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# SEGMA: an automatic SEGMentation Approach for human brain MRI using sliding window and random forests

Ahmed Serag<sup>1\*</sup>, Alastair G. Wilkinson<sup>2</sup>, Emma J. Telford<sup>1</sup>, Rozalia Pataky<sup>1</sup>, Sarah A. Sparrow<sup>1</sup>, Devasuda Anblagan<sup>1</sup>, Gillian Macnaught<sup>3</sup>, Scott Semple<sup>3</sup>, James P. Boardman<sup>1</sup>

<sup>1</sup>MRC Centre for Reproductive Health, United Kingdom, <sup>2</sup>Royal Hospital for Sick Children, United Kingdom, <sup>3</sup>Centre for Cardiovascular Science, United Kingdom

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#### Author contribution statement

A.S. designed and performed the experiments, and wrote the manuscript; A.S., J.P.B. and A.G.W. analysed output data; E.J.T., R.P. and S.A.S. recruited patients; G.M. and S.I.S. acquired imaging data. All authors approved the final submitted version, and agree to be accountable for its content.

#### Keywords

Brain, MRI, large-scale, Life-course, sliding window, Random forests, Classification, Tissue segmentation, Neonatal period, childhood and adolescence, adulthood

#### Abstract

#### Word count: 157

Quantitative volumes from brain magnetic resonance imaging (MRI) acquired across the life course may be useful for investigating long term effects of risk and resilience factors for brain development and healthy ageing, and for understanding early life determinants of adult brain structure. Therefore, there is an increasing need for automated segmentation tools that can be applied to images acquired at different life stages. We developed an automatic segmentation method for human brain MRI, where a sliding window approach and a multi-class random forest classifier were applied to high-dimensional feature vectors for accurate segmentation. The method performed well on brain MRI data acquired from 179 individuals, analysed in three age groups: newborns (38-42 weeks gestational age), children and adolescents (4-17 years) and adults (35-71 years). As the method can learn from partially labelled datasets, it can be used to segment large-scale datasets efficiently. It could also be applied to different populations and imaging modalities across the life course.

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Ethical approval was granted by the National Research Ethics Service (South East Scotland Research Ethics Committee) and NHS Research and Development.

Informed written parental consent was obtained.

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A. Serag<sup>1\*</sup>, A.G. Wilkinson<sup>2</sup>, E.J. Telford<sup>1</sup>, R. Pataky<sup>1</sup>, S.A. Sparrow<sup>1</sup>, D.
Anblagan<sup>1,4</sup>, G. Macnaught<sup>3</sup>, S.I. Semple<sup>3,5</sup>, J.P. Boardman<sup>1,4</sup>

7 <sup>1</sup> MRC Centre for Reproductive Health, University of Edinburgh, Edinburgh, UK

8 <sup>2</sup> Department of Radiology, Royal Hospital for Sick Children, Edinburgh, UK

- 9 <sup>3</sup> Clinical Research Imaging Centre, University of Edinburgh, Edinburgh, UK
- 10 <sup>4</sup> Centre for Clinical Brain Sciences, University of Edinburgh, Edinburgh, UK
- <sup>5</sup> Centre for Cardiovascular Science, University of Edinburgh, Edinburgh, UK
- 12

## 13 <sup>\*</sup>Correspondence:

- 14 Ahmed Serag
- 15 Room W1.25 Queen's Medical Research Institute
- 16 47 Little France Crescent
- 17 Edinburgh EH16 4TJ
- 18 <u>ahmed.serag@ed.ac.uk</u>
- 19
- 20 Keywords: brain, MRI, large-scale, sliding window, classification
- 21

# Abstract23

24 Quantitative volumes from brain magnetic resonance imaging (MRI) acquired across 25 the life course may be useful for investigating long term effects of risk and resilience 26 factors for brain development and healthy ageing, and for understanding early life 27 determinants of adult brain structure. Therefore, there is an increasing need for 28 automated segmentation tools that can be applied to images acquired at different life 29 stages. We developed an automatic segmentation method for human brain MRI, where a sliding window approach and a multi-class random forest classifier were 30 31 applied to high-dimensional feature vectors for accurate segmentation. The method performed well on brain MRI data acquired from 179 individuals, analysed in three 32 33 age groups: newborns (38-42 weeks gestational age), children and adolescents (4-17 34 years) and adults (35-71 years). As the method can learn from partially labelled 35 datasets, it can be used to segment large-scale datasets efficiently. It could also be 36 applied to different populations and imaging modalities across the life course.

37

# 38 1. Introduction39

40 During early life, the brain undergoes significant morphological and functional

- 41 changes, the integrity of which determines long-term neurological, cognitive and
- 42 psychiatric functions (Tamnes et al., 2013). For instance, a wide range of problems
- 43 including autism spectrum disorder, poor cognitive ageing, stroke and

44 neurodegenerative diseases of adulthood may have early life origins (Stoner et al.,

- 45 2014, McGurn et al., 2008, Shenkin et al., 2009, Wardlaw et al., 2011, Hill et al.,
- 46 2010). Improved understanding of cerebral structural changes across the life course
- 47 may be useful for studying early life determinants and atypical trajectories that
- 48 underlie these common problems.
- 49

50 Quantitative volumes from brain structural magnetic resonance imaging (MRI) 51 acquired at different stages of life offer the possibility of new insight into cerebral 52 phenotypes of disease, biomarkers for evaluating treatment protocols, and improved 53 clinical decision-making and diagnosis. The literature presents a clear distinction 54 between methods developed for different ages partly because the computational task 55 is determined by properties of the acquired data and these are age-dependent (Cabezas 56 et al., 2011, Despotovic et al., 2015, Isgum et al., 2015). For example, the infant brain 57 presents challenges to automated segmentation algorithms developed for adult brain 58 due to: wide variations in head size and shape in early life, rapid changes in tissue 59 contrast associated with myelination, decreases in brain water, changes in tissue 60 density, and relatively low contrast to noise ratio between grey matter (GM) and 61 white matter (WM). Therefore, automated segmentation tools for modelling structure 62 over years are limited, and this hampers research that would benefit from robust 63 assessment of the newborn to the adult trajectory.

64

65 With regard to methodology, approaches for automatic segmentation of brain MRI can be classified into unsupervised (Gui et al., 2012, Leroy et al., 2011, Cai et al., 66 67 2007, Weglinski and Fabijanska, 2011) or supervised (Weisenfeld and Warfield, 2009, Wang et al., 2015, Cardoso et al., 2013, Cherel et al., 2015, Moeskops et al., 68 69 2015, Ashburner and Friston, 2005, Van Leemput et al., 2001, Fischl et al., 2002, 70 Makropoulos et al., 2012, Serag et al., 2012b), (Kuklisova-Murgasova et al., 2011, 71 Prastawa et al., 2005, Shi et al., 2010, Song et al., 2007, Altaye et al., 2008, Loh et al., 72 2015) approaches. Supervised approaches have proven to be very successful in 73 medical image segmentation (Aljabar et al., 2009, Coupe et al., 2011, Lotjonen et al., 74 2010, Rousseau et al., 2011, Wang et al., 2013). However, as they rely on labelled 75 training data (or atlases) to infer the labels of a test scan, most existing supervised 76 approaches require a large number of training datasets to provide a reasonable level of 77 accuracy and they usually carry a high computation cost due to their requirement of 78 non-linear registrations between labelled data and the test scan (Iglesias and Sabuncu, 79 2015).

80

81 To address these challenges, here we describe a method for automatic brain

- 82 segmentation of MR images, called **SEGMA** (**SEGMentation Approach**). **SEGMA**
- 83 differs from current supervised approaches in the following ways. First, SEGMA uses
- a sparsity-based technique for training data selection by selecting training data
- 85 samples that are 'uniformly' distributed in the low-dimensional data space, and hence
- 86 eliminates the need for target-specific training data (Serag et al., 2016). Second,
- 87 SEGMA uses linear registration to provide an accurate segmentation (mainly to

88 ensure the same orientation and size for all subjects). This is useful because it reduces 89 computation time compared with most supervised methods which require non-linear 90 registrations between the training images and the target image. Finally, SEGMA uses a machine learning classification based on random forests (Breiman, 2001) where a 91 92 class label for a given test voxel is determined based on its high-dimensional feature 93 representation. In addition to incorporating more information into the feature set 94 (compared with methods that use voxel intensity information only), we use a sliding 95 window technique that moves over all positions in the test image and classifies all voxels inside the window at once, instead of assigning labels on a voxel by voxel 96 97 basis. This technique has the advantage of speeding-up the classification process 98 while minimising misclassifications compared with methods that use a global 99 classifier (Vovk et al., 2011, Iglesias et al., 2011, Zikic et al., 2014). The feature extraction framework is illustrated in Fig. 1. 100

- 101
- 102 2. Materials and methods103

# 104 **2.1 Data and image acquisition**105

The study includes brain imaging data from 179 subjects, spanning the ages of 0–71years, from three MRI datasets.

108

109 Dataset I. The first dataset contained MR images from 66 infants: 56 preterms (mean post-menstrual age [PMA] at birth 29.23 weeks, range 23.28–34.84 weeks) were 110 acquired at term equivalent age (mean PMA 39.84 weeks, range 38.00-42.71 weeks), 111 112 and 10 healthy infants born at full term (> 37 weeks' PMA). None of the infants had 113 focal parenchymal cystic lesions. Participants of the newborns dataset were recruited to a larger study using MRI to study the effect of preterm birth on brain growth and 114 115 long-term outcome. Ethical approval was granted by the National Research Ethics 116 Service (South East Scotland Research Ethics Committee) and NHS Research and 117 Development, and informed written parental consent was obtained. 118

- 119 A Siemens Magnetom Verio 3T MRI clinical scanner (Siemens Healthcare GmbH,
- 120 Erlangen, Germany) and 12-channel phased-array head coil were used to acquire: [1]
- 121 T1-weighted (T1w) 3D MPRAGE: TR = 1650 ms, TE = 2.43 ms, inversion time =
- 122 160 ms, flip angle = 9 degrees, acquisition plane = sagittal, voxel size =  $1 \times 1 \times 1$
- 123  $\text{mm}^3$ , FOV = 256 mm, acquired matrix = 256 × 256, acceleration factor (iPAT) = 2;
- 124 [2] T2-weighted [T2w] SPACE STIR: TR = 3800 ms, TE = 194 ms, flip angle = 120
- degrees, acquisition plane = sagittal, voxel size =  $0.9 \times 0.9 \times 0.9 \text{ mm}^3$ , FOV = 220
- 126 mm, acquired matrix =  $256 \times 218$ . The image data used in this manuscript are
- available from the BRAINS repository (Job et al., 2016)
- 128 (http://www.brainsimagebank.ac.uk).
- 129
- 130 Reference tissue segmentations for the dataset were generated using an Expectation-
- 131 Maximization algorithm with tissue priors provided by the atlas from (Serag et al.,

- 132 2012a, Serag et al., 2012c). Ground truth accuracy of reference neonatal
- 133 segmentations was evaluated by a radiologist experienced in neonatal brain MRI, who
- 134 concluded that they were all plausible representations of anatomical classes.
- 135 Quantitative evaluation of the reference segmentations was performed against manual
- 136 segmentations from 9 subjects chosen at random. For each subject, three slices (those
- 137 numbered as 25<sup>th</sup> percentile, median and 75<sup>th</sup> percentile of the slices containing brain
- tissue) were segmented. In order to remove bias towards any particular anatomical
- 139 plane, three subjects were segmented in the axial plane, three in the coronal plane, and
- three in the sagittal plane. The quantitative analyses indicated high agreement for alltissues (mean Dice coefficient of 92%).
- 142

143Dataset II. The second dataset contained T1w MRI scans and corresponding manual144expert segmentation of 32 structures from 103 subjects (mean age 11.24 years, range

- 145 4.20-16.90 years) publicly available from the Child and Adolescent
- 146 NeuroDevelopment Initiative (CANDI) at University of Massachusetts Medical
- 147 School (Kennedy et al., 2012, Frazier et al., 2008)
- 148 (<u>http://www.nitrc.org/projects/candi\_share</u>). The data originates from four diagnostic
- 149 groups: healthy controls (N = 29), schizophrenia spectrum (N = 20), Bipolar Disorder
- 150 (N = 35), and Bipolar Disorder with psychosis (N = 19). The T1w images were
- acquired using a 1.5T Signa scanner (GE Medical Systems, Milwaukee, USA) with
- the following parameters: a three-dimensional inversion recovery-prepared spoiled
- 153 gradient recalled echo coronal series, number of slices = 124, prep = 300 ms, TE = 1
- 154 min, flip angle = 25 degrees, FOV = 240 mm<sup>2</sup>, slice thickness = 1.5 mm, acquisition 155 matrix =  $256 \times 192$ , number of excitations=2.
- 156

157 Dataset III. The third dataset contained brain images and the corresponding manual 158 expert segmentation of the whole brain into 32 structures from 18 healthy subjects including both adults and children; for the current study, we used only the adult data 159 (N = 10, mean age 38, range 35-71 years). The dataset is publicly available from the 160 161 Internet Brain Segmentation Repository (http://www.cma.mgh.harvard.edu/ibsr/) as IBSR v2.0(Rohlfing, 2012). The T1w images were acquired using the following 162 parameters: scanner/scan parameters unspecified, acquisition plane = sagittal, number 163 of slices = 128, FOV =  $256 \times 256$  mm, voxel size =  $0.8 - 1.0 \times 0.8 - 1.0 \times 1.5$  mm<sup>3</sup>. 164

165

# 166 **2.2 Preprocessing**167

For brain extraction, we used the brain masks which are provided with each dataset; except dataset I which was brain extracted using ALFA (Serag et al., 2016). All images from all datasets were corrected for intensity inhomogeneity using the N4 method (Tustison et al., 2010).

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- 175

### 176 2.3 Training data

177 178 The number of training examples often must be limited due to the costs associated with procuring, preparing and storing the training examples, and the computational 179 180 costs associated with learning from them (Weiss and Provost, 2003). Therefore, we use in this work a sparsity-based technique to select a number of representative atlas 181 images that capture population variability by determining a subset of *n*-dimensional 182 183 samples that are 'uniformly' distributed in the low-dimensional data space (Serag et al., 2016). The technique works by first linearly registering (12 degrees of freedom) 184 all images from each dataset to an appropriate common coordinate space, and image 185 intensities are normalised using the method described by (Nyul and Udupa, 2000). 186 187 For dataset I, the 40 weeks PMA template from the 4D atlas (Serag et al., 2012a) was used as the common space, which is the closest age-matched template to the mean age 188 189 of the cohort, while datasets II and III were aligned to the common space defined by 190 the International Consortium for Brain Mapping (ICBM) atlas(Mazziotta et al., 2001). Then, all N aligned images are considered as candidates for the subset of selected 191 192 atlases. The closest image to the mean of the dataset is included as the first subset 193 image. The consecutive images are selected sequentially, based on the distances to the 194 images already assigned to the subset. Further details can be found in (Serag et al., 195 2016).

196

# 197 **2.4 Features** 198

199 We use machine learning to assign a label to all voxels in the test image, based on 200 training a local classifier. Most existing methods for tissue classification only utilise 201 information from voxel intensity, without considering other information. Here, in 202 addition to voxel intensities, we incorporated various gradient-based features. 203 Typically for each voxel v, a ten-dimensional feature vector  $\mathbf{f}_v$  is extracted:

- 204
- 205

$$\mathbf{f}_{v} = \begin{bmatrix} I & I_{x} & I_{y} & I_{z} & r & \theta & \phi & I_{xx} & I_{yy} & I_{zz} \end{bmatrix}^{T}$$
(1)

206

where *I* is the grey scale intensity value,  $I_x$ ,  $I_y$  and  $I_z$  are the norms of the first order derivatives, and  $I_{xx}$ ,  $I_{yy}$  and  $I_{zz}$  are the norms of the second order derivatives. The image derivatives are calculated through the filters  $[-1 \ 0 \ 1]^T$  and  $[-1 \ 2 \ -1]^T$ . The gradient magnitude (*r*), azimuth angle ( $\theta$ ) and zenith angle ( $\phi$ ) are defined as follows:

213  $r = \sqrt{I_x^2 + I_y^2 + I_z^2}$ (2)

214 
$$\theta = \tan^{-1} \left( \frac{l_y}{l_x} \right) \tag{3}$$

215 
$$\phi = \cos^{-1}\left(\frac{I_z}{r}\right) \tag{4}$$

217 where 
$$r \in [0, \infty)$$
,  $\theta \in [0, 2\pi)$ , and  $\phi \in [0, \pi]$ .

#### 2.5 Random forests

In the last decade, random forests (RF) (Breiman, 2001) became a popular ensemble learning algorithm, as they achieve state-of-the-art performance in numerous medical applications (Chen et al., 2010, Geremia et al., 2011, Mitra et al., 2014, Pereira et al.,

2016, Tustison et al., 2015, Zikic et al., 2014, Yi et al., 2009). A RF ensemble

classifier consists of multiple decision trees. In order to grow these ensembles, often 

random vectors are generated that govern the growth of each tree in the ensemble. Typically, each tree is trained by combining "bagging" (Breiman, 1996) (where a 

random selection is made from the examples in the training set) and random selection of a subset of features (Ho, 1998), which construct a collection of decision trees

- exhibiting controlled variation.

A test sample is pushed down to every decision tree of the random forest. When the sample ends up in one leaf node, the label of the training sample of that node it is assigned to the test sample as tree decision. Then, the final predicted class for a test sample is obtained by combining, in a voting procedure, the predictions of all individual trees. More details on decision forests for computer vision and medical image analysis can be found in (Criminisi and Shotton, 2013).

#### 2.6 Sliding-window based classification

A sliding window is used to move over all possible positions in the test image, and for each window, the voxels inside the window are classified into different tissues or structures. The vector in equation (1) represents the test sample for one voxel in a window, where the number of test samples is equal to the window size w. The training samples come from the voxels of the aligned atlas images that are located at the same location as the voxels belonging to the test window. This means that the number of training samples per window is equal to  $k \times w$ , where k is the number of training atlases and w is the window size, e.g.  $5 \times 5 \times 5$ , or  $7 \times 7 \times 7$ , etc.

A local RF classifier is then used to assign each voxel in the test image to a segmentation class. Figure 2 shows an example of classifying one test window. The SEGMA algorithm is summarised in Algorithm 1.

- 260
- 262

263 Algorithm 1. SEGMA algorithm 264 265 Set  $\mathbf{f}_{v}$  to represent a feature vector for a voxel vSet  $c_{v}$  to represent a segmentation class for a voxel v266 267 Set k to represent the number of training data Set *w* to represent the sliding window size 268 for each window W do 269 Construct the training data matrix  $\mathcal{T}_{W}^{Train} = \{\mathbf{f}_{v}^{j} | j = 1, ..., k; v = 1, ..., w\}$ 270 Train the  $RF_W$  classifier for window W using  $\mathcal{T}_W^{Train}$ 271 Construct the test data matrix  $\mathcal{T}_{W}^{Test} = \{\mathbf{f}_{v} | v = 1, ..., w\}$ 272

273 Determine the labels  $c_v$  for all voxels inside the test window W by applying 274  $RF_W$  to  $\mathcal{T}_W^{Test}$ 

274  $RF_W$  to  $\mathcal{T}_V$ 275 end

275 276

# 277 **2.6 Evaluation**278

A leave-one-out cross-validation procedure was performed for every dataset. Each subject from a dataset in turn was left out as a test sample and the remaining subjects were used as the training data where a subset of k atlases is selected. The comparison between automatic (A) and reference (M) segmentations was performed using the Dice coefficient (DC) which measures the extent of spatial overlap between two binary images, with range 0 (no overlap) to 1 (perfect agreement). The Dice values are expressed as a percentage and obtained using the following equation:

$$DC(A, M) = \frac{2|A \cap M|}{|A| + |M|} \times 100$$
 (5)

286

287 288

## 289 2.7 Comparison against other methods

290

We compared SEGMA against commonly used segmentation methods: Majority Vote
(MV) (Heckemann et al., 2006, Rohlfing et al., 2004), Simultaneous Truth And
Performance Level Estimation (STAPLE) (Warfield et al., 2004). The registration
scheme for these methods is based on non-linear image deformation (Rueckert et al.,
1999, Modat et al., 2010).

296

**297** To compare SEGMA against other RF segmentation methods, we implemented a

298 global RF classifier, similar to (Iglesias et al., 2011, Zikic et al., 2014), and

experimented training it using intensity and gradient-based features, and intensity

300 feature only. Non-linear registration was used as above to map the training images to

the test image coordinate space, and a RF classifier was trained using 100,000

302 randomly sampled voxels from each training image.

303

## 305 2.8 Statistical analyses

To test for differences between segmentation results, t-tests were used for normally
distributed data, and Mann Whitney U was used to compare non-normal distributions
(Shapiro-Wilk normality test was used). *P*-values < 0.05 were considered significant</li>
after controlling for Type I error using false discovery rate (FDR).

310

## 311 3 Results

312

To evaluate segmentation performance across the life course, SEGMA was applied to three publicly available datasets that provide MR brain images at different stages of the life course: neonatal period (38-42 weeks gestational age), childhood and adolescence (4-17 years), and adulthood (35-71 years). Figure 3 shows examples of brain segmentation results across the life course, and Fig. 4 shows the resulting Dice coefficient (i.e. the agreement between the automatic and reference segmentations).

319

# 320 **3.1 Brain segmentation in neonatal period**321

We first applied the proposed segmentation method to a neonatal cohort (dataset I) consisting of 66 MR images and associated segmentation of the following tissues / structures: brainstem, cerebellum, cortex or GM, cerebrospinal fluid (CSF), deep GM and WM. Quantitative analyses (Fig. 4) indicated high accuracy for all tissues and structures with a mean Dice coefficient of 91%.

327

The highest accuracies obtained for brainstem, cerebellum, deep GM, and WM with
mean Dice coefficient of 90–94%, while cortex and CSF had average Dice
coefficients of 89% and 85%, respectively.

331

# 332 **3.2 Brain segmentation in childhood and adolescence**

To examine the performance of SEGMA in childhood and adolescence, we used 103 MR images from subjects aged 4-17 years (dataset II) with associated anatomical segmentation of 32 structures. Quantitative analyses (Fig. 4) indicated high accuracy for all tissues and structures with a mean Dice coefficient of 86%. Nine structures had an average Dice coefficient higher than 90%, 7 structures had an average Dice coefficient of 79–89%, and 2 structures had an average Dice coefficient of 51–67%.

340

## 341 **3.3 Brains segmentation in adulthood**

342343 A dataset (dataset III) consisting of MR images and corresponding anatomical

344 segmentation of 32 structures from 10 subjects (aged 38–71 years) was used to

examine the performance of the segmentation algorithm in adulthood. Quantitative

analyses (Fig. 4) indicated high accuracy of 83%. Seven structures had an average

347 Dice coefficient higher than 90%, 9 structures had an average Dice coefficient of 75–

348 89%, and 2 structures had an average Dice coefficient of 49–57%.

- 349 **3.4 Comparison against other methods**
- 350

SEGMA was compared with two commonly used segmentation methods [Majority 351 Vote (MV) (Heckemann et al., 2006, Rohlfing et al., 2004), Simultaneous Truth And 352 353 Performance Level Estimation (STAPLE) (Warfield et al., 2004)], and other RF-354 based segmentation methods. SEGMA improved overall segmentation accuracy

355 compared with MV, STAPLE, global-RF-1 (trained using intensity and gradient 356 features), and global- RF- 2 (trained using intensity feature only); Table 1 shows Dice 357 coefficients averaged over all structures, generated by each segmentation method and

applied to datasets I, II and III. (P<0.001; after FDR correction).

358

## 359

#### 360 **3.5 Reproducibility** 361

As dataset I (neonatal period) included T1-weighted (T1w) and T2-weighted (T2w) 362 363 MR imaging, we used it to test the reproducibility of SEGMA across different MR 364 modalities by segmenting the newborn brain using information from T1w and T2w data separately (Fig. 5). SEGMA provided consistent segmentation results across 365 different structural MRI modalities of the newborn brain. There was no statistically 366 significant difference between mean Dice scores estimated from the two groups (P =367 368 0.8977).

369

#### 370 **3.6 Influence of parameters** 371

We evaluated the influence of size of training data on segmentation accuracy, and 372 found that increasing the size of the training data improves segmentation accuracy. 373 374 evidenced by the increase in average Dice coefficient from 88% (7% training data) to 375 91% (30% training data) for neonates, and from 83% (5% training data) to 86% (20% training data) for children and adolescents. From our experiments, 5-10 training 376 377 images were sufficient to vield accurate results.

378

379 Forest parameters such as tree depth and number of samples per leaf node were set 380 according to pervious work (Wang et al., 2015, Zikic et al., 2014, Geremia et al.,

2011), and in this work, we only evaluated the influence of number of trees on 381

382 segmentation accuracy. The number of trees in the forest characterizes the

383 generalization power. As the number of trees becomes large, segmentation accuracy

384 increases, but training time increases and a threshold value is reached after which

- 385 further improvement is not achieved. In this work, number of trees was set to 10.
- 386

387 With regard to window size, the smaller the window, the longer the classification 388 time. Hence, window size needs to be chosen carefully as it provides a balance 389 between accuracy and speed. Therefore, in this paper, we select the window size as 390  $5 \times 5 \times 5$ .

391

## **394 3.7 Relative importance of features**

395
396 As partial volume effects in neonatal brain MRI present challenges for automatic
397 segmentation methods, we evaluated the influence of each of the features on

398 segmentation accuracy of the neonatal brain (dataset I). This was done by dropping

399 one or a group of the ten features and running segmentation with the remaining

400 features (features of the same type were dropped together). Therefore, an

401 approximation of relative importance of each feature was obtained. Our experiments

show that dropping the intensity feature significantly hinders the segmentation

403 accuracy (Fig. 6a), whilst the accuracy is improved by incorporating gradient-based
404 features. When all of the features are used, SEGMA yielded higher accuracy than

405 each individual category (P<0.001; after FDR correction). Figure 6b also shows an

406 example of the automatic neonatal cortical GM segmentation and how the dropping of407 each of the ten features affects the segmentation accuracy.

408

409 We then analysed the edge detection for various regions based on using all features

410 (intensity combined with gradients) and grey scale intensity only. Figure 7 shows that

- gradient-based features improved edge detection for various regions of the adult andneonatal brain.
- 413

# 414415**3.8 Computation time**

416 One classification task on a 64-bit iMac® (Intel® Core i7 @ 3.5GHz x 4, 32 GB 417 RAM) takes 5-7 minutes. The classification has benefited much from the sliding 418 window strategy used. This is because instead of performing the classification in a 419 voxel-wise manner, this is done for a batch of voxels at once. Assuming a window 420 size of  $5 \times 5 \times 5$ , the classification time is decreased by 125 folds. In addition, multi-421 core processing or computer clusters could greatly enhance the speed; and then one 422 brain classification could be performed in about (or less than) 1min.

## 424 **4. Discussion**

In this article, we present a new method for MRI brain segmentation (SEGMentation
Approach, SEGMA). SEGMA was evaluated on three different datasets (span the
ages 0–71 years) that provide different challenges to the brain segmentation task, and
accurate results were obtained at all stages of development.

430

The method is trained using partially labelled datasets where a relatively small

number of manually labelled images from the population under study are sufficient toprovide accurate results. It is possible that training the method with a larger dataset

433 provide accurate results. It is possible that training the method with a larger dataset
 434 might increase the segmentation accuracy. However, our goal was to design a

- 434 might increase the segmentation accuracy. However, our goal was to design a
- 435 methodology that can provide an acceptable, yet high accuracy result using a small
- 436 number of training images (and thence a low computation cost).
- 437
- 438

- 440 The relatively lower performance for CSF could be caused by its bordering with GM441 (which is a complex shape). The boundary between GM and CSF is especially
- 442 difficult to identify inside the sulci, where it is often poorly visible. In addition, the
- 443 relatively lower performance for the children and adolescence, and adult datasets
- 444 compared with the neonatal dataset could be attributable to scanner strength. Yet, the
- results obtained are comparable with those obtained using other methods tested on the same datasets (Rousseau et al., 2011, Zikic et al., 2014).
- 447
- 448 SEGMA uses a local RF classifier (trained by information from neighbouring voxels449 in the same window) to assign a label to each voxel, which makes it less susceptible
- 450 to classification errors such as the partial volume misclassification on the CSF-GM
- and CSF-background boundaries (Cardoso et al., 2013, Isgum et al., 2015, Kuklisova-
- 452 Murgasova et al., 2011, Moeskops et al., 2015). We chose to use random forests as
- the classification technique since they naturally handle multi-class classification
- 454 problems and are accurate and fast (Chen et al., 2010, Criminisi and Shotton, 2013,
- 455 Geremia et al., 2011). Also, the sliding window plays an important role in
- 456 significantly speeding up the classification task (compared to voxel-wise approaches).
- 457

458 The method provides an accurate segmentation using only linear registration, which 459 ensures the same orientation and size for all subjects. This is an advantage compared 460 with most supervised methods, which require non-linear registrations between the training images and the test image which increases segmentation time to several hours 461 462 thereby compromising clinical utility (Iglesias and Sabuncu, 2015). SEGMA also has 463 the advantage of providing an accurate segmentation using a single modality (which is important as the available data might be limited to one modality), and features that 464 465 characterise object appearance and shape (intensity and gradients). However, the 466 method is flexible and new features can easily be added to the high-dimensional 467 feature vector.

468

469 To conclude, we present a method for segmentation of human brain MRI that is

- 470 robust and provides accurate and consistent results across different age groups and
- 471 modalities. As SEGMA can learn from partially labelled datasets, it can be used to
- segment large-scale datasets efficiently. The idea of SEGMA is generic and could be
- applied to different populations and imaging modalities across the life course.
- 474 SEGMA is available to the research community at <u>http://brainsquare.org</u>.
- 475
- 476 5. Author contributions477

A.S. designed and performed the experiments, and wrote the manuscript; A.S., J.P.B.
and A.G.W. analysed output data; E.J.T., R.P. and S.A.S. recruited patients; G.M. and
S.I.S. acquired imaging data. All authors approved the final submitted version, and
agreed to be accountable for its content.

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- 483

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497	8. Conflict of interest statement
498	
499	The authors declare that the research was conducted in the absence of any commercial
500	or financial relationships that could be construed as a potential conflict of interest.
501	
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### 743 **10. Figures** 744

Figure 1. Overview of the SEGMA feature extraction framework. The input test
image is preprocessed for brain extraction and bias field correction, before computing
gradients. Then, a sliding window is scanned across the input image at all positions
where a feature vector for each voxel over the window is extracted using intensity and
gradient information. The feature vectors are fed into a random forest classifier
trained for structure / tissue classification.

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Figure 2. An example of classifying one test window. The green square in the test
image represents the test window. The green rectangle represents the extracted
features from the test window (i.e. test samples). The red rectangle represents the
extracted features from training data (i.e. training samples). The random forest
classifier is trained using the training samples and the voxels inside the test window
are classified into different classes based on test samples.

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Figure 3. Examples of brain segmentation results across the life course (axial view) using SEGMA. The automated segmentation is based on T2-weighted scans for the neonatal period and T1-weighted scans for the rest of growth stages. The images are taken from single subjects at the shown ages, where neonatal period images come from dataset I; childhood and adolescence images come from dataset II; and adulthood images come from dataset III.

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Figure 4. Bar plots of the Dice coefficient (with standard deviation as error bar)
comparing segmentations derived from SEGMA with reference segmentations using
(a) dataset I [neonatal period], (b) dataset II [childhood and adolescence], and (c)
dataset III [adulthood].

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- Figure 5. Examples of SEGMA's output segmentation results (sagittal view) using
  T1-weighted (T1w) and T2-weighted (T2w) MR individually.
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Figure 6. (a) Relative importance of each of the ten features, expressed as the segmentation accuracy, on removing the feature from the feature vector. The leftmost bar shows a baseline value - Dice coefficient, when all features are used. (b) An example of the automatic segmentation of cortical GM (coronal view), which shows how the dropping of each of the ten features affects the segmentation accuracy. The baseline segmentation is obtained by using all features. 

Figure 7. Examples of edge detection for various regions (cortical grey matter, sub-cortical structures, brainstem and cerebellum) based on using all features (intensity combined with gradients) and intensity grey scale only, for a neonatal (dataset I) and an adult brain (dataset III).

#### 11. Tables

Table 1. Dice coefficients averaged over all structures for datasets I, II and III.

SEGMA is compared with MV, STAPLE, global-RF-1, and global-RF-2.

Dataset	SEGMA	global-RF-1	global-RF-2	MV	STAPLE
1	90.68 %	85.29 %	84.22 %	86.97 %	87.01 %
П	86.05 %	78.98 %	74.90 %	81.75 %	79.17 %
	82.56 %	78.75 %	76.02 %	77.13 %	77.54 %





Figure 3.JPEG









Figure 6.JPEG



