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## Detailed analysis of chick optic fissure closure reveals Netrin-1 as an essential mediator of epithelial fusion

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2	mediato	r of epithelial fusion.
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#### 37 ABSTRACT.

38 Epithelial fusion underlies many vital organogenic processes during embryogenesis. Disruptions 39 to these cause a significant number of human birth defects, including ocular coloboma. We 40 provide robust spatial-temporal staging and unique anatomical detail of optic fissure closure 41 (OFC) in the embryonic chick, including evidence for roles of apoptosis and epithelial 42 remodelling. We performed complementary transcriptomic profiling and show that Netrin-1 43 (NTN1) is precisely expressed in the chick fissure margin at the fusion plate but is immediately 44 downregulated after fusion. We further provide a combination of protein localisation and 45 phenotypic evidence in chick, humans, mice and zebrafish that Netrin-1 has an evolutionarily 46 conserved and essential requirement for OFC, and is likely to have an important role in palate 47 fusion. Our data suggest that NTN1 is a strong candidate locus for human coloboma and other 48 multi-system developmental fusion defects, and show that chick OFC is a powerful model for 49 epithelial fusion research.

#### 50 INTRODUCTION.

51 Fusion of epithelia is an essential process during normal human development and its 52 dysregulation can result in birth defects affecting the eye, heart, palate, neural tube, and multiple other tissues<sup>1</sup>. These can be highly disabling and are among the most common human 53 birth defects, with prevalence as high as 1 in 500<sup>1-3</sup>. Fusion in multiple embryonic contexts 54 display both confounding differences and significant common mechanistic overlaps<sup>1</sup>. Most 55 56 causative mutations have been identified in genes encoding transcription factors or signalling 57 molecules that regulate the early events that guide initial patterning and outgrowth of epithelial tissues <sup>1,3–5</sup>. However, the true developmental basis of these disorders is more complex and a 58 59 major challenge remains to fully understand the behaviours of epithelial cells directly involved in 60 the fusion process.

Ocular coloboma (OC) is a structural eye defect that presents as missing tissue or a gap in the iris, ciliary body, choroid, retina and/or optic nerve. It arises from a failure of fusion at the optic fissure (OF; also referred to as the *choroid fissure*) in the ventral region of the embryonic eye cup early in development <sup>4,6,7</sup>. OC is the most common human congenital eye malformation and is a leading cause of childhood blindness that persists throughout life <sup>2,8</sup>. No treatments or preventative measures for coloboma are currently available.

67 The process of OF closure (OFC) requires the coordinated contributions of various cell types 68 in the fusion environment along the anterior to posterior axis of the ventral eye cup (reviewed in <sup>4,6</sup>). In all vertebrates studied so far, these include epithelial cells of both the neural retina and 69 retinal pigmented epithelium, and periocular mesenchymal (POM) cells of neural crest origin <sup>4,9–</sup> 70 71 <sup>12</sup>. As the eye cup grows, the fissure margins come into apposition along the anterior-posterior 72 axis and POM cells are gradually excluded. Through unknown mechanisms, the basal lamina that 73 surround each opposing margin are either breached or dissolved and epithelial cells from each 74 side intercalate and then subsequently reorganise to form a continuum of NR and RPE, complete 75 with a continuous basal lamina. The function, requirement and behaviour of these epithelial 76 cells in the fusing tissue, and their fates after fusion, are not well understood.

Some limited epidemiological evidence suggests environmental factors may contribute to coloboma incidence <sup>7,13</sup>. However, the disease is largely of genetic origin, with as many 39 monogenic OC-linked loci so far identified in humans and the existence of further candidates is strongly supported by evidence in gene-specific animal models <sup>4</sup>. Most known mutations cause syndromal coloboma, where the eye defect is associated with multiple systemic defects. A

common form of syndromal coloboma is CHARGE syndrome (MIM 214800) for which coloboma,
 choanal atresia, vestibular (inner-ear) and heart fusion defects are defining phenotypic criteria
 <sup>14</sup>. Palate fusion defects and orofacial-clefting are common additional features of CHARGE (~
 20% of cases) and in other monogenic syndromal colobomas (e.g. from deleterious mutations in
 *YAP1*, *MAB21L1*, and *TFAP2A* <sup>15–17</sup>), suggestive of common genetic mechanisms and aetiologies,
 and pleiotropic gene function.

88 Isolated (i.e. non-syndromal) OC may be associated with microphthalmia (small eye), and 89 the majority of these cases are caused by mutations in a limited number of transcription-factor encoding genes that regulate early eye development (e.g. PAX6, VSX2 and MAF<sup>4,8</sup>), implying that 90 91 abnormal growth of the eye prevents correct OF margin apposition and that fusion defects are a 92 secondary or an indirect phenotype. Indeed, none of these genes have yet been implicated with 93 direct functional roles in epithelial fusion. However, many isolated coloboma cases also exist 94 without microphthalmia, suggesting that in these patients, eye growth occurs normally but the 95 fusion process itself is defective. These OCs are highly genetically heterogeneous and known loci are not recurrent among non-related patients <sup>18</sup>. Furthermore, despite large-scale sequencing 96 97 projects, over 70% of all cases remain without a genetic cause identified <sup>18</sup>.

The most effective and informative models for studying OFC so far have been mouse (*Mus musculus*) and zebrafish (*Danio rerio*). Both have significant experimental advantages, including powerful genetics and robust genomic data. In particular, live-cell imaging with fluorescent zebrafish embryos has proven to be useful in revealing some intricate cell behaviours at the fissure margin during fusion<sup>12</sup>. However, both models are restrictive for in depth molecular investigations due to their limited temporal windows of fusion progression and the number of cells actively mediating fusion and subsequent epithelial remodelling.

105 Here, we present accurate staging and anatomical detail of the process of chick OFC. We 106 show the expansive developmental window of fusion, and the sizable fusion seam available for 107 experimentation and analysis. We take advantage of this to perform transcriptional profiling at 108 key discrete stages during fusion and show significant enrichment for known human OFC genes, 109 and reveal multiple genes not previously associated with OFC. Our analyses also identified 110 specific cellular behaviours at the fusion plate and found that apoptosis was a prominent feature 111 during chick OFC. Furthermore, we reveal Netrin-1 as a mediator of OFC that is essential for 112 normal eye development in evolutionarily diverse vertebrates, and which has a specific 113 requirement during fusion in multiple developmental contexts. This study presents the chick as a

powerful model system for further OFC research, provides strong evidence for a novel candidate gene for ocular coloboma, and directly links epithelial fusion processes in the eye with those in broader embryonic tissues.

117

118 **RESULTS.** 

119 OFC in the chick occurred within a wide spatial and temporal window.

120 The eye is the foremost observable feature in the chick embryo and grows exponentially 121 through development (Figure 1a, Figure 1—supplement 1). The optic fissure margin (OFM) was 122 first identifiable as a non-pigmented region at the ventral aspect of the eye that narrowed 123 markedly in a temporal sequence as the eye increased in size (Figure 1a). To gain a clearer 124 overview of gross fissure closure dynamics we first analysed a complete series of resected flat-125 mounted ventral eye tissue from accurately staged embryos at Hamburger Hamilton stages 126 (HH.St) 25 through to HH.St34 (*n* >10 per stage; Figure 1-supplement 1). The OFM was 127 positioned along the proximal-distal (P-D) axis of the eye, from the pupillary (or collar) region of 128 the iris to the optic nerve. Progressive narrowing of the OFM was observed between HH.St27 to 129 HH.St31, characterized by the appearance of fused OFM in the midline that separated the non-130 pigmented iris from the posterior OFM (Figure 1-supplement 1). Both these latter regions 131 remained unpigmented throughout development and we found they were associated, 132 respectively, with the development of the optic nerve and the pecten oculi - a homeostasis-133 mediating structure that extends out into the vitreous from the optic nerve head and is embedded in the posterior OFM (Figure 1-supplement 1 & 2)<sup>19</sup>. The anterior region of the 134 pecten was attached to blood vessels that invade the eye globe through the open region of the 135 136 iris OFM. This iris region remained open throughout development and well after hatching 137 (Figure 1—supplement 2). A recent study reported that the posterior chick OFM closes via the intercalation of incoming astrocytes and the outgoing optic nerve<sup>20</sup>, in a process that does not 138 reflect the epithelial fusion processes seen during human optic fissure closure (e.g. mediated by 139 epithelial cells of the RPE and neural retina)<sup>9,20</sup>. To assess the utility of the chick as a model for 140 141 human OFC and epithelial fusion, we therefore focused our study on OFC progression in the 142 distal and medial eye.

Using serial sections from memGFP<sup>21</sup> and wild-type embryos, we then unambiguously identified open fissure and fused seam regions of the medial-distal OFM (**Figure 1b**). The fused seams were defined by epithelial continuum in both the developing retinal pigmented epithelia

146 (RPE) and neural retina (NR) layers. We also identified the *fusion plates* undergoing fusion using 147 sections and z-stack confocal microscopy (Figure 1c). Serial sectioning at stages HH.St25-34 148 provided qualitative data for the identification of fusion plates during the progression of chick 149 OFC (Table 1). We then combined this data with fusion seam length measurements taken from 150 flat mounted fissures to provide a robust quantitative framework of fusion progression (Tables 151 2). In all analyses, we observed no evidence for fusion in the medial or distal OFM at stages 152 before HH.St27 (Figure 1; Figure 1—supplement 1; Table 1). Fusion was first initiated between 153 HH.St27-28 as confirmed by the definitive appearance of joined epithelial margins at a single 154 fusion point (FP). By HH.St29, the fused area had expanded to generate a fused seam of 0.56 155 mm (SD: ±0.12 mm; Figure 1d-e) with two fusion points, FP1 and FP2 at the distal and proximal 156 limits, respectively. The position of FP1 became fixed at approximately 0.5 mm (SD: ±0.04 mm) 157 from the developing pupillary region of the iris in all subsequent developmental stages (Table 2, 158 n = 60 fissures analysed), and the region between FP1 and the iris remained fully open 159 throughout ocular development (Figure 1—supplement 2 and Table 1). In contrast, the location 160 of FP2 became progressively more proximal until HH.St34 (Table 2), when FP2 was no longer 161 distinguishable from the pecten (by flat mount or cryosections). This total expansion created a 162 fused epithelial seam of ~1.7 mm at its maximum length (SD: ±0.07 mm, Figure 1e). In summary, 163 we observed four distinct phases of fusion (Figure 1f): (1) pre-fusion when the entire OFM is 164 open (up to HH.St27); (2) fusion initiation at HH.St27-28 in the medial OFM with the appearance 165 of a single medial FP; (3) active fusion as two FPs separate with the expansion of a fused seam 166 along the P-D axis (HH.St29-33); and (4) complete fusion as the entire OFM is fully fused in the 167 medial OFM (by HH.St34). The process is active between HH.St27-HH.St34 and proceeds over 168 ~66 hours.

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# 170 Chick OFC was characterised by the breakdown of basement membranes, loss of epithelial171 morphology and localised apoptosis.

By defining fusion progression and the location of the fusion plates during chick OFC, we could then accurately assess the cellular environment within these regions. Immunostaining for the basement membrane (BM) basal lamina marker Laminin-B1 on cryo-sectioned fissure margins (**Figure 2a**) indicated that fusion occurs between cells of the RPE and neural retinal, as observed in human OFC<sup>9</sup>. Fusion between opposing margins was defined by a reduction of Laminin-B1 at the edges of the directly apposed fissures, followed the appearance of a 178 continuum of BM overlying the basal aspect of the neural retina. Periocular mesenchymal cells 179 were removed from between the fissure margins as fusion progressed. Using a histological 180 approach, we then provided evidence that both the RPE and NR directly contribute cells to the 181 fusion plate (Figure 2b). We also observed that within the fusion plates there was marked 182 epithelial remodelling of both cell types, beginning after apposition of the OFM edges. In contrast, at the fused seam we observed NR and RPE cells were realigned into apical-basal 183 184 orientation and were indistinguishable from regions outside of the OFM, indicating that the 185 fusion process was complete.

186 To determine whether the expanding seam between FP1 and FP2 was a result of active 187 directional fusion (e.g. "zippering"), or was driven by localised cell-proliferation within the OFM 188 seam (e.g. pushing forward static fusion plates), we used phospho-Histone-H3A (PH3A) as a 189 marker for S-phase nuclei in mitotic cells and revealed there was no significant enrichment 190 within the fusion seam (Figure 2-supplement 1). These results suggested that localised cell-191 proliferation within the seam was not a major mechanism for seam expansion during chick OFC, 192 and further work is required to elucidate the precise mechanisms that drive seam expansion. 193 We then sought to establish whether axonal ingression was a feature of chick OFC in the distal-194 medial OFM. Using Neurofilament-145 immunofluorescence, we found a complete absence of 195 axonal processes in open, fusing, and fused regions of the distal-medial chick OFM (Figure 2-196 supplement 2). In contrast, at the same stages we found marked enrichment for axons within 197 the proximal OFM and pecten region, providing further evidence that these regions of the chick optic fissure are distinct<sup>20</sup>. 198

199 Programmed-cell death has been previously associated with epithelial fusion in multiple 200 developmental contexts but the exact requirements for this process remain controversial<sup>1</sup>. Even within the same tissues differences arise between species - for example, apoptotic cells are 201 clearly observed at the mouse fusion plate during OFC <sup>10</sup> but are not routinely found in 202 zebrafish<sup>12</sup>. We therefore asked whether apoptosis was a major feature of chick OFC. Using 203 204 HH.St30 eyes undergoing active fusion, we performed immunofluorescence staining for the pro-205 apoptotic marker activated Caspase-3. We consistently identified apoptotic foci within RPE and 206 NR at both fusion plates, in the adjacent open fissure margin, and at the nascently fused seam 207 with both cryo-section and whole-mount samples (Figure 2c; Figure 2-supplement 2). Foci 208 were not found consistently in other regions of the eye or ventral retina (not shown). By 209 quantifying the number of positive A-Casp-3 foci at FP2, we found that apoptosis was specifically

enriched in the active fusion environment but was absent from fused seam >120  $\mu$ m and from open regions >250  $\mu$ m beyond FP2 (**Figure 2d**), indicating that apoptosis is a specific feature of OFC in the chick eye.

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#### 4 Transcriptional profiling revealed genetic conservation between chick and human OFC.

215 We took advantage of the size and accessibility of the embryonic chick eye to perform 216 transcriptomic profiling with the objectives of: (i) assessing the utility of the chick as a genetic 217 model for human OFCD by expression for chick orthologues of known disease genes; and (ii) to 218 identify novel genes that are required for optic fissure closure. Using HH.st25-26 eyes (pre-219 fusion; approx. embryonic day E5), segmental micro-dissection of the embryonic chick eye was 220 first performed to obtain separate OFM, ventral eye, dorsal eye and whole eye samples (Figure 221 3-Supplement 1). We took care to not extract tissue from the pecten or optic nerve region of 222 the developing OFM to ensure we obtained transcriptional data for the distal and medial OFM 223 only. Cognate tissues were pooled, RNA was extracted, and region-specific transcriptomes were 224 determined using total RNAseq and analysed to compare mean transcripts per million (TPM) 225 values (Figure 3-Source data 1). Pseudoalignment to the Ensembl chicken transcriptome 226 identified 30,265 expressed transcripts across all tissue types. To test whether this approach was 227 sensitive enough to reveal domain-specific expression in the developing chick eye, we compared 228 our RNAseq expression data for a panel of genes with clear regional specific expression from a previous study of mRNA in situ analyses in the early developing chick eye cup<sup>22</sup>. Markers of the 229 230 early dorsal retina (Efnb1, Efnb2, Vsx2, Tbx5, Aldh1A1) clustered as dorsal-specific in our RNAseq 231 data, whereas known ventral markers (Crx, Maf1, Pax2, Aldh6(Ald1a3), Vax1, and Rax1) were 232 strongly expressed in our fissure and ventral transcriptomes (Figure 3-Supplement 1), which 233 validated this approach to reveal OFC candidate genes.

234 We then repeated the analysis, collecting OFM, ventral tissue and whole eye and 235 included stages HH.st27-28 (~E6; during initiation) and HH.st28-30 (~E7; during active fusion) as 236 discrete time-points (Figure 3-Supplement 1). Dorsal tissue was not extracted for these stages. 237 Correlation matrices for total transcriptomes of each sample indicated one of the HH.st25-26 238 fissure samples as an outlier, but otherwise there was close correlation between all the other 239 samples (Pearson's correlation coefficient >0.9; Figure 3-Supplement 1). Quantitative analyses 240 identified 14,262 upregulated genes and 14,125 downregulated genes in the fissure margin at 241 the three time points (Figure 3a; fissure versus whole eye. False discovery rate (FDR) adjusted p-

242 value < 0.05). The largest proportion of these differential expressed genes (DEGs) were observed 243 at HH.st25-27, most likely reflecting the periocular tissue between the fissure margins. 244 Remarkably few DEGs were shared between stages. We used fold change (FC) analysis to 245 identify biologically-relevant differential gene expression (Log<sub>2</sub>FC  $\geq$ 1.5 or  $\leq$ -1) in the fissure 246 compared to whole eye, we found 1613, 2971 and 1491 DEGs at pre-fusion, initiation, and active 247 fusion, respectively (Figure 3-Source data 2). Refining our analysis to identify only those DEGs 248 common across all stages revealed 12 genes with increased expression in the fissure and 26 with 249 decreased expression (Figure 3b; Table 2). Of these upregulated fissure-specific genes, causative 250 mutations have previously been identified in orthologues of PAX2, SMOC1, ALDH1A3, and VAX1 in human patients with coloboma or structural eye malformations <sup>4,8</sup>, and some of these genes, 251 such as PAX2 and inhibitors of BMP expression, induce coloboma phenotypes when 252 overexpressed in the developing ventral chick eye<sup>7,23</sup>. In addition, targeted manipulations of 253 orthologues of both CHRDL1 and CYP1B1 have recently been shown to cause coloboma 254 phenotypes in *xenopus* and *zebrafish*, respectively <sup>24,25</sup>. The remaining fissure-specific genes 255 (NTN1, RTN4RL1, TFEC, GALNT6, CLYBL and RGMB) had not been previously associated with OFC 256 257 defects to the best of our knowledge.

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#### 259 Clustering analysis revealed NTN1 as a fusion-specific OFC gene.

260 Clustering for relative expression levels of the RNAseq data at active fusion stages 261 (HH.St28-30) revealed three independent clusters (2, 3, and 5) where expression profiles 262 matched Fissure > ventral > whole eye (Figure 3c). We hypothesised that analysis of these 263 clusters would reveal genes with fusion-specific functions during OFC. Of the three clusters with 264 this profile, ontology analyses showed significant enrichment for sensory organ development 265 and eye development processes (FDR q< 0.001, 10 genes) and for adhesion processes (Figure 3— **Supplement 1**; *FDR q* < 0.05, 25 genes; Biological adhesion [GO:0022610] and cell adhesion 266 267 [GO:0022610]), of which 17 genes had mean TPM values >10. Within this group, multiple 268 candidates for roles during OFC fusion were revealed, such as several transmembrane proteins, 269 Integrin-A2, Cadherin-4, Collagen 18A1 and FLRT3 (Figure 3d). However, of these NTN1 was the 270 highest expressed and most fissure-specific (mean TPM values: Fissure = 204; ventral = 35; and 271 whole eye = 4).

- 272
- 273 *Netrin-1* was specifically and dynamically expressed in the fusing OFM.

274 We used RNAscope, colorimetric in situ hybridisation and immunostaining with NTN1-275 specific antibodies to determine the precise location of Netrin-1 in the chick eye (Figure 4 and 276 Figure 4—Supplement 1). We observed highly specific expression in both neuroepithelial retina 277 and RPE cells at the fissure margins during active fusion at HH.St29-30 (Figure 4a). This was 278 consistent to both fusion plates (FP1 and FP2), and in both locations NTN1 expression was 279 markedly reduced in the fused seam compared to expression in the adjacent open margins. 280 Immunofluorescence revealed that, consistent with NTN1 mRNA, NTN1 protein was specifically 281 localised to the basal lamina at the opposing edges of the OFM, and to both RPE and 282 neuroepithelial retina cells in this region (Figure 4b-c, Figure 4-Supplement 1). To test the 283 significance of our findings to other vertebrates, we first asked whether this localisation was 284 conserved to the human OFM. Immunofluorescence analysis for NTN1 (hNTN1) in human 285 embryonic fissures during fusion stages (Carnegie Stage CS17) displayed remarkable overlap 286 with our observations in chick, with protein signal localised specifically to open and fusion plate 287 regions of OFM at the NR and RPE (Figure 4d), and an absence of hNTN1 in fused seam. 288 Consistent with the protein localisation, RNAseq analysis on laser-captured human fissure tissue 289 showed a 32x fold increase in *hNTN1* expression compared to dorsal eye (Patel and Sowden; 290 manuscript in preparation). Microarray analyses had previously observed enrichment for Ntn1 in the mouse fissure during closure stages<sup>26</sup>, so we then analysed Ntn1 protein localisation in 291 292 equivalent tissues in the mouse optic fissure (fusion occurs around embryonic day E11.5 and is mostly complete by E12.5<sup>10</sup>). We observed consistency in both cell-type and positional 293 294 localisation of Ntn1 protein (Figure 4e), and that Ntn1 protein was not detected in the fused 295 seam at E12.5 (immunoreactivity for NTN1 was observed in the proximal optic nerve region at 296 this stage; Figure 4—Supplement 2).

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#### 298 Complete loss of Netrin caused coloboma and multisystem fusion defects in vertebrates.

Our results suggested that Netrin-1 has an evolutionarily conserved role in OFC and prompted us to test if NTN1 is essential for this process. We therefore analysed mouse embryos of WT and Netrin-null ( $Ntn1^{-/-}$ ; Yung et al., 2015<sup>27</sup>) littermates at embryonic stages after OFC completion (E15.5-E16.5)<sup>10</sup> and observed highly penetrant ocular coloboma in  $Ntn1^{-/-}$  mutants (> 90%; n = 10/11; **Figure 4f**). Mutant eyes analysed at earlier stages of eye development when fusion is first initiated <sup>10</sup> were normal (n=4  $Ntn1^{-/-}$  embryos; 8x eyes analysed in total), with fissure margins positioned directly in appositional contact each other (**Figure 4–Supplement 2**). We also observed variably penetrant orofacial and palate fusion defects in mutant mice (**Figure 4g**; ~36%; n =  $4/11 Ntn1^{-/-}$  embryos), indicating that NTN1 may also have an important role in fusion during palatogenesis and craniofacial development.

309 Finally, we then tested whether Netrin deficiency would cause similar ocular defects in 310 other vertebrates and generated germline *netrin-1* mutant zebrafish by creating a nonsense 311 mutation in the first exon of *ntn1a* using CRISPR/Cas9 gene editing (Figure 4-Supplement 3). We inter-crossed heterozygote G0 fish  $(ntn1a^{+/-})$  and observed several G1 embryos displaying 312 313 bilateral ocular defects including coloboma and microphthalmia (Figure 4-Supplement 3). DNA 314 sequencing of the targeted ntn1a locus confirmed 100% (n=3) of the phenotypic embryos were 315 homozygous, whereas ocular defects or colobomas were not observed in any heterozygous 316 (n=6) or wild-type (n=12) embryos. A recent study applied morpholino (MO) translation-blocking 317 knockdown approaches to target ntn1a in zebrafish embryos and observed bilateral ocular colobomas in all fish injectected<sup>28</sup>, with normal early eye development and appropriately 318 319 apposed fissure margins obvious prior to fusion. We were also able induce colobomas using MOs 320 designed to target the translational start site of *ntn1a* (Figure 4-Supplement 3). Bilateral 321 colobomas were observed in 31/71 (43.7%) of MO injected embryos with no ocular phenotypes 322 observed in control injections (n=40). In combination, these results are in agreement with our 323 data presented in chicken and mouse OFMs that Netrin-1 is essential for eye development and is 324 likely to have a specific role in tissue fusion, and confirms an evolutionarily essential 325 requirement for Netrin in ocular development, including OFC, in diverse vertebrate species.

326 **DISCUSSION.** 

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#### 8 NTN1 is a strong candidate gene for coloboma and multisystem fusion defects.

329 Our study provides strong evidence that Netrin-1 is essential for optic fissure closure in 330 the developing vertebrate eye and is required for normal orofacial development and palate 331 fusion. The transient and specific NTN1 expression at the fusion plate, and the subsequent 332 reduction/loss in fused OFM, suggests NTN1 has a direct role in the fusion process. Indeed, 333 Netrin1-deficient mouse eyes displayed highly penetrant colobomas but their fissure margins 334 were normally apposed during fusion initiation, arguing against a broad failure of early eye 335 development. In further support for a direct role in epithelial fusion was previously published 336 work showing fusion failure during development of the vestibular system of both chick and mice where NTN1-expression was manipulated<sup>27,29,30</sup>. In this developmental context, otic epithelia 337 must fuse normally for the correct formation of the semicircular canal structures. Although we 338 and others<sup>28</sup> found coloboma in zebrafish knockdown experiments of *ntn1a*, we observed 339 340 coloboma with microphthalmia in the context of complete knockout of *ntn1a*. This more severe 341 phenotype in the complete absence of ntn1a implies there could be a more general requirement 342 for Netrin-1 during early eye development, or could reflect teleost-specific eye developmental processes not shared among higher vertebrates<sup>31</sup>. Further work is required to elucidate the 343 344 precise role of Netrin-1 during OFC and broader eye development among different species.

345 Taken in combination, these findings strongly implicate NTN1 as a multipotent factor 346 required for tissue fusion in multiple distinct developmental contexts. In humans, variants near NTN1 have been associated with cleft lip in human genome wide association studies<sup>32,33</sup>. While 347 348 these are not monogenic disease mutations, this observation adds additional further relevance 349 for future genetic studies of patients with coloboma. It is also consistent with our observations 350 in Netrin-1 knock-out animals having a high penetrance of both coloboma and cleft palate 351 phenotypes. Therefore, we propose that NTN1 should be included as a candidate gene in 352 diagnostic sequencing of patients with human ocular coloboma, and should also be carefully 353 considered for those with other congenital malformations involving defective fusion.

354

#### 355 NTN1 may have a role in CHARGE syndrome.

Coloboma in association with additional fusion defects of the inner ear are two of the key clinical classifications for a diagnosis of CHARGE syndrome <sup>14</sup>. Further phenotypes commonly 358 associated with the syndrome are septal heart defects and orofacial clefting, both with aetiologies likely to involve fusion defects<sup>1</sup>. CHARGE syndrome cases are predominantly caused 359 360 by heterozygous loss-of-function pathogenic variants in the chromodomain helicase DNAbinding protein 7 (*CHD7*) gene <sup>34</sup>. Mice lacking Chd7 display CHARGE syndrome-like phenotypes 361 and exhibit abnormal expression of *Ntn1*<sup>35,36</sup>. In addition, ChIP-seq analyses have shown direct 362 binding of Chd7 to the promoter region of *Ntn1* in mouse neural stem cells <sup>37</sup>. Given the amount 363 of tissue available in the chick model, it would be possible and intriguing to confirm whether 364 365 CHD7 directly regulates NTN1 expression in ovo in the chick optic fissure. There is also emerging evidence that CHD7 and the vitamin A derivative retinoic acid (RA) indirectly interact at the 366 genetic level during inner ear development <sup>38</sup>. Defective RA signalling also leads to significant 367 reduction of *Ntn1* expression in the zebrafish OFM <sup>39</sup>, implicating a possible genetic network 368 369 involving RA and CHD7, where NTN1 could directly mediate developmental fusion mechanisms 370 from these hierarchical influences.

371

#### 372 How does Netrin-1 mediate fusion?

373 Netrin-1 is well-studied for its canonical roles in guidance of commissural and peripheral 374 motor axons and growth-cone dynamics, with attraction or repulsion mediated depending on the co-expression of specific receptors (reviewed in <sup>40,41</sup>). We found that axonal processes were 375 absent from the chick fissure margin during fusion stages, suggesting that the normal function of 376 377 NTN1 may be to prevent axon ingression into the OFM to permit fusion. However, the 378 phenotypic evidence from both the palate and vestibular system strongly support the argument 379 that NTN1 has a non-guidance mechanistic role during optic fissure closure. Netrin orthologues 380 have been recently associated with cell migration and epithelial plasticity in the apparent absence of co-localised canonical receptors <sup>42-44</sup>. In contrast, netrin acting together with its 381 382 receptor neogenin combined to mediate close adhesion of cell layers in the developing terminal end buds during lung branching morphogenesis <sup>45</sup>. Although we observed strong NTN1 383 expression in cells lining the chick OFM, and similar localisation of Netrin-1 protein in chick, 384 385 human and mouse, we did not observe reciprocal expression of any canonical NTN1 receptors in 386 our RNAseq datasets (e.g. UNC5, DCC or Neogenin; Figure 2-Supplement 4). Indeed, the Netrin 387 repulsive cue UNC5B was the most significantly downregulated DEG in fissure versus whole eye 388 in our data and was downregulated in human OFM (Sowden & Patel; manuscript in preparation). 389 Therefore, it will be vitally important for future studies to elucidate interaction partners of

Netrin in fusing tissues, or to reveal if Nerin-1 can act autonomously in these contexts to providedeeper insight into its mechanistic function during fusion.

392

#### 393 The chick is a powerful model for OFC.

394 The chick is one of the oldest models for developmental biology and has provided many key insights into human developmental processes <sup>46</sup>. Despite this, and extensive historical study 395 396 of eye development in chicken embryos, the process of chick optic fissure closure has not been 397 well analysed until now. Indeed, the first study appeared only recently and specifically defined aspects of tissue fusion at the proximal (optic nerve and pecten) region of the OF<sup>20</sup>, and did not 398 399 observe complete fusion of epithelia in these regions. Indeed, closure of the proximal OF was 400 characterised by intercalation of pecten and the lack of true epithelial continuum of 401 neuroepithelial retina and RPE. By focusing on the epithelial fusion events in the distal and medial eye, our study complements the Bernstein et al study<sup>20</sup> to provide a comprehensive 402 403 framework of OFC progression in the chick. Indeed, our analyses clearly define three distinct and 404 separate anatomical regions in the developing chick OFM: the iris, the medial OFM, and the 405 pecten. We present the spatial and temporal sequence of chick OFC at the anatomical and 406 molecular level, and provide strict criteria for staging the process - based on a combination of 407 broad embryonic anatomy, ocular, and fissure-specific features. Fusion initiated at the medial 408 OFM at HH.St27/28 and continued until HH.St34, with predominantly distal to proximal 409 directionality. In addition, we found that closure of the medial OFM is a true epithelial fusion 410 process that occurs over a large time window of approximately 60 hours, involving two fusion 411 plates, and that closes over 1.5 mm of complete fusion seam. This temporal window, the 412 number of directly contributing cells, and the accurate staging of its progression allows unique 413 opportunities for further experimentation. Importantly, one whole chick optic fissure (from 414 HH.St29 onwards) can simultaneously provide data for unfused, fusing, and post-fused contexts.

In addition, our transcriptional profiling, including the identification of OFM-specific genes in the chick that include multiple human coloboma orthologues, builds on previous work that illustrate the chick as an excellent model for human eye development and the basis of embryonic malformations<sup>19,47,48</sup>. These features, in combination with recent advances in chick transgenics and genetic manipulations<sup>49</sup>, project the chick as a powerful to analyse cell behaviours during OFC and epithelial fusion. For example, the stable multi-fluorescent Creinducible lineage tracing line (the Chameleon chicken <sup>49</sup>) will be valuable to determine how the

fissure-lining cells contribute to the fusing epithelia, while the very-recent development of introducing gene-targeted or gene-edited primordial germ cells into sterile hosts for germ-line transmission <sup>50</sup> provides a rapid and cost-effective way to develop stable genetic lines to interrogate specific gene function <sup>49,51</sup>. Thus, our study illustrates the powerful utility of the chick as a model for investigating OFC and for the discovery of novel candidate genes for coloboma, and is perfectly timed to coincide with major new developmental biology techniques in avian systems to place the chick model as a powerful addition to OFC and fusion research.

429

#### 430 Summary.

431 This study provides the first detailed report of epithelial fusion during chick optic fissure 432 closure and illustrates the power of the embryonic chick eye to investigate the mechanisms 433 guiding this important developmental process further and to provide insights into human eye 434 development and broader fusion contexts. We clearly define the temporal framework for OFC 435 progression and reveal that fusion is characterised by loss of epithelial cell types and a marked 436 coincidental increase in apoptosis. We reveal the specific expression of orthologues of known 437 coloboma-associated genes during chick OFC, and provide a broad transcriptomic dataset that 438 can improve the identification of candidate genes from human patient exome and whole-439 genome DNA sequencing datasets. Finally, we identify that NTN1 is specifically and dynamically 440 expressed in the fusing vertebrate fissure - consistent with having a direct role in epithelial 441 fusion, and is essential for OFC and palate development. NTN1 should therefore now be 442 considered as a new candidate for ocular coloboma and congenital malformations that feature 443 defective epithelial tissue fusion.

#### 444 MATERIALS AND METHODS

#### 445 Key resources table

Reagent type (species) or resource	Designation	Source or reference	Identifiers	Additional
				internation
genetic reagent (M. musculus)	Ntn1-/-	PMID 26395479	MGI:5888900	Lisa Goodrich (Harvard Medical School, Boston MA).
biological sample (G. gallus)	memGFP	PMID 25812521	Rozbicki 2015	Maintained at The Greenwood Building, Roslin Institute, UK.
biological sample (Gallus gallus)	Chicken eye and OFM dissections	This paper	Hy-Line Brown	Maintained at The Greenwood Building, Roslin Institute, UK.
antibody	NTN1 (Mouse monoclonal)	R&D Systems	MAB128	1 in 100 dilution for whole mount IF
antibody	NTN1 (Rabbit polyclonal)	abcam	ab126729	1 in 300 dilution for human and mouse IF; 1 in 500 dilution for chick cryosection IF
antibody	Laminin-B1 (Mouse monoclonal)	DSHB	3H11	1 in 20 dilution for all IF
antibody	NF145 (Rabbit polyclonal)	Merk	AB1987	1 in 100 dilution for all IF
antibody	Phospho-Histone H3A (Rabbit monoclonal)	Cell Signalling Technologies	#3377	1 in 200 for cryosections, 1 in 1000 for flat- mount
antibody	Activated Caspase- 3 (Rabbit polyclonal)	BD Pharminagen	#559565	1 in 400 dilution for all IF
commercial assay or kit	Alexa Fluor Phalloidin (488 nm)	Thermo-Fisher	#A12379	1 in 40 dilution for all IF
software, algorithm	Kallisto	PMID 27043002	NA	NA
software, algorithm	Limma	PMID 25605792	NA	NA

446

447 Embryo processing. Hy-Line Eggs were incubated at 37°C at day 0 (E0), with embryo collection as

stated throughout the text. Whole embryos were staged according to Hamburger Hamilton <sup>52,53</sup>.

449 Heads were removed and either ventral eye tissue was resected and flat-mounted and imaged

450 immediately, or whole heads were placed in ice cold 4 % paraformaldehyde (PFA) in pH 7.0 451 phosphate buffered saline (PBS), overnight and then rinsed twice in PBS. OFMs used for fusion 452 progression measurements (flat mounts) were mounted in Hydromount (National Diagnostics 453 HS-106) between a coverslip and glass slide, without fixation. Whole embryo, flat mounted 454 OFMs, and dissected eye images for were captured on a Leica MZ8 light microscope and 455 measurements were processed using FIJI (NCBI/NIH open source software <sup>54</sup>).

456

457 Immunofluorescence. For cryosections, resected ventral chick eyes were equilibrated in 15% 458 Sucrose-PBS then placed at 37°C in 7% gelatin:15% Sucrose, embedded and flash-frozen in 459 isopentane at -80°C. Sections were cut at 20 µm. Immunofluorescence was performed on chick 460 fissure sections as follows: 2x 30 min rinse in PBS, followed by 2 hours blocking in 1 % BSA 461 (Sigma) in PBS with 0.1 % Triton-X-100 [IF Buffer 1]. Sections were incubated overnight at 4°C 462 with primary antibodies diluted in 0.1 % BSA in PBS with 0.1 % Triton-X-100 [IF Buffer 2]. Slides 463 were then washed in 3x 20 min PBS, followed by incubation for 1 hour with secondary 464 antibodies (Alexa Fluor conjugated with 488nm or 594 nm fluorophores; 1:800-1000 dilution, 465 Thermo Fisher), and mounted with ProLong Antifade Gold (Thermo Fisher) with DAPI. Alexa 466 Fluor Phalloidin (488 nm; Thermo-Fisher #A12379) was added at the secondary antibody 467 incubation stages (1:50 dilution). Human foetal eyes were obtained from the Joint Medical 468 Research Council UK (grant # G0700089)/Wellcome Trust (grant # GR082557) Human 469 Developmental Biology Resource (http://www.hdbr.org/). For Netrin-1 immunostaining in 470 human and mouse tissues, cryosections were antigen retrieved using 10 mM Sodium Citrate 471 Buffer, pH 6.0 and blocked in 10 % Goat serum+ 0.2 % Triton-X100 in PBS, then incubated 472 overnight at 4°C with primary antibody (Abcam #ab126729; 1: 300) in block. Secondary antibody 473 staining and subsequent processing were the same as for chick. For whole-mount immunofluorescence we followed the protocol from Ahnfelt-Rønne et al <sup>55</sup>, with the exception 474 that we omitted the TNB stages and incubated instead with IF Buffer 1 (see above) overnight 475 476 and then in IF Buffer 2 for subsequent antibody incubation stages, each for 24 h at 4 °C. No 477 signal amplification was used. Antibodies were used against Phospho-Histone H3A and Netrin-1. 478 Imaging was performed using a Leica DM-LB epifluorescence microscope, or a Nikon C1 inverted 479 confocal microscope and Nikon EZ-C1 Elements (version 3.90 Gold) software. All downstream 480 analysis was performed using FIJI. Image analysis for proliferation in the OFM on flat-mounts 481 was performed by counting Phospho-Histone H3A positive foci using a region of interest grid

with fixed dimensions of 200  $\mu$ m<sup>2</sup> and throughout the entire confocal Z-stack. To quantitate apoptotic foci at the OFM, we used Activated-Casp3 immunofluorescence on serial cryosections of HH.St29-30 OFMs and collected confocal images for each section along the P-D axis. Image analysis was performed by counting A-Casp3 positive foci at the OFM in sequential sections using a region of interest with fixed dimensions of 100  $\mu$ m<sup>2</sup>. For histology and subsequent haematoxylin and eosin staining, resected eyes processed and image captured according to Trejo-Reveles et al <sup>48</sup>.

489

490 In situ hybridization. RNAscope was performed on HH.St29 cryosections using a probe designed specific to chicken NTN1 according to Nishitani et al <sup>30</sup>. For colourimetric in situ hybridisation, a 491 492 ribprobe was for NTN1 was designed using PCR primers to amplify a 500 bp product from cDNA 493 prepared from chick whole embryos at HH.St28-32 (Oligonucleotide primers: Fwd 5'-494 ATTAACCCTCACTAAAGGCTGCAAGGAGGGCTTCTACC-3' 5'and Rev 495 TAATACGACTCACTATAGGCACCAGGCTGCTCTTGTCC-3'). The PCR products were purified and 496 transcribed into DIG-labelled RNA using T7 polymerase (Sigma-Aldrich) and used for In Situ 497 hybridization on cryosectioned chick fissure margin tissue (prepared as described above for immunofluorescence) or whole embryos using standard protocols (described in J. Rainger's 498 499 doctoral thesis - available on request).

500

Transgenic animal work. To obtain Ntn1<sup>-/-</sup> mouse embryos (Ntn1<sup>tm1.1Good</sup>, RRID:MGI:5888900), 501 502 we performed timed matings with male and female heterozygotes and took the appearance of a 503 vaginal plug in the morning to indicate embryonic day (E)0.5. Embryos were collected at E11.5 and E16.6 and genotyped according to Yung et al<sup>27</sup>. As with this previous report we observed 504 ratios within the expected range for all three expected genotypes (28 total embryos:  $13x Ntn1^{+/-}$ ; 505 10x *Ntn1<sup>-/-</sup>*; 5x WT – 46%; 35%; 18%, respectively). Embryos were fixed in 4 % paraformaldehyde 506 overnight and then rinsed in PBS and imaged using a Leica MZ8 light microscope. *Ntn1<sup>-/-</sup>* and 507 C57BI/6J animals were maintained on a standard 12-hour light-dark cycle. Mice received food 508 509 and water ad lib and were provided with fresh bedding and nesting daily. For zebrafish work, we 510 designed gene-editing sgRNA oligos alleles to target *ntn1a*: 5'-GGTCTGACGCGTCGCACGTG-3'. 511 We then generated founder (G0) animals by zygotic microinjection of CRISPR/Cas9 components according to previous work <sup>56–58</sup>. G0 animals were genotyped and used for crosses to generate 512 G1 embryos which were scored for coloboma phenotypes and genotyped individually (Figure 4— 513

514 Supplement 3). All experiments were conducted in agreement with the Animals (Scientific 515 Procedures) Act 1986 and the Association for Research in Vision and Ophthalmology Statement 516 for the Use of Animals in Ophthalmic and Vision Research (USA). Morpholinos were designed 517 and generated by Gene Tools LLC (Oregon) to target the translation initiating site of *ntn1a*: 5'-518 CATCAGAGACTCTCAACATCCTCGC-3', and a Universal control MO sequence was used as a 519 control: 5'-ATCCAGGAGGCAGTTCGCTCATCTG-3'. One cell stage embryos were injected with 2.5 ng or 5.0 ng of ntn1a or control morpholino and allowed to develop to OFC stages ( $\geq$ 48hpf). 520 521 Oligos used for *ntn1a* genotyping by sanger sequencing were: 5'-TTACGACGAGAACGGACACC-3' 522 and 5'-GGAGGTAATTGTCCGACTGC-3'.

523

524 Transcriptional profiling. For RNA seq analysis, we carefully dissected regions of (i) fissure-525 margin, (ii) ventral eye, and (iii) dorsal eye, and (iv) whole eye tissue from ≥10 individual 526 embryos for each HH stage range (Figure 3-Supplement 1). Samples were collected and pooled 527 for each tissue type and stage to obtain n=3 technical replicate RNA pools per tissue type per 528 stage. Total RNA was extracted using Trizol (Thermo Scientific). Whole-transcriptome cDNA 529 libraries were then prepared for each pool following initial mRNA enrichment using the Ion RNA-530 Seq Core Kit v2, Ion Xpress RNA-Seq Barcodes, and the Ion RNA-Seq Primer Set v2 (Thermo 531 Scientific). cDNA quality was confirmed using an Agilent 2100 Bioanalyzer. Libraries were pooled, 532 diluted, and templates were prepared for sequencing on the Ion Proton System using Ion PI chips (Thermo Scientific). Quantitative transcriptomics was performed using Kallisto 533 psuedoalignment <sup>59</sup> to the Ensembl (release 89) chicken transcriptome. Kallisto transcript counts 534 were imported into R using tximport<sup>60</sup> and differentially expressed transcripts identified using 535 536 Limma<sup>61</sup>. Genes not expressed in at least three samples were excluded. To identify the 537 relationships between samples, Log2 transformed counts per million were then calculated using edgeR <sup>62</sup> and Spearman's rank correlation was used to identify the similarities in genome-wide 538 539 expression levels between samples. All RNAseq data files are submitted to the NCBI Gene 540 Expression Omnibus database (http://www.ncbi.nlm.nih.gov/geo) with the accession number 541 GSE84916.

542

Statistical analysis. Bar graphs display means ± SD or 95% confidence intervals as indicated.
Sample sizes were n≥3, unless stated otherwise. Statistical analyses were performed using Prism
8 (GraphPad Software Inc.). Data was assessed for normal distribution by Shapiro-Wilk test

- 546 where appropriate. Significance was evaluated by unpaired Student's t-test, where  $p \le 0.05$  was
- 547 deemed significant. Asterisk indicate significance in Figure 1 as  $p \le 0.05$ .  $p \le 0.01$ ,  $p \le 0.$
- 548 0.001.

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- 555

#### 556 **COMPETING INTERESTS**

557 No financial or non-financial competing interests are declared for all authors.

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#### TABLES.

	Fusion plates identified			
HH Stage	1x FP only	Both FP1 & FP2	<i>n</i> per stage	
25	0	0	4	
26	0	0	4	
27	1	0	3	
28	3	1	4	
29	1	4	5	
30	0	4	4	
31	0	3	3	
32	0	5	5	
33	1	2	3	
34	3	0	3	

### 

Table 1. Qualitative analysis of fusion plates observed per developmental stage by cryosections and H&E.

HH Stage	Mean total OFM length (mm)	± SD	Mean length of fused seam (mm)	± SD
27	2.20	0.15	-	-
28	2.92	0.33	*	*
29	3.58	0.28	0.56	0.12
30	4.38	0.17	0.93	0.09
31	4.50	0.25	1.09	0.13
32	4.77	0.16	1.15	0.10
33	5.31	0.23	1.39	0.10
34	5.67	0.16	1.70	0.07

## 

Table 2. Quantitative measurements of key features during OFC progression using flat mounted WT and mem-GFP fissures. Total OFM length includes optic nerve and pecten. \* Any fused 

fissures observed were too small to measure (<0.1 mm).

ENSEMBL ID	HGNC ID	LogFC: Fissure Vs Whole (HH.St25- 27) ~E5	FDR Adjusted <i>p</i> value	LogFC: Fissure Vs Whole (HH.St27- 28) ~E6	FDR Adjusted <i>p</i> value	LogFC: Fissure Vs Whole (HH.St28- 30) ~E7	FDR Adjusted <i>p</i> value
ENSGALG0000023626	NTN1	3.98	5.11E-05	4.34	8.16E-05	5.41	3.06E-07
ENSGALG0000005689	PAX2	3.48	9.36E-06	3.41	2.11E-05	3.18	4.14E-06
ENSGALG00000033365	ALDH6	2.97	1.00E-05	3.94	1.75E-04	3.00	4.91E-05
ENSGALG00000016875	novel	2.21	4.57E-05	3.56	8.72E-07	3.55	2.62E-08
ENSGALG0000009415	SMOC1	3.49	1.46E-05	2.36	3.92E-03	2.60	8.93E-05
ENSGALG00000025822	CYP1B1	2.95	1.11E-05	2.03	1.34E-02	3.02	1.55E-05
ENSGALG00000021589	RTN4RL1	2.41	5.79E-03	2.43	8.00E-03	2.69	4.22E-04
ENSGALG00000040557	TFEC	1.93	8.70E-03	2.35	5.01E-03	2.90	9.86E-04
ENSGALG0000009261	VAX1	1.99	6.95E-04	1.96	3.15E-03	2.49	1.55E-05
ENSGALG00000041101	GALNT6	1.78	3.45E-04	2.07	6.97E-04	2.55	6.85E-06
ENSGALG0000008072	CHRDL1	1.79	3.85E-05	1.86	1.03E-03	1.94	2.49E-05
ENSGALG00000015284	RGMB	1.89	1.37E-02	1.73	2.43E-02	1.76	7.63E-03
ENSGALG00000011413	novel gene	-1.53	1.13E-02	-1.34	3.34E-02	-1.69	1.60E-02
ENSGALG0000004270	ALDH1A2	-1.20	4.06E-02	-1.80	9.46E-03	-1.76	2.74E-03
ENSGALG00000010801	TMEM61	-2.07	8.63E-03	-1.49	3.77E-02	-1.93	5.90E-03
ENSGALG0000003842	GHRH	-1.33	4.58E-02	-2.60	1.48E-02	-2.62	5.28E-03
ENSGALG00000012712	RBM24	-2.57	9.39E-04	-2.00	1.69E-02	-2.35	2.80E-03
ENSGALG0000012644	novel gene	-1.85	4.91E-03	-2.58	1.38E-02	-3.18	9.33E-04
ENSGALG0000003324	PRRX1	-1.52	4.65E-02	-2.77	2.21E-02	-3.42	1.32E-03
ENSGALG0000007706	FGF8	-2.20	2.94E-03	-3.10	8.72E-04	-2.64	9.86E-04
ENSGALG00000010929	SPARCL1	-3.16	3.03E-03	-1.77	4.19E-02	-3.17	6.48E-04
ENSGALG0000034585	CP49	-3.65	6.32E-06	-1.93	1.55E-02	-2.59	3.60E-04
ENSGALG0000038848	MSX2	-2.19	4.15E-03	-3.35	1.15E-02	-2.92	5.01E-03
ENSGALG0000004279	GRIFIN	-3.97	7.94E-04	-2.71	2.55E-02	-1.92	4.89E-02
ENSGALG0000004569	UNC5B	-1.41	4.81E-03	-4.14	4.56E-08	-3.21	2.62E-08
ENSGALG00000019802	novel gene	-2.24	1.56E-02	-3.43	4.36E-02	-3.59	9.52E-03
ENSGALG0000043175	novel gene	-3.59	7.36E-03	-2.99	3.27E-02	-2.91	2.59E-02
ENSGALG0000005613	novel gene	-2.96	6.50E-04	-2.21	1.99E-02	-4.40	2.06E-04
ENSGALG00000015015	CYTL1	-2.43	3.39E-02	-3.13	4.74E-02	-5.14	5.01E-03
ENSGALG0000004035	CRYBA1	-5.04	1.21E-04	-2.56	1.95E-02	-3.33	2.00E-03
ENSGALG0000006189	CRYGN	-4.66	6.22E-04	-4.25	1.82E-02	-4.97	9.33E-04
ENSGALG00000012470	LYPD6	-2.49	1.20E-02	-4.64	6.97E-04	-7.13	5.09E-06
ENSGALG0000008253	TBX5	-3.50	3.48E-04	-6.73	5.98E-04	-4.39	6.02E-05
ENSGALG00000015147	ALDH1A1	-5.06	1.46E-05	-4.96	1.22E-04	-4.79	1.55E-05
ENSGALG00000042119	MIP	-4.47	2.10E-03	-5.43	3.97E-02	-6.15	3.54E-03
ENSGALG0000005634	CRYBA4	-5.47	2.65E-04	-4.94	1.61E-02	-7.17	6.56E-04
ENSGALG0000005630	CRYBB1	-5.36	1.72E-04	-6.97	4.56E-03	-6.24	1.37E-04
ENSGALG0000008735	BFSP1	-6.48	5.53E-04	-6.23	1.76E-02	-8.63	1.97E-03

**Table 3.** Fissure Specific Differentially expressed genes (q< 0.05; LogFC:  $\geq$ 1.5 and  $\leq$ -1) at all713stages analysed. Genes with increased expression are depicted in grey.

- 714 **FIGURE LEGENDS.**
- 715

716 Figure 1. Analysis of chick optic fissure closure. (a) Chicken embryos at HH.St25 and HH.St30 717 illustrated the optic fissure (OF; arrows) as a non-pigmented region in the ventral aspect of the 718 developing eye. (b) Left: Schematic showing orientation of the developing chick optic fissure 719 with respect to the embryonic whole eye. Dorsal-ventral and Proximal-distal axes are indicated. 720 This study focused on the medial optic fissure (marked by white hatching) distal to the 721 developing pecten and optic nerve. *Right*: brightfield and fluorescent confocal microscopy using 722 memGFP cryosections illustrated the open (arrow) and fused seam (arrowhead) regions in chick 723 OFM. The location and planes of the cut sections along the D-P axis are indicated in the 724 accompanying schematic. (c) Brightfield and fluorescent confocal microscopy of memGFP OFM 725 sections unambiguously defined the location of fusion plates (arrowheads, top and middle 726 panels) at all stages throughout OFC, combined with flat-mounted memGFPs. Bottom panel: 727 representative single plane confocal image clearly indicated FP2. (d) Brightfield microscopy of 728 flat-mounted ventral eyes revealed the tissue dynamics during closure and coinciding with 729 location of fusion plates (FPs). At HH.St29 the medial OFM had narrowed markedly along the P-D 730 axis between the iris and the proximal region, with FP1 and FP2 (arrowheads) closely positioned 731 in the distal OF. At HH.St31 the medial OFM had become fully pigmented in the fused seam, and 732 the distance between FP1 and FP2 (arrowheads) had lengthened in the P-D axis. An opening 733 remained in the OFM at the iris region (asterisk). (e) Histogram to illustrate fused seam length at 734 each HH stage (error bars = 1x s.d.). Quantitative data of OFM progression obtained from flat 735 mounts and cryosections is provided in Table 1. (f) Schematic representation of chick OFC 736 progression in the distal and medial retina. 1. Pre-fusion: A fully open OFM is evident in the 737 ventral retina at stages HH.St25-27; 2. Initiation: At HH.St27-28 the first fused region is observed 738 in the distal-medial OFM; 3. Active fusion: fusion extends briefly in the distal direction but then 739 stops in the presumptive iris to leave an open region throughout development. Fusion proceeds 740 markedly proximally with FP2 extending towards the pecten. 4. Complete fusion: Fusion stops 741 proximally when FP2 meets the fused pecten region. The fusion seam is complete with a 742 complete continuum of both NR and RPE layers in the ventral eye. Abbreviations: L, lens; OC, 743 optic cup, OF, optic fissure; ON, optic nerve; FP, fusion plate; HH, Hamburger Hamilton staging; 744 RPE, retinal pigmented epithelia; NR, neural retina; POM, periocular mesenchyme.

745

746 Figure 2. Basement membrane remodelling, loss of epithelial characteristics and apoptosis are 747 defining features of Chick OFC. (a) Immunostaining for the basement membrane (BM) 748 component Laminin-B1 and nuclear staining (DAPI) using confocal microscopy illustrated that 749 fusion was preceded by the dissolution of BM (compare arrowheads in boxes) as the fissure 750 margins came into contact at the fusion plate, and that fusion was characterised by the 751 generation of a BM continuum at the basal aspect of the neural retina (arrows). Nuclear staining 752 indicated that cells of the retinal pigmented epithelium (RPE) and neural retina (NR) contributed 753 to the fusion plate and that periocular mesenchymal cells were removed from the region 754 between the apposed margins. Images are from a single OFM and are representative of  $n \ge 3$ 755 samples. (b) H&E staining on paraffin sections at FP2 showed apposed fissure margins with well 756 organised epithelia in NR and RPE (-40 µm from FP2); subsequent sections at the fusion plate 757 showed loss of epithelial organisation in both cell types (within hatching); at the fused seam (+ 758 200 µm from FP2) continuous organised layers were observed in both NR and RPE epithelia. 759 Note that fusion occurred from contributions of both NR and RPE. (c) Immunostaining for the 760 apoptosis marker activated Caspase-3 (A-Casp3) on serial cryo-sectioned OFMs (HH.St30) using

confocal microscopy (average image projections) indicated that A-Casp3 positive foci (arrows) were enriched in epithelia at the OFM and in the nascently fused seam. The midline OFM, including the fusion points, is indicated by yellow arrowheads in all panels. OFMs were counterstained with DAPI. (*d*) Quantitation of A-Casp3 foci from serially-sectioned OFMs confirmed significant enrichment at FP2, with a graded reduction in apoptotic cells in both directions away from the fusion plate. Data shown is the mean of all measurements (*n* =4); error bars = 95% Confidence intervals. Scale bars = 25  $\mu$ m in *a* and *c*, = 20  $\mu$ m in *b*.

768

769 Figure 3. Transcriptional profiling in chick optic fissure closure. (a) Transcriptional profiling 770 using microdissected regions of the developing chick eye at E5 (HH.St25-27; pre-fusion), E6 771 (HH.St27-28; initiation), and E7 (HH.St28-30; during active fusion) revealed multiple DEGs at 772 each stage. (b) NTN1 was the highest expressing gene of 12 fissure-specific DEGs (fissure vs 773 whole eye) throughout all stages of chick OFC (Log2 FC >1.5; FDR < 0.05). These included the 774 known human coloboma associated genes (indicated by #): SMOC1, PAX2, VAX1 and ALDH6, in 775 addition to the coloboma candidates from other animal studies CHRDL1 and CYP1B1 (indicated 776 by •). (c) Clustering for relative expression levels at active fusion stages (HH.St28-30) revealed 777 three independent clusters (2, 3, and 5) where expression levels trended with Fissure > ventral > 778 whole eye. (d) Analysis of normalised mean expression values (TPM, n=3 technical replicates; 779 error bars = 1x standard deviation) from clusters 2, 3, 5 at HH.St28-30 for the Gene Ontology 780 enriched pathways (p< 0.0001; Biological fusion [GO:0022610], and Epithelial fusion 781 [GO:0022610]) revealed significant fissure-specific expression for highly expressed (TPM> 100) 782 genes - NTN1, FLRT3, CYP1B1 and COL18A1 - in addition to other potential candidate genes for 783 roles in OFC. NTN1 (TPM> 200) was the highest expressed fissure-specific DEG identified during 784 active fusion.

785

786 Figure 4. A conserved fusion-specific requirement for NTN1 in OFC and palate development. 787 (a) RNAscope analysis of NTN1 mRNA (green, and grey in insets) in HH.St29 OFMs revealed 788 fissure-specific NTN1 expression (arrows) with strongest signal observed at open regions and in 789 the fusion plate, and reduced expression in the adjacently fused seam. NTN1 expression was 790 localised to cells of both the NR and RPE. Fusion progression was indicated using anti-laminin co-791 immunofluorescence (magenta). Images shown are maximum intensity projections of confocal 792 Z-stacks. (b) Single-plane confocal images of immunofluorescence analysis for NTN1 on flat-793 mounted distal (FP1) and proximal (FP2) OFM revealed enriched protein localisation at the edges 794 of the open fissure margins and reduced in the fused seam. (c) Immunostaining on 795 cryosectioned OFM at the open and fusion plate at HH.St29 revealed NTN1 was specifically 796 localised to the basal lamina (arrowheads) and to the epithelia of the neural retina and RPE 797 (arrows) at the OFM. (d) Immunostaining on CS17 human foetal eye sections revealed human 798 Netrin-1 (hNTN1) was localised to NR epithelia (arrows) and at the overlying basal lamina 799 (dented arrowheads) at the fissure margins. hNTN1 was absent from the fused seam epithelia. 800 (e) Immunostaining for mouse Netrin-1 (mNtn1) in during active fusion stages (E11.5) showed 801 mNtn1 was localised at the open fissure margins (arrow) in the basal lamina and to cells at the NR-RPE junction. mNtn1 was absent from this region in fused OFM seam at E12.5. (f) Ntn1<sup>-/-</sup> 802 803 mice exhibited highly penetrant (~90 %) bilateral coloboma (arrows; n = 10/11 homozygous 804 E15.5-E16.5 animals analysed). (g) Cleft secondary palate (arrows) was observed in ~ 36 % of *Ntn1<sup>-/-</sup>* embryos at E15.5-E16.5 (4/11 homozygous animals). 805

- 806 SUPPORTING INFORMATION
- 807 Figure 3-Source data 1
- 808 Kallisto analysis of RNAseq data from segmentally dissected HH.St25-26/E5 chick eyes.
- 809 Figure 3-Source data 2
- 810 Limma analysis of RNAseq data from segmentally dissected chick eyes at all stages.

#### 811 SUPPLEMENTAL FIGURES AND LEGENDS.

812

813 Figure 1: Supplement-1. (a) Mean eye diameter measurements for chick at embryonic days E4-E8 ( $n \ge 5$  eyes per stage). (b) Representative images of whole embryos and corresponding flat-814 mounted fissures from fusion-relevant Hamburger Hamilton<sup>52,53</sup> embryonic stages. The 815 approximate point of the initiating fusion plate is indicated for a HH.St28 fissure (white arrow). A 816 817 minimum of 3 fissures were examined by confocal light-microscopy to identify fusion points and 818 then additional samples were processed by serial cryo-sectioning to confirm fusion plates and 819 fused seams. (c) Whole embryo (Top panels) and memGPF confocal Z-stack images (Lower 820 panels) at HH.St25 and HH.St26 positioned at the distal and medial OFM in the P-D axis 821 illustrated lack of fusion at the iris and fissure margin. (d) Representative H&E sections from 822 fissures at HH.St25 and HH.St26 confirming lack of fusion at these stages. Note the abutted OFM 823 but un-fused (arrow) at the iris region in HH.St25 OFM (enlarged panel). Panel labels i-iii 824 correspond to positions indicated in *c*.

825

Figure 1: Supplement-2. (a) Iris development in flat mounted OFMs from E8-E10. Note the OFM at the iris remains open and is non-pigmented throughout all stages analysed (arrowheads). (b) Location and orientation of the developing pecten oculi and associated blood vessel (arrows) entering at the open iris region (arrowheads) shown in eyes taken from embryos at E9-19. The pecten was partially dissected from the underlying tissue (asterisks) to indicate its location relevant to the proximal optic fissure (yellow hatching). The P-D axes are shown.

832

833 Figure 2: Supplement-1. (a) Schema for quantifying Phospho-Histone H3A foci within confocal 834 Z-stacks taken of whole mounted fissures using a region of interest grid system. (b) 835 Representative image showing positive nuclei (red). ROI grids used were 200 x 200 µm; all 836 positive foci were recorded. P-D axis is shown for **a** & **b**. (c) Quantified Phospho-Histone H3A 837 immunostaining of whole-mounted fissures. Fewer proliferating cells (p=0.1093) were observed 838 at HH.St29 within the seam (mean=66.0, SD=20.30) compared to outside the seam (mean=90.8, 839 SD=32.6), and at HH.St30 (p=0.0063; mean fused seam =86.8, SD=32.3; within seam mean=60.2, 840 SD=21.8); Student's t-test used to compare within and outside seam. Data shown is the mean of 841 three fissures per stage with standard deviations indicated. Note: Central seam length was too 842 small to quantify in HH.St29 fissures (< 200 μm), however phospho-Histone H3A foci were fewer 843 at the fusion points compared to non-seam regions. (d) Representative phospho-Histone H3A 844 immunostaining of serially-sectioned fissures at HH.St29 revealed the presence of mitotic cells 845 within the apical neural retina throughout the ventral eye. Phospho-Histone H3A was not 846 enriched in the fused seam (HH.St30; *n*=3).

847

848 Figure 2: Supplement-2. (a) Anti-Neurofilament immunostaining (NF145, Neurofilament 849 medium; Top panels) in the OFM during active fusion. NF145 immunoreactivity (green) was 850 absent from the distal and medial OFM but was observed in the proximal region at the 851 developing pecten and optic nerve. Immunostaining on sections (middle panels) taken at the 852 fused seam, the apposed OFM, and at the central retina confirmed the absence of axons in 853 epithelial tissues at the open OFM and the nascently-fused OFM. Panel labels correspond to 854 positions indicated in the HH.St30 flat-mount brightfield image (Bottom). (b) Top: Combined bright-field and fluorescence confocal imaging (Z-stack projections) of whole mount anti-855 856 activated Caspase-3 immunostaining in a HH.St30 fissure showed positive foci in the fused seam 857 at a ~100  $\mu$ m from the static FP1, and multiple positive foci within the fused seam >100  $\mu$ m FP2.

858 *Below:* Enlarged images of FP1 and FP2 from (**b**) counterstained with DAPI highlighted the 859 enrichment for A-Casp3 foci at FP2 (open margin is indicated by hatching).

860

861 Figure 3: Supplement-1 (a) Schema for segmental microdissection of OFM samples prior to RNA extraction and processing for RNAseq. At least 10 fissures from independent chick embryos were 862 863 used per sample, per stage. Care was taken to exclude capture of the proximal/pecten OFM 864 region. Lenses were included for whole eye samples, for which 4 whole-eyes from independent embryos were used. (b) Heat map for E5 (HH.St25-27) RNAseq data shows strict domain 865 specificity for genes with previously known spatial restriction <sup>63</sup>. (c) Heatmap showing a 866 correlation coefficient of >0.9 (Spearman's rank correlation) for genome-wide expression levels 867 for all RNAseq samples. Note that sample Fiss E5 3 is an outlier. (d) GOrilla Gene Ontology 868 869 analysis for upregulated DEGs at all-stages using "Processes" revealed significant enrichment for 870 five processes. Number of genes in intersection is given in brackets, FDR q values are given for 871 each ontology. GO terms and descriptions: GO:0007423, sensory organ development; 872 GO:0001654, eye development; GO:0007155, cell adhesion; GO:0022610, biological adhesion, 873 GO:0051239, regulation of multicellular organismal process.

- 874 875 Figure 4: Supplement-1 (a) Whole-mount in situ hybridisation revealed NTN1 expression in the 876 ventral eye, developing pharyngeal arches, and otic vesicles at HH.St22. Enlarged panels showed 877 regionally-restricted NTN1 expression in the developing fissure margins (arrows) at HH.St22 878 (Top) and HH.St24 (Bottom). (b) Section in situ hybridisation and NTN1 immunofluorescence 879 analyses at HH.St28 showed NTN1 expression was specific to the edges of the early medial OFM 880 immediately prior to fusion. (c) (Top panels) RNAscope analyses at FP1/distal OFM at HH.St29 881 showed NTN1 mRNA specificity (arrows) in the fissure margin and a graded reduction in the 882 fused seam. (Bottom) Positive control analyses for RNAscope showed strong NTN1 mRNA signals 883 in the basal floorplate of the neural tube at HH.St29. The OFM midline is shown by a yellow 884 arrowhead in all panels.
- 885

886 Figure 4: Supplement-2 (a) Immunofluorescence staining for mouse Ntn1 in wild-type E12.5 887 eyes post-fusion showed absence of Ntn1 signal (arrowheads) in the distal (A) and medially 888 fused OFM (B), but presence of Ntn1 (arrowheads) in the proximal (C) and optic disc (D) regions. 889 (b) E11.5 Ntn1<sup>-/-</sup> embryos did not show any obvious size or gross structural differences during active fusion stages ( $n=4 Ntn1^{-/-}$  embryos analysed, total = 8 eyes). Ventral tissue at the optic 890 891 fissures (OF, arrows) appeared to be normally apposed. (c) Sections from Wild-type and  $Ntn1^{-/-}$ 892 optic fissures immmunostained with anti-laminin antibody (green) and counterstained with DAPI 893 and Phalloidin (red) showed mutant OFMs aligned correctly at E11.5 with no clear structural 894 differences observed between the genotypes. Representative sections from distal, medial, and 895 proximal OFMs are shown. OF, optic fissure; NR, neural retina; RPE, retinal pigmented 896 epithelium.

897

Figure 4: Supplement-3 (a) Gene-editing strategy using a single sgRNA targeting the first exon of zebrafish *ntn1a*. CRISPR/Cas9 was used to generate heterozygous ( $ntn1^{+/-}$ ; G0) founders. These were crossed to generate homozygous G1 embryos ( $ntn1^{-/-}$ ). (b) ) Panels showing the coloboma microphthalmia and coloboma (arrow) phenotypes in gene-edited  $ntn1^{-/-}$  embryos compared to wild-type. (c) Sanger sequencing confirmed the homozygous gene-edited *ntn1a* allele in 100% of phenotypic G1 embryos. (d) In silico translation of encoded mutant allele aligned to wild-type (first 153 amino acids shown of 603 aa ntn1a protein are shown). The gene edited mutation

- 905 encodes a frame-shift in the first exon resulting in a truncated ntn1a of 105 amino acids
- 906 (p.Cys90Ala.fs15). (e) Morpholino experiments produced bilateral coloboma in 100% of embryos
- 907 injected with *ntn1a* translation-blocking MO, with no ocular phenotypes observed in control MO
- 908 injected embryos. The optic fissures are indicated by arrows. (f) Tables with penetrance of
- 909 colobomas in gene-edited embryos and MO embryos compared to controls.
- 910
- 911 Figure 4: Supplement-4 Analysis of TPM values from RNAseq data at all three stages did not
- 912 detect significant levels of expression for canonical NTN1 receptors in the ventral eye or fissures
- 913 during OFC stages. *ITGB1* showed the highest expression values throughout all stages, but was
- 914 not specific to the fissure margin or ventral eye tissues.



HH stage



Apposition

b

Fusion plate





d





C Expression profile clusturing: Active fusion (St28-30)



b















Scale bars: 250 µm



Scale bars: 500 µm



Outside seam DAPI Phospho-H3A <u>25um</u>

С



d

b

Outside seam; medial OFM





















Scale bars = 25 µm

b а DAPI Ntn1 B DAPI Ntn1 WT A Dorsal Lens Ζ NR RPE Ventral Ventral Distal Medial DAPI Ntn1 D Ntn1 -/-DAPI Ntn1 C Optic nerve Optic nerve Proximal Optic disc







WT	MLRVSDALVTLVTLCCVLKGTVGGVGMSMFAAQTSPPDPCVDENGHPRCTPDFWAAFG	60
ntnla-Mutant	MLRVSDALVTLVTLCCVLKGTVGGVGMSMFAAQTSPPDPCVDENGHPRRCTPDFWAAFG	60
WT	KEVRASSTCGKTPSRYCVVTEKGDERHRNCHTCDASDPKKNHPPAYLTDINNPHNLTCWQ	120
ntnla-Mutant	KEVRASSTCGKTPSRYCVVTEKGDERHRNAHASRQTQRRITHQLT*	105
WT ntnla-Mutant	SDNYLQYPQNVTLTLSLGKKFEVTYVSLQFCSP 153 105	

Translation of mutant allele: p.Cys90Ala.fs\*15

а

e Control MO

f

*ntn1a* MO 2.5 ng

*ntn1a* MO 5 ng





<u>ntn1a - CRISPR/Cas9</u>

	WT (ntn1a <sup>+/+</sup> )	Heterozygote (ntn1a <sup>+/-</sup> )	Homozygote ( <i>ntn1a <sup>-/-</sup></i> )
% coloboma (total n)	0% (0)	0% (0)	14.3% (3)
% no ocular phenotype (total n)	57% (12)	28.6% (6)	0% (0)

#### <u>ntn1a – Morpholino</u>

	Ntn1 MO injected	Control MO injected
coloboma % (total n)	56.3% (40)	0% (0)
no ocular phenotype % (total n)	43.7% (31)	100% (40)



Pre-fusion (~E5)

Т 50 I. 0 UNC5B UNC5C UNC5D ITGA6 DSCAM NEO1 ITGA3 ITGB4 ITGB1

Fiss\_E7 Vent\_E7 Whole\_E7