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1 Article

# Monitoring effects of land cover change on biophysical drivers in rangelands using albedo

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12 Abstract: This paper explores the relationship between land cover change and albedo, recognized 13 as a regulating ecosystems service. Trends and relationships between land cover change and surface 14 albedo were quantified to characterise catchment water and carbon fluxes, through respectively 15 evapotranspiration (ET) and net primary production (NPP). Moderate resolution imaging 16 spectroradiometer (MODIS) and Landsat satellite data were used to describe trends at catchment 17 and land cover change trajectory level. Peak season albedo was computed to reduce seasonal effects. 18 Different trends were found depending on catchment land management practices, and satellite data 19 used. Although not statistically significant, albedo, NPP, ET and normalised difference vegetation 20 index (NDVI) were all correlated with rainfall. In both catchments, NPP, ET and NDVI showed a 21 weak negative trend, while albedo showed a weak positive trend. Modelled land cover change was 22 used to calculate future carbon storage and water use, with a decrease in catchment carbon storage 23 and water use computed. Grassland, a dominant dormant land cover class, was targeted for land 24 cover change by woody encroachment and afforestation, causing a decrease in albedo, while 25 urbanisation and cultivation caused an increase in albedo. Land cover map error of fragmented 26 transition classes and the mixed pixel effect, affected results, suggesting use of higher resolution 27 imagery for NPP and ET and albedo as proxy for land cover.

Keywords: land cover change; albedo; trend analysis; grasslands; ecosystems services; net primary
 production; evapotranspiration

30

### 31 **1. Introduction**

32 Changes in land use and land cover (LULC) cause bio-geophysical changes to the land surface 33 that disturb the Earth surface energy balance [1], which have noticeable impacts on ecological and 34 environmental systems. Biophysical characteristics associated with land cover types are not only 35 responsible for carbon storage in the landscape, but also affect water use of vegetation driven by eco-36 hydrological processes [2], such as in grasslands in water scarce catchments in South Africa. 37 Ecosystem changes can be detected and quantified using biophysical parameters derived from multi-38 temporal satellite observations of the land surface [3]. Primary drivers of change within the rural 39 catchments in the Eastern Cape have been linked to woody encroachment, commercial afforestation, 40 urbanization, increased dryland cultivation and rangeland degradation to the detriment of native 41 grasslands [4]. Conversion of grassland to woody vegetation results in higher actual 42 evapotranspiration (ET) due to increases in biophysical attributes, such as leaf area and rooting depth. 43 Higher ET in turn has the effect of reduced water yield from the catchment [2,5]. Changes in 44 proportions and composition of LULC across the catchment will affect the net ecosystem carbon exchange (NEE) [6] and influence the hydrologic functioning of a catchment affecting the climatesystem [7].

47 Surface albedo, the proportion of solar radiation reflected relative to the total incident radiation, 48 can vary considerably depending on the character of the landscape and the vegetation present [8]. 49 Land surface albedo has long been recognized as a radiative force from LULC change [7,9] and plays 50 a key role in climate change [9,10], while climate-modelling studies have confirmed albedo as a 51 climate regulating ecosystem service [8]. Afforestation reduces surface albedo by absorbing more 52 solar radiation and increasing surface temperature [9,11], while deforestation may activate either 53 radiative forcing, due to surface albedo change, or non-radiative forcing due to change in 54 evapotranspiration efficiency and surface roughness [12]. In addition, invasion by woody alien 55 species changes the landscape composition and affects soil properties, even after clearing [13]. Thus 56 for each land cover transition, the shift in surface albedo should also be considered. Commercial 57 afforestation, invasive alien plants (IAPs) (e.g. Acacia mearnsii (black wattle)) and native woody plant 58 encroachment (e.g. Vachelia karroo) all result in an increase in the total aboveground woody standing 59 biomass [14,15] with associated increase in leaf area index (LAI) and consequently a possible 60 reduction in surface albedo. The higher level of green water in these land cover classes is a good 61 absorber of heat, and this may result in further global heating [9,11], possibly discounting the positive 62 consequences of carbon sequestration [8]. In contrast, urban communities, such as found in the rural 63 Eastern Cape, South Africa, with widely spaced dwellings interspersed with bare soil, may result in 64 higher albedo. Similarly, degraded rangeland, with lower fractional canopy cover, may also have 65 higher albedo [16]. [17] found surface albedo to be an accurate proxy for land cover change in a semi-66 arid region in Brazil, due to its sensitivity to seasonal phenological variation [17,18] and landscapes 67 affected by land management practices [19]. Land cover change projections in the Eastern Cape of 68 South Africa have highlighted the importance of focusing land and water resources management 69 interventions on rehabilitation in catchments under dualistic<sup>1</sup> farming systems [20]. It is therefore 70 vital to consider surface albedo within a range of different land cover classes, and recommend 71 policies that will change albedo to promote improvements offered by carbon offsets.

Remote sensing is a key tool for monitoring long term environmental change from space. High spatial resolution Landsat [21] and high temporal resolution gridded moderate resolution imaging spectroradiometer (MODIS) vegetation indices (VI) have been used to characterize land cover dynamics for climate change assessment, mitigation and adaptation [22,23]. Furthermore, the recent launch of the Google Earth Engine cloud-based platform facilitates systematic large scale processing of geospatial data through ease of access to data archives [24] and shared algorithms [25].

78 Due consideration must be given to the scale at which analyses should be conducted since spatial 79 resolution and extent of analysis can have major effects on results, especially when categorical land 80 cover maps are derived that provide information about patterns and processes in the landscape [26]. 81 A common problem in spatial analysis of heterogeneous landscapes is the two-fold modifiable areal 82 unit problem (MAUP; [27]). Not only can the shape and placement of non-overlapping units used to 83 extract map values, such as land cover classes, influence analyses of those values, but also the 84 dimensions of arbitrary aggregation units, such as pixels in remote sensing imagery, do not match 85 the characteristic shapes and scales of natural features in the heterogeneous landscape, affecting 86 subsequent analyses [28]. [26] suggested higher resolution imagery could address this problem. 87 However, map error may be responsible for incorrect interpretations of land cover change [29]. Lack 88 of adequate reference data or imperfect reporting of accuracy results, affect the explanations of the 89 processes depicted in land cover change maps [26,30,31].

Various studies have been conducted to gain an understanding of rangeland dynamics in the
mesic regions of the Eastern Cape, using a combination of remote sensing and field data. For instance,
[32] described the invasion of the rangelands by black wattle and the effect on soil properties [33]. [4]
derived land cover change trajectories and associated error from land cover maps, while [5]

<sup>&</sup>lt;sup>1</sup> To describe the complexity around the communal farming tenure arrangement in the Eastern Cape, the label "dualistic or bilateral landholding arrangement" was agreed upon by stakeholders, due to the interaction of the components of traditional leadership and the municipal system in land allocation.

- 94 determined the fraction of photosynthetically active radiation (fPAR) and LAI for several land cover
- 95 classes. Modelled evapotranspiration (ET) was used to highlight the effect of land cover change on
- 96 the catchment evaporative fraction [2]. Future land cover changes were modelled based on observed
- 97 land cover change maps [20] and future change trajectories derived. However, the effect of land cover
- 98 change, both observed and modelled, on surface albedo and consequently the surface energy balance,
- has not been explored in this region. Additionally, the link between modelled landscape change,
- 100 surface albedo and changes in catchment water and carbon fluxes have not been investigated.
- 101 Recently, surface albedo was extracted from satellite data per land cover class for calibration of land 102 surface models (LSM) in climate modelling [34,35], while other authors have investigated the 103 potential of albedo in land cover [36] and land cover change analyses [17].
- 105 potential of albedo in land cover [36] and land cover change analyses [17]. 104 The aim of this paper is to quantify trends and relationships between land cover change, surface
- albedo, NPP and ET to characterise catchment water and carbon fluxes and postulate consequences on ecosystem services provided by grasslands. Trends in surface albedo are described at catchment and trajectory level for observed land cover change. Links are established to quantify future carbon storage and water use – through respectively NPP and ET – in response to modelled land cover change. The benefits of using albedo as a proxy for land cover change are highlighted.

#### 110 2. Materials and Methods

- Located in the Eastern Cape Province, South Africa (Figure 2), the quaternary catchments <u>S50E</u> and T35B are dominated by grassland, interspersed with woody IAPs [37]. The Ncora Dam, supplied by the perennial Tsomo River, lies within the S50E catchment, while T35B, drained by the Pot and Little Pot Rivers, has no large dams. The mean annual rainfall for the area is ~800 mm [38], with the majority occurring-falling in summer particularly during January.
- Mixed farming, with livestock grazing and crop cultivation practiced under dualistic land tenure [39] is practiced in S50E with its high grazing potential. <u>Farming practices such as overgrazing</u>, <u>burning and wood felling in S50E have contributed to grassland transformation resulting in degraded</u> <u>vegetation diversity and richness</u>. In contrast, T35B represents commercial/freehold land with <u>several</u> different land usages, including forestry, mixed livestock and crop production. Non-clustered rural and urban settlements are found in both catchments.
- 122 Invasion by woody plants, particularly black wattle (*Acacia mearnsii*), silver wattle (*Acacia dealbata*) and poplar (*Populus* spp.) has transformed the grasslands [13,15], affecting rangeland production. Coordinated efforts of clearing IAPs [40] that have higher water use relative to indigenous vegetation [41] is underway to increase the proportion of water available to maintain other ecosystem services provided by rangelands [42,43]. Figure 1 provides an overview of the processing steps described in this section to perform trend analysis and characterize carbon fluxes (NEE) and water use in the catchments.



#### 130 Figure 1. Processing flow to model albedo relationship with land cover

#### 131 2.1. Land cover change

132 Observed land cover maps for 2000 (T1) and 2014 (T2) [4] and modelled land cover for 2030 (T3) 133 [20] at 30m pixel resolution were selected for land cover change analysis. Land cover classes included 134 grasslands (UG), shrublands, indigenous as well as invasive trees and bushes (FB), bare soils (BR), 135 water bodies (WB), wetlands (WL), croplands (CL), forests (FP) and urban, built-up (UB). As 136 described in [4,20], the existing South African National Land Cover map for 2000 [44] was adapted 137 to these eight classes through aggregation to conceptually broader classes [45] and manual editing 138 [4,33]. Supervised object-based image analysis using a rule-based decision tree classification of 139 Landsat 8 imagery was implemented to generate the 2014 land cover maps [4,33]. The overall 140 accuracy achieved for these maps was  $84 \pm 1\%$  and  $85 \pm 1\%$  for 2000 and 2014 respectively. Land cover 141 changes between T1 and T2 were analysed along with explanatory variables to generate transition 142 potential maps. Markov chain analysis was used to assign probabilities to potential changes to derive 143 the future land cover map for 2030 [20], presented in Figure 2.

- T35B: 2000 T35B: 2014 T35B: 2030 S50E: 2000 S50E: 2014 S50E: 2030 Namibia Bots South Africa S50E: Land cover change T35B: Land cover change Land cover classes Land cover change Persist 2000-2014-2030 Grassland (UG) Shrubland (FB) Persist 2000-2014 Bare (BR) Persist 2014-2030 Water (WB) Transition Wetland (WL) Rive Cultivated (CL) Dam Plantation (FP) Urban (UB) 5 20 \_l km
- 144
- 145

Figure 2. Study area with land cover classification for 2000, 2014 and 2030

146 Post-classification change analysis was performed through overlay of (1) T1 and T2, and (2) T2 147 and T3 land cover maps and construction of a transition matrix for the intersection of each pair of 148 land cover maps [4,20,33]. Observed historical land cover change of 21% and 18% in respectively S50E 149 and T35B were reported for 2000-2014 [4]. Projected land cover change, modelled from the 2014 and 150 2030 land cover maps, amounted to 23% and 16% of the catchment for S50E and T35B respectively 151 [20]. Nine land cover change trajectory labels were assigned to specific land cover transitions to relate 152 land cover change to specific landscape processes [4]. Landscape changes in the study area were 153 grouped into three land change categories [46,47]. Table 1 shows the land cover class transitions

- identified by trajectory labels with expected albedo change direction for each class transition, based
- 155 <u>on literature values [36,48,49] for similar land cover classes</u>, provided in brackets: (†) to signify
- 156 increase, ( $\downarrow$ ) decrease or (-) no change. The land change category is also specified as abrupt
- 157 (highlighted in light grey), seasonal (dark grey) or gradual ecological change (no background).

#### 158 Table 1. Land cover change trajectories.

Land cover trajectory	Land cover transitions (expected	Land change category
<u>(label)</u>	albedo change)	
Woody encroachment (Ifg)	UG->FB( $\downarrow$ ); FP->FB( $\uparrow$ ); CL->FB( $\downarrow$ )	Gradual ecological
<u>Abandonment (Ag</u> )	$CL \rightarrow UG(\downarrow); UB \rightarrow UG(\downarrow)$	change
Degradation (Deg)	UG->BR(↑)	
Reclamation (Reg)	FB->UG(↑)	
Increased cultivation (Ia <sup>a</sup> )	UG->CL( <sup>†</sup> ); FB->CL( <sup>†</sup> ); WB->CL( <sup>†</sup> );	Abrupt change
	WL->CL(↑); UB->CL(↓)	
Urban expansion (Iu <sup>a</sup> )	$UG \rightarrow UB(\uparrow); CL \rightarrow UB(\uparrow); FB \rightarrow UB(\uparrow)$	
Afforestation (R <sup>a</sup> )	UG->FP( $\downarrow$ ); FB->FP( $\downarrow$ )	
Deforestation (D <sup>a</sup> )	FP->UG(↑); FP->BR(↑)	
<u>Natural dynamic (Dn<sup>s</sup> )</u>	UG->WB( $\downarrow$ ); UG->WL( $\downarrow$ );	Seasonal change
	WB->UG(↑); WL->UG(↑)	

159 UG: grasslands, FB: shrublands, BR: bare, WB: water bodies, WL: wetlands, CL: croplands, FP: forest/plantation,

160 <u>UB: urban.</u>

161 Gradual ecological change (superscripted with g) describes landscape changes associated with 162 woody intensification of grassland, abandonment of agriculture, degradation of grassland and 163 agriculture, as well as reclamation of grassland from IAPs. When a lower intensity use transitions to 164 a higher intensity use, such as bushland encroachment into grassland, or increase in agriculture, it is 165 considered intensification in the landscape. Although an increase in agriculture is intensification of 166 the landscape, it is categorised as an abrupt change (superscripted with a), along with afforestation, 167 deforestation and urban intensification due to the time scale over which the change occurs. 168 Deforestation, degradation and reclamation, resulting in expected albedo increase, as well as 169 abandonment, with expected albedo decrease, describe transitions to grassland and bare areas. 170 Seasonal change (superscripted with s) can account for natural dynamics of seasonal conversions not 171 explained through anthropogenic change that may result in albedo fluctuations. As trajectory labels 172 identified in the study area (Table 1 Table 1) define transitions from multiple land covers to a single 173 land cover, or to multiple land covers, there may be opposing albedo change directions within the 174 same trajectory. These opposing vectors may have a confounding effect on the results and require 175 further work to untangle the influence of each land cover transition.

The land cover trajectory labels (<u>Table 1</u>Table 1), subsequently called transition classes, were applied to the transitions between 2000-2014 and 2014-2030 [20]. In addition to these transitions, exceptionality, associated with potential map errors [4] was noted in the study area, but excluded from analysis (<1% of T35B, 2.8% of S50E). Persistent classes, defined as pixels that represent the same thematic land cover class in 2000 as in 2014, where no land cover change was measured, may represent a measure of seasonality, degradation or long-term background change not associated with class transition. Both transition and persistent classes were used for further analysis.

183 2.2 Satellite data

#### 184 2.2.1 Albedo

A strong agreement exists between Landsat surface reflectance (SR) and MODIS Nadir Bidirectional reflectance distribution function (BRDF) – Adjusted Reflectance (NBAR) implying that
 the Landsat archive prior to the MODIS era can be used to obtain results of a similar quality to MODIS
 [18] To maintain this integrity, the same methodology to estimate albedo was applied to both the

- 189 Landsat and MODIS collections. Albedo for each time step was calculated from MODIS and Landsat
- using the formula suggested by [50,51] with constant values referred to in Equation (1) provided in
- 191 Table 2.

192 albedo = c0 + c1r1 + c2r2 + c3r3 + c4r4 + c5r5 + c7r7, (1)

where *r*1, *r*3, *r*4, *r*5, *r*7 are the surface reflectance derived from MODIS and Landsat bands 1, 3, 4, 5,

and 7 respectively, while *r*<sup>2</sup> is excluded for Landsat but represents MODIS band 2.

195

Table 2 Constant values used in calculation of albedo from MODIS and Landsat

	c0	c1	c2	c3	c4	c5	c7
Modis	-0.0015	0.160	0.291	0.243	0.116	0.112	0.018
Landsat	-0.0018	0.356	0	0.13	0.373	0.085	0.072

196 The MODIS 500 m BRDF/NBAR/albedo product (MCD43A) [52,53] standardizes MODIS 197 directional reflectance to a nadir view at the illumination of local solar noon to eliminate the angular 198 effect on biophysical related parameters. A 15-year time series of MODIS data were extracted using 199 the National Aeronautics and Space Administration (NASA) Application for Extracting and 200 Exploring Analysis Ready Samples (AppEEARS) interface (https://lpdaacsvc.cr.usgs.gov/appeears/). 201 This time-series was made up of 690 8-day surface reflectance (MCD43A4 Nadir Reflectance Band 1-202 7, version 5) and albedo band quality (MCD43A2 BRDF Albedo Band Quality, version 5) data from 203 2000-02-18 (8-day composite beginning on ordinal day 49) to 2015-02-10 at 500-m resolution. To cover 204 fifteen years, each year-long period is defined as beginning on ordinal day 49 and ending on day 41 205 containing 46 data points [54].

206 Landsat imagery was selected from the Google Earth Engine (GEE) Image Collections [25] for 207 the same period as the MODIS data. Sixty three Landsat 5 Thematic Mapper (LT5), 243 Landsat 7 208 Enhanced Thematic Mapper Plus (LE7) and 49 Landsat 8 Operational Land Imager (LC8) images that 209 had been (1) calibrated to a consistent radiometric scale; and (2) atmospherically corrected to 210 represent surface reflectance were filtered for pixel quality and catchment geography (image 211 path/row 169/082 for T35B and 170/082 for S50E). Equation (1) was applied to each image in the LT5 212 and LE7 image collections as the band specifications on Landsat TM and Landsat ETM+ are identical. 213 For the LC8 collection, the parameters r1, r3, r4, r5, r7 in Equation (1) are the surface reflectance 214 derived from equivalent LC8 bands 2, 4, 5, 6 and 7 respectively [55]. The respective LT5, LE7 and LC8 215 albedo collections, sorted by date, were merged into a new albedo image collection in GEE.

216 2.2.2 NDVI and Peak Season Albedo

As surface albedo is sensitive to vegetation cover change, especially during the growing season [56], peak season albedo (PSA) was extracted. PSA, defined as the albedo when the maximum normalized difference vegetation index (NDVI) value per year occurs, could limit seasonal vegetation fluctuation in the data thereby reflecting the relationship between inter-annual albedo variations with land cover change.

For MODIS, NDVI was calculated from MCD43A4 surface reflectance band 1 (red) and band 2 (near infrared) at 500 m spatial resolution for every pixel in each annual time-series and the relative position of the maximum NDVI was marked. The albedo value for the particular position, representing the PSA, was extracted from the MCD43A4 time series [56].

The same method to derive PSA was applied to the Landsat data in GEE. However, only growing season images between September and May were considered as the lower temporal resolution and images with cloud cover may confound albedo at an annual time step. <u>Cloudy pixels were masked</u> out using the Quality Assessment bands that identify pixels exhibiting adverse instrument, atmospheric, or surface conditions, supplied with Landsat Surface reflectance products. The relative position of maximum NDVI during the peak growing season for each year was used to extract the albedo from the merged Landsat albedo image collection. NDVI was calculated from red and near informed surface reflectance hands - hands 2 and 4 memory for LTE and LE7 and hands 4 and 5

233 infrared surface reflectance bands – bands 3 and 4 respectively for LT5 and LE7 and bands 4 and 5

respectively for LC8. Mean PSA values for persistent and transition classes in each study area were
 extracted from the MODIS and Landsat PSA using a zonal statistics function in R statistical software
 [57].

#### 237 2.2.3 MODIS NPP and ET

Net primary production (NPP) (MOD17A3, version 5, 1km) [58] and evapotranspiration (ET) (MOD16A2, version 5, 1km) [59,60] products, were extracted to represent carbon and water fluxes respectively. The MOD17A3 product provides information about annual (yearly) NPP at 1 km pixel resolution. Although the new 500 m, version 6 product [58] was considered, uncharacteristically high NPP values were observed for 2000 and 2001, and the coarser resolution 1 km product was therefore selected instead.

Not only does ET play an important role in the terrestrial water cycle through precipitation return, but as user of more than half of the total solar energy absorbed by land surfaces, ET is an important energy flux [61]. The MOD16 product uses a physical model based on the Penman-Monteith logic [62] to calculate ET [59,60,63]. Though uncertainties were noted in both measured [64] and remotely sensed data [60,65,66], MOD16A2 data was previously used in catchment S50E [2] to investigate the influence of land cover change on ET.

Annual NPP (MOD17A3) and ET (MOD16A2) were extracted for the period 2000 to 2014 to visualise the trend of these variables in the catchments. Non-parametric least squares regression was performed in localised subsets to fit a smooth "LOcal regression" (LOESS) curve [67]. Mean NPP and ET per pixel were calculated. Summary statistics were computed from the gridded datasets for each

254 land cover transition class using zonal statistics.

#### 255 2.3 Trend analysis

Linear correlation analysis was performed on annual PSA time series for MODIS and Landsat using linear least square regression to identify significant linear trends (p<0.05) at catchment, land cover trajectory and pixel level. The slope of the regression, which describes the direction of change, was also extracted. PSA percentage change (slope of linear correlation analysis multiplied by study period) was computed per pixel. Mean values for catchment and trajectory level analyses were extracted by applying zonal statistics.

Pixel-wise linear regression was performed between PSA, NPP, ET and NDVI to characterize the relationships between PSA and (1) NPP, (2) NDVI and (3) ET. The coefficient of determination (R<sup>2</sup>), correlation coefficient and the direction of the trend was extracted from the slope of the linear regression. Percentage change was applied to model future change as a function of land cover change using the linear regression equations developed for persistent classes applied to modelled land cover.

267 A season-trend model (STM) [3] based on a classical additive decomposition model as 268 formulated in breaks for additive seasonal and trend (BFAST) software [68] was applied to the 8-day 269 MODIS albedo time series with package greenbrown [69] in R statistical software [57]. The full 270 temporal-resolution albedo time series was explained by a piecewise linear trend and a seasonal 271 model in a regression relationship [3], to identify trends, inter-annual variation (IAV) and significant 272 breakpoints at pixel-level. The method uses ordinary least squares (OLS) regression fitting linear and 273 harmonic terms to the original time series to estimate time series segments based on significant trend 274 slope. The significance of the trend in each segment is estimated from a t-test. A maximum of three 275 breakpoints with significant structural changes ( $p \le 0.05$ ), were selected. Time series properties 276 (mean, trend, inter-annual variability, seasonality and short-term variability) were estimated from 277 the 8-day MODIS albedo product [3].

#### 278 **3. Results**

#### 279 3.1. Catchment level PSA, NPP, ET and NDVI

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284

- pixel level slope of PSA regression over the study period for T35B and S50E for both MODIS (Figure
- 282 <u>3Figure 3</u>A, B, E, F) and Landsat (<u>Figure 3</u>Figure 3C, D, G, H).





287 Although similar spatial patterns are observed, it is clear from Figure 3Figure 3C and D, that 288 there are some extreme changes that are not captured at coarser MODIS resolution. This is borne out 289 by the larger range for Landsat displayed on the x-axes in Figure 3Figure 3G and H. The slope for 290 MODIS pixels varied between -0.003 (blue pixels) in both catchments with maximum increase of 0.005 291 for S50E and 0.0026 for T35B (red pixels). Measured from Landsat PSA, greater variation of values 292 between -0.01 (blue pixels) and 0.011 (red pixels) was calculated. Locations where Landsat PSA trend 293 is either higher than the maximum MODIS trend or lower than the minimum trend are indicated 294 with circles in Figure 3C and D. At catchment scale the mean change (mpc) in PSA was less than one 295 per cent ±10 standard deviations (sd) for MODIS and ±5 sd for Landsat.

Over the study period, mean MODIS PSA values of  $0.145\pm0.011$  and  $0.150\pm0.014$  were obtained for catchment T35B and S50E respectively, with mean Landsat PSA values significantly lower (p<0.05) at  $0.143\pm0.022$  for T35B and  $0.140\pm0.022$  for S50E. The boxplots in Figure 4 illustrate mean annual PSA (Figure 4A, B), NPP (Figure 4D, E), ET (Figure 4F, G) and NDVI (Figure 4H, I) trends for the observed study period extracted from MODIS data. Mean annual rainfall (Agricultural Research



302 plot in Figure 4C. WS 30388 represents the rainfall in S50E at Cala, while WS 30149 represents the 303 rainfall for T35B at Ugie. The linear trend is shown with a dotted line while the LOESS curve indicates

the local trend.



Figure 4. Mean annual PSA (A, B), NPP (D, E), ET (F, G) and NDVI (H, I) values respectively for T35B
(left) and S50E (right), with bar plot of annual rainfall (C). LOESS regression curve in red, linear
regression curve in dotted lines.

While similar spatial patterns were observed for mean MODIS PSA at coarser resolution and mean Landsat PSA, linear correlation between Landsat pixels, scaled to MODIS resolution, only shows an R<sup>2</sup> of 0.718 for T35B and 0.723 for S50E. In addition, the mean PSA in both S50E and T35B did not change significantly over the 15 year study period (p>0.05). However by fitting a median based linear model [70–72], the S50E slope showed a slight increase ( $\beta_{1M}=0.00023$ ;  $\beta_{1LS}=0.0003$ ; p>0.05), which would cause a net increase of 0.003 (0.004) in PSA. In contrast, mean PSA trend in T35B was 318negative with MODIS ( $\beta_{1M}$ =-00009) but positive with Landsat ( $\beta_{1LS}$ =0.0004), translating to PSA change319of -0.001 (+0.006). Non-significant trends at catchment scale were confirmed with a Mann-Kendall320(MK) test (p>0.05) for both catchments. Mean albedo values and trend were also calculated from the3218-day MODIS product (T35B- $\sigma$ =0.135±0.017,  $\beta_{1M8}$ =0.0001; S50E- $\sigma$ =0.146±0.001,  $\beta_{1M8}$ =0.00004).

322 PSA generally followed an increasing trend in response to drop in rainfall, and a decreasing 323 trend in response to increased rainfall, when comparing Figure 4A and B with Figure 4C. The high 324 rainfall in 2006, categorised as a flood [73], caused a drop in PSA reflected in 2006. Although a 325 relationship between albedo and rainfall is suggested, neither the linear, nor non-linear trend (Theil-326 Sen slope, measured with MK-test) was significant (p>0.5) at catchment scale. NPP, ET and NDVI in 327 T35B (Figure 4) have higher mean values (0.892 kg.C.m<sup>-2</sup>; 542 mm.yr<sup>-1</sup>; 0.54) compared to S50E (0.802 328 kg.C.m<sup>-2</sup>; 508 mm.yr<sup>-1</sup>; 0.49) and are statistically different (p<0.05), measured with Wilcoxon signed 329 rank test for non-parametric data. Though the trends appear strongly related to that of the rainfall 330 pattern in Figure 4C, there is only a weak negative linear trend (p>0.1). Lower NPP, ET and NDVI 331 were noted for 2003 in both catchments confirming the inflection point in 2004 indicated by [2] 332 associated with extreme low rainfall in 2003 (Figure 4C). Even though the LOESS curve (in red) 333 indicates a local downward trend, the linear trend is not significant (p>0.05) in any of the catchments. 334 The correlation between mean PSA, NPP, NDVI and ET is reported in Table 3. Complete cases,

where a value existed for each of the four datasets for the pixel in question, were extracted for every pixel within the two catchment extents for comparison. A positive correlation indicates the extent to which one variable e.g. PSA increases or decreases in parallel with another variable, while a negative correlation indicates the extent to which one variable increases as the other decreases.

339

Table 3 Catchment level correlation between PSA, NPP, NDVI and ET

<u>T35B</u>	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>
<u>1. PSA</u>	Ξ	-0.01	<u>-0.35</u>	<u>-0.22</u>
<u>2. NPP</u>	0.13	± 1	0.51*	0.71*
<u>3. NDVI</u>	-0.28	0.31	± 1	0.60*
<u>4. ET</u>	<u>-0.08</u>	0.64*	0.57*	Ξ

340 <u>Note. Correlations for S50E (n=2407) are presented above the diagonal in italics, and correlations for T35B</u>
 341 (n=2162) are presented below the diagonal. \*p < 0.05.</li>

342 In both the catchments, the strongest correlation was found between NPP and ET with 0.64 in 343 T35B (n=2162) and slightly higher at 0.71 for S50E (n=2407). Correlation between NDVI and ET was 344 ~0.6 in both catchments while NDVI showed a stronger relationship with NPP in S50E. A weak 345 negative correlation was found between PSA, NPP and ET. In T35B, PSA had a weak positive 346 correlation with NPP, but none in S50E. Detail of the correlations computed per land cover class and 347 transition trajectory are provided in supplementary material, Table S1. In contrast to the catchment 348 results, at land cover class and transition level, the strongest correlation was between NDVI and ET 349 (>0.79). Only persistent forest/plantation (n=42; 0.55) and trajectory deforestation (n=35; 0.75) in S50E 350 showed a significant correlation between NPP and ET. Intensification of agriculture showed a similar 351 response in both catchments, only the correlation between albedo and NDVI was stronger in T35B 352 (n=41; -0.54) as compared to S50E (n=117; -0.45). Contrary to expectation, deforestation in T35B 353 showed a positive correlation (n=23; 0.7) between albedo and NPP. Afforestation in S50E (n=6;-0.56) 354 displayed a negative correlation between albedo and NPP, but a positive correlation in T35B (n=60; 355 0.63). The aggregated catchment correlation masks some of the per class correlations, resulting in 356 Simpson's paradox where groups of data show one particular trend, which is reversed when groups 357 are aggregated [74]. Common in spatial analysis of heterogeneous landscapes, this is an example of 358 MAUP [28] where the sample size (n) is dictated by the arbitrary land cover aggregation units. 359 The spatial distribution of the correlation between PSA and each of the variables NPP, NDVI

and ET are shown in Figure 5 for T35B (top) and S50E (bottom). Only significant correlations (p<0.05) are symbolised, while p>0.05 is shown in grey. "No data" values (white) are visible in Figure 5Figure 5D and F where the NPP and ET algorithms did not calculate a value for the Ncora dam in S50E. Negative values (brown) show negative correlation where one variable increases as the other decreases. Positive values (green) show positive correlation where variables increase in parallel.
 Pixels where all three variables are significantly correlated with PSA, are highlighted with blue
 (+PSA+ET+NDVI+NPP or -PSA-ET-NDVI-NPP) and red (+PSA-ET-NDVI-NPP or PSA+ET+NDVI+NPP) buffers to indicate the direction of the correlation.



## 368



370 Labels 1, 2 and 3 in Figure 5 indicate the spatial location of three points where pixel 371 values were extracted to further illustrate the correlation between PSA, NPP, ET and NDVI at local 372 scale, linked to specific land cover trajectories. Point 1 represents an area with high negative albedo 373 trend (Figure 5Figure 5A), in contrast to point 3 with a high positive albedo trend (Figure 5Figure 5 374 **5**B). Point 2 was selected as the middle ground with almost no trend (Figure 5Figure 5B). In the case 375 of points 1 and 3, negative correlation was noted while for point 2 positive correlation was measured 376 between PSA and NPP, ET and NDVI. It is important to note that each of the variables (NPP, ET and 377 NDVI) can show either positive or negative correlation with PSA at different spatial locations.

- 378 3.2 Land cover trajectories
- 379 Published albedo values are compared to similar land covers as those found in the study area(<u>Table 4Table 4</u>).
- 381 Table 4. Study area albedo values compared to literature.

		S50	ЭE	T35	БB	
	Land cover	Landsat	Mean Modis	Landsat	Mean Modis	Literature value
UG	grasslands	0.142	0.152	0.146	0.147	0.17 [48]
	shrublands, indigenous					
FB	as well as invasive trees and bushes	0.113	0.133	0.138	0.144	0.17 [48]

BR	bare	0.163	-	-	-	0.20 – 0.33 [49]
WB	water bodies	0.126	0.134	0.043	-	0.05 – 0.20 [49]
WL	wetlands	0.120	-	0.126	0.147	
CL	croplands	0.146	0.155	0.163	0.154	0.163 [36]
FP	forest/plantation	0.105	0.117	0.113	0.124	0.11 [48]
UB	urban, built-up	0.166	0.163	0.177	0.157	

382 No persistent bare soil was observed in T35B, while the extent of bare soils and water bodies 383 was too small to extract mean MODIS PSA. Similarly, in S50E, mean MODIS PSA could not be 384 evaluated for bare soils and wetlands. In this study, UG refers to herbaceous vegetation (grassland, 385 savannas and degraded grassland), while in other databases found in literature, such as the 386 CORINNE database [75], grassland may refer to greener pastures with a lower albedo value. 387 Similarly, in the case of shrublands it is probable that the albedo measured by [48] are leafier thus 388 having a higher LAI and lower albedo than in this study area. [75] observed that class names used in 389 land cover classification systems are often descriptive without providing detail on the criteria used 390 to define these classes. Water bodies and croplands fall within the literature ranges, while 391 forest/plantation lies within 0.01 of published values for this land cover class, although lower than 392 reported by [36].

393 The percentage area per catchment occupied by persistent land cover classes and transition 394 trajectories and significant PSA change (trend slope p<0.05), measured using both MODIS and 395 Landsat, are summarised in Table 5 Table 5. Significant PSA change is divided into decrease in albedo 396 (negative change) and increase in albedo (positive change), given both in percentage of catchment 397 area as well as PSA change. PSA change is calculated as the trend slope multiplied by the study 398 period (15 years) to give the expected increase or decrease in PSA per land cover class or transition 399 and is highlighted in light grey. Equally, the detail per land cover class is presented in supplementary 400 material, Table S<sup>2</sup> and Table S<sup>3</sup>.

401 402

Table 5. Total and significant change in land cover classes per catchment, reported in <u>percentage of</u> <u>catchment</u> and change <u>in albedo</u> (highlighted in light grey).

Study	Total ca	Total catchment			Significant change			Negative sig. change				Positive sig. change			
area	<u>% area</u>	area PSA change		<u>% area</u> <u>PSA c</u> har		hange	<u>% area</u>		PSA_change		<u>% area</u>		<u>PSA</u> change		
LC	MOD LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS
T35B		-0.001	0.003	<u>11.1</u>	<u>11.3</u>	-0.013	0.004	<u>7.9</u>	<u>4.3</u>	-0.026	-0.039	<u>3.2</u>	<u>7.0</u>	0.019	0.031
Р	<u>82.7</u> <u>81.0</u>	-0.001	0.004	<u>7.4</u>	<u>8.4</u>	-0.011	0.007	<u>5.0</u>	<u>2.8</u>	-0.025	-0.039	<u>2.4</u>	<u>5.6</u>	0.018	0.03
Т	<u>17.6</u> <u>17.8</u>	-0.004	0.001	<u>3.4</u>	<u>2.8</u>	-0.017	-0.002	<u>2.7</u>	<u>1.4</u>	-0.027	-0.04	<u>0.7</u>	<u>1.4</u>	0.023	0.036
S50E		0.004	0.004	<u>8.5</u>	<u>16.1</u>	0.016	0.017	<u>1.9</u>	<u>4.1</u>	-0.018	-0.026	<u>6.6</u>	<u>12.0</u>	0.026	0.032
Р	<u>75.4</u> <u>75.5</u>	0.004	0.004	<u>5.4</u>	<u>10.9</u>	0.013	0.013	<u>1.3</u>	<u>2.9</u>	-0.023	-0.027	<u>4.1</u>	<u>8.0</u>	0.025	0.027
Т	<u>20.6</u> <u>21.1</u>	0.007	0.009	<u>3.0</u>	<u>5.0</u>	0.023	0.029	<u>0.5</u>	<u>1.1</u>	-0.02	-0.027	<u>2.5</u>	<u>3.9</u>	0.032	0.045

403

<u>LC = land cover; MOD = MODIS; LS = Landsat;</u> P = Persistent classes; T= Transition classes

404 As expected, with persistent classes comprising 82% of T35B, the mean change (MODIS, Landsat; 405 -0.001, 0.004) for persistent classes only was similar to that of the entire catchment (-0.001, 0.003). 406 Significant change (9%, 10%) was noted with similar trend directions. Negative trends amounted to 407 a larger negative change to lower albedo values, however the positive change measured with Landsat 408 covered a larger area. For S50E, persistent classes covered 75% of the landscape with a mean change 409 in PSA over the study period of 0.004 measured by both MODIS and Landsat. Although the area 410 mapped as persistent is almost the same among the data sources, the area of significant change 411 (p<0.05) is almost double using Landsat to map the change. Figure 6 illustrates the mean PSA for each 412 persistent land cover class measured with MODIS and Landsat for T35B (A, C) and S50E (B, D) over

413 <u>the study period.</u>



415 <u>Figu</u>

Figure 6. PSA in persistent land cover classes over the study period

416 In S50E, persistent urban land cover displayed the highest PSA, measured with either sensor 417 (Figure 6C, D). In contrast, MODIS PSA in urban land cover (Figure 6A), showed an anomalous result 418 for T35B as a result of the fragmented nature of the urban class (n=3; Table S1), representing only 419 0.1% (n=3) of the catchment area (Table S1, S2). The urban sites in this catchment have a longer history 420 of human occupation, and are considerably more woody than rural villages in S50E which are under 421 communal tenure arrangements. Shrubland in T35B shows an unexplained trough between 2002-422 2006 and 2009-2011 in Figure 6B. This could be related to variation in rainfall, IAP clearing activities 423 and regrowth.

424 Transition classes (Table 1 account for 18% in T35B and 21% in S50E [4] at Landsat 425 resolution. These transition classes measured with MODIS and Landsat respectively showed smaller 426 changes in T35B (-0.004, 0.001) compared to S50E (0.007, 0.009). Total area of transition in T35B is 427 almost four per cent larger when measured with Landsat, while there is only two per cent difference 428 in S50E, implying more local scale and fragmented transition in T35B. Between 2000 and 2014, 429 gradual ecological change (woody encroachment, abandonment, degradation and reclamation) 430 caused a positive significant increase in albedo for all Landsat-based classes (Supplementary 431 material, Table S2 and S3), however the affected area covers less than 2% of the two catchments. In 432 contrast, when MODIS data was used, only woody encroachment and reclamation caused increases 433 in albedo. It is therefore clear that the detail of change in the landscape is not effectively captured 434 using only MODIS data. Figure 7 illustrates the relationship between the transition classes and PSA 435 from MODIS and Landsat compared with the catchment average PSA (black line).



436

Figure 7. PSA in transition classes over the study period (If-woody encroachment, Re- reclamation,
 R-afforestation, CAT-catchment average, Ia-increased cultivation, Iu-increased urban, D deforestation, De-degradation, A-abandonment)

440 Degradation, urban intensification, increased cultivation and abandonment all have higher than 441 catchment average PSA. These classes are all associated with increased bare surfaces with higher 442 albedo. Increased cultivation also results in a higher albedo, due to clearing of existing vegetation to 443 establish crops, the fraction of bare ground between standing rows or desiccation in fallow fields. In 444 both catchments, the effect of degradation (De) is much larger when PSA is measured using Landsat, 445 but the percentage is low (0.1% in both catchments). Deforestation (D) shows the expected increase 446 in PSA in S50E, but not in T35B where it follows the afforestion (R) curve, possibly indicative of a 447 classification error in the land cover products.

### 448 3.3 Season-Trend model

The estimated trend and breakpoints from the deconstructed 8-day albedo time series using the STM method [3], extracted for Points 1, 2 and 3 (Figure 5Figure 5) are depicted in Figure 8. Significant structural breakpoints (95% CI) are indicated by red squares and horizontal red lines. The trend line on 8-day time series, between significant breaks, is added in blue. The significance of the trend line segments are indicated by blue stars to show the p-value (\*\*\* p <= 0.001, \*\* p <= 0.01, \* p <= 0.05). The slope and significance of the trend line on annual aggregate is added in blue text, with the p-value illustrated with green stars on the trend line. 456

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Figure 8<u>.</u> Estimated trends on three selected points decomposed using STM in package greenbrown in R. Red squares indicate structural breaks, while blue and green stars indicate significance of trend segments.

460 Trend for Point 1, with persistent forest/plantation (FP) and trajectory afforestation ( $R^a$ ), shows 461 a significant overall decrease of albedo (p<=0.001 green \*) with three significant breakpoints, each 462 with significant trend (blue \*). The overall slope indicates a small but significant negative change. 463 Point 3 indicates the opposite trajectory with D<sup>a</sup> (deforestation) resulting in an increase of albedo 464 (p<=0.001). Two breakpoints are indicated with three significant segments (p<=0.01). Point 2 is an 465 example of persistent grassland (UG) where overall trend shows a very small, insignificant increase. 466 Structural changes occurred at all three points in 2007.

Estimated inter-annual variability (IAV) (i.e., annual anomalies) and seasonality (i.e., mean seasonal cycle) are shown in Figure 9 for all pixels in the catchments, not only those with significant change. In Figure 9, the IAV is shown in the left panel, while the seasonal range is shown in the right panel for T35B (top; A, C) and S50E (bottom; B, D).





472 Figure 9. Inter-annual variability (IAV) standard deviation (sd) (A-T35B, B-S50E) and seasonal range
473 (C-T35B, D-S50E) measured on all pixels from the 8-day MODIS product.

Over the study period of fifteen years, albedo in S50E fluctuated annually with a mean of 0.0041, very similar to the mean of 0.0045 in T35B. However, the IAV for the two catchments were found to be significantly different (p<0.001; Wilcoxon rank sum test). The highest frequency of pixels varied with standard deviations (sd) between 0.003 and 0.005. Similarly, the mean seasonal cycle in the two catchments – based on 8-day MODIS albedo values – are significantly different (p<0.001; Mann-Whitney U test for non-parametric data). The albedo can vary between 0.01 and 0.08. Distinct spatial patterns are noted in the maps in Figure 9.</p>

#### 481 3.4 Modelling ET and NPP

In Table 6, the area percentage for modelled persistent land cover classes in 2030 are compared with the size of these land cover classes in 2014. Table 6 also includes the net change, as well as the mean trend calculated from MODIS. Based on the mean MODIS PSA change and relationships with NPP and ET, three scenarios for future NEE and water use were calculated: (1) lower mean albedo indicating proliferation of woody vegetation; (2) mean albedo, the status quo persists; and (3) higher mean albedo, with conversion to agriculture and urban intensification dominating future transitions.

488
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Table 6 Modelled NEE and water use for persistent land cover classes in S50E (bold) and T35B (italics)

Land cover class	UG (grassland)		FB (woody encroachment)		CL (croplands)		FP (forest/plantation)		UB (urban)	
Catchment	T35B	S50E	T35B	S50E	T35B	T35B <b>S50E</b>		S50E	T35B	S50E
% area 2014	79.9	56.9	4	10.5	6.2	18.2	8.3	1.8	0.2	9.5
% area 2030	79.7	52.1	3.1	9.9	6	20	9.8	0.7	0.2	14.4
Net %change	-0.2	-4.8	-0.9	-0.6	-0.2	1.7	1.5	-1.1	0	4.9
PSA trend	+	+	*	*	+	++	***	**	**	++
%Persistence	72.7	44.7	0.4	5.5	4.3	15	6.8	0.4	0.1	8.5

	2014	2027	1633	53	213	138	408	206	71	2	129
NEE	High	2021	1323	12	181	124	392	238	17	2	236
(10 <sup>3</sup> kg C)	Med	2690	1739	17	237	169	521	292	21	2	316
	Low	4605	2832	28	383	291	843	358	23	4	518
	2014	1437	1182	36	156	96	303	127	37	1	94
ET	High	1403	855	8	122	85	263	144	10	1	152
(10 <sup>3</sup> m <sup>3</sup> )	Med	1520	1007	9	140	93	316	170	12	1	185
	Low	1714	1163	10	160	105	378	190	14	1	219

489

Negative trend \*\*\* <-0.0005, \*\* <-0.0002, \* <-0.0000; Positive trend +>0.0000, ++ >0.0002, +++ >0.0005

490 In the higher albedo scenario, the total modelled NEE in 2030 for persistent classes in T35B could 491 reduce by one per cent when compared with 2014. Should a low albedo scenario ensue, an increase 492 of more than 80% could be obtained with a catchment mean of  $3.2 \times 10^6$  kg C based on the mean time 493 series NPP. Similarly, water use could decrease by almost three per cent or increase by up to 19% for 494 persistent classes. In T35B, the total change (gain and loss) in the landscape over all land cover classes 495 was 15.5% for modelled period 2014 to 2030 [20], compared with 18.2% for the period between 2000 496 and 2014 [4]. Trajectory labels indicating gradual and abrupt changes are responsible for the 497 difference between persistence and the total modelled NEE and water use in the catchment. 498 Trajectories abandonment, reclamation and degradation increase grasslands, woody encroachment 499 boosts shrublands, increased cultivation, afforestation and urban expansion respectively result in 500 higher croplands, forest/plantation and urban. Afforestation was the strongest modelled trajectory in 501 T35B showing a net gain of 1.5% and a strong negative albedo trend. These changes could produce 502 an additional 0.5-1.1 x 10<sup>6</sup> kg C and 0.3-0.4 Mm<sup>3</sup> ET.

503 For S50E, the total change over all land cover classes was 23% for the same modelled period [20]. 504 By comparison, the period between 2000 and 2014 exhibited 21% change [4], assuming a similar map 505 accuracy for the modelled map. The modelled NEE for persistent classes varies between 2.1 and 4.6 506 x 10<sup>6</sup> kg C, with modelled water use varying between 1.4 and 1.9 Mm<sup>3</sup>. In 2014, these values were 2.5 507 x 10<sup>6</sup> kg C and 1.8 Mm<sup>3</sup> respectively (Table 6). Changes to the landscape could account for NEE of 0.7-508 1.6 x 10<sup>6</sup> kg C and water use of 0.5-0.7 Mm<sup>3</sup>. The expected scenario for S50E is increased PSA due to 509 intensification of agriculture, lower NEE and water use depending on which land cover class is 510 replaced.

#### 511 4. Discussion

#### 512 <u>4.1 Land cover change and trend analysis</u>

513 Land use and land cover change in the selected catchments have affected ecosystem services 514 provided by land cover classes, particularly those provided by grasslands. Although land use 515 patterns are characterised by relatively high persistence (Figure 2), it is clear that human activities are 516 having an increasing impact on the size of the rangelands and consequently the productivity of the 517 landscape. The availability of dense time series satellite images now enables degradation to be 518 assessed not merely in terms land cover change vectors but with more sophistication through 519 identifying trends or catastrophic changes across the time series. As was shown in this study, land 520 cover change analysis using only categorical land cover maps can neither identify a decline in the 521 productivity of grasslands nor minor intrusions of shrubs and woody vegetation into the landscape. 522 However transitions can be identified and from analyzing time series data in these transition classes, 523 a more nuanced understanding of long term changes can be gained. The results have shown that 524 important transitions that have occurred from 2000 to 2014 [4] are likely to continue into the future 525 [20] with alien invasion, afforestation, rehabilitation, and increased livestock production identified 526 as factors that could affect water use and carbon storage either positively or negatively. Analysis of 527 the characteristics of albedo trends, linked to catchments and land cover change trajectories, provide 528 a deeper understanding of how these changes may influence NPP and ET, precursors to future carbon

<sup>529</sup> storage and water use potential in the carbon-water nexus.

530 Despite being actively targeted in many of the transitions in the catchment, grassland (UG) 531 remains the dominant cover, and has the greatest effect on the catchment albedo, remaining constant 532 over the study period (Figure 6). As LAI and fPAR measured for shrubland (Figure 6 and If in Figure 533 7) and croplands (Figure 6) in the catchments [5] are higher than that measured for grassland, 534 conversion would result in a potential gain in carbon storage (NEE) but a higher water demand by 535 vegetation. When considering mean Landsat and MODIS albedo values calculated for the catchment 536 land covers (Table 4), conversion from shrubland presenting a lower mean albedo than grassland, 537 should cause a gradual decrease in albedo of ~0.03 (Table 4). Contrary to expectation, the grassland 538 to cropland transition shows an increase in albedo. This increase in albedo may be related to the land 539 tenure system, with farming interspersed with rural housing giving rise to an increase in degraded 540 surfaces, and/or dry bare soil for parts on the year post-harvest may be increasing the mean albedo 541 for this class, resulting in higher inter-annual variation (Figure 7). Continuous grazing by livestock 542 also contributes to rangeland degradation and increase of albedo due to reduction in the basal cover 543 of herbaceous plants (mainly grasses) [76]. Urban expansion and intensification increased the albedo 544 when natural woody areas were replaced by housing.

545 Similar spatial patterns of peak season albedo (PSA) were observed when comparing mean 546 MODIS PSA with Landsat PSA (Figure 3Figure 3), although the values differ significantly (p<0.05). It 547 was noted that the coarser MODIS resolution causes spatial smoothing that masks the detail captured 548 at higher Landsat resolution, especially for small fragmented land cover classes, where coarse pixels 549 with mixed land cover classes will be dominated by greener vegetation [77]. The spatial smoothing 550 may then in turn result in misleading temporal patterns when analyzing the MODIS derived data. 551 On the other hand, although Landsat has superior spatial resolution and despite the long record of 552 the newly released Landsat data archives [24], MODIS offers a higher temporal resolution lending 553 itself to a more dense time series and, as a result, a more detailed temporal analysis. As a consequence 554 of lower temporal frequency, calculation of PSA using Landsat can become problematic when limited 555 cloud-free images are available for the growing season. For example, a lower mean albedo may be 556 calculated, from which could be concluded that more carbon can be sequestered than may happen in 557 reality, and thus translating into higher expected water use. [3] demonstrated that the performance 558 of trend estimation methods decreased with increasing inter-annual variability and [56] 559 recommended reducing seasonal variation by using PSA. Seasonal effects on the time-series analysis 560 are illustrated by high inter-annual variability (Figure 9) at, for example, the Ncora dam inflow, the 561 perennial Ngugu River in the west and the Tsomo River in the north of S50E and the confluence of 562 Pot and Little Pot Rivers in T35B. The range of the seasonal cycle (Figure 9) was largest in areas of 563 steep slope (>25%), usually classified as persistent grassland. Therefore the use of PSA rather than 564 full time series albedo would reduce overall time series variation and likely increase the performance 565 of trend estimations. 566 The main land cover change trajectories recorded in the catchments are reflected in the measured

567 NDVI, NPP and ET patterns. Changes in carbon storage and water use can be related to: (1) alien 568 invasion and afforestation that decrease albedo but increase water use and carbon storage and (2) 569 livestock production that increases water use but could result in grassland degradation with 570 increased albedo, and rehabilitation (reclamation) that reduces water use and carbon storage. Given 571 the reliance of NPP, ET and NDVI on water availability, as expected these MODIS calculated 572 variables displayed a positive correlation with rainfall (as rainfall increased, each of these variables 573 increased). Confirming this reliance on precipitation, lower NPP, ET and NDVI were measured in 574 2003 when lowest rainfall was recorded, similarly 2006 stands out as a year with high rainfall and 575 high NPP and ET in both catchments, though NDVI did not increase significantly (Figure 4). A weak 576 negative trend over the study period (i.e. less rainfall over time) was however detected as less rainfall 577 over time was recorded. S50E, the catchment under dualistic land tenure, was more affected by the 578 low rainfall, with lower NPP, ET and NDVI (Figure 4).

579 <u>4.2 Catchment differences</u>

580 Correlation analysis between PSA and the variables NPP, ET and NDVI at catchment scale 581 (Table 3), showed similar trends with negative correlations between PSA and NDVI and PSA and ET. 582 A positive correlation was determined between PSA and NPP in T35B, but no significance in S50E. 583 However, significant positive correlations were recorded between ET and NDVI in all persistent land 584 cover <u>classes and</u> transitions, i.e. greener vegetation associated with higher water use (supplementary 585 material, Table S1). Intensification of wooded areas revealed different patterns in the two catchments: 586 increase of woody biomass should increase NPP and ET while albedo decreases. Transition 587 trajectories that describe conversions from multiple land cover classes, such as deforestation (removal 588 of forest to be replaced by other land cover) or afforestation (gradual ecological change to plantations 589 from either grassland or previously wooded areas) encapsulate opposing trajectories which may 590 affect the correlation results especially in transition classes smaller than the MODIS footprint. The 591 results of transition correlations may also be confounded by the difference in resolution of land cover 592 data and biophysical parameters. This illustrates the effect of scale on spatial analysis, where the size, 593 shape and placement of arbitrary aggregation units such as categorical land cover maps may lead to 594 incorrect interpretation of results in heterogeneous landscapes [26,74].

595 In T35B, the commercial agriculture catchment, intensification of woody invaders in the upper 596 reaches of the Pot River and Little Pot River is offset by reclamation to grassland (possibly degraded) 597 in the lower reaches (Figure 2). The transition from shrubland to grassland is expected to increase 598 albedo in this catchment based on mean MODIS and Landsat values extracted (Table 4Table 4). 599 However, persistence of shrubland may be accompanied by densification of woody vegetation, 600 which would not be noticed in the land cover change analysis as the land cover class remains 601 constant. While afforestation (R in Figure 7) is the strongest trajectory in T35B, conversion to 602 forest/plantation from all other classes will result in lowering of albedo. It is likely that the decrease 603 in surface albedo could result in an increase in the absorption of energy, leading to higher 604 temperatures [16]. Higher NPP was noted for T35B than in the dualistic catchment S50E, with 605 declining patterns of NPP observed in both catchments (Figure 4). However, mean MODIS albedo 606 trend decreased, with Landsat showing a positive increasing trend in PSA (Table 5). The net carbon 607 storage for persistent classes in 2014, modelled from mean NPP values, was 3.2 x 10<sup>6</sup> kg C, giving a 608 higher carbon value than extracted directly from the MODIS product for 2014. This leads to the 609 conclusion that using the time series mean for modelled values may overestimate the NEE (and ET) 610 in 2030. Although land cover change modelling predicted an increase in commercial forestry, with 611 associated increase in NPP, grassland is still the largest land cover class, contributing less to 612 catchment carbon sequestration. In 2030, the expected carbon storage based on 2014 figures would 613 therefore be no higher and could even decrease. However, using mean MODIS NPP values, an 614 increase of 30% in NEE was modelled. Water use in the catchment is expected to vary between -3% 615 and +19% with WUE remaining constant at approximately 1.5 kg.m-3. 616

For S50E a positive albedo change trend over the 2000-2014 study period was observed (Table 617 5), but when considering a scenario where mean albedo prevails and the positive trend does not 618 continues, net carbon storage for persistent classes could increase by 15% to 2.88 x106 kg C by 2030 619 based on land cover change. However, a more likely scenario is an increase in albedo due to 620 degradation and decrease of grasslands, intensification of agriculture and urbanization resulting in a 621 decrease of 12% in modelled NEE, mirroring the decline in NPP over the study period (Figure 4E). In 622 2014 1.8 Mm<sup>3</sup> of water was used by persistent classes in S50E recorded as ET, resulting in water use 623 efficiency (WUE) of 1.4 kg.m<sup>-3</sup>. Total catchment ET for persistent classes could decrease by 6% in 2030 624 based on mean time-series ET values, and may reduce to as low as 1.4 Mm<sup>3</sup>, a reduction of 21%. 625 However, should albedo decrease, ET could increase by 9% in persistent land cover classes.

#### 626 4.3 Implications

627 Land cover change brought about by woody encroachment of grassland and particularly 628 densification of existing patches [15,32] will typically alter carbon sequestration and cycling [13,78]. 629 Although technically regarded as a degradation gradient in the landscape [4] due to the effect on

630 biodiversity and ecosystem services, this land cover change (woody encroachment and densification) 631 can potentially act as a carbon sink [13] due to increase in woody biomass [79]. Invasion of grassland 632 by IAPs can also reduce productivity due to loss of rangeland productivity for livestock production. 633 Acacia spp. are effective in utilising available resources more efficiently and may therefore outcompete 634 native species by altering local conditions [80-82]. However, the value and use of IAPs as an 635 ecosystem service is reducing in the study areas due to increased rural-urban migration and the 636 increase in number of households supplied with electricity [83]. The cost of IAPs in the study areas 637 will soon outweigh the benefits, resulting in a net negative trade-off. [15] suggested that IAP invasion 638 would continue to increase in the Eastern Cape, unless deliberate land management intervention 639 takes place. This has implications for national-scale invasion management strategies such as the 640 Working for Water programme in South Africa [84]. Though grasslands are predicted to decrease in 641 favour of woody invasive plant species and cultivated land, this study predicted a decrease of 12% 642 and 6% respectively in net carbon storage and water use by vegetation. This is in contrast to 643 expectation where previous studies [5] measuring LAI and fPAR indicated that woody encroachment 644 would represent a gain in both catchment net ecosystem carbon exchange and evapotranspiration.

645 The novelty of this study lies in the application of dense time series analysis of 15 years of data 646 on surface energy balance, water and carbon sequestration parameters for catchments under two 647 different land management regimes. The study juxtaposes the results of previous land cover change 648 and future scenario analyses in the two catchments, with the results of the seasonal trend model and 649 combines these data to quantify carbon sequestration and water use for areas of the study area which 650 were unaffected by change (persistent classes) against those which transitioned from one land cover 651 to another. The release of satellite image archives and the possibility of online bulk processing 652 through platforms such as Google Earth Engine are allowing more subtle yet refined analyses of 653 landcover changes. Not only can the changes themselves be quantified in terms of categorical land 654 cover maps, but persistence and transition between and within classes has become possible. 655 Analysing remote sensing data products such as albedo, NPP and ET can lead to better 656 understanding in the functioning of catchments generally and rangelands specifically. Declining 657 trends, as seen in albedo, NPP and ET (Figure 4) may be caused by regional climate trends. 658 Information from multiple sources, both quality and type, can contribute to better understanding of 659 degradation in rangeland productivity [85], relating degradation to the impact of climate versus land 660 management by investigating dual catchments with similar climate regimes but clearly different 661 management practices [85]. Quantifying the changes in these biophysical parametres can assist 662 scientists and managers in addressing the global challenges of our times.

#### 663 5. Conclusions

664 It was found that the spatial and temporal characteristics of the different sensors are useful for 665 highlighting differing aspects of change in the study area with Landsat resolution well suited for 666 highlighting spatial change but MODIS temporal resolution being ideal for a complete long term 667 dense time series. The presence of many small fragmented land cover classes in these catchments 668 suggest that analysis of albedo, NPP and ET derived from satellite data with similar resolution would 669 be ideal. Further research is recommended to explore the use of higher resolution satellite data to 670 effectively model carbon storage and water use. The Google Earth Engine platform provides shared 671 geoprocessing algorithms [25] and access to long-term data [24], that can be used to generate detail 672 maps [3] to model future scenarios.\_

Furthermore, the advent of new sensors such as the European Space Agency's Sentinel-2 satellites, with 5 day revisit time and up to 10 m spatial resolution may provide a better option (particularly with the addition of the red-edge bands which will allow determination of rangeland quality [86]) for these analyses in the future. However since Sentinel-2B was only launched in March 2017, it will take time before this data can be used for long term studies. In the meantime taking an ensemble approach with Landsat and MODIS can allow the benefits of each sensor to be exploited. Based on trend analysis, the study revealed little change in catchment mean albedo at the time

Based on trend analysis, the study revealed little change in catchment mean albedo at the time
of peak vegetative growth. This implies little to no change in either carbon capture potential or WUE
of each catchment at the peak of the growing season. However <u>since</u>, inter-annual variation can affect

- the accurate calculation of trends [3], and the peak season albedo (PSA) was used to minimise these
   effects in this study.
- As expected, a strong positive correlation between ET and NDVI was found as greener vegetation is associated with higher water consumption; and a decrease in albedo is correlated with an increase in ET and NDVI. However, some transitions include opposing albedo change vectors, confounding correlation analysis between these variables. It is therefore recommended that separate transition classes be analysed for opposing vectors, depending on the objectives of the study.
- <u>Although the comparison of ET in grassland performed by [2] found lower values prior to 2003,</u>
   <u>this may be ascribed to the different method used to extract values from land cover maps with</u>
   potential uncertainty, especially for grassland, a large dormant class. This confirms the importance
   <u>of accurate land cover maps for further modelling [26] as reliability of downstream analyses can be</u>
   impacted with substantial risk of error magnification [79].
- It is probable that a decrease in precipitation leads to desiccation of vegetation and soil, thus
   resulting in a higher albedo. The cause and effect of a positive correlation between PSA and rainfall
   (increased PSA with increased rainfall as seen in 2006-2007) is yet to be established and it may be that
   at local scale increased albedo is driving a decrease in rainfall as suggested by [54,87].
- Finally, predicted land cover for the year 2030 was used to postulate consequences of the change
   on catchment water and carbon fluxes. The expected decrease in net carbon storage and water use by
   vegetation confirms recommendations for land and water resources management interventions in
   catchments under dualistic farming systems [20] such as S50E.
- 702 In order to successfully model scenarios for future land cover change that may affect ecosystem 703 services in different ways, accurate land cover classes and change trajectories are required. Even 704 though map errors in land cover maps affect understanding of socioeconomic and environmental 705 patterns and processes in landscapes, such maps remain an essential resource in describing and 706 quantifying such processes [26]. Higher quality input datasets would provide higher confidence 707 levels in the overall observed change. A large dominant class, such as grasslands may be easier to 708 classify and exhibit smaller errors than highly fragmented classes such as woody outcrops (FB) or 709 wetlands (WL) due to spatial and temporal autocorrelation [29,88]. This research has demonstrated 710 that albedo can be an effective parameter for the detection of environmental change. Albedo could 711 be considered a proxy for land cover and land cover change in studies investigating ecosystems
- 712 <u>services, capturing changes in productivity.</u>
- **Supplementary Materials:** The following are available online at www.mdpi.com/xxx/s1, Table S1: Correlation coefficients per land cover class and transition, Table S2: Total and significant change in PSA per catchment T35B, reported in percentage area and PSA change (highlighted in light grey), Table S3: Total and significant change in PSA per catchment S50E, reported in percentage area and PSA change (highlighted in light grey).
- 717 **Author Contributions:** Conceptualization, A.P., L.G. and Z.M.; methodology, L.G and Z.M.; software, Z.M.; 718 validation, L.G. and Z.M.; formal analysis, L.G. and Z.M.; investigation, Z.M.; resources, L.G. and Z.M.; data
- validation, L.G. and Z.M.; formal analysis, L.G. and Z.M.; investigation, Z.M.; resources, L.G. and Z.M.; data curation, Z.M.; writing—original draft preparation, Z.M.; writing—review and editing, A.P., L.G. and Z.M.;
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UG FB CL FP UB T35B: n=45 S50E: n=136 T35B: n=92 S50E: n=323 T35B: n=1516 S50E: n=1249 T35B: n=123 S50E: n=42 T35B: n=3 S50E: n=103 2 3 2 3 3 4 1 2 3 4 1 4 1 2 3 1 2 1 4 4 -0.08 -0.02 -0.30 -0.18 -0.06 -0.30 -0.52\* -0.35 1.PSA -0.24 0.09 --0.10 0.14-0.37 -0.20 0.03 ----2.NPP -0.01 0.00 -0.04 0.02 -0.33 -0.07 -0.12 -0.09 -0.13 0.30 0.70\* 0.55\* -0.42 -0.06 -0.10 \_ ----3.NDVI 0.33 0.86\* -0.58\* 0.26 0.27 -0.20 -0.09 0.41  $0.90^{*}$ -0.88\* -0.62\* 0.18 0.92\* -0.45 0.86\* ----0.14 0.79\* 4.ET 0.19 0.84\* 0.27 0.83\* -0.34 0.15 0.87\* 0.13 0.90\* 0.11 -0.13 \_ -0.39 -0.17 --If А De Re Dn T35B: n=28 S50E: n=39 T35B: n=108 S50E: n=70 T35B: n=60 S50E: n=15 T35B: n=54 S50E: n=101 T35B: n=3 S50E: n=3 2 3 2 3 2 3 2 3 2 3 1 4 1 4 1 4 1 4 1 4 1.PSA -0.40 -0.07 0.11 -0.23 -0.19 -0.15 -0.13 -0.45 -0.35 -0.32 -0.17 -0.01 -0.37 -0.01 -0.34 --\_ -2.NPP 0.04 0.17 0.10 0.14 -0.18 -0.13 -0.28 0.14 -0.05 0.14 -0.08 -0.07  $0.66^{*}$ --0.07 -0.19 ----3.NDVI 0.41 0.88\* -0.37 0.24 0.91\* 0.32 0.81\* -0.22 0.34 -0.38 -0.32 0.82\* -0.03 \_ --0.36 -0.90\* --0.31 0.84\* 0.13 0.84\* 4.ET 0.29 0.17 0.88\* -0.21 0.21 0.83\* -0.20 -0.19 0.89\* -0.25 -0.05 \_ \_ ---R D Iu Ia T35B: n=41 S50E: n=117 T35B: n=2 S50E: n=120 T35B: n=60 S50E: n=6 T35B: n=23 *S50E: n=35* 1 2 3 4 1 2 3 4 2 3 1 2 3 1 4 4 -0.32 -0.45 -0.29 -0.28 -0.40 -0.22 -0.56\* 0.11 -0.01 -0.75\* -0.81\* 1.PSA -0.67\* -\_ \_ 2.NPP -0.14 -0.08 -0.15 -0.38 -0.07 -0.11 0.63\* -0.20 -0.04  $0.70^{*}$ 0.86\* -\_ - $0.75^{*}$ -0.54\* 0.22 -0.38 3.NDVI 0.87\* 0.87\*  $0.90^{*}$ -0.63\* -0.55\* \_ -0.63\* 0.19 -0.61\* -0.93\* ---0.29 0.11 0.87\* 0.05 0.81\* -0.29 0.86\* -0.42 0.87\* 4.ET -0.29 --0.29 -0.31 ---

Table S1. Correlation coefficients per land cover class and transition. Correlations for S50E are presented above the diagonal in italics, and correlations for T35B are presented below the diagonal. \*p < 0.05.

3 UG-grasslands, FB-shrublands, CL-croplands, FP-forest/plantation, UB-urban,

4 If-woody encroachment, A-abandonment, De-degradation Re- reclamation, Dn-natural dynamics,

5 Ia-increased cultivation, Iu-increased urban, R-afforestation, D-deforestation

Study		Total area				Significant change				Negative sig. change				Positive sig. change				
area		%		PSA change		%		PSA change		%		PSA change		%		PSA change		
	LC	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	
T35B				-0.001	0.003	11.1	11.3	-0.013	0.004	7.9	4.3	-0.026	-0.039	3.2	7.0	0.019	0.031	
	UG	70.4	69.3	0.000	0.005	4.0	5.3	0.000	0.017	2.1	0.7	-0.017	-0.023	1.9	4.6	0.018	0.023	
ent	FB	2.1	1.7	-0.001	0.001		0.1		0.012		0.0		-0.029		0.1		0.030	
sist	CL	4.3	4.5	0.003	0.009	0.5	0.9	-0.001	0.029	0.2	0.2	-0.029	-0.038	0.3	0.7	0.023	0.045	
Per	FP	5.7	5.4	-0.015	-0.012	2.5	2.2	-0.031	-0.038	2.5	2.1	-0.031	-0.039		0.1		0.020	
35B	UB	0.1	0.1	-0.005	0.011	0.0	0.0	-0.024	0.030	0.0	0.0	-0.024	-0.020		0.0		0.039	
Ĕ	Р	82.7	81.0	-0.001	0.004	7.4	8.4	-0.011	0.007	5.0	2.8	-0.025	-0.039	2.4	5.6	0.018	0.030	
	If	2.5	2.3	-0.002	-0.003	0.2	0.1	-0.006	-0.003	0.1	0.1	-0.022	-0.030	0.1	0.1	0.018	0.029	
	A	1.3	1.3	0.002	0.009	0.1	0.2	-0.008	0.022	0.0	0.0	-0.028	-0.033	0.0	0.2	0.012	0.031	
	De	0.1	0.1	-0.003	0.005		0.0		0.022		0.0		-0.022		0.0		0.031	
	Re	5.0	6.0	0.000	0.004	0.3	0.4	-0.009	0.023	0.2	0.1	-0.022	-0.025	0.1	0.4	0.023	0.031	
	Ia	1.9	1.7	0.005	0.012	0.0	0.4	0.023	0.033		0.1		-0.031	0.3	0.3	0.023	0.045	
ion	Iu	0.1	0.1	-0.004	0.012		0.0		0.029		0.0		-0.030		0.0		0.038	
nsit	R	2.8	2.8	-0.014	-0.013	1.0	1.0	-0.029	-0.034	1.0	0.9	-0.029	-0.038		0.1		0.020	
Tra	D	1.1	0.9	-0.019	-0.008	0.6	0.2	-0.031	-0.021	0.6	0.2	-0.031	-0.032		0.0		0.022	
35B	Dn	2.8	2.4	-0.005	0.003	0.8	0.3	-0.021	0.008	0.7	0.1	-0.027	-0.034	0.1	0.3	0.024	0.025	
Ê	Т	17.6	17.8	-0.004	0.001	3.4	2.8	-0.017	-0.002	2.7	1.4	-0.027	-0.040	0.7	1.4	0.023	0.036	

Table S2. Total and significant change in PSA per catchment T35B, reported in percentage and PSA change (highlighted in light grey).

7 UG-grasslands, FB-shrublands, CL-croplands, FP-forest/plantation, UB-urban,

8 If-woody encroachment, A-abandonment, De-degradation Re- reclamation

9 Ia-increased cultivation, Iu-increased urban, R-afforestation, D-deforestation

10 Dn-natural dynamics

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3 of 4

Study		,	Total catchment				Significant change			Negative sig. change			Positive sig. change						
area		-	%		PSA change		%		PSA change		%		PSA change		%		PSA change		
LC		LC	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	MOD	LS	
<b>S50E</b>		)E			0.004	0.004	8.5	16.1	0.016	0.017	1.9	4.1	-0.018	-0.026	6.6	12.0	0.026	0.032	
		UG	50.8	50.2	0.004	0.004	3.1	6.3	0.017	0.016	0.6	1.0	-0.012	-0.023	2.5	5.3	0.024	0.023	
	ent	FB	6.4	6.6	-0.002	-0.006	0.7	1.5	-0.023	-0.018	0.5	1.3	-0.032	-0.026	0.1	0.2	0.013	0.027	
	siste	CL	15.3	15.6	0.005	0.008	1.3	2.4	0.018	0.028	0.2	0.3	-0.012	-0.028	1.1	2.2	0.024	0.034	
	Per	FP	2.0	1.8	-0.004	-0.006	0.3	0.6	-0.013	-0.019	0.3	0.5	-0.017	-0.034	0.0	0.1	0.018	0.044	
	0E	UB	4.9	4.7	0.007	0.008	0.3	0.7	0.015	0.023	0.0	0.1	-0.016	-0.022	0.2	0.6	0.020	0.027	
	S	P	87.7	85.5	0.004	0.004	5.4	10.9	0.013	0.013	1.3	2.9	-0.023	-0.027	4.1	8.0	0.025	0.027	
		If	4.8	5.3	0.005	0.006	0.9	1.3	0.012	0.016	0.4	0.6	-0.023	-0.028	0.5	0.8	0.038	0.050	
		Α	1.8	1.8	0.005	0.006	0.0	0.3	0.002	0.021		0.1		-0.026	0.0	0.2	0.002	0.031	
		De	0.1	0.1	-0.003	0.000		0.0		0.009		0.0		-0.031		0.0		0.037	
		Re	3.3	3.5	0.003	0.003	0.2	0.5	0.012	0.008	0.0	0.2	-0.003	-0.024	0.1	0.3	0.017	0.034	
		Ia	5.5	4.8	0.005	0.010	0.2	0.9	0.009	0.029	0.1	0.1	-0.017	-0.029	0.1	0.8	0.027	0.033	
	ion	Iu	5.7	5.9	0.006	0.005	0.4	0.8	0.021	0.015	0.0	0.2	-0.008	-0.027	0.4	0.7	0.024	0.026	
	nsit	R	0.3	0.2	0.004	0.001		0.0		0.002		0.0		-0.026		0.0		0.036	
	Tra	D	1.7	1.5	0.032	0.056	0.8	0.9	0.038	0.068		0.0		-0.026	0.8	0.9	0.038	0.070	
	0E	Dn	0.7	0.6	-0.001	-0.001		0.1		0.002		0.0		-0.035	0.0	0.0		0.027	
	S	Т	24.0	23.8	0.007	0.009	3.0	5.0	0.023	0.029	0.5	1.1	-0.020	-0.027	2.5	3.9	0.032	0.045	

Table S3. Total and significant change in PSA per catchment S50E, reported in percentage and PSA change (highlighted in light grey).