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**Citation for published version:**

Ray, KK, Leiter, LA, Müller-Wieland, D, Cariou, B, Colhoun, HM, Henry, RR, Tinahones, FJ, Bujas-Bobanovic, M, Domenger, C, Letierce, A, Samuel, R & Del Prato, S 2018, 'Alirocumab versus usual lipid-lowering care as add-on to statin therapy in individuals with type 2 diabetes and mixed dyslipidaemia: The ODYSSEY DM-DYSLIPIDEMIA randomized trial', *Diabetes Obesity & Metabolism*.  
<https://doi.org/10.1111/dom.13257>

**Digital Object Identifier (DOI):**

[10.1111/dom.13257](https://doi.org/10.1111/dom.13257)

**Link:**

[Link to publication record in Edinburgh Research Explorer](#)

**Document Version:**

Peer reviewed version

**Published In:**

Diabetes Obesity & Metabolism

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**Alirocumab versus usual lipid-lowering care as add-on to  
statin therapy in individuals with type 2 diabetes and  
mixed dyslipidaemia: The ODYSSEY DM-DYSLIPIDEMIA  
randomized trial**

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This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1111/dom.13257

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**Short running title:** Alirocumab in T2DM with mixed dyslipidaemia

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### **Funding Information**

This study was funded by Sanofi and Regeneron Pharmaceuticals, Inc. Medical writing assistance and editorial support, under the direction of the authors, were provided by Susanne Ulm, PhD, and Rob Campbell, PhD, of Prime (Knutsford, UK) funded by Sanofi and Regeneron Pharmaceuticals, Inc., according to Good Publication Practice guidelines ([link](#)).

## Abstract

**Aims:** Individuals with type 2 diabetes (T2DM) and mixed dyslipidaemia represent a high-risk and difficult-to-treat population. ODYSSEY DM-DYSLIPIDEMIA (NCT02642159) compared alirocumab, a proprotein convertase subtilisin-kexin type 9 inhibitor, with usual care (UC) in individuals with T2DM and mixed dyslipidaemia not optimally managed by maximally-tolerated statins.

**Materials and Methods:** UC options (no additional lipid-lowering therapy; fenofibrate; ezetimibe; omega-3 fatty acid; nicotinic acid) were selected prior to stratified randomization to open-label alirocumab 75 mg every 2 weeks (Q2W; with increase to 150 mg Q2W at Week [W]12 if W8 non-high-density lipoprotein cholesterol [non-HDL-C] was  $\geq 2.59$  mmol/L [100 mg/dL]) or UC for 24 weeks. Primary efficacy endpoint was percentage change in non-HDL-C from baseline to W24.

**Results:** The randomized population comprised 413 individuals (409 intention-to-treat; 412 safety). At W24, mean non-HDL-C reductions were superior with alirocumab (-32.5% difference vs UC; 97.5% confidence interval: -38.1 to -27.0;  $P < .0001$ ). Overall, 63.6% of alirocumab-treated individuals were maintained on 75 mg Q2W. Alirocumab also reduced low-density lipoprotein cholesterol (-43.0%), apolipoprotein B (-32.3%), total cholesterol (-24.6%), and LDL particle number (-37.8%) at W24 vs UC (all  $P < .0001$ ). Consistent with the overall trial comparison, alirocumab reduced non-HDL-C to a greater degree within each UC stratum at W24. Incidence of treatment-emergent adverse events was 68.4% (alirocumab) and 66.4% (UC). No clinically meaningful effect on glycated hemoglobin, or change in number of glucose-lowering agents, was seen.

**Conclusions:** In individuals with T2DM and mixed dyslipidaemia on maximally tolerated statin, alirocumab showed superiority in non-HDL-C reduction vs UC and was generally well tolerated.

**Key words:** PCSK9, type 2 diabetes, mixed dyslipidaemia, non-HDL-C

## 1. INTRODUCTION

Atherosclerotic cardiovascular disease (ASCVD) is the leading cause of morbidity and mortality among individuals with type 2 diabetes mellitus (T2DM).<sup>1</sup> The reasons are likely multifactorial but a relevant contributory factor may be the greater prevalence of mixed dyslipidaemia, which is characterized by elevated triglycerides (TGs) and thus elevated triglyceride-rich lipoprotein (TRL) and TRL cholesterol as well as low levels of high-density lipoprotein cholesterol (HDL-C).<sup>2</sup> Mixed dyslipidaemia in T2DM might not be detected with measurement of LDL-C levels, as LDL-C may remain within a normal range.<sup>3</sup> Non-high-density lipoprotein cholesterol (non-HDL-C; easily calculated by subtracting HDL-C from total cholesterol), accounts for the sum of all atherogenic lipoproteins (low-density lipoprotein cholesterol [LDL-C], intermediate-density lipoproteins (IDL), very-low density lipoproteins (VLDL), VLDL remnants, chylomicron remnants, and lipoprotein a [Lp(a)]) and has been suggested to be a better indicator of cardiovascular (CV) risk than LDL-C among individuals with elevated TGs, including individuals with dyslipidaemia.<sup>3-5</sup> Populations with mixed dyslipidaemia also have qualitative changes in low-density lipoprotein (LDL) particles, with a higher number of smaller, more dense LDL particles; these are believed to be more atherogenic than larger, more buoyant particles.<sup>2</sup>

Lipid lowering therapy (LLT) with statins increases the clearance of atherogenic lipoproteins and thus reduces plasma cholesterol levels principally through reductions in LDL-C.<sup>6</sup> This results in a significantly lower risk of ASCVD with the proportional benefit related to the absolute reduction in LDL-C.<sup>7</sup> Other therapeutic approaches that further increase clearance of atherogenic lipoproteins include ezetimibe<sup>8</sup> and the inhibitors of proprotein convertase subtilisin-kexin type 9

(PCSK9), alirocumab<sup>9</sup> and evolocumab.<sup>10</sup> Adding ezetimibe or evolocumab to statin significantly reduces CV events (the CV outcomes study with alirocumab [NCT01663402] is ongoing).<sup>11,12</sup> However, no previous studies prospectively evaluated PCSK9 inhibition in individuals with diabetes and mixed dyslipidaemia or compared different therapeutic options among individuals with elevated TGs despite maximally tolerated statin therapy, an important consideration given the “real-world” clinical uncertainty around potential therapeutic agents which principally reduce either the synthesis of TRL particles (fibrates), lipolysis of TGs (omega-3-fatty acids), clearance of atherogenic lipoproteins (ezetimibe), or a combination of these mechanisms (nicotinic acid).

The ODYSSEY DM-DYSLIPIDEMIA trial was designed to address these clinical uncertainties and assessed the efficacy and safety of alirocumab vs usual lipid-lowering care (UC) stratified by an investigator’s pre-defined option for add-on therapy (fenofibrate, omega-3-fatty acids, ezetimibe, nicotinic acid or no additional LLT) to maximally tolerated statins among individuals with T2DM at high ASCVD risk who had mixed dyslipidaemia and in whom non-HDL-C was not adequately controlled ( $\geq 2.59$  mmol/L [ $\geq 100$  mg/dL]). The primary endpoint (not used in previous randomized studies) was the difference in the percentage change from baseline in non-HDL-C between alirocumab and UC (overall, i.e. all options). A pre-specified analysis compared the superiority of alirocumab vs fenofibrate (recommended in guidelines for treating individuals with elevated TGs<sup>4,5</sup>).

## 2. MATERIALS AND METHODS

### 2.1. Study design

ODYSSEY DM-DYSLIPIDEMIA (NCT02642159) was a phase 3b/4, randomized, open-label, parallel group, multi-centre trial. The trial was conducted at 110 sites in 14 countries; screening started in March 2016 and recruitment was completed in September 2016. The study design and methods have been published.<sup>13</sup> Brief methods are summarized below and further details are provided in the Supplementary Appendix.

The trial was conducted in accordance with the ethical principles laid down by the 18th World Medical Assembly (Helsinki, 1964) and all applicable amendments laid down by the World Medical Assemblies, and the International Conference on Harmonisation guidelines. The trial protocol was approved by the relevant institutional review boards or independent ethics committees, and all participating individuals provided written informed consent.

### 2.2. Trial participants

The trial included individuals (aged  $\geq 18$  years) with T2DM and mixed dyslipidaemia whose non-HDL-C was not adequately controlled despite stable maximally tolerated statin dose for  $\geq 4$  weeks prior to screening visit, without other LLTs, and who had either a documented history of ASCVD or at least 1 additional CV risk factor. Study participants had to have a glycated hemoglobin (A1C) of  $< 9\%$ ; changes to antihyperglycaemic medications were to be limited and made only in circumstances of clinical need for the duration of the study.



Mixed dyslipidaemia was defined as non-HDL-C  $\geq 2.59$  mmol/L ( $\geq 100$  mg/dL) and TGs  $\geq 1.70$  mmol/L (150 mg/dL) (but  $< 5.65$  mmol/L [500 mg/dL]) at the screening visit. The maximally tolerated dose of statin was based on the judgement of the investigator. Individuals with documented statin intolerance (as judged by the investigator) and therefore not receiving statin therapy could also be enrolled. ASCVD was defined as coronary heart disease, peripheral arterial disease or ischaemic stroke. Full inclusion and exclusion criteria have been reported previously.<sup>13</sup>

### **2.3. Study procedures**

Following up to a 3-week screening period, investigators selected before randomization the most appropriate choice from a range of 5 therapeutic options based on their usual clinical practice, namely not to add any LLT, or to add 1 of the following: ezetimibe, fenofibrate, omega-3 fatty acid formulation or nicotinic acid. Participants were randomised in a 2:1 ratio to receive on top of maximally tolerated statin (or no statin if intolerant) either open-label alirocumab or UC for 24 weeks. Randomization was stratified by the UC option selected by the investigator prior to randomization.

Alirocumab was initiated at a dose of 75 mg every 2 weeks (Q2W), with blinded dose increase to 150 mg Q2W at Week 12 if Week 8 non-HDL-C was  $\geq 2.59$  mmol/L ( $\geq 100$  mg/dL), henceforth referred to as “alirocumab 75/150 mg Q2W”.

#### **2.4. Endpoints and assessments**

The primary efficacy endpoint was the percentage change in non-HDL-C from baseline to Week 24, analysed using an intention-to-treat approach. Further details on secondary endpoints and laboratory and safety assessments are given in the Supplementary Appendix.

#### **2.5. Statistical analysis**

The primary efficacy endpoint was analysed using a mixed-effect model with repeated measures approach to account for missing data. Further information on analysis methods are presented in the Supplementary Appendix.

### 3. RESULTS

#### 3.1. Participating individuals

Eligible individuals were allocated to UC options by the investigator prior to randomization, and were subsequently randomized within each stratum to either alirocumab or UC option with a 2:1 ratio (Figure 1). A total of 413 individuals were randomized to alirocumab (n=276) or UC (n=137). Median daily doses of UC treatments are given in Table S1.

Baseline characteristics and lipid parameters were generally similar regardless of treatment allocation (Table 1; Table S2). At baseline, 84.0% of individuals in the alirocumab group and 76.6% in the UC group were receiving statin therapy (of these, 46.3% [alirocumab] and 36.2% [UC] were on high-intensity statin). Treatment groups included 34.4 and 34.3% of individuals with a history of ASCVD and 65.6 and 65.7% without ASCVD but with additional CV risk factors in the alirocumab and UC groups, respectively (Table 1).

#### 3.2. Lipid parameters

The least-squares mean (standard error [SE]) percentage change from baseline to Week 24 in non-HDL-C was  $-37.3$  (3.0)% with alirocumab and  $-4.7$  (3.3)% with UC ( $-32.5\%$  difference vs UC;  $P < .0001$ ; 97.5% confidence interval:  $-38.1$  to  $-27.0$ ; Figure 2A). Alirocumab also significantly lowered levels of measured LDL-C, apolipoprotein (Apo) B, total cholesterol and lipoprotein (a) [Lp(a)] vs UC (all  $P < .0001$ , Figure 2A). Non-HDL-C and measured LDL-C reductions were observed from the first measured time point at Week 8 and maintained through the 24-week treatment period (Figure S1). TG levels were decreased in both arms at Week 24 with no significant difference between alirocumab ( $-13.0\%$ ) vs UC ( $-8.8\%$ ; Figure

2A). As a result of the hierarchical testing procedure used, *P*-values from subsequent testing of secondary endpoints are nominal only. At Week 24, alirocumab treatment resulted in improvements from baseline (nominal *P*-value < .025 vs UC) in HDL-C, and LDL particle number and size (Figure 2A). Results for fenofibrate stratum and other individual UC strata were similar to the overall analysis (Figure 2B–E); due to small patient numbers data were not analysed for the nicotinic acid stratum. Similar results to Week 24 were seen at Week 12, when all individuals in the alirocumab arm were receiving the 75 mg dose (Figure S2). In the alirocumab group, 75 mg Q2W dose was maintained in 63.6% of individuals after Week 12.

At Week 24, more than two-thirds of alirocumab-treated individuals achieved levels of non-HDL-C <2.59 mmol/L (<100 mg/dL), measured LDL-C <1.81 mmol/L (<70 mg/dL) and ApoB <80 mg/dL (Figure 3). In addition, a greater proportion of individuals in the alirocumab group vs UC achieved a reduction in LDL-C from baseline of ≥50% (55.2% vs 3.8%).

Results were consistent across various subgroups analysed (Figure S3, Supporting Information).

### 3.3. Free and total PCSK9

In the alirocumab group, free PCSK9 levels changed by –43.3% and –60.6% at Week 12 and Week 24, respectively (UC: +18.2% and +11.8%, respectively; Figure 4). Total PCSK9 levels changed by +357.6% at Week 12 and +413.3% at Week 24 in the alirocumab group (UC: +13.9% and +10.8%, respectively; Figure 4). Corresponding data within individual UC strata are also shown in Figure 4.

### 3.4. Diabetes-related endpoints

Mean levels of A1C and fasting plasma glucose (FPG) during the study are shown in Figure S4. Mean (SE) absolute change from baseline in A1C at Week 24 was +0.24 (0.04)% (alirocumab group) and +0.19 (0.05)% (UC group;  $P = .4923$  vs UC). Mean (SE) absolute change in FPG from baseline at Week 24 was +0.32 (0.13) mmol/L and  $-0.01$  (0.17) mmol/L in the alirocumab and UC groups, respectively ( $P = .1215$  vs UC); corresponding values for FPG were +5.70 (2.25) and  $-0.10$  (3.07) mg/dL for alirocumab and UC, respectively. The median total number of glucose-lowering treatments received remained stable over time with no change between baseline and Week 24 (Table S3).

### 3.5. Safety

The percentage of individuals who experienced any treatment-emergent adverse events (TEAEs), treatment-emergent serious adverse events and TEAEs leading to discontinuation was comparable between the alirocumab and UC groups (Table S4).

TEAEs occurring in  $\geq 2\%$  of individuals were reported at generally similar frequencies in the alirocumab and UC groups, with some TEAEs occurring at higher frequency in the alirocumab vs UC group and vice versa; urinary tract infection (alirocumab: 5.8%; UC: 3.6%) and diarrhoea (alirocumab: 5.1%; UC: 6.6%) were the most common TEAEs (Table S5).

In total, 3.0% ( $n = 8$ ) of individuals receiving alirocumab and 0.8% ( $n = 1$ ) of those receiving UC had low-titre persistent anti-drug antibodies. At Week 12, 0.7% ( $n = 2$ ) of individuals in the alirocumab group demonstrated positive neutralizing antidrug antibodies; none were observed at Week 24. In the UC group, no neutralizing anti-drug antibodies were observed.

## 4. DISCUSSION

ODYSSEY DM-DYSLIPIDEMIA is the first dedicated study of a PCSK9 inhibitor to evaluate efficacy and safety vs UC among individuals with T2DM and mixed dyslipidaemia and elevated non-HDL-C levels, despite maximally tolerated statin therapy, and the first randomized trial to use non-HDL-C as the primary endpoint. The pragmatic design of this study with the choice of UC (in addition to maximally tolerated statin therapy) based on the investigator's pre-defined option allows for the first time a direct comparison with multiple therapeutic alternatives to alirocumab and their effects on non-HDL-C as well as a range of lipoprotein markers believed to be causally related to ASCVD.

Alirocumab demonstrated superiority from baseline to Week 24 in reducing non-HDL-C (by 32.5%), ApoB (32.3%), Lp(a) (27.4%), total cholesterol (24.6%) and measured LDL-C (43.0%) vs UC. Alirocumab was not superior to UC in reducing TGs and, due to the hierarchical nature of testing, the significant increase in HDL-C (+6.2%) and significant reductions in LDL particle number (-37.8%) and LDL particle size (-1.8%) relative to UC should be considered nominal. Moreover, in this study, 66.9% of alirocumab-treated individuals reached non-HDL-C <2.59 mmol/L (100 mg/dL; 17.7% with UC) and 70.8% achieved LDL-C <1.81 mmol/L (70 mg/dL) vs 16.3% with UC.

Alirocumab was also superior to fenofibrate in reducing non-HDL-C (33.3% vs fenofibrate), ApoB (35.2%), Lp(a) (22.8%), total cholesterol (25.3%) and measured LDL-C (55.7%). At Week 24, the percentage change from baseline in the fenofibrate group was equivalent to the alirocumab group in lowering TG and raising HDL-C when added to maximally tolerated statin therapy. However, participants in this study

had moderately elevated TGs (median baseline TGs of ~2.4 mmol/L [ $\sim$ 210 mg/dL]), and conclusions cannot be extrapolated to those with more severely elevated triglycerides ( $>5$  mmol/L) who were excluded from the study. Nominally greater reductions from baseline to Week 24 in LDL particle number (42.4%) and LDL particle size (3.1%) favoured alirocumab over fenofibrate. Furthermore, at Week 24, 65.2% of patients achieved non-HDL-C  $<2.59$  mmol/L ( $<100$  mg/dL) and 71.9% LDL-C  $<1.81$  mmol/L ( $<70$  mg/dL) with alirocumab vs 10.1% and 17.5%, respectively, with fenofibrate. Directionally concordant results were observed favouring alirocumab vs no LLT, omega-3 fatty acids or ezetimibe where sample size allowed such comparisons.

The present study also offers novel insights into the potential mechanisms behind changes in different lipid parameters when other LLTs are added to statins and the potential impact of these differential changes on the putative likelihood of future ASCVD. In the overall UC group, mean total and free PCSK9 concentrations changed by +10.8% and +11.8%, respectively, at Week 24 compared with baseline; larger increases were seen in the fenofibrate group and also (to a lesser extent) in the ezetimibe group, in line with previous observations that treatment with these LLTs increase PCSK9 levels.<sup>14,15</sup> Omega-3 fatty acids appeared to have a negligible effect on PCSK9 levels. The increases in PCSK9 levels in the overall UC group were associated with only modest reductions from baseline to Week 24 in ApoB ( $-1.6\%$ ) and LDL particle number ( $-3.9\%$ ), and increase in LDL particle size (0.3%) and therefore modest reductions in non-HDL-C ( $-4.7\%$ ) and measured LDL-C ( $-0.3\%$ ), despite an 8.8% reduction in TGs and an 8.2% increase in HDL-C. In contrast, at Week 24 total PCSK9 levels increased among alirocumab-treated patients by +413.3% and free PCSK9 decreased by  $-60.6\%$ , reflecting that most circulating

PCSK9 was bound to alirocumab. This in turn reduced ApoB, non-HDL-C and LDL particle number by approximately one third and measured LDL-C by about two fifths, compared with the more modest reductions in the UC group, and resulted in a greater proportion (66.9%) of individuals achieving non-HDL-C <2.59 mmol/L (<100 mg/dL) with alirocumab vs the addition of UC to statins (17.7%). Taken together, these results demonstrate the significant impact on clearance of atherogenic particles by targeting PCSK9 for inhibition with alirocumab compared with the different modes of actions of the UC therapies. Conversely, extracellular PCSK9 inhibition with alirocumab does not appear to substantially affect TG metabolism, although reductions in TGs with alirocumab were similar to those observed with fenofibrate.

Based on post hoc data from the ACCORD trial or from meta-analyses of fibrate trials,<sup>16,17</sup> many clinicians add fibrates to statins in individuals with high TGs or high TGs/low HDL-C. However, in the present pre-specified analyses we demonstrate that the addition of fenofibrate to statins results in little or no reduction in atherogenic lipoproteins and hence a trivial reduction in their cholesterol cargo, despite favourable but clinically modest changes in TG and HDL-C. These data underscore the importance of therapeutic approaches that increase the clearance of atherogenic lipoproteins rather than other currently available therapies (apart from statins) that target either synthesis or lipolysis of TG, but which have little impact on atherogenic cholesterol levels as demonstrated by modest improvements in non-HDL-C goal attainment.

Whilst the present data cannot assess whether favourable changes in atherogenic particle clearance will translate into better clinical outcomes in patients



with T2DM and atherogenic dyslipidaemia, it should be noted that UC failed to achieve non-HDL-C  $<2.59$  mmol/L ( $<100$  mg/dL; recommended as a treatment target for individuals with T2DM in guidelines<sup>4,5</sup>) for the majority (82.3%). In contrast, at Week 24, only 33.1% of the alirocumab group failed to achieve non-HDL-C  $<2.59$  mmol/L ( $<100$  mg/dL). The findings were consistent with previous subgroup or post hoc analyses which have reported the effect of PCSK9 inhibitors according to mixed dyslipidaemia or diabetes status.<sup>18-24</sup>

This study was not designed to assess CV outcomes. Post hoc analyses of alirocumab ODYSSEY trials have suggested that event reduction continues to very-low levels of LDL-C ( $\sim 25$ – $50$  mg/dL);<sup>25</sup> however, this requires confirmation in the forthcoming ODYSSEY OUTCOMES study, which includes a pre-specified subgroup analysis in individuals with diabetes mellitus (DM). CV outcomes data are available for other PCSK9 monoclonal antibodies. In the FOURIER study, with individuals with CV disease (CVD) with and without T2DM, evolocumab reduced LDL-C by 56 mg/dL ( $\sim 1.4$  mmol/L) from baseline with a 20% reduction in major CV events (CV death, MI or stroke).<sup>26</sup> Similar results were observed in individuals with DM and stable ASCVD.<sup>11</sup> Among individuals with higher CV risk (46.1–47.8% with DM) a benefit in reducing major CV events (nonfatal MI, nonfatal stroke, hospitalization for angina requiring revascularization or CV death) was shown with bococizumab (hazard ratio, 0.79; 95% confidence interval, 0.65 to 0.97;  $P = .02$ ).<sup>27</sup>

Non-HDL-C was chosen as primary endpoint in this study following reports that it represents a better risk marker than LDL-C when TGs are elevated.<sup>4</sup> However, we acknowledge that there is no direct strong evidence from randomized trials that additional changes in non-HDL-C, on top of LDL-C reductions, contribute to further

CVD reduction. Moreover, it is difficult to separate reductions in non-HDL-C, LDL-C, ApoB and LDL particle number, which are highly correlated. There are data from meta-analyses suggesting that greater reductions in non-HDL-C and ApoB are related to further reductions in CVD<sup>28,29</sup>, analogous to well-established data for LDL-C reduction.<sup>7,12,26</sup> The importance of reducing atherogenic particle number has gained further credence with the large genetic analyses by Ference et al. demonstrating that with add-on therapy, which impacts both the quality and content of atherogenic particles, any CV benefit is more accurately predicted by particle number (as depicted by changes in ApoB) rather than by LDL-C.<sup>30</sup> This is supported by findings from the recent REVEAL trial with the cholesteryl ester transfer protein inhibitor anacetrapib, in which the observed clinical risk reduction was considerably less than that anticipated by the observed reductions in LDL-C.<sup>31</sup> A meta-regression analysis of statin and non-statin therapies by Robinson et al suggested that every 10 mg/dL reduction in ApoB would result in about a 6% proportional reduction in CVD risk.<sup>28</sup> Applying those data to the present population with a starting ApoB level of about 100 mg/dL, alirocumab, fenofibrate, omega-3 fatty acids and ezetimibe would be expected to achieve an absolute reduction of 33.8 mg/dL, 3.8 mg/dL, 1.9 mg/dL and 8.8 mg/dL, respectively. Extrapolating from the meta-regression, this would be expected to translate into 20.3%, 2.3%, 1.1% and 5.3% reductions in the risk of CVD. Of note, the estimated 20.3% risk reduction is consistent with the observed results from the FOURIER study,<sup>11</sup> and the estimated 5.3% risk reduction is roughly consistent with the results of the ezetimibe IMPROVE-IT study.<sup>12</sup> Our data also suggest that, unless there is some benefit of TG-lowering *per se* on CVD, as yet unidentified and independent of the modest reductions in ApoB and LDL particle number, the results of the ongoing outcomes trials for fibrates and fish oils

(PROMINENT: NCT03071692; STRENGTH: NCT02104817; REDUCE-IT: NCT01492361) are unlikely to show significant CVD risk reduction. Furthermore, based on these data there is no rationale for the routine use of fenofibrate as add-on to statin therapy if the goal of adding it is to reduce non-HDL-C or ApoB as a means to reduce CV risk.

Statin use has been associated with an increase in risk of T2DM, and mendelian randomization studies have reported an association between PCSK9 loss-of-function mutations and risk of diabetes.<sup>30,32,33</sup> However, we did not see any clinically relevant effect of alirocumab on change in glycemic parameters or in use of antihyperglycemic agents in this study, supporting previous pooled analyses and sub-analyses,<sup>22,34,35</sup> and the more recent analysis from the FOURIER study,<sup>11,26</sup> which indicated no meaningful effect of PCSK9 inhibitors on either A1C or FPG levels or on rates of new-onset diabetes. However, larger study populations and longer-term studies are required to further validate the long-term effects of PCSK9 inhibition, as these therapies are likely to be continued life-long.

In this study, alirocumab was generally well tolerated, with comparable rates of TEAEs between alirocumab and usual care. No local injection-site reactions (defined as those deemed to be allergic and requiring medical consultation) were reported in this study in either treatment arm.

The rate of persistent anti-drug antibodies observed in the present study was similar to the overall rate seen in a pooled analysis of 10 ODYSSEY studies, which demonstrated substantial LDL-C reductions that were maintained over the course of studies, regardless of anti-drug antibody status.<sup>36</sup>

Limitations include the relatively short study duration and number of individuals enrolled, which did not allow for analysis of rare adverse events. The awareness of treatment might have introduced bias by study participants and investigators.<sup>37</sup>

Safety reporting could be influenced as study participants and investigators will know what treatment they are receiving. Similarly, treatment adherence to diet and other medication may be influenced by the participants' knowledge about treatment.

Simultaneous addition of UC therapies was not included in the protocol, although it is acknowledged that it may be recommended in real-life practice.

In conclusion, among individuals with T2DM and mixed dyslipidaemia whose total atherogenic cholesterol burden is inadequately controlled despite maximally tolerated statin therapy, increasing the clearance of atherogenic lipoproteins with a PCSK9 inhibitor more effectively reduces total atherogenic cholesterol levels compared with the usual lipid-lowering therapeutic approaches currently utilised.

## ACKNOWLEDGEMENTS

The authors would like to thank the participants, their families, and all investigators involved in this study. The following people from the study sponsors reviewed and provided editorial comments on the manuscript: Lisa Aurand, Ameen Ghannam, Corinne Hanotin and Michael Howard (Sanofi), and Carol Hudson, Robert Pordy and Robert Sanchez (Regeneron Pharmaceuticals, Inc.). The sponsor was involved in the study design, collection, analysis and interpretation of data, as well as data checking of information provided in the manuscript. The authors had unrestricted access to study data, were responsible for all content and editorial decisions, and received no honoraria related to the development of this publication.

### Conflict of interest

K. K. R. has received personal fees (data safety monitoring board) from AbbVie, Inc.; consultant fees/honoraria from Aegerion, Algorithm, Amgen, AstraZeneca, Boehringer Ingelheim, Cerenis, Eli Lilly and Company, Ionis Pharmaceuticals, Kowa, Medicines Company, MSD, Novartis, Pfizer, Regeneron Pharmaceuticals, Inc., Resverlogix, Sanofi and Takeda; and research grants from Kowa, Pfizer and Regeneron Pharmaceuticals, Inc. L. A. L. has received personal fees from Esperion; grants and personal fees from Amgen, AstraZeneca, Eli Lilly and Company, Merck, Regeneron Pharmaceuticals, Inc. and Sanofi; and grants from Kowa and the Medicine Company. D. M.-W. has received speaker's bureau and consultant/advisory board fees from Amgen, AstraZeneca, Boehringer Ingelheim, MSD (Merck), Novartis, Novo Nordisk and Sanofi. B. C. has received research funding and personal fees from Sanofi and Regeneron Pharmaceuticals, Inc. during the conduct of the study; research funding from Pfizer; and honoraria from

AstraZeneca, Pierre Fabre, Janssen, Eli Lilly and Company, MSD Merck & Co., Novo Nordisk, Sanofi and Takeda. H. M. C. has received grants, personal fees and non-financial support from Sanofi and Regeneron Pharmaceuticals, Inc., during the conduct of the study; grants, personal fees and non-financial support from Eli Lilly and Company; grants and other support from Roche Pharmaceuticals; grants from Pfizer Inc., Boehringer Ingelheim, and AstraZeneca LP; and other support from Bayer. R. R. H. has received research funding from AstaMed, Eli Lilly and Company, Hitachi, Lexicon, Novo Nordisk and Viacyte; and is a consultant for and/or advisory panel member of Alere, Amgen, AstraZeneca, Bayer, Boehringer Ingelheim, Bristol-Myers Squibb, Elcelyx, Gilead, Intarcia, Ionis, Janssen/Johnson & Johnson, Merck and Sanofi-Aventis. F. J. T. has received speaker's bureau and consultant/advisory board fees from AstraZeneca, Amgen, Boehringer Ingelheim, Bristol-Myers Squibb, Eli Lilly and Company, GlaxoSmithKline, Janssen Pharmaceuticals, Merck Sharpe & Dohme, Novartis Pharmaceuticals Co., Novo Nordisk, Sanofi and Regeneron Pharmaceuticals, Inc. C. D., M. B.-B. and A. L. are employees of and shareholders in Sanofi. R. S. is an employee of and shareholder in Regeneron Pharmaceuticals, Inc. S. D. P. has received research funding from AstraZeneca, Boehringer Ingelheim, Novartis Pharmaceuticals Co. and Merck Sharpe & Dohme; and is a consultant for or has received honoraria from AstraZeneca, Boehringer Ingelheim, Eli Lilly and Company, GlaxoSmithKline, Janssen Pharmaceuticals, Laboratoires Servier, Merck Sharpe & Dohme, Novartis Pharmaceuticals Co., Novo Nordisk, Sanofi, Servier and Takeda Pharmaceuticals.

#### **Author contributions**

K.K.R., L.A.L., D.M.-W., B.C., H.M.C., R.R.H., F.J.T., M.B.-B., C.D., A.L., R.S. and S.D.P. contributed to the study design or concept and the interpretation of the data,

and critically reviewed and edited the manuscript. In addition, S.D.P. and F.J.T. were investigators who contributed to the data acquisition. All authors approved the final version.

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**TABLE 1. Baseline characteristics (randomized population)**

	<b>Alirocumab 75/150 mg Q2W (n = 276)</b>	<b>Usual care (n = 137)</b>
Age (years)	62.8 (9.3)	64.1 (8.8)
Male	147 (53.3)	69 (50.4)
Race		
White	247 (89.5)	123 (89.8)
Black	16 (5.8)	6 (4.4)
Ethnicity, Hispanic/Latin	35 (12.7)	14 (10.2)
Body mass index (kg/m <sup>2</sup> )	32.7 (5.4)	33.2 (4.9)
A1C (%)	7.1 (0.8)	7.1 (0.9)
<7%	134 (48.6)	57 (41.6)
≥7% to <8%	100 (36.2)	56 (40.9)
≥8% to <9	42 (15.2)	24 (17.5)
FPG (mmol/L [mg/dL])	8.04 (2.11) [144.9 (38.1)]	8.22 (2.19) [148.1 (39.4)]
Duration of DM, years, median (Q1:Q3)	10.7 (5.5:17.5)	11.5 (6.2:18.7)
Hypertension <sup>a</sup>	241 (87.3)	123 (89.8)
Current cigarette smoker	38 (13.8)	23 (16.8)
CKD <sup>b</sup>	41 (14.9)	23 (16.8)
Individuals with ASCVD	95 (34.4)	47 (34.3)
Individuals without ASCVD but with additional CV risk factor	181 (65.6)	90 (65.7)
Any statin <sup>c</sup>	231 (84.0)	105 (76.6)
High-intensity statin <sup>d</sup>	107 (46.3)	38 (36.2)
Moderate-intensity statin <sup>d</sup>	103 (44.6)	64 (61.0)
Low-intensity statin <sup>d</sup>	21 (9.1)	3 (2.9)
Any LLT other than statins <sup>e</sup> before randomization	1 (0.4)	2 (1.5)
Fenofibrates	1 (0.4)	1 (0.7)
Cholesterol absorption	0	1 (0.7)

inhibitor		
Nutraceuticals impacting lipids/other	0	1 (0.7)
No LLT <sup>c</sup> (no statin or other LLT)	44 (16.0)	32 (23.4)
Statin-intolerant	43 (15.6)	31 (22.6)
Concomitant antihyperglycemic drugs <sup>e</sup>		
Biguanides	211 (76.7)	106 (77.4)
Insulin	102 (37.1)	56 (40.9)
Sulfonylureas	67 (24.4)	31 (22.6)
SGLT2 inhibitors	39 (14.2)	18 (13.1)
DPP4 inhibitors	36 (13.1)	20 (14.6)
GLP1-RA	32 (11.6)	21 (15.3)
Thiazolidinediones	9 (3.3)	5 (3.6)
Alpha-glucosidase inhibitors	2 (0.7)	2 (1.5)
Other blood glucose-lowering drugs	9 (3.3)	2 (1.5)
Baseline lipids <sup>f</sup> (mmol/L [mg/dL])		
Non-HDL-C	4.02 (1.20) [155.1 (46.2)]	4.18 (1.26) [161.5 (48.8)]
LDL-C, measured <sup>g</sup>	2.86 (1.04) [110.4 (40.3)]	3.04 (1.13) [117.3 (43.5)]
ApoB, mg/dL	101.9 (25.8)	106.1 (28.7)
Total cholesterol	5.06 (1.19) [195.4 (46.0)]	5.25 (1.32) [202.5 (51.1)]
Lp(a), median (Q1:Q3), mg/dL	16.0 (5.0:54.0)	15.0 (5.0:40.0)
TGs, median (Q1:Q3)	2.43 (1.91:3.22) [214.5 (169.0:285.0)]	2.40 (1.90:3.12) [212.0 (168.0:276.0)]
HDL-C	1.04 (0.25) [40.3 (9.8)]	1.06 (0.30) [41.1 (11.6)]
LDL particle number (nmol/L)	1404.1 (456.1)	1483.8 (482.8)
ApoA1, mg/dL	138.6 (21.2)	139.4 (22.9)
LDL particle size, nm	20.3 (0.6)	20.3 (0.6)

Abbreviations: A1C, glycated hemoglobin; alirocumab 75/150 mg Q2W alirocumab 75 mg Q2W with possible dose increase to 150 mg Q2W at Week 12; Apo, apolipoprotein; ASCVD, atherosclerotic cardiovascular disease; CKD, chronic kidney disease; CV, cardiovascular; DM, diabetes mellitus; DPP4, dipeptidyl peptidase 4; FPG,



fasting plasma glucose; eGFR, estimated glomerular filtration rate; GLP1-RA, glucagon-like peptide receptor agonist; HDL-C, high-density lipoprotein cholesterol; LDL, low-density lipoprotein; LDL-C, low-density lipoprotein cholesterol; LLT, lipid-lowering therapy; Lp(a), lipoprotein a; non-HDL-C, non-high density lipoprotein cholesterol; Q2W, every 2 weeks; Q1/Q3, first/third quartile; SD, standard deviation; SGLT2, sodium-glucose co-transporter 2; TG, triglyceride.

<sup>a</sup>Established based on use of antihypertensive medication.

<sup>b</sup>Defined as eGFR 15–60 mL/min/1.73m<sup>2</sup>.

<sup>c</sup>Data presented for safety population (275 alirocumab; 137 usual care).

<sup>d</sup>High-intensity statin: atorvastatin 40–80 mg, rosuvastatin 20–40 mg or simvastatin 80 mg. Moderate-intensity statin: atorvastatin 10–20 mg, fluvastatin 40 mg, fluvastatin extended release 80 mg, lovastatin 40 mg, pitavastatin 2–4 mg, pravastatin 10–20 mg, rosuvastatin 5–10 mg or simvastatin 20–40 mg. Low-intensity statin: fluvastatin 20–40 mg, lovastatin 20 mg, pitavastatin 1 mg, pravastatin 10–20 mg or simvastatin 10 mg.

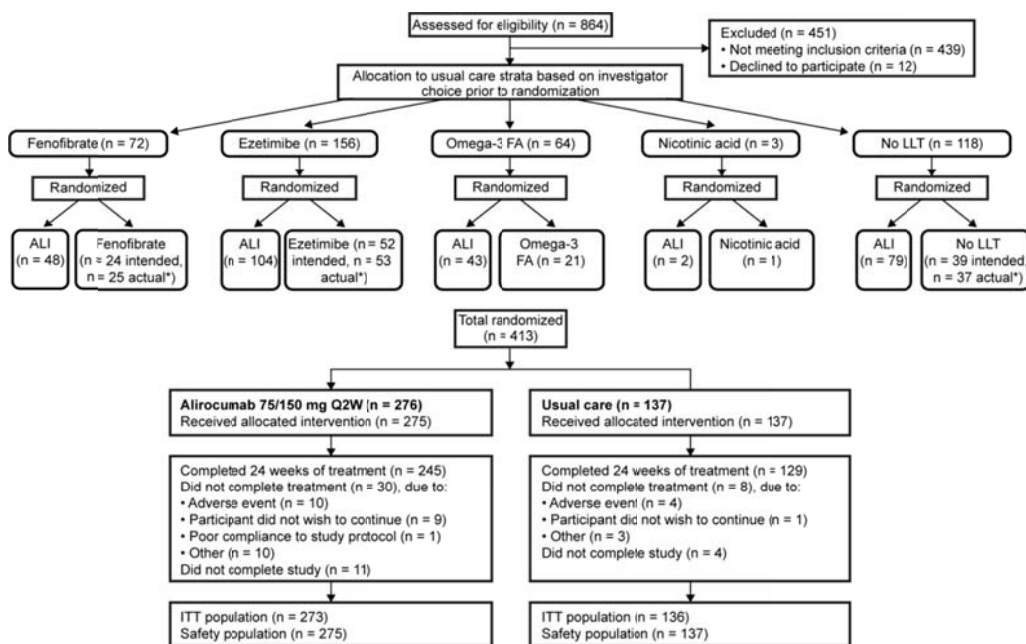
<sup>e</sup>In combination with statins or not.

<sup>f</sup>Order based on hierarchical order, except for LDL particle size.

<sup>g</sup>Beta-quantification.

Data are mean (SD) and n (%).

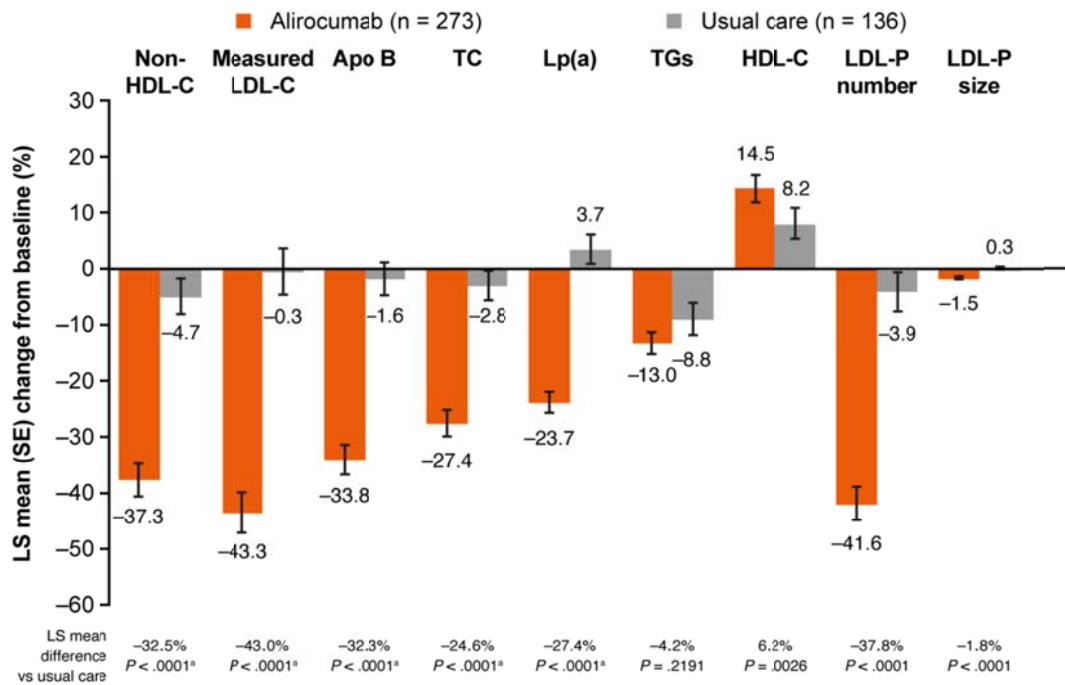
Figure 1. Disposition of individuals for the DM-DYSLIPIDEMIA study

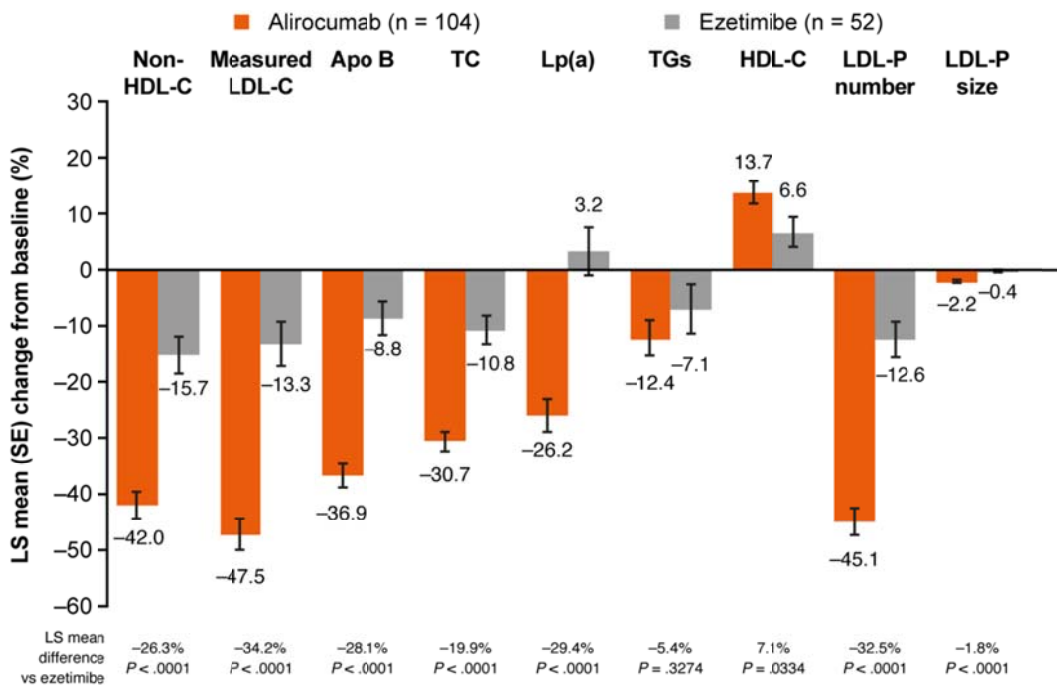
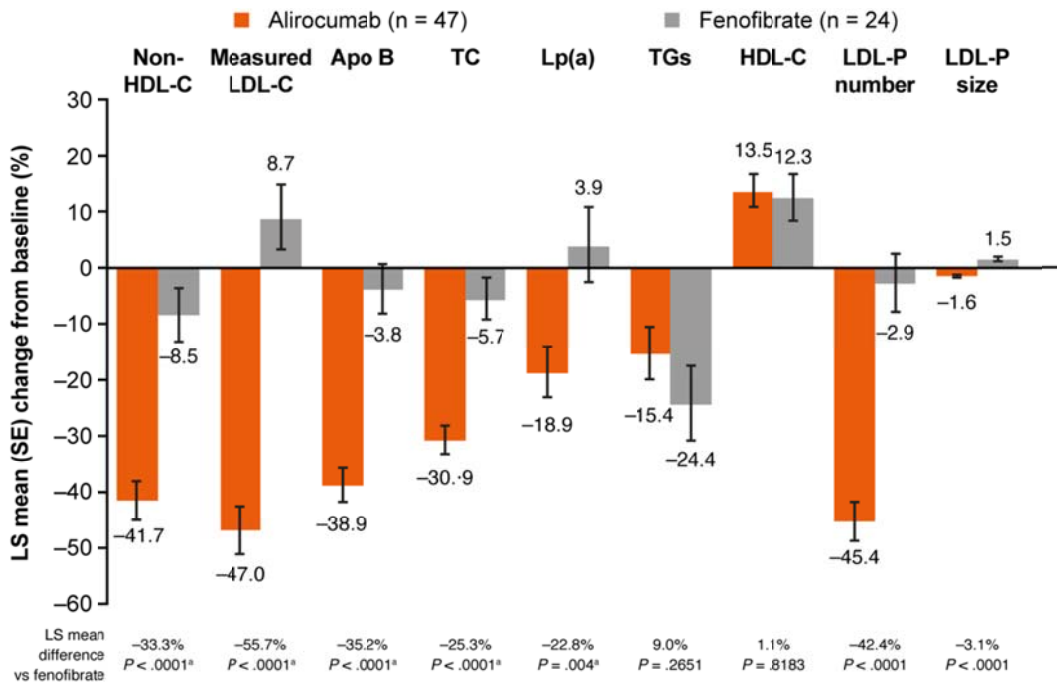


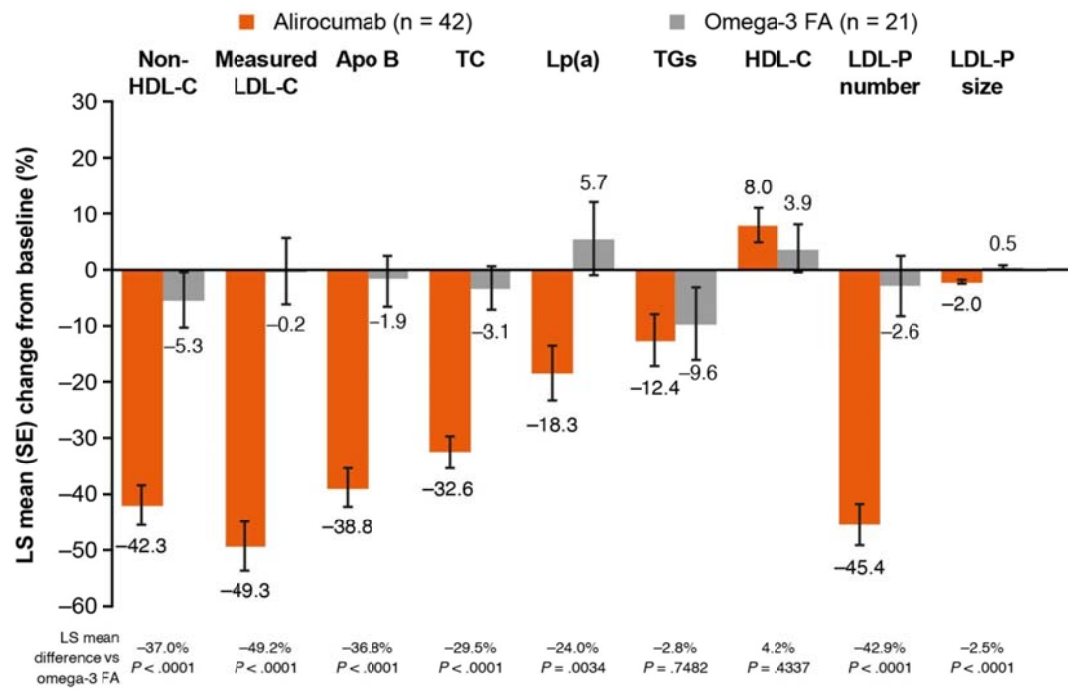
Abbreviations: ALI, alirocunab; FA, fatty acids; ITT, intention-to-treat; LLT, lipid-lowering therapy; non-HDL-C, non-high-density lipoprotein cholesterol; Q2W, every 2 weeks

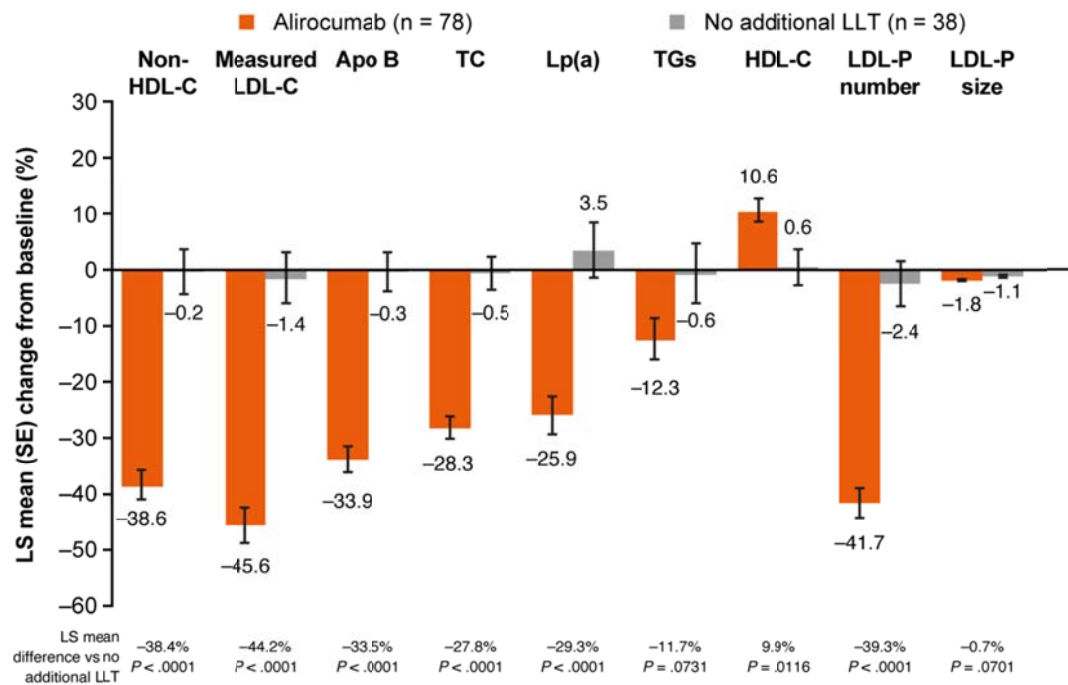
\*Thirty-nine individuals were intended for “no LLT” prior to randomization; however, 37 actually received “no LLT”. One individual intended for “no LLT” received fenofibrate and another received ezetimibe. In total, 4 individuals were not included in the ITT analysis (no non-HDL-C value available within 1 of the analysis windows up to Week 24) and 1 individual was not included in the safety analysis (individual did not wish to continue prior to treatment).

Figure 2. Primary and selected key secondary efficacy endpoints at Week 24 for (A) the overall study population, (B) fenofibrate, (C) ezetimibe, (D) omega-3 fatty acids and (E) no LLT (ITT analysis)







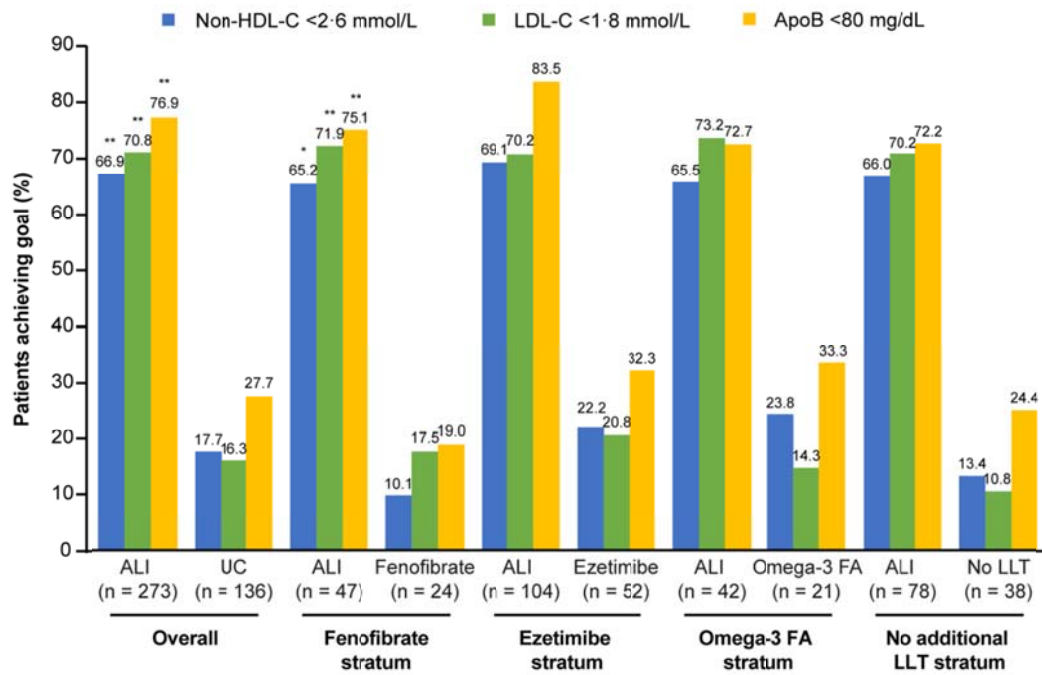


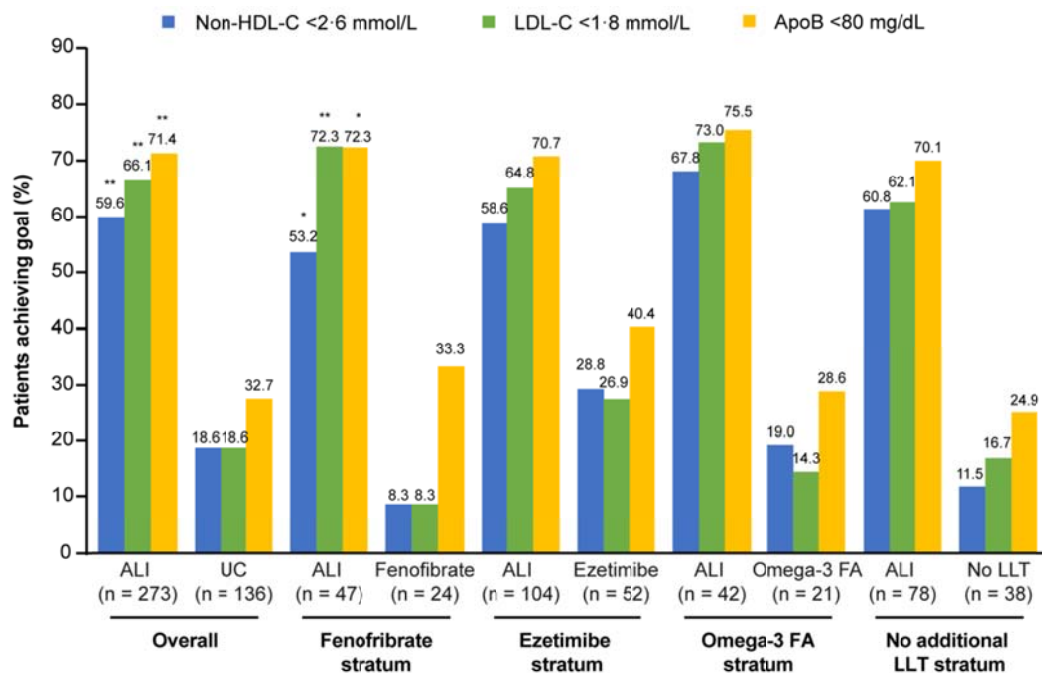
Abbreviations: Apo, apolipoprotein; FA, fatty acids; HDL-C, high-density lipoprotein cholesterol; ITT, intent-to-treat; LDL-C, low-density lipoprotein cholesterol; LDL-P, low-density lipoprotein particle; LLT, lipid-lowering therapy; Lp(a), lipoprotein (a); LS, least-squares; non-HDL-C, non-high-density lipoprotein cholesterol; SE, standard error; TC, total cholesterol; TG, triglyceride

<sup>a</sup>Statistically significant according to the fixed hierarchical approach used to ensure a strong control of the overall type-I error rate at the 0.025 level.

ITT analysis includes individuals according planned treatment (see footnote to Figure 1).

Figure 3. Proportion of individuals achieving predefined lipid goals at (A) Week 24 and (B) Week 12 (ITT analysis; as-planned study cohorts)





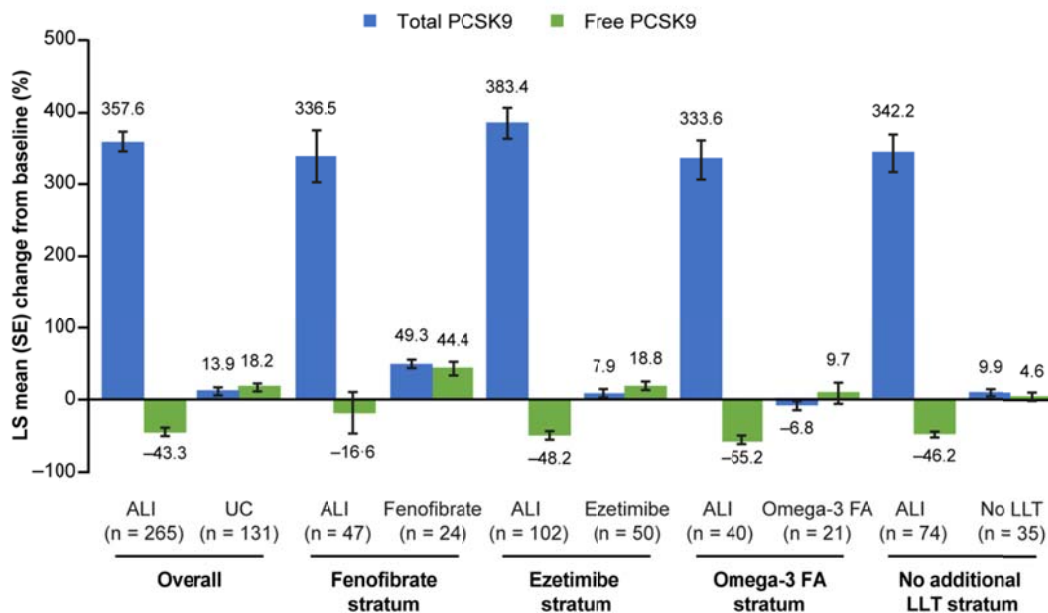
Abbreviations: ALI, alirocumab; Apo, apolipoprotein; FA, fatty acids; ITT, intent-to-treat; LDL-C, low-density lipoprotein cholesterol; LLT, lipid-lowering therapy; non-HDL-C, non-high-density lipoprotein cholesterol; UC, usual care

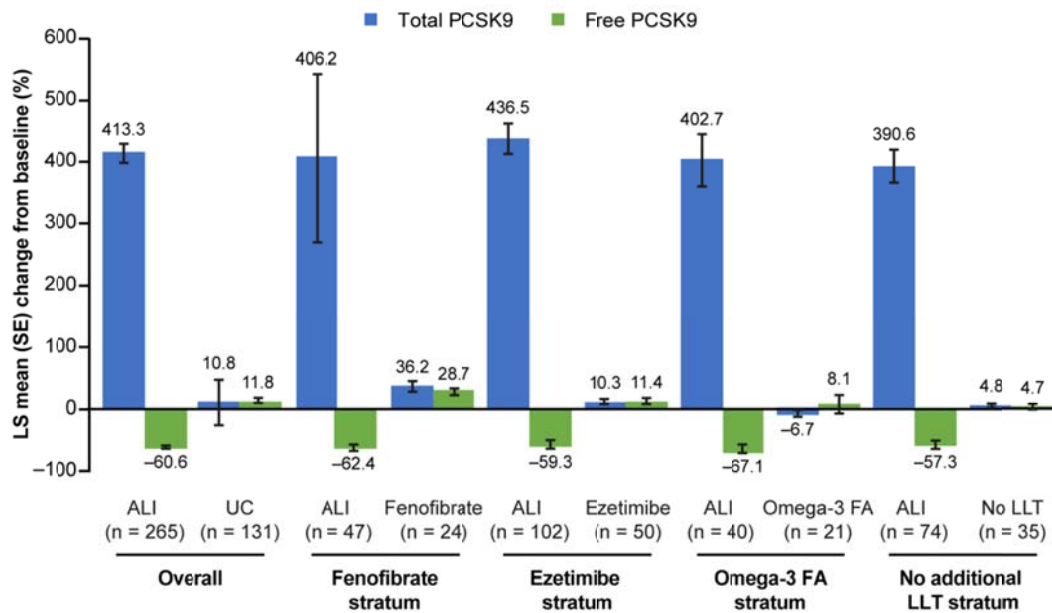
\* $P < .05$ ; \*\* $P < .0001$  vs control

Non-HDL-C: 2.6 mmol/L = 100 mg/dL; LDL-C: 1.8 mmol/L = 70 mg/dL.



**Figure 4. Percent change from baseline to (A) Week 24 and (B) Week 12 in levels of free and total PCSK9 in individuals receiving alirocumab vs usual care (PCSK9 analysis)**





Abbreviations: ALI, alirocumab; FA, fatty acids; LLT, lipid-lowering therapy; LS, least squares; PCSK9, proprotein convertase subtilisin-kexin type 9; SE, standard error; UC, usual care