

Article

Evaluation of a manualised speech and language therapy programme for children with social communication disorder: the SCIP feasibility study

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1 **Title**

2 Evaluation of a manualised speech and language therapy programme for children with social
3 communication disorder: the SCIP feasibility study

4

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10

11

1 **Abstract**

2

3 **Background:** Children with Social (Pragmatic) Communication Disorder (SPCD) have long-
4 term needs in using and processing social language and have a high risk of later mental health
5 difficulties. A manualised speech and language therapy programme, the Social Communication
6 Intervention Programme (SCIP) provides therapy content for SPCD. A feasibility study is
7 required to derive more precise estimates of key parameters for a future trial of SCIP.

8 **Aims:** To assess the feasibility of conducting a substantive randomized controlled trial of SCIP
9 for children with SPCD.

10 **Methods:** A questionnaire was distributed to paediatric speech and language therapists in
11 England. Survey questions addressed number of eligible children, routine intervention
12 provision and trial recruitment factors. In the second phase, a single-arm intervention
13 feasibility study was completed. 15 speech and language practitioners identified 24 children
14 aged 5-11 years with SPCD. Practitioners received training/supervision to deliver 20 SCIP
15 therapy sessions to each child. At Time 1 parents of participating children provided three
16 communication goals; expected steps in each goal were defined. After intervention, parents and
17 practitioners independently rated each goal compared to baseline ability. Two research
18 practitioners compared parent post-intervention commentaries with outcome scores to derive
19 guidance about clinical significance. All practitioners recorded audio commentaries on therapy
20 experiences. Post-intervention interviews were conducted with 6 practitioners and 6 parents.
21 An expert panel completed a Delphi consultation on trial design.

22 **Results:** Routine practice for SPCD varies widely. Children tend to be embedded in autism
23 provision. Participation in a future trial was well-supported, provided resources are available
24 to services. Outcomes analysis indicated all children except one made some progress on parent
25 ratings; all children made progress on practitioner ratings. A power analysis for a future trial
26 was carried out using current outcome measure as putative primary endpoint. Practitioners'

1 audio-diaries provided suggestions for training and adaption in a future trial. Outcomes and
2 therapy methods were acceptable to practitioners and parents.

3 **Conclusions:**

4 The feasibility study evaluated a novel outcome measure of social communication skills in
5 SPCD. A power calculation indicated a feasible framework for a trial within a realistic period of
6 time. Recommendations for recruitment methods, adaptation of manual and training were
7 supported by practitioners and an expert panel.

8

9 **Trial registration**

10 Title: Speech-language therapy for child social communication disorder

11 Trial ID: ISRCTN48030419 Date registered: 10/01/2017. Registered retrospectively.

12

13 **Keywords:** pragmatics, social communication, intervention, trial, speech and language therapy

14

15

1 **Background**

2

3 The presence of significant and persistent social communication difficulties in middle
4 childhood is associated with adverse outcomes such as behavioural difficulties in adolescence
5 [1], in sustaining peer relations [2], successful employment [3], and with later mental health
6 conditions [4]. This type of communication impairment comprises disproportionate difficulty
7 with pragmatics (the social use of language) [5] and some structural language impairments [6,7].
8 Speech and language therapists (SLT) therefore have a key role in identifying and managing the
9 social communication needs of these children as a contribution to prevention of negative
10 outcomes. However, despite a call for more research on pragmatic language intervention in the
11 relevant systematic review [8], there are no clinical trials available [9].

12

13 In order to progress to a better state of evidence, it is necessary to gauge feasibility and identify
14 real and potential barriers to a substantive trial. Known potential issues are: the identification
15 of children with social communication difficulties; consensus on what treatment as usual (TAU)
16 is; whether a novel complex social communication intervention is acceptable and can be
17 implemented by SLT practitioners in schools and clinics; and how changes in social
18 communication and pragmatics can be measured.

19

20 The literature describes two groups of children who have significant and persistent social
21 communication difficulties with a specific focus on pragmatic impairment. Children with high-
22 functioning autism spectrum disorder (HFASD) have heterogeneous pragmatic deficits [10] and
23 long-term language processing difficulties [11]. A related group of children, termed Social
24 (Pragmatic) Communication Disorder (SPCD), have similar pragmatic and language
25 impairments [12] but may lie just below the threshold for ASD diagnosis [13]. It is possible that
26 both these groups might benefit from social communication therapy but at present, we do not
27 know precisely what routine SLT therapy services are provided for either of them. This

1 knowledge is required to construct an alternative treatment condition to any novel programme
2 in a clinical trial.

3
4 Practitioner guidance on intervention approaches for children with SPCD are provided by
5 professional bodies and there is a substantial descriptive therapy literature ^[14]. The American
6 Speech and Hearing Association (ASHA) lists a number of intervention programmes
7 appropriate for school-age children with SPCD, which fall under the broad heading of social
8 skills interventions. Gerber et al. (2012) examined the evidence regarding
9 conversation/pragmatics intervention for children who have SPCD and found small-scale studies
10 only, with variation in content and goals of treatment, reflecting the diverse nature of
11 communication needs within the group. Gerber et al.'s review lamented the absence of theoretical
12 underpinning of intervention methods and the difficulties of generating clinical guidance in the
13 context of limited evidence.

14
15 In our previous work we have developed a theoretically-driven, manualised intervention, the
16 Social Communication Intervention Programme (SCIP) ^[15] specifically for children with social
17 communication difficulties. The manual includes a method for individualisation of therapy for
18 heterogeneous pragmatic and language needs as well as therapy activities/resources. In a
19 small-scale school-based trial ^[16], Adams and colleagues found an advantage of SCIP
20 intervention over routine treatment on outcomes shown to be of value to parents/carers:
21 carer-rated pragmatic competence and changes in social communication and language skills,
22 teacher-rated learning skills and an observational measure of conversation skills ^[17]. However,
23 no changes in language functioning using standardised language tests were shown.

24
25 A traditional approach to outcome measures using impairment measures may therefore not
26 capture changes in communication which are of importance to service users for this group of
27 children ^[18]. In addition, evaluating outcomes of pragmatic interventions has proved to be

1 problematic due to the lack of valid and reliable measures of pragmatics and conversational skills
2 [19]. Given language/pragmatics heterogeneity at baseline, a way forward in a clinical trial of
3 social communication intervention may be to adopt an individualised approach to therapy
4 planning and a preference-based outcome measure. We propose to evaluate the feasibility of
5 using a modified goal attainment scaling (GAS) [20] as a primary endpoint in a clinical trial of
6 SCIP. There is evidence in favour of the use of GAS, over standardised measures, for sensitivity
7 to clinically significant change [21] but it has not previously been explored as an outcome in
8 social communication intervention studies. In addition, we will address the notion of how
9 clinical significance, as observed by service users, relates to such a scale. Kazdin defines clinical
10 significance as “the practical or applied value or importance of the effect of an intervention” [22].
11 In the current study we were interested in which observed changes in communication
12 behaviour coincided with service users’ views on progress. This may be an important factor in
13 implementing GAS in a larger project.

14
15 The drive for evidence based preventative actions, service user feedback and preliminary
16 findings imply that a full-scale clinical trial of SCIP may be indicated. A feasibility study is
17 required to derive more precise estimates of the proposed primary endpoint, level of
18 adherence to the SCIP treatment protocol, service providers’ and practitioners’ views on
19 acceptable models of delivery, as well as estimates of recruitment, retention and response
20 rates.

21

22 **Aims and objectives**

23 To assess the viability of conducting a substantive randomized controlled trial of SCIP for
24 children aged 5-11 years who have significant social communication needs; to survey current
25 service SLT provision and intervention methods used in England for these children; to refine a
26 novel intervention for delivery in routine clinical practice; to estimate parameters for a
27 randomised controlled trial of the new social communication intervention; to estimate sample

1 size by studying variability of a modified goal attainment scaling (GAS) as primary endpoint; to
2 explore training and acceptability of the intervention; and to obtain expert consensus on key
3 parameters for a trial.

4

5 **Methods:**

6

7 The study was carried out in three phases in sequential order.

8

9 Phase 1

10 *Aims:* to acquire information on the nature of current routine SLT practice for children with
11 social communication needs; to identify views on training and support needs of practitioners to
12 implement SCIP in a clinical trial; to explore practitioner willingness to participate/be
13 randomized in a trial; to estimate the number of eligible child participants for a clinical trial; to
14 obtain opinions on key recruitment and participant factors for a substantive trial.

15

16 *Method:* An online open invitation questionnaire was distributed to UK National Health Service
17 (NHS) SLTs and NHS SLT service leads in England and to independent SLT practitioners
18 working in private clinics or local authority maintained schools (non-NHS). 103 SLTs consented
19 to participate. Of these 76 complete survey responses were obtained (51 NHS only, 23 non-
20 NHS only, 2 both NHS and non-NHS). The sample contained eight NHS and three non-NHS
21 service managers, all of whom held a clinical caseload. Response rates were similar to other e-
22 surveys of specialist SLT. Survey questions addressed views on issues identified in the Phase 1
23 Aims section above.

24

25 Phase 2

26 *Aims:* to estimate the recruitment/retention rates needed to collect completed data in a main
27 trial; to estimate response to questionnaire rates needed to collect completed data in a main

1 trial; and to estimate rates of practitioner adherence to the intervention; to refine the
2 characteristics of a modified goal attainment scale (SCIP-GAS) primary outcome measure for
3 effective use with the target population; to estimate variability of the primary endpoint to
4 inform sample size calculations for a substantive randomised trial.

5

6 *Phase 2 Method*

7 This was a small-scale, single-arm feasibility study. Practitioner recruitment: SLT practitioners,
8 who routinely treat children with SPCD, were recruited via the research team's established
9 links across the northwest of England, the NIHR Greater Manchester Clinical Research Network
10 and the research team's national network. All practitioners worked within the North West of
11 England, except two independent practitioners from the south of England. Practitioners were
12 required to have at least two years' experience of intervention for children with
13 communication disorders and to be willing to participate in SCIP training and intervention
14 delivery. Each practitioner contacted at least one family of an eligible child in order to recruit
15 and gain consent for participation. All practitioners were experienced SLTs except one, who
16 was a special needs teacher with a specialism in language support. A sample of 15 practitioners
17 and children was considered sufficient to assess the feasibility criteria and is large enough to
18 estimate the variance of the primary outcome measure to inform a sample size calculation for a
19 substantive trial design ^[23]. Practitioner and child/family participants were recruited into the
20 study between September 2016 and October 2017. Baseline assessments took place during this
21 period. The final follow up assessment took place in April 2018.

22

23 Child participant inclusion criteria: aged between 5;0 to 10; 11 years months; parents/carers
24 able to participate in minimum of five intervention sessions; non-verbal performance on
25 Ravens Coloured Progressive Matrices ^[24] centile ≥ 5 ; score in the communication impaired
26 range (<55) on the Children's Communication Checklist -2 General Communication Composite
27 (CCC-2) ^[25] – a parent report measure of language and social communication skills; social

1 communication problems as observed by the practitioner, defined as a minimum of two out of
2 five social communication difficulties on the SCIP social communication checklist (SCIP-
3 SCCheck), based on characteristics listed in previous clinical descriptive accounts ^[26] (see
4 appendix for checklist). Exclusion criteria: severe speech unintelligibility/deafness; severe
5 conduct/ hyperactivity disorder which precludes engagement with the intervention; cases
6 where child has no knowledge of English as a spoken language.

7
8 Practitioner training: In order to refine SCIP for practitioner implementation, a programme of
9 training for practitioners was devised and implemented by a research speech and language
10 therapist (RSLT) who was also responsible for all intervention supervision. Training content
11 was delivered via a one-day workshop and comprised: pre-course reading on the theoretical
12 rationale, the overall structure and principles of SCIP delivery; rationale for assessing language,
13 social cognition and pragmatics; planning therapy using Assessment-to-Intervention Mapping
14 method in manual; setting goals from parent priorities; and involving others in therapy
15 delivery.

16
17 Phase 2 Intervention: Practitioners received a copy of the manual, some therapy resources and
18 six hours of supervision from the RSLT across the intervention period. Initial goals of
19 intervention were refined jointly with the RSLT. Practitioners delivered intervention with the
20 child in school or at home up to a maximum of 20 direct therapy sessions. Therapy commenced
21 within one month after baseline assessment. Liaison with school and family was conducted at
22 the practitioner's discretion and availability of others, using written means or meetings to
23 share information.

24
25 Baseline and outcome measures: A researcher independent of the intervention completed other
26 language assessments for the purpose of intervention planning: Clinical Evaluation of Language
27 Fundamentals (CELF-4) ^[27] and Assessment of Comprehension and Expression (ACE) ^[28]. The

1 ADOS-2 Module 3 ^[29] was completed for indicative assessment of ASD. The primary endpoint
2 was the SCIP Goal Attainment Scale (SCIP-GAS). Parents provided three priority areas for
3 intervention at baseline assessment (Time 1). In discussion with the RSLT and practitioner,
4 three goals for the SCIP intervention period were set to reflect these priorities. The SCIP-GAS
5 form set out the parent priority, the baseline level of ability and the goal (desired ability) after
6 an intervention (see Appendix for a sample SCIP-GAS form). After intervention (Time 2)
7 parents used the SCIP-GAS form to rate their child's progress. After defining GAS goals at
8 mapping, at outcome (T2) the parent rated each goal compared to T1 as follows: -1 = got worse;
9 0=no change, +1/+ 2 = partial achievement, + 3 = fully achieved, + 4 = slightly exceeded, + 5
10 greatly exceeded. Practitioners completed a SCIP-GAS outcome form at Time 2 for each child
11 independently of the parent.

12

13 Refinement of SCIP-GAS procedure: Our exploration of SCIP-GAS as a potential endpoint
14 included an analysis of what scores would constitute clinical significance. In order to do this,
15 two of the investigators (both senior research SLTs) examined the range of SCIP-GAS numeric
16 outcomes and linked these with parent narrative comments from the post intervention SCIP-
17 GAS form to derive guidance about clinical significance. This was important to allow for
18 confirmation of which GAS values were associated with notable functional change.

19

20 Adherence to intervention manual: Adherence during intervention was by (a) RSLT's analysis
21 of therapy sessions of practice against the model therapy activity and (b) analysis of
22 practitioners' reflective audio-diary of what content was delivered, how the delivered content
23 adhered to the manualised version and a short commentary on any difficulties or successes in
24 delivering the intervention in routine practice. Planned versus delivered was completed on
25 30% of the sample of child participants and observation was completed with 5 practitioners
26 (33%).

27

1 Phase 3

2 *Aims:* To explore factors associated with training and acceptability of the intervention to all
3 stakeholders and to obtain consensus on key parameters for a full trial

4

5 *Method*

6 Reflections of participating SLTs: Practitioners were provided with an audio recording device
7 at the start of intervention. They were asked to make short oral notes regarding the content
8 and progress in each therapy session. Additional notes about changes to plans, changes or
9 adaptations to the intervention procedure or therapy activity, or regarding the child's response
10 to intervention were requested. Practitioners' diary entries comprised a combination of audio
11 recordings plus written contributions. These were analysed using a Framework Analysis ^[30] by
12 one member of the research team. Codes were defined and recorded incrementally for each
13 participant, which allowed comparison of the descriptive content themes across all
14 participants.

15

16 Interviews with practitioner and parents: Interviews were conducted either at the mid-point or
17 immediately after intervention with six SLTs and six parents of children involved in the study,
18 to ask about their experience of participating in the study and of SCIP intervention. A topic
19 guide was developed and used in all interviews. Practitioner interviews topic guide covered:
20 SCIP training; supervision; GAS goal setting process; overall content and purpose of therapy;
21 putting SCIP therapy into practice. Parent interviews topic guide covered: Expectations from
22 the intervention, experience of setting goals for therapy; experience of therapy; any changes
23 noticed in the child, the family and/or at school.

24

25 Delphi consensus procedure: Towards the end of the study, a two-round Delphi method
26 consultation was conducted in which an expert panel of SLT practitioners and managers were
27 surveyed for their views on a series of statements relating to potential design and

1 implementation of a clinical trial. For each statement, a paragraph explaining the rationale for
2 the statement, based on information that had been compiled from research activities and/or
3 theoretical support was provided. Round 1 responses and comments were analysed,
4 statements amended where necessary (where consensus was not reached) and resubmitted to
5 the panel in round 2. Consensus was defined as 80% of respondents selecting either 'Partially
6 Agree' or 'Strongly Agree'.

7

8 **Results**

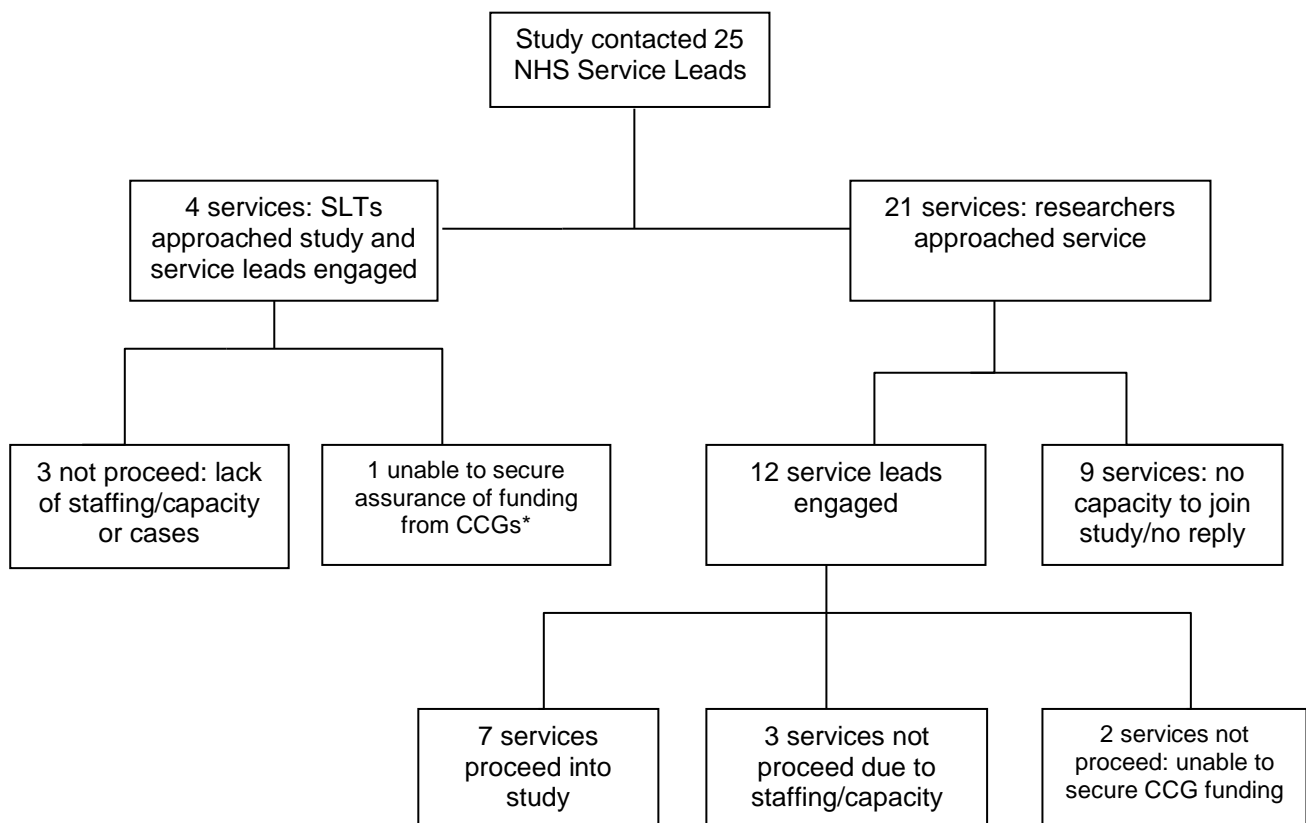
9 *Recruitment and retention for future trial*

10 Routine practice for this population (the potential TAU condition in a future trial) was found to
11 vary widely in our survey of SLT practitioners in England. The majority of practitioners (75%)
12 delegate therapy delivery to school teaching assistants; 30% of therapy across NHS and non-
13 NHS provision is delivered by SLT assistants. Weekly individual and group sessions of 30-60
14 minutes duration are the most common therapy delivery option. Some services did not deliver
15 any therapy at all or provided a fixed number of sessions in an episode of care model. The
16 number of intervention resources or approaches used in current practice was very large; 56
17 intervention approaches were described in a sample of 54 practitioners. A large majority of
18 respondents to the survey would be willing to be randomised in a future trial but time available
19 would be a major barrier to participation. Twenty-three percent of SLTs would be willing but
20 unable to participate. Fifty-five percent of respondents agreed that a trial was an important
21 method to show effectiveness of a new intervention. Other points relevant to recruitment are
22 made in the Delphi study findings below.

23 Training and support needs for a future clinical trial were identified in the survey and later
24 confirmed in practitioner diary analysis and the Delphi study. Forty-three percent of Phase 1
25 survey respondents stated that they would require support and training in recruitment of child
26 participants in a future trial. Dedicated funding and time away from routine duties was
27 specified as a support need by 26% of respondents. Other requirements were listed as

1 provision of information for parents and support from service leads. The majority of survey
 2 respondents would require support for involving others in intervention, permission from
 3 service lead to participate, opportunity to deliver the intervention flexibly, and ability/time to
 4 share information with teachers and education support workers. Some respondents
 5 recommended being able to integrate a new intervention into an existing package of care.
 6 In the feasibility intervention study (Phase 2), SLT services and individual practitioners
 7 generally gave a positive response to recruitment requests. Of the NHS Research and
 8 Development (R&D) services approached, 50% were able to proceed into the study; R&D
 9 approval ranged from 10 days to 4 months. Reasons for not proceeding were varied but mainly
 10 based on cost and staff time. There was a highly variable approach to treatment costs across
 11 services. Recruitment progression, withdrawal and refusal reasons for NHS services only are
 12 shown in Figure 1.

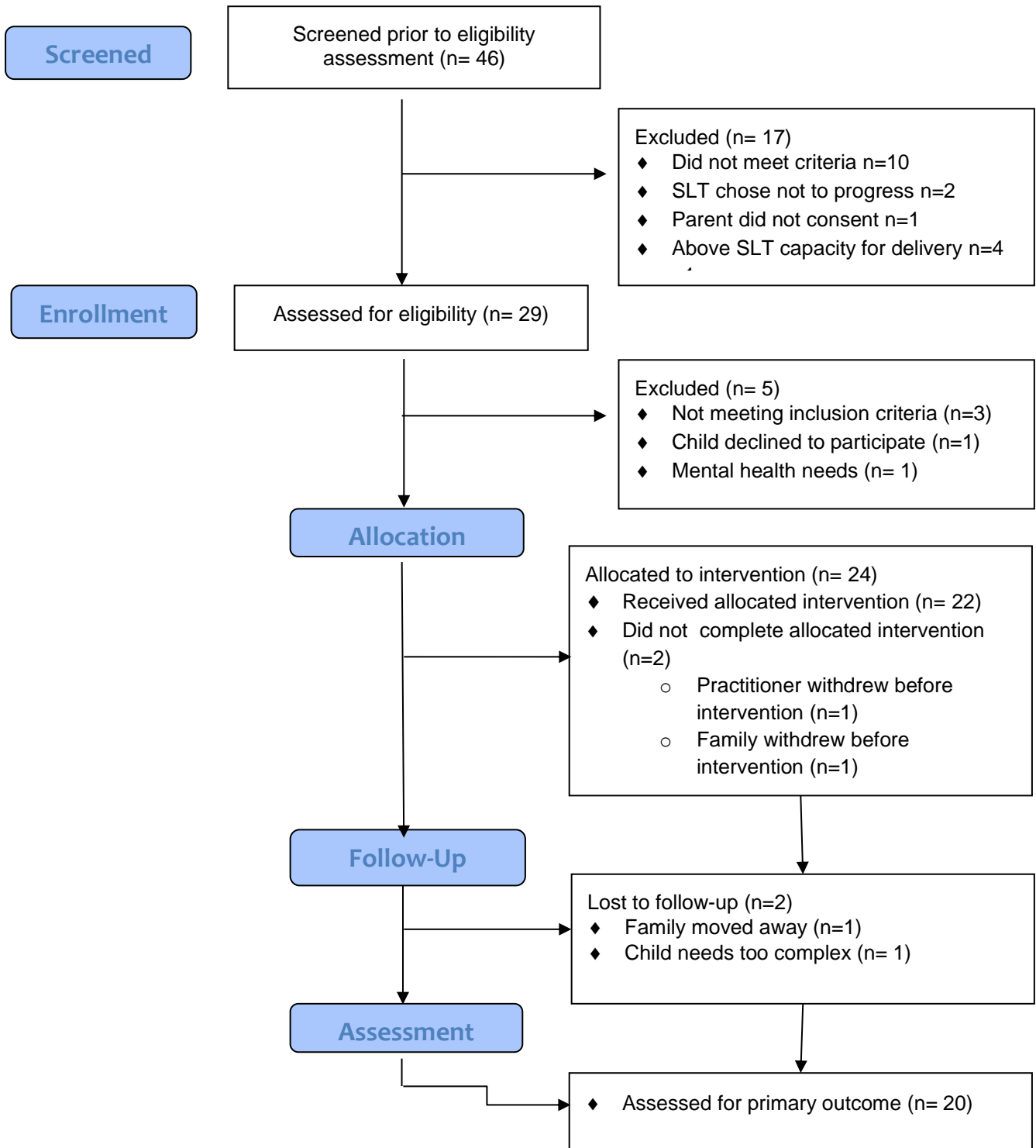
13
14
15 Figure 1: Recruitment approaches in SCIP feasibility study: NHS Service Level only



41
42 * CCG = Clinical Commissioning Group

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5

Figure 2: Consort diagram for SCIP feasibility intervention study



1 Four Phase 2 practitioners were solo non-NHS SLTs; one was a Specialist Advisory Teacher.
2 The potential length of recruitment period per service, practitioner and child ranged from 5
3 weeks (non-NHS independent practitioner) to 9.5 months (NHS). Of 41 practitioners contacted,
4 15 practitioners were recruited, trained and started intervention; twelve completed
5 intervention comprising 7 NHS SLT, 4 non-NHS SLTs and one specialist teacher. Drop-out in
6 NHS practitioners was 40% (illness and workload were reported as reasons); there was no
7 drop out in non-NHS practitioners. Child participant retention and exclusions are shown in the
8 Consort diagram in Figure 2. Forty-six children were referred to the study; 22 children started
9 intervention but only 20 completed. Reasons for non-progression are shown in the diagram.

10
11 Overall the survey responses (Phase 1) indicated that SPCD is not a rare condition in the
12 population of children requiring SLT, but that these children were often included in ASD
13 services whether diagnosed with autism or not. Therefore SPCD may be difficult to isolate as a
14 population. Individual responses regarding the proportion of caseload diagnosed as SPCD were
15 too variable to be informative. An analysis of potential eligible participants was attempted from
16 the literature and National Statistics ^[31]. We proceeded with caution since epidemiological
17 studies in language disorders tend to refer to Developmental Language Disorder (DLD) or the
18 broader Speech Language and Communication Needs (SLCN). Approaching from the SLCN
19 angle, the population prevalence of language disorder as measured by teaching screening is
20 7.58% with clinically significant DLD ^[32]. This is equivalent to 2 children in every class of 30
21 pupils. However only a proportion of these children will have SPCD. Taking 7.58% of the
22 population of current 6 year olds in England (n= 729,674) provides an estimate of 55,509
23 children with SLCN currently. We used prevalence of a similar condition to SPCD (receptive
24 language disorder), 4.5% ^[33] to conservatively estimate the proportion of children with SLCN as
25 having SPCD. This estimates the SPCD population to be 2,498 children at age six; (14,987 across
26 6 age bands in England). Approaching from the HFASD angle where there are more robust
27 epidemiological studies and taking 1.16% of population as diagnosed with autism ^[34], yields a

1 total of 8464 with ASD, from which 32% are estimated to be high-functioning ^[35] which
 2 indicates 2708 children at age 6 with HFA (16,248 across 6-11 age bands).

3

4 *Outcomes of feasibility intervention study, questionnaire response rates and treatment adherence*

5

6 The characteristics of children recruited into the feasibility intervention study are shown in

7 Table 1. All children met criteria for communication impairment on the General

8 Communication Composite of the CCC-2 and demonstrated a high number of social

9 communication difficulties on the SCIP SCCheck. On ADOS module 3, 11 child participants met

10 criteria for ASD; nine were defined as SPCD as they had pragmatic impairments but did not

11 meet autism diagnostic criteria. A wide range of scores on subtests of ACE and CELF-4 tests was

12 observed with all mean scores in the impaired range, indicating the presence of language

13 impairments in most children.

14

15 Table 1: Child participants: characteristics including language test scores at baseline

Baseline measures	N	Range	Mean	SD
Age (in months) at time 1	20	61- 131	102	19.50
RPCM centile	20	7-95	50	32.00
CCC-2 GCC	20	21-54	33.60	9.34
CELF-4* subtests				
Concepts and Following Directions	20	1-12	6.35	3.17
Formulated Sentences	20	1-14	7.00	3.18
Word Classes Receptive	20	0-11	7.25	2.81
Sentence Structure* *	11	3-12	7.18	3.46

Understanding Spoken Paragraphs	19	2-12	7.32	3.20
ACE* subtests				
Naming	20	3-12	8.10	3.02
Non-Literal Comprehension	20	3-14	7.05	3.15

1 Key: RPCM = Raven's Coloured Progressive Matrices; CCC-2 GCC = Children's Communication Checklist -2
2 General Communication Composite; CELF-4 = Clinical Evaluation of Language Fundamentals; ACE =
3 Assessment of Language Comprehension and Expression. *For the normed, standardised assessments,
4 CELF-4 and ACE, a standard score of 10 represents the 50th centile of the population; **(under age 9
5 years only).

6

7 Parent SCIP-GAS forms were completed at home and posted back to the research team at Time
8 2. Parents rated their children's progress at Time 2 against three goals set at baseline. Most
9 parents completed the form independently; only one asked for a home visit to assist completion
10 of the form. Some parents discussed the SCIP-GAS with the RSLT after they had completed it.
11 This was largely confirmatory in nature. Practitioners rated progress compared to goals using
12 the same scale and pre-defined steps. They completed this independently from parent and
13 RSLT and posted their ratings directly to the researcher who was independent of the
14 intervention.

15

16 In the intervention planning process, we stipulated that for each SCIP-GAS goal 'expected
17 achievement' would score 3. Mean SCIP-Gas scores by rater are shown in Table 2. Descriptive
18 analysis indicates all children except one made some progress on parent ratings; all children
19 made progress on practitioner ratings. Practitioner ratings tended to be higher than parent
20 ratings with the mean total score nearing expected achievement on all three goals. Using the
21 sum of the achieved SCIP-GAS scores (n=20), without weighting for difficulty or importance,

1 mean parent SCIP- GAS score = 6.8 (SD 3.1); mean SLT SCIP- GAS score= 8.6 (SD 2.2). There was
 2 no significant difference between ASD and non-ASD subgroups on SCIP-GAS outcomes.

3

4 Table 2: SCIP-GAS at Time 2: parent and practitioner total scores and numbers of goals that met
 5 expectation* (both n=20)

	Mean	SD	Range
Parent SCIP-GAS scores at Time 2	6.75	3.1	0-12
Parent: number of goals that met expectations	1.3	-	0-3
Practitioner SCIP-GAS ratings at Time 2	8.6	2.2	4-12
Practitioner: number of goals that met expectations	1.85	-	0-3

6 *Key: SCIP-GAS – Social Communication Intervention Programme – Goal Attainment Scale*

7

8 One of the study's aims was to refine the GAS procedure in order to establish a meaningful
 9 outcome. Analysis of parent narrative post-intervention and the range of SCIP-GAS scores for
 10 all child participants indicated that clinical significance was associated with scores in the 6-9
 11 point range; highly significant was associated with scores above 9 (see table 3). These findings
 12 were used in a power calculation for a clinical trial. Further discussion and analysis of clinical
 13 significance and outcomes of pragmatics intervention are presented elsewhere (Adams and
 14 Gaile, in preparation).

15

16 Table 3: Analysis of association of SCIP-GAS scores with clinical significance judgements

	Not clinically significant	Borderline	Clinically significant	Highly significant
SCIP-GAS range	0-3	4-5	6-9	10-15

N in feasibility study	3	3	11	3
------------------------	---	---	----	---

1

2

3 Response rates to questionnaires (parents) were uniformly high. Both SCIP-GAS and CCC-2
 4 questionnaires were returned at 100% for Time 1 and Time 2 assessments. This reflects the
 5 close interaction between the research team and the parents and practitioners throughout the
 6 study.

7

8 Adherence to the intervention was high for both audit of the planned intervention content
 9 versus delivered content (adherence 92%) and adherence to therapy procedures in the SCIP
 10 manual (100%). The majority of practitioners except one (non-NHS) were compliant with
 11 supervision.

12

13 *Acceptability and consensus: audio diary analysis, interview analysis and Delphi consensus*
 14 *findings*

15

16 Two hundred and thirty-eight audio diary sessions were submitted by 14 practitioners,
 17 covering 20 cases. Key themes are presented below with illustrative quotes.

18

19 Participant SLTs viewed training and supervision as essential for implementation, as did
 20 respondents to the SCIP survey.

21

22 *“Training was essential, really important to understand the mapping processes, would be*
 23 *difficult for the integrity of the programme to be maintained without that.” (J13¹ end of*
 24 *therapy reflection)*

25

¹ Participant identification code

1 Access to expert supervision was a key theme related to the optimal implementation of the
2 intervention. Some individuals felt that more time on the initial training would have been
3 helpful; whilst others reported that they needed the experiential learning through starting to
4 use the programme, with regular and timely access to the supervisor. Practitioners wanted a
5 longer training course or access to information online. As practitioners engaged in delivery
6 they reported becoming more confident in using the manual.

7

8 Challenges to intervention delivery were also identified: Some practitioners did not feel
9 equipped to complete the intervention mapping and SCIP-GAS goal setting independently and
10 wanted more time to become familiar with the intervention content. Most participants reported
11 that they had felt very challenged and “stretched” by the very detailed manual, and felt that
12 they were still not sufficiently familiar or confident to use SCIP without reliance on the
13 supervisor.

14

15 *“I completely see the need to have all the activities, but I think it will take a considerable*
16 *amount of time to become familiar with what I am looking for, and to be able to move*
17 *around the resource easily.” (J16 end of therapy reflection)*

18

19 Some practitioners reflected on meeting the demands of participating in research over and
20 above therapy delivery:

21

22 *“[it’s been a]...challenge finding time to deliver and prepare sessions as well as recordings*
23 *and supervision.” (K19)*

24

25 A strong theme from practitioners was that SCIP is different to current therapy provision and
26 that protected time to learn the new approach and get to know it were essential. Several
27 practitioners commented that the overall length of intervention was not sufficient. Frequent

1 concerns were reported regarding the time taken to prepare, deliver and write up sessions.
2 Weekly delivery was the maximum that could be provided due to the time required.
3 Participants wanted to adapt SCIP to suit their case or their context, for example to deliver
4 therapy sessions of less than one hour duration, extend the duration of intervention, request
5 meetings with school staff for information sharing or to support generalisation.

6
7 The SCIP-GAS outcome measure was acceptable to practitioners. SCIP-GAS goals were set by
8 the RSLT, not the practitioners. Practitioners wanted to know the child and the intervention
9 content more thoroughly before setting goals. Some wanted to complete Phase 1 therapy
10 before setting GAS goals. They also wanted to carry out the baseline assessments:

11
12 *“Would feel more confident and insightful if I had more involvement in the early stages of*
13 *assessment and planning....would have felt clearer about delivering intervention if I’d*
14 *worked jointly with the research team from the start.” (K19)*

15
16 Practitioners wanted to discriminate between GAS scores to report whether a skill was viewed
17 as established or emerging. Participants’ views of the GAS goals had largely changed at Time 2,
18 in that having seen progress, they now viewed the goals as appropriate, which caused some to
19 reflect on their own practice.

20
21 *“It’s a shame that it’s only 20 sessions. He has moved up across the board and so many*
22 *avenues have opened up for further improvement with him, and there is no way he would*
23 *have had anything like 20 sessions in our usual service.” (G05)*

24
25 Practitioners also reported a range of adaptations and deviations in their implementation of
26 SCIP. These adaptations were predominantly related to time, including shortening sessions and
27 splitting sessions. Most participants reported that they anticipated they would become faster

1 and more confident about personalising resources and activities per child, and were positive
2 about continuing to use SCIP with other children. Participants proposed that they would ideally
3 wish to involve the families more directly in the intervention delivery.

4

5

6 Parents valued discussing goals with the RSLT and strongly valued the individualised approach
7 of the intervention:

8

9 *“What I really like about it is having those personalised goals” (A30)*

10 *“I love the idea of setting goals rather than just following a format and it being flexible
11 dependent on the child’s needs.” (E29)*

12

13 The SCIP-GAS outcome method was acceptable to parents: all but one completed the form
14 independently:

15

16 *“I really liked the form and the descriptions of changes in skills were really helpful to see
17 and think about.” (E27)*

18

19 Most parents also reported other changes in their child not listed as GAS goals.

20 *“He is more motivated and less impulsive.” (E27)*

21 *“He is thinking more about sharing and he said ‘I need to try and think about this’. He’s
22 aware of strategies but he’s not always when he’s in the situation to put them in place.”*

23 (C16)

24 Some refinement of the SCIP-GAS rating scale was proposed. Being able to report changes not
25 listed on the SCIP-GAS form as goals is important. One family reported no change on the GAS
26 goals, but listed other important changes for their child as having occurred during the

1 intervention period. Parent priorities were often focused on social ‘fitting in’ and secondary
2 transitions. Parents reflected on the nature of SCIP therapy in bringing about these changes.

3

4 *“It specifically hones in on areas your child needs help with. Rather than previous therapy,*
5 *which is all a bit generalized.” (D18)*

6

7 Parents preferred delivery of intervention in school time and at school. They perceived the
8 involvement of school in intervention as variable. Additional SCIP work at home was
9 sometimes difficult to incorporate into the family routine.

10

11 *Power calculation and Delphi consensus findings*

12

13 A power calculation for a future trial was carried out using Clsampsi in Stata and SCIP-GAS as
14 the primary endpoint. A 10 point score in SCIP-GAS was clinically meaningful as derived from
15 conservative estimates in this feasibility work; based on a standard deviation of 15, this is a
16 0.66 effect size. We account for clustering in the SCIP arm with an ICC=0.01 with 4 SLTs and
17 assume there is no clustering in the treatment as usual (TAU) arm. With 1:1 allocation and 0.05
18 significance level, a simple two-tailed t-test with 100 people per group gives 85% power to
19 detect an effect size of 0.5 and 96% power for an effect size of 0.66. In practice, power will be
20 increased by using multiple regression. To allow for 20% attrition in the primary outcome at
21 primary endpoint we will recruit 250 participants into a trial at baseline split equally across
22 sites.

23 From the Delphi consensus study, there was 100% agreement that, in a future trial, TAU will be
24 defined by the offer made to children within each service; children should be recruited from
25 NHS and non-NHS services (including schools and independent practices); SLTs will be eligible
26 to participate in a randomised controlled trial if they have protected time to deliver the
27 intervention; training in identifying and managing the needs of children with SCD should be

1 offered to teachers and teaching assistants; training in identifying and managing the needs of
2 children should be provided to parents/carers; the views of children will be sought from those
3 children who are considered capable of engaging with the procedure; the views of
4 parents/carers of children should be sought as part of the trial; and the range and scope of
5 acceptable adaptations to the manualised intervention process and procedures will be clearly
6 defined in the research protocol and controlled in implementation.

7

8 There was more than 80% agreement that, in a future trial, SCIP will be delivered in weekly 1:1
9 sessions by an SLT (with or without assistant); SCIP should be compared to both TAU and/or
10 an alternative controlled programme; engagement by parents and TAs should be defined as an
11 inclusion criterion; an individualised functional measure of the child's response to therapy
12 should be the primary endpoint; SLTs will be eligible to participate in a randomised controlled
13 trial if they undertake supervision and provide supervision to assistant practitioners; and that
14 SLTs will be eligible to participate in a randomised controlled trial if they undertake training
15 and engage in independent study.

16

17 **Discussion**

18

19 *What we found*

20

21 SCIP intervention was associated with progress on social communication ratings for all but one
22 of 15 children with SPCD. SLT practitioners valued SCIP therapy and were universal in choosing
23 to continue providing SCIP in their routine practice. They found the intervention complex and
24 needed more preparation and learning time than anticipated to implement it. Parents of
25 children with SPCD valued the intervention highly and the majority were able to participate in
26 making judgements about outcome independently.

27

1 Current provision of speech and language therapy for children with SPCD is highly variable in
2 England and this will have significant implications for development of a comparison condition
3 in a future trial. There is no current recommended standard of delivery in terms of frequency,
4 method of intervention or mode of delivery. However, SLTs indicated that they were aware of
5 the need for more evidence and showed substantive support for engagement in a clinical trial.
6 The majority of survey respondents were willing to be randomised in a future trial. Time and
7 resources are significant barriers to participation.

8
9 Challenges existed in terms of practical aspects of research engagement. SLT services are no
10 longer uniquely commissioned by or provided by the NHS, but are part of a mixed economy of
11 education and health models, with rapid growth of the independent SLT sector also evident.
12 There was a difference in retention and research engagement between NHS and non-NHS
13 services. Non-NHS practitioners had more flexibility to make research-involvement decisions.

14
15 SPCD is often included in Autism services (with or without diagnosis), making it difficult to
16 isolate as a population. Analysis of national statistics and research literature indicates a
17 potential pool of over 30,000 eligible children with either SPCD or HFA in the 6-11 year age
18 range who could benefit from SCIP. Our power analysis recommends recruitment of 250 (to
19 allow two groups of 100); therefore, sufficient children should be available and eligible to
20 support a larger scale trial. SPCD and HFA diagnosed children were equally represented in our
21 intervention sample, indicating eligibility for SCIP should be based on need rather than solely
22 on diagnosis.

23
24 The SCIP-GAS outcome measure was acceptable to practitioners and parents. Practitioners
25 were able to use descriptions of change to identify progress against targets. Practitioners
26 wanted to know the child and intervention content better before setting goals. Parents valued
27 discussing goals with the Research SLT and strongly valued the individualised approach of the

1 intervention. Parents preferred delivery of intervention in school time and at school. Response
2 rates to parent questionnaires was very high (nearing 100%). Treatment adherence was also
3 very high amongst practitioners.

4
5 Analysis of practitioner diaries helped to identify specific areas of support for a future trial.
6 Practitioners were clear that learning SCIP and implementing it for the first time presented
7 challenges. They indicated that in a future trial there was a need for additional time to learn a
8 complex intervention based on multiple components and that additional time would be needed
9 for preparation and recording of intervention sessions. Supervision was essential after initial
10 training; even experienced practitioners were not familiar with therapy methods and planning
11 procedures used in SCIP. However, all practitioners valued the intervention highly and were
12 planning to carry on delivering SCIP in their routine practice in future. SLTs wanted to adapt
13 SCIP to suit their case or their context. Practical suggestions were made for adaptation and
14 revisions to the SCIP manual and resources.

15
16 *Limitations*

17
18 As a single-arm feasibility study, focused on measures and acceptability, there was no
19 comparison group, so it is possible that any appropriate intervention over and above what was
20 currently being offered could be effective. It was not possible to define TAU in this study, since
21 there is considerable variability in practice. TAU will need to be broadly defined and monitored
22 in a future trial to provide a true comparison for SCIP. We underestimated the time
23 practitioners would need to learn and engage with the new intervention, despite their
24 experience and this resulted in more close supervision being required than anticipated. In
25 future work, practitioners will require more training to support careful and more independent
26 planning as well as time to familiarise themselves with the new complex intervention. A
27 potential source of bias in outcomes is the involvement of parents in the SCIP-GAS procedure.

1 With interventions such as SCIP it is neither possible nor desirable to have minimum contact
2 between the therapist and the service user, so bias towards reporting of positive effects is
3 possible. In a future trial, it would be essential to distance the reporting of outcomes away
4 from the practitioner and intervention supervisor in the first instance. However, the value of
5 capturing functional outcomes remains. Suggestions for amendments to the GAS procedure are
6 made below.

7

8 *Implications for a future trial*

9

10 Experience of recruitment and feedback from the Delphi study indicated that trial recruitment
11 should be broadly based on social communication need and include all SLT service provision
12 across all sectors. We will identify child participants as having “significant social
13 communication difficulties who will benefit from SCIP intervention” as recommended by the
14 advisory and Delphi panels. This increases the number of eligible participants available to the
15 trial and reflects the sample in the feasibility study. Calculations from recruitment effort in the
16 feasibility study indicate that 250 children can be recruited to the study in two calendar years
17 across the north of England. The inclusion of children with high functioning autism who have
18 pragmatic language impairment will improve the size of the potential sample. There is no
19 counter-indication to this from the outcomes of the feasibility study primary endpoint or from
20 the description of the language needs of children in the current study.

21

22 Recruitment would be via NHS SLT services, local education authorities and independent SLT
23 providers. Two gathered cohorts will be recruited in consecutive years. A refined recruitment
24 description will be used based on feasibility feedback. Sufficient time should be included to
25 allow for permissions to be in place across a range of providers for appropriate information,
26 consent and pre-screening to take place. NHS SLT departments tend to be small and have
27 multiple populations to serve other than SPCD. Our experience of recruiting NHS practitioners

1 indicates that direct employment or secondment of SLT practitioners into a trial will provide a
2 more reliable source of basic evidence of effect in a trial whilst English SLT commissioning
3 procedures settle.

4
5 The substantive trial would be a two-armed, randomised, controlled, assessor-blinded
6 superiority trial of SCIP versus treatment as usual (TAU) for children, aged 6 to 11 years², who
7 have social communication difficulties. This population will include children who have high
8 functioning autism (HFA) and who are able to communicate through spoken language and able
9 to cooperate with intervention. The primary objective would be to compare the effects of SCIP
10 intervention versus TAU on parent completed SCIP- GAS primary endpoint. Since routine
11 practice is highly variable, treatment as usual in a future trial would need to be defined and
12 monitored. In the current study, the Delphi consensus findings recommended that TAU would
13 be defined by the offer made to children with SPCD/HFA within each service. Children in the
14 SCIP condition would be offered a fixed amount of intervention (20 direct sessions) guided by
15 the intervention manual and delivered in weekly 1:1 sessions by SLTs who will undergo a
16 training programme and receive supervision from a RSLT.

17
18 The primary endpoint will be the refined SCIP-GAS measure. All participants will be assessed
19 by a research assistant blind to condition. SCIP-GAS goals will be set for all children by
20 research SLTs using parent priorities for intervention. SCIP-GAS change scales would be set but
21 not provided to parents until the end of the intervention period, regardless of randomised
22 group. Time 2 GAS outcomes would be carried out by a researcher independent of the main
23 research team using a scripted procedure and T1-set scale for that individual. GAS goals would
24 be provided at Time 1 to the TAU practitioner; any adaptation of TAU aims post GAS sharing
25 would be monitored. A minimum of 2 out of 3 GAS goals scoring at least 2 or more will indicate

² This age range varies slightly from our previous chosen range (lower limit of five years) since we found younger children struggled with some of the SCIP therapy content and its reliance on the written word. The revised age range was agreed with the project steering group.

1 a clinically significant change from the child's baseline presentation. If the total score for a child
2 was 3 or below, this would be judged as not clinically significant. These changes should be
3 confirmed by a positive narrative comment from the parent using the following question for
4 each goal: *"You gave this goal a score of x. Tell me something you have noticed in your child that
5 makes you think that?"*

6 Parent participation in a future trial is supported by excellent engagement with research
7 questionnaires. In a trial, parent engagement should be supported by training in research
8 participation and SCIP prior to intervention or TAU. Preference for location of intervention was
9 in school, and this was supported by the Delphi panel. In a trial, training and support should be
10 offered to relevant school staff to allow support for research participation. Brief training in
11 SCIP methods for school staff should not exceed similar training provided in TAU. To plan
12 forwards for potential implementation, information should be acquired during a trial regarding
13 the practical arrangements for training and delivering SCIP as part of routine practice. Other
14 recommendations from the Delphi study and from SLT practitioners regarding adaptation of
15 SCIP intervention manual and procedures should be implemented at the start of the trial.

16

17 **Conclusions**

18

19 This feasibility study has provided a crucial step prior to providing definitive data in a follow-
20 up trial. The distinctive nature of the SCIP intervention approach was affirmed by all the
21 participants, with the recognition that this approach may provide an impact for children who
22 have complex needs and who have not benefitted from standard therapy. A trial has a clear
23 potential trajectory into patient benefit with a change in services towards evidence-based
24 practice for children with social communication difficulties called for by service users. A future
25 trial needs to take into account recent changes in speech and language therapy provision and
26 the time pressures associated with research engagement in a small profession. It may be
27 efficient to build a training model to cascade the intervention to SLT practitioners as part of

1 ongoing learning after robust evidence has been developed. There is scope in further work to
2 extend the intervention to other related groups such as children with HFA who use alternative
3 communication devices or children who have secondary pragmatic difficulties associated with
4 learning disabilities.

5
6 An innovative primary outcome (SCIP-GAS), based on parent preference, has been refined that
7 meets with requirements of practitioner and service users and has enabled a power calculation
8 for a trial. Initial analysis of associations between narrative outcomes and SCIP-GAS ratings
9 have allowed us to explore the functional impact of the outcome measure. In addition, we now
10 have an appreciation that goal attainment scaling may have wider application for groups with
11 heterogeneous communication needs. Additional service-user secondary outcomes that may
12 be used in a future trial are currently being evaluated and will include a child-perspective
13 interview task and appropriate standardised measures, including parent reported measures.

14
15 Qualitative findings underlined the value and acceptability of SCIP intervention to families. We
16 have gained insight into the preferred context and timing of intervention for services.

17 Experience of recruitment, intervention planning, training and supervision has enabled us to
18 make realistic plans for a clinical trial and further implementation into routine practice. This
19 would be the first robust evidence anywhere associated with a complex language pragmatics
20 intervention for this population.

21

22 **Abbreviations**

23

24 ACE: Assessment of Comprehension and Expression

25 ADOS: Autism Diagnostic Observation Schedule

26 ASD: Autism spectrum disorder

27 CCC-2: Children's Communication Checklist (second edition)

- 1 CCG: Clinical Commissioning Group
- 2 CELF-4: Clinical Evaluation of Language Fundamentals (4th Edition)
- 3 DLD: Developmental Language Disorder
- 4 GAS: Goal attainment scale
- 5 HFASD: High-functioning autism spectrum disorder
- 6 NHS: National Health Service
- 7 RPCM: Raven's Coloured Progressive Matrices
- 8 RSLT: Research Speech and Language Therapist
- 9 SLCN: Speech, Language and Communication Needs
- 10 SCIP: Social Communication Intervention Programme
- 11 SCIP-SCCheck: SCIP social communication checklist
- 12 SCIP-GAS: SCIP- Goal attainment scale
- 13 SLT: Speech and language therapist (or therapy)
- 14 SPCD: Social (Pragmatic) Communication Disorder
- 15 TAU: Treatment as usual

16

17 **Declarations**

18 Ethical approval and consent to participate

19 The study was granted ethics approval in June 2016 by the North West/Liverpool Central
20 Research Ethics Committee ref 16/NW/0500 and subsequently received Health Research
21 Authority approval for research in England: IRAS ID 188072. Written consent was taken from
22 all adult participants including survey and Delphi participants, practitioners and parents.
23 Assent was taken with child participants using a child-friendly form.

24

25 Consent for publication

26 Not applicable

27

1 Availability of data and material

2 The datasets used and/or analysed during the current study are available from the
3 corresponding author on reasonable request. Interview and audio-diary data are not available
4 due to the possibility of containing information that could compromise research participant
5 confidentiality.

6

7 Competing interests

8 Adams and Gaile are the authors of the SCIP intervention programme and receive royalties
9 from the publishers.

10

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15 NHS, the NIHR or the Department of Health and Social Care.

16

17 Authors' contributions

18 Adams was the lead researcher and principal investigator, conceptualised the project , and was
19 the lead author.

20 Gaile was the research speech and language therapist, carried out the training and supervision
21 of practitioners, assisted in case planning and contributed to the analysis and preparation of
22 this paper.

23 Baxendale carried out interviewing in Phase 3 and contributed to that analysis and the
24 preparation of this paper.

25 Clitheroe carried out all language tests, NHS liaison, framework analysis of diary entries and
26 contributed to drafts of this paper.

1 Roddam led the design of the Phase 1 survey and analysis, the Phase 3 audio diary coding and
2 interview protocols and contributed to the preparation of this paper.

3 Emsley provided guidance on feasibility methodology and carried out power calculations.

4

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9

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3

Appendices

Appendix 1: Pre-screen SCIP-social communication checklist

SCIP-social communication checklist (SCIP-SCCheck)
Social communication considerations
A) The child has trouble understanding and interpreting the social context and friendship, e.g. social roles, emotions
B) The child has trouble understanding and /or using nonverbal aspects of communication e.g. facial expression, intonation
C) The child has trouble with aspects of conversation e.g. beginning and ending, taking turns, giving relevant and sufficient information
D) The child makes bizarre, tangential or inappropriate comments
E) The child has difficulty using and understanding non-literal language
Criteria to progress to screening: 2/5 above

Appendix 2: SCIP-GAS form example

SCIP- GOAL ATTAINMENT SCALE (SCIP-GAS) KEY GOALS (parent version)		
SAMPLE 9 year old girl		
	<u>AFTER INTERVENTION</u> SCIP-GAS Rating scale Please circle the rating which best describes your child's current ability	<u>SCIP-GAS Comments</u> Please provide comments or examples to support this rating
Parent stated objective: please note below	<p>+5 = Increased participation with peers has been noted in more than one additional context,</p> <p>+4 = Increased participation with peers has been noted in one additional context,</p> <p>+3 = Increased participation in group games with peers at school and/or is withdrawing from group games less often.</p> <p>+2 = Can follow instructions and ask for clarification in role play of playing a game with a group of peers, but has not generalised to peer interactions at school</p> <p>+1 = Has increased awareness of and/or ability to follow instructions and request clarification, but cannot use skill in role play</p> <p>0 = No change in peer group interaction or in skills associated with peer group interaction</p> <p>-1 = is attempting to participate in peer group less often.</p>	
Example SCIP- GAS goal 1: Parent: <i>I'd like her to have someone to invite round after school and that this might lead to a real friendship</i>		
SCIP-GAS goal definition: please specify the Present level and Expected achievement		
Baseline Present level <i>Does not have a close friend and does not invite anyone home after school.</i> <i>Wants to have a friend and enjoys playtime with her younger sister and her friends.</i> <i>Attempts to play with peers in school but mostly unsuccessful and withdraws to be alone.</i>		
Expected achievement <i>Increased participation in group games with peers at school and/or is withdrawing from group games less often</i>		