies. Details of these sequences are given in Table S1 (MTR) and  
107 Table S2 (FAT), which include database accession numbers and nomenclature  
108 matching the findings of our phylogenetic analyses. As a start point for the analysis,  
109 MTR/FAT proteins of human (*i.e.* MT1/MT2/Mel1c/GPR50 or FAT1/2/3) were used as  
110 queries in BLASTp (Altschul *et al.* 1997) searches to identify homologues within the  
111 NCBI database (<https://www.ncbi.nlm.nih.gov/>). We also used the Ensembl genome  
112 browser (<https://www.ensembl.org/>) to collect MTR family proteins from several  
113 species, using the EnsemblCompara method (Vilella *et al.* 2009).

114

115 The sequences were aligned using MAFFT v.7 (Katoh and Standley, 2013) with  
116 default settings and subjected to quality filtering using GBlocks with default settings  
117 (Talavera and Castresana, 2007). Final alignments of 300 (MTR) and 2,540 (FAT)  
118 amino acid positions (Additional Dataset 1) were used for tree building, done using  
119 Bayesian (BY) and maximum likelihood (ML) (MTR) or just ML (FAT) methods. ML  
120 trees were generated using IQ-TREE (Nguyen *et al.* 2015) via the IQ-TREE  
121 webserver (Trifinopoulos *et al.* 2016), employing the best-fitting amino acid  
122 substitution model selected with ModelFinder (Kalyaanamoorthy *et al.* 2017) under  
123 the Bayesian information criterion. The best fit models were JTT+F+I+ G4 for MTR  
124 and JTT+G4+I for FAT, where 'JTT' is the general matrix of Jones *et al.* 1992, '+I'  
125 includes empirical estimation of the proportion of invariant sites, '+F' includes  
126 empirical estimation of amino acid frequencies and '+G4' denotes estimation of the

127 gamma distribution parameter with 4 rate classes. The stability of branching in the  
128 ML trees was assessed using 1,000 ultrafast bootstrap replicates, (Hoang *et al.*  
129 2018). The BY analysis (MTR dataset) was done in BEAST v1.8.3 (Drummond *et al.*  
130 2012), employing an uncorrelated relaxed clock model (Drummond *et al.* 2005) and a  
131 Yule speciation prior (Gernhard, 2008), along with the best-fitting substitution model  
132 selected by IQ-TREE. A Markov chain Monte Carlo (MCMC) chain of 50 million  
133 generations was generated and sampled every 5,000 generations. Convergence of  
134 the MCMC chain was assessed using Tracer v1.7.1 <http://beast.bio.ed.ac.uk/tracer>.  
135 A maximum clade credibility tree was generated in TreeAnnotator (Drummond *et al.*  
136 2012) after removal of the first 10% sampled trees.

137

### 138 **Comparative genomic and sequence analyses**

139 Synteny analyses were performed using Ensembl genome browser annotations via  
140 the Genomicus platform (Nguyen *et al.* 2018). These analyses were supplemented  
141 with data from NCBI GenBank for species not available in Ensembl. Gene prediction  
142 and annotation for *Lethenteron camtschaticum* was performed using FGENESH  
143 (Solovyev *et al.* 2006). Comparative analyses of MTR family amino acid sequences  
144 was done using the final alignment described above (note: the Gblocks filtering step  
145 served to remove flanking regions outside the transmembrane/loop regions, which  
146 were unaltered). The sequence similarity of the proposed vestigial MTR-like  
147 pseudogenes identified in our synteny analyses was established using BLASTx  
148 within the NCBI database.

149

### 150 **Data Availability**

151 Supplemental material described in the paper is available at Figshare:  
152 <https://gsajournals.figshare.com/s/56f29e83cc0ec8748842>. Fig. S1. ML phylogenetic



153 analysis of MTRs in vertebrates. This analysis was done using IQ-TREE with a high-  
154 confidence alignment of eighty MTRs (300 amino acid positions; Additional Dataset  
155 1) and the best-fitting amino acid substitution model (JTT+F+I+G4). Numbers on  
156 branches are bootstrap support values. Other details as in the Fig. 1 legend (see  
157 main text) Table S1 provides details of all protein sequences used for phylogenetic  
158 analyses of the vertebrate MTR family. Table S2 provides details of all sequences  
159 used for phylogenetic analyses of the vertebrate FAT protocadherin family  
160 Additional Dataset 1 is the MTR sequence alignment used for phylogenetic analysis  
161 and comparative sequence analysis. Additional Dataset 2 is the FAT alignment used  
162 for phylogenetic analysis.

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## RESULTS

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### 183 **Four MTRs are retained in jawed vertebrates**

184 We identified eighty unique MTR family member proteins in sequence databases  
185 representing a standardized set of eighteen jawed vertebrate lineages (see  
186 MATERIALS AND METHODS). A phylogenetic analysis was done using a BY  
187 method (Fig. 1) incorporating a relaxed molecular clock model, which allows  
188 estimation of the most plausible root location in the tree (Drummond *et al.* 2006; *e.g.*  
189 Macqueen and Wilcox 2014; Redmond *et al.* 2018). Four distinct MTR clades (Fig. 1)  
190 had strong statistical support (posterior probability, PP: >0.96), and each was  
191 represented by cartilaginous fish, as well as ray-finned and lobe-finned fish lineages,  
192 with branching patterns closely matching expected species phylogeny (Fig. 1). Three  
193 of these clades correspond to known ancestral vertebrate MTR family members (*e.g.*  
194 Dufourny *et al.* 2008). The fourth clade is hereafter named 'Mel1d'. The same four  
195 clades were strongly supported in an unrooted ML phylogenetic analysis (bootstrap  
196 support: >96%) congruent with the BY tree (Fig. S1).

197

198 These analyses indicate that four distinct MTRs existed in the jawed vertebrate  
199 ancestor. However, the phylogenetic affinity of the four MTRs remains equivocal in  
200 the BY analysis, with moderate support for Mel1d/MT1 (PP: 0.87) and MT2/Mel1C  
201 (PP: 0.53) being paralogues, which can be explained parsimoniously by 2R (Fig. 1).

202

### 203 **Evolutionary history of individual vertebrate MTRs**

204 Expanding on the above findings, we reconstructed a more detailed evolutionary  
205 history for each ancestral MTR in jawed vertebrates, accommodating gene losses, in  
206 addition to gains resulting from WGD events in teleosts (summarized in Fig. 2).

207

208 Mel1d was encoded by a single gene in all represented species (Fig. 1, Fig. 2a)  
209 including teleosts, consistent with the loss of any paralogues created during Ts3R  
210 and Ss4R. In lobe-finned fish, Mel1d was identified in a coelacanth, an amphibian,  
211 and two reptiles, but was not identified in the mammals and birds represented in our  
212 trees (Fig. 2a). As only a small number of bird and mammals were included, we  
213 decided to search more broadly for Mel1d orthologues. Hence, BLAST searches of  
214 the complete set of proteins stored in NCBI for mammals (~4.6 million) and birds  
215 (~2.8 million) were done using reptile Mel1d orthologues as the query. Though  
216 hundreds of bird and mammal genomes are available in NCBI with protein-level  
217 annotations (spanning the diversity of each lineage), the top mammal/bird hit for  
218 reptile Mel1D was always MT1/MTNR1A (not shown). Considering our current  
219 understanding of amniote phylogeny (*e.g.* Chiari *et al.* 2012), our data requires that  
220 independent losses of Mel1d occurred in the ancestors to birds and mammals.

221

222 For all studied vertebrate species outside teleosts, we identified one copy of MT1,  
223 barring spotted gar, where MT1 was not identified (Fig. 1, Fig. 2b); its trace was  
224 retrieved in the genome after further analyses (see section below), representing a  
225 sequence annotated as a pseudogene. Several teleost species retain two or more  
226 ancestral MT1 copies (PP: 0.99, Fig. 1), which can be explained by Ts3R. These  
227 duplicates have been annotated in zebrafish as “Mtnr1aa” and “Mtnr1ab” (ZFIN 2008  
228 - ZNC nomenclature, cloned as “ZMel1a1” and “ZMel1a3” by Shang & Zhdanova  
229 2007). Consequently, we maintained the same ‘a’ and ‘b’ nomenclature in all species

230 according to inferences of orthology with zebrafish (Fig. 1). The two teleost-specific  
231 MT1 paralogues were not present in all teleost lineages, with MT1b absent from the  
232 studied acanthopterygians (tilapia and pufferfish). Salmonid-specific paralogues of  
233 MT1a (MT1a1 and 1a2) were identified, ancestral to three salmonid species (PP: 1.0,  
234 Fig. 2b), consistent with retention from Ss4R, though only a single copy of MT1b was  
235 retained in the same three species, suggesting ancestral loss following Ss4R (Fig. 1,  
236 Fig. 2b).

237

238 We identified one copy of MT2 in non-teleost vertebrate lineages, and evidence for  
239 teleost-specific paralogues (Fig. 2c). Two MT2 paralogues were identified in  
240 Ostariophysi members (zebrafish and Mexican cavefish) and northern pike  
241 (Protacanthopterygii); however, only one MT2 copy was identified in Acanthopterygii  
242 members (Nile tilapia and pufferfish) (Fig. 1, Fig. 2c). Branching patterns among  
243 these duplicates were not well resolved when considering species phylogeny. An  
244 ancestral teleost duplication event (e.g. Ts3R) predicts two paralogous MT2 teleost  
245 clades, each containing teleosts branching after expected species relationships (as  
246 seen for MT1a/b). However, a clade containing zebrafish “Mtnr1ba” (ZFIN 2008,  
247 “ZMe1b2” in Shang & Zhdanova 2007) branched outside other fish (including the  
248 non-teleost spotted gar) in both the BY and ML trees (Fig. 1 and S1). Internal to the  
249 spotted gar, there were two teleost MT2 clades, one containing zebrafish “Mtnr1bb”  
250 (ZFIN 2008, “ZMe1b1” in Shang & Zhdanova 2007) and other teleost lineages  
251 (northern pike and Acanthopterygii members), while the other contained a separate  
252 northern pike sequence and all MT2 sequences from salmonids. Given the strong  
253 support for the clade containing zebrafish “Mtnr1bb” (PP:1.0, Bootstrap support:  
254 100%), we considered all sequences therein to be orthologous, and named them  
255 MT2b (to maintain the zebrafish “b” nomenclature) (Fig. 2c). We named the

256 remaining teleost MT2 sequences as MT2a (Fig. 2c), under the hypothesis that  
257 orthology to zebrafish MT2a was obscured by a long-branch attraction artefact (note  
258 the long-branch length leading to Ostariophysi members for MT2a; Fig. S1). This  
259 scenario is parsimonious, as it allows for a single ancestral teleost duplication (e.g.  
260 Ts3R) rather than several lineage-specific MT2 gains. Accordingly, we propose that  
261 MT2a was lost in the ancestor to *Oreochromis* and *Takifugu*, while two salmonid  
262 duplicates of MT2a (MT2a1 and 2a2) were retained from Ss4R (Fig. 1 and S1, Fig.  
263 2c). No copies of MT2b were identified in salmonids, suggesting a loss in the  
264 common salmonid ancestor (Fig. 2c).

265  
266 As shown elsewhere (Dufourny *et al.* 2008), Mel1c and mammalian GPR50 proteins  
267 grouped together in a well-supported clade (Fig. 1). A single Mel1c copy was  
268 identified in all teleosts barring salmonids, which evidently lack Mel1c (Fig. 2d). This  
269 is consistent with a scenario where one Mel1c paralogue was lost early following  
270 Ts3R, and an additional loss occurred in the common salmonid ancestor (Fig. 2d).

271

## 272 **Synteny analysis supports phylogenetic assignment of vertebrate MTRs**

273 Next, to gain an independent line of evidence to support our phylogenetic  
274 reconstructions, we compared the genomic regions harboring MTR-encoding genes  
275 among a range of vertebrate lineages. The local gene neighborhood containing each  
276 MTR family member was more or less conserved across jawed vertebrate evolution,  
277 defining identifiable synteny groups specific to each ancestral MTR (Fig. 3), including  
278 teleost and salmonid-specific paralogues (Fig. 4). The genomic neighborhood  
279 containing the single *MTR* locus of lampreys did not conserve synteny with an  
280 equivalent region containing any single MTR gene in gnathostomes. Instead, the  
281 genes surrounding the single *MTR* locus of lampreys showed notable similarity to a

282 combination of genes located around the various gnathostome MTRs (Fig. 4e). This  
283 lends support to an ancestral origin of MTRs in the vertebrate lineage, but does not  
284 allow us to pinpoint the relationship of lamprey MTR to the four MTR family members  
285 of jawed vertebrates. One possible interpretation is that the duplications generating  
286 four gnathostome MTR genes occurred after the cyclostomes and gnathostomes  
287 split, with the lamprey genomic neighborhood reflecting a derived representation of  
288 the ancestral vertebrate state. However, the current consensus is that at least one  
289 round of WGD is shared by cyclostomes and gnathostomes (e.g. Kuraku *et al.* 2009,  
290 Stadler *et al.* 2004). In this scenario, conserved synteny between a single genomic  
291 region in the former to multiple blocks in the latter may be explained by one or more  
292 shared duplications followed by lineage-specific rediploidization, as proposed by  
293 Robertson *et al.* 2017.

294

### 295 **Genetic linkage between *MTR* and *FAT* genes**

296 Tandem-linked MTR and *FAT* protocadherin gene family members are strongly  
297 conserved in all vertebrates (Fig. 3, Fig. 4). Specifically, *MT1*, *Mel1d*, and *MT2* were  
298 almost always in tandem with *FAT1*, 2, and 3, respectively (Fig. 3, Fig. 4). This  
299 association was absent for *Mel1c*, in addition to MTR co-orthologues from a sea  
300 squirt (Fig. 3f) and the Florida lancelet (not shown), defining this as a vertebrate-  
301 specific feature. Past studies have noted genetic linkage between MTR and FAT  
302 genes. For example, the FAT3-MT2 locus is involved in diabetes risk, with several  
303 SNPs involved in disease located between the two genes, implying potential  
304 functional links (e.g. Prokopenko *et al.* 2009, Dupuis *et al.* 2010). While, the reason  
305 for co-evolution of these loci is yet to be determined, the tandem organization of FAT  
306 and MTR genes indicates selective pressure to maintain an association that may be  
307 underpinned by a conserved feature of vertebrate physiology.

308

309 FAT family sequences also provide an independent source of phylogenetic  
310 information that may help reconstruct the evolution of the genomic regions containing  
311 linked MTR genes. In an ML analysis performed with FAT proteins from  
312 representative vertebrate species, we observed three clades (FAT1, 2 and 3) that  
313 branched according to expected species relationships (Fig. 5). When the ML tree  
314 was midpoint rooted, FAT1 (linked to MT1) and FAT2 (linked to *Mel1d*) were sister  
315 groups (Fig. 5), consistent with the sister relationship of MT1 and *Mel1d* recovered by  
316 the MTR phylogeny. Further, the teleost duplications observed for MTR genes were  
317 clearly identifiable in the respective tandem FAT genes (Fig. 5). Finally, the well-  
318 supported branching of salmonid FAT3a sequences with zebrafish FAT3a (i.e. linked  
319 to the *MT2a* gene, Fig 3c) adds weight to the hypothesis that salmonid/pike MT2  
320 sequences are orthologous to zebrafish *MT2a* (Fig. 2c).

321

### 322 **Synteny analyses support MTR losses**

323 The conservation of synteny across vertebrate taxa in genomic regions containing  
324 MTR genes provides useful information on MTR genes inferred to be absent in  
325 sequence databases. In this respect, we observed that the genomic regions  
326 containing *Mel1d* in reptiles, frogs and fishes have matched syntenic regions in the  
327 human and chicken genomes (Fig. 3d). Consequently, the regions predicted to  
328 contain *Mel1d* in human and chicken have been properly assembled and are  
329 otherwise well annotated, consistent with *bone-fide* genetic losses of *Mel1d* in these  
330 species. The same approach allowed us to detect a pseudogene likely to be a  
331 vestige of *Mel1c* in Atlantic salmon (LOC106568030) (Fig. 3d), and a gene annotated  
332 as 'non-coding' bearing similarity with *MT1* (according to BLAST) at the predicted  
333 MT1 locus in spotted gar (LOC107077181) (Fig. 3a). Further, a second *FAT2*

334 paralogue was detected in Atlantic salmon, supporting our previous conclusion of an  
335 ancestral loss of one Mel1d copy following Ss4R. Similarly, a second *FAT3*  
336 paralogue was detected in *Oreochromis*, non-paired with an *MTR2* gene (Fig. 3c),  
337 confirming the loss of *MT2a* in this species.

338

### 339 **Comparative sequence analysis of Mel1d with other MTRs**

340 Having established that Mel1d is an ancestral vertebrate MTR, we sought to compare  
341 the primary amino acid sequence of this molecule to other MTR family members,  
342 hoping to gain clues on its function considering existing literature (Fig. 6).

343

344 We first examined the MTR transmembrane domains and ligand-binding residues,  
345 which have known functional importance. The characteristic seven transmembrane  
346 domain structure (TMDs) of all MTRs, critical for GPCR structure and ligand binding  
347 (Baldwin 1994), were conserved in Mel1d, MT1, MT2 and Mel1c (Fig. 6). Indeed,  
348 most of the residues identified as key for melatonin binding are readily identifiable in  
349 the Mel1d transmembrane domains (Fig. 6), in particular TM3, 6 and 7 (Gubitz &  
350 Reppert 2000, Kokkola *et al.* 2003, Mazna *et al.* 2005, 2008, Chan & Wong 2013).  
351 The only notable difference in the TMDs was that several Mel1d orthologues had  
352 threonine replacements at position 254, specific to this MTR. This position is  
353 important for melatonin binding in MT2 (valine-291 on human MT2), which was not  
354 reported for MT1 (Mazna *et al.* 2005). Outside the TMDs, two additional melatonin  
355 binding residues (asparagine-102 of the conserved NRY motif and alanine-238) were  
356 conserved in Mel1d (Fig. 6). Interestingly, a mutation in the second extracellular loop  
357 of GPR50 linked to the loss of melatonin binding function in mammals (Clément *et al.*  
358 2017) was absent in Mel1d (Fig. 6).

359



360 Other key sites conserved in Mel1d included cysteine-78 and cysteine-155,  
361 responsible for a conserved disulfide bridge essential to MTR structure (Fig. 6). In  
362 addition, residues important for G protein activation and trafficking of MT1 (Kokkola  
363 *et al.* 2005) were all conserved in Mel1d (green arrows on Fig. 6). Putative  
364 palmitoylation site in MT1 and MT2 (cysteine-314 in MT1 and cysteine- 332 in MT2,  
365 Sethi *et al.* 2008) required for G-protein interaction (light blue arrow on Fig. 6) were  
366 either not identified (MT2 cysteine-332) in Mel1d or absent from most species (MT1  
367 cysteine-314). However a proximal conserved cysteine in position 294 of Mel1d (Fig.  
368 6) may fulfil a similar function. Several phosphorylation sites have been suggested in  
369 the C-terminal cytoplasmic tail of MT1 and MT2, which might be important for  $\beta$ -  
370 arrestin-dependent receptor internalization (Ebisawa *et al.* 1994, Sethi *et al.* 2008,  
371 yellow arrows on Fig. 6). One of these sites is present on Mel1d, at position 288,  
372 however only in coelacanth and tetrapods. None of the other phosphorylation sites  
373 are present because of the shorter length of Mel1d, and this could be linked to  
374 differences in phosphorylation properties.

375

### 376 **Residue changes distinguishing Mel1d from other MTRs**

377

378 The above analyses confirm that Mel1d has most of the canonical residues for  
379 melatonin binding and MTR structure/function. We next sought to identify conserved  
380 differences between Mel1d and the other MTRs, as candidates to impart functional  
381 properties unique to Mel1d.

382

383 Five extracellular or intracellular positions in Mel1d show substantial differences with  
384 either one or all other MTRs (Fig. 6). In most Mel1d orthologues, the first extracellular  
385 loop contains lysine (positive charged) at position 38, which is typically asparagine

386 (neutrally charged) in the other MTRs. At position 144, which is almost always fixed  
387 as glycine in MT1, MT2 and Mel1c, Mel1d orthologues retain glutamic acid or  
388 aspartic acid. This replacement is presumed functionally significant, as glycine  
389 provides high conformational flexibility (Betts and Russell 2003), while glutamic and  
390 aspartic acid are highly negatively charged. At position 246, MTRs usually conserve  
391 proline (except for the two derived GPR50 from mammals), but Mel1d shows a high  
392 diversity of residues with diverse functional properties, suggesting a distinct mode of  
393 selective pressure. In the same loop (position 242), a gap is observed in all Mel1d  
394 sequences at an amino acid position that is variable among the other MTRs. Finally,  
395 a notable difference between Mel1d and MT1 is observed in position 119, in the  
396 second intracellular loop. Most MT1 sequences have aspartic acid at this position,  
397 while Mel1d conserves asparagine or serine, leading to a major difference in charge.

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411

## DISCUSSION

412

413

414 Our unequivocal demonstration of a new ancestral vertebrate MTR forces a revision  
415 of current models for the origin and diversity of MTRs, and has biological implications  
416 for vertebrate lineages conserving distinct MTR gene repertoires.

417

418 It seems important to ask why Mel1d has previously been missed as a unique MTR,  
419 when the gene is readily detectable in sequence databases. This is likely partly due  
420 to a historic assumption that the MTR gene family structure of birds and mammals  
421 (i.e. MT1, MT2 and Mel1c) is representative for all vertebrates. Mel1d has high  
422 similarity with MT1, and has tended to be named 'mtnr1a-like' in genome databases.  
423 In addition, previous phylogenetic studies of MTRs have been based on small  
424 datasets (e.g. Reppert *et al.* 1995a; Mazurais *et al.* 1999; Park *et al.* 2006, 2007a,b;  
425 Shang & Zhdanova 2007; Hong *et al.* 2014), with biases in the taxa sampled, and  
426 could not by design distinguish Mel1d and MT1. A single past study noted a *Xenopus*  
427 MTR sequence that did not group with MT1, MT2 or Mel1c and concluded the  
428 existence of a novel MTR (Mel1d) (Shiu *et al.* 1996); correctly according to our  
429 findings. Our study benefits from a much broader survey of vertebrate MTR  
430 sequences, allowing us to conclude that Mel1d is at least 450 million years old,  
431 having been present in the jawed vertebrate ancestor.

432

433 Our phylogenetic reconstruction of MTRs will help the field going forwards, as  
434 researchers can be certain of which family member (including teleost-specific  
435 paralogues) they are studying, allowing more reliable conclusions in comparative  
436 studies of function and gene expression. We show that teleost-specific paralogues of  
437 MT1 are easily distinguished from Mel1d and provide a scheme to allow researchers

438 to match teleost MTRs formerly named under several nomenclature systems to a  
439 single phylogenetically-assigned naming system accommodating orthologues and  
440 paralogues (Table 1).

441

#### 442 **Insights into Mel1d function: reinterpreting expression data in teleosts**

443 While not being previously recognized as a unique vertebrate MTR, Mel1d has  
444 already been studied in various teleosts (Table 1). These past studies demonstrate  
445 that the *Mel1d* transcript is abundantly expressed in a manner like other MTR family  
446 members, but showing differences that may underlie unique functions. A pattern  
447 seems conserved across multiple species, where Mel1d and MT1a expression is  
448 higher in brain and retina, respectively (e.g. Park *et al.* 2006, 2007a,b; Ikegami *et al.*  
449 2009; Confente *et al.* 2010; Hong *et al.* 2014). Mel1d tends to be more strongly  
450 expressed in brain regions associated with visual perception (e.g. Mazurais *et al.*  
451 1999; Gaildrat and Falcón, 2000; Shi *et al.* 2004; Confente *et al.* 2010; Hong *et al.*  
452 2014). Many peripheral tissues were reported to express Mel1d with species-specific  
453 differences and in a distinct manner to other MTRs (Park *et al.* 2006,  
454 2007a,b; Ikegami *et al.* 2009; Confente *et al.* 2010; Hong *et al.* 2014). Such data  
455 suggests involvement of Mel1d in photoreceptive processes, along with broader  
456 regulatory roles in the physiological functions of peripheral organs.

457

458 Rhythmical oscillations in the expression of Mel1d have also been reported, with  
459 variations depending on species, organ and season. In zebrafish, a day/night  
460 oscillation of MTR brain gene expression (peaking at night) was noted for all six MTR  
461 paralogues, including Mel1d, with further expression upregulation in response to  
462 melatonin administration (Shang & Zhdanova 2007). In golden rabbitfish, MT1a,  
463 Mel1d and Mel1c expression was higher at night for brain and retina, with Mel1d

464 levels peaking at different times (Park *et al.* 2006, 2007a,b, 2014). In goldfish, Mel1d  
465 was the only MTR showing rhythmical oscillations in optic tectum expression, while  
466 the same was true for MT1a in retina, both peaking at the night-day transition  
467 (Ikegami *et al.* 2008). In a marine pufferfish, Mel1d, MT1a and Mel1c showed  
468 synchronous daily cycling of expression in the pineal gland with a nocturnal peak  
469 (Ikegami *et al.* 2015). Conversely, in golden rabbitfish pineal gland, oscillations were  
470 desynchronized for the same three MTRs (Park *et al.* 2006, 2007a,b). Daily  
471 rhythmicity in Mel1d expression has also been observed in peripheral tissues (liver  
472 and kidney) of golden rabbitfish, with higher expression during the day, opposite to  
473 the brain/retina (Park *et al.* 2006, 2007b). In addition to daily variation in regulation,  
474 Mel1d expression is regulated by other cycles, for example showing semilunar  
475 oscillation in the diencephalon of mudskipper (Hong *et al.* 2014) and ultradiurnal  
476 oscillation in a marine pufferfish, which may be circatidal (Ikegami *et al.* 2015). Mel1d  
477 expression in the Senegalese sole exhibited stronger day-to-night and seasonal  
478 variation than other MTR family members, with reciprocal differences recorded  
479 between retina and optic tectum (Confente *et al.* 2010). Therefore, past work shows  
480 that Mel1d is regulated during multiple biological cycles in teleosts, showing  
481 variations distinct from other MTRs, implying functional distinctiveness.

482

### 483 **Functional divergence between Mel1d and MT1?**

484 High protein-level similarity between Mel1d and MT1, taken with the conservation of  
485 all key residues in the MTR transmembrane domains, strongly implies that Mel1d  
486 binds melatonin. Notably, residues showing conserved replacements between Mel1d  
487 and MT1 are all located in extracellular or cytoplasmic loops, which is predicted to  
488 impact interactions with other proteins, in particular signalling partners, rather than  
489 melatonin. Strikingly, one of these sites corresponds to a documented human MT1

490 mutation studied *in vitro* (Chaste *et al.* 2010). The replacement of glycine-144 (MT1)  
491 with glutamic acid or aspartic acid corresponds to a G166E mutation in human MT1,  
492 associated with impaired activation of cAMP signalling, despite retention of strong  
493 melatonin binding (Chaste *et al.* 2010). The elephant shark retains glutamic acid at  
494 this position in both MT1 and Mel1d, suggesting this represents the ancestral state,  
495 with functional divergence arising in the common ancestor to lobe and ray-finned  
496 fishes. It is also intriguing to observe that Mel1d of two tetrapods have apparently  
497 reverted to glycine in this position, indicating selection towards the ancestral residue.

498

#### 499 **Why was Mel1d lost in mammals and birds?**

500 Further work will be needed to establish the extent of conservation in Mel1d function  
501 and regulation across different vertebrate lineages. This should focus on reptiles and  
502 amphibians, where the function of this gene has not been studied experimentally.  
503 Such studies may help explain the specific biological requirements for Mel1d, and  
504 reveal why the gene was lost independently in mammals and birds. It is notable that  
505 mammals and birds stand out from other vertebrates when considering their  
506 melatonin-dependent light detection and clock systems. Mammals have lost  
507 extraocular light perception and relocated control of their biological clock away from  
508 melatonin-producing pinealocytes to the suprachiasmatic nucleus (Falcón *et al.*  
509 2009). Birds have both the ancestral pineal clock and melatonin production system,  
510 but also independently developed a clock system in the homologue of the  
511 suprachiasmatic nucleus and use retinal detection (Cassone 1991, Falcón *et al.*  
512 2009). Another distinguishing feature specific to both groups is homeothermy, with  
513 modulatory effects of melatonin on body temperature regulation reported in humans  
514 (Cagnacci *et al.* 1992; Viswanathan *et al.* 1990) and Japanese quail (Underwood and  
515 Edmonds 1995). Extrinsic temperature variation appears a less important zeitgeber

516 for the circadian clock of homeotherms relative to poikilotherms (Rensing and Ruoff,  
517 2002), which are known to use melatonin to regulate behavioral thermoregulation  
518 (Lutterschmidt *et al.* 2003). In addition, birds and mammals are the only vertebrates  
519 that have evolved (through convergent mechanisms) stereotypical slow wave and  
520 rapid eye movement sleep phases, linked to melatonin regulation in mammals (Lesku  
521 *et al.* 2011). Such changes in the physiological role of melatonin and consequent re-  
522 organization of melatonin response pathways, may have been the ultimate driver for  
523 Mel1d redundancy and gene loss through relaxation of purifying selection.

524

525 Another melatonin-associated function that is present in vertebrate lineages retaining  
526 Mel1d (in addition to lamprey), but lost in both mammals and birds, is the negative  
527 regulation of pigmentation development in the dark, known as the “body-blanching  
528 response” (Hamasaki and Eder 1977, Norris and Carr 2013). In fishes, melatonin is  
529 thought to regulate chromatosome aggregation in different kinds of chromatophores  
530 (Fujii 2000); Mel1d is expressed in the skin of mudskipper (together with MT1 - Hong  
531 *et al.* 2014), the goldfish (together with MT2 and Mel1c - Ikegami *et al.* 2008) and the  
532 sole (together with MT2 - Confente *et al.* 2010). In addition, in sole skin, Mel1d is the  
533 only MTR to be up-regulated at night. It is therefore possible that Mel1d is involved in  
534 skin physiology and pigment regulation in fish chromatophores.

535

### 536 **Expansion of the MTR repertoire of teleosts**

537 Contrary to mammals/birds, there has been a trend towards evolutionary expansion  
538 in the MTR repertoire of teleosts, as observed in many gene families with paralogues  
539 retained from Ts3R (Glasauer and Neuhauss, 2014) and Ss4R (Houston and  
540 Macqueen, 2019). Interestingly, not all MTR family members were affected equally.  
541 While we identified multiple paralogous copies of MT1 and MT2 - presumed to have

542 been retained from Ts3R and Ss4R - Mel1c and Mel1d were always single copy,  
543 requiring repeated losses of paralogues generated during gene duplication or WGD  
544 events. This is compatible with a hypothesis where the functions or expression-level  
545 regulation of MT1 and MT2 can be divided among paralogous copies, following the  
546 well-established subfunctionalization model, or potentially reflects fixation of new  
547 adaptive functions among MT1/MT2 paralogues (Stoltzfus 1999 and Force *et al.*  
548 1999). In this respect, we observed several amino acid substitutions between MT1a  
549 vs. MT1b and MT2a vs. MT2b (Fig. 6), consistent with protein-level functional  
550 divergence. Conversely, selection has operated in a distinct manner for Mel1c and  
551 Mel1d, with any duplicates generated being quickly purged by selection for reasons  
552 that remain to be established, but potentially linked to dosage constraints, or a  
553 mechanism of regulation that cannot be divided across distinct loci.

554

555

## CONCLUSIONS

556

557 Mel1d is one of four ancestral vertebrate MTRs that shows a wide phylogenetic  
558 distribution but has been lost in mammals and birds. Compared to MT1, MT2 and  
559 Mel1c, Mel1d has many conserved, but also divergent characteristics, both in terms  
560 of protein sequence and spatio-temporal expression patterns of relevance to  
561 chronobiological traits. Additional work is needed to characterize the functional  
562 distinctiveness of Mel1d compared to other MTRs and to explain why unique MTR  
563 repertoires have been conserved in different vertebrate lineages.



564

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566

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## FIGURE LEGENDS

916

917

918 Fig. 1. Bayesian phylogenetic tree of MTR family evolution in jawed vertebrates. The  
919 analysis was done using BEAST with a high-confidence alignment of eighty MTRs  
920 (300 amino acid positions; Additional Dataset 1), an uncorrelated relaxed molecular  
921 clock model and the best-fitting amino acid substitution model (JTT+F+I+G4).  
922 Numbers on branches are posterior probability support. Three WGD events in  
923 vertebrate evolution are shown (2R - ancestral to vertebrates; Ts3R - ancestral to  
924 teleosts; Ss4R - ancestral to salmonids). A ML tree was performed using the same  
925 data and is provided in Fig. S1.

926

927 Fig. 2. Proposed evolutionary history of each MTR family member, considering (a)  
928 Mel1d, (b) MT1, (c) MT2m, and (d) Mel1c. Species inferred to have lost all copies of  
929 a MTR gene are highlighted in dark red. Teleost species inferred to have lost  
930 paralogues of MTR genes arising from the Ts3R and Ss4R events are highlighted in  
931 light red.

932

933 Fig. 3. Conserved synteny between the genomic neighbourhood containing MTR  
934 orthologues of different lineages, shown for (a) jawed vertebrate MT1, (b) jawed  
935 vertebrate Mel1d, (c) jawed vertebrate MT2, (d) jawed vertebrate Mel1c, (e)  
936 comparing MTR from two lamprey species with jawed vertebrates, and (f) comparing  
937 a urochordate with vertebrates.

938

939 Fig. 4. Conserved synteny between the genomic neighbourhood containing MTR  
940 paralogues retained from Ts3R and Ss4R, shown for (a) MT1a, (b) MT1b, (c) Mel1d,  
941 (d) MT2a, (e) MT2b, and (f) Mel1c.



942

943 Fig. 5. ML phylogenetic analysis of FAT atypical protocadherins in jawed vertebrates.

944 The analysis was done using IQ-TREE with a high-confidence alignment of thirty-five

945 FAT proteins (2,540 amino acid positions; Additional Dataset 2) and the best-fitting

946 amino acid substitution model (JTT+G+I). Numbers on branches are bootstrap

947 support values. Other details are as in the Fig. 1 legend.

948

949 Fig. 6. Alignment used to compare amino acid positions among vertebrate MTR

950 proteins (matching to the alignment used for phylogenetic analysis; Additional

951 Dataset S1). Species abbreviations: Ac = *Anolis carolensis* (green anole lizard); Am

952 = *Astyanax mexicanus* (Mexican cavefish); Bt = *Bos taurus* (cattle); Cm =

953 *Callorhynchus milli* (elephant shark); Dr = *Danio rerio* (zebrafish); El = *Esox lucius*

954 (northern pike); Gg = *Gallus gallus* (chicken); Hs = *Homo sapiens* (human); Lc =

955 *Latimeria chalumnae* (coelacanth); Lo = *Lepisosteus oculatus* (spotted gar); Oa =

956 *Ornithorhynchus anatinus* (platypus); On = *Oreochromis niloticus* (Nile tilapia); Ps =

957 *Pelodiscus sinensis* (Chinese softshell turtle); Tr = *Takifugu rubripes* (tiger pufferfish);

958 Xt = *Xenopus tropicalis* (western clawed frog). Detailed annotation of sequences

959 flagged up in the main text are provided within the figure.

960

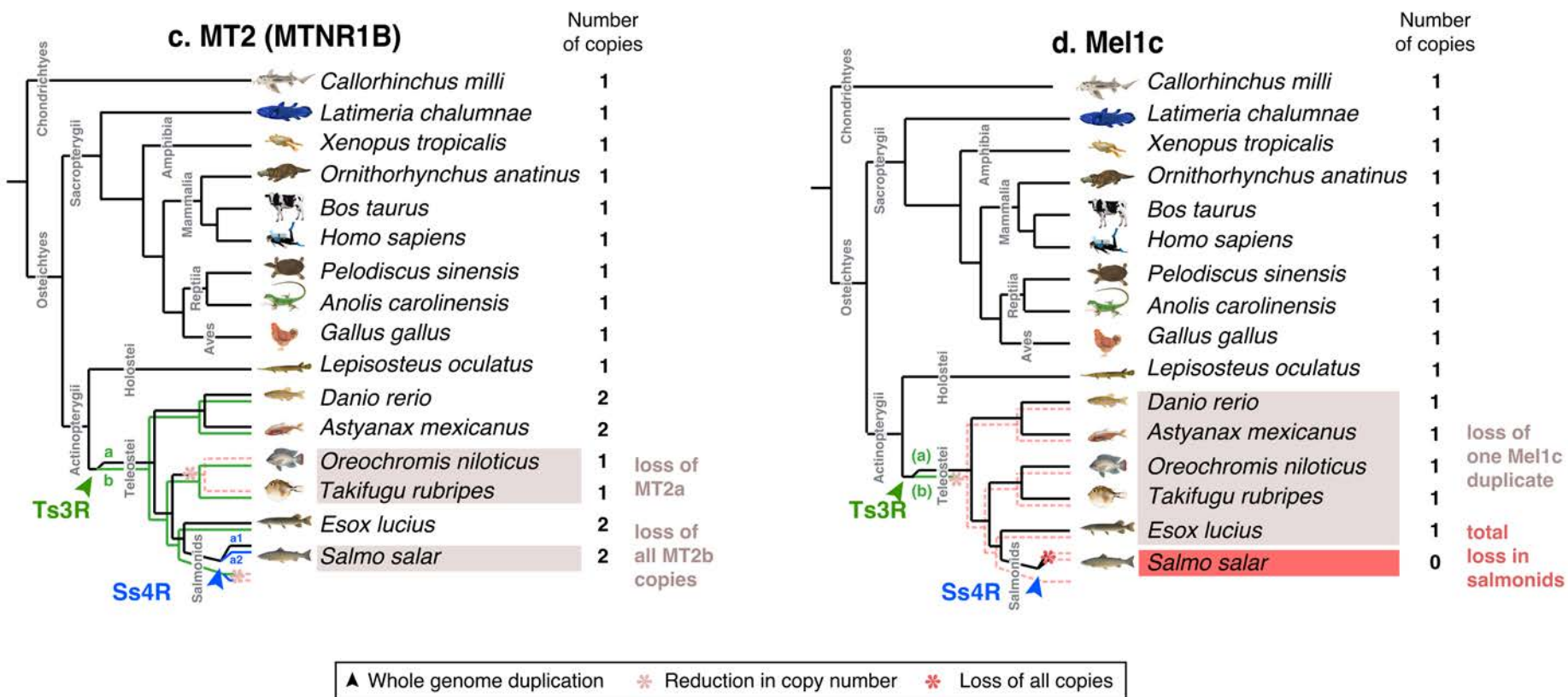
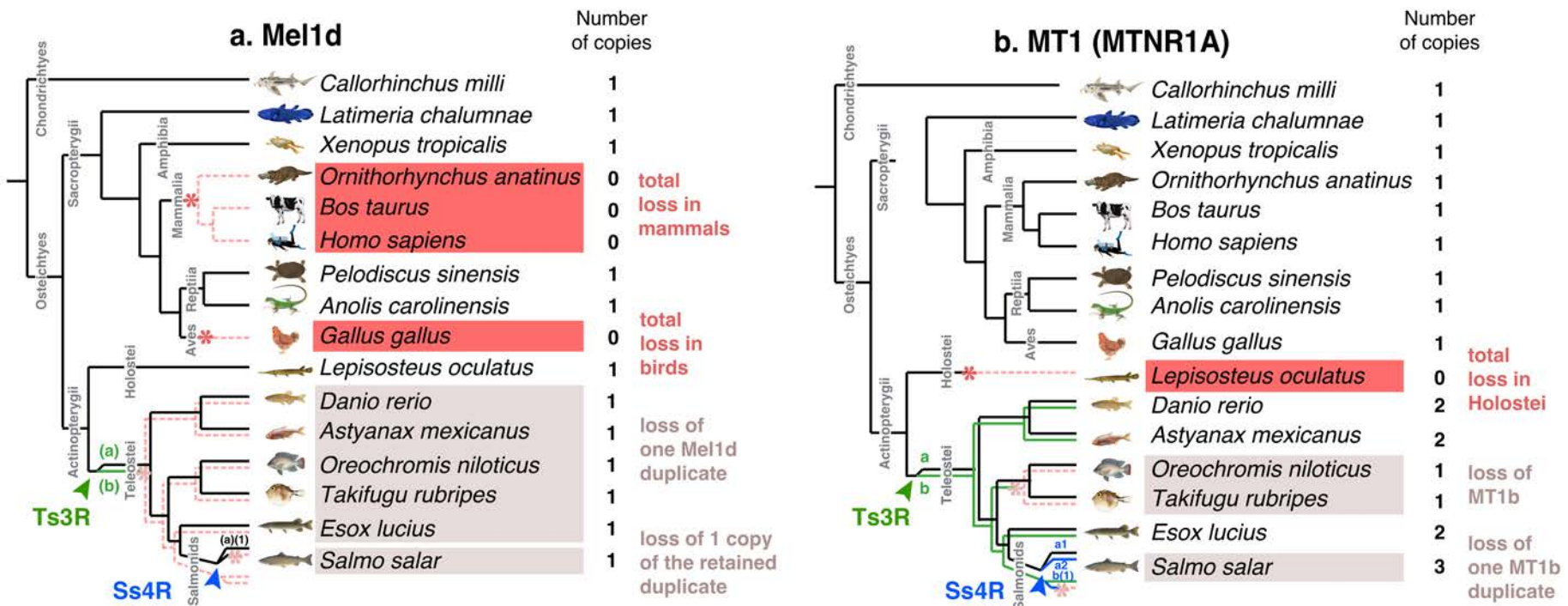
961 Table 1. Phylogenetic assignment of teleost MTRs to a standardized nomenclature

962 system.

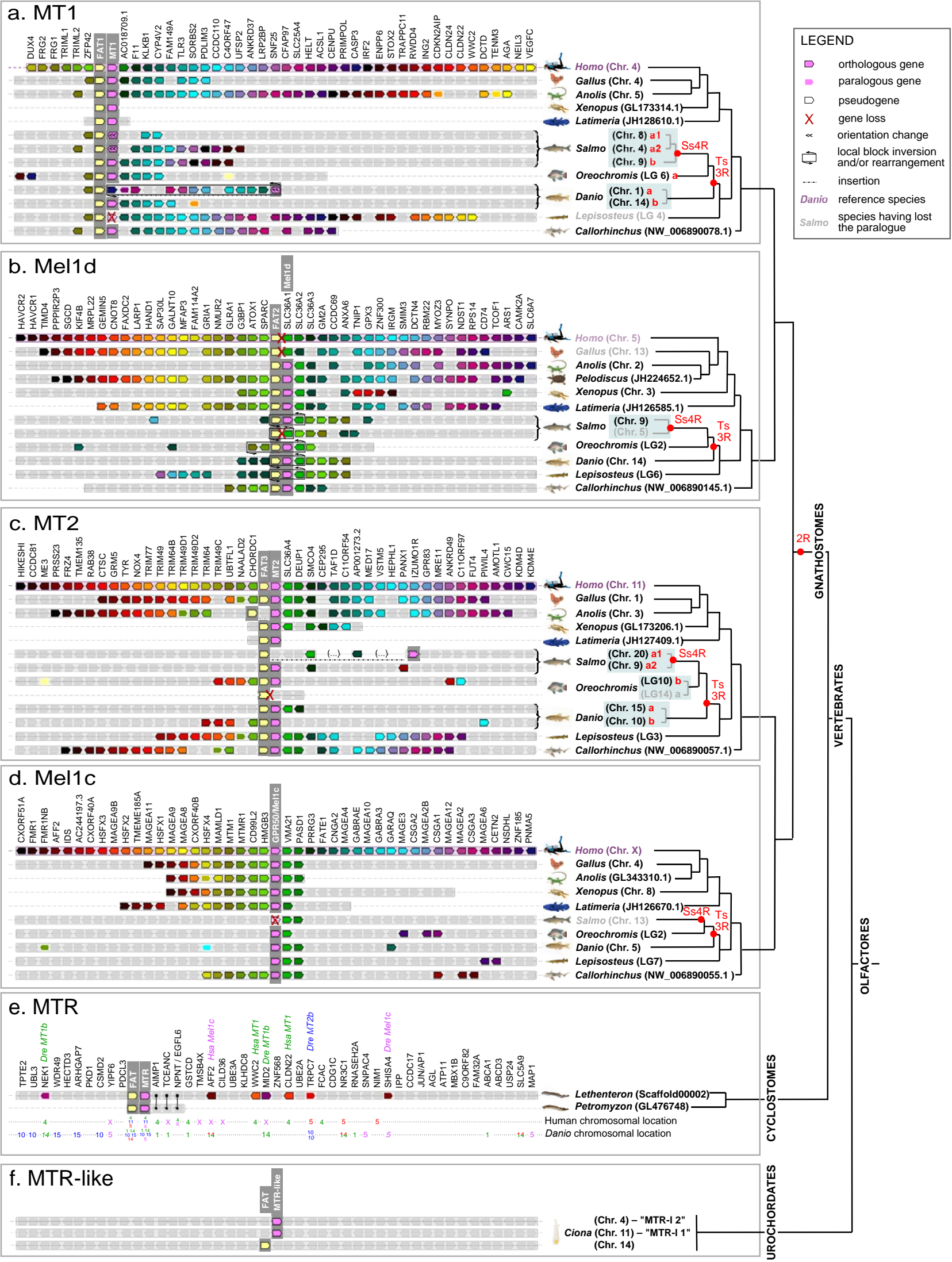
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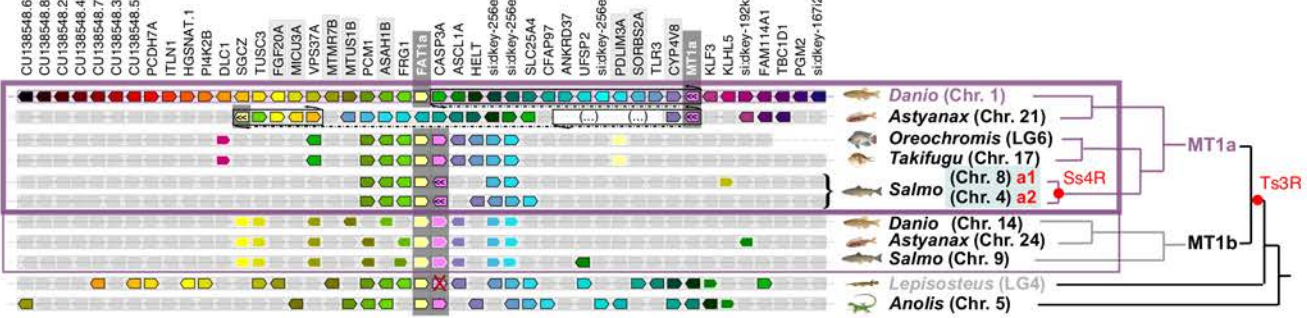




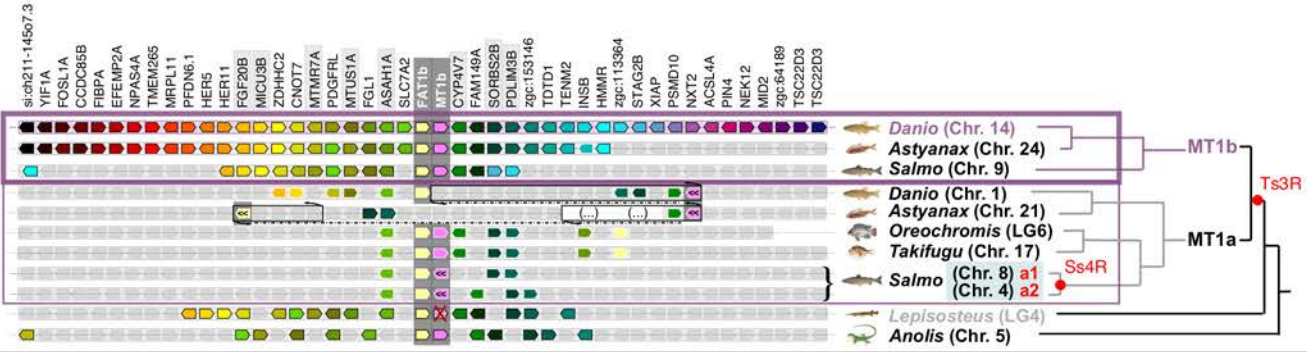




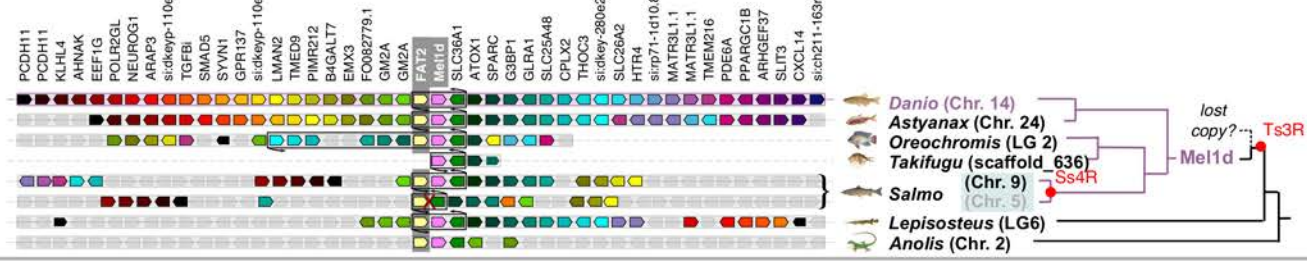
**a. MT1a**



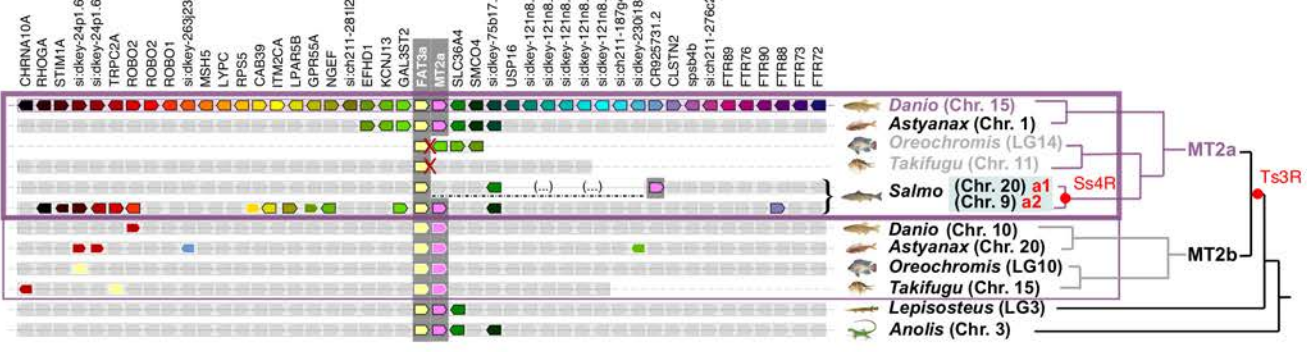
**b. MT1b**



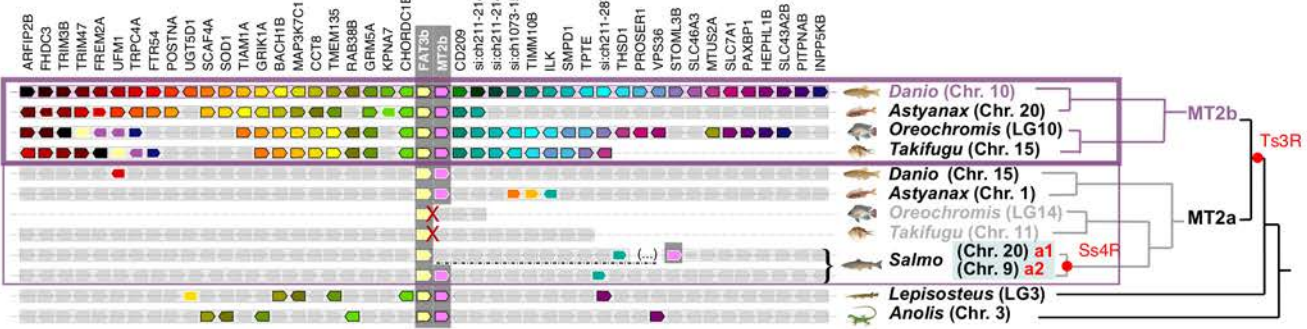
**c. Mel1d**



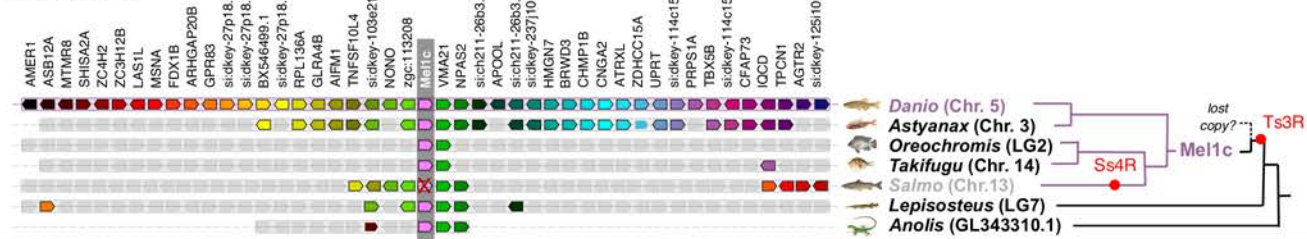
**d. MT2a**



**e. MT2b**



**f. Mel1c**



**LEGEND**

- orthologous gene
- paralogous gene
- pseudogene
- X gene loss
- ↔ orientation change
- local block inversion and/or rearrangement
- insertion
- *Danio* reference species
- *Salmo* species having lost the paralogue









Table 1. Phylogenetic assignment of teleost MTRs to a standardized nomenclature system.

Species		Receptors attributed from literature vs orthology group assignment from this study						References
		MT1		MT2		Mel1c	Mel1d	
		MT1a	MT1b	MT2a	MT2b			
Dr	<i>Danio rerio</i> (zebrafish)	Z1.7 (U31822.1)			Z2.6 (U31824.1)		Z1.4 (U31823.1)	Reppert <i>et al.</i> 1995(b)
		zMel1a1, Z1.7-4, mtnr1aa (NM_131393.1)	zMel1a3 (XM_6889 89.6)	zMel1b2, Z6.2, Mel1b-19, mtnr1ba (NM_131395.1)	zMel1b1, Z2.6-4, mtnr1bb (NM_131394.1)	zMel1c, Z2.3, mtnr1c (NM_001161484.1)	zMel1a2, Z1.4, mtnr1al (NM_001159909.1)	Shang & Zhdanova 2007
Om	<i>Oncorhynchus mykiss</i> (rainbow trout)	R1.7 (AF156262.1) = MT1a2					R1.4 (AF178538.1)	Mazurais <i>et al.</i> 1999
EI	<i>Esox lucius</i> (northern pike)			P2.6 (AF188871.1)			P1.4 (XM_010903666.1)	Gaidrat and Falcón, 2000
Oke	<i>Oncorhynchus keta</i> (chum salmon)	mel1a (AY356364.1) = MT1a2					mel1b (AY356365.1)	Shi <i>et al.</i> 2004
Sg	<i>Siganus guttatus</i> (golden rabbitfish)	Mel1a (DQ768087.1)				Mel1c (DQ768088.1)	Mel1b (DQ522314.1)	Park <i>et al.</i> , 2006, 2007a,b, 2014
Ca	<i>Carassius auratus</i> (goldfish)	Mel1a1.7 (AB378058.1)			Mel1b (AB378059.1)	Mel1c (AB378060.1)	Mel1a1.4 (AB378057.1)	Ikegami <i>et al.</i> 2009
		G1.7 (AB481372.1)		G6.2 (AB481374.1)	G2.6 type1 (AB481373.1)	Mel1c (AB481375.1)	G1.4 (AB481371.1)	Saito, unpublished
DI	<i>Dicentrarchus labrax</i> (sea bass)	dIMT1 (EU378918.1)			dIMT2 (EU378919.1)	dIMel1c (EU378920.1)		Sauzet <i>et al.</i> , 2008 Herrera-Pérez P <i>et al.</i> , 2010
Sse	<i>Solea senegalensis</i> (Senegal sole)				ssMT2 (FM213464.1)	ssMel1c (FM213465.1)	ssMT1 (FM213463.1)	Confente <i>et al.</i> 2010
On	<i>Oreochromis niloticus</i> (Nile tilapia)	mel1a (AY569971.1)						Jin <i>et al.</i> , 2013
Ec	<i>Epinephelus coioides</i> (orange-spotted grouper)	MT1 (JX524508.1)			MT2 (JX524509.1)			Chai <i>et al.</i> , 2013
Bp	<i>Boleophthalmus pectinirostris</i> (mudskipper)	Mtnr1a1.7 (KC622030.1)			Mtnr1b (KC622031.1)	Mtnr1c (KC622032.1)	Mtnr1a1.4 (KC622029.1)	Hong <i>et al.</i> 2014
Tn	<i>Takifugu niphobles</i> (grass puffer)	mel1a1.7 (AB492764.1)			mel1b (AB492765.1)	mel1c (AB492766.1)	mel1a1.4 (AB492763.1)	Ikegami <i>et al.</i> 2015
Pn	<i>Porichthys notatus</i> (plainfin midshipman - "singing" fish)	mtnr1A1.7 (HQ007044)		Mel1b (KT878765.1)			mtnr1a1.4 (HQ007045)	Feng & Bass, 2016, Feng unpublished
Amel	<i>Amphiprion melanopus</i> (cinnamon clownfish)	MT-R1 (HM107821.1)						Choi <i>et al.</i> , 2016

Phylogenetic assignment according to findings of this study; previous publications using distinct nomenclature systems are provided. Sequences in red signal a significant change in assignment.