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Title: Endocrine treatment of high grade serous ovarian carcinoma; quantification of efficacy and identification of response predictors.

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1 **ABSTRACT**

2 *Objectives.* The role of endocrine therapy (ET) in high grade serous ovarian carcinoma
3 (HGSOC) is poorly defined due to the lack of phase III data and significant heterogeneity of
4 clinical trials performed. In this study, we sought to identify predictive factors of endocrine
5 sensitivity in HGSOC.

6 *Methods.* HGSOC patients who received at least four weeks of ET for relapsed disease
7 following one line of chemotherapy at the Edinburgh Cancer Centre were
8 identified. Exclusion criteria were use of endocrine therapy as maintenance therapy or of
9 unknown duration. Duration of therapy and best CA125 response as per modified GCIG
10 criteria were recorded. Oestrogen receptor (ER) histoscore, treatment free interval, prior lines
11 of chemotherapy, and type of ET were evaluated as predictive factors.

12 *Results.* Of 431 patients identified, 269 were eligible (77.0 % letrozole, 18.6% tamoxifen,
13 2.2% megestrol acetate, 2.2% other). The median duration of therapy was 126 days (range
14 28-1427 days). 32.7% remained on ET for ≥ 180 days and 14.1% for ≥ 365 days. The CA125
15 response and clinical benefit rates (response or stable disease) were 8.1% and 40.1%
16 respectively. ER histoscore >200 ($P=0.0016$) and a treatment free interval of ≥ 180 days
17 ($P<0.0001$) were independent predictive factors upon multivariable analysis.

18 *Conclusions.* ET should be considered as a viable strategy to defer subsequent chemotherapy
19 for relapsed HGSOC. Patients with an ER histoscore >200 and a treatment free interval of
20 ≥ 180 days are most likely to derive benefit.

21

22

23 1. INTRODUCTION

24 The majority of patients with advanced stage high grade serous ovarian carcinoma (HGSOC)
25 will unfortunately relapse despite optimal cytoreductive surgery and platinum based
26 chemotherapy. Symptomatic relapses are treated with further systemic chemotherapy which
27 can be effective for some patients. However, with time, the intervals between each treatment
28 get progressively shorter with reduced efficacy and cumulative toxicity.

29 Endocrine therapy (ET) in relapsed HGSOC is easy to administer, has a low toxicity profile
30 and is low cost. There is good pre-clinical evidence to support the role of oestrogen in
31 regulating the growth of oestrogen receptor (ER) positive EOC [1, 2]. To date, more than 50
32 phase II trials of ET in EOC have been performed with response rates between 10-15% and
33 disease stabilisation rates of 30-40% as described in systematic reviews and meta-analyses [3,
34 4]. Only one phase III randomised trial of tamoxifen against standard chemotherapy in the
35 platinum resistant setting has been performed which did not demonstrate differences in
36 overall survival [5]. As such, ET is not considered a standard of care and its use is
37 inconsistent and variable worldwide.

38 However, most of these trials were conducted in heavily pre-treated populations of mixed ER
39 positive and ER negative patients [3]. In studies which pre-selected for ER status, different
40 thresholds of ER positivity and methods of measurements were used [3]. In addition, these
41 trials did not account for EOC comprising at least five histological subtypes which are
42 biologically and clinically distinct [6].

43 The Ovarian Cancer Tissue Consortium Study found HGSOC, endometrioid and low grade
44 serous ovarian carcinomas (LGSOC) to express the highest levels of ER ($\geq 50\%$ tumour
45 nuclear staining) of 60%, 60% and 71% respectively [7]. These histologies likely represent
46 the most endocrine sensitive subtypes with emerging retrospective data to support this.

47 Gershenson et al demonstrated the role of ET both as treatment for relapsed disease [8] and as
48 first line maintenance in LGSOC [9]. Patients with LGSOC who received first line
49 maintenance ET had a superior progression free survival of 64.9 months compared to 26.4
50 months in those who underwent observation ($p<0.001$). Another retrospective study by
51 Heinzelmann-Schwarz et al showed improvement in recurrence free survival in patients with
52 HGSOC who received first line maintenance letrozole versus observation ($p=0.035$)[10].
53 Together, these studies illustrate the importance of performing histological subtype-specific
54 clinical trials to derive an accurate assessment of endocrine sensitivity.

55 Two sequential phase II studies (Bowman et al [11] and Smyth et al [12]) identified an
56 endocrine sensitive group of ovarian cancer patients with mixed histology as those with an
57 ER histoscore ≥ 150 . This weighted scoring method accounts for percentage(%) tumour cells
58 stained and stain intensity (0 no staining, 1+ weak staining, 2+ moderate staining, 3+ strong
59 staining). It derives a score between 0 to 300 using the formula: $[1 \times (\% \text{ cells } 1+) + 2 \times (\%$
60 $\text{cells } 2+) + 3 \times (\% \text{ cells } 3+)]$ [13]. On the basis of these data, ET has been routinely used in
61 our centre in patients with relapsed EOC with an ER histoscore of ≥ 150 . We sought to
62 characterise the endocrine sensitivity of relapsed HGSOC as well as identify predictive
63 factors in a large retrospective study.

64 **2. METHODS**

65 *2.1 Patient Identification*

66 We identified patients with a historical diagnosis of grade 2 or 3 serous carcinomas [14] who
67 received at least one line of ET from the Edinburgh Ovarian Cancer Database between
68 January 1974 and December 2015. This database contains detailed histopathological and
69 clinical details of patients entered prospectively as part of routine care. Communication with
70 the Lothian Research Ethics Committee determined that retrospective analysis of outcome

71 using the contents of the database were deemed audit by their definition and formal ethical
72 approval was therefore not required.

73 *2.2 Inclusion and Exclusion Criteria*

74 Patients were included if they received at least four weeks of ET as treatment for relapsed
75 disease as determined by the treating physician, following at least one line of previous
76 chemotherapy, and with known duration of therapy. Those who received less than four weeks
77 of ET were deemed to have had inadequate exposure to determine sensitivity. Patients who
78 received ET as maintenance therapy were excluded.

79 *2.3 Recorded data*

80 All baseline and treatment demographics had been prospectively collected through the
81 Edinburgh Ovarian Cancer Database as part of routine care. All available patient electronic
82 and paper health records were also reviewed. Treatment characteristics were recorded for the
83 first ET received. These included: duration of therapy, lines of ET, type of ET, prior lines of
84 chemotherapy, and ER histoscore as recorded by the pathologist at diagnosis. The treatment
85 free interval (TFI) was calculated from the last dose of chemotherapy to the date of ET
86 initiation. The setting in which the last chemotherapy was received was recorded as 'platinum
87 sensitive' or 'platinum resistant'. Patients who stopped ET due to toxicity or who were still
88 on therapy at data cut-off were censored.

89 *2.4 Treatment efficacy*

90 Most clinicians used CA125 as a marker of response and did not perform radiological
91 assessments of patients on ET until there was evidence of a significant rise in the CA125 or
92 the patient developed symptoms. Therefore, in this non-trial setting, radiological PFS by
93 RECIST could not be accurately defined. ET was continued until there was evidence of

94 symptomatic disease progression warranting further chemotherapy, or until death from
95 ovarian cancer. In view of this, duration of therapy was recorded as an objective end-point
96 for this study and surrogate measure of endocrine sensitivity. The best CA125 response
97 across the duration of therapy was also recorded. Due to the variable frequency of CA125
98 measurements, a modified GCIG criteria was adopted [15]. Patients were evaluable for
99 CA125 response if they had an evaluable CA125 (>70U/ml) within four weeks of starting
100 therapy, and at least three CA125 measures if they received ET for more than 12 weeks. At
101 least two CA125 measures were required if ET was received for 12 weeks or less with the
102 second CA125 within 4 weeks of cessation of ET. Patients were not evaluable for CA125
103 response if they only had one CA125 measure, and if they received ET for 12 weeks or less
104 with no CA125 progression. The 12 week threshold was adopted as the median time to
105 CA125 response has been shown to be 12 weeks [11]. Patients treated for less than 12 weeks
106 with clear CA125 progression were considered evaluable.

107 Definitions for CA125 complete response (CR), partial response (PR) and stable disease (SD)
108 were as per GCIG criteria [15]. SD had to be maintained for at least 12 weeks from the start
109 of therapy. Progressive disease (PD) was defined as doubling of CA125 from the baseline
110 value. The CA125 overall response rate (ORR=CR+PR) and clinical benefit rate 1
111 (CBR1=CR+PR+SD) were calculated and recorded.

112 A study by Hall et al showed that the change in rate of rise in CA125 can indicate activity of
113 cytostatic agents such as tamoxifen [16]. In view of this, the characteristics of patients who
114 had CA125 progression by GCIG criteria, followed by <50% rise of their CA125 for at least
115 12 weeks were also explored (delayed SD).

116

117

118 *2.5 Statistical analysis*

119 Duration of therapy according to ER histoscore, TFI, prior lines of chemotherapy, best
120 CA125 response and type of ET was evaluated using the Kaplan-Meier method and Cox
121 regression models for univariable and multivariable analyses. Comparisons of CA125 ORR
122 and CBR1 between groups were assessed using Chi-squared and Fisher's exact tests as
123 appropriate. Statistical analyses were performed using R version 3.3.3.

124 **3. RESULTS**

125 431 patients received at least one line of ET. 162 patients were excluded (figure 1). 269
126 received ET as treatment for relapsed disease. 143(53.2%) were confirmed as HGSOC
127 through contemporary pathology review conducted through other research studies, and
128 118(43.9%) and 8(3.0%) had a historical diagnosis of grade 3 and grade 2 serous carcinomas,
129 respectively. The median age of diagnosis was 65 years (range 28-91 years).

130 *3.1 First endocrine therapy for relapse*

131 Of 269 patients, 209 (77.7%), 55 (20.4%) and five (1.9%) patients received one, two and
132 three lines of ET, respectively. 207 (77.0%), 50 (18.6%) and six (2.2%) patients received
133 letrozole, tamoxifen and megestrol acetate, respectively. 156 (58.0%) patients received ET
134 after one prior line of chemotherapy, 87 (32.3%) after two lines, and 26 (9.7%) after three or
135 more lines. 229 (85.1%) and 36 (13.4%) patients last received chemotherapy in the platinum
136 sensitive and platinum resistant setting, respectively. ER histoscores were available in 225
137 (83.6%) patients. The range of ER scores are illustrated in table 1. The majority of these
138 histoscores (192, 85.3%) were from the primary chemotherapy naïve tumour.

139 *3.2 Overall CA125 response rate and duration of therapy*

140 Of 269 patients, 257 (95.5%) stopped ET due to disease progression, 11 (4.1%) were still on ET at
141 the time of analysis, and one (0.4%) stopped ET due to toxicity. 172 (63.9%) patients were evaluable

142 for CA125 response. The median number of CA125s was three (range 2-8) and six (range 3-44) in
143 those who received 12 weeks or less of ET, and more than 12 weeks of ET, respectively. The CA125
144 response rate was 8.1% (GCIG CR 2.9%, PR 5.2%) and the CBR was 40.1%. The pattern of CA125
145 responses for CR and PR are shown in supplemental figure S1A and S1B, respectively. The overall
146 median duration of therapy was 126 days (range 28-1427 days).

147 *3.3 Delayed SD patients*

148 16 patients demonstrated delayed stabilisation of their CA125 (supplemental figure S1C).
149 The median time to first CA125 progression was 42 days (21-114 days). The delayed SD
150 group (patients whose CA125 rose then stabilised according to the criteria outlined above)
151 had a significantly longer median duration of therapy than those whose disease progressed on
152 CA125 criteria without subsequent stabilisation (196 days versus 84 days, $P<0.0001$). The
153 median duration of therapy between the GCIG-defined SD group and delayed SD group were
154 comparable ($P=0.288$) (figure 2C). In view of this, a second CBR (CBR2) which included the
155 delayed SD patients as part of the GCIG-defined SD cohort was calculated and compared for
156 each variable as an exploratory analysis.

157 *3.4 ER Histoscore*

158 148 patients with known ER histoscores had evaluable CA125 responses. There was an
159 increasing trend in CA125 response rate of 5.8%, 8.6%, 9.1% and 14.7% in ER0-150,
160 ER151-200, ER201-250 and ER251-300 groups, respectively. Similarly, there was an
161 increasing trend of CBR1 with ER. These differences were not significant (table 3, figure
162 2B). When the delayed SD patients were accounted for as part of the GCIG defined SD
163 cohort, the CBR in the ER251-300 group was significantly higher than the ER0-150 group
164 (CBR2 64.7% versus 37.1%; $P=0.04$) (table 3, figure 2D).

165 The median duration of therapy was significantly longer at 140 days and 161 days in the
166 ER201-250 (multivariable: HR 0.62, 95% CI 0.42-0.91, $P=0.016$) and ER251-300 groups
167 (multivariable: HR 0.63, 95% CI 0.41-0.96, $P=0.032$) when compared to 88.5 days in those
168 with ER ≤ 150 (table 2, figure 2A). There were no significant differences in median duration
169 of therapy between the ER151-200 and ER ≤ 150 groups.

170 *3.5 Treatment free interval*

171 Of 269 patients, 259 (96.2%) received chemotherapy as their last treatment. 8(3.0%) patients
172 received maintenance therapy and 2(0.7%) patients received secondary debulking as their last
173 treatment and were thus excluded from this analysis. Of 259 patients, 164 (63.3%) were
174 evaluable for CA125 responses. Patients who had a TFI 180-365 days and TFI>365 days had
175 a significantly higher CA125 CBR1 of 50.0% ($P =0.01$) and 60.6% ($P=0.002$) when
176 compared to 21.0 % in those with TFI<90 days (table 3, figure 2F). There were no significant
177 differences between TFI <90days and 90-179 days.

178 The median duration of therapy was significantly longer at 161 days and 209 days in those
179 with TFI 180-365 days (multivariable: HR 0.32, 95% CI 0.21-0.48, $P <0.0001$) and TFI>365
180 days (multivariable: HR 0.28, 95% CI 0.17-0.45, $P <0.0001$) compared to 84 days in those
181 with TFI<90days (table 2, figure 2E). There were no significant differences in duration of
182 therapy between those with TFI<90days and 90-179 days.

183 *3.6 Prior lines of chemotherapy*

184 There was no significant difference in CA125 ORR, CBR1 or CBR2 between patients
185 treated with different numbers of prior chemotherapy lines (table 3). The median duration of
186 therapy was significantly longer at 142 days and 111 days in those who received ET after one
187 (univariable: HR 0.46, 95% CI 0.30-0.70, $p<0.001$) and two (univariable: HR 0.61, 95% CI
188 0.39-0.95, $p=0.03$) lines of chemotherapy compared to 88.5 days after 3 lines or more (table

189 2, supplemental figure S2). However, these differences were not significant upon
190 multivariable analyses ($P = 0.67$ and $P = 0.406$, respectively).

191 3.7 Type of ET

192 There was no significant difference in CA125 ORR, CBR1 or CBR2 between those treated
193 with letrozole and tamoxifen (table 3). Compared to tamoxifen, patients who received
194 letrozole had a significantly longer median duration of therapy (126 versus 98 days,
195 univariable: HR=0.64, 95% CI 0.47-0.88, $P=0.006$), but the difference was not significant
196 upon multivariable analysis ($P=0.255$) (table 2, supplemental figure S3). The number of
197 patients who received megestrol acetate was too small for meaningful analysis.

198 3.8 Characteristics of patients deriving greatest benefit from ET

199 88 (32.7%) patients remained on ET for ≥ 180 days, and 38 (14.1%) for ≥ 365 days. Of the 38
200 patients, 29 (76.3%) received ET after one line of chemotherapy and median TFI was 286
201 days (range 42 – 4256 days). 34 patients had known ER histoscores, all of which were >200
202 (25, 73.5% 201-250, 9, 26.5% >250). 33 (86.8%) patients were treated with letrozole. 28
203 patients were evaluable for CA125 response, of which 9 (32.1%) achieved CA125 response
204 (4 GCIG CR, 5 PR) and 16 (57.1%) achieved SD. The remaining 3 patients demonstrated
205 delayed SD.

206 The median duration of therapy in the 14 patients who achieved CR or PR was significantly
207 longer at 878 days (range 180-3981 days) compared to 241 days in the 49 patients who
208 achieved GCIG defined SD (HR = 0.30 [0.15-0.60] $P < 0.001$) (figure 2C).

209 **4. DISCUSSION**

210 The main strengths of this study is in its large size with known ER status in more than 80% of
211 the cohort. This provided sufficient power to perform comprehensive multivariable analysis
212 in order to identify independent predictors of endocrine sensitivity. Patient and treatment
213 demographics were also all recorded prospectively on the Edinburgh Ovarian Cancer
214 Database thus minimising information bias.

215 The main weaknesses were the lack of radiology response data and the use of surrogates in
216 the form of CA125 responses and duration of therapy, thus limiting the interpretation of some
217 of our results. As this study was not conducted within a trial setting, the CA125 time points
218 were also heterogeneous which may have underestimated the response or stabilisation rates to
219 ET. Whilst all physicians started ET as treatment for relapse, the timing of treatment
220 initiation and cessation is likely to have been inconsistent. Most ER histoscores were also
221 recorded at the time of diagnosis by different pathologists which may have introduced
222 interobserver variation.

223 Although this study took place approximately over 25 years, more than half the samples were
224 confirmed as HGSOC following contemporary pathology review. The remaining patients
225 were almost ubiquitously diagnosed as grade 3 serous EOC which has shown to be largely
226 concordant with HGSOC[14], with less than 3% of the analysis cohort comprising grade 2
227 serous EOC. This provides confidence that this cohort was largely homogenous. To our
228 knowledge, this is the biggest study performed that has attempted to quantify the efficacy of
229 ET in relapsed HGSOC.

230 Most prospective and retrospective studies performed to date have been performed in mixed
231 histological subtypes [11, 17, 18]. There is clear evidence that each EOC subtype is a discrete
232 disease with unique molecular profiles, treatment responses and patient outcomes [19].

233 Recent retrospective studies have suggested a particular role for ET in the management of
234 low grade serous ovarian cancer [8, 9]. As such, responses to ET in low grade serous ovarian
235 cancer may have contributed to signals of efficacy in previous studies of mixed histological
236 types and the exact sensitivity in HGSOV was unclear.

237 Our study illustrates that the degree of ER expression is proportional to endocrine sensitivity
238 in HGSOV. Duration of therapy increases significantly in those with ER251-300 compared to
239 those with ER0-150 with an increasing trend in the proportion of patients demonstrating a
240 response or stabilisation of their CA125 with increasing ER histoscores. Our study also
241 identified a third of patients who remained on ET for more than 6 months, and nearly 15% for
242 more than a year. Interestingly, we found that those who sustained a complete or partial
243 CA125 response remained on endocrine therapy for much longer than those who achieved
244 CA125 stabilisation. Although these results are expected, it argues against indolent tumour
245 biology as being solely responsible for these apparent long responders to ET.

246 We also describe a small group of patients who had PD according to GCIG criteria, but who
247 subsequently demonstrated slowing in the rate of rise in CA125. This delayed SD group
248 behaved very similarly to the GCIG defined SD group, likely representing a cytostatic effect
249 of ET in line with the study by Hall et al [16]. Whilst acknowledging the exploratory nature
250 of this observation, it may suggest that CA125 stabilisation from ET can be delayed and that
251 stopping therapy as soon as it doubles may deprive some patients of potential benefit. It also
252 generates the hypothesis that using response as a measure of ET efficacy is less representative
253 than that of disease stabilisation.

254 When we accounted for the delayed SD group as part of the GCIG defined SD group, the
255 CA125 CBR in the ER 251-300 group was significantly higher than those with ER 0-150. In
256 this study, the differences in both duration of therapy and CA125 CBR only become apparent

257 in those with ER>200, although a gradient of response is likely to exist with increasing levels
258 of ER.

259 These findings are largely concordant with the results of previous studies conducted in
260 patients who were unselected according to histology. Bowman et al was an open label phase
261 II study of letrozole in 60 patients with relapsed EOC who were unselected for ER [11]. 72%
262 had serous histology (grade unspecified). The overall CA125 ORR was 8% and CBR was
263 32%. ER and PR expression levels were retrospectively analysed and patients with ER
264 histoscore ≥ 150 and PR histoscore ≥ 70 were found to have a 64% disease stabilisation rate
265 compared to 3% in those with ER histoscore < 150 [11]. This prompted the study by Smyth et
266 al which only included patients with an ER histoscore ≥ 150 [12]. 52% of patients in this
267 study had serous histology. The CA125 ORR doubled to 17% with a corresponding increase
268 in CBR of 43%. When restricted to patients with an ER 250-300, the CA125 response rate
269 once again doubled to 33%. Notably, the radiological objective response rate increased from
270 0% to 16% and disease stabilisation rate from 16% to 42% in this trial by Smyth et al as
271 compared to that in Bowman et al.

272 A more recent phase II umbrella study evaluated anastrozole in ER positive and/or PR
273 positive ($>10\%$ nuclear staining) platinum resistant or refractory ovarian cancer [18]. The
274 majority of patients in this study had HGSOE though the exact proportion was unspecified. It
275 found that patients with an ER histoscore of 200-300 had a longer median progression free
276 survival compared to those with histoscores < 200 . Although the difference was not
277 statistically significant due to the small numbers of patients analysed, these findings are in
278 line with those presented here from our centre.

279 The data presented here are particularly pertinent as not all studies have concurred with the
280 association between degree of ER expression and endocrine responsiveness in ovarian cancer

281 [17, 18, 20, 21]. It has also highlighted the use of the ER histoscore (range 0-300), a weighted
282 score which accounts for percentage tumour cells stained and stain intensity, as an important
283 method of determining ER positivity. The majority of aromatase inhibitor trials used a
284 minimum threshold of more than 1% nuclear staining in order to confirm ER positivity. It is
285 possible that the greater granularity provided by the histoscore at high levels of ER is
286 required to discriminate patients who are most likely to benefit from ET.

287 A few studies have attempted to establish the relationship between platinum sensitivity and
288 endocrine sensitivity however no significant correlation has been demonstrated [4, 8, 17]. A
289 meta-analysis of over fifty trials of ET found a lower CBR in those with platinum resistant
290 disease compared to platinum sensitive disease although the result of was not significant[4].

291 In our study, the platinum sensitivity of tumours at the time of ET initiation was unable to be
292 determined. However, we found that endocrine sensitivity increased with longer treatment
293 free intervals prior to ET initiation. The differences in duration of ET and CA125 CBR only
294 became apparent in those with a TFI \geq 180 days when compared to TFI<90 days, a time frame
295 which mirror the definitions used when describing platinum sensitivity. Furthermore, the
296 majority of patients received chemotherapy for platinum sensitive disease before embarking
297 on ET for their subsequent relapse.

298 Although line of therapy was not an independent predictor in our study, the close association
299 described between line of therapy and TFI in the literature[22] may suggest that patients with
300 HGSOC are most likely to benefit from early introduction of ET for relapsed disease (i.e.
301 when patients are more likely to have the longest TFI). This is supported by several studies of
302 ET in patients with mixed histology [4, 23, 24]. Notably, an analysis of several tamoxifen
303 trials compared those which had more than 50% of patients receiving only one prior line of

304 treatment to those with heavily pre-treated patients. The ORR in the less-treated group was
305 25.8% compared to 4.1% in the heavily-treated group [24].

306 There is minimal data to demonstrate superiority of aromatase inhibitors over anti-oestrogens
307 in ovarian cancer [17]. Although our study found no differences between letrozole and
308 tamoxifen upon multivariable analysis, the majority of long term responders (≥ 365 days) in
309 our study received letrozole contributing to the growing pool of evidence supporting letrozole
310 as a good choice of ET in this disease. This is in keeping with the superiority of letrozole
311 over tamoxifen demonstrated in post-menopausal women with ER positive breast cancer, in
312 both the adjuvant and metastatic settings [25, 26]

313 **5. CONCLUSION**

314 Our data provide evidence that ET has a role to play in the management of ER positive
315 relapsed HGSOC and quantifies the extent of benefit in this type of ovarian cancer. It
316 supports the use of ET as a means of delaying subsequent chemotherapy. Patients with an ER
317 histoscore >200 and a treatment free interval of 180 days or more are likely to derive the
318 greatest benefit.

319 **ETHICS APPROVAL AND CONSENT TO PARTICIPATE**

320 Communication with the Lothian Research Ethics Committee 2 determined that retrospective
321 analysis of outcome using the contents of the Edinburgh Ovarian Cancer Database were
322 deemed audit by their definition and formal ethical approval was not required.

323 **CONSENT FOR PUBLICATION**

324 Not applicable.

325 **AVAILABILITY OF DATA AND MATERIAL**

326 All patient data was extracted from the Edinburgh Ovarian Cancer Database. These were
327 prospectively entered between January 1974 and December 2015 as part of routine care.

328 **CONFLICT OF INTEREST**

329 CG reports grants and personal fees from Astrazeneca, personal fees from Roche, personal
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341 **AUTHORS' CONTRIBUTIONS**

- 342 • BS contributed to the design of the study, data collection, data interpretation, and
343 drafting the manuscript.
- 344 • RLH contributed to the design of the study, data analysis and interpretation, and
345 critical revision of the manuscript.
- 346 • HN contributed to the design of the study and data collection.
- 347 • JDT and XY contributed to the data collection.

- 348 • TR prospectively collected the data as part of the Edinburgh Ovarian Cancer
349 Database.
- 350 • CD contributed to the data collection.
- 351 • MJM and FN contributed to the data collection and critical review of the manuscript.
- 352 • MC contributed to the data collection and the critical review of the manuscript.
- 353 • CSH contributed to the design of the study, data collection, data interpretation, and
354 critical review of the manuscript.
- 355 • CG contributed to the design of the study, data interpretation, critical revision of the
356 manuscript and overall supervision of this study.

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361 **SUPPLEMENTARY MATERIAL**

362 Supplementary information is available at the Journal of Gynaecologic Oncology website.

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446

447 **TABLE AND FIGURE LEGENDS**

448 *Table 1: Characteristics of patients treated with 1st ET.* Rx=treatment; ER=oestrogen
449 receptor; ET=endocrine therapy; N=number; chemo=chemotherapy; NA=not applicable.

450 *Table 2: Predictive factors of duration of endocrine therapy: univariable and multivariable*
451 *analysis (n=269).* N=numbers; DOT=duration of therapy; CI=confidence intervals;
452 HR=hazard ratio; ER=oestrogen receptor; TFI=treatment free interval; ref=reference value;
453 NE=non evaluable; Megace=megesterol acetate.

454 *Table 3: Predictive factors of CA125 response (n=172).* N=numbers; CR=complete response;
455 PR=partial response; SD=stable disease; ORR=objective response rate; CBR1=clinical
456 benefit rate 1 (CR+PR+SD); CBR2=clinical benefit rate 2 (CR+PR+SD + 2nd SD);
457 ER=oestrogen receptor; TFI=treatment free interval; Megace=megesterol acetate.

458 *Figure 1. Characteristics of patients treated with endocrine therapy.* Rx=treatment;
459 HGSOC= high grade serous ovarian carcinoma; G=grade.

460 *Figure 2. Duration of endocrine therapy and CA125 response rate based on ER histoscore*
461 *and treatment free interval (TFI).* (A) Duration of therapy versus ER histoscore, (B) CA125
462 response rate versus ER histoscore, (C) CA125 response versus duration of therapy, (D)
463 CA125 response rate (including SD² patients as part of CBR) versus ER histoscore. (E)
464 Duration of therapy versus TFI (F) CA125 response rate versus TFI. CR=complete response;

465 PR=partial response; SD=stable disease; SD²=delayed SD patients; CBR=clinical benefit rate
466 (CR+PR+SD), PD=progressive disease.

467 *S1. Pattern of CA125 response in patients.* (A) complete response (CR), (B) partial response
468 (PR), (C) delayed stable disease (SD).

469 *S2. Prior lines of chemotherapy versus duration of endocrine therapy.*

470 *S3. Type of endocrine therapy versus duration of endocrine therapy.*

471

472

Title: Endocrine treatment of high grade serous ovarian carcinoma; quantification of efficacy and identification of response predictors.

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1 **ABSTRACT**

2 *Objectives.* The role of endocrine therapy (ET) in high grade serous ovarian carcinoma
3 (HGSOC) is poorly defined due to the lack of phase III data and significant heterogeneity of
4 clinical trials performed. In this study, we sought to identify predictive factors of endocrine
5 sensitivity in HGSOC.

6 *Methods.* HGSOC patients who received at least four weeks of ET for relapsed disease
7 following one line of chemotherapy at the Edinburgh Cancer Centre were
8 identified. Exclusion criteria were use of endocrine therapy as maintenance therapy or of
9 unknown duration. Duration of therapy and best CA125 response as per modified GCIG
10 criteria were recorded. Oestrogen receptor (ER) histoscore, treatment free interval, prior lines
11 of chemotherapy, and type of ET were evaluated as predictive factors.

12 *Results.* Of 431 patients identified, 269 were eligible (77.0 % letrozole, 18.6% tamoxifen,
13 2.2% megestrol acetate, 2.2% other). The median duration of therapy was 126 days (range
14 28-1427 days). 32.7% remained on ET for ≥ 180 days and 14.1% for ≥ 365 days. The CA125
15 response and clinical benefit rates (response or stable disease) were 8.1% and 40.1%
16 respectively. ER histoscore >200 ($P=0.0016$) and a treatment free interval of ≥ 180 days
17 ($P<0.0001$) were independent predictive factors upon multivariable analysis.

18 *Conclusions.* ET should be considered as a viable strategy to defer subsequent chemotherapy
19 for relapsed HGSOC. Patients with an ER histoscore >200 and a treatment free interval of
20 ≥ 180 days are most likely to derive benefit.

21

22

23 1. INTRODUCTION

24 The majority of patients with advanced stage high grade serous ovarian carcinoma (HGSOC)
25 will unfortunately relapse despite optimal cytoreductive surgery and platinum based
26 chemotherapy. Symptomatic relapses are treated with further systemic chemotherapy which
27 can be effective for some patients. However, with time, the intervals between each treatment
28 get progressively shorter with reduced efficacy and cumulative toxicity.

29 Endocrine therapy (ET) in relapsed HGSOC is easy to administer, has a low toxicity profile
30 and is low cost. There is good pre-clinical evidence to support the role of oestrogen in
31 regulating the growth of oestrogen receptor (ER) positive EOC [1, 2]. To date, more than 50
32 phase II trials of ET in EOC have been performed with response rates between 10-15% and
33 disease stabilisation rates of 30-40% as described in systematic reviews and meta-analyses [3,
34 4]. Only one phase III randomised trial of tamoxifen against standard chemotherapy in the
35 platinum resistant setting has been performed which did not demonstrate differences in
36 overall survival [5]. As such, ET is not considered a standard of care and its use is
37 inconsistent and variable worldwide.

38 However, most of these trials were conducted in heavily pre-treated populations of mixed ER
39 positive and ER negative patients [3]. In studies which pre-selected for ER status, different
40 thresholds of ER positivity and methods of measurements were used [3]. In addition, these
41 trials did not account for EOC comprising at least five histological subtypes which are
42 biologically and clinically distinct [6].

43 The Ovarian Cancer Tissue Consortium Study found HGSOC, endometrioid and low grade
44 serous ovarian carcinomas (LGSOC) to express the highest levels of ER ($\geq 50\%$ tumour
45 nuclear staining) of 60%, 60% and 71% respectively [7]. These histologies likely represent
46 the most endocrine sensitive subtypes with emerging retrospective data to support this.

47 Gershenson et al demonstrated the role of ET both as treatment for relapsed disease [8] and as
48 first line maintenance in LGSOC [9]. Patients with LGSOC who received first line
49 maintenance ET had a superior progression free survival of 64.9 months compared to 26.4
50 months in those who underwent observation ($p<0.001$). Another retrospective study by
51 Heinzlmann-Schwarz et al showed improvement in recurrence free survival in patients with
52 HGSOC who received first line maintenance letrozole versus observation ($p=0.035$)[10].
53 Together, these studies illustrate the importance of performing histological subtype-specific
54 clinical trials to derive an accurate assessment of endocrine sensitivity.

55 Two sequential phase II studies (Bowman et al [11] and Smyth et al [12]) identified an
56 endocrine sensitive group of ovarian cancer patients with mixed histology as those with an
57 ER histoscore ≥ 150 . This weighted scoring method accounts for percentage(%) tumour cells
58 stained and stain intensity (0 no staining, 1+ weak staining, 2+ moderate staining, 3+ strong
59 staining). It derives a score between 0 to 300 using the formula: $[1 \times (\% \text{ cells } 1+) + 2 \times (\% \text{ cells } 2+) + 3 \times (\% \text{ cells } 3+)]$ [13]. On the basis of these data, ET has been routinely used in
61 our centre in patients with relapsed EOC with an ER histoscore of ≥ 150 . We sought to
62 characterise the endocrine sensitivity of relapsed HGSOC as well as identify predictive
63 factors in a large retrospective study.

64 **2. METHODS**

65 *2.1 Patient Identification*

66 We identified patients with a historical diagnosis of grade 2 or 3 serous carcinomas [14] who
67 received at least one line of ET from the Edinburgh Ovarian Cancer Database between
68 January 1974 and December 2015. This database contains detailed histopathological and
69 clinical details of patients entered prospectively as part of routine care. Communication with
70 the Lothian Research Ethics Committee determined that retrospective analysis of outcome

71 using the contents of the database were deemed audit by their definition and formal ethical
72 approval was therefore not required.

73 *2.2 Inclusion and Exclusion Criteria*

74 Patients were included if they received at least four weeks of ET as treatment for relapsed
75 disease as determined by the treating physician, following at least one line of previous
76 chemotherapy, and with known duration of therapy. Those who received less than four weeks
77 of ET were deemed to have had inadequate exposure to determine sensitivity. Patients who
78 received ET as maintenance therapy were excluded.

79 *2.3 Recorded data*

80 All baseline and treatment demographics had been prospectively collected through the
81 Edinburgh Ovarian Cancer Database as part of routine care. All available patient electronic
82 and paper health records were also reviewed. Treatment characteristics were recorded for the
83 first ET received. These included: duration of therapy, lines of ET, type of ET, prior lines of
84 chemotherapy, and ER histoscore as recorded by the pathologist at diagnosis. The treatment
85 free interval (TFI) was calculated from the last dose of chemotherapy to the date of ET
86 initiation. The setting in which the last chemotherapy was received was recorded as 'platinum
87 sensitive' or 'platinum resistant '. Patients who stopped ET due to toxicity or who were still
88 on therapy at data cut-off were censored.

89 *2.4 Treatment efficacy*

90 Most clinicians used CA125 as a marker of response and did not perform radiological
91 assessments of patients on ET until there was evidence of a significant rise in the CA125 or
92 the patient developed symptoms. Therefore, in this non-trial setting, radiological PFS by
93 RECIST could not be accurately defined. ET was continued until there was evidence of

94 symptomatic disease progression warranting further chemotherapy, or until death from
95 ovarian cancer. In view of this, duration of therapy was recorded as an objective end-point
96 for this study and surrogate measure of endocrine sensitivity. The best CA125 response
97 across the duration of therapy was also recorded. Due to the variable frequency of CA125
98 measurements, a modified GCIG criteria was adopted [15]. Patients were evaluable for
99 CA125 response if they had an evaluable CA125 (>70U/ml) within four weeks of starting
100 therapy, and at least three CA125 measures if they received ET for more than 12 weeks. At
101 least two CA125 measures were required if ET was received for 12 weeks or less with the
102 second CA125 within 4 weeks of cessation of ET. Patients were not evaluable for CA125
103 response if they only had one CA125 measure, and if they received ET for 12 weeks or less
104 with no CA125 progression. The 12 week threshold was adopted as the median time to
105 CA125 response has been shown to be 12 weeks [11]. Patients treated for less than 12 weeks
106 with clear CA125 progression were considered evaluable.

107 Definitions for CA125 complete response (CR), partial response (PR) and stable disease (SD)
108 were as per GCIG criteria [15]. SD had to be maintained for at least 12 weeks from the start
109 of therapy. Progressive disease (PD) was defined as doubling of CA125 from the baseline
110 value. The CA125 overall response rate (ORR=CR+PR) and clinical benefit rate 1
111 (CBR1=CR+PR+SD) were calculated and recorded.

112 A study by Hall et al showed that the change in rate of rise in CA125 can indicate activity of
113 cytostatic agents such as tamoxifen [16]. In view of this, the characteristics of patients who
114 had CA125 progression by GCIG criteria, followed by <50% rise of their CA125 for at least
115 12 weeks were also explored (delayed SD).

116

117

118 *2.5 Statistical analysis*

119 Duration of therapy according to ER histoscore, TFI, prior lines of chemotherapy, best
120 CA125 response and type of ET was evaluated using the Kaplan-Meier method and Cox
121 regression models for univariable and multivariable analyses. Comparisons of CA125 ORR
122 and CBR1 between groups were assessed using Chi-squared and Fisher's exact tests as
123 appropriate. Statistical analyses were performed using R version 3.3.3.

124 **3. RESULTS**

125 | 431 patients received at least one line of ET. 162 patients were excluded (Figure 1). 269
126 received ET as treatment for relapsed disease. 143(53.2%) were confirmed as HGSOE
127 through contemporary pathology review conducted through other research studies, and
128 118(43.9%) and 8(3.0%) had a historical diagnosis of grade 3 and grade 2 serous carcinomas,
129 respectively. The median age of diagnosis was 65 years (range 28-91 years).

130 *3.1 First endocrine therapy for relapse*

131 Of 269 patients, 209 (77.7%), 55 (20.4%) and five (1.9%) patients received one, two and
132 three lines of ET, respectively. 207 (77.0%), 50 (18.6%) and six (2.2%) patients received
133 letrozole, tamoxifen and megestrol acetate, respectively. 156 (58.0%) patients received ET
134 after one prior line of chemotherapy, 87 (32.3%) after two lines, and 26 (9.7%) after three or
135 more lines. 229 (85.1%) and 36 (13.4%) patients last received chemotherapy in the platinum
136 sensitive and platinum resistant setting, respectively. ER histoscores were available in 225
137 (83.6%) patients. The range of ER scores are illustrated in table 1. The majority of these
138 histoscores (192, 85.3%) were from the primary chemotherapy naïve tumour.

139 *3.2 Overall CA125 response rate and duration of therapy*

140 Of 269 patients, 257 (95.5%) stopped ET due to disease progression, 11 (4.1%) were still on ET at
141 the time of analysis, and one (0.4%) stopped ET due to toxicity. 172 (63.9%) patients were evaluable

142 for CA125 response. The median number of CA125s was three (range 2-8) and six (range 3-44) in
143 those who received 12 weeks or less of ET, and more than 12 weeks of ET, respectively. The CA125
144 response rate was 8.1% (GCIG CR 2.9%, PR 5.2%) and the CBR was 40.1%. The pattern of CA125
145 responses for CR and PR are shown in [supplemental figure S1A and S1B](#) ~~Figure 2A and 2B~~,
146 respectively. The overall median duration of therapy was 126 days (range 28-1427 days).

147 3.3 Delayed SD patients

148 16 patients demonstrated delayed stabilisation of their CA125 ([supplemental figure S1](#) ~~Figure~~
149 ~~2C~~). The median time to first CA125 progression was 42 days (21-114 days). The delayed
150 SD group (patients whose CA125 rose then stabilised according to the criteria outlined
151 above) had a significantly longer median duration of therapy than those whose disease
152 progressed on CA125 criteria without subsequent stabilisation (196 days versus 84 days,
153 $P < 0.0001$). The median duration of therapy between the GCIG-defined SD group and
154 delayed SD group were comparable ($P = 0.288$) ([Figure 23C](#)). In view of this, a second CBR
155 (CBR2) which included the delayed SD patients as part of the GCIG-defined SD cohort was
156 calculated and compared for each variable as an exploratory analysis.

157 3.4 ER Histscore

158 148 patients with known ER histoscores had evaluable CA125 responses. There was an
159 increasing trend in CA125 response rate of 5.8%, 8.6%, 9.1% and 14.7% in ER0-150,
160 ER151-200, ER201-250 and ER251-300 groups, respectively. Similarly, there was an
161 increasing trend of CBR1 with ER. These differences were not significant (table 3, figure
162 [23B](#)). When the delayed SD patients were accounted for as part of the GCIG defined SD
163 cohort, the CBR in the ER251-300 group was significantly higher than the ER0-150 group
164 (CBR2 64.7% versus 37.1%; $P = 0.04$) (table 3, figure [23D](#)).

165 The median duration of therapy was significantly longer at 140 days and 161 days in the
166 ER201-250 (multivariable: HR 0.62, 95% CI 0.42-0.91, $P=0.016$) and ER251-300 groups
167 (multivariable: HR 0.63, 95% CI 0.41-0.96, $P=0.032$) when compared to 88.5 days in those
168 with ER ≤ 150 (table 2, figure 23A). There were no significant differences in median duration
169 of therapy between the ER151-200 and ER ≤ 150 groups.

170 3.5 Treatment free interval

171 Of 269 patients, 259 (96.2%) received chemotherapy as their last treatment. 8(3.0%) patients
172 received maintenance therapy and 2(0.7%) patients received secondary debulking as their last
173 treatment and were thus excluded from this analysis. Of 259 patients, 164 (63.3%) were
174 evaluable for CA125 responses. Patients who had a TFI 180-365 days and TFI>365 days had
175 a significantly higher CA125 CBR1 of 50.0% ($P =0.01$) and 60.6% ($P=0.002$) when
176 compared to 21.0 % in those with TFI<90 days (table 3, figure 23F). There were no
177 significant differences between TFI <90days and 90-179 days.

178 The median duration of therapy was significantly longer at 161 days and 209 days in those
179 with TFI 180-365 days (multivariable: HR 0.32, 95% CI 0.21-0.48, $P <0.0001$) and TFI>365
180 days (multivariable: HR 0.28, 95% CI 0.17-0.45, $P <0.0001$) compared to 84 days in those
181 with TFI<90days (table 2, figure 23E). There were no significant differences in duration of
182 therapy between those with TFI<90days and 90-179 days.

183 3.6 Prior lines of chemotherapy

184 There was no significant difference in CA125 ORR, CBR1 or CBR2 between patients
185 treated with different numbers of prior chemotherapy lines (table 3). The median duration of
186 therapy was significantly longer at 142 days and 111 days in those who received ET after one
187 (univariable: HR 0.46, 95% CI 0.30-0.70, $p<0.001$) and two (univariable: HR 0.61, 95% CI
188 0.39-0.95, $p=0.03$) lines of chemotherapy compared to 88.5 days after 3 lines or more (table

189 | 2, [supplemental figure S24](#)). However, these differences were not significant upon
190 | multivariable analyses ($P = 0.67$ and $P = 0.406$, respectively).

191 | 3.7 Type of ET

192 | There was no significant difference in CA125 ORR, CBR1 or CBR2 between those treated
193 | with letrozole and tamoxifen (table 3). Compared to tamoxifen, patients who received
194 | letrozole had a significantly longer median duration of therapy (126 versus 98 days,
195 | univariable: HR=0.64, 95% CI 0.47-0.88, $P=0.006$), but the difference was not significant
196 | upon multivariable analysis ($P=0.255$) (table 2, [supplemental figure S32](#)). The number of
197 | patients who received megestrol acetate was too small for meaningful analysis.

198 | 3.8 Characteristics of patients deriving greatest benefit from ET

199 | 88 (32.7%) patients remained on ET for ≥ 180 days, and 38 (14.1%) for ≥ 365 days. Of the 38
200 | patients, 29 (76.3%) received ET after one line of chemotherapy and median TFI was 286
201 | days (range 42 – 4256 days). 34 patients had known ER histoscores, all of which were >200
202 | (25, 73.5% 201-250, 9, 26.5% >250). 33 (86.8%) patients were treated with letrozole. 28
203 | patients were evaluable for CA125 response, of which 9 (32.1%) achieved CA125 response
204 | (4 GCIG CR, 5 PR) and 16 (57.1%) achieved SD. The remaining 3 patients demonstrated
205 | delayed SD.

206 | The median duration of therapy in the 14 patients who achieved CR or PR was significantly
207 | longer at 878 days (range 180-3981 days) compared to 241 days in the 49 patients who
208 | achieved GCIG defined SD (HR = 0.30 [0.15-0.60] $P < 0.001$) (figure [23C](#)).

209 **4. DISCUSSION**

210 The main strengths of this study is in its large size with known ER status in more than 80% of
211 the cohort. This provided sufficient power to perform comprehensive multivariable analysis
212 in order to identify independent predictors of endocrine sensitivity. Patient and treatment
213 demographics were also all recorded prospectively on the Edinburgh Ovarian Cancer
214 Database thus minimising information bias.

215 The main weaknesses were the lack of radiology response data and the use of surrogates in
216 the form of CA125 responses and duration of therapy, thus limiting the interpretation of some
217 of our results. As this study was not conducted within a trial setting, the CA125 time points
218 were also heterogeneous which may have underestimated the response or stabilisation rates to
219 ET. Whilst all physicians started ET as treatment for relapse, the timing of treatment
220 initiation and cessation is likely to have been inconsistent. Most ER histoscores were also
221 recorded at the time of diagnosis by different pathologists which may have introduced
222 interobserver variation.

223 Although this study took place approximately over 25 years, more than half the samples were
224 confirmed as HGSOC following contemporary pathology review. The remaining patients
225 were almost ubiquitously diagnosed as grade 3 serous EOC which has shown to be largely
226 concordant with HGSOC[14], with less than 3% of the analysis cohort comprising grade 2
227 serous EOC. This provides confidence that this cohort was largely homogenous. To our
228 knowledge, this is the biggest study performed that has attempted to quantify the efficacy of
229 ET in relapsed HGSOC.

230 Most prospective and retrospective studies performed to date have been performed in mixed
231 histological subtypes [11, 17, 18]. There is clear evidence that each EOC subtype is a discrete
232 disease with unique molecular profiles, treatment responses and patient outcomes [19].

233 Recent retrospective studies have suggested a particular role for ET in the management of
234 low grade serous ovarian cancer [8, 9]. As such, responses to ET in low grade serous ovarian
235 cancer may have contributed to signals of efficacy in previous studies of mixed histological
236 types and the exact sensitivity in HGSOV was unclear.

237 Our study illustrates that the degree of ER expression is proportional to endocrine sensitivity
238 in HGSOV. Duration of therapy increases significantly in those with ER251-300 compared to
239 those with ER0-150 with an increasing trend in the proportion of patients demonstrating a
240 response or stabilisation of their CA125 with increasing ER histoscores. Our study also
241 identified a third of patients who remained on ET for more than 6 months, and nearly 15% for
242 more than a year. Interestingly, we found that those who sustained a complete or partial
243 CA125 response remained on endocrine therapy for much longer than those who achieved
244 CA125 stabilisation. Although these results are expected, it argues against indolent tumour
245 biology as being solely responsible for these apparent long responders to ET.

246 We also describe a small group of patients who had PD according to GCIG criteria, but who
247 subsequently demonstrated slowing in the rate of rise in CA125. This delayed SD group
248 behaved very similarly to the GCIG defined SD group, likely representing a cytostatic effect
249 of ET in line with the study by Hall et al [16]. Whilst acknowledging the exploratory nature
250 of this observation, it may suggest that CA125 stabilisation from ET can be delayed and that
251 stopping therapy as soon as it doubles may deprive some patients of potential benefit. It also
252 generates the hypothesis that using response as a measure of ET efficacy is less representative
253 than that of disease stabilisation.

254 When we accounted for the delayed SD group as part of the GCIG defined SD group, the
255 CA125 CBR in the ER 251-300 group was significantly higher than those with ER 0-150. In
256 this study, the differences in both duration of therapy and CA125 CBR only become apparent

257 in those with ER>200, although a gradient of response is likely to exist with increasing levels
258 of ER.

259 These findings are largely concordant with the results of previous studies conducted in
260 patients who were unselected according to histology. Bowman et al was an open label phase
261 II study of letrozole in 60 patients with relapsed EOC who were unselected for ER [11]. 72%
262 had serous histology (grade unspecified). The overall CA125 ORR was 8% and CBR was
263 32%. ER and PR expression levels were retrospectively analysed and patients with ER
264 histoscore ≥ 150 and PR histoscore ≥ 70 were found to have a 64% disease stabilisation rate
265 compared to 3% in those with ER histoscore < 150 [11]. This prompted the study by Smyth et
266 al which only included patients with an ER histoscore ≥ 150 [12]. 52% of patients in this
267 study had serous histology. The CA125 ORR doubled to 17% with a corresponding increase
268 in CBR of 43%. When restricted to patients with an ER 250-300, the CA125 response rate
269 once again doubled to 33%. Notably, the radiological objective response rate increased from
270 0% to 16% and disease stabilisation rate from 16% to 42% in this trial by Smyth et al as
271 compared to that in Bowman et al.

272 A more recent phase II umbrella study evaluated anastrozole in ER positive and/or PR
273 positive ($>10\%$ nuclear staining) platinum resistant or refractory ovarian cancer [18]. The
274 majority of patients in this study had HGSOE though the exact proportion was unspecified. It
275 found that patients with an ER histoscore of 200-300 had a longer median progression free
276 survival compared to those with histoscores < 200 . Although the difference was not
277 statistically significant due to the small numbers of patients analysed, these findings are in
278 line with those presented here from our centre.

279 The data presented here are particularly pertinent as not all studies have concurred with the
280 association between degree of ER expression and endocrine responsiveness in ovarian cancer

281 [17, 18, 20, 21]. It has also highlighted the use of the ER histoscore (range 0-300), a weighted
282 score which accounts for percentage tumour cells stained and stain intensity, as an important
283 method of determining ER positivity. The majority of aromatase inhibitor trials used a
284 minimum threshold of more than 1% nuclear staining in order to confirm ER positivity. It is
285 possible that the greater granularity provided by the histoscore at high levels of ER is
286 required to discriminate patients who are most likely to benefit from ET.

287 A few studies have attempted to establish the relationship between platinum sensitivity and
288 endocrine sensitivity however no significant correlation has been demonstrated [4, 8, 17]. A
289 meta-analysis of over fifty trials of ET found a lower CBR in those with platinum resistant
290 disease compared to platinum sensitive disease although the result of was not significant[4].

291 In our study, the platinum sensitivity of tumours at the time of ET initiation was unable to be
292 determined. However, we found that endocrine sensitivity increased with longer treatment
293 free intervals prior to ET initiation. The differences in duration of ET and CA125 CBR only
294 became apparent in those with a TFI \geq 180 days when compared to TFI<90 days, a time frame
295 which mirror the definitions used when describing platinum sensitivity. Furthermore, the
296 majority of patients received chemotherapy for platinum sensitive disease before embarking
297 on ET for their subsequent relapse.

298 Although line of therapy was not an independent predictor in our study, the close association
299 described between line of therapy and TFI in the literature[22] may suggest that patients with
300 HGSOC are most likely to benefit from early introduction of ET for relapsed disease (i.e.
301 when patients are more likely to have the longest TFI). This is supported by several studies of
302 ET in patients with mixed histology [4, 23, 24]. Notably, an analysis of several tamoxifen
303 trials compared those which had more than 50% of patients receiving only one prior line of

304 treatment to those with heavily pre-treated patients. The ORR in the less-treated group was
305 25.8% compared to 4.1% in the heavily-treated group [24].

306 There is minimal data to demonstrate superiority of aromatase inhibitors over anti-oestrogens
307 in ovarian cancer [17]. Although our study found no differences between letrozole and
308 tamoxifen upon multivariable analysis, the majority of long term responders (≥ 365 days) in
309 our study received letrozole contributing to the growing pool of evidence supporting letrozole
310 as a good choice of ET in this disease. This is in keeping with the superiority of letrozole
311 over tamoxifen demonstrated in post-menopausal women with ER positive breast cancer, in
312 both the adjuvant and metastatic settings [25, 26]

313 **5. CONCLUSION**

314 Our data provide evidence that ET has a role to play in the management of ER positive
315 relapsed HGSOc and quantifies the extent of benefit in this type of ovarian cancer. It
316 supports the use of ET as a means of delaying subsequent chemotherapy. Patients with an ER
317 histoscore >200 and a treatment free interval of 180 days or more are likely to derive the
318 greatest benefit.

319 **ETHICS APPROVAL AND CONSENT TO PARTICIPATE**

320 Communication with the Lothian Research Ethics Committee 2 determined that retrospective
321 analysis of outcome using the contents of the Edinburgh Ovarian Cancer Database were
322 deemed audit by their definition and formal ethical approval was not required.

323 **CONSENT FOR PUBLICATION**

324 Not applicable.

325 **AVAILABILITY OF DATA AND MATERIAL**

326 All patient data was extracted from the Edinburgh Ovarian Cancer Database. These were
327 prospectively entered between January 1974 and December 2015 as part of routine care.

328 **CONFLICT OF INTEREST**

329 CG reports grants and personal fees from Astrazeneca, personal fees from Roche, personal
330 fees from Clovis, grants and personal fees from Tesaro, personal fees from Roche, grants and
331 personal fees from Nucana, grants from Aprea, grants from Novartis, personal fees from
332 Foundation One, outside the submitted work. In addition, CG has a patent Molecular
333 Diagnostic Test for Cancer issued. CD reports personal fees and non-financial support from
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335 Scientist Office, grants and non-financial support from ECMC and non-financial support
336 from NHS Lothian, during the conduct of the study.

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- 340 • RLH was supported by an MRC PhD Studentship.

341 **AUTHORS' CONTRIBUTIONS**

- 342 • BS contributed to the design of the study, data collection, data interpretation, and
343 drafting the manuscript.
- 344 • RLH contributed to the design of the study, data analysis and interpretation, and
345 critical revision of the manuscript.
- 346 • HN contributed to the design of the study and data collection.
- 347 • JDT and XY contributed to the data collection.

- 348 • TR prospectively collected the data as part of the Edinburgh Ovarian Cancer
349 Database.
- 350 • CD contributed to the data collection.
- 351 • MJM and FN contributed to the data collection and critical review of the manuscript.
- 352 • MC contributed to the data collection and the critical review of the manuscript.
- 353 • CSH contributed to the design of the study, data collection, data interpretation, and
354 critical review of the manuscript.
- 355 • CG contributed to the design of the study, data interpretation, critical revision of the
356 manuscript and overall supervision of this study.

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361 **SUPPLEMENTARY MATERIAL**

362 Supplementary information is available at the Journal of Gynaecologic Oncology website.

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446

447 **TABLE AND FIGURE LEGENDS**

448 *Table 1: Characteristics of patients treated with 1st ET.* Rx=treatment; ER=oestrogen
449 receptor; ET=endocrine therapy; N=number; chemo=chemotherapy; NA=not applicable.

450 *Table 2: Predictive factors of duration of endocrine therapy: univariable and multivariable*
451 *analysis (n=269).* N=numbers; DOT=duration of therapy; CI=confidence intervals;
452 HR=hazard ratio; ER=oestrogen receptor; TFI=treatment free interval; ref=reference value;
453 NE=non evaluable; Megace=megesterol acetate.

454 *Table 3: Predictive factors of CA125 response (n=172).* N=numbers; CR=complete response;
455 PR=partial response; SD=stable disease; ORR=objective response rate; CBR1=clinical
456 benefit rate 1 (CR+PR+SD); CBR2=clinical benefit rate 2 (CR+PR+SD + 2nd SD);
457 ER=oestrogen receptor; TFI=treatment free interval; Megace=megesterol acetate.

458 *Figure 1. Characteristics of patients treated with endocrine therapy.* Rx=treatment;
459 HGSOC= high grade serous ovarian carcinoma; G=grade.

460 ~~*Figure 2. Pattern of CA125 response in patients. (A) complete response (CR), (B) partial*~~
461 ~~*response (PR), (C) delayed stable disease (SD).*~~

462 *Figure 23. Duration of endocrine therapy and CA125 response rate based on ER histoscore*
463 *and treatment free interval (TFI). (A) Duration of therapy versus ER histoscore, (B) CA125*
464 *response rate versus ER histoscore, (C) CA125 response versus duration of therapy, (D)*

465 CA125 response rate (including SD² patients as part of CBR) versus ER histoscore. (E)
466 Duration of therapy versus TFI (F) CA125 response rate versus TFI. CR=complete response;
467 PR=partial response; SD=stable disease; SD²=delayed SD patients; CBR=clinical benefit rate
468 (CR+PR+SD), PD=progressive disease.

469 *S1* Figure 2. Pattern of CA125 response in patients. (A) complete response (CR), (B) partial
470 *response (PR), (C) delayed stable disease (SD).*

471

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472 *S2* ~~4~~. *Prior lines of chemotherapy versus duration of endocrine therapy.*

473 *S3* ~~2~~. *Type of endocrine therapy versus duration of endocrine therapy.*

474

475

4. Table 1 revised

[Click here to download 4. Table: GYN-18-1005R1_Table1_1st_ET_patient_characteristics_revised.xlsx](#)

Table 1: Characteristics of patients treated with 1 st ET	
Indication	Treatment for relapse (N=269) N (%)
No. of ET received	
1	209(77.7)
2	55(20.4)
3	5(1.9)
ER Histoscore	
0-150	50(18.6)
151-200	55(20.4)
201-250	69(25.7)
251-300	51(19.0)
Unknown	44(16.3)
Source of ER	
Primary tumour	192(85.3)
Interval debulking or relapse disease	27(12.0)
Unknown	6(2.7)
Type of ET	
Letrozole	207(77.0)
Tamoxifen	50(18.6)
Megesterol Acetate	6(2.2)
NE^a	6(2.2)
Prior lines of chemo	
1	156(58.0)
2	87(32.3)
3+	26(9.7)
Last regime received	
Platinum sensitive	229 (85.1)
Platinum resistant	36(13.4)
Other	4(1.5)

a-received 2 ET sequentially due to toxicity.
Legend: Rx=treatment; ER=oestrogen receptor;
ET=endocrine therapy; N=number;
chemo=chemotherapy; NA=not applicable.

4. Table 2

[Click here to download 4. Table: Table2_predictive_factors_ETduration.xlsx](#)

Table 2: Predictive factors of duration of endocrine therapy: univariate and multivariable analysis (n=269)									
ER	N	%	median DOT	univariate			multivariate		
			days	HR	95% CI	P	HR	95% CI	P
≤150	50	18.6	88.5	ref	ref	ref	ref	ref	ref
151-200	55	20.4	126	0.7	0.47 - 1.03	0.071	0.76	0.50-1.16	0.201
201-250	69	25.7	140	0.59	0.4 - 0.86	0.006	0.62	0.42-0.91	0.016
251-300	51	19.0	161	0.57	0.38 - 0.84	0.005	0.63	0.41-0.96	0.032
UK	44	16.4							
TFI/days									
<90	63	23.4	84	ref	ref	ref	ref	ref	ref
90-179	66	24.5	93.5	0.87	0.61 - 1.24	0.436	0.79	0.52-1.22	0.292
180-365	82	30.5	161	0.35	0.24 - 0.50	<0.0001	0.32	0.21-0.48	<.0001
>365	48	17.9	209	0.34	0.23 - 0.51	<0.0001	0.28	0.17-0.45	<.0001
NE	10	3.7							
Therapy									
Letrozole	207	77.0	126	0.64	0.47 - 0.88	0.006	0.8	0.54-1.18	0.255
Megace	6	2.2	317	0.45	0.19 - 1.06	0.068	0.17	0.17-1.99	0.391
Tamoxifen	50	18.6	98	ref	ref	ref	ref	ref	ref
NE^a	6	2.2							
Prior lines of chemotherapy									
1	156	58.0	142	0.46	0.30 - 0.70	<0.001	0.89	0.52-1.53	0.670
2	87	32.3	111	0.61	0.39 - 0.95	0.030	0.79	0.46-1.37	0.406
3+	26	9.7	88.5	ref	ref	ref	ref	ref	ref
a- patients received 2 ET sequentially due toxicity.									
Legend: N=numbers;DOT=duration of therapy; CI=confidence intervals; HR=hazard ratio;									
ER=oestrogen receptor; TFI=treatment free interval; ref=reference value; NE=non evaluable									
Megace=megesterol acetate.									

4. Table 3

[Click here to download 4. Table: Table3_predictive_factors_CA125response.xlsx](#)

Table 3: Predictive factors of CA125 response (n=172)											
	N	CR	PR	SD	2 nd SD	ORR	p ^c	CBR1 ^a	p ^d	CBR2 ^b	p ^e
		N (%)	N (%)	N (%)		N (%)		N (%)			
ER											
≤150	35	1(2.9)	1(2.9)	10(28.6)	1(2.9)	2(5.7)	ref	12(34.4)	ref	13(37.1)	ref
151-200	35	1(2.9)	2(5.7)	11(31.4)	2(5.7)	3(8.6)	1.00	14(40.0)	0.805	16(45.7)	0.627
201-250	44	1(2.3)	3(6.8)	17(38.6)	4(9.1)	4(9.1)	0.688	21(47.7)	0.330	35(79.5)	0.131
251-300	34	2(5.9)	3(8.8)	11(32.4)	6(17.6)	5(14.7)	0.260	16(47.1)	0.404	22(64.7)	0.040
UK	27										
TFI/days											
<90	38	0	1(2.6)	7(18.4)	5(13.2)	1(2.6)	ref	8(21.0)	ref	13(34.2)	ref
90-179	46	0	2(4.3)	11(23.9)	3(6.5)	2(4.3)	1.00	13(28.2)	0.613	16(34.7)	1
180-365	52	2(3.8)	4(7.7)	20(38.5)	6(11.5)	6(11.5)	0.231	26(50.0)	0.010	32(61.5)	0.019
>365	28	2(7.1)	2(7.1)	13(46.4)	2(7.1)	4(14.2)	0.154	27(60.6)	0.002	19(67.7)	0.014
NE	11										
Therapy											
Letrozole	128	4(3.1)	6(4.7)	43(33.6)	12(9.4)	10(7.8)	0.510	53(41.4)	0.495	65(50.8)	0.437
Megace	4	0	0	2(50.0)	1(25.0)	0	1.00	2(50.0)	0.602	3(75.0)	0.310
Tamoxifen	36	1(2.8)	3(8.3)	8(22.2)	3(8.3)	4(11.1)	ref	12(33.3)	ref	15(41.6)	ref
NE^f	4										
Prior lines of chemotherapy											
1	97	4(4.1)	5(5.2)	29(30.0)	11(11.3)	9(9.3)	0.682	38(39.2)	0.838	49(50.5)	0.516
2	57	0	3(5.3)	22(38.6)	4(7.0)	3(5.3)	0.588	25(43.9)	0.606	29(50.9)	0.537
3+	18	1(5.6)	1(5.6)	4(22.2)	1(5.6)	2(11.1)	ref	6(33.3)	ref	7(39.0)	ref
a- Clinical benefit rate calculated using GCIG criteria											
b-Clinical benefit rate with delayed SD patients included in the SD cohort											
c-in relation to ORR											
d-in relation to CBR1											
e-in relation to CBR2											
f-received 2 ET sequentially due to toxicity											
Legend: N=numbers; CR=complete response; PR=partial response; SD=stable disease; ORR=objective response rate; CBR1=clinical benefit rate 1 (CR+PR+SD); CBR2=clinical benefit rate 2 (CR+PR+SD + 2nd SD); ER=oestrogen receptor; TFI=treatment free interval;Megace=megesterol acetate.											

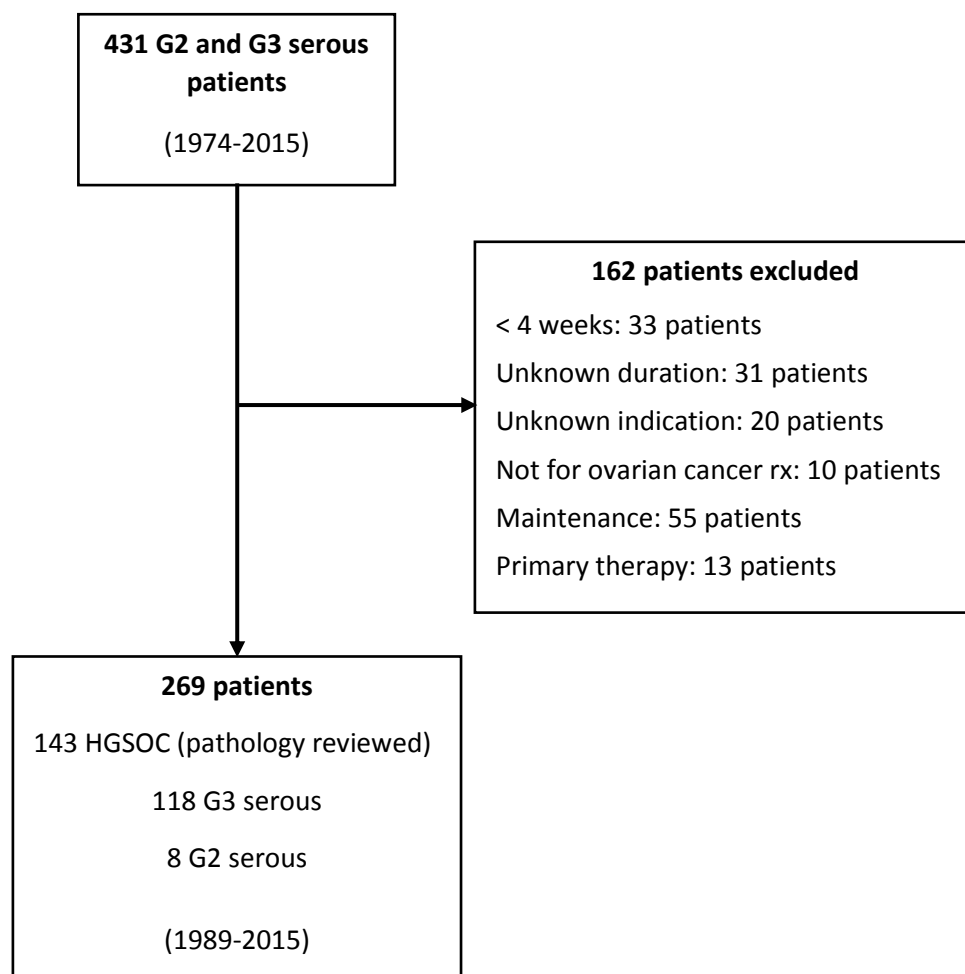


Figure 1: Characteristics of patients treated with endocrine therapy.

Rx=treatment; HGSOc= high grade serous ovarian carcinoma; G=grade.

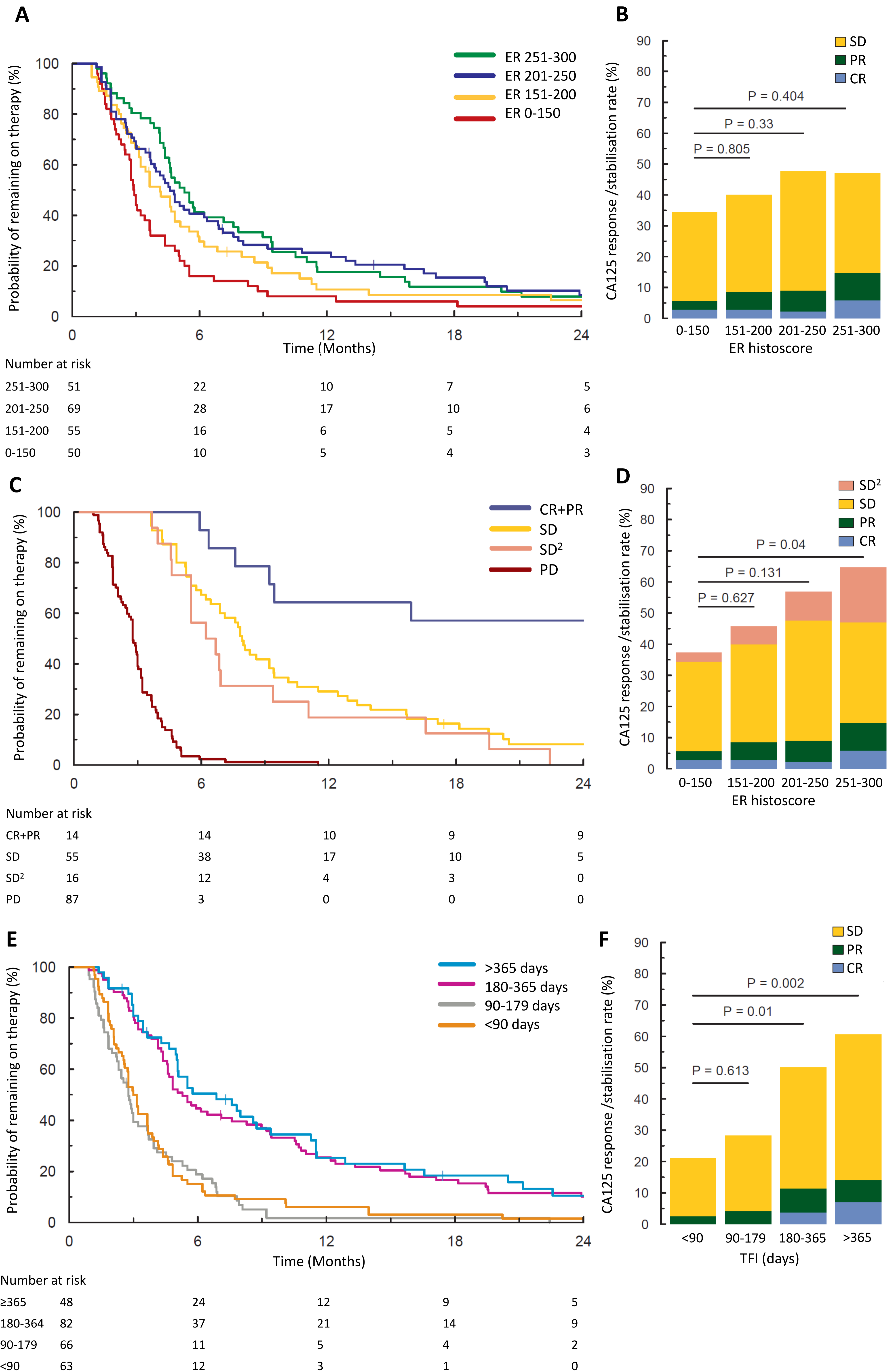
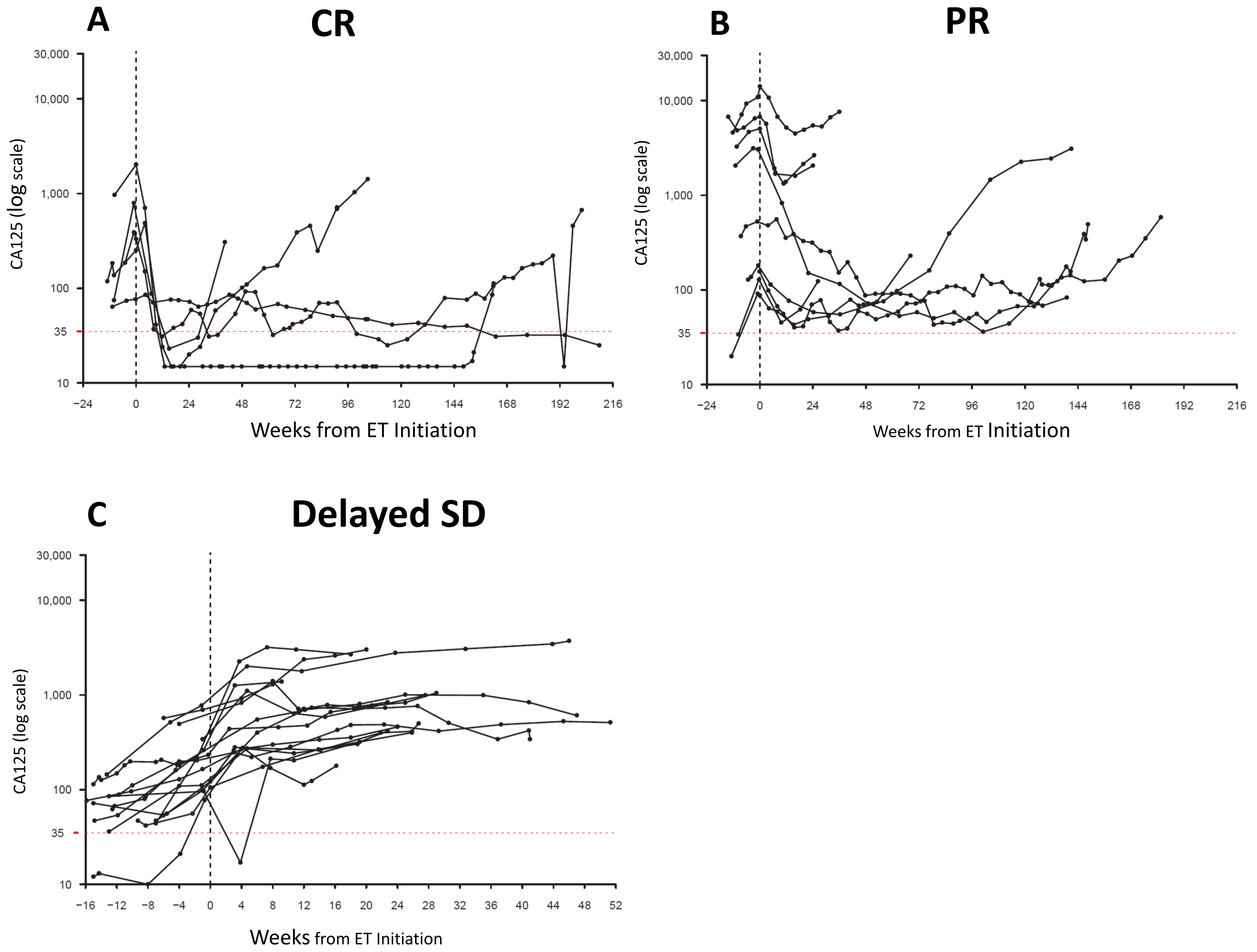
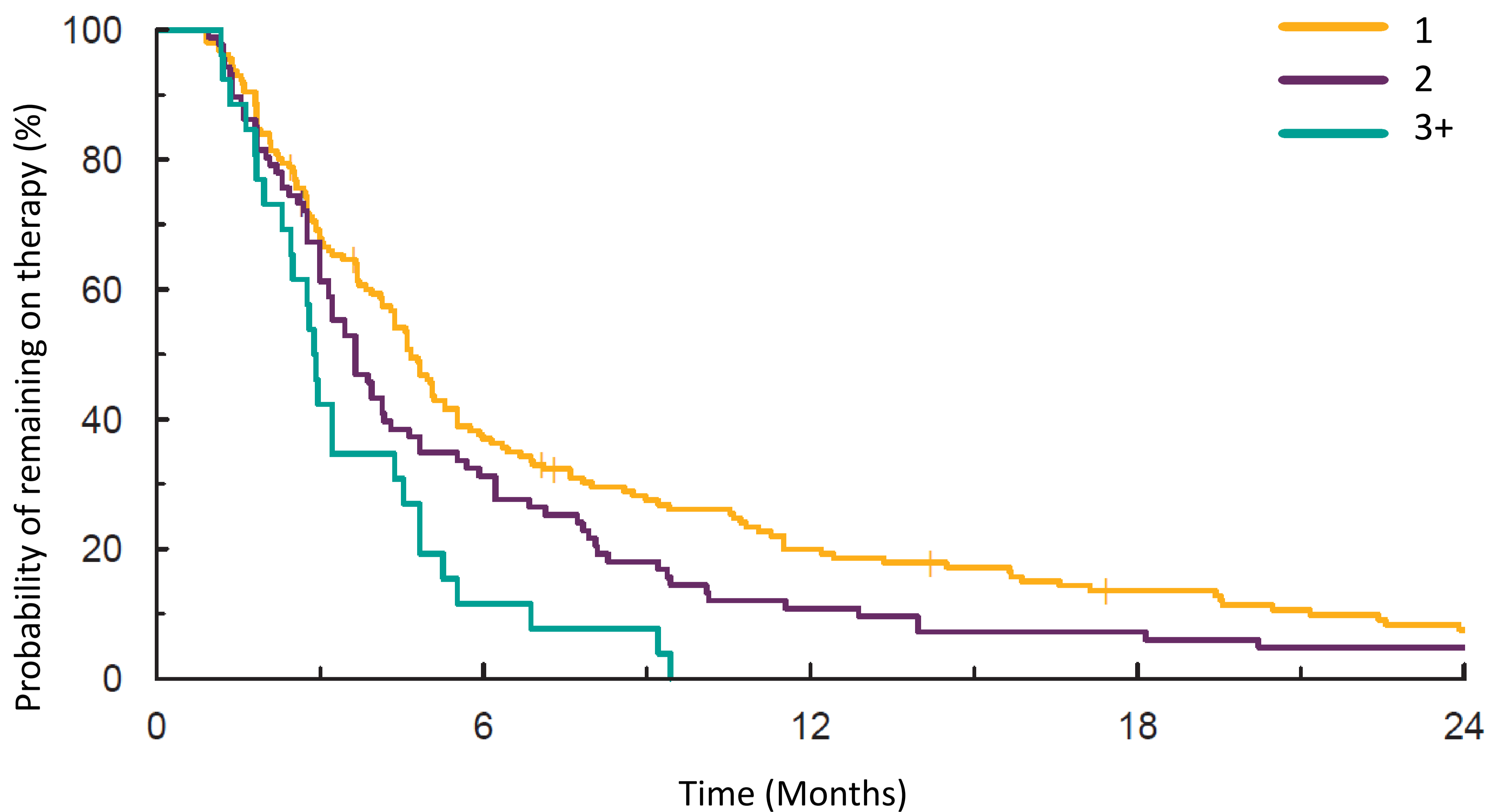


Figure 2. Duration of endocrine therapy and CA125 response rate based on ER histoscore and treatment free interval (TFI) (A) Duration of therapy versus ER histoscore, (B) CA125 response rate versus ER histoscore, (C) CA125 response versus duration of therapy, (D) CA125 response rate (including SD² patients as part of CBR) versus ER histoscore. (E) Duration of therapy versus TFI (F) CA125 response rate versus TFI. CR=complete response; PR=partial response; SD=stable disease; SD²=delayed SD patients; CBR=clinical benefit rate (CR+PR+SD), PD=progressive disease.



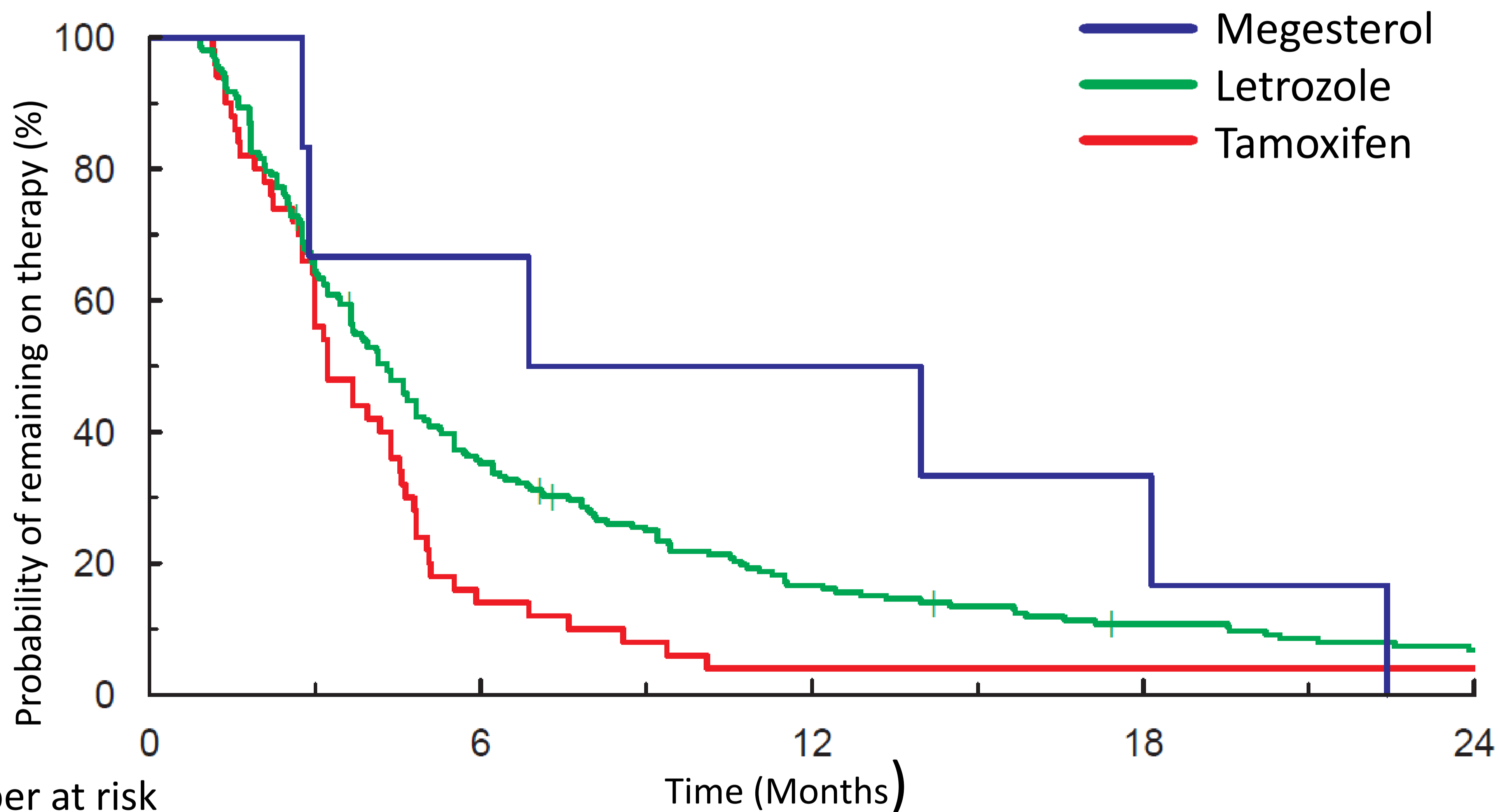
S1. Pattern of CA125 response in patients. (A) complete response (CR), (B) partial response (PR), (C) delayed stable disease (SD).



Number at risk

1	156	57	32	20	11
2	87	27	10	8	5
3+	26	4	0	0	0

S2. Prior lines of chemotherapy versus duration of endocrine therapy.



Number at risk

Megesterol	6	5	4	3	0
Letrozole	207	71	33	21	13
Tamoxifen	50	8	3	3	3

S3. Type of endocrine therapy versus duration of endocrine therapy.

Highlights:

- Endocrine therapy has efficacy in relapsed high grade serous ovarian cancer.
- It can be used to delay subsequent chemotherapy.
- Those with ER H-score > 200 and treatment free interval > 180 days are most likely to benefit.