



## Harmonic Matrix and Harmonic Energy

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### Abstract

We define the Harmonic energy as the sum of the absolute values of the eigenvalues of the Harmonic matrix, and establish some of its properties, in particular lower and upper bounds for it.

### Key words:

The Randić index; Harmonic Matrix; Harmonic Energy; eigenvalues

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## 1. Introduction: Randić matrix and Harmonic Matrix

Let  $G$  be a simple graph and let  $v_1; v_2; \dots; v_n$  be its vertices. For  $i = 1; 2; \dots; n$ , we denote the degree (the number of first neighbors) of the vertex  $v_i$  by  $d_i$ . Then the molecular structure descriptor, put forward in 1975 by Milan Randić<sup>[11]</sup>, is defined as

$$R = R(G) = \sum_{i \sim j} \frac{1}{\sqrt{d_i d_j}} \quad (1)$$

Where  $\sum_{i \sim j}$  indicates summation over all pairs of adjacent vertices  $v_i; v_j$ . Nowadays,  $R$  is referred to as the Randić index.

The summands on the right hand side of formula (1) may be understood as matrix elements. This observation may serve as a motivation for conceiving a symmetric square matrix, called the Randić matrix.

$R = R(G) = (R_{ij})$  of order  $n$ , defined via

$$R_{ij} = \begin{cases} 0 & \text{if } i = j \\ \frac{1}{\sqrt{d_i d_j}} & \text{if the vertices } v_i \text{ and } v_j \text{ of } G \text{ are adjacent} \\ 0 & \text{if the vertices } v_i \text{ and } v_j \text{ of } G \text{ are not adjacent} \end{cases} \quad (2)$$

Harmonic index and graph radius. The Harmonic index is defined by Fajtlowicz<sup>[3]</sup> as follows. Given any graph  $G$ , the Harmonic index of  $G$  is

$$H = H(G) = \sum_{i \sim j} \frac{2}{d_i + d_j}$$

where the sum is over all edges  $v_i v_j$  of the graph  $G$ .

Then, we can a symmetric matrix  $(H_{ij})$  of order  $n$ , defined via

$$H_{ij} = \begin{cases} 0 & \text{if } i = j \\ \frac{2}{d_i + d_j} & \text{if the vertices } v_i \text{ and } v_j \text{ of } G \text{ are adjacent} \\ 0 & \text{if the vertices } v_i \text{ and } v_j \text{ of } G \text{ are not adjacent} \end{cases}$$

At this point it is purposeful to recall the definition of the adjacency matrix  $A$  of the graph  $G$ . Its  $(i; j)$ -entry is defined as:



$$A_{ij} = \begin{cases} 0 & \text{if } i = j \\ 1 & \text{if the vertices } v_i \text{ and } v_j \text{ of } G \text{ are adjacent} \\ 0 & \text{if the vertices } v_i \text{ and } v_j \text{ of } G \text{ are not adjacent} \end{cases}$$

We can call  $(R_{ij})$  and  $(H_{ij})$  "weighted adjacency matrices".

## 2. Energies

Graph spectral theory, based on the eigenvalues of the adjacency matrix, has well and long known applications in chemistry [5-7]. One of the chemically (and also mathematically) most interesting graph energy, defined as follows.

Let  $G$  be a simple graph on  $n$  vertices, and let  $A$  be its adjacency matrix. Let,  $\lambda_1; \lambda_2; \dots; \lambda_n$  be the eigenvalues of  $A$ .

These are said to be the eigenvalues of the graph  $G$  and to form its spectrum. The energy  $E(G)$  of the graph  $G$  is defined as the sum of the absolute values of its eigenvalues

$$E = E(G) = \sum_{i=1}^n |\lambda_i| \quad (3)$$

For details on graph energy see the reviews.

In view of the evident success of the concept of graph energy, and because of the rapid decrease of open mathematical problems in its theory, energies based of the eigenvalues of other graph matrices have been introduced. Of these, the Laplacian energy  $LE(G)$ , pertaining to the Laplacian matrix, seems to be the first [1, 2]. Burcu Bozkurt et al<sup>[9]</sup> defined the Randić energy, as the sum of absolute values of the eigenvalues of the Randić matrix. They studied the Bounds for Randić energy.

Along these lines of reasoning, we could think of the Harmonic energy, as the sum of absolute values of the eigenvalues of the Harmonic matrix. More formally: Let  $\rho_1, \rho_2, \dots, \rho_n$  be the eigenvalues of the Harmonic matrix  $H(G)$ . Knowing that these eigenvalues are necessarily real numbers, and that their sum is zero, the Harmonic energy can be defined as

$$HE = HE(G) = \sum_{I=1}^N |\rho_I| \quad (4)$$

This definition is applicable to all graphs.

## 3 Bounds for Harmonic energy

In this section we first calculate  $\text{tr}(H^2)$ ,  $\text{tr}(H^3)$ , and  $\text{tr}(H^4)$ , where  $\text{tr}$  denotes the trace of a matrix. Moreover, using these equalities we obtain an upper and a lower bound for Harmonic energy of the graph  $G$ .

In order to obtain our main results we give the following:

**Lemma 1.** Let  $G$  be a graph with  $n$  vertices and Harmonic matrix  $H$ . Then



$$tr(H) = 0$$

$$tr(H^2) = 4 \sum_{i \sim j} \frac{1}{(d_i + d_j)^2}$$

$$tr(H^3) = 2 \sum_{i \sim j} \frac{1}{d_i d_j} \left( \sum_{k \sim i, k \sim j} \frac{1}{d_k} \right)$$

$$tr(H^4) = 16 \sum_{i \neq 1}^n \left( \sum_{i \sim j} \frac{1}{(d_i + d_j)^2} \right)^2 + 16 \sum_{k \sim i, k \sim j} \left( \sum_{k \sim i, k \sim j} \frac{1}{d_k + d_j} \cdot \frac{1}{d_k + d_i} \right)^2$$

**Proof.** By definition, the diagonal elements of H are equal to zero. Therefore the trace of H is zero.

Next, we calculate the matrix  $H^2$ . For  $i = j$

$$(H^2)_{ii} = \sum_{j=i}^n H_{ij} H_{ji} = \sum_{j=1}^n (H_{ij})^2 = \sum_{i \sim j} (H_{ij})^2 = \sum_{i \sim j} \frac{4}{(d_i + d_j)^2}$$

Where as for  $i \neq j$

$$(H^2)_{ij} = \sum_{k=1}^n H_{ik} H_{kj} = H_{ii} H_{ij} + H_{ij} H_{jj} + \sum_{k \sim i, k \sim j} H_{ik} H_{kj} = 4 \sum_{k \sim i, k \sim j} \left( \frac{1}{d_k + d_j} \cdot \frac{1}{d_k + d_i} \right)$$

Therefore

$$tr(H^2) = \sum_{i=1}^n \sum_{i \sim j} \frac{4}{(d_i + d_j)^2} = 8 \sum_{i \sim j} \frac{1}{(d_i + d_j)^2}$$

Since the diagonal elements of  $R^3$  are

$$(H^3)_{ii} = \sum_{j=1}^n H_{ij} (H^2)_{jk} = \sum_{i \sim j} \frac{2}{d_i + d_j} (H^2)_{ij} = 8 \sum_{i \sim j} \frac{1}{d_i + d_j} \left( \sum_{k \sim i, k \sim j} \frac{1}{d_k + d_j} \cdot \frac{1}{d_k + d_i} \right)$$

We obtain

$$tr(H^3) = 16 \sum_{i \sim j} \frac{1}{d_i + d_j} \left( \sum_{k \sim i, k \sim j} \frac{1}{d_k + d_j} \cdot \frac{1}{d_k + d_i} \right)$$

We now calculate  $\text{tr}(H^4)$ . Because  $\text{tr}(H^4) = \|H^2\|_F^2$ , where  $\|H^2\|_F$  denotes the Frobenius norm of  $H^2$ , we obtain

$$\begin{aligned} \text{tr}(H^4) &= \sum_{i,j=1}^n |(H^2)_{ij}|^2 = \sum_{i=j}^n |(H^2)_{ij}|^2 + \sum_{i \neq j}^n |(H^2)_{ij}|^2 = \\ &= 16 \sum_{i \neq j}^n \left( \sum_{i \sim j} \frac{1}{(d_i + d_j)^2} \right)^2 + 16 \sum_{k \sim i, k \sim j} \left( \sum_{k \sim i, k \sim j} \frac{1}{d_k + d_j} \cdot \frac{1}{d_k + d_i} \right)^2 \end{aligned}$$

**Theorem 2.** Let  $G$  be a graph with  $n$  vertices. Then

$$HE \leq 2 \sqrt{2n \sum_{i \sim j} \frac{1}{(d_i + d_j)^2}} \quad (7)$$

**Proof.** The variance of the numbers  $|\rho_i|$ ,  $i = 1; 2, \dots, n$ , is equal to

$$\frac{1}{n} \sum_{i=1}^n |\rho_i|^2 - \left( \frac{1}{n} \sum_{i=1}^n |\rho_i| \right)^2$$

and is greater than or equal to zero. Now,

$$\sum_{i=1}^n |\rho_i|^2 = \sum_{i=1}^n \rho_i^2 = \text{tr}(H^2)$$

and therefore

$$\frac{1}{n} \text{tr}(H^2) - \left( \frac{1}{n} HE \right)^2 \geq 0 \Leftrightarrow HE \leq \sqrt{n \text{tr}(H^2)}$$

Inequality (7) follows from Lemma 1.

**Theorem 3** Let  $G$  be a graph with  $n$  vertices and at least one edge. Then



$$RE(G) \geq \sqrt{\frac{\sum_{i \sim j} \frac{1}{(d_i + d_j)^2}}{\sum_{i \neq 1}^n \left( \sum_{i \sim j} \frac{1}{(d_i + d_j)^2} \right)^2 + \sum_{k \sim i, k \sim j} \left( \sum_{k \sim i, k \sim j} \frac{1}{d_k + d_j} \cdot \frac{1}{d_k + d_i} \right)^2}}$$

Proof. Our starting point is the Holder inequality

$$\sum_{i=1}^n a_i b_i \leq \left( \sum_{i=1}^n a_i^p \right)^{1/p} \left( \sum_{i=1}^n a_i^q \right)^{1/q}$$

which holds for any non-negative real numbers  $a_i, b_i; i=1,2,\dots,n$ . Setting  $a_i = |\rho_i|^{2/3}, b_i = |\rho_i|^{4/3}, p=3/2,$  and  $q=3$ , we obtain

$$\sum_{i=1}^n |\rho_i|^2 = \sum_{i=1}^n |\rho_i|^{2/3} (|\rho_i|^{4/3})^3 \leq \left( \sum_{i=1}^n |\rho_i|^2 \right)^{2/3} \left( \sum_{i=1}^n |\rho_i|^4 \right)^{1/3}$$

If  $G$  has at least one edge, then not all  $\rho_i$ 's are equal to zero. Then  $\sum_{i=1}^n |\rho_i|^4 \neq 0$  and (8) can be rewritten as

$$PE(G) = \sum_{i=1}^n |\rho_i| \geq \sqrt{\frac{\left( \sum_{i=1}^n |\rho_i|^2 \right)^3}{\sum_{i=1}^n |\rho_i|^4}} = \sqrt{\frac{\left( \sum_{i=1}^n \rho_i^2 \right)^3}{\sum_{i=1}^n \rho_i^4}}$$

Theorem 3 is now obtained from Lemma 1.

We conclude this section by a simple identity for the Harmonic energy of regular graphs.

**Theorem 4.** If the graph  $G$  is regular of degree  $r; r > 0$ , then

$$HE(G) = \frac{1}{r} E(G)$$

If, in addition  $r = 0$ , then  $HE = 0$ .

**Proof.** Since  $G$  is regular of degree  $r$ , then  $\frac{2}{d_i + d_j} = \frac{1}{\sqrt{d_i d_j}}$

Hence,  $R(G)=H(G)$ . Theorem 4 follows from theorem 6 of [9]



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