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Featured Article

NPT088 reduces both amyloid- β and tau pathologies in transgenic mice

Jonathan M. Levenson^{a,*}, Sally Schroeter^a, Jenna C. Carroll^a, Valerie Cullen^a, Eva Asp^a, Ming Proschitsky^a, Charlotte H.-Y. Chung^a, Sharon Gilead^a, Muhammad Nadeem^{b,1}, Hemraj B. Dodiya^b, Shadiyat Shoaga^b, Elliott J. Mufson^{b,1}, Haim Tsubery^a, Rajaraman Krishnan^a, Jason Wright^a, Beka Solomon^c, Richard Fisher^a, Kimberley S. Gannon^a

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Abstract

Introduction: Alzheimer's disease (AD) is characterized by appearance of both extracellular senile plaques and intracellular neurofibrillary tangles, comprised of aggregates of misfolded amyloid- β (A β) and hyper-phosphorylated tau, respectively. In a previous study, we demonstrated that g3p, a capsid protein from bacteriophage M13, binds to and remodels misfolded aggregates of proteins that assume an amyloid conformation. We engineered a fusion protein ("NPT088") consisting of the active fragment of g3p and human-IgG₁-Fc.

Methods: Aged Tg2576 mice or rTg4510 mice received NPT088 weekly via IP injection. Cognitive and/or functional motor endpoints were monitored during dosing. Pathology was quantified biochemically and immunohistochemically.

Results: NPT088-lowered A β plaque and improved cognitive performance of aged Tg2576 mice. Moreover, NPT088 reduced phospho-tau pathology, reduced brain atrophy, and improved cognition in rTg4510 mice.

Discussion: These observations establish NPT088 as a novel therapeutic approach and potential drug class that targets both A β and tau, the hallmark pathologies of AD.

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Keywords:

GAIM; General amyloid interaction motif; Novel object recognition; Spontaneous alternation; Limb clasp; Thioflavin S; Brain weight; Cerebrospinal fluid; Phospho-tau

1. Background

Alzheimer's disease (AD) is a progressive neurodegenerative disorder defined by dementia and the presence of

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extracellular plaques and intracellular neurofibrillary tangles, which are comprised of aggregates containing misfolded A β and tau, respectively [1,2]. Current therapeutic approaches for AD target one of these two protein aggregates. Most clinical trials testing the efficacy of A β immunization have not demonstrated significant functional improvements in patients [3–7]. Moreover, compounds targeting tau aggregation are still too early in clinical development to determine whether an approach specifically directed at tau aggregates will be efficacious [8,9].

Based on the clinical failures using A β immunization and animal model studies, it is postulated that therapeutic

strategies for binding and subsequent clearing of A β aggregates should ideally exhibit high-affinity binding to aggregated forms of the misfolded proteins (i.e., oligomers, fibers, or plaques) while sparing monomeric or native species [10,11]. In support of this hypothesis, phase I results from the ongoing PRIME clinical trial of aducanumab, a monoclonal antibody (mAb) that specifically targets aggregated A β , demonstrated significant reduction of A β plaque by PET imaging associated with significant cognitive improvement [12]. Although these results are promising and suggest that treatment with A β -directed therapeutics could alter AD progression, the patient population enrolled was specifically selected to exhibit a mild form of the disease, and measurements of changes in tau aggregate loads, which correlate more closely with cognitive function, were not reported. Here, we propose a therapeutic approach

for AD that broadly targets aggregates of both A β and tau but without affecting their respective monomeric forms.

It was previously reported that the filamentous bacteriophage M13 improves cognition and decreases A β plaque loads in APP-overexpressing transgenic mice after chronic administration [13]. Further research to understand the mechanism of this anti-amyloid activity revealed that M13 binds to and remodels multiple types of misfolded protein aggregates in vitro, including A β , tau, and α -synuclein, without binding to monomeric forms of these proteins [14], and that M13 targeting of misfolded protein aggregates is mediated by a two-domain fragment of the phage capsid protein g3p. Therefore, g3p functions as a general amyloid interaction motif (GAIM), targeting multiple misfolded proteins. A fusion protein, NPT088, was engineered that consists of the active fragment of g3p and human-IgG₁-Fc

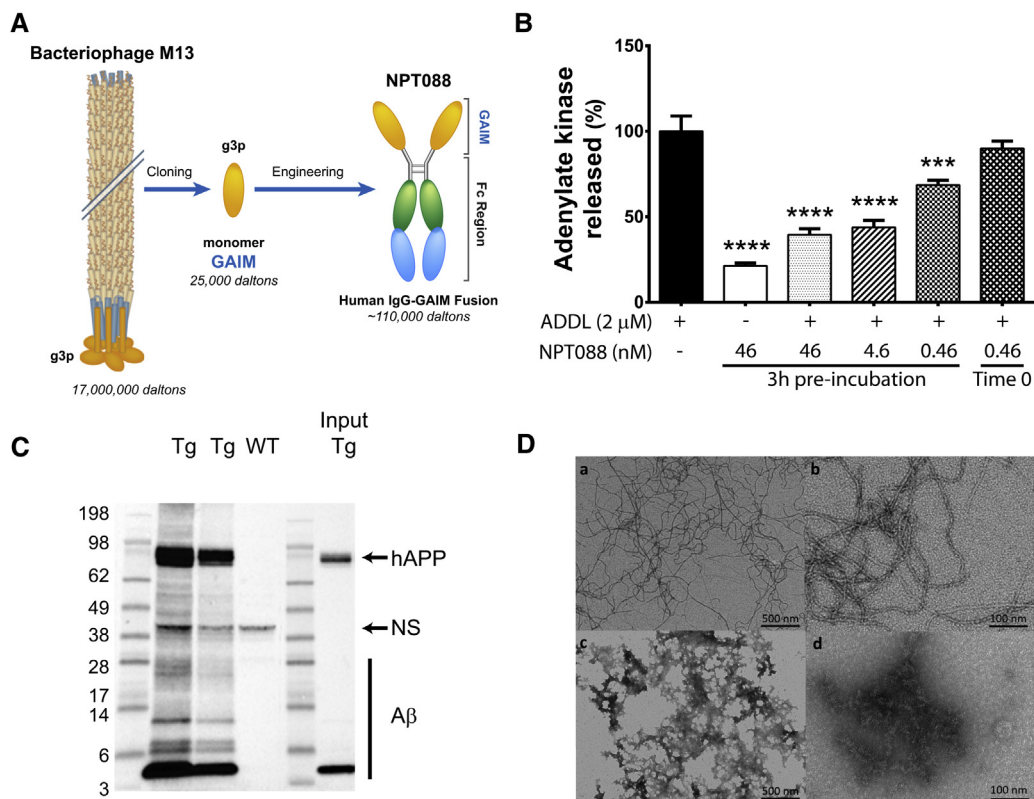


Fig. 1. Generation and in vitro characterization of NPT088, an immunoglobulin-GAIM fusion protein. (A) Native bacteriophage M13 potently and broadly binds to and disrupts a variety of misfolded protein assemblies, including A β , tau, α -synuclein, and yeast prion Sup35. M13, owing to its large size (17 MDa), represents a significant hurdle for effective CNS drug delivery. Subsequent characterization of amyloid fiber binding and remodeling of M13 revealed that the minor capsid protein, gene 3 protein (g3p), is critical for this activity and, furthermore, that the two N-terminal domains of g3p facilitate binding and disruption of amyloids as a general amyloid interaction motif (GAIM). The engineered fusion protein, NPT088 (NPT088), consists of the active fragment of g3p and human-IgG₁-Fc. (B) Incubation of differentiated N2a cells with 2 μ M (9 μ g/mL) A β ₄₂ ADDLs for 24-hour-induced robust cytotoxicity. Preincubation of ADDLs with NPT088 for 3 hours significantly inhibited cytotoxicity. Co-application of ADDL and NPT088 (Time 0 control) had no effect on induction of cytotoxicity. Asterisks indicate significant difference from ADDL alone (Dunnett's test, *** P < .001, **** P < .0001). (C) NPT088 was used to precipitate A β from formic acid lysates of aged Tg2576 brain. Precipitates were resolved on SDS-PAGE and western blots probed with a monoclonal anti-A β antibody (6E10). NPT088 precipitated A β from formic acid extracts of brain prepared from two different Tg2576 mice (Tg). No A β was extracted from lysates prepared from WT mice. NS indicates nonspecific band that is present in formic acid extracts from WT brains and is recognized by 6E10. hAPP represents human amyloid precursor protein. Note the enrichment of all species of A β in the immunoprecipitated lanes relative to the Input material lane. (D) Transmission electron microscopy images of A β ₄₂ fiber preparations incubated for 7 days and stained with 1% uranyl acetate. (a-b) Examples of A β fiber structure after incubation for 7 days in buffer alone. (c-d) Examples of A β ₄₂ fiber structure after incubation with NPT088 (0.25 μ M) for 7 days. Note the dramatic loss of fiber structure.

[14] (Fig. 1A), which has physical properties suitable for systemic administration. Here, *in vivo* data are presented that support the development of NPT088 as a clinical candidate for the treatment of AD.

2. Methods

2.1. Cytotoxicity assay

ADDL (A β ₄₂-derived diffusible ligands) assembled from A β ₄₂ peptides was prepared as described in [15]. Briefly, A β ₄₂ peptide (0.225 mg/mL or 50 μ M) was dissolved in cold F12 medium without phenol red and refrigerated (4–8°C) for 24 hours. The resulting ADDL preparations were spun at 14,000 \times g for 15 minutes to remove any fibrillar material and then directly used for cytotoxicity assays. SEC analysis (Superdex 75 HR) of this preparation confirmed that A β ₄₂ peptides assemble into oligomeric aggregates that range in size between 17 kDa and 70 kDa (data not shown).

N2a cells (5000 cells/well) were serum starved for 48 hours to induce differentiation. Cytotoxicity was induced via incubation with (ADDL, 2 μ M or 9 μ g/mL) for 24 hours. Cytotoxicity was assessed by quantifying the amount of the cytosolic enzyme adenylate kinase released into the media. Prevention of cytotoxicity was assessed by pre-incubation of ADDL preparations with NPT088 for 3 hours before application to cells. Data were analyzed by 1-way ANOVA, and post-hoc comparisons were made with Dunnett test. *P* value was set at $\leq .05$.

2.2. A β ₄₂ fiber remodeling

A β ₄₂ fiber preparations (2.5 μ M) were made as previously described [14]. Fiber preparations were incubated for 7 days with either buffer alone or with NPT088 (0.25 μ M). After incubation, A β ₄₂ fiber preparations were stained with 1% uranyl acetate, prepared for electron microscopy, and visualized with transmission electron microscopy.

2.3. Transgenic mice

Tg2576 [16] mice purchased from Taconic (Model 1349, mixed C57Bl6/SJL background) and bi-transgenic rTg4510 [17] mice (FVB/N and 129S6 background) were bred in-house. Mice were maintained on a 12:12 light:dark cycle, and food (LabDiet, Purina) and water were provided *ad libitum*. In experiments that involved repeated, weekly dosing with NPT088, all mice in each treatment group, including phosphate buffered saline (PBS) control animals, were immunologically tolerized by an intraperitoneal (IP) injection of 0.5 mg of monoclonal rat anti-mouse CD4 (eBioscience, Clone GK1.5, #16-0041) 24 hours before the first dose of NPT088 or PBS. This procedure, which has been successfully used in other published studies of anti-amyloid monoclonal antibodies containing Fc-Hu-IgG₁ like NPT088 [18], has been shown to deplete CD4⁺ T-cells resulting in tolerance of foreign antigens [19]. To minimize

variability in pathology and disease progression, all analyses reported were conducted on male mice. All procedures were performed in accordance with local and federal guidelines for the ethical use and treatment of animals and under the supervision of an institutional animal care and use committee.

2.4. Behavioral testing

2.4.1. Spontaneous alternation

Mice were placed into one arm of a Y-maze (Arms: 30 cm L \times 10 cm W \times 20 cm H) facing the central zone, and activity was monitored for a period of 10 minutes. Light levels in the Y-maze were approximately 210 lux. Between subjects, the arenas were wiped with 70% ethanol (EtOH) followed by distilled water (dH₂O) to reduce olfactory cues. A successful alternation occurred when a mouse entered a different arm on three consecutive arm entries. Locomotor activity and arm entries were quantified by with TopScan (CleverSys, Reston, VA). Arm entry occurred when a subject's center of mass crossed into the beginning of an arm. Alternation rate was calculated as: *number of alternations/number of arm entries* – 2. Statistical analysis was performed with 1-way ANOVA and post-hoc comparisons made with Dunnett test. *P* value was set at $\leq .05$.

2.4.2. Novel object recognition

NOR was performed in an open field arena (50 cm L \times 50 cm W \times 40 cm H; \sim 210 lux). Between subjects, the arenas were wiped with 70% EtOH followed by dH₂O. Testing was conducted over 2 days. Day 1 was a 30-minute arena habituation session where individual mice were permitted to freely explore the empty arena. Day 2 included both the sample and test phase of NOR. During the sample phase, mice were placed into the arena and permitted to freely explore two identical objects for 15 minutes. Four hours after completion of the sample phase, mice were placed back into the arena and permitted to freely explore a familiar and a novel object for 10 minutes. For each phase of the task, mice were placed in the center of the arena at the beginning of a session, and the arena and objects were thoroughly cleaned with 70% EtOH then dH₂O at the end of each session. Objects were placed 5 cm away from the walls of the arena, and location of objects in the sample and test phases was identical. All objects were screened in a separate cohort of mice for differences in exploratory behavior elicited from mice, and only objects that elicited comparable levels of exploratory behavior when both were novel were used in this study. Objects (bowl & jar, approx. 5 cm in each dimension) were counterbalanced across all groups to further minimize any potential effect of object on this task. Locomotor activity and object explorations were quantified with TopScan (CleverSys). An object exploration occurred when the subject's nose was within 2 cm of the object, and the midpoint of the subject's body was

beyond 2 cm from the object. NOR was assessed using the discrimination index ($(T_{\text{novel}} - T_{\text{familiar}})/T_{\text{total}}$). Statistical analysis was performed either with 1-way ANOVA and post-hoc comparisons performed with Dunnett test when there were three or more treatment groups, or a *t* test when only 2 treatment groups were compared. *P* value was set at $\leq .05$.

2.4.3. Limb clasping

Mice were raised by the tail for 5 seconds over the home cage and scored for limb clasping on a scale of 0–5. Zero = no limbs clasping, 1 = one limb clasping, 2 = two limbs clasping, 3 = three limbs clasping, 4 = all four limbs clasping, 5 = all limbs clasping severely. Scores from two independent observers simultaneously observing the mice were averaged. Statistical analysis was performed with 1-way ANOVA and post-hoc comparisons made using Dunnett test. *P* value was set at $\leq .05$.

2.5. Tissue collection

Mice were deeply anesthetized with a combination of dexdomitor (0.3 mg/kg) and telazol (20 mg/kg), transcardially perfused with saline and brains were removed. In some experiments (Section 3.3.3), brains were weighed on a digital scale immediately after removal from the skull. Brains were hemisected, and the right hemisphere was immersion fixed in 4% paraformaldehyde (in PBS, pH 7.4) for 2 days at 4°C. After fixation, brains were transferred to a 30% sucrose solution (in PBS, pH 7.4) and cut in the coronal or sagittal plane at 40 μm on a freezing sliding microtome. The left hemisphere was immediately microdissected on wet ice, and frontal brain, caudal brain, hippocampus, cerebellum, and olfactory bulb were frozen in separate tubes in liquid nitrogen.

2.6. CSF collection

In some mice, cerebrospinal fluid (CSF) was collected before obtaining brain tissue. After administration of anesthesia (see Section 2.5), each mouse was positioned such that CSF flowed backward. A small incision in the skin and muscles permitted puncture of the cisterna magna membrane with the tip of a 30G needle. A gel-loading pipette tip covered the puncture hole to create a seal and suction was applied to draw CSF (6–12 μL). CSF was centrifuged at $5000 \times g$ for 10 minutes at 4°C and the resulting supernatant aliquoted for analysis of $\text{A}\beta_{1-xx}$ (see Section 2.9).

2.7. Immunoprecipitation of $\text{A}\beta$ by NPT088 in brain tissue

2.7.1. Tissue homogenization and fractionation

Frozen cortices from aged Tg2576 mice (20 mo) were homogenized and processed [20]. After a tris-buffered saline (TBS) and Triton-X extraction, insoluble $\text{A}\beta$ was collected by extraction with 70% formic acid (FA) followed by

sonication with a bath sonicator. FA extraction was restricted to 3 hours to ensure that samples contained substantial amounts of higher molecular weight aggregates. After centrifugation ($175,000 \times g$ for 30 minutes at 4°C), the supernatant was collected and frozen at -80°C . Before use, the FA fraction was neutralized with 1M Tris base (1:27 v:v). The FA-soluble fraction used in the immunoprecipitation experiments is referred to as the “Insoluble” fraction.

2.7.2. Immunoprecipitation

The insoluble fraction was incubated with protein A/G sepharose beads (GE Healthcare, cat # 17-0618-01; 17-0780-01) for 60 minutes at 4°C. After centrifugation ($3500 \times g$ for 2 minutes at 4°C), the supernatant was collected and diluted 1:1 in PBS buffer with 20% Superblock (ThermoFisher, cat # 37,515) and 0.1% Tween. NPT088-coupled Dynabeads (Invitrogen, cat # 2017-08) were incubated with the insoluble fraction for 1.5 hours at 37°C. Beads were washed in 300-mM NaCl phosphate buffer with 0.05% Tween followed by washes in 137-mM NaCl phosphate buffer +0.05% Tween. Beads were incubated in 1 \times lithium dodecyl sulfate reducing sample buffer (LDS, ThermoFisher, cat# NP0007) for 10 minutes at 95°C, and bound proteins were separated on NuPAGE 4%–12% Bis-Tris gels (Life Technologies, cat: WG1401BX10). After transfer to nitrocellulose membrane, the membrane was boiled in PBS for 2 min and blocked in 5% milk in TBS–0.05% Tween for 1 hour at room temperature (RT). $\text{A}\beta$ was detected with 6E10 (Covance, cat#: 39,320), which recognizes both APP and $\text{A}\beta$ [see 21], in 5% milk in TBS–0.05% Tween for 18 hours at 4°C.

2.8. Quantification of $\text{A}\beta_{42}$ in brain tissue

2.8.1. Tissue homogenization and fractionation

Frozen frontal brain (rostral from Bregma) and hippocampus was homogenized and processed as previously described [22]. Briefly, tissue was thawed on ice, weighed, and homogenized at a concentration of 1 mL/150 mg, with buffer consisting of 10-mL RIPA (Sigma, cat #R0278), 1 Complete ULTA tablet (Roche 05-892-970-001), 1 phosphoSTOP tablet (Roche 04-906-845), and 0.5-mL 5M EDTA. Brains were sonicated on ice (Fisher Scientific model FB705 ultra tip sonicator, 10 seconds) and spun at $100,000 \times g$ for 30 minutes at 4°C. The supernatant (S1) was collected, aliquoted, and frozen at -80°C until further use. The remaining pellet was homogenized in 70% formic acid (FA) to a concentration of 2 $\mu\text{L}/\text{mg}$ tissue. The pellet was sonicated on ice, as above, and spun at $100,000 \times g$ for 30 minutes at 4°C. The supernatant (S2) was collected, aliquoted, and frozen at -80°C . Just before use, S2 was neutralized with 1M Tris base (1:20 v:v). In this study, S1 is referred to as the “Soluble” fraction and S2 as the “insoluble” fraction.

2.8.2. A β ₄₂ ELISA

Levels of A β _{1–42} (A β ₄₂) were measured using the Innotech β -amyloid_(1–42) test kit (FujiRebio, Malvern, PA, cat #: 80,177, lot #: 232810). The investigator performing the analysis was blind to treatment groups. Samples were run according to kit instructions. After adjustment for log-transformation and dilution, the unknown values were normalized to protein concentration, obtained from the same sample aliquot using a BCA assay (ThermoFisher, Carlsbad, CA, cat # 23,252), to derive final A β ₄₂ values in pmol/g. Values were excluded if they were either below the lower limit of quantification (LLOQ; <0.04 pmol/g and 0.09 pmol/g, for soluble and insoluble fractions, respectively) or if agreement among dilution values was <70%. Statistical analysis was performed with 1-way ANOVA and post hoc comparisons made with Dunnett test. *P* value was set at $\leq .05$.

2.9. Quantification of A β _{1–38}, A β _{1–40}, and A β _{1–42} in CSF

CSF levels of total human A β _{1–42}, A β _{1–40}, and A β _{1–38} were measured using MesoScale Discovery A β Peptide Panel 1 (6E10) VPLEX kit (cat #K15200E). Samples were run according to manufacturer's instructions. Unknown values are reported as pg A β /mL CSF. Statistical analysis was performed with 1-way ANOVA, and post hoc comparisons were made with Dunnett test. *P* value was set at $\leq .05$.

2.10. Neuropathology

2.10.1. Tissue sectioning and processing

Right hemibrains were sectioned coronally at 40 μ m, or sagittally at 30 μ m, on a freezing sliding microtome and stored in a glycerol-based cryoprotectant at -20°C before immunostaining of A β _{1–x} (82E1) and p-tau (AT8). Sectioning and staining were performed either at Neuroscience Associates (NSA, Knoxville, TN) using their MultiBrain Technology and in-house staining procedures (<https://www.neuroscienceassociates.com/technologies/multibrain/>) or in the laboratory of E. Mufson at Rush University Medical Center (Chicago, IL).

In the Mufson laboratory, immunostaining was performed at RT on floating sections that were incubated overnight in primary antibodies (biotinylated 82E1, IBL cat # 10,326, 1:2000; AT8, ThermoFisher cat # MN1020, 1:3000; biotinylated AT8, ThermoFisher cat # MN1020B, 1:3000). For non-biotinylated primary antibodies, sections were incubated in biotinylated secondary antibodies directed against the appropriate species IgG. Detection of biotinylated reagents was performed with the Vectastain Elite ABC Kit (Vector Laboratories cat #: PK-6100) for 60 minutes and the DAB Peroxidase Substrate Kit (Vector Laboratories cat #: SK-4100) according to the manufacturer's instructions.

Fibrillary A β was visualized using thioflavin S (thioS) histochemistry, and cytoarchitectonics were visualized with thionine staining performed by NSA.

2.10.2. Imaging and analysis

Neuropathologic analyses of all immunohistochemical labeling were performed on 12-bit monochrome images of the dorsal hippocampus or overlying cortex in 2–3 sections/brain at 240 μ m (coronal) or 180 μ m (sagittal) intervals. The lowest magnification at which measurements were not significantly different from higher magnifications was chosen for image capture. Magnification was selected based on measurements in the same area in a section at different magnifications in five mice. Sections were at comparable rostral-caudal or medial-lateral levels between brains, and all images were captured at the same exposure time. MetaMorph software was used for image analyses. Total area of 82E1 immunostaining (very diffuse A β excluded) within the regions of interest (ROIs; entire dorsal hippocampus area and overlying cortical area) and AT8 immunostaining in the entire dorsal hippocampus area was quantified in thresholded images (magnification of 4 \times) and expressed as a percentage of the ROI area. Quantification of the fluorescent signal of thioS was performed similarly in Tg2576 mice, and the number of thioS-positive plaques was also counted. ThioS in sagittal images (magnification of 10 \times) of the cortex of rTg2576 mice was quantified as a percentage of a ROI approximately 0.19 mm², one designed to fit within all the variations in brain size seen in this model for tau pathology. The thickness of the CA1 pyramidal cell layer was measured at four evenly spaced points in the region between the CA1/CA2 boundary and the apex of CA1 in each section using ImageJ software and these four values were averaged for each brain. Data were analyzed with a 1-way ANOVA and post hoc comparisons made with Dunnett test. *P* value was set at $\leq .05$.

3. Results

3.1. Characterization of NPT088 *in vitro*

NPT088 is a novel immunoglobulin fusion protein that is bivalent for GAIM (Fig. 1A). We previously demonstrated *in vitro* that both M13 and NPT088 efficiently bind to A β fibers, and that neither M13 nor NPT088 exhibit binding to A β monomers [14]. Although fibrillar species of A β are prevalent in plaques, cognitive impairment [23,24] and disruption of synaptic plasticity [24,25] are associated with lower molecular weight toxic oligomers of A β . To determine whether NPT088 could inhibit cytotoxicity induced by A β oligomers, we investigated whether pretreatment of A β ₄₂ ADDLs with NPT088-inhibited cytotoxicity induced in differentiated N2a cells. Exposure of differentiated N2a cells to ADDLs (2 μ M, 9 μ g/mL) for 24-hour-induced robust cytotoxicity (Fig. 1B). Pretreatment of ADDLs with NPT088 for 3 hours significantly inhibited

cytotoxicity (Fig. 1B, Dunnett's post-hoc: 46 nM vs. ADDL, $P < .0001$; 4.6 nM vs. ADDL, $P < .0001$, 0.46 nM vs. ADDL, $P = .0009$). When ADDLs and NPT088 were added to cultures simultaneously, no effect was observed on cytotoxicity (Fig. 1B, Dunnett's post-hoc: 0.46 nM [Time 0] vs. ADDL, $P = .4$) indicating that effect of NPT088 on cytotoxicity was due to binding to ADDLs and not a nonspecific interaction with N2a cells. Moreover, the IC_{50} values of NPT088 calculated in these assays are 5–50 nM, suggesting that NPT088 binds $A\beta$ and neutralizes toxicity at substoichiometric ratios (1 NPT088: 40–400 $A\beta_{42}$ monomers), consistent with the hypothesis that the NPT088-mediated inhibition of cytotoxicity was due to interaction with oligomeric species of $A\beta$.

To further demonstrate NPT088 binding to $A\beta$, a formic acid-soluble (FA) fraction of Tg2576 brain lysates was used to assess the ability of NPT088 to immunoprecipitate $A\beta$. The $A\beta$ in the FA fraction is a heterogeneous mix of monomeric $A\beta$ and non-denatured larger amyloid aggregates (Supplementary Fig. 1). NPT088 successfully immunoprecipitated various species of $A\beta$ from the FA fraction of Tg2576 brain lysates (Fig. 1C). Although the conformation of NPT088-interacting species in the FA fraction is not known, NPT088 does not bind to $A\beta$ monomers in vitro and likely interacts with aggregated $A\beta$ species consisting of SDS-stable $A\beta$ dimers and higher order $A\beta$ -mers (Fig. 1C). The hAPP observed in these lysates is associated with high molecular weight, aggregated $A\beta$ (Supplementary Fig. 1) and is consistent with previous studies demonstrating inclusion of misfolded hAPP in growing intracellular amyloid aggregates [26]. To test for effects of NPT088 on amyloid fibers, $A\beta_{42}$ fibers were incubated with NPT088 (0.25 μ M) for 7 days, and this resulted in remodeling of fibrillar $A\beta$ into amorphous deposits (Fig. 1D, see also Supplementary Fig. 2A and 2B). In addition, NPT088 remodels fibers assembled from the microtubule binding region of tau (Supplementary Fig. 2C), which is notable as these fibers are transmission-competent after sonication (Supplementary Fig. 3). All the remodeling data presented in the present study are consistent with previous remodeling assays of GAIM-based molecules [14]. Collectively, the in vitro characterization of NPT088 indicates that it effectively targets several species of aggregated $A\beta$, suggesting that it could mediate reduction of $A\beta$ pathology in vivo.

3.2. NPT088 in Tg2576 mice

3.2.1. Brain exposure of NPT088

To confirm that NPT088 was able to enter the central nervous system (CNS) when administered via IP injection, NPT088 was quantified in homogenates of frontal cortex from aged (19–20 mo) male Tg2576 mice after a single, IP injection (10 mg/kg). Levels of NPT088 were maximal 1 day after injection (4.7 ng/mg; Supplementary Fig. 4).

NPT088 was still detectable 14 days after injection (Supplementary Fig. 4). These results confirm that NPT088 is able to enter the CNS of aged Tg2576 mice after IP injection.

3.2.2. Improvement in cognitive function

NPT088 was engineered to contain an IgG₁-Fc (Fig. 1A), which provides antibody-like pharmacokinetic, tissue distribution, and effector function properties. To assess whether systemically administered NPT088 affected the cognitive function, performance in the spontaneous alternation and NOR tasks was measured. Previous studies have shown that aged Tg2576 mice exhibit significant deficits in spontaneous alternation and NOR [16,27,28]. After administration of 10 doses of NPT088 to Tg2576 mice (16–19 months at the beginning of the experiment, 18–21 months at time of testing), spontaneous alternation in the Y-maze was measured during week 10 (Fig. 2A). A significant increase in the spontaneous alternation rate was observed in the highest dose (20 mg/kg) group (Dunnett's post-hoc, 20 mg/kg vs. PBS, $P < .05$). After administration of a further four doses of NPT088, NOR was assessed during week 14 (19–22 months at time of testing; Fig. 2B). Similar to spontaneous alternation in the Y-maze, a significant increase in novel object recognition was observed at the highest (20 mg/kg) dose (Dunnett's post-hoc, 20 mg/kg vs. PBS, $P < .05$).

3.2.3. Reduction of soluble & insoluble $A\beta_{42}$

The improvements in cognitive performance were accompanied by a significant reduction of soluble and insoluble $A\beta_{42}$ in both the hippocampus (Soluble: Fig. 2C, Dunnett's post-hoc, 0.2 mg/kg vs. PBS, $P < .01$; 2 mg/kg vs. PBS, $P < .01$; 20 mg/kg vs. PBS, $P < .05$; Insoluble: Fig. 2D, Dunnett's post-hoc, 2 mg/kg vs. PBS, $P < .05$) and frontal cortex (Soluble: Fig. 2E, Dunnett's post-hoc, 0.2 mg/kg vs. PBS, $P < .01$; 2 mg/kg vs. PBS, $P < .01$; 20 mg/kg vs. PBS, $P < .05$; Insoluble: Fig. 2F, Dunnett's post-hoc, 2 mg/kg vs. PBS, $P < .01$; 20 mg/kg vs. PBS, $P < .05$). Reductions in soluble and insoluble species of $A\beta_{42}$ are consistent with the ability of NPT088 to interact with both soluble (Fig. 1B and 1C) and insoluble fibrillar species of $A\beta$ (Fig. 1D, Supplementary Fig. 2A and 2B). These results indicate that NPT088, when administered systemically, was able to mitigate cognitive impairments in aged Tg2576 mice, potentially through removal of soluble and insoluble species of $A\beta_{42}$.

3.2.4. Reduction of $A\beta$ neuropathology

To confirm NPT088 can engage $A\beta$ and mediate its clearance from the brain, tissue sections from the paraformaldehyde-fixed hemisphere of aged Tg2576 mice were immunostained for $A\beta$. Mice treated with NPT088 demonstrated significantly less $A\beta$ immunostaining in the hippocampus relative to mice treated with PBS (Fig. 3A and 3B; Fisher post hoc, 0.2 mg/kg vs. PBS, $P < .05$; 2 mg/kg vs. PBS, $P < .05$; 20 mg/kg vs. PBS, $P < .05$).

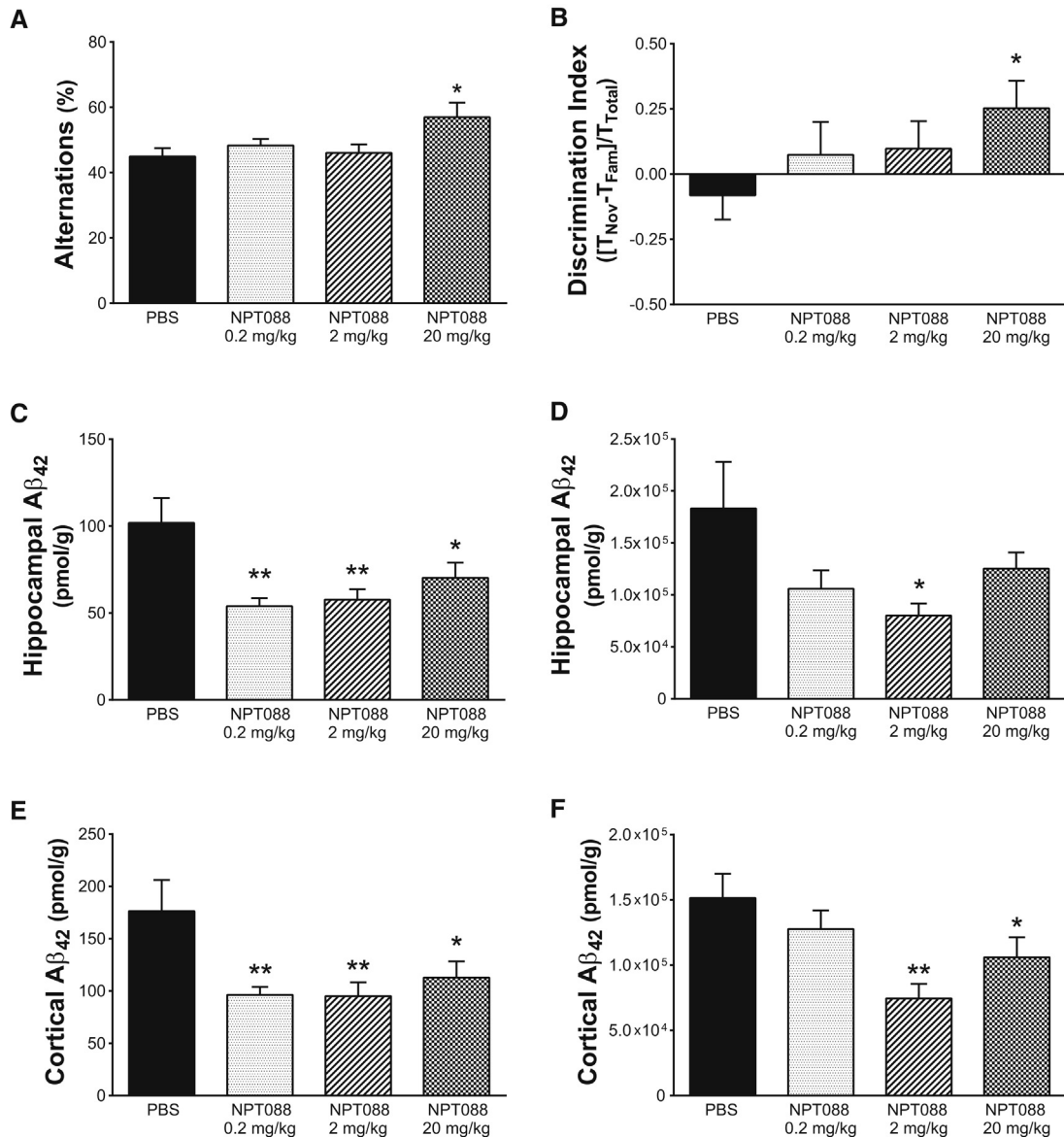


Fig. 2. Systemically administered NPT088 improves cognition in aged Tg2576 mice. Behavior was assessed in aged Tg2576 mice (16–19 months at the start of dosing) after repeated, weekly IP administration of NPT088. (A) Spontaneous alternation in a Y-maze was significantly increased after 10 weeks of weekly dosing with NPT088 at 20 mg/kg ($q_{47} = 2.8$, $P = .01$). $N = 13$ (PBS), 13 (0.2 mg/kg), 12 (2 mg/kg), 13 (20 mg/kg). (B) Performance of novel object recognition was significantly increased after 14 weeks of weekly dosing with NPT088 at 20 mg/kg ($q_{40} = 2.1$, $P = .05$). $N = 11$ (PBS), 11 (0.2 mg/kg), 12 (2 mg/kg), 10 (20 mg/kg). (C) Systemic NPT088 significantly reduced levels of A β_{42} from the soluble fraction of hippocampus at all doses tested (0.2 mg/kg: $q_{44} = 3.6$, $P = .003$; 2 mg/kg: $q_{44} = 3.3$, $P = .005$; 20 mg/kg: $q_{44} = 2.4$, $P = .05$). $N = 12$ (PBS), 11 (0.2 mg/kg), 12 (2 mg/kg), 13 (20 mg/kg). (D) Systemic NPT088 significantly reduced levels of A β_{42} from the insoluble fraction of hippocampus when administered at 2 mg/kg ($q_{46} = 2.7$, $P = .02$). $N = 13$ (PBS), 12 (0.2 mg/kg), 12 (2 mg/kg), 13 (20 mg/kg). (E) Systemic NPT088 significantly reduced levels of A β_{42} from the soluble fraction of cortex at all doses tested (0.2 mg/kg: $q_{47} = 3.1$, $P = .01$; 2 mg/kg: $q_{47} = 3.1$, $P = .01$; 20 mg/kg: $q_{47} = 2.4$, $P = .05$). $N = 13$ (PBS), 13 (0.2 mg/kg), 13 (2 mg/kg), 12 (20 mg/kg). (F) Systemic NPT088 significantly reduced levels of A β_{42} in the insoluble fraction of cortex at 2 and 20 mg/kg (2 mg/kg: $q_{47} = 3.6$, $P = .002$; 20 mg/kg: $q_{47} = 2.1$, $P = .05$). $N = 13$ (PBS), 12 (0.2 mg/kg), 13 (2 mg/kg), 13 (20 mg/kg). In all panels, asterisks indicate significant difference versus Tg-PBS.

Similar to observations made in the hippocampus, significant reductions of cortical A β immunostaining were observed in all treatment groups (Fig. 3C and 3D; Fisher post hoc, 0.2 mg/kg vs. PBS, $P < .01$; 2 mg/kg vs. PBS, $P < .001$; 20 mg/kg vs. PBS, $P < .05$). Analysis of cortical thioS histochemical staining revealed significant decreases in the number of thioS-reactive plaques only in the 2 and 20 mg/kg but not the 0.2 mg/kg treatment groups (Fig. 3E

and 3F; Fisher's post hoc, 2 mg/kg vs. PBS, $P < .05$; 20 mg/kg vs. PBS, $P < .05$). Reduction of A β plaque was not associated with an increase in microhemorrhage (Supplementary Fig. 5) as detected using Perls iron staining.

3.2.5. Reduction in levels of A β I-xx in CSF

To determine whether NPT088 facilitated movement of A β from parenchyma into adjacent fluid compartments,

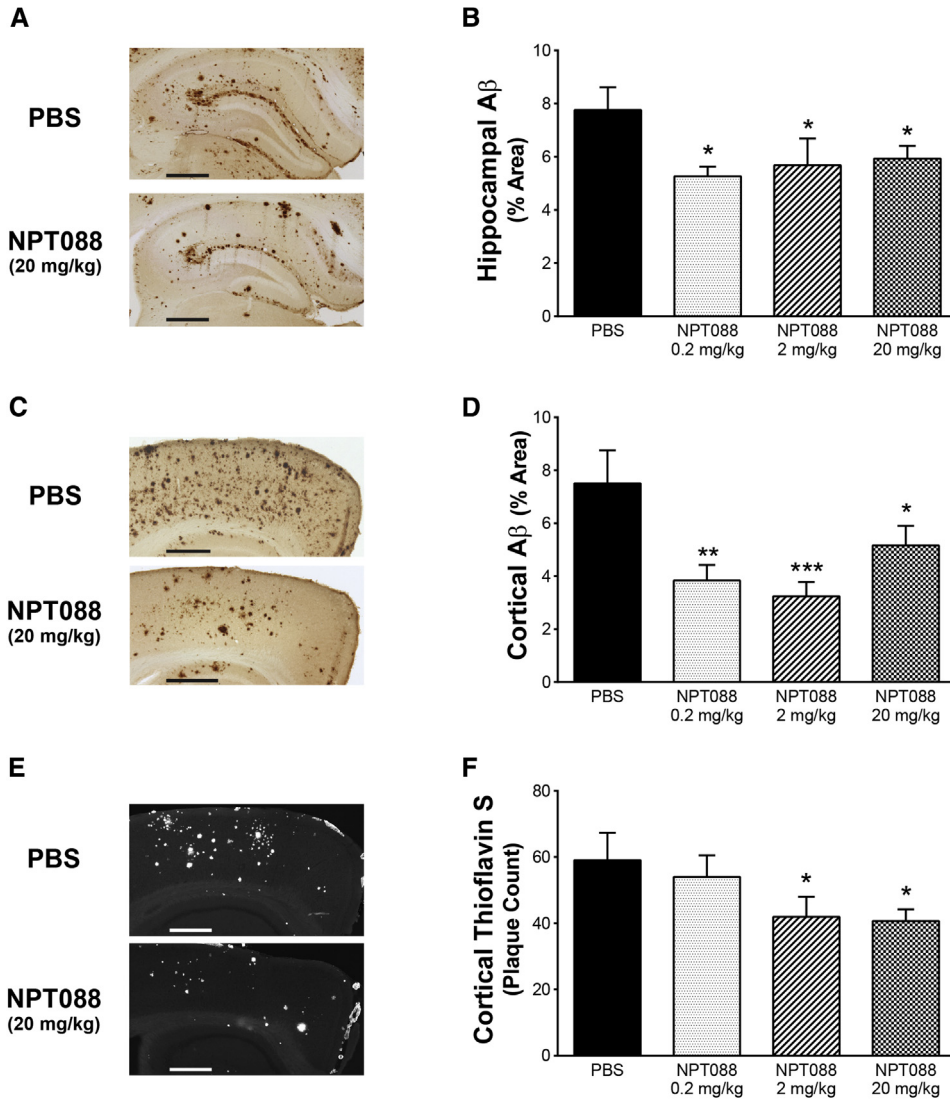


Fig. 3. Systemically administered NPT088 reduces A β plaque and A β 42 in aged Tg2576 mice. Aged Tg2576 mice (16–19 months at the start of dosing) received 14 weeks of weekly, IP injections of NPT088 at ascending doses (0.2, 2, 20 mg/kg). Tissue was harvested 24 hours after the last injection. (A) Representative images of 82E1-immunostained A β in the hippocampus showing reduction after treatment with NPT088. Scale bars = 500 μ m. (B) Summary quantification of 82E1 immunostaining from three coronal sections at 240- μ m intervals indicating significant reduction of total A β plaque in the hippocampus at all doses tested. N = 11 (PBS), 10 (0.2 mg/kg), 12 (2 mg/kg), 10 (20 mg/kg). Asterisks indicate significant difference versus Tg-PBS. (C) Representative images of 82E1 immunostaining in the cortex showing reduction of A β after treatment with NPT088. Scale bars = 500 μ m. (D) Summary quantification of 82E1 immunostaining from three sections indicating significant reduction of A β in the hippocampus at all doses tested. N = 11 (PBS), 10 (0.2 mg/kg), 11 (2 mg/kg), 9 (20 mg/kg). Asterisks indicate significant difference vs. Tg-PBS. (E) Representative images of thioflavin-S staining in the cortex showing reduction in the number of fibrillar A β plaques after treatment with NPT088. Scale bars = 500 μ m. (F) Summary quantification from two sections of thioflavin-S staining indicating significant reduction of fibrillar A β plaque number in the hippocampus at 2 and 20 mg/kg (2 mg/kg: $t_{41} = 1.9$, $P = .04$; 20 mg/kg: $t_{41} = 2.0$, $P = .03$). N = 10 (PBS), 11 (0.2 mg/kg), 13 (2 mg/kg), 11 (20 mg/kg). Asterisks indicate significant difference versus Tg-PBS.

CSF was collected from aged Tg2576 mice (20–21 months at study start) after 13 weeks of weekly IP dosing with NPT088. CSF was also collected from a separate group of mice before initiation of dosing to gain insight into baseline levels of A β . Weekly dosing with NPT088 was associated with significantly less A β_{1-38} (Fig. 4A; Dunnett's post hoc, Tg-NPT088 vs. Tg-PBS, $P < .05$) and A β_{1-40} (Fig. 4B; Dunnett's post hoc, Tg-NPT088 vs. Tg-PBS, $P < .05$) compared to PBS-treated Tg2576 mice.

Dosing with NPT088 did not significantly affect CSF levels of A β_{1-42} (Fig. 4C; Dunnett's post hoc, Tg-NPT088 vs. Tg-PBS, $P = .15$). Levels of A β_{1-40} and A β_{1-42} observed here were consistent with previously published observations in aged Tg2576 mice [29]. Collectively, these results indicate that NPT088 does not shunt soluble species of A β into nonparenchymal fluidic compartments suggesting that NPT088-mediated reductions in A β occur by direct remodeling of insoluble species

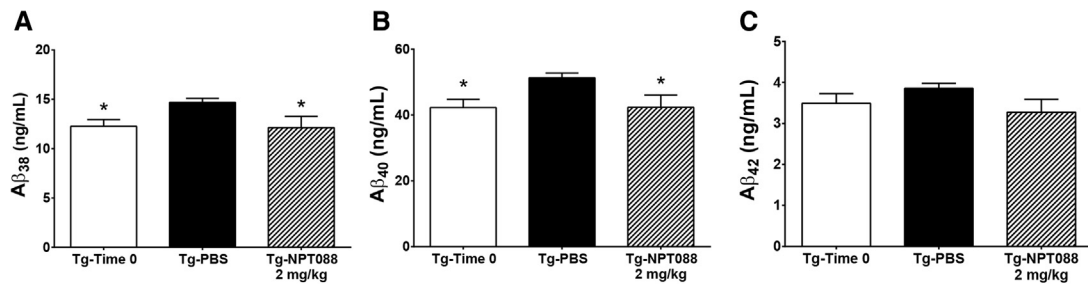


Fig. 4. Systemically administered NPT088 reduces A β in CSF of aged Tg2576 mice. CSF was collected from aged Tg2576 mice (20–21 months at experiment start) before dosing or after administration of 13 weeks of weekly, IP injections of NPT088 (2 mg/kg). (A) The concentration of A β _{1–38} significantly increased in CSF from aged Tg2576 mice over 13 weeks ($q_{54} = 2.3$, $P = .05$). Weekly IP dosing with NPT088 significantly reduced A β _{1–38} in CSF ($q_{54} = 2.4$, $P = .04$). $N = 19$ (Tg-Time 0), $N = 21$ (Tg-PBS), $N = 17$ (Tg-NPT088). (B) The concentration of A β _{1–40} significantly increased in CSF from aged Tg2576 mice over 13 weeks ($q_{53} = 2.5$, $P = .03$). Weekly IP dosing with NPT088 significantly reduced A β _{1–40} in CSF ($q_{53} = 2.4$, $P = .04$). $N = 19$ (Tg-Time 0), 20 (Tg-PBS), $N = 17$ (Tg-NPT088). One significant outlier (Grubb test) in the Tg-PBS group (77.7 ng/mL) was excluded from A β _{1–40} analysis. (C) The concentration of A β _{1–42} did not significantly change in CSF from aged Tg2576 mice over 13 weeks of dosing ($q_{52} = 1.1$, $P = .4$). Weekly IP dosing with NPT088 did not affect A β _{1–42} in CSF ($q_{52} = 1.8$, $P = .15$). $N = 19$ (Tg-Time 0), 20 (Tg-PBS), $N = 17$ (Tg-NPT088). One significant outlier (Grubb test) in the Tg-PBS group (6.2 ng/mL) was excluded from A β _{1–42} analysis. Asterisks indicate significant difference versus Tg-PBS.

and/or clearance of soluble and/or insoluble species via cellular mechanisms (i.e., phagocytosis).

3.3. NPT088 in rTg4510 mice

3.3.1. Improvement in cognitive and motor function

A unique feature of NPT088 is its ability to bind to and remodel amyloid aggregates independent of primary sequence, including aggregates of tau [14]. To determine whether NPT088 was able to affect tau neuropathology in vivo, we dosed the rTg4510 tauopathy mouse model [17] with NPT088 (20 mg/kg) or PBS weekly (IP) for 14 weeks (3.5 months at beginning of experiment). Previous studies indicate that rTg4510 mice exhibit significant deficits in the novel object recognition [30]. Performance of PBS-treated rTg4510 mice (6.3 months at the time of testing) in the NOR task tended to be lower than WT littermate mice (Fig. 5A; Fisher post hoc, WT vs. Tg-PBS, $P < .1$). After 14 weeks of NPT088 treatment, a significant improvement in performance of the NOR task was observed in rTg4510 mice (Fig. 5A; Fisher post hoc, Tg-PBS vs. Tg-NPT088, $P < .05$). Importantly, performance in the test was not correlated with spontaneous locomotor behavior (Supplementary Fig. 6), indicating that the changes in cognitive performance were not due to changes in motor behavior.

In addition to cognitive impairments, rTg4510 mice exhibit atrophy of dorsal corticospinal tracts leading to limb clasping and other functional motor deficits [31,32]. Such a clasping phenotype has been observed in several tau transgenic mouse models at young ages including P301S mice at age of 3 months [33], line 44 mice at age of 5 months [34], and Tau-4R/2N mice at age of 6–8 weeks [35]. To determine whether NPT088 altered functional motor performance of rTg4510 mice, we assessed limb clasping at the age of 5–6 months after 14 weeks of weekly dosing with NPT088. As expected, PBS-treated rTg4510 mice exhibited significantly more limb clasping when suspended

by the tail relative to WT littermates (Fig. 5B; Dunnett's post hoc, $P < .05$). Treatment with NPT088 significantly ameliorated limb clasping abnormalities in rTg4510 mice (Fig. 5B; Dunnett's post hoc, $P < .05$).

3.3.2. Reduction in p-tau and fibrillar tau

To determine whether treatment with NPT088 was able to reduce levels of p-tau immunostaining and fibrillar tau species in brain regions relevant to cognition, AT8 (pSer202/pThr205) p-tau and thioS staining were quantified. A significant decrease in AT8 p-tau immunostaining of the somatodendritic compartment in hippocampal pyramidal cells was observed after NPT088 treatment (Fig. 5C; Mann-Whitney test, $P < .05$). Moreover, significant decreases in thioS staining of cortical neurons were observed in tissue from mice treated with NPT088 compared to PBS-treated mice (Fig. 5D; Mann-Whitney test, $P < .05$). Additionally, frozen cortex from the contralateral hemisphere was analyzed biochemically via Western blot using AT8, pSer422, and AT270 antibodies. Significant reductions of AT8 were observed in a high-salt insoluble-pellet enriched for neurofibrillary tangles (Supplementary Fig. 7A; t test, $P < .05$). In a Triton-X soluble fraction, levels of pSer422 were significantly reduced (Supplementary Fig. 7B; t test, $P < .05$), and a trend was observed for reduction of AT270 (Supplementary Fig. 7C; t test, $P < .08$). The reductions in levels of AT8, pSer422, and AT270 were replicated in a separate cohort of mice (AT8: Supplementary Fig. 7D; pSer422: Supplementary Fig. 7E; AT270: Supplementary Fig. 7F).

3.3.3. Aged rTg4510: reduction in brain atrophy and fibrillar tau and cognitive improvement

A hallmark of the rTg4510 tauopathy mouse model is severe forebrain neurodegeneration, which is significant in mice aged >5 months [31]. To assess whether NPT088 could affect the amount of neurodegeneration exhibited by this mouse, rTg4510 mice (5.7 months at study start) received 13 weekly IP doses of NPT088 (2 mg/kg). Brains

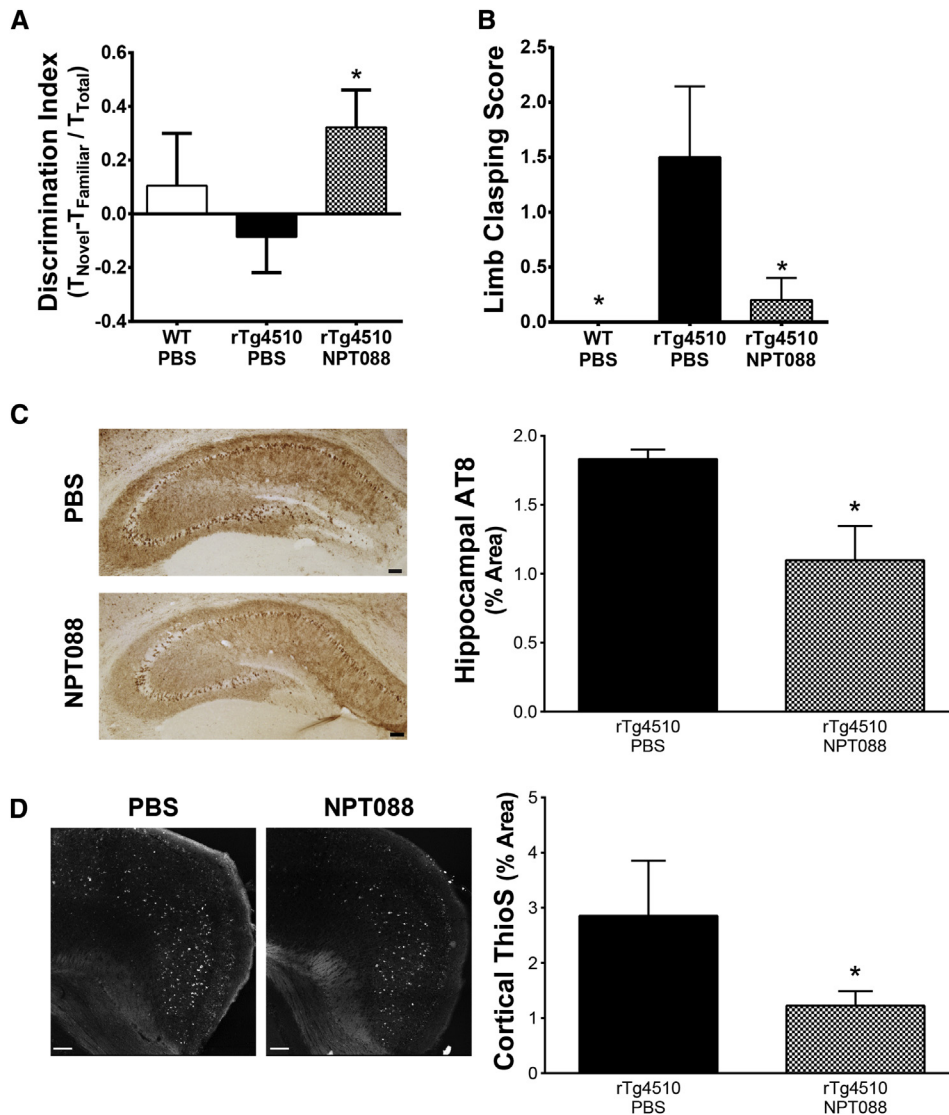


Fig. 5. Systemically administered NPT088 improves cognitive and motor phenotypes and reverses tau pathology in rTg4510 mice. Behavior and levels of p-tau were assessed in rTg4510 mice (3.5 mo) that received 14 weeks of weekly IP treatment with NPT088 (20 mg/kg) or PBS. (A) rTg4510 mice treated with NPT088 exhibited significantly better performance in the novel object recognition task relative to rTg4510 mice receiving PBS ($t = 2.3$, $df = 7$, $P = .02$). $N = 4$ (WT-PBS), 4 (Tg-PBS), 5 (Tg-NPT088). Asterisk indicates significant difference versus rTg4510-PBS. (B) rTg4510 mice exhibited significant limb clasping relative to WT mice when suspended by the tail ($q_9 = 2.8$, $P = .02$). Treatment with NPT088 significantly reversed limb splay abnormality ($q_9 = 2.8$, $P = .02$). $N = 4$ (WT-PBS), 4 (Tg-PBS), 5 (Tg-NPT088). (C) Treatment with NPT088 significantly decreased somatodendritic AT8 (pSer202/pThr205) immunostaining of aggregated p-tau in pyramidal cells of the hippocampus ($U_{5,5} = 3$, $P = .03$). Representative images of AT8 immunostaining shown to the left of summary quantification. AT8 immunostaining was quantified in three sections at 240- μ m intervals per animal. Scale bars = 100 μ m. $N = 5$ (Tg-PBS), 5 (Tg-NPT088). (D) Treatment with NPT088 significantly decreased levels of neuronal thioS in cortex from mice treated with NPT088 ($U_{3,5} = 0$, $P = .02$). Representative images of thioS staining shown to the left of summary quantification. ThioS levels were quantified from three sections per animal. Scale bars = 100 μ m. $N = 3$ (Tg-PBS), 5 (Tg-NPT088). In all panels, asterisk indicates significant difference from Tg-PBS.

from rTg4510 mice receiving NPT088 (8.8 months at study end) weighed significantly more than brains from mice that received vehicle (Fig. 6A and 6B; t Test, NPT088 vs. PBS, $P < .05$). The original characterization of rTg4510 mice indicated that neurodegeneration was especially robust in the hippocampus [31]. Thionine-stained sections of hippocampus from rTg4510 mice revealed dramatic atrophy of the hippocampus in mice dosed with PBS that was almost entirely prevented in mice that received NPT088 (Fig. 6C). Hippocampal weight of rTg4510 mice dosed with NPT088

was significantly greater than PBS-treated rTg4510 mice and nearly equivalent to hippocampus weights from rTg4510 mice at the beginning of the study (Fig. 6D; Dunnett's post-hoc, Tg-NPT088 vs. Tg-PBS, $P < .05$; Tg-Time 0 vs. Tg-PBS, $P < .01$). Thickness of stratum pyramidale in area CA1 from rTg4510 mice dosed with NPT088 was significantly greater than that of PBS-treated rTg4510 mice (Fig. 6E; Dunnett's post hoc, Tg-NPT088 vs. Tg-PBS, $P < .05$). A significant reduction in thioS staining in the cortex was observed (Fig. 6F; Dunnett's post hoc,

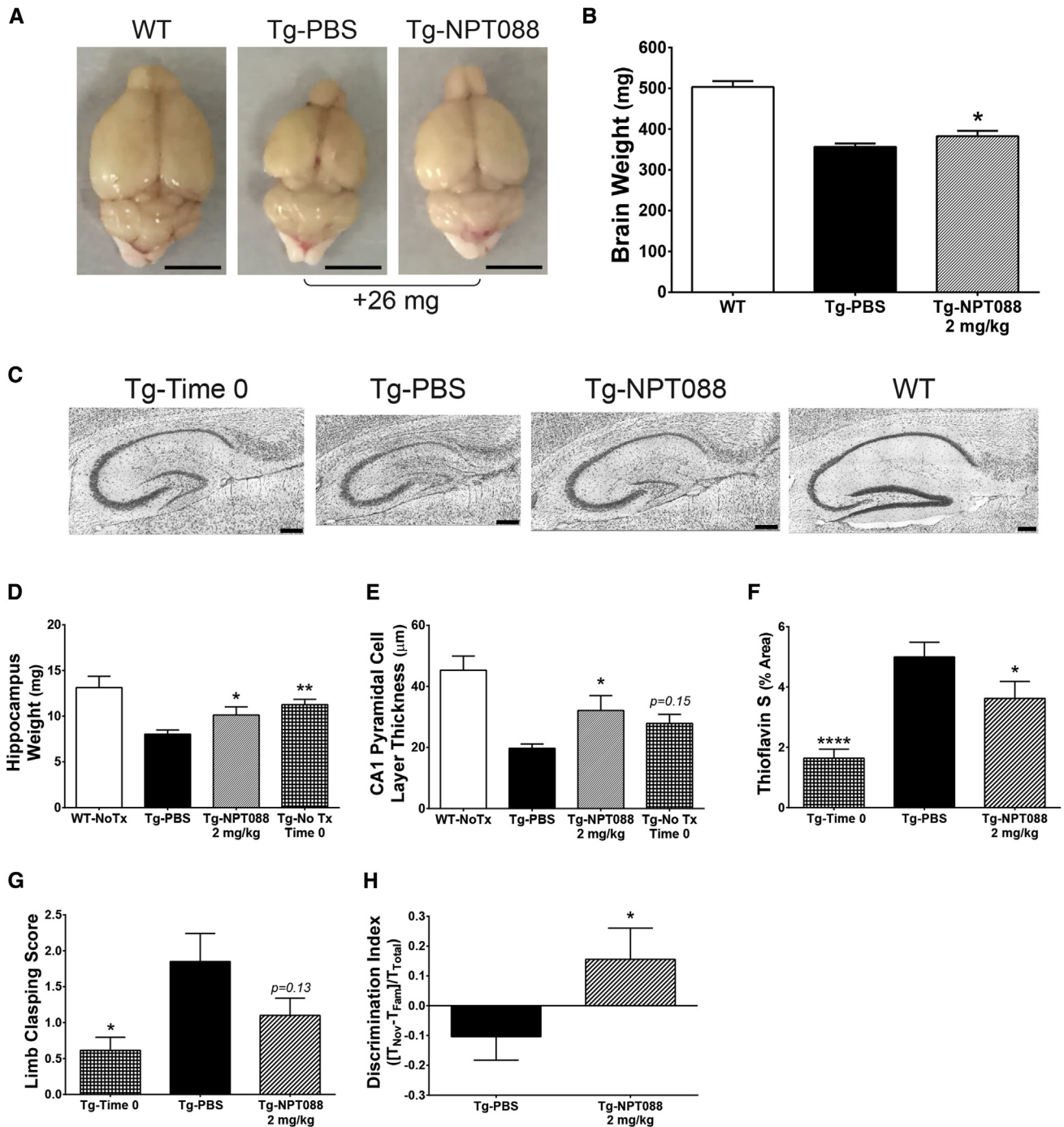


Fig. 6. Systemically administered NPT088 reduced neurodegeneration in rTg4510 mice. rTg4510 mice in this experiment (5.7 mo at start) received 13 weekly IP doses of NPT088 (2 mg/kg) or PBS. Tissue from a group of 13 rTg4510 mice (5.7 mo) was harvested at the beginning of the study to serve as a Time 0 control, and tissue from group of 3 WT mice (8.8 months) was harvested at the end of the study. (A) Representative images of brains from WT mice and rTg4510 mice that received either NPT088 or PBS. Scale bar indicates 5 mm. (B) Wet weight of brains, measured at harvest, from rTg4510 mice receiving NPT088 was significantly more than brain weights measured from rTg4510 mice receiving PBS ($t = 1.7$, $df = 30$, $P = .049$). Brain weights measured from WT mice ($N = 3$) shown for comparative purposes. $N = 3$ (WT), 19 (Tg-PBS), 18 (Tg-NPT088). (C) Representative Nissl-stained sagittal sections of hippocampus from rTg4510 mice harvested at the beginning of the study (Time 0), rTg4510 mice dosed with either PBS (Tg-PBS) or NPT088 (Tg-NPT088), or littermate non-Tg mice (WT). Scale bars are 200 µm. (D) Weight of left hippocampus of rTg4510 mice treated with NPT088 (8.8 mo at harvest) was significantly greater than the weights measured from rTg4510 mice dosed with PBS ($q_{47} = 2.3$, $P = .046$). Hippocampus weight measured from rTg4510 mice harvested at the beginning of the study (5.7 mo) was also significantly greater than weights from rTg4510 mice dosed with PBS ($q_{47} = 3.3$, $P = .004$). Weight of hippocampus from non-Tg littermate mice (WT) is shown for comparison. $N = 3$ (WT), 19 (Tg-PBS), 18 (Tg-NPT088), 13 (Tg-Time 0). (E) Thickness of stratum pyramidale in area CA1 of hippocampus was significantly greater in rTg4510 mice dosed with NPT088 relative to rTg4510 mice that received PBS ($q_{40} = 2.8$, $P = .02$). Stratum pyramidale of area CA1 from tissue harvested at the beginning of the study exhibited a trend for increased thickness relative to mice that were dosed with PBS ($q_{40} = 1.8$,

Tg-NPT088 vs. Tg-PBS, $P < .05$; Tg-Time 0 vs. Tg-PBS, $P < .0001$). Moreover, treatment with NPT088 was associated with functional improvements. After just 4 weeks of dosing (6.7 months at time of test), rTg4510 mice receiving NPT088 exhibited a trend for less severe limb clasping relative to mice dosed with PBS (Fig. 6G; Dunnett's post hoc, Tg-NPT088 vs. Tg-PBS, $P = .13$; Tg-Time 0 vs. Tg-PBS, $P < .05$). Moreover, at the end of the study (8.7 months at time of test), mice treated with NPT088 exhibited significantly better performance in the novel object recognition test (Fig. 6H; t Test, $P < .05$). Collectively, these results are consistent with NPT088 mitigating the neurodegeneration that occurs in rTg4510 mice, which results in subsequent improvements in functional motor and cognitive tests.

4. Discussion

Translation of preclinical therapeutic strategies to successful clinical outcomes is particularly challenging for AD, at least in part because of multiple pathologies and no apparent single-disease mechanism. Most reported therapeutic strategies focused on a single pathologic target such as A β [36–38], and the initial wave of A β -directed immunotherapies targeted monomeric A β with unimpressive efficacy [4–7]. One potential explanation for the failure of such candidates is sequestration of the compound by relatively high concentrations of A β monomers from the pathologic oligomeric and fibrillar species, including plaques [10]. In support of this hypothesis, monoclonal antibodies with significant binding to monomeric A β produce little or no efficacy when administered to preclinical AD models with pre-existing A β deposits [39,40], but efficacy was improved in preclinical models when A β production was suppressed with co-administration of a BACE1 inhibitor [41].

NPT088 does not bind monomeric A β in vitro [14], binds soluble aggregates of A β produced in vitro (Fig. 1B) and derived from Tg2576 mouse brain extracts (Fig. 1C), and prevents cytotoxicity induced by ADDLs (Fig. 1B). In vitro, NPT088 binds and remodels fibrillar forms of A β in a concentration dependent manner [14] (Fig. 1D, Supplementary Fig. 2A and 2B). Structural studies of GAIM suggest that the binding domains associate with both templating strands of A β fiber required for fiber growth [14]. Surface plasmon resonance binding studies show that a GAIM-fusion is likely to bind a larger surface area (3-to-5-fold more monomers per fiber) [14] as compared to

antibodies [42]. The activities of NPT088 on A β in vitro translate in vivo to robust reductions of soluble and insoluble forms of A β (Fig. 2C–2F), including dense core plaques (Fig. 3A–3D) and fibrillar aggregates (Fig. 3E and 3F) when NPT088 is administered to Tg2576 mice. Although these results do not rule out the possibility that NPT088 binds monomers in vivo, they do suggest that the relative binding affinity of NPT088 on oligomeric and fibrillar A β is greater than to monomeric A β .

The mechanism of NPT088-mediated lowering of A β -associated pathology remains unclear. In vitro, NPT088 appears to remodel A β aggregates into amorphous forms (Fig. 1D, Supplementary Fig. 2A and 2B). Therefore, clearance of large brain aggregates (i.e., plaques) could be mediated by the inherent remodeling activity of NPT088, followed by cell-mediated clearance. For example, as described for other A β -directed monoclonal antibodies, NPT088, which contains a human-IgG₁-Fc, could engage microglia to facilitate phagocytosis of NPT088-protein aggregate complexes [18]. Although we found no evidence for an increase in the area of microglia staining (Iba1) after 10 or 14 weeks of weekly NPT088 (data not shown), this observation is not inconsistent with other studies on the role of activated microglia in clearance of A β , because immunoglobulin Fc-mediated microglia activation is transient after passive immunization [43]. Therefore, we do not rule out Fc-receptor-mediated microglial activation by NPT088 and subsequent phagocytosis as a potential mechanism for NPT088-mediated A β clearance. Another potential mechanism for parenchymal clearance of A β is via sequestration of A β to peripheral fluidic compartments. This mechanism, also known as peripheral sink, is primarily associated with monomer-directed antibodies that facilitate the movement of A β from the parenchyma and into CSF and blood [44]. We observed no increases in CSF A β levels after NPT088 treatment (Fig. 4). Given the concordance between relative changes in levels of A β in CSF and blood [29], especially after treatment with A β -directed immunotherapies [45–47], the lack of effect of NPT088 on CSF A β levels suggests that NPT088 does not reduce brain A β by acting as a peripheral sink or by producing monomer A β . Although more characterization of the mechanism of action of NPT088 in vivo is needed, our current preclinical data are consistent with direct remodeling of fibrillar deposits accompanied by microglia uptake of NPT088:A β aggregate complexes as a potential clearance mechanism.

$P = .15$). Data from WT mice are shown for comparison. N = 2 (WT), 16 (Tg-PBS), 14 (Tg-NPT088), 13 (Tg-Time 0). One significant outlier (Grubb's test) was excluded from analyses (Tg-PBS). (F) The area of thioS-positive deposits was quantified from 2 sections per mouse in a defined region of interest with a fixed area ($\sim 0.19 \text{ mm}^2$) in sagittal sections of the parietal cortex, dorsal to hippocampus. A significant increase in thioS staining was observed over the course of the study ($q_{47} = 4.9$, $P < .0001$). Treatment with NPT088 significantly reduced thioS staining in parietal cortex ($q_{47} = 2.3$, $P = .045$). N = 19 (Tg-PBS), 18 (Tg-NPT088), 13 (Tg-Time 0). (G) Limb clasping was assessed during week 4 of dosing. rTg4510 mice receiving PBS exhibited significantly more severe limb clasping relative to rTg4510 mice assessed at the beginning of the study ($q_{50} = 2.7$, $P = .02$). Mice treated with NPT088 exhibited a trend for reduced limb clasping ($q_{50} = 1.8$, $P = .13$). N = 20 (Tg-PBS), 20 (Tg-NPT088), 13 (Tg-Time 0). (H) rTg4510 mice that were treated with NPT088 exhibited significantly better performance in the novel object recognition test compared to mice that received PBS ($t = 2$, $df = 21$, $P = .03$). N = 14 (Tg-PBS), 12 (Tg-NPT088). In all panels, asterisks indicate significant difference from Tg-PBS.

A β represents a major component of the early pathology associated with AD; however, accumulation of hyperphosphorylated tau-containing NFT pathology appears to be a better correlate of cognitive impairment [48–52]. In AD, tau pathology progresses in a stereotypical manner with first lesions appearing in the locus coeruleus, basal forebrain, and entorhinal cortex from where they appear to spread to the hippocampus and neocortex [53]. There is growing evidence that suggests that this spread of pathology involves escape of tau aggregates from the diseased neurons to the extracellular space, which infects neighboring neurons [54,55]. This mode of cell-to-cell transmission is similar to transmission of misfolded prion protein in transmissible spongiform encephalopathies.

Cell culture models recapitulate the seeding and prion-like transmission by tau aggregates [56]. Preliminary studies from our laboratory show that preformed fibrils made from synthetic peptides of the MTBR region can induce phosphorylation and recruitment of soluble tau into NFT-like aggregates (Supplementary Fig. 2). Furthermore, NPT088 can bind these transmission-competent fibrils with high affinity and remodel them into nonfibrous conformers (Supplementary Fig. 2C). Consistent with these cell culture experiments, we show that NPT088 treatment produces robust efficacy on neuropathologic and functional endpoints in rTg4510 mice (Fig. 5) and can decrease brain atrophy in older rTg4510 mice with severe brain pathology (Fig. 6).

Other studies have demonstrated that passive immunization against p-tau containing epitopes or tau oligomers in AD mouse models significantly reverses tau-related neuropathology and behavioral abnormalities [30,57–65]. Although these results are promising, it has yet to be determined which species of tau (i.e., monomer, oligomer, fibrillar) or which potential p-tau epitopes [66] should be targeted by immunotherapeutic approaches to exhibit significant clinical efficacy. Our data suggest that binding to monomeric tau is unnecessary for efficacy and further suggest that targeting oligomeric and/or fibrous species of tau is sufficient for slowing progression of tauopathy in vivo.

Although it is possible that tau-directed therapeutics will yield significant functional improvement in clinical trials, as suggested by phase II results of a tau aggregation inhibitor [9], no phase III results of the tau immunotherapeutic class have been reported. In AD patients, A β and tau pathologies appear to be nonoverlapping, both temporally and anatomically [67,68], suggesting distinct pathologic mechanisms. Moreover, recent studies suggest that extracellular tau increases A β production [69] and that in the presence of A β pathology, the effect of tau immunotherapies is transient [65]. Therefore, therapeutic approaches that focus on a single pathology may fail to adequately treat AD, especially in more advanced stages of the disease [3]. NPT088 is a single therapeutic candidate that exhibits significant activity on both A β and tau, and this activity has been measured both in vitro [14] and in preclinical AD models, which represents a potential advantage for translation of preclinical efficacy.

NPT088 is a unique therapeutic candidate for neurodegenerative disorders that involve accumulation of misfolded protein aggregates. Systemic administration of NPT088 improves cognition, decreases pathologic protein loads, and reduces neuropathology in both A β and tau-based transgenic mouse models.

Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.trci.2016.06.004>.

RESEARCH IN CONTEXT

1. Systematic review: PubMed query (“passive immunization”) AND (A β OR tau) AND (mouse) NOT (review). Screening of titles and abstracts identified 98 relevant articles. Collectively, findings indicate antibodies reduce pathology and improve cognition only in mouse models that express the specific antigen.
2. Interpretation: Our findings that a fusion protein bivalent for a general amyloid interaction motif (GAIM) reduces pathology and improves cognitive and motor endpoints in transgenic mouse models of either aberrant A β or tau accumulation establish GAIM-based therapeutics as a novel strategy targeting misfolded protein aggregates for treatment of Alzheimer’s disease.
3. Future directions: Proof-of-concept and proof-of-mechanism studies in patients.

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