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1	Theory and experimental verification of a resultant response-based
2	method for assessing the critical seismic excitation direction
3	of curved bridges
4	
5	Ruiwei Feng ¹ , Tongfa Deng ^{2,3} , Tianpeng Lao ¹ , Anastasios G. Sextos ⁴ , Wancheng Yuan ^{1*}
6	
7	ABSTRACT
8	Previous studies have shown that the seismic incidence angle imposes a non-negligible impact on the
9	seismic performance of curved bridges. The computational efficiency of some current methods for
10	determining the critical angle needs to be improved and their applicability in practical engineering
11	projects remains to be examined. For this reason, a resultant response-based (RRB) method is
12	developed herein for assessing the critical excitation direction of curved bridges. To validate the
13	feasibility of this method in an actual seismic design context, a 1/62.5-scale model of a three-span
14	curved bridge is designed and a multi-angle shaking table test is implemented. Meanwhile, the finite-
15	element model of the test specimen is set up, and the RRB method as well as the linear response-
16	history analysis (LRHA) are comparatively assessed. The results indicate that the RRB method can

¹ State Key Laboratory of Disaster Reduction in Civil Engineering, Tongji University, Shanghai, China

² Jiangxi Provincial Key Laboratory of Environmental Geotechnical Engineering and Disaster Control, Jiangxi University of Science and Technology, Ganzhou, China.

³ School of Architectural and Surveying & Mapping Engineering, Jiangxi University of Science and Technology, Ganzhou, China.

⁴ Department of Civil Engineering, University of Bristol, Bristol, UK.

^{*}Corresponding author

E-mail address: <u>yuan@tongji.edu.cn</u> (Wancheng Yuan)

17	capture the critical excitation direction of curved bridges with sufficient precision (error does not
18	exceed 10% compared to LRHA). The associated computational effort is also substantially reduced
19	given that RRB requires analysis solely along two orthogonal directions as the incidence angles,
20	compared to standard response history analyses where ground motion excitation is applied at
21	multiple ground motion orientations. The above observation is further verified by a well-designed
22	experimental campaign, which demonstrates the accuracy and practicability of the RRB method for
23	the case of realistic bridge configurations.
24 25	Keywords: Curved bridges, critical excitation directions, resultant response, shaking table, response spectrum
26	1. INTRODUCTION
27	By virtue of superior adaptability in densely populated areas and complex terrains, horizontally
28	curved bridges have become common in urban overpasses and inter-city highways, hence, a
29	considerable number of curved bridges are used as the lifeline system hubs to meet transport
30	demands. Therefore, it is significant to guarantee the safety and functionality of curved bridges
31	in their service period. Experience of the last fifty years, however, shows that curved bridges can
32	be particularly vulnerable to seismic events [1–4]. In light of this issue, research efforts have been
33	devoted to the study of seismic performance of curved bridges based on both deterministic [5–9]
34	and probabilistic assessment [10–17].
35	The above studies mainly focused on the impact of bridge configuration, ground motion
36	frequency content and numerical simulation methods. The direction of seismic excitation, which
37	also has a major effect on their structural seismic responses [18–26], is only rarely considered. One
38	of the reasons is that the angle of seismic incidence is random and not predictable, while its effect
39	is more profound to curved bridges given their irregularity in plan and their distinct features in

40 terms of stiffness, strength, dynamic properties and damping [27-30]. Along these lines, it is 41 important to ensure the reliability of seismic design of curved bridges, accounting for the peak 42 responses of interest and the associated critical direction of excitation. The most common and 43 direct method to predict the critical excitation direction is to implement a response history 44 analysis (RHA) at multiple ground motion orientations and then compare the maximum 45 response corresponding to each incidence angle (hereafter called direct analysis procedure [31]). 46 The challenge here is that this method is computationally expensive and time consuming particularly for complex structures. Although provisions and specifications [32-34] recommend 47 48 the use of a combination rule to estimate the peak seismic demand, which is a relatively simple 49 way compared to the direct analysis procedure, it is still highly probable that seismic response is underestimated by the code provisions [35]. Given that the limitation of aforementioned 50 51 methods, a number of methods aiming to directly determine the critical excitation direction of 52 structures have been developed. The latter involve response spectrum analysis (RSA) [36], 53 random vibration theory [37], linear response-history analysis (LRHA) [38], nonlinear static (i.e., 54 pushover) analysis [39], nonlinear response history (NLRHA) and probabilistic fragility 55 assessment [40] as well as lateral force analysis [41]. It is shown that the abovementioned methods 56 enable a straightforward determination of the critical excitation direction of structures and are 57 validated through other numerical approaches. On the other hand, there are still several issues 58 that need to be improved. For instance, some of the existing methods predict the response 59 components along a certain structural reference axis as the judging criterion for the critical 60 excitation direction, which may not involve the responding contribution along the other 61 orthogonal structural axis. Hence, the seismic performance of the whole structural member may

be misleading. Moreover, the critical angles for response quantities along different structural axes
can also vary, therefore, it is difficult to find a single critical angle for each structural member.
This is especially true for the case of curved bridges where, due to their geometric irregularity,
directions of principal axes (tangential and radial) for the various members are different, thus the
critical excitation direction of the major members may be very complex to predict. Additionally,
the majority of previous works are limited to analytical and numerical approaches, for simpler
structural systems and without experimental verification.

69 To test and verify the numerical results obtained from the theoretical derivation in a more 70 practical way, experimental investigation is essential. In recent years, shake table tests for bridge 71 structures were gradually carried out, including tests for the crucial bridge members (i.e. piers 72 [42-44], bearings [45], foundations [46]) as well as entire bridge structures [47-52]. 73 Notwithstanding the progress made, the above tests study straight bridges with only few of them 74 focusing on curved ones. One example is the work of Williams and Godden [53] who conducted 75 a shake table study for the linear and nonlinear dynamic behavior of curved bridges and 76 emphasized the importance of seismic design in expansion joints. Yan et al. [54] evaluated the efficiency of sliding isolation bearings in curved bridges while Li et al. [55] performed an 77 78 experimental study to assess the impact of ground motion spatial variability on the seismic 79 responses of curved bridges. Results showed that the curvature radius increases the sensitivity of 80 the bridge to the ground motion spatial variations, a result that can be mainly attributed to the 81 excitation of higher modes [56,57]. Zhang et al. [58] compared experimental and numerical 82 results of seismic damage for a small radius curved bridge considering the soil-structure 83 interaction (SSI) and reported that applying the equivalent soil springs method to simulate SSI

84 in the numerical model can gain approximate results to the actual ones. The aforementioned 85 experimental studies reveal that seismic performance of curved bridges is particularly complex 86 and highlight the effects of geometric parameters, seismic propagation process, and soil condition. 87 However, the impact of seismic excitation direction has not been yet taken into account. 88 Given the aforementioned issues and limitations in the development of theoretical methods and the lack of experimental studies for the determination of critical excitation direction of 89 curved bridges, the peak resultant response quantity of interest, which is able to comprehensively 90 91 reflect the seismic behavior of the entire structural member, is proposed in this study as the key 92 proxy for identifying the critical excitation direction. Based on this evaluation measure, a 93 computationally efficient method, hereafter called "resultant response-based (RRB) method" is 94 presented for the determination of critical excitation direction of structures which is based on the 95 fundamentals of dynamics of structures and the RSA method. Subsequently, a 1/62.5-scaled 96 model of a three-span curved bridge is constructed and experimentally tested as a means to verify 97 the applicability of the RRB method. Results are also compared with numerical predictions using 98 standard LRHA to demonstrate the accuracy of this method. 99

100 2. DESCRIPTION OF THE RESULTANT RESPONSE-BASED METHOD

101 2.1 Assumptions

In general, during dynamic analysis of structures, seismic excitation is applied along a pair of
 orthogonal horizontal and one vertical orientations [59]. Particularly for multi-angle seismic analysis
 however, Lopez and Torres [60] have shown that the critical excitation direction does not depend

105 on the vertical spectrum. Recently, Roy et al. [61] proposed the definition of the "most preferred" 106 angle of excitation, where the difference between bi-directional and uni-directional response is 107 minimized. In their study, they found that the structural peak response under unidirectional 108 excitation may considerably change with the incidence angle. Based on the foregoing observations, 109 they developed the relationship between the ratio of energetic length scale (L_e) of ground motion 110 components and the ratio of structural peak responses under bi-directional and unidirectional 111 excitation, respectively, thereby corroborating the existence of the most preferred angle which 112 corresponds to the neighborhood of the orientation where ratio of component L_e is maximized. 113 Based on their conclusions, one needs to identify the maximum response and the corresponding 114 seismic incidence angle by means of one-component ground motion, as an efficient prediction of the 115 peak response under bi-directional shaking so that the computational cost is greatly reduced. This 116 has motivated the authors to develop the RRB method based on the uni-directional seismic analysis. 117 The main steps of the methodology are outlined below. 118 Figure 1 illustrates the condition of a linear multi-degree-of-freedom (MDOF) structure or structural

Figure r illustrates the condition of a linear multi-degree-of-freedom (MDOF) structure or structural member subjected to a horizontal time-variant seismic action a(t). X and Y are the orthogonal horizontal axes in the global coordinate system, while x and y are the local principal axes of the member analyzed. Define θ as the reference angle from the X-axis to the direction of seismic excitation a(t) and let α represent the orientation of the resultant engineering demand parameter R (e.g., displacement, stress, forces, etc.) with respect to the x-axis. Angles θ and α are considered positive when they are measured counterclockwise. Seismic input excitation is assumed to be a wide-band stationary process.





129 2.2 Fundamentals of the method

126

For a multi-degree-of-freedom, viscously damped, linear structure and based on the principle of modal superposition [62], the nodal displacement responses $\mathbf{u}(t)$ of the system can be written as:

$$\boldsymbol{u}(t) = \sum_{i=1}^{N} \boldsymbol{\Phi}_{i} \boldsymbol{\eta}_{i}(t) \tag{1}$$

132 where Φ_i is the *i*th modal vector, $\eta_i(t)$ is the modal coordinate for mode *i* and *N* is the number 133 of modes analyzed. Thereby, the response quantities of interest, R(t), are a linear combination of 134 the nodal displacements $\mathbf{u}(t)$ and can be written as:

$$\mathbf{R}(t) = \mathbf{q}^{\mathrm{T}} \mathbf{u}(t) = \mathbf{q}^{\mathrm{T}} \sum_{i=1}^{N} \Phi_{i} \eta_{i}(t)$$
(2)

135 where \mathbf{q}^{T} is the transpose for the response transfer vector that are associated with structural 136 geometry and stiffness properties.

137 Let S_a be the acceleration response spectrum for the seismic input a(t). When the excitation is 138 acting along the X-axis, according to the RSA method and derive the peak response quantities of 139 interest along the x and y direction for mode *i*, respectively, $\overline{R_{iX}^x}$ and $\overline{R_{iX}^y}$ as:

$$R_{iX}^{x} = \mathbf{q}_{X}^{\mathrm{T}} \boldsymbol{\Phi}_{i} \overline{\boldsymbol{\eta}_{iX}}$$
(3)

$$\overline{R_{iX}^{\gamma}} = \mathbf{q}_{y}^{\mathrm{T}} \boldsymbol{\Phi}_{i} \overline{\boldsymbol{\eta}_{iX}}$$

$$\tag{4}$$

140 in which

$$\overline{\eta_{iX}} = \frac{S_i \Gamma_{iX}}{\omega_i^2} \tag{5}$$

141 where $\mathbf{q}_x^{\mathrm{T}}$ and $\mathbf{q}_y^{\mathrm{T}}$ are the transposes for response transfer vectors for response quantities along 142 the *x* and *y* direction, respectively; $\overline{\eta_{i\mathrm{X}}}$ is the maximum modal coordinate for mode *i* when 143 acting the excitation along X-axis; S_i is the spectral value of the acceleration response spectrum for 144 the mode *i*; $\Gamma_{i\mathrm{X}}$ is the modal participation coefficient for mode *i* along X-axis and ω_i is the 145 circular frequency for mode *i*.

146 Consequently, the peak resultant response $\overline{R_{iX}}$ for mode *i*, can be expressed as:

$$\overline{R_{iX}} = \overline{R_{iX}^x} \cos \alpha + \overline{R_{iX}^y} \sin \alpha$$
(6)

147 Likewise, for the case of applying S_a along the Y-axis, the peak response quantities along the x 148 and y directions for mode *i*, respectively, $\overline{R_{iY}^x}$ and $\overline{R_{iY}^y}$ can be given as:

$$R_{iY}^{x} = \mathbf{q}_{x}^{\mathrm{T}} \boldsymbol{\Phi}_{i} \overline{\boldsymbol{\eta}_{iY}}$$
⁽⁷⁾

$$R_{iY}^{\gamma} = \mathbf{q}_{y}^{\mathrm{T}} \boldsymbol{\Phi}_{i} \overline{\boldsymbol{\eta}_{iY}}$$
(8)

149 in particular

$$\overline{\eta_{iY}} = \frac{S_i \Gamma_{iY}}{\omega_i^2} \tag{9}$$

150 where $\overline{\eta_{iY}}$ is the maximum modal coordinate for mode *i* under the excitation along direction Y 151 and Γ_{iY} is the modal participation coefficient for mode *i* along the Y-axis. Thereby, the peak 152 resultant response for mode *i*, $\overline{R_{iY}}$, can be expressed as:

$$\overline{R_{iY}} = \overline{R_{iY}^x} \cos \alpha + \overline{R_{iY}^y} \sin \alpha$$
(10)

153 When S_a acts along an arbitrary angle of incidence θ , the resultant response quantity of interest 154 for mode *i*, $\overline{R_{i\theta}}$, can be determined as:

$$\overline{R_{i\theta}} = \overline{R_{iX}}\cos\theta + \overline{R_{iY}}\sin\theta$$
(11)

155 It should be noted that the algebraic sum in equation (II) is due to the fact that the ground motion 156 component $(a(t)\cos\theta)$ along X-axis and $(a(t)\sin\theta)$ along Y-axis are completely correlated. 157 Substituting the equation (6) and equation (10) into equation (11) gives:

$$\overline{R_{i\theta}} = (R_{iX}^{x} \cos \alpha + R_{iX}^{y} \sin \alpha) \cos \theta + (\overline{R_{iY}^{x}} \cos \alpha + \overline{R_{iY}^{y}} \sin \alpha) \sin \theta$$
(12)

158 Combining equation (3) and equation (4) as well as equation (7) and equation (8), equation (12) can

159 be rewritten as:

$$\overline{R_{i\theta}} = (\boldsymbol{q}_{x}^{T}\boldsymbol{\Phi}_{i}\cos\alpha + \boldsymbol{q}_{y}^{T}\boldsymbol{\Phi}_{i}\sin\alpha)\overline{\eta_{iX}}\cos\theta + (\boldsymbol{q}_{x}^{T}\boldsymbol{\Phi}_{i}\cos\alpha + \boldsymbol{q}_{y}^{T}\boldsymbol{\Phi}_{i}\sin\alpha)\overline{\eta_{iY}}\sin\theta$$
(13)

160 Note that the peak modal coordinates, $\overline{\eta_{iX}}$ and $\overline{\eta_{iY}}$ appear simultaneously and the ratio

161 $\overline{\eta_{iX}} / \overline{\eta_{iY}}$ is a constant, which can be verified using equation (5) divided by equation (9) as:

$$\overline{\eta_{iX}} / \overline{\eta_{iY}} = \frac{\Gamma_{iX}}{\Gamma_{iY}}$$
(14)

162 Therefore, the peak resultant response quantity of interest for a structure subjected to the seismic 163 excitation in an arbitrary direction θ , $\overline{R_{\theta}}$, can be obtained using the Complete Quadratic 164 Combination (CQC) method [63] as:

$$\overline{R_{\theta}} = \sqrt{\sum_{i=1}^{N} \sum_{j=1}^{N} \rho_{ij} \overline{R_{i\theta}} \overline{R_{j\theta}}}$$
(15)

165 where the correlation coefficient between responses in mode *i* and *j*, ρ_{ij} , is also taken from the [63].

166 Substituting equation (13) into equation (15) leads to:

$$\overline{R_{\theta}} = \sqrt{\sum_{i=1}^{N} \sum_{j=1}^{N} \rho_{ij} (\cos^2 \theta D_1 + \sin^2 \theta D_2 + 2\sin \theta \cos \theta D_3)}$$
(16)

167 In particular,

$$D_{1} = \overline{R_{iX}^{x}R_{jX}^{x}}\cos^{2}\alpha + 2\overline{R_{iX}^{x}R_{jX}^{y}}\cos\alpha\sin\alpha + \overline{R_{iX}^{y}R_{jX}^{y}}\sin^{2}\alpha \qquad (17a)$$

$$D_2 = \overline{R_{iY}^x R_{jY}^x} \cos^2 \alpha + 2 \overline{R_{iY}^x R_{jY}^y} \cos \alpha \sin \alpha + \overline{R_{iY}^y R_{jY}^y} \sin^2 \alpha \qquad (17b)$$

$$D_{3} = \overline{R_{iX}^{x} R_{jY}^{x}} \cos^{2} \alpha + \overline{R_{iX}^{y} R_{jY}^{y}} \sin^{2} \alpha + \overline{R_{iX}^{x} R_{jY}^{y}} \cos \alpha \sin \alpha + \overline{R_{iY}^{x} R_{jX}^{y}} \cos \alpha \sin \alpha$$
(17c)

168 On the basis of equation (16), the critical excitation direction for the structure, θ_{cr} , can be obtained

169 by means of computer programming by following the three steps outlined below:

170 (i) Apply spectrum S_a along the direction X and analyze the structure to calculate the peak modal 171 responses $\overline{R_{iX}^x}$, $\overline{R_{iX}^y}$ given by Equations (3) and (4),

- 172 (ii) Apply spectrum S_a along the direction Y and analyze the structure to calculate the modal 173 responses $\overline{R_{iY}^x}$, $\overline{R_{iY}^y}$ from Equations (7) and (8),
- 174 (iii) By means of computer programming and for a given incidence angle $\theta_{i(i=1,2,...n)}$, loop the 175 responding direction $\alpha_{i(i=1,2...n)}$ over 360° with a specified interval (e.g. 3°) to calculate the peak 176 responses with respect to the incidence angle θ_i , $\overline{R_{\theta_i}}$, determined by Equations (15)-(17) and 177 then compare the peak responses with various incidence angles to determine the critical 178 excitation direction θ_{cr} .
- 179 It can be seen from the derivation process of RRB method that the critical excitation direction (θ_{cr}) 180 with regard to the overall seismic response of a structural component can be obtained meanwhile the 181 computational costs are significantly reduced by using computer programming with the aid of only 182 two angles of seismic incidence compared to the standard response history analyses along multiple 183 angles of seismic incidence.
- 184

3. BRIDGE MODEL DESIGN AND INSTRUMENTATION

To verify the validity of the RRB method, a typical horizontally curved, continuous, reinforced concrete (RC) bridge was selected as the prototype bridge for experimental verification. Figure 2 shows the geometric configuration of this bridge with a length of 75 m, three equal spans and a radius (R) of 50 m measured to the centerline of the deck. The deck consists of a single-cell box girder section with 8.5 m width and 1.9 m depth which is supported by four single-pier bents. For each bent, the pier is composed of solid circular RC sections with a height of 10 m and a diameter of 1.9 m. Two 11

- 191 laminated rubber bearings are set on each cap beam to connect the superstructure with the
- 192 substructure. Moreover, the deck, cap beams and piers are constructed using the Chinese Grade C40
- 193 concrete [64].



194

Figure 2: Configuration of the prototype (units: m): (a) plan, (b) box girder cross-section, (c) elevation,(d) pier cross-section.

197 3.1 Similitude Requirements

198 Determination of the scale factor is key in experimental model design. Generally, the elastic modulus 199 (E), acceleration (a) and length (l) are selected as three fundamental physical quantities 200 considering the simplicity and convenience for controlling them at the early stage of the test. Based 201 on dimensional analysis and combined with equation of motion, similitude requirements for 202 dynamic models can be written as [65]:

$$\frac{S_E}{S_\rho S_a S_l} = 1 \tag{18}$$

where S_E, S_ρ, S_a, S_l are scale factors of elastic modulus, material density, acceleration and length, respectively.

205	Given the limitation of the shaking table dimensions, the test model was geometrically scaled to $1/62.5$
206	$(S_1 = 1/62.5)$ of the prototype bridge. Besides, as the RRB method is based on the RSA method,
207	which is applicable to linear structural systems only, a material with equivalent elastic properties was
208	selected. In particular, polymethylmethacrylate (PMMA) was selected for the deck and bents of the
209	scaled model. Assuming the elastic modulus of 2600Mpa for PMMA [66], the scale factor (S_E) for
210	the elastic modulus can be calculated equal to 0.08. Moreover, to obtain a reasonable mass for the
211	bridge model, the mass scale factor, which depends on the three key fundamental quantities, should
212	be also determined. In light of the fixed value for S_E / S_l , considering the limited actuation and load
213	capacity of the shaking table, the acceleration scale factor (S_a) was set equal to 3, which is within the
214	reasonable value range suggested in the literature [66].

215 Table 1: Similitude requirements of the curved bridge model

Physical quantity	Dimension	Similitude relation	Scale factor
Length, /	[L]	SI	0.016
Acceleration, a	[LT ⁻²]	Sa	3
Elastic modulus, E	[FL-2]	\mathcal{S}_E	0.08
Displacement, δ	[L]	$S_{\delta} = S_{I}$	0.016
Strain, <i>ε</i>	/	$S_{\varepsilon} = 1$	Ι
Stress, σ	[FL ⁻²]	$S_{\sigma} = S_E$	0.08
Equivalent mass density, <i>P</i>	$[FL^{-4}T^2]$	$S_{\rho} = S_E / (S_I S_a)$	1.667
Mass, <i>m</i>	$[FL^{-1}T^2]$	$S_m = S_E S_I^2 / S_a$	0.0000683
Area, S	[L ²]	$S_S = S_I^2$	0.000256
Stiffness, k	[FL-I]	$S_k = S_E S_L$	0.00128
Time, t	[T]	$S_t = (S_l / S_a)^{\circ.5}$	0.073
Moment, M	[FL]	$S_M = S_E S_I^3$	0.00000328
Force, F	[F]	$S_F = S_E S_f^2$	0.00002048
Velocity, v	[LT-I]	$S_{v} = (S_{a}S_{b})^{\circ.5}$	0.219

216 Note: [F] = Force; [L] = Length; [T] = Time

217 Based on the known fundamental scale factors, the other scale factors required in the bridge model

218 design can be calculated through the similitude relations given in Table 1, with the results also listed

in the same table.

220 3.2 Design of the scaled model

Having listed the similitude requirements in Table 1, the dimensions of the scaled model were decided.
Figure 3 presents the geometric configuration of the scaled model.

223 To facilitate the selection of the PMMA sheet specification and reduce the assembly difficulty of the 224 model, as shown in Figure 3(a), the girder adopted the rectangular hollow cross-section. Given that 225 the axial stiffness is not a dominant factor for the seismic response of the bridge model, the cross-226 section of the girder was designed to match the scale factor of flexural and torsional stiffness. To 227 ensure an elastic model, the material of the bearing still employed rubber, and the upper and lower 228 surfaces of the bearing were fixed with the girder and cap beam, respectively. The bearing had a 229 circular cross-section, with both its diameter and height taken equal to 10 mm based on the stiffness 230 scale factor. Considering that the bearing has small dimensions and can experience multiple seismic 231 cycles during testing and to reduce the chances of accidental bearing failure during the experimental 232 campaign, a simple replacement device for the bearing was invented as illustrated in Figure 3(b). It 233 can be seen that the bearings can be replaced by removing the bolts connecting the inner and outer 234 flange plates.

Regarding the substructure, the bridge has four single-pier bents (Figure 2). Solid circular crosssections were adopted for the piers of the scaled model and were fixed on a rigid base. As the loading is applied unidirectionally by the shaking table, the base is designed to be rotatable in order to achieve the multi-angle excitation. For this purpose, two arc-shaped slots were designed for the base. The positions of the slots depend on the hole sites of the countertop, which means that there should be enough holes so that the base and the countertop can be bolted together firmly. The geometric details and the working principle for the rotatable base are displayed in Figure 3(c) and Figure 3(d),respectively.

Additionally, to meet the similitude requirements for the dynamic characteristics, additional artificial masses were attached to the scaled model. As shown in Figure 3(e), for the superstructure, a total of 5.02 kg additional masses was uniformly distributed along the deck, while for the substructure, 0.95 kg lumped additional masses were placed on the cap beam for each bent. Figure 4 presents a schematic view of the curved bridge model.



248

Figure 3: Geometric configuration of the scaled model (units: mm): (a) geometric dimensions of the scaled model, (b) bearing replacement device, (c) geometric dimensions of the rotatable base, (d) working principle

251 of the rotatable base, (e) additional mass arrangement.



252

Figure 4: Schematic view of the curved bridge model

254 3.3 Mechanical property tests of model members

255 In the process of determining the similitude requirements for the scaled model, theoretical 256 mechanical properties for the model members were utilized. However, due to discrepancies in 257 specimen specification, test environment and processing techniques, the actual mechanical properties 258 for the members are different from the theoretical ones. Therefore, to gain accurate numerical results 259 so as to make comparison with the experimental data, mechanical property tests for major model 260 members are necessitated. Previous studies [67] have shown that the dynamic modulus of elasticity (261 E_d) of a polymer may not be equal to its static modulus, hence, a test for the dynamic elastic modulus 262 of PMMA members was implemented as shown in Figure 5. One end of the test specimen was fixed 263 through the clamp, while the other end hung the weight using the rope; then rope was cut and the 264 specimen started free vibration; meanwhile, the strain attenuation curves of the specimen were 265 recorded and the fundamental period could be determined. According to the undamped free 266 vibration equation of distributed-parameter system [62], the fundamental circular frequency, ω_1 , is 267 given by:

$$\omega_1 = 1.875^2 \sqrt{\frac{E_d I}{\overline{m}L^4}} \tag{19}$$

268 where *I* is the flexural moment of inertia of the specimen; \overline{m} is the mass per unit length and *L* is 269 the span of the distributed-parameter system.

270 The dynamic elastic modulus of the specimen can be obtained based on equation (19) as:

$$E_d = \frac{\omega_1^2 \overline{m} L^4}{12.360I} \tag{20}$$

271 Another consequence of the small size of the rubber bearings for the scaled model, is that 272 conventional quasi-static experimental setups are not applicable to test their shear stiffness. For this 273 reason, a simple device for approximately testing the shear stiffness was designed. Figure 6 presents 274 the device structure and the test method. The upper and lower surfaces of the bearings were glued 275 with the cover plates, respectively. The upper cover plate was connected with the weights through 276 the wire rope and the lower plate was fixed on the table. When the weights were applied, the bearings 277 produced shear deformations which were recorded by the dial gauge. Thereby, the approximate shear 278 stiffness of the bearing, k_{h} , was derived as:

$$k_b = \frac{m_w g}{n\overline{\delta}} \tag{21}$$

279 where m_w is the mass of the weight; *n* is the number of tested bearings and $\overline{\delta}$ is the average 280 displacement of the left and right dial gauges.

By gradually increasing the weight mass, the shear stiffness of the bearing tended to be constant and

was adopted as the shear stiffness for the bearing. Figure 7 shows the test results for the shear stiffness

283 of the bearings and Table 2 summarizes the mechanical properties of the structural members.



Member	Shear stiffness (kN/m)	Dynamic elastic modulus (GPa)
Bearing	7.126	—
Deck	—	1.620
Pier	—	3.095

290 3.4 Instrumentation

291 To capture the response quantities of interest under seismic excitation, the scaled model was instrumented with 26 transducers, including 8 displacement markers, 2 accelerometers and 16 strain 292 293 gages. Figure 8 shows the instrumentation details of the scaled model. Unlike straight bridges, nodal displacements of the curved bridge are not just along the excitation direction. Accordingly, the NDI 294 295 Optotrak Certus optical measurement system (resolution of displacement: 0.01 mm) produced by 296 Northern Digital Inc. was employed to track the three-dimensional nodal displacements of the scaled 297 model. Five displacement markers were arranged along the deck, two were placed on the cap beam of 298 the side bents, and one was set in the middle of the base as the reference point for the measurement.

The two accelerometers were installed orthogonally in the middle of the deck to monitor the accelerations along the tangential and radial directions with respect to the midpoint of the deck. Additionally, four strain gages (electrical resistance = $_{120} \Omega \pm _{0.1}\%$, gauge factor = 2.08 ± 1% and measuring range = $1 \times 10^{-6} \epsilon$ -15000 ϵ) were pairwise orthogonally attached on each pier to measure the average section curvatures in the local directions. All the measurement data was monitored by a multifunctional data-acquisition system produced by Jiangsu Donghua Testing Technology Co. Ltd with a sampling rate of 500 Hz.



308

4. EXPERIMENTAL PROGRAM

309 The scaled model was tested at the Civil and Mechanical Experimental Center in Jiangxi University

310 of Science and Technology. Figure 9 shows the details of the shake table system, mainly including a

- 311 0.6 m × 0.7 m countertop and a unidirectional actuator with a working frequency of 200 Hz. The
- 312 payload of the shake table system was 100 kilos for an acceleration of 1.5g.

313 4.1 Input ground motion

314 A typical far-field ground motion record was selected as the seismic input, namely, one component



328 effect caused by the error between the original input signal and the output motions.





- table system
- 331



Figure 10: Input ground motion: (a) acceleration time history and (b) acceleration response spectrum with 5% damping





Table 3 lists the test case arrangements for the scaled model. To evaluate the applicability and efficiency of the RRB method, the scaled model should be shaken by omnidirectional seismic actions (from 0° to 360°). As the curved bridge model is symmetric with respect to the transversal axis (global Y-axis), the angle of seismic incidence is only needed to vary from 0° to 180°. Therefore, in this test, the scaled model was rotated clockwise for the interval $0° \le \theta \le 180°$ at incremental angles of 15°. White-noise excitations were also applied throughout the test to help identify the modal properties of the scaled model.

343 Table 3: Shake table test cases for the curved bridge model

Case name	Input motion	PGA(g)	Input direction
W-o	White noise	o.3g	o°
L-o	Landers	ıg	o°
L-15	Landers	ıg	15°
L-30	Landers	ıg	30°
L-45	Landers	ıg	45°
L-60	Landers	ıg	60°
L-75	Landers	ıg	75 [°]
W-90	White noise	o.3g	90°
L-90	Landers	ıg	90°
L-105	Landers	ıg	105°
L-120	Landers	ıg	I20°
L-135	Landers	ıg	135°
L-150	Landers	ıg	150°
L-165	Landers	ıg	165°
L-180	Landers	ıg	180°

345 Based on the fundamentals mentioned in section 2.2, the RRB method was applied to the numerical 346 model of the curved bridge specimen. Then the numerical results were compared with the test results 347 to evaluate the applicability and efficiency of the RRB method.

348 5.1 Finite-element model

349 The finite-element (FE) model of the curved bridge test specimen was developed using the 350 commercially available software SAP2000 [68]. Figure 13 illustrates the FE model of the 1/62.5-scaled 351 bridge. The deck and bents were simulated adopting the elastic beam-column elements, while the 352 cross-sectional properties for the members were determined based on the geometric configuration in 353 Figure 2. The Young's modulus of the deck and piers employed the measured data presented in Table 354 2, namely, 1.620 GPa and 3.095 GPa. The rubber bearing was simulated using the linear link with the 355 tested horizontal shear stiffness of 7.126 kN/m. Soil-structure interaction was not able to be captured 356 in the shake table test, therefore all the bents were assumed fixed at their bottoms. A damping ratio 357 of 5% was adopted for all modes. Natural vibration periods and frequencies of the scaled model are illustrated in Table 4. Figure 14 shows that the fundamental vibration mode is the radial mode of the 358 359 deck, while the second and third are predominantly tangential and torsional modes of the deck, 360 respectively.



362 Figure 13: Numerical model of the test curved bridge

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361



364(a)(b)(c)365Figure 14: First three mode shapes of the scaled model: (a) first mode, (b) second mode, (c) third mode366

367 Table 4: Natural vibration periods and frequencies of the scaled model

Mode	Period (s)	Frequency (Hz)
I	0.081	12.337
2	0.081	12.422
3	0.063	15.979
4	0.021	46.958
5	0.021	47.214
6	0.021	47.314

7	0.021	47.622
8	0.021	47.752
9	0.019	53.260
ю	0.019	53.894
п	0.018	55.000
I2	0.016	63.145

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As already mentioned for the testing protocol, the actual motion achieved by the shake table was applied to the numerical model and rotated by multiple angles. Figure 13 illustrates the angle of seismic incidence θ with respect to the included angle between the input motion and axis X. To be consistent with the shake table test, the angle θ was varied from 0° to 180° with increments of 15° and θ increasing in the counterclockwise direction.

As a consequence of the geometric symmetry of the scaled model, the resultant moments at the bottom of Pier 3 and Pier 4, and the resultant deck-end displacement close to the Pier 1 (hereafter called deck displacement) were selected to represent the structural response. The stipulation for the direction of resultant response, α , is also shown in Figure 13. For the piers, α refers to the resultant direction relative to the *x*-axis which corresponds to the tangential direction of the piers, while in terms of the deck displacement, α denotes the angle between the resultant responding direction and the X-axis. Note that angle α is taken to be positive counterclockwise.

381 5.2 Finite-element model validation for bridge model

382 To verify the accuracy of the design for the scaled model, the dynamic characteristics and the response

histories of the test model were compared with the FE model. As shown in Figure 15, the fundamental
frequency of the scaled model is 12.512 Hz and the corresponding vibration mode is the radial one,
which is quite close to the fundamental frequency of the numerical model (12.337 Hz). The minor
error (1.42%) is deemed satisfactory as per the scaled model design.

387 To identify the agreement between the seismic performance of experiment and the numerical model 388 under the multi-angle excitations, response histories of deck displacement and moment of Pier 3 were 389 compared for different incidence angles, 15° and 135°, as presented in Figures 16 and Figure 17. Table 390 5 compares the measured and numerically identified peak responses of the scaled model. It can be 391 seen that the peak responses of both the experimental and the numerical model are reasonably close, 392 with an average error of 26.7% for the deck displacement and 14.4% for the pier moment, respectively. 393 Compared to the case of pier moment, the larger error for the deck displacement comparison is because the round marker has a small glued contact area with deck (Figure 8, detail A) and could 394 395 slightly vibrate with respect to the girder during the shake table test, therefore the displacement 396 information obtained from the reflected signal of the marker was affected considering the actual small 397 deck displacement itself, thereby a certain additional error for the displacement measurement is 398 introduced compared to that of the pier moment. Although the experimental results are generally 399 more fluctuant, it can be seen from Figure 16 and 17 that the phase changes of the seismic response 400 identified experimentally are relatively in line with the numerical model, which indicates that the 401 peak responses of the numerical model can be approximately captured by the test when subjected to 402 multidirectional seismic excitations. As a result, it can be used to efficiently evaluate the applicability 403 of the RRB method.



Figure 15: Fourier spectra of transverse acceleration response on the top of the deck



	Deck displacement (mm)			Moment of Pier 3 (N·m)				
т. +1	X di	rection	Y di	rection	<i>x</i> dir	rection	<i>y</i> dir	rection
angle	Measure d results	Numerical results	Measure d results	Numerical results	Measure d results	Numerical results	Measure d results	Numerical results
15°	3.23	2.82	2.79	2.00	5.20	7.25	2.39	2.88
		(-14.6%)		(-39.5%)		(+28.3%)		(+16.9%)
135°	2.33	1.97 (-	2.34	1.74	6.48	7.28	4.68	4.62
		18.2%)		(-34.5%)		(+11.0%)		(+1.31%)





Figure 16: Comparison of seismic response time histories with respect to $\theta = 15^{\circ}$: (a) deck displacement and (b)

moment of Pier 3



413 Figure 17: Comparison of seismic response time histories with respect to $\theta_{=135}^{\circ}$: (a) deck displacement and 414 (b) moment of Pier 3

415 5.3 Comparison of numerical and experimental results

As described earlier, RRB method is able to predict the variation of peak resultant pier moments and deck displacements with respect to the incidence angle. See step (i) and step (ii) as described in Section 2.2, the peak modal responses along the local axes for the pier moment and deck displacement were derived and were displayed in Tables 6 and 7, respectively. Using the results in Table 6 and Table 7, the relationship between the peak response and the excitation direction can be established from step (iii).

To verify the theoretical reliability and practical applicability of the RRB method, the peak resultant responses under multi-directional excitations were also calculated using the LRHA and test data, that is, responses in two orthogonal directions were combined by the Square-Root-of-Sum-of-Squares (SRSS) rule [69] through the entire history of excitation and the maximum was taken as the peak

426 one. Figure 18 compares the peak resultant responses predicted by the RRB method with those



429 Table 6: Peak modal re	esponses fo	or the pier	moment along l	ocal axes
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Mada	Moment of Pier 3 $(N \cdot m)$			Ν	Moment of Pier $_4$ (N \cdot m)			
Mode	R_{iX}^{x}	R_{iX}^y	$R_{i\mathrm{Y}}^{x}$	R_{iY}^y	$R_{i\mathrm{X}}^{x}$	$R_{i\mathrm{X}}^{y}$	R_{iY}^x	R_{iY}^{y}
I	-0.410	-0.090	-7.210	-1.580	-0.330	-0.270	-5.750	-4.830
2	-0.460	7.540	0.030	-0.410	-1.750	6.870	0.110	-0.400
3	-0.720	0.090	-0.020	0.000	-1.840	-0.840	۔ 0.040	-0.010
4	0.040	-0.070	0.210	-0.370	0.060	-0.040	0.320	-0.190
5	-0.010	-0.070	-0.020	۔ 0.070	-0.020	0.060	-0.020	0.050
6	0.010	-0.200	0.010	0.010	-0.020	0.210	0.010	0.000
7	-0.050	-0.090	0.480	0.900	-0.070	-0.120	0.810	1.310
8	0.070	-1.150	0.010	۔ 0.070	0.100	-1.340	-0.010	0.060
9	0.010	-0.010	0.360	-0.050	0.010	-0.010	0.020	0.040
IO	0.340	0.030	0.020	0.000	0.800	0.040	0.050	0.000
II	-0.020	-0.010	0.170	0.020	-0.010	-0.010	0.040	-0.010
I2	0.010	0.010	0.100	0.010	-0.010	0.000	-0.100	0.000

431 Table 7: Peak modal responses for the bearing displacement

N 1	Ľ	Deck displacement (mm)					
Mode	R_{iX}^x	R_{iX}^{y}	R_{iY}^x	R_{iY}^y			
I	0.016	0.195	0.286	3.438			
2	2.856	1.029	-0.174	-0.063			
3	0.372	-0.923	0.007	-0.017			
4	0.002	-0.002	0.013	-0.013			
5	0.000	0.000	0.000	0.000			
6	0.000	0.000	0.000	0.000			
7	-0.003	0.003	0.031	-0.028			
8	0.009	0.010	0.001	0.001			
9	0.001	-0.001	-0.032	0.039			
ΙΟ	0.002	-0.006	0.000	0.000			
II	0.000	0.000	-0.001	0.002			
12	0.000	0.000	0.002	-0.002			



Figure 18: Variation of the peak resultant responses with regard to the excitation direction based on the RRB method, LRHA, and the shaking table test: (a) Pier 3, (b) Pier 4, (c) deck displacement

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433

437 It can be seen that the variations of peak resultant responses with respect to the excitation direction 438 are in good agreement for the three methods. The critical excitation direction for the pier moment is 439 135°, while for the deck displacement is 45°. This indicates that applying the ground motion component only along the principal bridge axes (tangential and radial directions with respect to the 440 441 middle point of the bridge) as it is commonly prescribed by the codes, may underestimate the seismic 442 response of curved bridges at least when the resultant response is used as the evaluation criterion. The 443 results derived from the RRB method match well with those of LRHA with the maximum difference 444 of 8.86% and 2.90% for the pier moment and deck displacement, respectively. This verifies that the 445 RRB method is able to identify the critical excitation direction with acceptable errors and without 446 significant computational cost. Moreover, for the same bridge member, the maximum discrepancies 447 of the peak responses amongst various incidence angles are 36.4% and 32.3% for Pier 4 and deck displacement, and 15.1% for Pier 3. This is evidence that at least for the curved bridge studied, seismic 448 responses of the piers close to the deck-end are more sensitive to the excitation direction than those 449 450 near the mid-span.



452 satisfies the prerequisite of the RRB method. Specifically, the moment of Pier 3 at θ = 135° as the





Hence, the bearing also remains within the elastic regime. Along these lines, the entire scaled model 482 483 was validated in the elastic domain during the test. Based on the aforementioned verifications, the 484 applicability of the RRB method for the case of a realistic bridge configuration is highlighted by 485 contrast with the experimental results. As shown in Figure 18, the variation of peak resultant 486 responses that develop at the deck and piers as well as the critical angle captured by the RRB method 487 are approximately consistent with those identified by the experiment. The peak values of piers 488 experimentally observed are smaller than those predicted by the RRB method with the maximum 489 error of 41.4%, while the peak deck displacements tested are larger compared to those obtained from 490 the RRB method with the maximum error of 39.7%. There are four reasons for the discrepancies 491 observed between the numerical and experimental results: 492 (a) Given that the dimensions of the rubber bearings with a diameter and height of 10 mm, their

493 shear stiffness can only be approximately measured by the simple device invented and shown in

494 Figure 6. This naturally introduces a certain error in terms of equivalent stiffness. Based on the

- 495 observation in Figure 18, it is indicated that the actual stiffness of the bearing could be smaller
 496 than the measured ones taken from the test.
- 497 (b) The accumulated error when combining the measured response components by means of the
- 498 SRSS rule could affect the prediction of the actual resultant responses.
- 499 (c) As stated in Section 5.2, the slight vibration of the marker with respect to the deck during the test
- 500 could introduce a certain error to the acquisition of the real deck displacement.
- 501 (d) Considering the payload of the shaking table, the PMMA which has small density is selected as
- 502 the material for the small-scale model in this study. Because the mechanical properties of the
- 503 PMMA are sensitive to the temperature and loading mode [72], there is a degree of uncertainty
- that is introduced. In case of a large-scale test, steel would be a better option given its stable
 mechanical properties.
- 506 Despite of the aforementioned source of discrepancies from the particular test, as displayed in Figure
- 507 18, the test and numerical results show the same trend in terms of the peak resultant responses. As a
- 508 result, it can be concluded that this test confirms the validity of the RRB method and as such, the
- 509 conclusions drawn are valid for a full-scale (i.e., real) bridge as well. Besides, it can be found from
- 510 Figure 18(c) that the that the critical excitation direction of the deck displacement for the numerical
- 511 results is $\theta = 45^{\circ}$ while the test shows a different critical incidence angle ($\theta = 60^{\circ}$), followed
- 512 closely by $\theta = 30^{\circ}$. This observation is because the peak deck displacements obtained from the
- 513 numerical results (e.g., RRB method) for $\theta = 30^{\circ}$, 45° and 60° are 3.72 mm, 3.84 mm and 3.81
- 514 mm, respectively, which are very close with each other. Therefore, the test does not actually clearly
- 515 identify the critical excitation direction.

516 Taking the case of Pier 3 as an example, Figure 19 illustrates the variation of the moment component 517 with respect to the excitation direction. It is evident that the numerical and experimental variation of 518 the bending moment component with the incidence angle is in better agreement compared to the 519 case where the composition of response components is plotted, which verifies the effect of 520 accumulated error produced when combining the response components measured by means of the 521 SRSS rule. On the other hand, Figure 19 also corroborates that the critical incidence angle for different response components varies: $\theta = 165^{\circ}$ is the critical direction for the moment along x-axis, while the 522 peak moment along y-axis appears for an excitation angle of $\theta = 75^{\circ}$. By contrast, considering the 523 524 resultant moment as the evaluation criterion, the RRB method is able to catch the unique critical excitation direction for the pier (θ =135° as mentioned in this section). Hence, it can be seen that the 525 526 RRB method can not only comprehensively reflect the seismic performance of the entire structural 527 member but also be more convenient to be carried out in the practical seismic design of the structure.





529 Figure 19: Variation of the moment component of the Pier 3 with respect to the excitation direction 530 (numerical prediction and shake table test measured response): (a) direction x and (b) direction y

531 6. CONCLUSIONS

An analytical, response resultant-based (RRB) method is developed in this paper for assessing the critical excitation direction of curved bridges. The specific formulae were derived based on the fundamentals of structural dynamics and RSA method to capture the critical angle and demand. In order to evaluate the efficiency and applicability of the RRB method for the case of a realistic curved bridge configuration, a shaking table study of a 1/62.5-scale three-span curved bridge model was conducted for several excitation directions. Then, the RRB method as well as the LRHA were also comparatively assessed. The main conclusions and findings are summarized as follows:

539 I. Considering the resultant response quantity of interest as the evaluation criterion of the critical 540 excitation direction is a pragmatic approach that is able to comprehensively reflect the seismic 541 performance of the entire structural member and associate it with a unique critical incidence 542 angle. This approach is not only computationally efficient in comparison with taking the 543 individual response components along the local axes but is also more meaningful in terms of 544 structural design.

545 2. The RRB method proposed herein was found able to assess the critical excitation direction of the 546 curved bridge example with sufficient precision (deviation did not exceed 10% compared to the 547 results of LRHA) while the computational efforts were drastically reduced (the ground motion 548 was only applied twice) by means of computer programming.

549 3. For the horizontally curved bridge studied, and assuming the resultant response as the judging 550 criterion for critical angle of incidence, the application of input motion along the principal bridge 551 axes only (i.e., tangential and radial directions with respect to the middle point of the bridge) may 552 underestimate the actual response and thereby lead to unconservative seismic design. Bridge bent 553 piers that are close to the deck-end show higher sensitivity to the excitation direction compared 554 to those located near the mid-span.

555 4. The results of multi-angle shaking table test are capable to capture the variation of peak resultant

- response as a function of the excitation direction. They also verify the applicability and efficiency
- 557 of the RRB method for the case of a realistic curved bridge. However, the differences between
- 558 actual mechanical properties of the members and their measure ones, the accumulated error
- 559 caused by the combination of the tested orthogonal response components using the SRSS rule
- 560 and the slight vibration of the marker itself with respect to the deck during the test, cause a non-
- 561 negligible deviation from the theoretically and numerically expected response.
- 562 5. The RRB method is a useful alternative to standard multi-angle response history analysis and is
- 563 appropriate for the prediction of the critical excitation direction of a curved bridge responding
- 564 elastically. However, further research is needed given that the critical angle is different for
- 565 different structural components and for each engineering demand parameter. It is also important
- to extend the relevant research to the nonlinear field.

567 CREDIT AUTHORSHIP CONTRIBUTION STATEMENT

Ruiwei Feng: Methodology, Software, Validation, Formal analysis, Investigation, Data Curation, Writing Original Draft, Writing - Review & Editing, Visualization. Tongfa Deng: Investigation, Resources, Funding
acquisition. Tianpeng Lao: Methodology, Software, Validation, Investigation, Data Curation. Anastasios
Sextos: Writing - Review & Editing, Supervision. Wancheng Yuan: Conceptualization, Methodology,
Writing - Review & Editing, Supervision, Project administration, Funding acquisition.

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This is to conform that all authors named in the manuscript are aware of the submission and have agreed for the paper to be submitted to Engineering Structures.

Sincerely,

Wancheng Yuan

The authors' individual contributions to the paper are outlined below:

Ruiwei Feng: Methodology, Software, Validation, Formal analysis, Investigation, Data Curation, Writing - Original Draft, Writing - Review & Editing, Visualization. **Tongfa Deng:** Investigation, Resources, Funding acquisition. **Tianpeng Lao:** Methodology, Software, Validation, Investigation, Data Curation. **Anastasios Sextos:** Writing – Review & Editing, Supervision. **Wancheng Yuan:** Conceptualization, Methodology, Writing - Review & Editing, Supervision, Project administration, Funding acquisition.

Sincerely,

Wancheng Yuan