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1 **Confirmed causal effect of obesity on asthma and new insights on potential underlying shared**
2 **genetic mechanisms**

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13 Asthma is a highly heterogeneous chronic respiratory disease characterised by symptoms of wheeze,
14 cough and breathlessness. In the US, 250,000 new asthma cases per year are related to obesity and
15 characterized by severity of symptoms, poor prognosis, poor asthma control and resistance to
16 current treatments and this applies to both children and adults (1). Most of the observational and
17 experimental evidence in the literature points towards obesity modifying asthma risk in children and
18 adults. Results from Mendelian Randomization (MR) studies have provided causal evidence for
19 obesity increasing the risk of asthma while little evidence has been found to support the opposite
20 effect (2). However, the shared biological mechanisms remain unclear and the heterogeneity of
21 asthma and the obese-asthma phenotypes adds complexity and inconsistency in the results.

22

23 It is well recognised that there are different asthma phenotypes, and different obese-asthma
24 phenotypes are starting to emerge (1, 3). In this issue of the *Journal*, Zhu et al. (4) explored the shared
25 genetic associations between obesity and obesity-related metabolic traits and early vs. later-onset
26 asthma in adults. Early onset asthma (often characterised as atopic) and late onset asthma (non-
27 atopic, more often in females) are recognised as distinct asthma phenotypes that differ clinically and
28 genetically (5). The authors have also explored the shared genetics between obesity traits and atopic
29 vs. non-atopic asthma, and results were consistent with previous reports showing stronger
30 associations for non-atopic compared to atopic asthma.

31

32 Zhu et al. reported, for the first time, positive genome-wide genetic correlations between BMI and
33 later-onset asthma (0.25 , $p=9.8\times 10^{-22}$), non-atopic asthma (0.24 , $p=5.8\times 10^{-17}$), and atopic asthma
34 (0.08 , $p=0.002$), implying that higher BMI Single Nucleotide Polymorphisms (SNPs) correlated with
35 higher asthma risk SNPs. Little evidence of shared genetic correlation between BMI and early-onset
36 asthma was reported. Furthermore, they were able to confirm causal effects of BMI on later-onset
37 ($OR=1.21$, $p=6.3\times 10^{-7}$), atopic ($OR=1.20$, $p=8.4\times 10^{-6}$) and non-atopic ($OR=1.10$, $p=0.04$) asthma and
38 identified 32 independent shared loci between these traits (via cross-trait GWAS and functional
39 analyses) including the *HLA* region (key role in immune system), *ERBB3* (regulation of bronchial
40 epithelial repair and remodelling) and *SMAD3* (regulation of inflammatory response).

41

42 Two genes (*ACOXL* in chromosome 2 and *MYL6* in chromosome 12) were differentially expressed in
43 mouse lung tissues when comparing obese db/db or high-fat-diet fed mice to controls. The *ACOXL*
44 gene encodes an important enzyme involved in fatty acid beta-oxidation using acyl-CoA oxidase. The
45 differential expression of *ACOXL* gene shown by Zhu et al. (4) supports a role of fatty acid oxidation
46 caused by obesity in the inflammatory state of asthma. The *MYL6* gene encodes a motor protein (that
47 converts chemical energy into mechanical work) expressed in smooth and non-muscle tissues. This
48 gene, shown by Zhu et al. (4) to be differentially expressed, is involved in airway smooth muscle
49 contractile function, a contributing factor in the excessive airway narrowing in asthma. These two

50 genes open potential new mechanisms underlying obesity and asthma identified by shared-genetic
51 analyses and further confirmed by experimental mouse models (Figure 1).

52
53 The study by Zhu et al. has several limitations. While different traits were used to define obesity,
54 these were all BMI based. BMI does not distinguish between fat mass and lean mass nor capture
55 information on the location of body fat. Assessing body composition is needed to investigate the
56 development and progression of obese patients throughout life as these components might better
57 reflect differing physiology and metabolic health (6), and this, in turn, might be relevant in obese
58 asthma patients.

59
60 They found more evidence for positive genetic correlation between obesity and later-onset asthma
61 compared to early-onset asthma using a cut-off of 16 years. However, it is possible that early-onset
62 asthma before 16 years was under-reported in this adult population (age range at recruitment 40-69
63 years) due to recall bias. Similarly, atopic asthma is more common during childhood, therefore the
64 number of self-reported (early onset) atopic-asthma cases might also be under-reported.

65
66 The role of gender remains unclear. Many studies have reported differing obesity-asthma
67 associations by sex, with the obese patient more often characterized by being female, with less atopy
68 and late onset asthma. Zhu et al. found evidence of a higher genetic correlation between later-onset
69 asthma and BMI (adjusted waist-to-hip ratio) in males compared to females, but not for other BMI
70 traits.

71
72 The role of glucose metabolism was not discussed despite reporting significant genetic correlations of
73 later-onset and non-atopic asthma with type-2 diabetes, fasting glucose and fasting insulin and
74 reporting the same region in chromosome 11 as a shared genetic region associated with fasting
75 glucose and both later-onset and non-atopic asthma in this adult population. These findings are in
76 agreement with studies reporting that airway inflammation is not the only mechanism driving the
77 association between obesity and asthma and the reason why some obese-asthma patients do not
78 respond to anti-inflammatory drugs. Metabolic changes induced by altered glucose metabolism
79 (insulin resistance) could mediate inflammatory Th2-independent mechanisms in obese patients with
80 asthma (7). Zhu et al. results suggest that these mechanisms are particular to late onset non-atopic
81 asthma.

82
83 The strength of this study relies on performing the largest genome wide association study (GWAS)
84 (N>450,000) of sub-phenotypes of asthma, providing summary results that will be used in future MR
85 and genetic analyses. Their results have strengthened the evidence supporting a causal link between
86 obesity and asthma and the identified shared loci support the involvement of inflammation (Th2 and
87 non Th2-dependent), airway repair & remodelling and the immune system as having key roles in the
88 shared aetiologies between both traits. The question now for future research is whether there are
89 any other factors, besides shared genetics, with a causal role.

90
91 Recently, Peters et al. (1) have reviewed the many metabolic and immune function-related factors
92 that contribute to the obese-asthma syndrome: changes in lung function associated with higher
93 leptin, decreased adiponectin levels and adipose tissue inflammation, increased airway oxidative-
94 stress in obese adults with late onset asthma, innate immune responses (Th17 pathways and innate
95 lymphoid cells) implicated in both obesity and asthma, CD4 cells skewed by obesity associated with
96 worse asthma severity and control and insulin resistance associated with asthma and poor lung
97 function. Other factors proposed to mediate the obesity-asthma relationship include environmental
98 exposures (smoking, air pollution), diet (vitamin D, high-fat), physical activity and, the microbiome.
99 For instance, changes in the diet (low fiber diet, antibiotic use) alters the gut microbiota and this, in

100 turn, might affect the development of allergic airway disease (1). A small study by Rastogi et al. (8)
101 described differential epigenome-wide DNA methylation patterns in children according to their
102 obesity and asthma status. The impact of indoor and outdoor pollution might also be of interest in
103 the obese asthma population (9).

104
105 Obesity is associated with increased asthma risk and comorbidity. The large number and variety of
106 inflammatory and non-inflammatory processes that co-occur in the obese asthma population and the
107 fact that most asthma treatments only target a single pathway could explain the poor response to
108 treatment observed in these patients. Zhu et al. (4) have highlighted that the obese-asthma
109 syndrome is complex and multifactorial and the underlying biological mechanisms might differ
110 between children and adults and males and females, reinforcing the idea of precision medicine and
111 the need to identify and develop treatments that are effective for particular groups of patients (10).
112 Future studies characterising obesity beyond BMI and involving new areas of research such as
113 epigenetics and the microbiome might suggest distinct novel pathways.

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135 and precision medicine. *Curr Opin Allergy Clin Immunol.* 2018;18(1):51-8.

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138 **Figure 1** Potential new mechanisms underlying obesity and asthma identified in shared-genetic and
139 experimental analyses by Zhu et al.

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141

