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# Synaptic integrative mechanisms for spatial cognition

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- 1 Title: Synaptic integrative mechanisms for spatial cognition
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- 3 **Author names**: Christoph Schmidt-Hieber<sup>1</sup> & Matthew F Nolan<sup>2</sup>
- 4
  5 Affiliations: 1. Institut Pasteur, Paris, France. Email: christoph.schmidt-hieber@pasteur.fr
- 6 2. Centre for Integrative Physiology, University of Edinburgh, Edinburgh. Email:
- 7 mattnolan@ed.ac.uk
- 8
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## 10 Abstract

11 Synaptic integrative mechanisms have profound impacts on electrical signaling in the brain that,

12 while largely hidden from recording methods that observe spiking activity of neurons, may be

13 critical for how information is encoded, stored and retrieved. Here, we review roles for synaptic

14 integrative mechanisms in selection, generation and plasticity of place and grid fields, and in

- 15 related temporal codes for representation of space. We outline outstanding questions and
- 16 challenges in testing hypothesized models for spatial computation and memory.
- 17 18

# 19 Main text

20 The spatial firing patterns of neurons in the hippocampal formation are central to neurobiological theories of spatial cognition<sup>1,2</sup>. How the spatial modulation of place, grid, head-direction, border 21 22 and other spatial cell types emerges from their synaptic input, while largely hidden from view when observing spike firing, is likely to be critical for spatial computation. In simple models the 23 24 details of this process of synaptic integration are of limited importance - spike output is assumed 25 to be a stable, linear function of synaptic input. In contrast, considerable experimental evidence 26 demonstrates that synaptic integration is often non-linear, can be spatially compartmentalised within a cell and is controlled by diverse mechanisms, suggesting it has key computational 27 roles<sup>3-5</sup>. Here, we consider evidence that specific mechanisms for integration of synaptic input 28 29 are critical for spatial cognition. We will focus on aspects of hippocampal spatial firing fields and 30 temporal codes for which recent experiments give insights into roles of these integrative 31 mechanisms.

32

33 What cellular mechanisms does a neuron have available to determine integration of its synaptic input? To influence spatial firing a synaptic event must influence action potential initiation. 34 Several cellular properties determine the impact of a synaptic event<sup>3–5</sup>. First, neuronal 35 excitability is established by ion channels that set a neuron's resting membrane potential, 36 37 voltage threshold for triggering an action potential and membrane conductance. The difference 38 between the resting potential and threshold potential gives the voltage change that must be 39 achieved to trigger a spike. The membrane conductance, in conjunction with the capacitance 40 established by the lipid bilayer of the cell membrane, determines how easily and rapidly a 41 synaptic input can change the membrane potential. Second, various voltage-dependent ionic currents, including those mediated by Na<sup>+</sup>, Ca<sup>2+</sup>, and NMDA receptor (NMDAR) channels, can 42 43 amplify synaptic responses, while other ion channels and inhibitory synaptic receptors suppress 44 synaptic responses. Third, most synaptic inputs are made onto dendrites, which can extend

45 hundreds of microns from a neuron's soma. All other things being equal, more distant synapses

46 are less effective because attenuation of synaptic responses increases as they propagate

- 47 further. Finally, spatially extended dendrites enable compartmentalisation. For example,
- 48 different dendritic domains may be endowed with distinct combinations of voltage-gated ion
- channels and the particular signalling mechanisms that modify synaptic responses may bedirected to specific locations.
- 51

52 These synaptic integrative mechanisms suggest considerable cellular complexity, but why

- 53 should we consider them when trying to understand spatial computation? Powerful artificial
- 54 neural networks can be assembled from simple neurons that linearly sum their synaptic inputs $^{6}$ .
- 55 Why then do networks for spatial cognition employ neurons with diverse and complex
- 56 integrative properties? One possibility is that specialisation enables neurons to adapt to
- 57 fundamental limits imposed by their cellular hardware<sup>7</sup>. For example, to integrate many synaptic
- 58 inputs a neuron requires an extensive dendritic tree, but this comes at a cost in that distal inputs
- 59 will evoke smaller and less temporally precise somatic responses. This cost can be
- 60 compensated by integrative mechanisms that boost the strength of distal synapses and that
- normalise their time course at the soma. A second possibility is that diversity in subthreshold  $5^{-7}$
- 62 properties reflects the selection of distinct building blocks for specialised computations<sup>5,7</sup>.
- 63 According to this view, specific mechanisms for synaptic integration may be necessary to the
- cognitive function implemented in a circuit. While these viewpoints are not mutually exclusive,
- 65 we will focus here primarily on computational roles for synaptic integrative mechanisms.
- 66

For which aspects of spatial cognition might synaptic integrative mechanisms be important? We
 will address roles in key elements of spatial computation in the hippocampus and medial
 entertrined enter (MEC). We feasure on bigge computation in the hippocampus and medial

- entorhinal cortex (MEC). We focus on hippocampal place and entorhinal grid cells, which use
   their spike firing rate to encode locations with a high signal to noise ratio; firing within fields
- visually peaks at frequencies > 10 Hz, whereas firing rates outside of fields are less than 1 Hz<sup>8,9</sup>.
- 72 We will first consider recent evidence that integrative mechanisms determine selection of active
- 73 place cells and how this might form a basis for allocation of memory engrams.
- 74

In both place and grid cells the approximate Gaussian firing rate distribution of a single firing field is driven by a ramp-like membrane potential depolarization (Fig. 1a-b)<sup>10-12</sup>. This is at first glance consistent with models in which straightforward linear integration of excitatory drive is sufficient to explain place firing<sup>13-15</sup>. However, more recent observations that we discuss below argue that active integrative mechanisms are essential for the emergence of ramp-like depolarizations driving place fields, and may influence the spacing and stability of grid fields.

81

Beyond moment to moment computation, synaptic integrative mechanisms may contribute to
spatial memory by influencing the induction of synaptic plasticity. Specifically, encoding and
recall of spatial memories are associated with plasticity in the spatial firing of hippocampal
neurons<sup>16,17</sup>. A critical issue is how patterns of spatially modulated synaptic input couple
appropriately to plasticity mechanisms. We will consider evidence that synaptic integrative
mechanisms establish rules for plasticity of spatial firing, by both promoting and suppressing

88 synaptic plasticity.

90 Finally, the relative timing of action potentials fired by place and grid cells may be of particular

91 importance for spatial memories. In particular, phase precession of action potentials relative to

92 the network theta rhythm (Fig. 1c) leads to the emergence of population level spike sequences

93 that may be structured to support associative memory storage<sup>18–21</sup>. We will consider how

94 synaptic integrative mechanisms may contribute to temporal codes that are linked to theta

- 95 activity and that may be important for episodic memory.
- 96

#### 97

#### 98 Excitability, place cell selection and memory allocation

In a given environment, only subsets of CA1 pyramidal cells have place fields. Estimates range
from as low as 20% rising to 65% for larger environments<sup>22–24</sup>. While additional cells are
recruited to encode larger environments, the number of silent cells and the number of cells with
multiple firing fields is greater than expected if all cells have a similar probability of generating a
place field<sup>23</sup>. Rather, the probability that cells will have place fields is described by a gamma

104 distribution, suggesting a population-level code for environmental context<sup>23</sup>. Such a code

105 requires mechanisms to determine which cells within the population become active. Recent

studies point to pyramidal cell excitability as critical for selection of active cells and suggest how

107 this population-level code may contribute to temporal components of episodic memories.

108

How are active place cells selected? Differences in excitable properties are an attractive
 candidate mechanism, but it has been difficult to directly relate excitable integrative properties of
 neurons to their firing fields during a behaviour. Technically demanding experiments involving

112 patch-clamp recordings from behaving rodents of the membrane potential of CA1 pyramidal

113 cells, and simultaneous measurement and manipulation of their electrical integrative properties,

have met this challenge. These studies reveal two differences in excitability between silent cells

and CA1 pyramidal cells that go on to have place fields in a novel environment; future place

116 cells have a lower threshold for action potential firing and a greater likelihood of firing bursts of

action potentials<sup>25,26</sup> (Fig. 2a). By making action potential firing in response to synaptic input

118 more likely, both differences should promote the emergence of firing fields. Therefore, whether

a pyramidal cell becomes a place cell may in part be determined a priori by its intrinsic electricalproperties.

121

What consequences might a priori selection of place cells have for memory functions of the hippocampus? Selection of active cells through differences in excitability has been suggested to underpin temporal features of episodic memories<sup>27</sup>. Elegant investigations of memory allocation

125 in the amygdala provide support for this general idea<sup>28-30</sup>, but whether hippocampal-dependent

memories employ similar mechanisms has only recently been explored. When the activity of populations of CA1 pyramidal cells are imaged in the same environment over multiple days, the

128 ensemble representation slowly evolves; some cells leave the ensemble, whereas others

129 join<sup>31,32</sup>. If this subset of place cells is predetermined by differences in their excitability, then

130 memories formed on the same day are likely to be allocated to overlapping groups of neurons,

131 whereas memories formed on different days should be allocated to different populations.

132 Consistent with this prediction, cell populations tagged with a genetically encoded activity-

- 133 dependent reporter in a first context overlapped with cells labelled by a second activity-
- dependent marker following exposure to a second context several hours later, but not several 134
- days later<sup>32</sup>. Moreover, memories formed by exposure to contexts several hours apart, but not 135
- several days apart, interact with one another<sup>32</sup>. Thus, the temporal properties of active place cell 136
- 137 assemblies, and of contextual memory storage, are consistent with there being an active subset
- 138 of excitable CA1 pyramidal cells that changes over a time-scale of days.
- 139

140 Selection of active place cells provides a potentially powerful mechanism for encoding temporal 141 components of memory, but what drives the subset of active neurons to change over time? One 142 possibility is that during memory formation neuronal activity leads in itself to transient (hours 143 long) increases in excitability, providing a mechanism for association of a second memory formed within a time window defined by increases in excitability<sup>32,33</sup>(Fig. 2b). This general 144 145 scheme is supported by investigations in which virally mediated expression, in subsets of 146 amygdala neurons, of the transcription factor CREB increases their excitability causing them to be selected to participate in the engram of fear memories<sup>28,29</sup>. Evidence that in hippocampal 147 neurons synaptic activity or spike firing activate CREB<sup>34,35</sup>, and that CREB activation increases 148 excitability<sup>36</sup>, is consistent with this idea. In this scheme, later periods of lowered activity that 149 150 facilitate memory dissociation may be established by self-regulatory mechanisms that come into play after initial activation of CREB<sup>27,30</sup>. A complementary possibility is that the identity of active 151 subsets of neurons provides a code from which the timeline of events can be read out<sup>31</sup> (Fig. 152 153 2c). This idea is supported by observations that ensemble place field maps of different 154 environments on the same day share representations, and that decoders trained on one 155 environment can infer the day on which ensemble patterns were recorded from a second environment<sup>31</sup>. According to this view, the active subset of place cells could be established 156 157 independently from neural activity, either through network wide coordination of the excitable set of CA1 pyramidal cells, or perhaps through stochastic switching of CA1 pyramidal cells between 158 159 more and less excitable states.

160

161 Together these observations are consistent with excitability of CA1 pyramidal neurons selecting 162 place cell firing and memory allocation. Nevertheless, important questions remain to be 163 addressed. What is the ionic mechanism that controls which cells become excitable? The 164 difference in spike threshold between place cells and inactive CA1 pyramidal cells points to voltage-gated ion channels that control action potential initiation<sup>25,26</sup>. In contrast, activation of 165 CREB<sup>28,36</sup>, and recent learning<sup>37</sup>, both reduce afterhyperpolarization currents in CA1 neurons. 166 167 These differences may reflect multiple mechanisms acting across different timescales. Does 168 excitability predict ensemble membership over days? Correlating changes in a cell's excitability 169 with its firing fields will be critical here. Is the probability of a CA1 pyramidal cell forming a place 170 field a cell autonomous property, or does it depend on whether other cells are active? When 171 excitability of subsets of cells in the amygdala is increased, these cells predominate in engrams 172 that are formed, but the overall number of engram cells does not increase, implying that the 173 proportion of cells that form an engram is fixed by reciprocal inhibition<sup>30</sup>. Similar mechanisms may be present in hippocampal circuits (e.g. <sup>38,39</sup>). Does selection of place cells through 174 differences in excitability extend to other hippocampal areas? Unlike CA1, ensemble codes in 175 CA3 appear to be stable over days<sup>40</sup>, whereas ensemble codes in CA2 evolve even more 176

rapidly than in CA1<sup>41</sup>. If stability of intrinsic excitability is used for place cell selection, then we
 expect this to be reflected in differential control of excitability in each area.

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- 180

## 181 Membrane potential dynamics driving spatial firing

How are synaptic inputs converted into action potential outputs that form a neuron's spatial firing
field? In vitro studies demonstrate that dendritic active conductances can either amplify or
suppress synaptic responses in hippocampal neurons (e.g. <sup>42–45</sup>). Recent experiments probing
the membrane potential of spatial cells in awake animals, in the real world and using virtual
environments, show that ramp-like depolarizations drive spatial firing and have begun to reveal
roles for synaptic integrative mechanisms.

188

189 Membrane potential ramps in CA1 pyramidal cells. CA1 pyramidal cells provide a striking 190 example of how computation emerges through interaction between synaptic integrative 191 mechanisms, neuronal morphology and circuit connectivity. Excitatory inputs from layer 3 of entorhinal cortex target distal dendrites of CA1 pyramidal cells, whereas local inputs from CA3 192 193 target their proximal dendrites<sup>46</sup> and diverse interneuron populations provide spatially restricted inhibition<sup>47</sup>. Either excitatory pathway appears to be sufficient to drive place firing<sup>48,49</sup>, and active 194 integrative mechanisms may control responses to either or both pathways<sup>3,50–53</sup>. How then is 195 196 spatial firing in place cells shaped by active synaptic integration?

197

198 Direct evidence that non-linear integrative mechanisms contribute to place firing comes from 199 experiments in which the membrane potential of silent CA1 pyramidal cells was continuously depolarized while rats navigated an oval track<sup>54</sup>. This manipulation caused place cells to 200 201 emerge. Importantly, the location of the induced field could not be predicted from the membrane 202 potential prior to injection of the depolarizing current. This finding argues against simple models for the membrane potential ramp in which synaptic inputs within the firing field are stronger than 203 204 those outside the field, as these models predict that prior to continuous depolarization there 205 should be subthreshold ramps at the location of the firing field (Fig. 3a). Instead, in these 206 experimental conditions the emergence of place fields appears to be determined by a voltage-207 dependent gating mechanism.

208

209 What might be the nature of this mechanism? One possibility is that excitatory synaptic inputs 210 within the field are indeed stronger than those outside, but that in silent cells the depolarization 211 they generate is insufficient to produce a measureable change in the somatic membrane 212 potential (Fig. 3b). This implies substantial attenuation of EPSPs as they propagate along 213 dendrites towards the soma, as has for example been reported for the basal dendrites of neocortical pyramidal cells<sup>55</sup>. In this scenario, continuous somatic depolarization may activate 214 voltage-dependent dendritic Na<sup>+</sup>, Ca<sup>2+</sup>, or NMDAR channels to amplify the local EPSPs, or 215 cause inactivation of K<sup>+</sup> channels that would otherwise suppress EPSPs, either way enabling 216 217 the EPSPs to propagate to the soma. Another possibility is that synapses active within the firing 218 field have similar strength to those outside, but face a lower threshold to engage amplifying 219 dendritic conductances (Fig. 3c). This situation may be favored by clustering of synapses with similar spatial preferences onto CA1 pyramidal cell dendrites<sup>56</sup>. Although the signals encoded 220

- by individual synapses on place cell dendrites are not yet clear, recent *in vivo* spine imaging
  studies in visual cortex support the hypothesis that functionally similar inputs preferentially
  target nearby locations on the dendritic tree of a neuron<sup>57,58</sup>. Future experiments might address
  this by imaging, during behavior, of synaptic terminals on identified place cells.
- 225

226 The voltage dependence of firing fields that emerges during prolonged membrane potential 227 depolarization provides strong evidence for functional engagement of integrative mechanisms 228 during place cell firing. However, whether active integrative mechanisms are also essential for 229 the generation of place fields under more physiological conditions is unknown. Recent 230 experiments in which the membrane potential of place cells was recorded in novel and familiar 231 environments suggest that new place fields emerge in the absence of a sustained depolarization<sup>26</sup>. Whether place fields in these conditions require voltage-dependent gating 232 233 mechanisms is not yet clear.

234

235 Regardless of the role of active integration, additional mechanisms are likely to shape the 236 membrane potential ramp driving place firing. For example, the membrane potential ramps underlying receptive fields in other brain regions are substantially shaped by synaptic 237 inhibition<sup>59</sup>. Specifically, orientation tuning curves in visual cortex are transformed linearly, with a 238 threshold, by inhibition from parvalbumin expressing interneurons<sup>60,61</sup>. Input from local inhibitory 239 interneurons to CA1 place cells affects the shape of sub- and suprathreshold place fields in a 240 241 strikingly similar manner (Fig. 4), likely by suppressing firing and opposing active mechanisms that amplify synaptic responses outside of the place field<sup>62</sup>. In visual cortex, different interneuron 242 243 subpopulations are thought to play specific roles in shaping orientation selectivity<sup>63–65</sup>. Similarly, 244 the effects of inhibition on the rising and falling parts of the place field ramp may be respectively mediated by parvalbumin and somatostatin expressing interneurons<sup>66</sup>. 245

246

247 Membrane potential ramps in medial entorhinal cortex. While grid firing fields of entorhinal neurons are also driven by slow ramp-like depolarizations<sup>11,12</sup>, the underlying integrative 248 249 mechanisms may be fundamentally different. For example, in contrast to hippocampal place 250 cells, the relative location of grid cell firing fields is stable across environmental manipulations, suggesting circuit level interactions constrain grid cell firing fields<sup>67,68</sup>. At the cellular level, the 251 dendritic morphology of hippocampal pyramidal cells differs substantially from stellate and 252 pyramidal cells in layer 2 of the MEC<sup>69,70</sup>. Proposed mechanisms for generation of ramp 253 depolarizations also differ. Thus, the ramp depolarization recorded from grid cells is consistent 254 with predictions of continuous attractor network models<sup>11,12</sup>. When these models are 255 256 implemented so that they reflect evidence that stellate cells interact primarily via local inhibitory neurons<sup>71,72</sup>, they predict that the depolarizing ramp results from disinhibition<sup>72</sup>. 257

258

Although network mechanisms are good candidates for generation of the membrane potential ramp underlying grid firing, there is evidence that synaptic integrative mechanisms contribute to the spacing and stability of grid fields. First, deletion of HCN1 channels, which mediate a major component of the hyperpolarization-activated currents ( $I_h$ ) in entorhinal stellate cells<sup>73</sup>, increases the width and spacing of grid cell firing fields<sup>74</sup>.  $I_h$  is a mixed Na<sup>+</sup> and K<sup>+</sup> current that is unusual in that it is activated by membrane hyperpolarization<sup>75</sup>. Along with leak K<sup>+</sup> channels,  $I_h$ 

265 generates a dorsoventral gradient in synaptic integration by stellate cells<sup>76</sup>. At more dorsal

- locations, where grid cells have closely spaced firing fields, a high density of both currents
   reduces the width of synaptic potentials and opposes their temporal summation, whereas at
- 268 more ventral locations where grid cells typically have widely spaced firing fields, synaptic
- potentials are broader and temporal summation is greater because the density of each current
- is lower<sup>76</sup>. Gradients in  $I_{\rm h}$  are also associated with dorsoventral differences in intrinsic oscillatory
- 271 properties of stellate cells<sup>77</sup>, which we discuss further below. Second, entorhinal stellate and
- 272 pyramidal cells are endowed with active conductances that produce a supralinear
- transformation of synaptic inputs into action potential output<sup>78</sup>. Simulations suggest that a slow,
- NMDAR-mediated supralinear integration mechanism can promote the robustness of the grid
   cell rate code. While direct recordings of NMDAR-mediated responses have not yet been
- obtained from grid cells *in vivo*, NMDARs have been shown to be engaged during behaviour in
   other brain regions<sup>5</sup>, where they contribute to receptive field tuning of somatosensory<sup>79,80</sup> and
   visual responses<sup>81</sup>.
- 279

What are the implications of these biophysical data for computations carried out by place and grid cells? In place cells, non-linear synaptic integrative mechanisms may enable gating of place firing<sup>54</sup>, and maximize memory storage capacity<sup>82</sup>. For grid cells, differences in grid scale may maximise the representational capacity of grid networks<sup>83</sup>, but whether dorsoventral tuning of synaptic integration plays a necessary or a modulatory role is unclear.

285 286

# 287 Active synaptic integration and plasticity of spatial representations

288 Successful learning requires plasticity of behaviorally relevant connections between neurons. 289 which in the case of spatial memory is thought to lead to stabilisation of place fields<sup>83–85</sup>. 290 Considerable evidence supports a necessary role for NMDAR-dependent synaptic plasticity in this process<sup>85</sup>. For example, pharmacological and genetic manipulations of NMDARs disrupt 291 long-term potentiation (LTP) of synaptic responses<sup>85</sup>, spatial learning<sup>86,87</sup>, the stability of place 292 cells<sup>88</sup>, and spatial representation by place cells<sup>87,89</sup>. Plasticity driven by activation of voltage-293 gated Ca<sup>2+</sup> channels may also play important roles (e.g. <sup>90</sup>). By determining the effects of 294 295 synaptic inputs on the membrane potential, active synaptic integration may interact with several proposed mechanisms for recruitment of NMDARs and voltage-gated Ca<sup>2+</sup> channels to either 296 297 facilitate or oppose induction of synaptic plasticity.

298

299 Synaptic plasticity during place field formation and stabilization. If NMDARs are indeed 300 instrumental for the stabilization of place cells, the Ca<sup>2+</sup> influx that is associated with 301 postsynaptic depolarization and NMDAR channel opening should be detectable in the dendritic 302 tree during crossings of future or existing place fields. In support of this hypothesis, regenerative 303 Ca<sup>2+</sup> events occur in basal dendritic branches during place field crossings and are associated with the precision and stability of place fields<sup>91</sup>, suggesting that they represent postsynaptic 304 plasticity signals. A second type of regenerative calcium event is generated in the apical 305 306 dendrites of CA1 pyramidal cells. Precisely timed, coincident entorhinal cortex and CA3 inputs evoke NMDAR-dependent dendritic plateau potentials in vitro and in vivo<sup>92,93</sup> that can trigger 307 synaptic plasticity at least in vitro<sup>92</sup>. These complex spikes are associated with stabilization of 308

309 membrane potential maps in novel environments<sup>26</sup>. However, while evoked plateau potentials

- 310 may be sufficient to induce place fields under some conditions<sup>93</sup>, they do not appear to be
- 311 necessary for new place field generation in novel environments<sup>26</sup>.
- 312

313 Further clues to the forms of plasticity promoting place field formation and stability come from 314 intracellular recordings from CA1 pyramidal cells in novel and familiar virtual environments<sup>26</sup>. In 315 these experiments place field formation appears not to require firing of action potentials, suggesting that the initial place field ramp is generated by sub-threshold forms of plasticity<sup>94,95</sup>. 316 317 For example, isolated dendritic spikes in conjunction with presynaptic activity are sufficient to induce LTP of the CA3 input to CA1 pyramidal cells<sup>94</sup>. This form of spike-independent, localized 318 plasticity could explain why place cells appear rapidly in a novel environment<sup>96,97</sup>. A similar 319 320 spike-independent LTP mechanism has also been described for CA3 pyramidal cells: powerful proximal inputs from mossy fiber axons can induce synaptic plasticity even in the absence of 321 postsynaptic somatic spikes<sup>98,99</sup>. This may enable sparse inputs from dentate gyrus granule 322 323 cells to efficiently generate active assemblies of CA3 pyramidal cells.

324

325 **Constraints on synaptic plasticity.** Distinct and spatially localised integrative mechanisms 326 may oppose synaptic plasticity. For example, HCN1 channels, which are highly enriched in the distal dendrites of CA1 pyramidal neurons<sup>100</sup>, suppress LTP of distal synaptic inputs<sup>51</sup>. By 327 depolarizing distal dendrites HCN1 channels prevent synaptically driven calcium transients 328 329 mediated by T-type Ca2+ channels, suggesting a mechanism to account for their actions on LTP<sup>52</sup>. At a behavioural level deletion of HCN1 from forebrain neurons enhances hippocampal-330 dependent forms of learning<sup>51</sup>, and increases the size and stability of CA1 place cell firing 331 fields<sup>101</sup>. Conversely, cannabinoid mediated enhancement of HCN1 channels reduces LTP and 332 suppresses hippocampal-dependent learning<sup>102</sup>. Together, these observations reinforce the idea 333 334 that compartmentalisation of synaptic integration contributes to spatial computations, and 335 suggest that HCN1 channels in distal dendrites control spatial firing and memory by gating plasticity of direct cortical inputs. 336

337

A challenge in establishing roles of synaptic integrative mechanisms in memory is that the ion channels implicated in control of synaptic plasticity may also influence membrane potential ramps that drive spatial firing fields. For example, while HCN1 channels oppose distal synaptic plasticity through their contribution to the resting membrane potential, HCN1 channels also affect the waveform and temporal summation of distally originating post-synaptic potentials as they propagate to the soma<sup>43,51,103</sup>. Similarly, voltage-dependent gating of NMDARs contributes directly to postsynaptic integration as well as providing a Ca<sup>2+</sup> source for induction of plasticity.

345 346

## 347 Theta oscillations and temporal codes

348 The rate coded representations provided by place and grid fields are multiplexed with codes that

represent location through the timing of action potentials relative to the network theta (4-10 Hz)

350 rhythm<sup>20,104,105</sup>. The theta rhythm is entrained by GABAergic projections from the medial septum

- to interneurons in the hippocampus and entorhinal cortex<sup>106–110</sup>. Because the relative delay
- between theta cycles in the hippocampus and entorhinal cortex is greater than expected from

the synaptic delays between each area, the theta rhythm may establish temporal windows for
 local circuit interactions<sup>111</sup>. We will focus here on mechanisms by which the hippocampal
 formation responds to theta modulated inputs and generates population level theta sequences.

356

357 **Responses to theta modulated signals**. How do neurons in the hippocampus and entorhinal 358 cortex respond to theta frequency synaptic inputs? The membrane potential response of 359 hippocampal CA1 pyramidal cells and stellate cells in the MEC to oscillating current inputs are 360 largest for oscillation frequencies in the theta range, whereas fast spiking interneurons may prefer higher input frequencies <sup>112–115</sup>(Fig. 5a). At resting potentials the theta frequency 361 selectivity, or resonance, of pyramidal and stellate cells requires HCN1 channel mediated I<sub>h</sub> 362 currents<sup>51,73,113,116</sup>, whereas at depolarized potentials around spike threshold M-type K<sup>+</sup> channels 363 appear to be critical<sup>116,117</sup>. Relatively slow voltage-dependent gating of both types of ion channel 364 365 leads to the appearance of resonance by opposing responses to input currents with frequencies 366 < 5 Hz. Resonance mechanisms directly affect spike output, by causing neurons to generate 367 greater numbers of spikes in response to inputs active near a cell's resonant frequency<sup>112</sup>, and 368 may also modify the timing of action potentials driven by synaptic inputs at different phases of 369 the theta cycle<sup>118</sup>.

370 371 Do these single cell resonance phenomena manifest in vivo? Two lines of evidence suggest that 372 HCN1-dependent resonance is engaged during theta states. First, the amplitude of theta 373 frequency field potential oscillations recorded from CA1 is increased following genetic deletion of HCN1<sup>51,101</sup>. This is consistent with models of the contribution of dendritic HCN channels to the 374 local field potential<sup>119</sup>. Second, in behaving animals CA1 pyramidal cells respond preferentially 375 to activation of PV interneurons at theta frequencies<sup>120</sup> (Fig. 5b). This resonance effect is 376 abolished by pharmacological block of HCN channels<sup>120</sup>. Interestingly, pyramidal cells did not 377 378 show theta frequency resonance upon direct optogenetic activation, suggesting that HCN 379 channel-dependent resonance, which would more effectively be engaged by hyperpolarizing 380 inhibition, may be more prevalent in behaving animals than peri-threshold resonance, which in vitro does not require HCN channels<sup>116</sup>. 381

382

383 How does membrane potential resonance affect spatial computation? Ion channels contributing to membrane potential resonance participate in sub-threshold theta frequency membrane 384 potential oscillations observed during in vitro recordings<sup>121,122</sup>. These intrinsic oscillations have 385 386 been suggested to contribute to rate and temporal codes though oscillatory interference mechanisms<sup>77,105,123</sup>. However, this intrinsic oscillatory activity is suppressed by background 387 synaptic activity<sup>124</sup> and has not been observed in recordings from hippocampal or entorhinal 388 neurons in awake animals<sup>10–12,25</sup>. Alternatively, by filtering signals with frequency outside the 389 390 theta band, resonance mechanisms may promote the emergence of temporal computations within windows defined by theta oscillations<sup>111</sup>. 391

392

**Theta phase precession and theta sequences**. As an animal moves through a cell's firing field, an advance in the timing of the cell's action potentials relative to the theta rhythm (phase precession) leads to the emergence of population level theta sequences<sup>20</sup>. How theta rhythms interact with synaptic inputs to cause phase precession and sequences is unresolved<sup>125</sup>.

397 Several classes of model include components implemented by active integration mechanisms (e.g. <sup>21,105,126–128</sup>). For example, ion channels that mediate spike frequency adaptation promote 398 symmetry of firing in models in which phase precession involves asymmetric ramp-like synaptic 399 inputs<sup>10,127,129,130</sup>. In these models place fields are driven by an input current that rises slowly 400 401 and falls rapidly, with adaptation causing the spike rate to fall before the peak of the ramp. In a 402 detailed pyramidal cell model, sub-threshold membrane potential oscillations and resonance 403 promote phase precession that is generated by shifting the balance between oscillating excitatory and inhibitory synaptic inputs<sup>126</sup>. In simulations of grid cell firing a fast supralinear 404 405 dendritic integration mechanism sharpens phase precession by restricting time windows for spike firing<sup>78</sup>. 406

407

408 Experiments that focus on model predictions at the level of synaptic integration may help 409 distinguish between these various models and test roles for phase precession in spatial 410 behaviors. Because numerous models generate phase precession, an approach to evaluate 411 model predictions may be to also consider dependence of spatial codes on factors in addition to 412 location<sup>131</sup>. In this spirit, a recent model suggests integrative properties may be tuned to account 413 for dorsoventral differences in theta phase, and to maintain phase precession when running speed varies<sup>21</sup>. While experiments with knockout mice show that HCN1 channels are not 414 required for either theta oscillations or for phase precession<sup>51,101,132</sup>, identifying which ion 415 channels do play roles in phase precession may provide targets for testing contributions of theta 416 417 sequences to spatial memory.

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- 419

#### 420 Concluding remarks

421 The phenomena of rate and temporally coded spatial firing, when considered at the level of 422 membrane potential dynamics, appear to arise from complex and multi-layered mechanisms, 423 with synaptic integration playing critical roles at multiple key points. For example, in CA1 424 pyramidal cells, active integrative mechanisms contribute to selection of active cells, membrane 425 potential dynamics driving firing, plasticity of synaptic inputs, and responses to oscillatory 426 network activity. Entorhinal grid cells also appear to engage specific integrative mechanisms, 427 but intriguingly these may be distinct from those used in CA1. In our view, experiments to date 428 may only be scratching the surface of a rich diversity of dynamic integrative mechanisms 429 underlying the well defined spatial firing properties of neurons in the hippocampal formation. We 430 end by outlining areas that may be of importance to future investigation of mechanisms for 431 spatial computation in these circuits.

432

433 Spatial cognition involves numerous cell types not considered here. For example, principal cells 434 in CA3 and the dentate gyrus employ distinct integrative mechanisms. Dendritic regenerative

435 events can be readily evoked in CA3 pyramidal neurons<sup>133,134</sup>. In contrast, while distinct

436 regenerative events have not been observed in direct recordings from granule cell dendrites<sup>135</sup>,

- 437 their NMDAR-dependent sensitivity to sequences of synaptic inputs<sup>136</sup> and pronounced dendritic
- 438 Ca<sup>2+</sup> transients during backpropagating action potentials<sup>137</sup> suggest that nonlinear dendritic
- 439 conductances can be recruited. In agreement with this view, selective deletion of NMDARs in
- the dentate gyrus causes deficits in rapidly producing a unique memory of a novel context, and

discriminating it from previously encountered contexts<sup>138</sup>. The dendrites of granule cells may
support this "pattern separation" function by increasing the sparsity of firing; assuming the same
number and weights of synaptic inputs, a granule cell is less likely to fire a spike if it has more
dendrites<sup>139</sup>. This sparsification of firing may further be enhanced by short coincidence detection
windows for EPSPs in granule cell dendrites<sup>140</sup>.

446

How synaptic integrative properties contribute to the spatial codes of border, head-direction and
other spatially modulated neurons is an open target for future investigation. Likewise, network
activity patterns such as sharp wave ripples and associated spike sequences, and gamma
oscillations that co-occur with theta states, may also be shaped by active synaptic integrative
mechanisms. For example, during sharp wave ripples synaptic inhibition may dynamically reconfigure synaptic integration by CA1 pyramidal cells<sup>141,142</sup>.

453

Synaptic integrative properties are dynamically regulated by neuromodulatory systems 454 according to brain state and behavioural demands<sup>143</sup>. Ion channels that mediate integration of 455 synaptic responses are prime targets for neuromodulators<sup>144</sup>, raising questions about how these 456 systems influence spatial computations in the behaving animal. Recent studies indicate highly 457 458 selective roles for certain neuromodulators. For example, cholinergic inputs increase excitability of dentate gyrus granule cells by stimulating interactions between axonal T-type Ca<sup>2+</sup> channels 459 and Kv7 channels<sup>145</sup>. At a systems level, computational models incorporating neuromodulatory 460 461 systems provide frameworks for predicting how modulation of ion channels important for synaptic integration contributes to circuit computations and behaviour<sup>146</sup>. Future investigation of 462 463 interactions between neuromodulation and synaptic integration may lead to important insights 464 into control mechanisms for spatial cognition.

465

466 New tools will be critical to the twin challenges of selective experimental manipulation of 467 synaptic integrative mechanisms and observation of the subcellular membrane potential dynamics on which they act. Promising strategies for manipulation include optical control of 468 native or engineered light-sensitive ion channels<sup>147</sup>. For example, rapid optical block of HCN1 469 470 channels in distal dendrites of CA1 pyramidal cells may help resolve the question of whether 471 their influence on spatial firing fields is through control of synaptic plasticity, or by effects on the 472 waveform of synaptic responses that propagate to the soma. Novel imaging approaches, including miniaturization of microscope technologies<sup>148</sup> and development of fluorescent voltage-473 sensors<sup>149</sup> will facilitate exploration of the impacts of synaptic integrative mechanisms on sub-474 475 cellular and network level computations. For example, measurement of resting membrane 476 potential and spike threshold across populations of neurons in behaving animals will facilitate 477 direct testing of the contributions of excitability to selection of active place cells. 478 479 Finally, it is intriguing to consider whether synaptic integrative mechanisms contributing to 480 spatial computations are similarly used in other neural systems. On the one hand, the evidence

481 we have considered points towards diversity in strategies for synaptic integrative mechanisms to

482 influence neural computation. On the other hand, nonlinear synaptic integrative mechanisms

483 engaged to drive receptive fields in visual cortex<sup>81</sup> appear similar to those used by CA1

484 pyramidal cells. As we highlight above, the effect of inhibition on the shape of sub- and

485 486 487 488 489 490	obs con	rathreshold spatially receptive fields in place cells <sup>62,66</sup> also bears resemblance with ervations from orientation-sensitive neurons in visual cortex <sup>60,63,64</sup> . Establishing how mon synaptic integrative mechanisms are adapted to the specific computations carried out different circuits would be a major achievement for cellular and systems neuroscience.	
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837 Figure 1. Membrane potential ramp and intracellular phase precession during place and grid 838 field crossings. (a) Top panels show example recordings from a place cell (left, adapted from ref.<sup>150</sup>) and from a grid cell (right, adapted from ref.<sup>9</sup>). Bottom panels show simultaneous 839 840 membrane potential and LFP recordings during firing field crossings. In both CA1 pyramidal cells (left, adapted from ref.<sup>62</sup>) and in MEC stellate cells (right, adapted from ref.<sup>11</sup>), firing during 841 field crossings is driven by a sustained membrane potential depolarization<sup>10–12</sup>. (b) Average 842 843 firing rate (upper) and membrane potential (lower) of CA1 pyramidal cells (left, adapted from ref. 844 <sup>10</sup>) and MEC stellate cells (right, adapted from ref. <sup>11</sup>) during field crossings. (c) Action potential 845 phase relative to the local field potential, membrane potential theta oscillation phase with 846 respect to the local field potential and action potential phase with respect to the membrane 847 potential theta oscillation, are each plotted as a function of position within the rate coded firing field, for a CA1 pyramidal cell (left, adapted from ref.<sup>10</sup>) and MEC stellate cells (right, adapted 848 from ref.  $^{11}$ ). 849

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Figure 2. Excitability and place cell selection. (a) Schematised differences between excitable 852 and silent cells recorded in a novel environment<sup>25</sup>. Excitable cells are more likely to acquire 853 854 place firing fields as the threshold depolarization required to trigger an action potential is 855 reduced, enabling them to respond with action potentials to a ramp depolarization that is 856 insufficient to trigger output from a silent cell. When activated the excitable cells tend to fire 857 spike bursts, whereas the silent cells do not. (b) Hypothesized model for roles of excitability differences in linking of memories<sup>27,33</sup>. The excitability of neurons storing information about a 858 859 recent event is selectively increased. Because spikes required for activity-dependent 860 associative plasticity are more likely to occur in excitable neurons, subsequent events occurring 861 within a period determined by the duration of the increase in excitability are captured to the 862 same neurons. Events occurring after excitability of the previously activated neurons has 863 decayed to baseline are stored by different neurons. (c) Hypothesized model for roles of 864 excitability differences in establishing a temporal context for spatial codes. In this scheme the 865 identity of excitable CA1 pyramidal cells evolves on a time scale of days. The probability of a 866 neuron firing action potentials within its place field is greatest during the periods in which it is 867 most excitable. In this way, the set of a neurons representing a location on a particular day can

- be used to generate a timestamp for when an event takes  $place^{31}$ .
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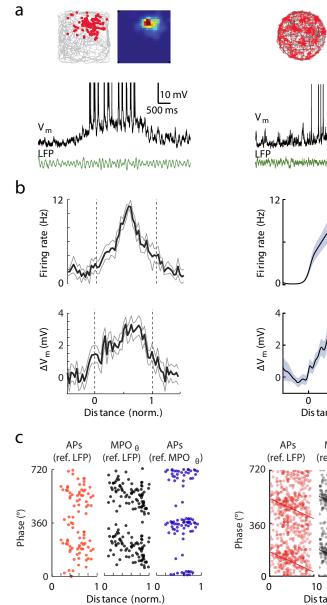
871 Figure 3. Candidate models of nonlinear integration during firing field crossings in 872 hyperpolarized or depolarized neurons. (a) Schematised synaptic integration in a model that 873 computes the arithmetic ("linear") sum of its inputs. Synaptic inputs are stronger inside (IN) than 874 outside (OUT) of the field. While this model produces a firing field when the cell is depolarized 875 (right), it predicts a subthreshold membrane potential field in a hyperpolarized neuron (left), contradicting experimental data<sup>54</sup>. (b) Same scheme as in (a) for a model neuron that integrates 876 inputs nonlinearly, and strongly attenuates EPSPs as they propagate along the dendritic tree. 877 878 The strong attenuation produces subthreshold membrane potential fields that are 879 indistinguishable inside and outside of the field, while the nonlinear mechanism boosts EPSPs sufficiently to produce a firing field when the cell is depolarized, consistent with experimental 880 recordings<sup>54</sup>. (c) Same scheme as in (a) for a model neuron with distinct nonlinear integration 881 882 functions for inputs arriving inside or outside of the field. Although synaptic weights are the 883 same inside and outside the field, EPSPs inside the field are boosted when the neuron is 884 depolarized because of a lower threshold for engaging nonlinear mechanisms. In 885 hyperpolarized neurons, synaptic inputs inside and outside the field produce similar somatic 886 depolarization, resulting in a lack of a distinct membrane potential field, consistent with experimental data<sup>54</sup>. 887

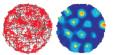
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889 890 Figure 4. Comparison of the effect of local inhibition on visual and spatial receptive fields. (a) 891 Left panel, experimentally recorded IPSCs (blue) and EPSCs (red) during presentation of six 892 different grating orientations. Inhibition (blue curve) is less tuned to orientation than excitation 893 (red curve), leading to an increase in the excitation-inhibition ratio in the center of the receptive 894 field. Middle and right panels, membrane potential (middle) and firing rate (right) tuning to 895 grating orientation in a model of a L2/3 visual cortex pyramidal cell. Model responses are 896 derived from experimental recordings of IPSCs and EPSCs shown in the left panel. Black: control; green: suppression of PV+ interneurons by light-activating archeorhodopsin. The model 897 reproduces an experimentally observed linear-threshold transformation of firing rate tuning 898 curves by inhibition from PV+ interneurons. Adapted from <sup>60</sup>. (b) Left panel, ratio of excitatory 899 900 and inhibitory currents (E-I ratio) during a firing field crossing in a place cell model constrained 901 by experimental data. Spatially uniform inhibition leads to an increase in the E-I ratio during the 902 field crossing. Middle and right panels, experimentally determined spatial tuning of the 903 membrane potential (middle) and firing rate (right) to spatial position in place cells. Data are 904 aligned to the place field center. Black: control: orange: suppression of GAD2+ or VGAT+ 905 interneurons by light-activating archeorhodopsin. Adopted from <sup>62</sup>. Note the similarity of the effect of suppressing local inhibition on visual (a) and spatial (b) tuning curves. 906 907 908

- **Figure 5**. Theta resonant responses of hippocampal neurons. (a) When recorded in brain slices
- 910 CA1 pyramidal neurons preferentially respond to inputs oscillating at theta frequencies, whereas
- 911 fast spiking interneurons prefer higher frequency oscillatory inputs (left panels)<sup>112</sup>. (b) To

- 912 investigate resonant firing in behaving animals parvalbumin interneurons expressing
- 913 channelrhodopsin were stimulated at frequencies up to 30 Hz<sup>120</sup>. The coherence between the
- stimulus and the spiking response is plotted as a function of frequency for CA1 pyramidal cells
- 915 (upper right) and interneurons (lower right). Consistent with in vitro data CA1 pyramidal cells
- 916 prefer inputs in the theta frequency band.
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- 918





10 mV

