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1 **Evaluation of the potential killing performance of novel percussive and**
2 **cervical dislocation tools in chicken cadavers**

3
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14 Short title: Novel percussive and cervical dislocation tools for despatching poultry

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36

37 **Abstract**

- 38 1. Four mechanical poultry killing devices; modified Armadillo[®] (MARM), modified Rabbit
39 Zinger[™] (MZIN), modified pliers (MPLI) and a novel mechanical cervical dislocation
40 gloved device (NMCD), were assessed for their killing potential in the cadavers of
41 euthanised, of four bird type and age combinations: layer/adult, layer/pullet,
42 broiler/slaughter-age, broiler/chick.
- 43 2. A 4x4x4 factorial design (batch x device x bird type + age) was employed. Ten bird
44 cadavers per bird type and age were tested with each of the four mechanical devices
45 (N = 160 birds). All cadavers were examined post-mortem to establish the anatomical
46 damage caused by each device.
- 47 3. Three of the mechanical methods: NMCD, MARM and MZIN demonstrated killing
48 potential, as well as consistency in their anatomical effects, with device success rates
49 of over 50% indicating that the devices performed optimally more than half of the time.
50 NMCD had the highest killing potential, with 100% of birds sustaining the required
51 physical trauma to have caused rapid death.
- 52 4. The MPLI was inconsistent, and only performed optimally for 27.5% of birds, despite
53 good killing potential when performing well. Severe crushing injury was seen in >50%
54 of MPLI birds, suggesting that birds would die of asphyxia rather than cerebral
55 ischemia, a major welfare concern. As a result the modified pliers are not
56 recommended as a humane on-farm killing device for chickens.
- 57 5. This experiment provides important data on the killing potential of untried novel
58 percussive and mechanical cervical dislocation methods, informing future studies.

59

60 **Keywords**

61 Killing; poultry; cervical dislocation; percussive; post-mortem; animal welfare.

62

63 **Introduction**

64 Worldwide, an estimated 9.1 billion birds may need to be killed on farm each year (DEFRA
65 2015) and the method with which these birds are killed therefore has relevance to poultry
66 welfare on a large scale. Poultry may need to be killed on-farm for multiple reasons (e.g. injury,
67 sickness and for stock management). Emergency killing on a large scale is often controlled by
68 whole-house or containerised gas methods (e.g. Lambooij *et al.*, 1999; Gerritzen *et al.*, 2004;
69 Gerritzen *et al.*, 2009; McKeegan *et al.*, 2011), but for the killing of smaller numbers of birds
70 on-farm, there are currently two main methods: (i) cervical dislocation, which is designed to
71 cause death by cerebral ischaemia and extensive damage to the spinal cord and brainstem
72 (Ommaya & Gennarelli 1974; Gregory & Wotton 1990; Erasmus *et al.*, 2010a,b; Bader *et al.*,
73 2014; Martin *et al.*, 2016); and (ii) percussive devices designed to cause extensive brain
74 damage, resulting in brain death (Gregory & Wotton, 1990; HSA, 2004; Mason *et al.*, 2009;
75 Erasmus *et al.*, 2010a,b; Sparrey *et al.*, 2014; Cors *et al.*, 2015).

76

77 Cervical dislocation is one of the most prevalent methods for killing individual birds and is used
78 in commercial and non-commercial contexts. It is perceived to be humane by users, is easy
79 to learn and perform, and does not require equipment (Mason *et al.*, 2009; Sparrey *et al.*,
80 2014; Martin, 2015; Martin *et al.*, 2016). Both manual and mechanical cervical dislocation
81 killing methods are designed to separate the skull from the vertebral column of the bird (ideally
82 C0–C1 vertebral dislocation), resulting in severing of the spinal cord and/or brainstem and the
83 main blood vessels supplying the brain (Gregory & Wotton, 1990; Parent *et al.*, 1992; Veras
84 *et al.*, 2000; Cartner *et al.*, 2007; Mason *et al.*, 2009). It has been suggested that optimal
85 application also produces a concussive effect on the bird due to trauma inflicted on the
86 brainstem through the action of stretching and twisting (Harrop *et al.*, 2001; Shi & Pryor, 2002;
87 Pryor & Shi, 2006; Shi & Whitebone, 2006; Cartner *et al.*, 2007; Erasmus *et al.*, 2010a).
88 However, both methods of cervical dislocation have been the subject of welfare concern, as
89 research in the last 40 years has raised questions about their humaneness and consistency
90 in poultry (Gregory & Wotton, 1986, 1990; Erasmus *et al.*, 2010a), as well as other species
91 (Tidswell *et al.*, 1987; Cartner *et al.*, 2007). Some studies have indicated that animals,

92 including poultry, may be conscious for a significant period post-application of cervical
93 dislocation (Gregory & Wotton, 1990; Erasmus *et al.*, 2010a; Carbone *et al.*, 2012) and it has
94 been noted that there is high variability in its application by different relevant groups (e.g.
95 poultry stock-workers, veterinarians, trained slaughtermen) (Mason *et al.*, 2009; Sparrey *et*
96 *al.*, 2014). Since January 2013 the use of manual cervical dislocation (MCD) as a killing
97 method for poultry on-farm has been heavily restricted through the new EU legislation,
98 Regulation (EC) no. 1099/2009 On the Protection of Animals at the Time of Killing (European
99 Commission, 2009), following reported welfare concerns. In 2009, FAWC recommended
100 further research to explore current and novel methods for killing poultry in small numbers.
101 Several mechanical devices have been developed recently (e.g. CASH Poultry Killer, Turkey
102 Euthanasia Device) (Erasmus *et al.*, 2010a; Erasmus *et al.*, 2010b; HSA, 2004; Raj and
103 O'Callaghan, 2001), however, none have been enthusiastically adopted across the
104 commercial industry or by small poultry keepers.

105

106 Previous research has shown that post-mortem analysis is effective in inferring killing potential
107 and time to loss of consciousness and has been used across several species in determining
108 success rates of slaughter and on-farm killing method in livestock species while avoiding
109 ethical concerns associated with the application of new killing methods (e.g. Anil *et al.*, 2002;
110 Grandin, 2010; Morzel *et al.*, 2002; Bader *et al.*, 2014). The successful application of cervical
111 dislocation methods is determined by the animal having its neck dislocated and the spinal cord
112 severed (Bader *et al.*, 2014; Carbone *et al.*, 2012; Cartner *et al.*, 2007; Erasmus *et al.*, 2010a),
113 while for concussive (head trauma) devices, there must be sufficient damage (e.g. skull
114 fractures, brain contusions, cerebral oedema, hemorrhaging and *contra-coup* damage (i.e.
115 damage to the brain on both sides: the side that received the initial impact (coup) and the side
116 opposite to the initial impact (countercoup))) (Finnie *et al.*, 2000; Finnie *et al.*, 2002; Gregory
117 *et al.*, 2007; Gregory and Shaw, 2000). Such effects can be observed in cadavers following
118 the application of killing methods. Determining the success rate of a killing device is essential
119 to evaluating its overall efficacy, and the designing and prototyping of novel and modified

120 devices is the first stage of the development of a new humane device to despatch poultry on-
121 farm. The aim of this study was to assess the potential killing performance of four novel or
122 modified mechanical devices on both layer and broiler cadavers, through post-mortem
123 analysis. The results can then inform the decision of whether the devices should be taken
124 forward for further development and evaluation in live and conscious birds as potential new
125 on-farm killing methods for chickens.

126

127

128 **Methods**

129 *Subjects and husbandry*

130 A total of 160 female layer-type (Hy-Line) and meat-type (Ross 308) chickens (*Gallus gallus*
131 *domesticus*) were used in this study across four batches which were distributed equally across
132 two types and ages (Table 1). Birds were sourced from commercial farms and transported to
133 SRUC facilities in four batches of 40 birds per batch, with each batch containing all four bird
134 type and age combinations. The birds were weighed and wing-tagged on arrival.

135

136 The birds were housed for one week prior to the experiment in order to allow them to
137 acclimatise to the new environment and were housed in separate rooms per bird type and age
138 group to provide recommended environmental controls (Aviagen, 2009; Hy-Line, 2012). All
139 birds were kept in floor pens with wood-shavings litter at significantly lower than commercial
140 stocking density and with various environmental enrichments (e.g. suspended CDs, perches).
141 The pens were constructed from wooden frames with wire-grid sides and roofs, allowing visual
142 and auditory contact with other birds within the same room. Broiler chicks and layer pullets
143 were housed in group pens (L 1.5 m x W 2.5 m x H 1.5 m). Broilers (slaughter-age) and layer
144 hens were kept in pairs (pen size: L 1.5 m x W 0.5 m x H 1.5 m). All birds had *ad libitum* access
145 to appropriate food and water. All birds were inspected twice daily, and the minimum and
146 maximum temperatures were recorded each morning.

147

148 This experiment was performed under UK Home Office licence authority via Project and
149 Personal licences and underwent review and approval (AUAE8-2012) by SRUC's ethical
150 review body. All routine animal management procedures were adhered to by trained staff.

151

152 *Experimental Procedure*

153 The experiment was designed around a 4 x 4 x 4 factorial design (batch x device x bird type
154 + age). Ten birds per bird type (+ age) were tested with each of the four mechanical devices
155 (N = 160 birds). Birds were tested in four one week batches, with birds being tested in blocks
156 of ten per day in order to minimise any effect of operator fatigue (Sparrey *et al.*, 2014). A
157 Graeco Latin square was used to balance batch, block, bird type (+ age) and device. Within
158 this, 4 Latin squares (1 per batch) were used to balance block, test order in block and bird
159 type (+age), with the test order in each block then repeated until all 10 birds were tested.

160

161 All birds were weighed and had schematic measurements of the head and neck were taken
162 (Figure 2). Because it was inappropriate to evaluate un-tested killing methods on live birds,
163 the birds were sequentially euthanised by an intravenous sodium pentobarbital injection
164 (Euthatal, Merial Animal Health Ltd., Essex, UK) via the brachial vein immediately prior to
165 device testing in order to minimise blood coagulation and morphological changes (Gordon *et*
166 *al.*, 1988; Bell *et al.*, 1999).

167

168 Four mechanical poultry killing devices: modified Armadillo® (MARM), modified Rabbit
169 Zinger™ (MZIN), modified pliers (MPLI) and a novel mechanical cervical dislocation gloved
170 device (NMCD) were assessed for their killing potential in cadaver birds (four bird type and
171 age combinations). All methods developed are discussed in detail in Martin (2015) and were
172 designed to comply with the current European legislation, EC1099/2009 (European Council,
173 2009). Briefly, the Armadillo® (Figure 1a) is a brain-stem penetrating device designed by a
174 veterinarian to dispatch game birds in the field (Sparrey *et al.*, 2014; Martin, 2015). The device
175 consists of a scissor-type mechanism (approximately 17 cm in length); the bird's head is

176 placed into the 'cup' of the lower arm (beak facing downwards) and when ready to apply the
177 operator squeezes the handles together, which pushes the top arm (and the penetrating spike)
178 downwards into the back of the bird's skull, preferably through the foramen magnum therefore
179 severing the top of the spinal cord (or brain stem), and causing death by cerebral ischemia.
180 Presently there is no published scientific evidence on the efficacy of this device. Modifications
181 (with the permission of the inventor) consisted of replacing the lower arm of the device in order
182 to increase the upper (U) (33 mm to 37 mm) and lower (L) (19 mm to 27 mm) diameters of the
183 openings of the metal cup based on pilot work demonstrating the need for a more space to
184 encompass chicken heads. Additional insertion cups were molded from 1mm thick plastic
185 funnels, in order to generate two adjustments (G1, G2) to fit the various sizes of birds' heads,
186 based on bird type and age (G1: U=36 mm and L=23 mm (broiler, layer hen); G2: U=30 mm
187 and L=18 mm (layer pullets, broiler chicks)). The additional cups also had soft padding
188 (Waxman 4719095N ½ inch Self Stick Felt Pads, Waxman, Ohio, United States) added around
189 the sides, which cushioned the lateral sides of the bird's head (over the eyes) as well as
190 creating an oval shape for the upper opening.

191

192 The Rabbit Zinger™ (Pizzurro, 2009a,b) is a penetrating captive-bolt device originally
193 designed to kill rabbits (Figure 1b). It uses the stored energy in rubber tubes to drive a
194 penetrating bolt into the animal's head, causing death by extensive irreversible brain damage
195 (DEFRA, 2014; Martin, 2015). The device was modified with permission of the original
196 designer in order to adapt it to the new target species (i.e. poultry), however the original
197 function and bolt mechanism of the device was retained. The blue Power Tubes™ (Pizzurro,
198 2009a) were used, which require 177 N to pull the bolt into the cocked position (Sparrey *et al.*,
199 2014; Martin *et al.*, 2016) and when fired the bolt (0.6 mm diameter) delivered approximately
200 11.87 J of kinetic energy. The modifications have been described previously (Martin, 2015;
201 Martin *et al.*, 2016), but consisted of three aluminium appendages added to the base of the
202 device in order to provide a method of gently restraining the bird's head: two rested either side
203 of the bird's head (over the ears, orauricular feathers) and the third ran down the front of the

204 bird's face between the eyes and over the nostrils and beak. Additional leather washers
205 (Pizzurro, 2009a,b) were added to the bolt, in order to reduce the penetration depth from 3.5
206 to 2.5 cm. The MZIN device was also weighted at the bottom in order to counteract the top-
207 heaviness of the device when cocked.

208

209 'Semark' pliers (also known as the 'Humane Bird Dispatcher') weigh approximately 200 g and
210 have an overall length of 180 mm. When the blades of the device are fully open the maximum
211 distance between the upper and lower teeth is 36 mm. When the blades are fully closed there
212 is a slight gap between the blades (<1 mm). The pliers were modified (MPLI) in an attempt to
213 reduce reported crushing injury (DEFRA 2014) by adapting the shape and width of the blades
214 in order to create a narrower, curved concave edge rather than a straight edge (Martin, 2015).
215 The edges of the blades remained blunt in order to reduce the risk of skin tearing and thus
216 blood loss during application of the method. It was hypothesised that by narrowing the edge
217 of the blade it would reduce the risk of crushing and would instead increase the likelihood of
218 dislocation, as the narrower blade would more easily slip between two cervical vertebra when
219 force was applied. The blades were widened gradually to increase the size of the blade (over
220 3 mm) and therefore generate a dislocation (i.e. gap between the two vertebra), by pushing
221 the vertebrae apart.

222

223 The NMCD device (Figure 1d) was designed to create a mechanical method for cervical
224 dislocation of poultry which mirrored the technique of the manual method (described in Martin,
225 2015; Martin et al., 2016). The device consisted of a thin supportive glove (SHOWA 370
226 Multipurpose Stable Glove™, UK) designed to support the wrist and hand (and hypothesised
227 to reduce strain injury in the operator) and a moveable metal insert. The metal insert consisted
228 of two metal finger supports that were designed to fit around the bird's head to create a secure
229 grip, and to move independently from side-to-side in order to allow adjustment for different
230 sizes of birds (Figure 1d). The rounded shape of the metal fingers was designed to aid the
231 twisting motion (performed during manual cervical dislocation (Sparrey *et al.*, 2014; Martin *et*

232 *al.*, 2016)) required to dislocate the bird's neck by enhancing the 'rolling action' of the hand.
233 The blunt edge between the two metal fingers (protruding < 1 mm from the fleshy area of skin
234 between the index and middle fingers) provided a hard edge to force between the back of the
235 bird's head and the top of the neck, designed to focalise the force into the desired area (i.e. a
236 dislocation at C0–C1) when the method was applied.

237

238

239 After device application, cadavers were immediately examined post-mortem in order to
240 establish as accurately as possible the anatomical damage caused by the device. Specific
241 post-mortem measures were recorded for each killing device as their target anatomical areas
242 were different. For all killing devices, binary measures (yes/no) were recorded for skin broken,
243 external blood loss and subcutaneous hematoma and the total number of attempts were
244 recorded (e.g. multiple pulls for NMCD or miss-fire of MZIN). For the MZIN and MARM, seven
245 specific measures were recorded: binary measures of damage to the skull, specific brain
246 regions (left forebrain, right forebrain, cerebellum, midbrain and brainstem); and the presence
247 of an internal brain cavity hematoma. For killing devices which caused trauma to the neck of
248 the bird (NMCD and MPLI), seven specific post-mortem measures were assessed including
249 four binary measures (dislocation of the neck, vertebra damage (e.g. intra-vertebra
250 dislocation/break), damage to neck muscle, crushing injury to the trachea or oesophagus and
251 whether the spinal cord was severed). The level of cervical dislocation was also recorded (e.g.
252 between C0-C1, C1-C2, C2-C3, etc.).The number of carotid arteries severed was also
253 recorded as zero, one or both.

254

255 *Derived kill potential and device success*

256 From the post-mortem evaluations two further binary (yes/no) measures were derived: kill
257 potential and device success. Kill potential was defined as the cadaver exhibiting sufficient
258 damage to any part of the anatomy which would have resulted in death (if the bird had been
259 alive at testing) following one attempt. For example, this was confirmed dislocation of the neck

260 and severing of the spinal cord for NMCD and MPLI (Bader et al., 2014; Erasmus et al., 2010a;
261 Gregory and Wotton, 1990); and diffuse brain damage for the MARM and MZIN (Finnie *et al.*,
262 2000; Finnie *et al.*, 2002; Limon *et al.*, 2010) after one attempt.

263

264 Device success was defined as when the device caused the desired anatomical damage,
265 dictated by its hypothesised design, as well as producing sufficient damage which would have
266 resulted in death (if the bird had been alive at testing) and based on scientific literature would
267 be most likely to minimise time to unconsciousness post device application. Device success
268 criteria were device specific and are described in Table 2.

269

270 **Statistical Analysis**

271 All data were summarised in Microsoft Excel (2010) spread sheets and analysed using
272 Genstat (14th Edition). Statistical significance was based on F statistics and $P < 0.05$
273 significance level. Summary graphs and statistics were produced at bird and treatment level.
274 Generalised Linear Mixed Models (GLMM) (binomial distribution) were used to compare
275 performance across the four killing devices in terms of kill potential and device success, while
276 incorporating bird type, age, and block as fixed effects and bird weight head measurements
277 as co-variates. Batch was included as a random effect. Detailed comparisons of device
278 performance were achieved by sub setting the data twice: initially to remove unsuccessfully
279 “killed” birds (i.e. kill potential “no”) in order to prevent data skewing; and then into two groups
280 dependent on trauma area: 1) neck trauma (NMCD and MPLI); and (2) head trauma (MZIN
281 and MARM), in order to allow logical comparison between killing treatments which damaged
282 the neck or the head. Statistical comparisons on anatomical measures were conducted via
283 GLMMs (Poisson distribution and binomial distribution) or Linear Mixed Models (LLM) (normal
284 distribution) dependent on the data distributions for each variable. Data transformations were
285 attempted when necessary via Logarithm function. All models included batch number as
286 random effects. All fixed effects were treated as factors and classed as categorical
287 classifications and all interactions between factors were included in maximal models.

288

289 **Results**

290 A total of 36 birds were not successfully “killed” on the first attempt (NMCD = 0/40 birds; MPLI
291 = 15/40 birds; MARM = 15/40 birds; and MZIN = 6/40 birds). Device had an effect on kill
292 potential ($F_{(3,144)}=2.88$, $P=0.038$), with NMCD having the highest kill potential, with 100% of
293 birds sustaining the required physical trauma to have caused death (Figure 3). The MARM
294 and MPLI had the lowest kill potential, both achieving 62.5%. Bird age was the only other
295 factor to affect kill potential ($F_{(1,144)}=5.15$, $P=0.025$), with younger birds being more likely to
296 sustain the required physiological trauma to have resulted in death (mean = 0.87 ± 0.04),
297 compared to older birds (mean = 0.68 ± 0.05). All other factors (bird weight, type and head
298 measures) and their interactions had no effect on kill potential.

299

300 Device success was affected by killing device ($F_{(3,144)}=7.00$, $P<0.001$), with NMCD shown to
301 be most likely to perform in the desired way and producing optimal damage (Figure 3). Like
302 kill potential, bird age affected device success ($F_{(1,144)}=5.03$, $P=0.026$), with younger birds
303 (mean = 0.69 ± 0.05) being more likely to sustain optimal anatomical damage compared to
304 older birds (mean = 0.53 ± 0.06). All other factors and their interactions had no effect on device
305 success.

306

307 *Percussive methods*

308 For successfully killed birds (MARM = 25/40 birds; and MZIN = 34/40 birds), the percentage
309 of birds for which the relevant head trauma post mortem factor was present, according to
310 killing method is shown in Table 3. Killing device had no effect on the majority of post-mortem
311 measures, apart from damage to left forebrain, mid brain, and brain stem. The MZIN was
312 significantly more likely to cause trauma to the left forebrain and the mid brain compared to
313 the MARM, however, the opposite was seen for the brain stem, with very few MZIN birds
314 sustaining damage compared to the MARM. No other factor or interaction affected external
315 bleeding, skin tearing, subcutaneous hematoma, or whether or not the skull was damaged.

316 Bird type, bird age, bird weight and their interactions with killing method had no effect on
317 damage to any region of the brain.

318

319 *Cervical dislocation methods*

320 For successfully killed birds (MPLI = 25/40 birds; NMCD = 40/40 birds), the percentage of
321 birds for which the relevant neck trauma post mortem factor was present, according to killing
322 method, is shown in Table 4. Numerically, MPLI was more likely to tear the skin, cause external
323 bleeding, vertebral damage, trachea damage, and oesophagus damage compared to NMCD,
324 but the differences were not significant. NMCD was more likely to cause cervical dislocation,
325 as well as severing one or more carotid arteries compared to MPLI (Figure 4). However, the
326 location of the dislocation (e.g. C0-C1, C1-C2, etc.) was not significantly affected by killing
327 method ($F_{3,74}=2.34$, $P=0.076$), although there was a tendency ($P < 0.10$), for NMCD to be
328 more likely to cause a higher level dislocation compared to MPLI (Figure 5).

329

330 Whether or not cervical dislocation (no = 0; yes = 1) occurred was significantly affected by bird
331 type ($F_{1,74}=5.98$, $P=0.014$) and bird age ($F_{1,74}=6.39$, $P=0.011$), with dislocations more likely
332 to occur in broilers (mean = 0.95 ± 0.05) rather than layers (mean = 0.55 ± 0.11), and younger
333 birds (mean = 0.90 ± 0.07) compared to older birds (mean = 0.60 ± 0.11). The diameter of the
334 birds' necks (N1) ($F_{1,74}=4.00$, $P=0.050$) also had an effect with unsuccessful dislocations
335 associated with larger neck diameters (17.1 ± 1.09 mm) compared to successful dislocations
336 (14.9 ± 0.51 mm). Bird type had an effect on the likelihood of vertebral damage (no = 0; yes =
337 1), with layers (mean = 0.75 ± 0.10) more likely to sustain damage than broilers (mean = 0.35
338 ± 0.11). No other factors or interactions, apart from killing method (reported above) had an
339 effect on vertebral damage.

340

341 Bird type, bird age, and bird weight and their interactions with killing device had no effect on
342 skin tearing, external bleeding, subcutaneous, hematoma, trachea damage, oesophagus
343 damage, number of carotid arteries severed, dislocation level, and dislocation level. The neck

344 diameter of the birds (N1) had a tendency to affect the number of carotid arteries severed
345 ($F_{1,74}=3.31$, $P=0.074$), with a significant negative correlation ($r = -0.382$, $P = 0.047$).

346

347 **Discussion**

348 The results of this experiment provide important data to allow evaluation of the killing potential
349 of four untried novel percussive and mechanical cervical dislocation methods for chickens.

350 The devices had been designed and prototyped with the aim to cause rapid loss of
351 consciousness and brain death in order to be effective and humane. The NMCD device was
352 shown to have the highest killing potential (100%), however, all devices achieved a killing
353 potential of over 60%. NMCD was also shown to have the highest device success (90%),

354 demonstrating its consistency in achieving optimal damage to the cadavers, irrespective of
355 bird type. Device success was always lower than the killing potential for each method because

356 it was a more specific measure. The difference between killing potential and devices success
357 was approximately 10% for NMCD, MZIN and MARM, demonstrating that these methods were

358 not always performing optimally, which could have welfare implications. For NMCD, the
359 primary reason for this difference was the number of carotid arteries severed, as on occasion

360 only one was severed, and some birds exhibited a lower dislocation level than C0-C1. In the
361 case of MZIN, the few failures in device success were due to only one region of the brain being
362 damaged or only minor damage to all regions (e.g. internal brain cavity bleeding and bruising).

363 Failures in device success with the MARM were primarily due to the spike not penetrating to
364 an adequate depth to cause complete severing of the brain stem, as well as some issues with

365 the ability to aim the device easily, and the spike not penetrating the brain stem, but instead
366 the cerebellum. In terms of brain trauma, this could reduce the chance of neurogenic shock

367 and elongate the time to loss of consciousness and brain death (Alexander, 1995; Dumont *et*
368 *al.*, 2001; Freeman and Wright, 1953; White and Krause, 1993), but it did not appear to affect

369 the inferred kill potential (i.e. the damage would still be fatal).

370

371 The MARM and MPLI had the lowest kill potential at 62.5%, however the MPLI had
372 significantly lower device success (27.5%) than its killing potential. This was primarily because
373 more than 50% of birds showed vertebral damage, failure of dislocation and trachea damage,
374 which was representative of severe crushing injury and inference of causing death by
375 asphyxiation, which is a serious welfare concern (Erasmus *et al.*, 2010a; Gregory and Wotton,
376 1990; Salim *et al.*, 2006; Sharma *et al.*, 2005).

377

378 Post-mortem measures for the neck trauma methods highlighted that the MPLI caused
379 numerically more instances (though not significant) of cause skin tears and external bleeding,
380 which could be considered a practical issue in a commercial environment due to biosecurity,
381 human health and safety as well as being visually un-appealing (Gerritzen and Raj, 2009;
382 Halvorson and Hueston, 2006; Kingsten *et al.*, 2005). The MPLI, designed to dislocate the
383 cervical vertebrae, only caused dislocation 45% of the time and caused crushing injury to the
384 trachea as well as to the oesophagus. The injuries sustained, as well as the pressure applied
385 by the blades, would still be fatal, but would not necessarily cause death by cerebral ischemia,
386 which is the intended outcome (Veras *et al.*, 2000; Harrop *et al.*, 2001; Bader *et al.*, 2014).
387 The primary concern with MPLI was that, despite the modifications, it was not performing in
388 the desired way, indicating that it was not a reliable method.

389

390 Both the MARM and MZIN always caused penetration of the skin and damage to the skull and
391 the majority of birds bled into the external environment. There were significant differences in
392 the areas of the brain damaged by the two devices, but they were designed to perform
393 differently. With the MZIN, more than 60% of all birds received damage to the main areas of
394 the brain (excluding the brain stem), demonstrating diffuse damage which the device is
395 designed to cause in order to cause concussion and brain death (Alexander, 1995; Finnie *et*
396 *al.*, 2000; Oppenheimer, 1968). The MZIN showed higher killing potential than the unmodified
397 Rabbit ZingerTM, which had previously been reported to have a kill success rate of 50% in
398 poultry (DEFRA, 2014). The MARM caused focalised damage to the brain stem and

399 cerebellum, highlighting that the modifications to the MARM had adequately adapted its design
400 to more adequately fit poultry. Such damage to the brain stem theoretically would result in
401 fatal functional impairment (e.g. puntilla method as described in Limon *et al.*, 2009; Limon *et*
402 *al.*, 2010) (HSA, 2004; Morzel *et al.*, 2002; Widjicks, 1995). The un-modified Armadillo® was
403 tested previously (DEFRA, 2014), and was found to have a low kill success of 46%, therefore
404 the higher kill potential could be attributed to the modifications or that the killing potential was
405 tested on cadavers, which are easier to handle, improving application of the method. The
406 increase in success in the MZIN could be attributed to the same reasons.

407

408 Other bird factors were shown to impact some post-mortem measures (e.g. dislocation level,
409 vertebral damage), kill potential and device success, demonstrating inconsistency dependent
410 on the target species, although their impact was more pronounced with the cervical dislocation
411 methods than the head trauma methods. Bird age affected both killing potential and device
412 success, in both cases revealing that it was easier to cause physiological trauma to younger
413 birds and therefore easier to achieve a reliable kill. Young birds are less physiologically
414 mature, and therefore bones and cartilage are less calcified and re-inforced, as well as
415 connective tissue being less fibrous, making dislocation and damage to the skull easier to
416 achieve (Comi *et al.*, 2009; Sharma *et al.*, 2005). However, in terms of neck muscle and arterial
417 tissue, aging can have a detrimental effect, with reduced elasticity in arterial walls and skeletal
418 muscle, reducing stretching potential, therefore carotid arteries and neck muscle are more
419 likely to tear when under strain (Benetos *et al.*, 1993; Nair, 2005). However this needs to be
420 considered in context of the size of the birds; smaller birds have less stretch potential than
421 larger birds, therefore despite the increased elasticity, the magnitude of the stretch required
422 to dislocate and tear should counteract this effect. In general, cervical dislocation was easier
423 in broilers and younger birds, although these factors are confounded, as by definition broilers
424 at both ages tested were immature compared to layer strains. The diameter of the neck also
425 affected dislocation potential, with smaller necks (younger birds) being easier to dislocate than
426 larger necks (older birds). When considering vertebral damage, layers were more likely to

427 receive damage, but again bird type was confounded with age, with laying hens being older
428 than any other bird group. The increased likelihood of vertebral damage could also be
429 attributed to brittle bones in the laying hens (Whitehead and Fleming, 2000). All other external
430 factors had no impact on the post-mortem measures associated with brain trauma methods,
431 indicating that these methods are less susceptible to inconsistency as when applied to various
432 types, size and age of birds. However, this has to be taken within the context that both of the
433 brain trauma methods: MZIN and MARM had killing potentials of 84.2% and 62.5%
434 respectively, both of which highlight issues with reliability.

435

436 This study provides a general assessment of prototyped novel and modified devices for killing
437 poultry on-farm, and the results demonstrate their killing potential. Three of the mechanical
438 methods: NMCD, MARM and MZIN demonstrated killing potential, as well as consistency in
439 their physical effects. Device success rates of over 50% demonstrated that more than half the
440 time the devices performed optimally. In future studies, more detailed assessment of post-
441 mortem evaluations would be desirable, for example, skull damage location and size of
442 dislocation (i.e. measurement of gap between two dislocated vertebrae), in order to further
443 establish the effects on anatomy and more accurately infer time to unconsciousness and brain
444 death in live birds. The MPLI was inconsistent, and had a low device success of 27.5%, despite
445 matching killing potential with the MARM. The abundant evidence of crushing injury in >50%
446 of birds was also a major concern, especially as the new European legislation on the
447 Protection of Animals at the Time of Killing bans by their omission, the use of any method
448 which demonstrates death by crushing to the neck (European Council, 2009). Thus, MPLI are
449 not recommended as a humane on-farm killing device for chickens. The performance of the
450 remaining three devices (NMCD, MZIN, MPLI) will be further assessed in live birds in order to
451 establish their potential to provide a new humane method for despatching poultry on-farm.

452

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456

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600

601 Table 1: Accommodation and bird details for each bird type and age group.

Bird group	N	Mean bird age at killing (days)	Mean bird weight at killing (kg)	Housed stocking density (kg/m²)
Layer pullets	40	73.5 ± 0.2	0.8 ± 0.1	2.3
Layer hens	40	487.9 ± 0.9	1.8 ± 0.1	4.8
Broiler chicks	40	22.4 ± 0.1	0.7 ± 0.2	1.9
Broiler (slaughter age)	40	37.1 ± 0.6	1.9 ± 0.7	5.1

602

603

604 Table 2: Device success parameters for each killing device.

Device	Device success criteria
MARM	<ul style="list-style-type: none"> • Spike penetrates through foramen magnum of the skull • Severing of brain stem
MZIN	<ul style="list-style-type: none"> • Skull is penetrated and damaged • Severe damage to a minimum of one area of the brain
MPLI	<ul style="list-style-type: none"> • Complete cervical dislocation at C0-C1 • Severing of the top of the spinal cord (i.e. brain stem) • Severing of both carotid arteries • No breakage to the skin • No crushing injury to the trachea or oesophagus
NMCD	<ul style="list-style-type: none"> • Complete cervical dislocation at C0-C1 • Severing of the top of the spinal cord (i.e. brain stem) • Severing of both carotid arteries • No breakage to the skin

605

606

607 Table 3: Percentage of birds killed successfully for which the relevant head trauma post
608 mortem factor was present, according to killing method. Significant P values are underlined.

Post mortem measure	Percentage of birds		<i>F</i> statistic	<i>P</i> value
	MZIN	MARM		
Skin broken	100.0	100.0	0.03	0.993
External bleeding	96.7	88.0	1.44	0.264
Subcutaneous hematoma	100.0	92.0	1.44	0.234
Skull damage	100.0	100.0	0.06	0.982
Left forebrain damage	62.5	0.0	5.81	<u>0.029</u>
Right forebrain damage	65.6	0.0	4.70	0.994
Cerebellum damage	65.6	64.0	0.00	0.998
Midbrain damage	84.4	0.0	5.80	<u>0.013</u>
Brain stem damage	31.3	92.0	5.10	<u>0.034</u>

609

610

611 Table 4: Percentage of birds killed successfully for which the relevant neck trauma post
 612 mortem factor was present, according to killing method. Significant P values are underlined.

Post mortem measure	Percentage of birds		<i>F statistic</i>	<i>P value</i>
	NMCD	MPLI		
Skin broken	7.5	20.0	0.32	0.570
External bleeding	2.5	7.5	0.06	0.805
Subcutaneous hematoma	100.0	72.5	0.00	0.994
Cervical dislocation	100.0	45.0	11.86	<u><0.001</u>
Vertebral damage	5.0	55.0	3.26	0.071
≥1 carotid artery severed	95.0	15.0	6.34	<u>0.012</u>
Trachea damage	0.0	52.5	3.41	0.059
Oesophagus damage	0.0	12.5	0.13	0.870
Spinal cord severed	100.0	67.5	0.00	0.998

613

614

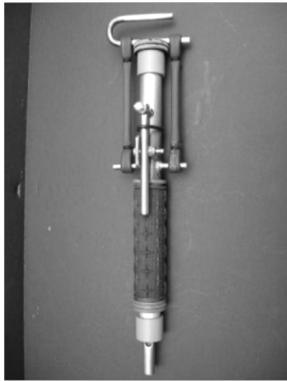
a) Armadillo® (MARM)



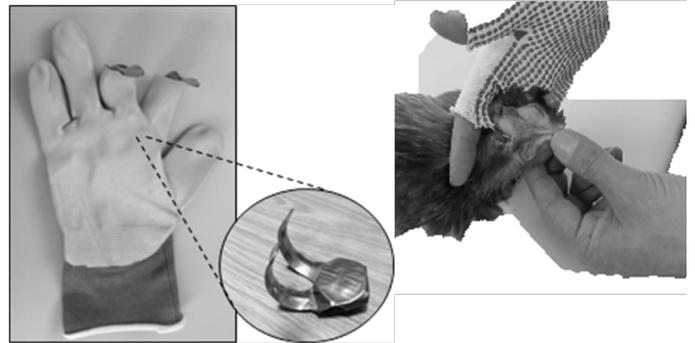
c) 'Semark' pliers (MPLI)



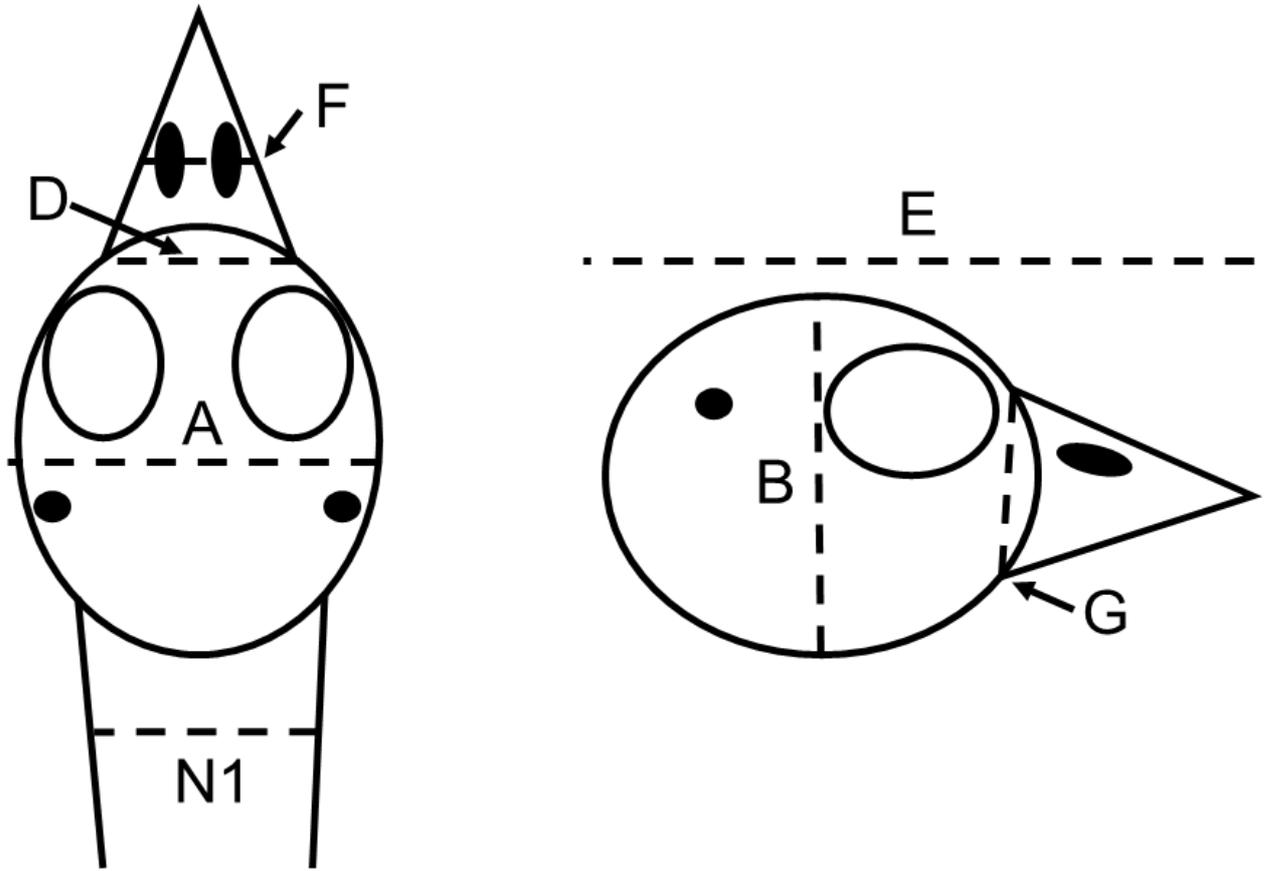
b) Rabbit Zinger™ (MZIN)



d) Novel mechanical cervical dislocation gloved device (NMCD)



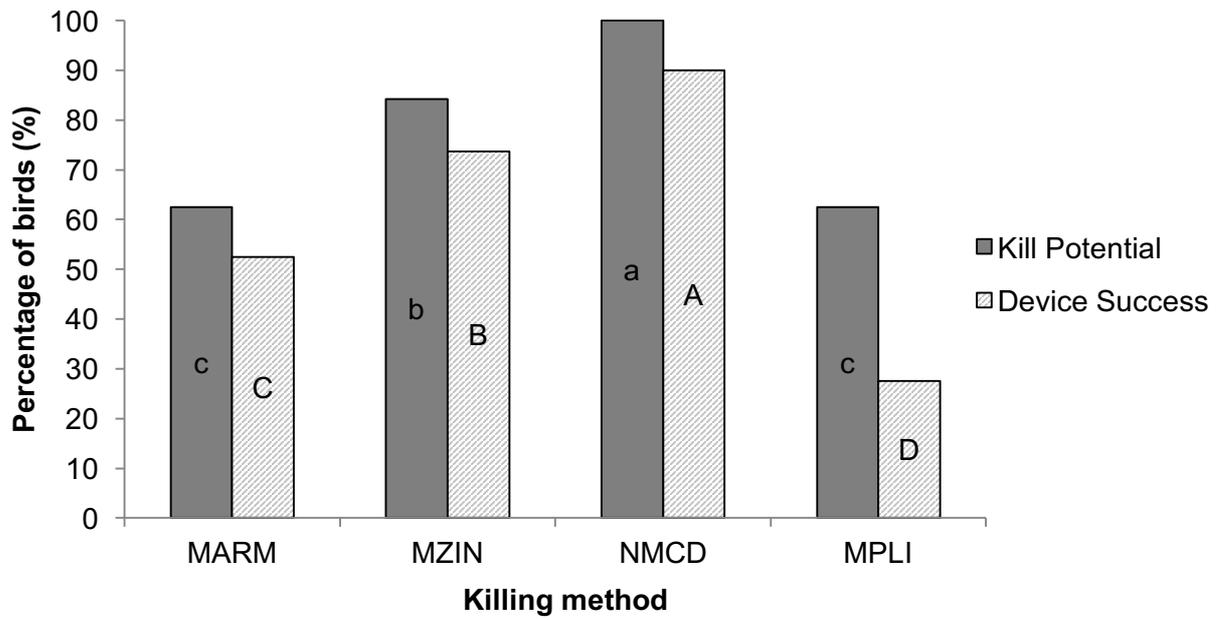
617 Figure 1: Photographs of tested devices: a) Armadillo®, b) Rabbit Zinger™, c) 'Semark'
618 pliers, and d) the Novel mechanical cervical dislocation gloved device.



620

621 Figure 2: Schematic showing head and neck measures: A = width of head; B = lower
 622 mandible to top of skull; D = width of base of beak; E = base of skull to front of beak; F =
 623 width of beak at central nostril level; G = depth of beak; and N1 = width of neck.

624

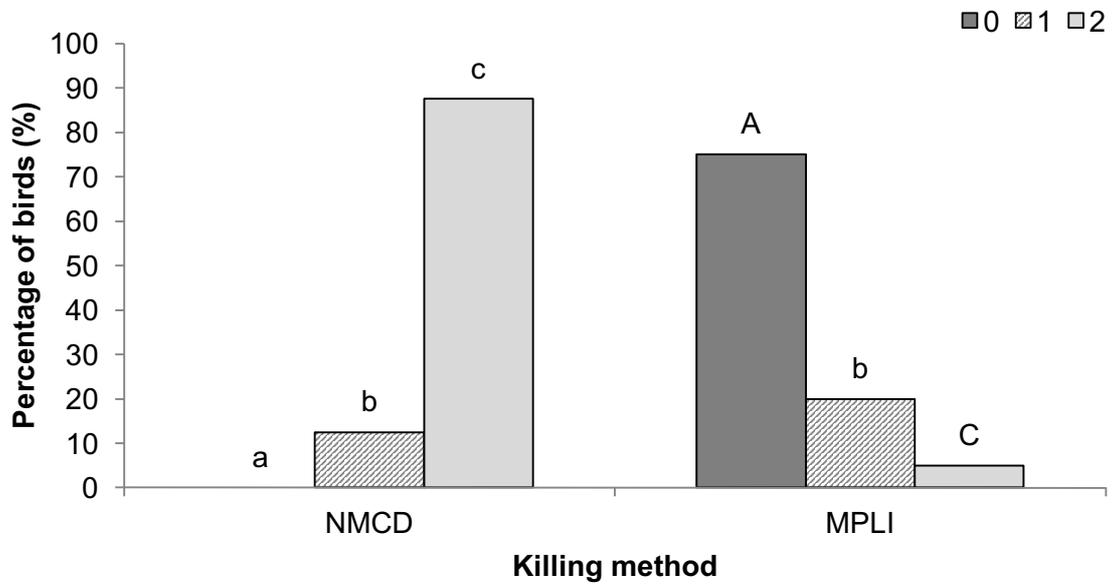


625

626 Figure 3: Summary of kill potential and device success rates (%) across the four killing
 627 devices. No common lettering indicates that there is a significant difference between the
 628 groups.

629

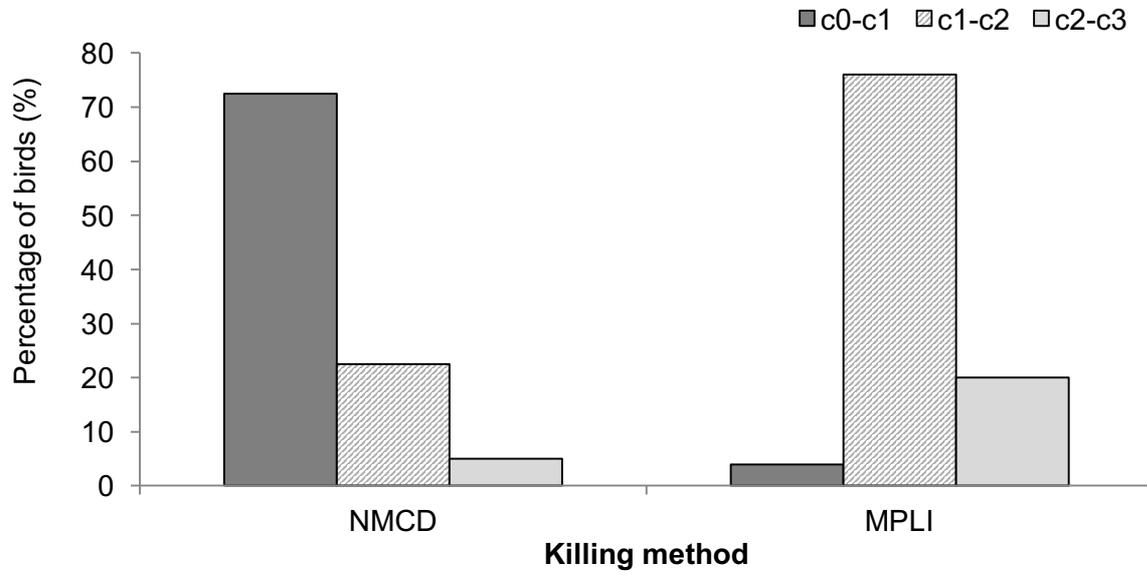
630



631

632 Figure 4: Percentage of birds by the number of carotid arteries severed dependent on killing
 633 method. No common lettering indicates that there is a significant difference between the
 634 groups.

635



636

637 Figure 5: Distribution of birds by the various dislocation levels in relation to killing method.