| 1 | What can cetacear | stranding | records tell | us? A stud | dy of UK | and Irish | cetacean |
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2 diversity over the past 100 years

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39 Abstract

40 There are many factors that may explain why cetaceans (whales, dolphins, and porpoises) 41 strand. Around the UK and Ireland, over 20,000 stranding records have been collected since 42 1913, resulting in one of the longest, continuous, systematic stranding data sets in the world. 43 We use this dataset to investigate temporal and spatial trends in cetacean strandings and 44 use generalized additive models (GAMs) to investigate correlates of strandings. We find a 45 dramatic increase in strandings since the 1980s, most likely due to increases in recording 46 effort, and the formation of formal strandings networks. We found no correlation between the 47 numbers of cetaceans stranding each year and several potential environmental and 48 anthropogenic predictors: storms, geomagnetic activity, North Atlantic Oscillations, sea-49 surface temperature, and fishing catch. We suggest that this is because the scale of change 50 in the variables is too coarse to detect any potential correlations. It may also highlight the 51 idiosyncratic nature of species' responses to external pressures, and further the need to 52 investigate other potential correlates of strandings, such as bycatch and military sonar. 53 Long-term cetacean stranding data provide vital information on past and present diversity for 54 common, rare, and inconspicuous species. This study underlines the importance of 55 continued support for stranding networks. 56

57 Keywords: cetaceans, strandings, diversity, generalized additive models, macroecology

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64 Introduction

65 Cetaceans (whales, dolphins, and porpoises) are major components of oceanic ecosystems 66 (Roman et al. 2014). They are top predators and their distributions can provide an indication 67 of prey abundance and wider ocean health (Friedlaender et al. 2006, Burek et al. 2008, 68 Roman et al. 2014). Unfortunately, many cetacean species are threatened (Reeves et al. 69 2003, IUCN SSC, 2018) and are vulnerable to anthropogenic impacts, such as incidental 70 entanglement in fishing gear (bycatch), ship strikes, hunting, chemical or noise pollution and 71 environmental changes across their ranges (Parsons et al. 2010, Ramp et al. 2015). It is 72 therefore important to monitor cetaceans to determine the impacts of these pressures on 73 their abundance and behavior (Bejder et al. 2006). As with other marine species, cetaceans 74 can prove difficult to study as they are often wide-ranging and spend most of their lives 75 submerged under water (Evans and Hammond, 2004). Frequently employed monitoring 76 techniques, such as surveying from boats, are not only expensive and time consuming, but 77 are often biased towards conspicuous species or those that respond positively to boat 78 presence, such as bottlenose dolphins (Tursiops truncatus) and short-beaked common 79 dolphins (Delphinus delphis; Evans and Hammond, 2004). One approach to these 80 constraints is to use strandings data, *i.e.*, records of cetaceans that have washed ashore. 81 82 Stranding records are the primary source of information for many elusive species, such as 83 beaked whales (Ziphiidae; Morin et al. 2017) and can provide an indication of relative 84 abundance and richness in extant cetacean communities (Evans and Hammond, 2004, 85 Maldini et al. 2005, Pyenson, 2011). Globally, there are several long-term, regional stranding 86 datasets: the northwest Pacific USA, e.g., Norman et al. (2004) who reported 904 records, 87 concluding that most reports are made in summer time when sampling effort is higher;

88 Hawaii, *e.g.*, Maldini *et al.* (2005); who documented 202 odontocete strandings; the

89 Netherlands, *e.g.*, Murphy *et al.* (2006) who have ~10,000 strandings records to date, the

90 majority of which are harbor porpoises; and Australia, *e.g.*, Evans *et al.* (2005), who

91 analyzed 639 stranding events comprising 39 taxonomic groups. The Australian dataset only

has 21 records gathered prior to 1960 (Lloyd and Ross, 2015), while the Hawaiian and North American datasets have limited accuracy prior to the 1960s and 70s when systematic recording of strandings became more standardized (Pyenson, 2010). The Dutch dataset has systematic records dating back to at least the 1920s (Murphy et al. 2006), with some records dating back hundreds of years. Similarly, the Irish Whale and Dolphin Group (IWDG) stranding records date back to the 18th Century. Although globally there are several long-term stranding datasets the majority of them are not systematic, nor as long-term as the one we present here. The Natural History Museum, London (NHM) has maintained a database of UK strandings since 1913, making it one of the longest, continuous, systematic cetacean stranding datasets in the world (NHM, 2018). The program became part of the Cetacean Strandings Investigation Programme (CSIP) in 1990, which continues to record cetacean strandings in the UK to the present day and investigates the causes of strandings through systematic postmortem examinations, under contract to the UK government (CSIP, 2019). The IWDG has been systematically recording strandings since 1990 (IWDG, 2019). Despite records being available up to 2015, no comprehensive studies of temporal changes in cetacean strandings exist for this full time period, *i.e.*, from 1913-2015. The unique characteristics of this data set are ideal for investigating trends and inter-annual variability in cetaceans strandings alongside anthropogenic and/or environmental changes.

120 **Potential correlates of strandings**

121 Many studies have investigated possible causes of cetacean strandings. Strandings may be 122 triggered by geomagnetic storms affecting the orientation of cetaceans that navigate by 123 these means (Vanselow et al. 2017). Other (*i.e.*, meteorological) storms may exhaust, 124 displace, or physically injure cetaceans, increasing the risk of disorientation and stranding 125 (Mignucci-Giannoni et al. 2000, Bogomolni et al. 2010, Schumann et al. 2013). Fluctuations 126 in the North Atlantic Oscillation (NAO) can lead to storms and wind and sea surface 127 temperature (SST) changes, that may in turn influence prey abundance and distributions 128 (Hurrell, 1995, Pierce et al. 2007) that can alter cetacean distributions and lead to strandings 129 (Simmonds and Eliott, 2009, Schumann et al. 2013). Anthropogenic impacts such as military 130 sonar can cause cetaceans to surface quickly resulting in fatal decompression sickness 131 (Jepson et al. 2003). Further, direct physical contact with ships (i.e., ship strike) (Laist et al. 132 2001) has also been attributed to deaths in a number of stranding records. Starvation is a 133 known cause of death recorded in stranding necropsies (Leeney et al. 2008, Deaville et al. 134 2015), which may be linked to overfishing. Other effects of human fishing efforts e.g., 135 bycatch, are well documented (Read et al. 2017). Entanglement in fisheries nets, and other 136 commercial debris (Leeney et al. 2008, Deaville et al. 2015) causes either immediate 137 asphyxiation (often the case in smaller cetaceans) or exaggerated energy expenditure from 138 the drag of nets, often leading to emaciation and asphyxiation (Moore and van Der Hoop, 139 2012). Pollution and plastic contamination have also been attributed to cetacean death and 140 subsequent stranding (Simmonds, 2012).

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Our overall objective is to explore broad-scale patterns and correlates of cetacean
strandings through time. Combining all three datasets for the first time, we present over 100
years of data, and show spatio-temporal patterns in the number of individuals stranding in
the UK and Ireland. We also used Generalized Additive Models (GAMs) to explore
correlates of strandings.

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148 Methods

149 Study area

150 All stranding records were recorded from UK and Irish coastlines between 49°N and 61°N, 151 and 11°W and 3°E. The predominant ocean current in this region is the North Atlantic drift, 152 which travels eastwards with prevailing winds towards the western UK and Ireland. Further, 153 there are powerful currents associated with submarine canyons to the extreme southwest of 154 the UK, near the edge of the continental shelf. Bed stress (disturbance to the sea floor by 155 tidal currents) is lowest in the more sheltered, shallower waters of the Irish sea, English 156 Channel, and the southern North Sea, near East Anglia (Connor et al. 2006). The UK 157 continental shelf includes parts of the North Sea, Irish Sea, English Channel, and North 158 Atlantic, and is under 200 m deep around most of the UK. This continental shelf slopes down 159 into a deep-sea zone off the west coast of Ireland (Connor et al. 2006).

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161 Strandings datasets

162 During the early 20th century most UK stranding reports were sent to the NHM by HM 163 Coastguard. Information was collected via standardized forms that showed the basic data 164 requirements. Members of the public also submitted reports via the coastguard. As 165 photography became more widely used, more reports to the NHM were supported by 166 images. With the development of Wildlife Trusts around the UK, wardens, officers, and 167 rangers became key reporters of strandings. When CSIP and the IWGD were set up in 168 1990, wider publicity was given to the work on strandings, raising public awareness and 169 understanding. Reporting strandings via online forms, telephone, and social media became 170 common practice and is still used today. Many reported strandings are attended by the CSIP 171 and IWDG teams.

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We used stranding data from the NHM, CSIP and IWDG to investigate temporal and spatialpatterns of cetacean strandings around the UK and Ireland. In the present study, a stranding

175 is defined as any individual found beached or washed up onto land (beaches, mudflats, 176 sandbanks etc.) either alive or dead, and also includes a small number of records where the 177 individual was re-floated. All three datasets contain information on the stranded species, the 178 date it was discovered, the latitude and longitude of the stranding location, and whether the 179 animal was alive or dead on discovery. For some specimens, the NHM and CSIP datasets 180 also have information on whether the individual stranded alone or with others of the same 181 species (a mass stranding, *i.e.*, more than one individual, excluding mother-calf pairs), the 182 decomposition condition of the carcass, sex, and body length. The NHM dataset contains 183 4,311 UK and Irish stranding records from 1913-1989 (NHM, 2018). The CSIP dataset 184 contains 13,084 UK, and seven Irish stranding records from 1990-2015 (CSIP, 2018), and 185 the IWDG dataset contains 2,973 Irish cetacean records for the period 1913-2015 (IWDG, 186 2018). We combined the datasets and removed 220 duplicate records found in both the 187 NHM and IWDG datasets.

188

189 Before analyses, we cleaned the data by removing any records where species were listed as 190 'unknown', 'unknown cetacean', or similar. Then we removed any species that are rarely 191 seen in UK waters, defined using Reid et al. (2003) and OBIS-SEAMAP (Halpin et al. 2009); 192 Supplemental information: Table S1, S2). These are likely to represent one-off events that 193 will not contribute to general patterns, or may be misidentifications, especially in the 194 historical data. These species were: narwhal (Monodon monoceros), beluga (Delphinapterus 195 leucas), dwarf sperm whale (Kogia sima), Blainville's beaked whale (Mesoplodon 196 densirostris), Gervais' beaked whale (Mesoplodon europaeus), Fraser's dolphin 197 (Lagenodelphis hosei), and melon-headed whale (Peponocephala electra). Where possible, 198 we converted grid references and detailed location descriptions into latitudes and longitudes 199 for records that did not have this information. We sense-checked all anomalous strandings, 200 such as those with localities far inland, and removed any that were not near a viable water 201 source. Lastly, we standardized the date formats and scientific names across the combined

dataset, using YYYY-MM-DD for dates and the taxonomy of Reid *et al.* (2003) for scientific
names.

204

205 **Correlates of strandings through time**

We plotted changes in the total number of stranded individuals through time for all species combined, for each species separately, and for mysticetes (baleen whales) and odontocetes (toothed whales). Next, we explored the spatio-temporal patterns in strandings for all species combined, and for mysticetes and odontocetes separately, across the UK and Ireland at 25-year intervals and decadal intervals (Fig. S3).

211

212 We considered drivers of changes in strandings through time. We fitted models of numbers 213 of individuals stranded against various predictor variables thought to correlate with cetacean 214 strandings (Table 1, Fig.1). We included the following predictors because they have been 215 reported to potentially influence strandings, and because we could collate data for them on a 216 yearly basis for the UK and Ireland for the full-time span of our dataset (1913-2015) 217 (Supplemental information: Data Collection). (1) Geomagnetic activity. Some cetaceans, 218 such as sperm whales (Physeter macrocephalus) may use Earth's geomagnetic fields for 219 navigation (Kirschvink et al. 1986, Kremers et al. 2014, Vanselow et al. 2017), thus changes 220 in geomagnetic activity, e.g., solar storms, may affect their navigation and increase the 221 likelihood of strandings (Vanselow et al. 2017). (2) Sea surface temperature (SST). 222 Changes in SST (°C) can affect prev abundance, resulting in net movements of cetaceans 223 as they follow their prey (Pierce et al. 2007, Simmonds and Eliott, 2009), which could result 224 in changes in cetacean distribution and therefore the spatial distribution of strandings. (3) 225 Storm events. Storm conditions, hurricane events and associated oceanographic 226 disturbances may increase strandings (Mignucci-Giannoni et al. 2000, MacLeod et al. 2004, 227 Bogomolni et al. 2010) as individuals suffer from exhaustion, disorientation, or direct 228 physical injury. Further, these impacts can also affect food sources (Lawler et al. 2007, 229 Evans et al. 2005), which may alter cetacean distributions and therefore the likelihood of

230 strandings. (4) North Atlantic Oscillations (NAO). Fluctuations in the NAO can affect prey 231 distribution and abundance via associated wind and temperature changes (Hurrell, 1995, 232 Pierce et al. 2007). Low NAO indexes have been associated with physiological stress in 233 North Atlantic right whales (Eubalaena glacialis). Note that although NAO and storms, and 234 NAO and SST are related, they are not strongly correlated ($r^2 < 0.16$ and $r^2 < 0.001$ 235 respectively; Supplemental information; Environmental variables). Therefore, we included all 236 three variables. (5) Fishing catch. Over-fishing can have a direct impact on cetaceans due 237 to a reduction of their prey (Evans, 1990, Weir et al. 2007), causing starvation, or a shift in 238 cetacean distribution as they search for prey elsewhere. Further, discarded or fixed fishing 239 nets and creel lines are partly responsible for cetacean mortality as bycatch (Leeney et al. 240 2008). Note that ideally, we would have included sonar use, bycatch, and chemical 241 pollution, but none of these variables were available for every year in our dataset (*i.e.*, 1913) 242 - 2015), particularly for the historical data. We ran a model that included a proxy for shipping 243 traffic, but these data were only available for 1950-2015 (Supplemental Information; 244 Shipping model). Sources and units of the main model dataset are in Table 1. 245 246 <Table 1> 247 <Figure 1> 248 249 250 251 252

253

254 Generalized additive models (GAMs)

255 We modelled the effects of our predictors on the number of individuals stranded using 256 GAMs. GAMs allow for smooth relationships between multiple explanatory variables and the 257 response variable (Wood, 2017). Like generalized linear models (GLMs), GAMs use a link 258 function. GAMs use this link function to establish a relationship between a 'smoothed' 259 function of the predictor variable(s) and the mean of the response variable (Guisan et al. 260 2002). A GAM is substantially more flexible because the relationships between independent 261 and dependent variables are not assumed to be linear (Wood, 2017). Our initial data 262 exploration found that relationships between the individual predictors and the number of 263 individuals stranded were nonlinear.

264 We modelled the total number of stranded individuals as a sum of smooth functions of 265 covariates in a GAM framework (1). In an attempt to account for changes in the potential for 266 detection of stranded cetaceans through time we included yearly UK population size based 267 on the assumption that as population size increases, or activity in an area increases, it is 268 more likely that strandings will be observed and reported (Norman et al. 2004, Maldini et al. 269 2005, Pyenson, 2011, McGovern et al. 2016). Stranding studies highlight the importance of 270 considering population growth as a proxy for observer effort (Maldini et al. 2005, Pyenson, 271 2011). However, it is often difficult to obtain accurate population estimates over the time 272 frame of these stranding databases or in regions where populations have varied 273 considerably (e.g., the Hawaiian Islands, Maldini et al. 2005)., we used yearly UK human 274 population size (Table 1) as an offset in the model. To further investigate the impacts of 275 sampling effort, we ran two case study models that look at differences in population between 276 the populated southern UK, and the less populated northern UK (Supplemental information; 277 Regional study 1 & 2). Smooths were modelled using a thin plate spline basis with shrinkage 278 (Marra and Wood, 2011), which allowed terms to be removed from the model (*i.e.*, their 279 effect size shrunk to zero) during fitting, thus terms were selected during model fitting. As we 280 wanted to model species-specific effects, we included a factor-smooth interaction between

281 year of stranding and species; this term fitted a smooth of time for each species but allowed 282 common smooths to be fitted for the other covariates. An advantage of this approach is that 283 the per-species smooths are estimated as deviations from a base-level smooth, so some 284 information is shared between species. We fitted models with the following candidate 285 response count distributions: Poisson, quasi-Poisson, negative binomial, and Tweedie. We 286 used standard residual checks for GAMs (Q-Q plot, histogram of residuals, residuals vs. 287 linear predictors, response vs. fitted values) to decide between response distributions and 288 assess model fit. We report the results using the negative binomial distribution as this was 289 the best fit for the data (Supplemental information: GAM candidate response distributions) 290 with each of the different response distributions (Supplemental information: Fig. S7:S10). 291 The total number of stranded individuals was modelled as a sum of smooth functions of the 292 k explanatory variables z_{tk} using a GAM with the general formulation:

293 (1)

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$$s_{t, species} = exp\left[\log(p_t) + \beta_0 + \sum_{k=1}^{K} f_k(z_{tk}) + f_{t, species}(t, species)\right]$$

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Where *s* ~ negative binomial (θ), *s* is the number of stranded individuals, *t* is year, *species* is the cetacean species in the stranding dataset, *p* is an offset of human population size, β_0 is the intercept and f_k are smooths of the K explanatory variables. The explanatory variables for inclusion in the models were smooth functions of year, with the additional species smooth as mentioned and shown in (1), and storm events, geomagnetic activity, sea surface temperature, North Atlantic oscillation, and fishing catch.

302

We fitted models using Restricted Maximum Likelihood (REML) in the R mgcv package
version 1.8.17 (Wood, 2011). REML was preferable because when models contain highly
correlated covariates, REML finds an optimal degree of smoothing (Reiss and Ogden 2009).
In a GAM, k is the maximum complexity of the basis used to represent the smooth term. If
the k value is high enough, we can be sure that there is enough flexibility in the model. We

308 can find out if k is high enough by increasing the k value and refitting the original model 309 (Supplemental information: Setting the k parameter). After refitting the model and analyzing 310 the GAM output, we set the k parameter for storm events and geomagnetic activity to k = 7311 and k = 4, respectively. The k parameter did not need to be set for NAO, SST, or fishing 312 catch because these terms had more unique covariate combinations than the specified 313 maximum degrees of freedom. To avoid fitting overly complex models, the maximum basis 314 size for the smooth terms were limited to these values. Finally, we plotted the residuals by 315 covariate (Supplemental information; GAM model checking) to confirm the goodness of our 316 model fit. These plots showed low variation in the covariate residuals suggesting that the 317 model is a good fit (Fig. S11).

We removed 'rare' and 'unknown' records from the final model to account for possible misidentifications in the stranding record. These records were also removed because of the effect one or two records could have on skewing the species smooth. We also ran a GAM with all 'rare' and 'unknown' records included (2,664 records) to investigate the effect of these additional strandings.

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331 Sensitivity analyses

332 There are many different ways to subdivide the dataset, and many possible sources of error.

333 Therefore, we ran a series of additional analyses on subsets of the data, or different

arrangements of the data, to identify any obvious issues. These are described briefly below;

335 for more details see the supplementary information.

336

337 Species identification models

338 We ran the model with all stranding records at genus-level to account for possible

339 misidentification at the species-level, particularly in the historical data. Because species

identifications by dedicated strandings networks are likely to be more reliable than those in

the historic data, we also ran a model using CSIP and IWDG stranding records only (1990 –

342 2015).

343

344 Species specific models

345 47% of the dataset were harbor porpoise (Phocoena phocoena) records, as these small 346 cetaceans are widespread and abundant in UK and Irish waters (Fig. S4). To ensure that our 347 results were not merely reflecting a signal in the harbor porpoise data we repeated our 348 analyses after removing this species from the dataset, and then for the harbor porpoise data 349 separately. For completeness we also fitted models for all other species with over 100 350 stranding records in the dataset (we excluded five species with fewer than 100 strandings 351 records; sei whale (Balaenoptera borealis), blue whale (Balaenoptera musculus), pygmy 352 sperm whale (Kogia breviceps), humpback whale (Megaptera novaeangliae), and True's 353 beaked whale (Mesoplodon mirus), because they had insufficient data to fit the models.

354

355 Ship strike models

To investigate ship strike effects on strandings we ran a model that included a proxy forshipping traffic around the UK. These data were only available from 1950-2015; therefore,

the other predictors and the response were constrained accordingly, and shipping was not
included in the full model. Note that we use shipping traffic as a proxy for ship strikes
because direct ship strike data was not available historically, and even those data available
mainly focus on mysticetes or are geographically restricted.

362

363 Stranding events models

364 In the main model the response is all individual stranding records, with each and every 365 cetacean in a mass stranding recorded by species, location, and date. Cetaceans that mass 366 strand are generally pelagic odontocetes (Jepson et al. 2013), and we felt it was important to 367 assess the effects of correlates on these mass strandings. We therefore also fitted a model 368 with the number of stranding events as the response (with a single mass stranding event 369 recorded as a '1' for all individuals of the same species at that location and date) to 370 investigate whether the correlates had a different effect on single and mass strandings, and 371 to see whether our results were reflecting a signal of multiple mass strandings of pelagic 372 odontocetes.

373

374 Suborder models

375 The cetaceans were split by suborder (*i.e.*, Mysticeti or Odontoceti) to investigate whether 376 the predictors affected the numbers of strandings differently in each suborder. The two 377 suborders are generally different ecologically (e.g., diet specialization and larger body size in 378 the mysticetes), and it has been suggested that only some genera (e.g., Delphinus, 379 Grampus, and Ziphius; Kirschvink et al. 1986), of which all are odontocetes, use 380 geomagnetic features to navigate, with Balaenoptera (a mysticete), to a lesser extent 381 (Kirschvink et al. 1986). We therefore investigate the differences in this and the other 382 correlates of strandings for the two suborders. 383 384

386 Habitat models

We ran a model with a smooth of habitat (*i.e.*, oceanic, coastal, or both) (Table S2) rather than a species smooth because some of the predictors *e.g.*, storms, may have had more of an effect on species in certain habitats. For example, shallow water species, such as porpoises, may be more likely to strand due to severe weather as they are less able to escape from storm impacts (Lawler *et al.* 2007, Schumann *et al.* 2013). Species habitat data were from Reid *et al.* (2003).

393

394 Regional models

395 Finally, we ran two regional models for strandings from; 1) the south west coast of the UK 396 where cetacean stranding records and human population have increased, and 2) the north 397 west cost of the UK where cetacean stranding records have increased, but human 398 population has decreased. These models were run to assess the possible effects that using 399 one standard UK human population size may have had in the original model and to see if 400 correlates of strandings were different in different regions of the UK and Ireland. The same 401 predictors were used in these models but were constrained to 1991-2015 as county-level 402 human population data are only available for this time period. 403 All data required to reproduce our analyses are available from the NHM Data Portal 404 (data.nhm.ac.uk, Coombs et al. 2018). We performed all data cleaning, data exploration, 405 plotting and analyses in R version 3.4.0 (R Core Team, 2017). A fully reproducible workflow

406 is available on GitHub (<u>https://github.com/EllenJCoombs/cetacean-strandings-project</u>) and

407 Coombs *et al.* (2019).

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409

411 Results

412 Data exploration

413 Temporal and spatial patterns in the strandings data

- 414 A total of 17,491 strandings comprising 21 species were recorded. The dataset contains 786
- 415 mysticete records from five species, and 16,705 odontocete records from 16 species.
- 416 Temporal and spatial patterns in strandings varied across and within species (Fig. 2, 3).

417

418 <Figure 2>

419 <Figure 3>

420

421 Some species e.g., blue whales and false killer whales (*Pseudorca crassidens*), stranded in 422 the earlier parts of the time series but then disappear from the strandings record (Fig. 3). 423 Conversely, some species appear for the first time in the latter half of the century. For 424 example, the first humpback whale stranding record was in 1982 and the first pygmy sperm 425 whale stranding was recorded in 1966 (Fig. 2, 3). Species such as northern bottlenose 426 whales (Hyperoodon ampullatus) and Cuvier's beaked whales (Ziphius cavirostris) have 427 stranded consistently throughout the century, with an increase in records towards the 428 present day.

Overall, cetacean strandings records have increased over the past century, with a rapid rise from the late 1980s to the present (Fig. 4). There were several prominent spikes in stranding numbers before the 1990s (Fig. 4) caused by mass strandings. In 1927, there was a mass stranding of 150 false killer whales, with further mass strandings of this species in 1934 and 1935, the largest being 41 individuals. In 1950 there were two long-finned pilot whale

434 (*Globicephala melas*) mass strandings (totaling 245 individuals; Fig. 2, 4), with further mass
435 strandings of this species in 1983. All of these mass strandings occurred in Scotland, which
436 accounts for the high numbers in that region from 1926-1950 (Fig. 5).

437 The most frequently stranded species were harbor porpoise (*Phocoena phocoena*; n =438 8,265; 47% of all stranding records), short-beaked common dolphin (*Delphinus delphis*; n =439 3,110; 18% of all stranding records) and long-finned pilot whale (Globicephala melas; n =440 1,606; 9% of all stranding records) (Fig. 2, 3). Mysticete strandings were much less frequent 441 (Fig. 4) and accounted for around 4% of total strandings records. Mysticete strandings 442 showed an overall decline throughout the century until the 1980s. Generally stranding 443 records of all odontocetes increased throughout the 1990s to the present. The exceptions 444 were false killer whale, as previously mentioned, and killer whale (Orcinus orca) that 445 stranded intermittently in low numbers, with one mass stranding event (n = 11) in 1994 in 446 Scotland. 1990 was the first year that mysticete stranding records reached double figures. 447 There was an increase in mysticete strandings after 1987 and throughout the 1990s to the 448 present. Minke whales (Balaenoptera acutorostrata) accounted for 79% of all mysticete 449 strandings and also accounted for the majority of the post-1990 rise in mysticete strandings.

450 Mysticete records remained low throughout the 1950s and 60s (Fig. 4). There was a slight 451 decline in the number of odontocete stranding records during the early period of WWII, but 452 there are other years throughout the time period that reported lower numbers of odontocete 453 strandings. The CSIP and IWDG programs began in 1990, after which there was an 454 increase in stranding records for both mysticetes and odontocetes (Fig. 3, 4).

455 <Figure 4>

456 <Figure 5>

458 Most strandings were of odontocetes, therefore the plot for odontocetes and all species 459 combined show a similar pattern (Fig. 4). Most strandings occurred around the south coast 460 of England and the west coasts of Ireland and Scotland (Fig. 5, S6). This pattern was 461 particularly evident in common dolphin and harbor porpoise strandings (Fig. S4, S5). 462 Stranding hotspots in southern and southwest England were first documented from 1926-463 1950 (Fig. 5). Over the next 25 yr (1951-1975) there was an increase in stranding records 464 around northern England. Over the next few decades (1976-2000) stranding density 465 increased along the northeast and north of Scotland (Fig. 5). From the 1990s, stranding 466 records can be observed around most of the coastline concomitant with the advent of the 467 modern stranding programs. Mysticete strandings increased around southwest England, 468 southwest and western Ireland and western Scotland in the last few decades (2001-2015; 469 Fig. 5). East Anglia, Wales and eastern Ireland have fewer records for mysticete strandings 470 compared to other parts of the UK and Ireland (Fig. 5).

471

472 Correlates of strandings through time

473 We found significant effects for NAO, SST, and fish catch (P < 0.05, P < 0.001, P = 0.02, 474 respectively) suggesting the smooth of these variables were significantly different from "no 475 effect" (Table 2). However, the estimated degrees of freedom (EDF) were very low (*i.e.*, less 476 than, or not much greater than 1) indicating that the number of individuals that strand was 477 not strongly influenced by any of our predictor variables apart from year of stranding (Table 478 2, Fig. 6). The factor smooth term s(Year, Species) has an estimated degrees of freedom 479 (EDF) of 103 (Table 2; deviance explained = 84.5%, n = 2,163). The results of the GAMs 480 were qualitatively similar when we included all 'rare' and 'unknown' records, except fishing 481 catch had an EDF a little higher than 1 (Table S4, S15, Fig. S15).

482

484 <Table 2>

485 <Figure 6>

486

487 Sensitivity analyses

488 We found significant P-values for some variables in our sensitivity analyses (see below for 489 details) suggesting the smooth of these variables were significantly different from "no effect". 490 However, the EDFs for all variables (with a few exceptions; see below) were low, indicating that 491 across all sensitivity analyses the number of individuals that strand was not strongly 492 influenced by any of our predictor variables, except year of stranding, *i.e.*, our results 493 were qualitatively identical to those for the full model described above. This was true 494 across all sensitivity analyses (Tables S4, S5, S7-S12, S15 and Fig. S15, S16, S18-495 S23); therefore, we only report the differences below. All results are compiled in Table 496 S15.

497

498 Species identification models

In the genus-level models we found significant effects for SST, NAO index, and fishing catch (P < 0.001, P = 0.01, P = 0.01, respectively) (Table S5, Fig. S16). For the CSIP and IWDG (1990 - 2015) data we found significant *P*-values for storms, NAO, fishing catch, and shipping traffic (Table 3, Fig. S17).

503

504 Species specific models

505 When we removed harbor porpoises from the dataset we found significant effects for SST,

506 NAO, and fishing catch (P < 0.001, P = 0.001, P = 0.07, respectively) (Table S7, Fig. S18) and

507 showed that the original model was not merely reflecting a signal in the harbor porpoise data. When

- 508 we modelled harbor porpoise only, we found a significant *P*-value for SST (P < 0.01) but no
- 509 influence of any of the other predictor variables. When modelling each species
- 510 separately, we found no influence of any of the predictor variables (Table 4).
- 511

- 512 Ship strike models
- 513 We found significant *P*-values for all of the variables; storms (P < 0.005), geomagnetic k-index (P < 0.005)
- 514 0.01), SST (P < 0.01), NAO (P < 0.01), fishing catch (P < 0.001), and shipping traffic (P < 0.001)
- 515 (Table S9, Fig. S20), however, all variables (except fishing catch) had low EDFs (Table S9). The
- 516 EDF for fishing catch was 5.57, but the relationship was not particularly "wiggly" meaning we can
- 517 also interpret this as having little effect on the number of stranded individuals (Wood, 2017).
- 518
- 519 Stranding events models
- 520 Our model with the number of stranding events as the response (with a single mass
- 521 stranding event recorded as a '1') had a significant *P*-value for maximum SST, NAO and fishing
- 522 catch (P = 0.005, P < 0.001, P = 0.04, respectively) (Table S10, Fig. S21) but EDFs were low.
- 523 The correlates did not have a different effect on single and mass strandings. Further, our
- results were not merely reflecting a signal of multiple mass strandings of pelagic
- 525 odontocetes.
- 526
- 527 Suborder models
- 528 We found a significant effect for maximum SST, and fishing catch (P < 0.005, P < 0.001,
- 529 respectively) (Table S11, Fig. S22) but otherwise the models for odontocetes and mysticetes
- 530 were qualitatively similar to those for the full dataset.
- 531
- 532 Habitat models
- 533 We found significant effects for maximum SST, and fishing catch (P = 0.001, P < 0.001,
- respectively) but overall the results were the same as in the models without a habitat smooth
- 535 (Table S12, Fig. S23).
- 536
- 537 Regional models
- 538 The two regional models had different EDFs, with higher EDFs found in the southwest (region 1)
- 539 model (Table 3). We found significant *P*-values for all of the variables except for maximum k-index

| 540 | and maximum SST in both models (Table S13, S14). The region 1 model had an EDF of 6.62 for |
|-----|-------------------------------------------------------------------------------------------------------------|
| 541 | NAO but the relationship was not particularly "wiggly". We therefore interpret this as having little effect |
| 542 | on the number of stranded individuals (Wood, 2017). The EDFs for the other variables were still too |
| 543 | low to be fully conclusive (Table 3, Fig. S24, S25). |
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567 Discussion

568 We looked at 17,491 UK and Irish cetacean stranding records from 1913-2015 from the

569 Natural History Museum (NHM), the Cetacean Stranding Investigation Programme (CSIP),

and the Irish Whale and Dolphin Group (IWDG). We found that stranding numbers

- 571 increased throughout the century, with hotspots along the southern and western coast of the
- 572 UK and Ireland. We investigated several potential environmental and anthropogenic
- 573 predictors: storms, geomagnetic activity, North Atlantic Oscillations, sea-surface
- temperature, and fishing catch. Except for year of stranding, we found no significant
- 575 correlation between the numbers of cetaceans stranding each year and these potential

576 predictors.

577

578 Temporal and spatial patterns in the strandings data

579 We found that temporal and spatial variation in cetacean strandings has occurred over the580 last 100 yr (from 1913-2015) on the shores of the UK and Ireland. Generally, cetacean

581 strandings have increased throughout the century.

582 A reduction in mysticete strandings in the 1950s is likely to be related to a substantial

583 increase in post-WWII commercial whaling that affected North Atlantic stocks (Braham,

584 1984, Amundsen *et al.* 1995), reducing the number of individuals available to strand.

585 Mysticete stranding numbers increase after 1987, the year after the International Whaling

586 Commission moratorium on whaling came into effect.

Stranding events along the north and west coasts of Britain, south and west coasts of
Ireland (McGovern *et al.* 2016), and around the English Channel, Irish Sea, and Sea of the
Hebrides may in part be due to the passive transport of carcasses by the North Atlantic drift
(MacLeod *et al.* 2004). Further, these areas support a higher abundance and diversity of
cetaceans, particularly the deep, prey rich waters off the west coasts and continental shelf

(Evans, 1980, Wall *et al.* 2009, Hammond *et al.* 2013). Many cetaceans including fin and
sperm whales migrate along the west coasts of Ireland and Scotland (Evans, 1980) and are
therefore more likely to strand in these regions.

595

596 Studies have highlighted the impacts of bycatch and entanglement as a cause of strandings 597 (Leeney et al. 2008, Parsons et al. 2010, Deaville and Jepson 2011, Prado et al. 2016). 598 Strandings of harbor porpoise and common dolphin were particularly frequent around 599 Cornwall and the southwest coast of England (Leeney et al. 2008, Deaville et al. 2015) and 600 the Isles of Scilly (Sabin et al. 2005). This spatial pattern has been attributed to 601 entanglement in bycatch and intense fishing pressures off the southwest coast, one of the 602 most heavily fished regions of the UK (Leeney et al. 2008, Deaville et al. 2015). Incidences 603 of bycatch and entanglement in fishing gear for smaller cetacean species are generally 604 higher in these regions (Leeney et al. 2008, Deaville and Jepson, 2011, Deaville et al. 2015). 605 Despite an increase in bycatch monitoring and recording effort through initiatives such as the 606 Agreement on the Conservation of Small Cetaceans of the Baltic, North East Atlantic, Irish, 607 and North Seas (ASCOBANS), monitoring of cetacean bycatch in the majority of fisheries 608 and areas is still insufficient (Read et al. 2017). Entanglement in fishing gear also affects 609 larger species and there has been a documented rise in the number of humpback whales 610 caught in static creel lines in Scottish waters (Ryan et al. 2016). Entanglement was the 611 cause of fatality in half of all baleen whales examined at necropsy in Scotland (Northridge et 612 al. 2010), which may help explain high mysticete stranding rates in this region or reflect 613 higher densities of these species in this region. It is also important to note that the proximity 614 of the Atlantic shelf-edge to the Scottish islands, coupled with the influence of the Gulf 615 Stream, make this a particularly rich area for migrating and feeding mysticetes (Evans, 1980, 616 Pollock et al. 2000).

617

618 Correlates of strandings through time

619 To further investigate spatial and temporal variation, we examined several possible 620 correlates of strandings: storm events, geomagnetic fluctuations, North Atlantic Oscillation 621 (NAO), maximum sea surface temperature (SST), and fishing catch data. However, none of 622 these potential predictors explained the variation in cetacean strandings once we accounted 623 for time. We suggest that this is because the scale of change in the variables is too coarse 624 to detect any potential correlations. Due to the availability of human population data (used as 625 a proxy of sampling effort in our models) we were constrained to examining correlates at 626 yearly intervals. Similar results and criticisms arose from the CSIP and IWDG (1990-2015) 627 data only model, despite this model suffering less from the biases inherent in historical data. 628 Further, the genus-level model and the model with 'rare' and 'unknown' records showed 629 gualitatively similar results most likely due to the coarse, yearly constraints of the models. 630 Below we discuss each correlate in turn.

631

632 Storms

633 We found no significant indication of storms as a correlate for strandings records. Storm 634 events have been reported to have a greater effect on smaller, shallow water species 635 (Lawler et al. 2007, Schumann et al. 2013). However, we found no such effect in any of our 636 16 species-specific models, including our harbor porpoise only model, nor in our suborder 637 model despite odontocetes generally having a smaller body size. Further, we found no effect 638 of storms on strandings in our habitat model, despite storms potentially affecting shallow, 639 coastal water species to a greater extent (Lawler et al. 2007). We suggest that these effects 640 may be population, location, or season-specific. Further, carcasses of offshore species may 641 be blown onshore during storm events making species-specific impacts harder to identify; 642 consistent data on carcass condition would be required to analyze this. Stormy weather can 643 increase the chances of mass stranding events in some species, sometimes with multiple

644 species stranding together (Bogomolni *et al.* 2010, Schumann *et al.* 2013), however, we 645 found no effect of storms when we included mass strandings as multiple events (*i.e.*, each 646 species in that location, on that date is a single record). Again, it is likely that the scale of 647 change in our variables is too coarse to model these effects.

648

649 Geomagnetic fluctuations

650 We found no significant indication of geomagnetic fluctuations as a correlate for strandings. 651 Geomagnetic fluctuations may increase the likelihood of stranding in some species, e.g., 652 sperm whales (Smeenk, 1997, Pierce et al. 2007, Vanselow et al. 2017). Only some genera 653 (e.g., Delphinus, Grampus, and Ziphius; Kirschvink et al. 1986) likely use geomagnetic 654 features to navigate, with others to a lesser extent (e.g., Balaenoptera; Kirschvink et al. 655 1986), however, this was not detected in our species-specific models, nor in our suborder 656 model. It should be noted that many of these studies focus on one species in one ocean 657 basin e.g., the effect of geomagnetic activity on sperm whales stranding in the North Sea 658 (Vanselow et al. 2017) and that these regional and species-specific definitions were not 659 investigated in our macroecological study. We did not find a correlation between 660 geomagnetic fluctuations and strandings in our regional models, perhaps because these 661 effects are population, or season-specific.

662

663 North Atlantic Oscillation (NAO)

In contrast to other studies (Pierce *et al.* 2007, Truchon *et al.* 2013), we found only a slight effect of NAO on the number of stranding events in our main model. However, this was so small that it was inconclusive. Previously, positive NAO indices have been positively correlated with high stranding frequencies for seasonal migratory cetaceans (such as minke whales) in the Atlantic (Truchon *et al.* 2013). Further, incidences of sperm whale strandings

in the North Sea are higher during warm periods (associated with the NAO and higher SST),
a likely reflection on changes in distribution of their prey (Robinson *et al.* 2005, Pierce *et al.*2007). Again, this may be because many of these previous studies focus on one species, in
a specific geographical region (*e.g.*, the North Sea only (Smeenk, 1997, Pierce *et al.* 2007,
Vanselow *et al.* 2017), and show regional, and seasonal definitions that are not detected in
our wider macroecological study.

675

676 Sea surface temperature (SST)

677 We found only a slight correlation between SST and stranding records in our main model. 678 The EDF was so low, that this is not a conclusive correlate of strandings. Studies that have 679 investigated SST and cetacean strandings are species, and region specific. For example, in 680 western Scotland, the relative frequency of strandings of white-beaked dolphins, a colder 681 water species, have declined whilst strandings of common dolphins, a warmer water 682 species, have increased (MacLeod et al. 2005). We found no such species-specific effects. 683 We also found no effects of SST on strandings in our regional models (southwest UK and 684 northwest UK). The effects of an increase in SST may be particularly profound in species 685 that are constrained to shelf-waters and are unable to retreat to deeper, oceanic waters 686 (MacLeod et al. 2009). However, we saw no such effect of SST in our habitat model. Again, 687 it is likely that the scale of change in our variables is too coarse to model these effects, and 688 further, that seasonal definitions are not investigated in our model.

689

690

691

693 Fishing catch

694 We found only a small correlation between stranding events and fishing catch. It is well 695 known that over-fishing can directly impact cetaceans by reducing their prey (Evans, 1990, 696 Weir et al. 2007), which can lead to starvation, or a shift in cetacean distribution as they 697 search for prey elsewhere. Starvation is a common cause of death recorded in stranding 698 reports (Kirkwood et al. 1997, Deaville and Jepson, 2011, Deaville et al. 2015), with many 699 cases ascertaining that no other significant disease processes could explain the animal's 700 poor nutritional status (Jepson, 2005, Deaville and Jepson, 2011). We found a correlation 701 between fishing catch and strandings in the southwest regional model, the habitat model, 702 and the model with all 'rare' and unknown records included, although these correlations are 703 too small to be conclusive. Future studies should investigate the effects of fishing catch at a 704 finer seasonal, and regional scale, and importantly, in conjunction with bycatch data.

705

706 Model criticisms

707 Our models may have failed to fully explain the variation in cetacean strandings because we did not include other possible predictors such as reported bycatch numbers, or sonar use. 708 709 Other causes of death, and of strandings include infections from bacteria and other 710 pathogens, impacts of legacy chemical contaminants, particularly in top predators such as 711 killer whales and false killer whales which have seen a decline in stranding records, physical 712 trauma from boat strikes, in addition to interspecific aggression, and starvation (Sabin et al. 713 2005, Deaville and Jepson, 2011, Jepson et al. 2016, Law et al. 2012). Other studies have 714 shown that beaked whales and pilot whales are particularly sensitive to sound pollution from 715 ship sonar and military exercises, causing fatal gas bubble lesions from rapid ascents 716 (Jepson et al. 2003, McGeady et al. 2016, Harris et al. 2017). However, responses varied 717 between, and within, individuals and populations (Harris et al. 2017). We were unable to 718 include these variables because data were not available for the full time period of our

stranding dataset at a yearly resolution. In addition, they have been addressed elsewhere
through the work of the current UK strandings program (*e.g.*, Deaville and Jepson, 2011,
Jepson *et al.* 2016).

722 Our results may be confounded by the way we performed our analyses. First, we were 723 unable to account, in a satisfactory way, for sampling effort, instead using yearly UK 724 population size as a proxy. This is problematic as it cannot take into account social and 725 attitudinal changes over the 103-year period that are likely to have had a significant impact 726 on reporting effort. In addition, we used a population measure for the whole UK, which 727 shows that apart from the years 1916-1918 (*i.e.*, WWI), the human population rose every 728 year (Supplemental information: Human population data). A total UK population count 729 misrepresents some rural counties that have seen population fluctuations (for example 730 Anglesey, Wales) or declines (for example Argyll and Invercive, Scotland; and Donegal, 731 Ireland). Our two regional models, one for the southwest UK where human population has 732 increased over the century, and one for the northwest of Scotland where human population 733 has decreased over the century, were designed to account for this, but we did not find much 734 variation in our results. A better model would incorporate monthly human population data for 735 each county with a coastline, for the period 1913-2015, and therefore represent changing 736 sampling effort in that region over the century. This would also allow us to model the other 737 variables at monthly intervals. We could not incorporate these data because county-level 738 population data dating back to 1913 is only available decadally in UK and Irish Census data, 739 and county (and country) boundaries have changed in this time. Further, fluctuations in 740 stranding records may be attributable to uneven observer effort caused by specific events, 741 for example reduced effort during and after both world wars (Klinowska 1985).

We also highlight that the spatio-temporal difference between the death of the animal and its
discovery may affect stranding records, but that this is too variable to model. This includes
factors such as initial location of the animal at the time of death, buoyancy of the

carcass/species, and proximity of the carcass to strong currents, all of which determinewhere and if the animal washes up.

747

748 Sampling effort

749 It is most likely that the increase in stranding records throughout the 1980s to the present 750 was due to an increase in observer effort (Leeney et al. 2008, Deaville and Jepson, 2011, 751 Pyenson, 2011) and dedicated recording effort from the CSIP and the IWDG from 1990 752 onwards. It may also be the result of an increase in interest and reporting (O'Connell and 753 Berrow, 2007), and knowledge of the public (Norman et al. 2004, Leeney et al. 2008). An 754 increase in stranding records from the late 1980s onwards was also reported from southeast 755 Australia (Evans et al. 2005), the northwest Pacific in the USA (Norman et al. 2004), and 756 from the Hawaiian Islands (Maldini et al. 2005). These increases are also associated with an 757 increase in observer effort, and the formation of formal strandings networks. We see this 758 pattern in the UK and Irish stranding data.

759 Overall, we found numerous potential drivers of cetacean stranding events, but that the 760 causes of strandings often remain undetermined (Dolman et al. 2010). Cetaceans in UK 761 and Irish waters are facing numerous challenges such as reductions in prey stocks, 762 increases in chemical and noise pollution, and bycatch/entanglement (Parsons et al. 2010, 763 Deaville and Jepson, 2011). It is likely that the number of stranded cetaceans will continue to 764 rise as reporting effort and public interest in cetaceans continue to increase, and further, as 765 environmental and anthropogenic pressures on cetaceans persist. We suggest that future 766 studies continue to consider these anthropogenic threats that are likely to affect the numbers 767 of cetaceans that strand.

Long-term strandings data provides vital information on past and present cetacean diversityand distribution for common, rare, and inconspicuous species, highlighting the importance of

stranding programs. Such data on cetaceans can provide an indication of wider ecosystem
health (Friedlaender *et al.* 2006, Roman *et al.* 2014) making these an important data source
to consider when informing conservation decisions.

773

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Figure 1. Predictor variables thought to correlate with cetacean strandings. From top left to bottom right: Storm count, Geomagnetic index (k-index), maximum sea surface temperature (° C), North Atlantic Oscillation index, Fishing count (1,000 tons), and human population (millions). All variables show data for the UK and Ireland, apart from Geomagnetic index and human population which show data for the UK only. All data are shown from 1913 – 2015. Details on how the data were obtained is in Table 1 and the Supplemental Information; Data collection. Extra details on each of the variables are in the Supplemental information; Data

1016 collection.



41

Megaptera novaeangliae

Mesoplodon bidens

Mesoplodon mirus

— Phocoena phocoena

Pseudorca crassidens

Tursiops truncatus

Ziphius cavirostris

Stenella coeruleoalba

Physeter macrocephalus

- Orcinus orca

- 1018 <Plot on previous page>
- 1019 **Figure 2.** Temporal stranding patterns of each cetacean species stranding in the UK and
- 1020 Ireland from 1913-2015. The y-axis shows total stranding count per year, the x-axis shows
- 1021 the year. Note that y-axis scales are different for different species.



- 1024 <Plot on previous page>
- **Figure 3.** Stranding events of cetacean species in UK and Irish waters from 1913-2015. The
- 1026 x axis shows the years 1913-2015 with individual tiles representing one year. The y axis
- 1027 shows the species found in the UK and Irish stranding records. The first five species are
- 1028 mysticetes (baleen whales), and the rest of the species are odontocetes (toothed whales).
- 1029 The colored boxes show the number of individuals that stranded each year. Dark blue shows
- 1030 one to a few individuals, yellow shows more than 200 individuals.



1. 1920s: Sonar use in French and UK waters

2. 1946: NATO military testing in European waters: submarine, sonar, & torpedo testing increase

3. 1950s: Increase in post-war fishing & whaling effort

4. 1960s: Increase in use of polychlorinated biphenyls (PCBs) and other chemical pollutants

5. 1985/86 season: Moratorium on whaling comes into effect

6. 1990: The CSIP and IWDG programmes start

7. 2000s: Increase in pile-driving for offshore wind turbines

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1036 1037 Figure 4. Temporal variation in cetacean strandings records for all species, odontocetes 1038 (toothed whales), and mysticetes (baleen whales) in the waters around the UK and Ireland 1039 from 1913-2015. 1913 is when the NHM started to record cetacean strandings. The plot 1040 shows strandings through time for all species (orange), odontocetes (blue), and mysticetes 1041 (green). The y axis shows total number of individuals that stranded each year. Key 1042 anthropogenic events are labelled with numbers, with the corresponding key below the plot. 1043 Key periods are shaded in light grey. WWI is World War I, WWII is World War II, CSIP is the 1044 Cetacean Stranding Investigation Programme, IWDG is the Irish Whale and Dolphin Group 1045 Cetacean Stranding Scheme. The circles highlight years with mass strandings of > 20 1046 individuals.

1047



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|------|--------------------------------------------------------------|----------|-------|
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| 1051 | Figure 5. Temporal and spatial variation in cetacean stranding reco | ords for all species, |
|--------------|-----------------------------------------------------------------------------|---------------------------|
| 1052 | 2 odontocetes (toothed whales), and mysticetes (baleen whales) in th | ne waters around the UK |
| 1053 | 3 and Ireland from 1913-2015 at 25-year intervals. Low numbers of s | trandings are shown as |
| 1054 | dark blue, higher numbers of strandings shown in light green, the h | ighest numbers of |
| 1055 | 5 strandings are shown in yellow. | |
| 1056 1057 | | |
| 1058 | 3 | |
| 1059 | Table 1. Predictor variables thought to correlate with cetacean stra | ndings. Units, data type, |
| 1060 | and source of raw data are shown. SST is sea surface temperature | e, NAO is North Atlantic |
| 1061 | Oscillation. Human population data are used as an offset in our mo | dels. Details on how each |
| 1062 | 2 of these variables were sourced and calculated can be found in the | Supplemental |
| 1063 | 3 information: Data analysis. | |
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| 1065 | 5 <table next="" on="" page=""></table> | |
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| 1078 | } | |
| | Variable Data Sources | |

| (units) | | |
|----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|
| Storm events | Storm events over 47 knots | Lamb and Frydendahl (1991) |
| (count/year) | | Met Office, UK |
| | | |
| | | Multiple sources: |
| | | https://github.com/EllenJCoombs/strandings- |
| | | project |
| Geomagnetic | The K-index is used to characterize the | British Geological Survey |
| activity (K - | magnitude of geomagnetic storms. The | |
| index) | range is 0–9, with 1 being calm and 5 or | |
| | more indicating a geomagnetic storm | |
| | T here is a second sec | |
| | I hree-nourly readings obtained from: | |
| | 1913 - 1925: Greenwich | |
| | 1926 - 1939: Abinger | |
| | 1940 - 1956: Abinger, Eskdalemuir and | |
| | Lerwick | |
| | 1957 - 2015: Hartland, Eskdalemuir and | |
| | Lerwick | |
| | | |
| | A mean maximum yearly K-index reading | |
| | was used in the model | |
| Sea surface | Maximum yearly SST from 14 UK and | Met Office: HadISST |
| temperature | Irish locations (Supplemental information; | |
| (°C) | Fig. S1). A mean maximum yearly reading | |
| | was used in the model (Supplemental | |
| | information; Fig. S2). | |
| North Atlantic | Yearly readings | University Corporation for |
| Oscillation | | Atmospheric Research |
| (mb) | The NAO is based on the difference of | |

| | normalized sea level pressure (SLP) | | | | | | | | | |
|----------------|--------------------------------------------|-------------------------------------|--|--|--|--|--|--|--|--|
| | between Stykkisholmur/Reykjavik, | | | | | | | | | |
| | Iceland, and Lisbon, Portugal. | | | | | | | | | |
| Yearly fishing | Total yearly catch (1000 tonnes) data of ~ | International Council for the | | | | | | | | |
| catch (1000 | 58 species in UK and Ireland. Combined | Exploration of the Sea (ICES) | | | | | | | | |
| tonnes) | datasets for England and Wales, | | | | | | | | | |
| | Scotland, Northern Ireland, and Ireland to | | | | | | | | | |
| | get a yearly total. | | | | | | | | | |
| UK and Ireland | 1913-1922 are figures for England, Wales | Office of National statistics (ONS) | | | | | | | | |
| yearly human | and Scotland; from 1922, onwards | | | | | | | | | |
| | | | | | | | | | | |

| Correlates as | EDF | P-value | k value |
|---------------|-----|---------|---------|
| modelled | | | 5 |

| s(Storms) | < 0.001 | 0.94 | 6.0 | |
|------------------|---------|---------|-----|--|
| s(Max_K_index) | < 0.001 | 0.79 | 4.0 | |
| s(Max_SST) | 2.40 | < 0.001 | 9.0 | |
| s(NAO_index) | 0.92 | < 0.05 | 9.0 | |
| s(Fish_catch) | 0.79 | 0.02 | 9.0 | |
| s(Year, Species) | 103 | < 0.001 | 210 | |

1082 Table 2 Generalized additive model (GAM) outputs from a model of correlates of strandings 1083 for the UK and Ireland, from 1913-2015. s() are smooths of the explanatory variables. 1084 'Storms' refer to the storm count for each year, 'Max K Index' is the geomagnetic reading 1085 (where the K-index is used to characterize the magnitude of geomagnetic storms), 1086 'Max SST' is the yearly maximum sea surface temperature (°C), 'NAO index' is the North 1087 Atlantic Oscillation which is the difference of normalized sea level pressure (SLP) between 1088 Stykkisholmur/Reykjavik, Iceland, and Lisbon, Portugal, 'Fish catch' is annual fish catch 1089 (1,000 tons) for the UK and Ireland, 'Year' is the years 1913-2015, 'Species' are the 21 1090 cetacean species that make up the data set. This table shows the estimated degrees of 1091 freedom (EDF) for each of the different predictor variables. The P-values show whether the 1092 smooth of that variable is significantly different from "no effect", *i.e.*, if we estimated the 1093 smooth as a flat line at zero. k shows the maximum basis complexity.





- 1101 **Figure 6.** Generalized Additive Model (GAM) summary plots for variables included in the
- 1102 final model of correlates of cetacean strandings; a) Year, Species smooth, b) Storm events
- 1103 s(Storms), c) Geomagnetic index, s(Max_K_index), d) Maximum sea surface temperatures,
- 1104 s(Max_SST), e) North Atlantic Oscillation index, s(NAO_index), f) Annual fishing catch,
- 1105 s(Fish_catch). X-axis shows the values for that variable (*i.e.*, the year 1913-2015 (a), storm
- 1106 counts (b), maximum k-index value (c), Maximum sea surface temperature (°C) (d), NAO
- 1107 index value (e), and fishing catch (1,000 tons) (f). The y-axis shows the smooth and the
- 1108 estimated degrees of freedom (EDF) (e.g., s(Max_SST, 2.4). These EDF values are also
- 1109 reported in Table 2. Modelled using the negative binomial response count distribution. The
- 1110 model has a deviance explained of 84.5%, n = 2163.

1111 Table 3. Generalized additive model (GAM) outputs from additional models. '1990s model' is 1112 correlates of stranding GAM using only CSIP and IWDG stranding data (1990 – 2015). 1113 'Regional model 1' is correlates of stranding GAM using data from the south west of the UK. 1114 'Regional model 2' is correlates of stranding GAM using data from the north west of the UK. 1115 s() are smooths of the explanatory variables. 'Storms' refer to the storm count for each year, 1116 'Max K Index' is the geomagnetic reading (where the K-index is used to characterize the 1117 magnitude of geomagnetic storms), 'Max SST' is the yearly maximum sea surface 1118 temperature (°C), 'NAO index' is the North Atlantic Oscillation which is the difference of 1119 normalized sea level pressure (SLP) between Stykkisholmur/Reykjavik, Iceland, and Lisbon, 1120 Portugal, 'Fish catch' is annual fish catch (1,000 tons) for the UK and Ireland, 'Year' is the 1121 years 1990-2015 in the 1990s model, and 1991-2015 in the Regional models. 'Ships tons' is 1122 the combined yearly weight of ships over 500 tons in the UK, as a proxy for ship strike. 1123 'Species' are the cetacean species that make up the strandings dataset. This table shows 1124 the estimated degrees of freedom (EDF) for each of the different variables. The P-values 1125 show whether the smooth of that variable is significantly different from "no effect", *i.e.*, if we 1126 estimated the smooth as a flat line at zero.

| Correlates as modelled | 1990s model | Regional model 1 | Regional model 2 |
|------------------------|-------------|------------------|------------------|
| s(Storms) | 0.79* | 0.93* | 0.81* |
| s(Max_K_index) | 0.38 | < 0.001 | 0.12 |
| s(Max_SST) | < 0.001 | < 0.001 | < 0.001 |
| s(NAO_index) | 1.36** | 6.62** | 1.11** |
| s(Fish_catch) | 0.79* | 3.95** | 2.38** |
| S(Ships_tons) | 1.13** | 4.40** | 1.07** |
| s(Year, Species) | 43.0** | 40.1** | 25.5** |
| | | | |

1127 **P* < 0.05; ***P* < 0.01

| | | Year | | | Storms | | Geomag | gnetic k- lex | | Maximu | m SST (°C) | | NAG | O index | | Fishi | ng catch | |
|----------------------------|---------|---------|---|---------|---------|---|---------|------------------|---|---------|------------|---|---------|---------|---|---------|----------|---|
| Species | EDF | P-value | k | EDF | P-value | k | EDF | P-value | k | EDF | P-value | k | EDF | P-value | k | EDF | P-value | k |
| Balaenoptera acutorostrata | 4.98 | < 0.001 | 9 | 0.51 | 0.14 | 4 | 0.23 | 0.25 | 3 | 0.71 | 0.06 | 9 | < 0.001 | 0.96 | 9 | 0.79 | 0.02 | 9 |
| Balaenoptera physalus | 3.57 | < 0.001 | 9 | 0.94 | < 0.005 | 4 | 0.58 | 0.13 | 3 | < 0.001 | 0.58 | 9 | 0.93 | < 0.005 | 9 | 0.79 | 0.02 | 9 |
| Delphinus delphis | 7.03 | < 0.001 | 9 | 0.58 | 0.11 | 4 | 0.87 | 0.02 | 3 | < 0.005 | 0.48 | 9 | 0.13 | 0.30 | 9 | 0.84 | 0.01 | 9 |
| Globicephala melas | < 0.001 | 0.93 | 9 | < 0.001 | 0.86 | 4 | < 0.001 | 1.00 | 3 | 1.02 | < 0.001 | 9 | < 0.001 | 0.76 | 9 | 0.67 | < 0.001 | 9 |
| Grampus griseus | 56.3 | < 0.001 | 9 | < 0.001 | 0.53 | 4 | 0.84 | 0.02 | 3 | < 0.001 | 0.56 | 9 | <0.001 | 0.18 | 9 | 0.66 | 0.05 | 9 |
| Hyperoodon ampullatus | < 0.001 | 0.95 | 9 | 0.36 | 0.22 | 4 | 1.03 | < 0.001 | 3 | < 0.001 | 0.33 | 9 | < 0.001 | 0.43 | 9 | < 0.001 | 0.59 | 9 |
| Lagenorhynchus acutus | 7.21 | < 0.001 | 9 | 0.50 | 0.16 | 4 | < 0.001 | 0.21 | 3 | < 0.001 | 0.91 | 9 | < 0.001 | 0.60 | 9 | < 0.001 | 0.59 | 9 |
| Lagenorhynchus albirostris | 3.70 | < 0.001 | 9 | < 0.001 | 0.57 | 4 | 0.14 | 0.28 | 3 | < 0.001 | 0.77 | 9 | < 0.001 | 0.82 | 9 | 0.61 | 0.08 | 9 |
| Mesoplodon bidens | 1.20 | < 0.001 | 9 | < 0.001 | 0.46 | 4 | < 0.001 | 0.66 | 3 | < 0.001 | 0.54 | 9 | < 0.001 | 0.09 | 9 | < 0.001 | 0.40 | 9 |
| Orcinus orca | 0.64 | 0.10 | 9 | 0.75 | 0.05 | 4 | < 0.001 | 0.47 | 3 | < 0.001 | 0.38 | 9 | < 0.001 | 0.80 | 9 | < 0.001 | 0.76 | 9 |
| Phocoena phocoena | 8.27 | < 0.001 | 9 | 0.74 | 0.05 | 4 | < 0.005 | 0.67 | 3 | 0.89 | 0.01 | 9 | 0.04 | 0.32 | 9 | 0.39 | 0.19 | 9 |
| Physeter macrocephalus | 4.19 | < 0.001 | 9 | 0.71 | 0.05 | 4 | < 0.001 | 0.80 | 3 | < 0.001 | 0.44 | 9 | < 0.005 | 0.31 | 9 | < 0.001 | 0.75 | 9 |
| Pseudorca crassidens | 1.04 | 0.05 | 9 | < 0.001 | 0.88 | 4 | < 0.001 | 0.46 | 3 | 0.99 | 0.07 | 9 | < 0.001 | 0.76 | 9 | < 0.001 | 0.93 | 9 |
| Stenella coeruleoalba | 5.45 | < 0.001 | 9 | < 0.001 | 0.89 | 4 | < 0.001 | 0.83 | 3 | < 0.001 | 0.75 | 9 | 0.76 | 0.05 | 9 | 0.18 | 0.24 | 9 |
| Tursiops truncatus | 3.54 | 0.001 | 9 | < 0.001 | 0.92 | 4 | < 0.001 | 0.90 | 3 | < 0.001 | 0.77 | 9 | < 0.001 | 0.79 | 9 | < 0.001 | 0.79 | 9 |
| Ziphius cavirostris | 2.70 | 0.001 | 9 | < 0.001 | 0.95 | 4 | < 0.001 | 1.00 | 3 | < 0.001 | 1.00 | 9 | < 0.001 | 0.45 | 9 | < 0.001 | 0.76 | 9 |

1128 Table 4. Generalized additive model (GAM) outputs from a model of correlates of strandings for the UK and Ireland, from 1913-2015 for each

individual species. 'Storms' refer to the storm count for each year, 'Geomagnetic k-index' is the geomagnetic reading (where the K-index is used to characterize the magnitude of geomagnetic storms). 'Maximum SST' is the yearly mean maximum sea surface temperature (°C). 'NAV

used to characterize the magnitude of geomagnetic storms), 'Maximum SST' is the yearly mean maximum sea surface temperature (°C), 'NAO index' is the North Atlantic Oscillation which is the difference of normalized sea level pressure (SLP) between Stykkisholmur/Reykjavik, Iceland, and Lisbon, Portugal, Fishing catch' is annual fish catch from the UK and Ireland (1,000 tons), 'Year' is the years 1913-2015, 'Species' are the 16 cetacean species which had 100 or more strandings in the dataset. Rarer species were removed because they had insufficient data to fit the models. This table shows the estimated degrees of freedom (EDF) for each of the different predictor variables. The *P*-values show whether the smooth of that variable is significantly different from "no effect", *i.e.,* if we estimated the smooth as a flat line at zero. k shows the maximum basis complexity.