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Enhanced Photoluminescence Emission and Thermal Stability from Introduced Cation Disorder in Phosphors

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Supporting Information Placeholder

ABSTRACT: Optimising properties of phosphors for use in white light–emitting diodes (WLEDs) is an important materials challenge. Most phosphors have a low level of lattice disorder due to mismatch between the host and activator cations. Here we show that deliberate introduction of high levels of cation disorder leads to significant improvements in quantum efficiency, stability to thermal quenching, and emission lifetime in Sr_{1.98}. $x(Ca_{0.55}Ba_{0.45})xSi_5N_8$:Eu_{0.02} (x = 0 – 1.5) phosphors. Replacing Sr by a (Ca_{0.55}Ba_{0.45}) mixture with the same average radius increases cation size variance resulting in photoluminescence emission increases of 20–26% for the x = 1.5 sample relative to the x = 0 parent across the 25–200 °C range that spans WLED working temperatures. Cation disorder suppresses nonradiative processes through disruption of lattice vibrations and creates deep traps that release electrons to compensate for thermal quenching. Introduction of high levels of cation disorder may thus be a very useful general approach for improving the efficiency of luminescent materials.



INTRODUCTION

White light-emitting diode devices (WLEDs) are an important low-energy alternative to traditional incandescent lamps and fluorescent light sources.¹⁻⁴ WLEDs have advantages of low cost, easy fabrication, a tunable correlated colour temperature, and a high colour rendering index value.^{5,6} A blue LED chip (440-470 nm) is usually covered with one or more phosphors so that the combination of blue emission and longer-wavelength phosphor-converted radiation gives white light.^{7,8} Hence phosphors with high emission efficiencies that do not decrease at typical WLED operating temperatures of 150-200 °C are required.⁸ Nitridosilicates such as $M_2Si_5N_8$:Eu²⁺ (M = Ca, Sr, Ba) are an excellent class of orange-red phosphors, showing high efficiency and high color purity under near-UV to blue light excitation, and thermal stability up to 200 °C.⁹⁻¹⁵ Moisture-induced degradation of Sr₂Si₅N₈:Eu²⁺ phosphors has been minimised by adding a hydrophobic nanolayer formed by hydrolysis and polymerization of tetraethylorthosilicate and polydimethylsiloxane.¹⁶ Another approach was to add a passivating SrSiO₃ layer through thermally treatment in a N₂-H₂ atmosphere. These approaches are effective in reducing the thermaland moisture-induced degradation of PL properties in the red nitridosilicate phosphor.¹⁷ Chemical substitutions within a nitridosilicate host material can strongly affect the optical and thermal properties through changes in the local environment of the activator ions. For example, $M_{1.95}Eu_{0.05}Si_{5-x}Al_xN_{8-x}O_x$ (x = 0-1) phosphors were found to have a red shift in emission wavelength and increased thermal stability with increasing AlO content x for M = Ba, but a blue shift and markedly decreased thermal stability were observed for M = Ca.¹⁸ This effect was attributed to cation-size mismatch between the M2+ host and Eu2+ activator cations. Substitutions of Li or La ions were found to tune the photoluminescence (PL) emission, thermal quenching, and decay time in the CaAlSiN₃:Eu phosphor through a neighbouring-cation substitution effect.19

Size mismatch between mixed cations, e.g. $(Sr_{1-x}Ca_x)$, tends to change lattice strain due to changes in the average ionic radius $\langle r \rangle$, and also introduces a disorder effect that may be quantified through the cation size variance $[\sigma^2 = \langle r^2 \rangle - \langle r \rangle^2]^{20,21}$ Average size and variance both change for such binary substitutions but mixtures of three or more cations may be used to vary *<r>* and σ^2 independently and separate their effects on materials properties. Previous studies in which *<r>* and doping level were held constant in series of ferromagnetic (R₁. _xM_x)MnO₃ perovskites,²⁰ (R_{2-x}M_x)CuO₄ superconductors,²² and MTiO₃ ferroelectrics²³ (where R are trivalent lanthanides and M are divalent Ca, Sr, Ba) revealed that their respective magnetic Curie, superconducting critical, and ferroelectric Curie transition temperatures all showed strong linear variations with σ^2 .

To vary cation disorder within M₂Si₅N₈:Eu²⁺ phosphors we have taken the same approach by investigating here the series Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi₅N₈:Eu_{0.02}. The (Ca_{0.55}Ba_{0.45}) mixture has the same average cation radius as Sr²⁺, so the overall average <*r>* for the M²⁺ cations is constant throughout, but the size variance σ^2 increases in proportion to x. The resulting disorder effects are found to increase both the quantum efficiency (QE) and thermal stability of the Eu²⁺ emission, with substantial improvements of up to 25% in overall PL emission for the disordered materials relative to the x = 0 parent. Cation disorder within the host lattice is thus shown to be both an important fundamental influence in phosphors and a useful tool for improving their performance in WLED devices.

RESULTS AND DISCUSSION

Sample Characterizations. Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi₅N₈:Eu_{0.02} samples with x = 0, 0.5, 1.0, 1.5, and 1.98 were prepared and characterized as described in experimental methods. The x = 1.98 sample was found to be a multiphase mixture and was not explored further, but the other four samples were all orthorhombic M₂Si₅N₈–type materials with only small traces of secondary phases. Analysis results shown in Table 1 agree well with the nominal compositions of these materials. σ^2 was calculated using 10–coordinate ionic radii (¹⁰*r*) for the M²⁺ cations,²⁴ and increases from zero to a value of σ^2 = 0.016 Å² at x = 1.5, equivalent to a substantial r.m.s. (root mean square) deviation of 0.12 Å in M²⁺ radius and hence in local M–N distances.

Table 1. Starting and EDX–analyzed compositions for $Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi_5N_8$: $Eu_{0.02}$ (x = 0, 0.5, 1.0, 1.5) samples and their cation size variances.

x	Starting composition	Analyzed formula ^{<i>a</i>}	$\sigma^2/\text{\AA}^2 b$	External QE/%	Ea/eV
0	Sr _{1.98} Si ₅ N ₈ :Eu _{0.02}	Sr _{1.98(1)} Si _{4.9(2)} N ₈	0.0000	48.7	0.21
0.5	$Sr_{1.48}Ca_{0.275}Ba_{0.225}Si_5N_8:Eu_{0.02}$	$Sr_{1.48(3)}Ca_{0.28(2)}Ba_{0.22(1)}Si_{4.9(2)}N_8$	0.0052	53.4	0.24
1.0	$Sr_{0.98}Ca_{0.550}Ba_{0.450}Si_5N_8$:Eu_0.02	$Sr_{0.98(3)}Ca_{0.55(2)}Ba_{0.45(1)}Si_{4.9(2)}N_8$	0.0104	55.9	0.26
1.5	$Sr_{0.48}Ca_{0.825}Ba_{0.675}Si_5N_8$: $Eu_{0.02}$	$Sr_{0.47(3)}Ca_{0.81(2)}Ba_{0.70(1)}Si_{4.9(1)}N_8$	0.0156	58.5	0.32
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^{*a*}Formulae are calculated by normalizing to Ca + Sr + Ba = 1.98; the Eu contents are fixed at 0.02.

^bSize variances are calculated using 10-coordinate cationic radii.

The $Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi_5N_8$: Eu_{0.02} samples (x = 0, 0.5, 1.0, 1.5) were characterized using synchrotron X-ray diffraction and powder neutron diffraction. Fits to the data for the x = 0.5 sample are shown in Figure 1 and other data are shown in Supplementary Figures S1-4 and Supplementary Tables S1-4. The diffraction results demonstrate that the x = 0 to 1.5 materials adopt the orthorhombic M₂Si₅N₈ structure (space group Pmn2₁). 298 K lattice parameters (Figure 1b) show irregular variations of less than $\pm 0.1\%$ as x increases from 0 to 1.5, confirming that the average unit cell and lattice strain remain constant across the series to a very good approximation. Powder neutron diffraction data were obtained from all samples in the temperature range 25-400 °C to check the thermal stability of these materials across WLED working temperatures (150-200 °C). All of the samples show very similar thermal expansions across this range, with no structural changes and bulk thermal expansion coefficients of 15-20 x 10⁻⁶ K⁻¹.

Two inequivalent M cation sites are present in the orthorhombic $M_2Si_5N_8$ structure, as shown in the inset of Figure 3a, and simultaneous refinements against 298 K X–ray and neutron data were used to estimate Ca/Sr/Ba cation occupancies at the two sites by making use of the different elemental scattering contrasts for X–rays and neutrons. The Eu dopant concentration was fixed at 1% for both sites. Results in Supplementary Table 3 show that the 10–coordinate M1 site tends to be more disordered with approximately equal amounts of Ca and Ba replacing Sr almost completely at x = 1.5, where the M1 size variance is $\sigma_1^2 = 0.020$ Å². The M2 site is 8–coordinate and so is slightly smaller, and is occupied predominantly by Ca and Sr with less Ba, leading to a smaller $\sigma_2^2 = 0.011$ Å² at x = 1.5. The M–N bond distances reflect these trends as the M1–N distances show little variation across the series while the M2–N values decrease with x as shown in Figure 1d. Although the Ca/Sr/Ba populations and σ^2 values at the two sites differ, these diffraction results show that both sites are substantially disordered and there is no simple cation segregation as x increases.



Figure 1. Crystal structure characterization of $Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi_5N_8:Eu_{0.02}$ phosphors. (a) Rietveld fit to room temperature synchrotron XRD data ($\lambda = 0.774907$ Å) for the x = 0.5 sample. (b) Relative changes in the 298 K lattice parameters determined from fits to synchrotron XRD data as a function of x. (c) Fit to time-of-flight neutron diffraction data from the $\lambda = 2.665$ Å bank at room temperature for the x = 0.5 sample. (d) Temperature and x dependence of M–N bond distances from Rietveld refinements using the neutron data.

Raman spectra have been used to study the lattice vibrations of the $Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi_5N_8$:Eu_{0.02} materials as shown in

Figure 2a. The peaks at 200–700, and 700–1,000 cm⁻¹ respectively represent bending, and stretching modes of SiN₂ or SiN₄ groups,^{25,26} as shown in Figure 2a. Sharp vibrational peaks are observed for x = 0 but these broaden rapidly as x increases because of the bonding and mass disorder introduced by replacing Sr with Ca and Ba. The x = 1.0 and 1.5 spectra are almost identical showing that the Ca/Sr/Ba coordinations around SiN₂ or SiN₄ have essentially become statistical for $x \ge 1.0$. These spectra resemble that of a glass, although the materials remain crystalline as observed in the diffraction measurements.



Figure 2. Lattice disorder and microstructural effects in $Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi_5N_8$:Eu_0.02 phosphors. (a) Raman spectra of x = 0, 0.5, 1.0, and 1.5 samples with bending and stretching mode regions shown schematically. (b,c) SEM images of bulk x = 0 and x = 1.5 materials (scale bar, 20 µm). (d,e) Typical HRTEM images from the x = 1.5 sample. (d) Image showing a large crystalline area at the right hand side, viewed down the [12-1] zone axis. Atomic planes A and B have d-spacings of 3.81 and 2.36 Å, corresponding to (012) and (202) layers of the orthorhombic M₂Si₅N₈ structure, respectively, with an interplane angle of ca. 65°. Another domain at the top left corner has a slightly different crystal orientation and the two domains intergrow well. However, the grain boundary between them indicated by the arrow is wide and blurry. Dark spots are apparent in the image contrast pattern, and the square marked area around one of these is expanded in the inset. Local lattice distortion characteristic of a point defect is visible. (e) HRTEM image of a region showing many domains. The d-spacings C and D are 4.82 Å, which indexed as (101) or (10-1) with an interplane angle of ca. 63°.

Effects of increasing chemical disorder have also been investigated by comparing electron microscopy (EM) images of x = 0 and x = 1.5 samples. Scanning EM images in Figures 2b and c show that x = 0 particles have fairly uniform sizes below ~1 μ m, but the x = 1.5 material has a more variable morphology including some large crystallites up to ~10 μ m dimensions. High–resolution transmission EM (HRTEM) images and corresponding selected area electron diffraction (SAED) patterns such as those shown in Supplementary Figure S5 demonstrate that the x = 0 sample has large uniform, crystal domains with few observed defects. However, typical HRTEM images for the x = 1.5 sample in Figures 2d and e show multidomain and defect features. Dark spots are evident in the image contrast pattern in Figure 2d and the enlargement of one spot in the inset shows that a local lattice distortion is visible. This corresponds to the diffraction contrast from a point defect, as previously reported.^{27,28} Point defects in the $Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi_5N_8:Eu_{0.02}$ samples most likely arise from atomic substitutions at M²⁺ sites due to the large differences in ionic size. Cation disorder also results in various domain structures, with a wide and blurry grain boundary observed in Figure 2d, and small domains with well-defined grain boundaries in Figure 2e. No decomposition was observed during the TEM studies, confirming their high thermal stability.

Luminescence Measurements. All of the $Sr_{1.98}$ - $_{x}(Ca_{0.55}Ba_{0.45})_{x}Si_{5}N_{8}$: Eu_{0.02} samples emit in the orange to red region when excited with UV or blue sources, like other $M_2Si_5N_8$: Eu²⁺ (M = Ca, Sr, Ba) materials, and so are good phosphors for WLEDs applications. Figure 3a shows the excitation and emission spectra which result from the $4f^{7}(^{8}S_{7/2}) \leftrightarrow$ $4f^{6}(^{7}F)5d^{1}$ transition of Eu²⁺. The excitation spectra broaden slightly with increasing disorder (x) but do not otherwise change. However, the emission spectra excited at 460 nm show a substantial broadening and shift to longer wavelengths (a red shift) with increasing x. The emission spectra were resolved into two peaks arising from Eu^{2+} at the two distinct crystallographic sites M1 and M2 as shown on Figure 3a, and derived parameters are in Supplementary Table 5 and are plotted in Figures 3b and c. The Eu1 and Eu2 peaks show similar red shifts of ~300 cm⁻¹ as x increases from 0 to 1.5 while peaks become $\sim 300 \text{ cm}^{-1}$ wider consistent with increasing lattice disorder. Lattice contraction (e.g. from Ba₂Si₅N₈:Eu²⁺ to Sr₂Si₅N₈:Eu²⁺) is known to result in red shifts of the emission, however the average lattice strain is approximately constant across the Sr1 98. x(Ca0.55Ba0.45)xSi5N8:Eu0.02 series.



Figure 3. Photoluminescence (PL) properties of Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi₅N₈:Eu_{0.02} phosphors. (a) Excitation spectra (upper inset), normalized at the maximum emission wavelength, and emission spectra excited at $\lambda_{ex} = 460$ nm at room temperature. The PL spectra are decomposed into Gaussian contributions from Eu²⁺ at the two cation sites in the orthorhombic M₂Si₅N₈ structure. Coordination environments for the M1 (10coordinate) and M2 (8-coordinate) atoms are shown in the lower inset. (b) Plot of the energy shift and Full Width at Half Maximum (FWHM) for the Eu1 and Eu2 peaks versus x. (c) Evolution of the integrated emission intensity and the quantum

efficiency as x increases. The inset shows the individual integrated Eu1 and Eu2 emission intensities. (d) Luminescence decay curves at room temperature.

Hence the observed red shift of both Eu1 and Eu2 peaks suggests that the most efficient emission is from Eu²⁺ cations occupying smaller sites with the increasing range of sizes that become available as x rises. A marked shift of intensity from the Eu1 to the Eu2 emission is also observed with increasing x, as shown in Figures 3a and c. This shows that the Eu²⁺ activator cations tend to migrate from M1 to M2 sites with increasing x. The Eu²⁺ dopants (${}^{10}r = 1.35$ Å) are much closer in size to Sr cations (1.36 Å) than to Ca (1.23 Å) or Ba ions (1.52 Å). Hence the Eu²⁺ activators follow Sr²⁺ in the trends observed in the site occupancy refinements above, where Sr (Eu) and Ca preferentially occupy M2 sites at high x, while M1 sites are occupied mainly by Ba and Ca. Hence the proportion of Eu²⁺ ions at the M2 increases significantly with x, as shown schematically in Supplementary Figure S6. A notable consequence of the introduced disorder is that the external quantum efficiency of the Sr1.98-x(Ca0.55Ba0.45)xSi5N8:Eu0.02 phosphors increases significantly, from 49% at x = 0 to 59% at x = 1.5,²⁹ as shown in Figure 3c and Table 1. The integrated emission spectra show the same trend. However, the external QEs of as-prepared Sr_{1.98-} $_{x}(Ca_{0.55}Ba_{0.45})_{x}Si_{5}N_{8}:Eu_{0.02}$ (x = 0 - 1.5) phosphors are lower than those of commercial materials because of small particle size as illustrated in Supplementary Figure S7. Luminescence lifetimes also increase with disorder as shown in Figure 3d. The luminescence decays are described by single exponentials with time constants increasing from 1.3 to 1.5 µs as x increases from 0 to 1.5.

Another important aspect is the stability of the PL to thermal quenching, to ensure highly efficient WLEDs at working temperatures up to 200 °C.^{30,31} Figure 4a shows the temperature varintegrated PL iation of the for the Sr_{1.98-} $_{x}(Ca_{0.55}Ba_{0.45})_{x}Si_{5}N_{8}:Eu_{0.02}$ phosphors over the range 25–300 °C. The fitted activation energies for quenching and given in Table 1 and show a substantial increase from 0.21 eV at x = 0 to 0.32 eV at x = 1.5.³² Disorder thus enhances the thermal stability of PL, with the largest improvements in Figure 4a observed at 150-250 °C close to the working temperatures for WLED devices. The x = 1.5 material shows an emission loss of only 8 % at 200 °C. The combined effects of cation disorder in increasing quantum efficiency and suppressing thermal quenching are shown in Figure 4b. The improvement in photoluminescence relative to the x = 0 sample, $\Delta PL/PL$, increases with x in the Sr1.98-x(Ca0.55Ba0.45)xSi5N8:Eu0.02 series, with values of 20-26% for x = 1.5. Hence, the replacement of Sr by a (Ca_{0.55}Ba_{0.45}) mixture to introduce lattice disorder in M₂Si₅N₈:Eu²⁺ phosphors results in a significant increase of PL emission over the range of WLED working temperatures. Thermoluminescence (TL) measurements (Figure 4c) have been used to investigate how disorder affects the temperature stability of PL in the $Sr_{1.98\text{-}x}(Ca_{0.55}Ba_{0.45})_xSi_5N_8{:}Eu_{0.02}$ materials. TL measures luminescence from trapped electrons that are released by increasing the sample temperature, as shown schematically in Figure 4d. The TL spectra reveal that emission from heating the parent x =0 material has a single peak at ~370 K corresponding to electrons in fairly shallow traps. The shallow trap peak remains at 350–400 K as x increases, but the intensity decreases greatly and high temperature emission peaks at 450-500 K become prominent. This demonstrates that increasing lattice disorder introduces a large number of deep traps. Release of the electrons from deep traps at ~470 K WLED working temperatures results in increased emission that compensates for thermal losses due to nonradiative energy transfer.³³ Hence formation of deeper traps due to cation disorder accounts for the increases of luminescence lifetime shown in Figure 3d and of thermal quenching stability in Figure 4a with disorder.



Figure 4. Thermal of properties Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi₅N₈:Eu_{0.02} phosphors. (a) Photoluminescence (PL) intensity ratios I_T/I_0 at temperatures T = 298-573 K. The curves show fits of the equation $I_T/I_0 = [1 + D \exp(-E_a/kT)]^{-1}$ ¹, where I_0 (intensity at T = 0), D, and the activation energy E_a are refined variables. Fitted E_a values are shown in Table 1. (b) Increases in photoluminescence relative to the x = 0 sample, Δ *PL/PL*, as a function of temperature. These are calculated as Δ $PL/PL = (I_x/I_{x=0})(Q_x/Q_{x=0}) - 1$ where Q is external quantum efficiency measured at 298 K and I is emission intensity with thermal variations as shown in a. (c) Thermoluminescence (TL) spectra collected at 30-400 °C. (d) Schematic energy diagram showing the TL mechanism for temperature-dependent emission. Electrons excited from Eu 4f to 5d states can be delocalized into the conduction band (CB) and captured by traps at lattice defects. Trapped electrons may be released by thermal excitation to the CB, and return via the 5d state, to the 4f ground state with light emission. Electrons in shallow traps are released at near-ambient temperatures, whereas high temperatures are needed to release electrons from deep traps.

Discussion. The demonstrate results that Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi₅N₈:Eu_{0.02} phosphors remain highly crystalline to powder X-ray and neutron diffraction when substantial cation size disorder is introduced. Disorder effects are observed in the microstructure where smaller domains and point defects are observed by HRTEM, and the Raman peaks are substantially broadened. PL performance is enhanced substantially despite the formation of deep electron traps in the disordered materials. Both the QE and the stability of the PL to thermal quenching are found to increase with x in the Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi₅N₈:Eu_{0.02} series. The increase in external QE may be attributed to local distortions of the coordination environments around Eu²⁺, which tend to break the internal symmetry of the polyhedra. The increase in particle size observed on comparing x = 0 and x =1.5 samples by SEM is also likely to contribute so the increase in QE may be a materials-specific effect. The improved stability of the PL to thermal quenching is an important effect that can be directly attributed to the lattice disorder. Nonradiative decay occurs through multiphonon relaxation, and this is disrupted if phonons are trapped or scattered by disorder. The Raman spectra show that the lattice vibrational peaks are broadened and suppressed for $Sr_{1.98-x}(Ca_{0.55}Ba_{0.45})_xSi_5N_8$:Eu_{0.02}samples with x > 1, and this reduces the efficiency of energy transfer to the lattice. Hence the use of crystalline host materials, to preserve long range structural order and rigidity, but with internal disorder introduced to suppress nonradiative processes through phonon formation, provides efficient high temperature phosphors for uses in WLED and other applications.

SUMMARY

In summary, the photoluminescence from M2Si5N8:Eu2+ phosphors is found to increase substantially with cation disorder introduced by replacing Sr with a (Ca_{0.55}Ba_{0.45}) mixture of the same average radius. Cation disorder is found to increase guantum efficiency and suppress thermal quenching, with photoluminescent emission increased by 20-26% across the 25-200 °C working temperature range for WLED devices. The main influence of the cation disorder is to suppress nonradiative processes through disruption of lattice vibrations, and to create deep traps that release electrons at high temperatures to compensate for thermal quenching. These effects should be general to other host materials and so introduction of high levels of cation disorder is a promising approach for improving the efficiency of luminescent materials with applications in the field of lighting. Suppression of thermal quenching in the special case of a high temperature positional order-disorder phase transition has just been reported in the Na_{3-2x}Sc₂(PO₄)₃:Eu_x phosphor.³³

ASSOCIATED CONTENT

Supporting Information.

Experimental methods, supporting tables and figures are available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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