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The Mechanisms for the Oxidative Addition of Imidazolium Salts to a Group 9 Transition Metal Atom (Co^o, Rh^o, and Ir^o) and a Group 10 Transition Metal Atom (Ni^o, Pd^o, and Pt^o): A Theoretical Study

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Additional information is available at the end of the chapter

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Abstract

The potential energy surfaces of the oxidative addition reactions, L_2M + imidazolium cation \rightarrow product and CpM'L + imidazolium cation \rightarrow product (M = Ni, Pd, Pt; M' = Co, Rh, Ir; Cp = η^5 -C₅H₅; L = 1,3-aryl-N-heterocyclic carbene (NHC), aryl = 2,4,6-trimethylphenyl), are studied at the M06-L/Def2-SVP level of theory. The theoretical findings show that the singlet-triplet splitting ($\Delta E_{st} = E_{triplet} - E_{singlet}$) for the L₂M and CpM'L species can be used to predict the reactivity for their oxidative additions. That is to say, current theoretical evidence suggests that both a 14-electron L₂M complex and a 16-electron CpM'L complex with a better electron-donating ligand L (such as NHC) result in a reduced ΔE_{st} value and facilitate the oxidative addition to the saturated C—H bond. The theoretical results for this study are in good agreement with the obtainable experimental results and allow a number of predictions to be made.

Keywords: oxidative addition reactions, group 9 elements, group 10 elements,

imidazolium and density functional theory

1. Introduction

Recent studies involving the chemistry of the heterocyclic nitrogen carbene complexes of transition metals have demonstrated that they can act as precatalysts for a variety of reactions [1–11]. These new species offer many opportunities to advance this field of study [12–30]. The use of palladium carbene complexes for the Heck reaction [31–34] and platinum carbene compounds for the C—H activation reactions [11] has created new opportunities in catalytic chemistry.



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isolated hydride complexes: M=Ni, Pd, L=NHC; M=Pt, L=PR₃

Scheme 1.

The crucial experimental works that are presented in **Scheme 1** inspire this study of the potential energy surfaces of these oxidative addition reactions, using density functional theory (DFT). There have been a number of reports concerning the conventional oxidative additions-reductive eliminations of alkanes to low-valence metals, which has led to an understanding of the factors that affect these reactions [51–56]. These studies have mostly focused on the catalytic reactions of saturated hydrocarbons to zerovalent group 10 elements (i.e., Ni, Pd, and Pt). To the authors' best knowledge, there has been neither experimental nor theoretical study of the catalytic oxidative addition reactions for the group 9 atoms (i.e., Co, Rh, and Ir) or the imidazolium cation. This study gives a thorough understanding of the catalytic reactions for potential transition metal complexes with imidazolium cations (ICs). Accordingly, a study of the important C—H activation reactions, Eqs. (1) and (2), is undertaken:



(M = Ni, Pd, Pt; L = 1, 3 - aryl-NHC, aryl = 2, 4, 6 - trimethylphenyl)(1)



(M' = Co, Rh, Ir; L = 1, 3 - aryl - NHC, aryl = 2, 4, 6 - trimethylphenyl) (2)

Since oxidative addition involves charge transfer from the metallic center of both L₂M and CpM'L to the approaching IC, an electron-donating L that increases the electron density on the central metal stabilizes its transition state and lowers the barrier height. That is to say, increasing the electron density on the central metal atom of both L₂M and CpM'L increases the chance of its triplet participating in the oxidative addition reaction (vide infra). Therefore, the reactivity of both substituted 14-electron L₂M and 16-electron CpM'L is verified by the singlet-triplet splitting ($\Delta E_{st} = E_{triplet} - E_{singlet}$), which can result from several factors, such as the effect of the geometrical structure (i.e., linear or bent for the L₂M system) [55], the nature of electron-withdrawal or electron-acceptance for the ancillary ligand, L, and the character of the central transition metal atom. In the organometallic field, the NHC groups are stronger σ -donors and weaker π -acceptor ligands than the traditional PR₃ ligands [47–50]. Therefore, the model systems (both L₂M and CpM'L complexes) that are studied in this work use the NHC as the ancillary ligand L.

Since the transition-metal-catalyzed reactions that contain imidazolium salt are both helpful and novel, a comprehensive understanding of the factors that control the magnitude of the activation barriers and the reaction enthalpies allows a greater understanding of their reactivity. Full realization of the factors that influence the reactivities of transition metal complexes with ICs benefits basic science and a continued expansion of their applications.

2. Theoretical methods

The geometries of all of the stationary points are fully optimized at the M06-L level of theory [57], using the Gaussian 09 program package [58]. These M06-2X calculations are executed using pseudo-relativistic effective core potentials on group 9 and group 10 elements, using the Def2-SVP basis sets [59–63]. These M06-L calculations are denoted as M06-L/Def2-SVP. Frequency computations are accomplished for all structures to verify that the reactants and products have no imaginary frequencies and that the transition states occupy only one imaginary frequency. The relative free energy (ΔG) at 298 K is computated at the M06-L/Def2-SVP level of theory.

3. The origin of the barrier and the reaction enthalpy for the oxidative addition of an imidazolium cation to transition metal complexes

In this section, the valence bond state correlation diagram (VBSCD) model [64–68] that was developed by Shaik and Pross is used to interpret the oxidative addition for an imidazolium cation to transition metal complexes. For the σ -bond insertion reaction, the system must have a number of predetermined states, each of which is approximated by an appropriate electronic configuration [64–68]. In particular, there are two important configurations that contribute significantly to the total wave function, Ψ , and change the shape of the potential energy surface. **Figure 1** shows the qualitative behavior of the two configurations for the insertion of a transition-metal complex (L_nM) into a C(carbenic carbon)—H bond of an IC. The first line shows the reactant ground-state configuration, which connects the excited state for the products, denoted as the reactant configuration (I_R). The second line shows the excited configuration of the reactants, which connects the ground state of the products and is marked as the product configuration (I_p).

From the valence bond (VB) viewpoint, the reactions for the insertion of L_nM fragments into the C—H bond are illustrated in 1 and 2, as shown in **Figure 1**. In the reactant configuration (I_R) , which is labeled ${}^{1}[L_nM]{}^{1}[IC]$, the two electrons on the L_nM moiety are spin-paired to form a lone pair and the two electrons on the CH moiety are spin-paired to form a C—H σ bond. In the product configuration (I_p) , which is labeled ${}^{3}[L_nM]{}^{3}[IC]$, the electron pairs are coupled to



Figure 1. The energy diagram for an oxidative addition reaction, showing the formation of a state curve (Ψ) by mixing two configurations: the reactant configuration ($I_{\rm R}$) and the product configuration ($I_{\rm P}$). The reactants are separated by an energy gap, S. Configuration mixing near the crossing point causes an avoidance crossing (dotted line). For details see the text.

allow the formation of both an M—C and an M—H bond and the simultaneous breaking of a C—H bond. From the molecular orbital (MO) viewpoint, the representations of VB configurations **1** and **2** are respectively given in **3** and **4**.

It is proposed that the transition state for the reaction that inserts L_nM into a C–H bond is regarded as the respective triplet states of the reactants. It is worthy to note that these individual triplets are coupled to an overall singlet state. Since new M–C and M–H covalent bonds are formed in the product $L_nM(C)(H)$, the bond-prepared L_nM state must have at least two open shells. Therefore, the lowest state for this type is the triplet state. In other words, the bonding in the $L_nM(C)(H)$ product is between the triplet L_nM state and two doublet radicals (the C radical and the H radical). Similarly to the bonding in a water molecule, from the valence-bond point of view, it is represented as bonding between a triplet oxygen atom and two doublet hydrogen atoms [69].

As schematically illustrated in **Figure 1**, the singlet-triplet excitation energy plays a decisive role in the VBSCD model [64–68]. The singlet-triplet excitation energy (i.e., the energy between the $I_{\rm R}$ and the $I_{\rm P}$) corresponds to the energy gap, S, in the VBSCD model. In terms of the reactants, $I_{\rm R}$ is the ground state and $I_{\rm P}$ is in an excited state whose energy is greater than $I_{\rm R}$. When the reaction is in progress, the energy of $I_{\rm R}$ increases and that of $I_{\rm P}$ decreases. The transition state occurs at a point along the reaction coordinate where the energy curves for $I_{\rm R}$ and $I_{\rm P}$ cross (see the dotted curve in **Figure 1**). Finally, in terms of the products, $I_{\rm R}$ assumes the excited-state configuration and $I_{\rm P}$ a ground state. These two configurations cross. This is the simplest description of the ground state energy profiles for the chemical reactions of the related molecular systems [64–68].



Scheme 3.

Figure 1 shows that the energy of point **2** (left in **Figure 1**), the anchor point for ${}^{3}[L_{n}M]{}^{3}[IC]$ in the reactant geometry, is governed by the singlet-triplet energy gap for both $L_{n}M$ and C—H; i.e., $\Delta E_{st} (= E_{triplet} - E_{singlet}$ for $L_{n}M) + \Delta E_{\sigma\sigma^{*}} (= E_{triplet} - E_{singlet}$ for C—H). In other words, the smaller the value of $\Delta E_{st} + \Delta E_{\sigma\sigma^{*}}$ the lower is the activation barrier and the more exothermic is the reaction [64–68]. If a reactant, $L_{n}M$, has a singlet ground state with a small triplet excitation energy, there is a greater probability that a triplet $L_{n}M$ contributes to the singlet reaction and the reactions occur readily. Both the order of the singlet and triplet states and the magnitude of the singlet-triplet energy separation also determine the existence and the height of the energy barrier.

Since CH_2 and 16-electron CpML and 14-electron L_2M are isolobal [70], each has two valence orbitals with the same symmetry patterns (5), in which each fragment has one orbital of a' and a'' symmetry.

In this qualitative theoretical treatment, the transition-metal fragment L_2M and CpML has an empty electrophilic orbital (i.e., a', as shown in **5**) that interacts with a filled hydrocarbon fragment orbital. This facilitates a concerted 1,2-hydrogen migration. In other words, the net molecular result of the insertion of the L_2M and CpML complexes into a C—H σ bond of an IC is that a new M—C σ bond and a new M—H σ bond are formed and the C—H σ bond of an IC is broken. This analysis is used to interpret the results in the following section.

4. Results and discussion

4.1. The geometries of the triscarbene-nickel-hydrido complex and the triscarbenepalladium-hydrido complex

The geometrical structures of the triscarbene-nickel-hydrido complex (**Pro-Ni**) and the triscarbene-palladium-hydrido complex (**Pro-Pd**) are firstly determined theoretically. The optimized geometries for these two species are computed at the M06-L/Def2-SVP level of theory. As



Scheme 4.

shown in **Figure 2**, the M06-L calculations show that the computed M—C bond lengths for both molecules (average 1.924 and 2.089 Å at M06-L) compare favorably with the average M—C bond lengths that are determined from X-ray data (1.907 and 2.057 Å) [43]. Similarly, the average values for the \angle C—M—C and \angle C—M—H angles for these two structures are calculated to be 98.26° and 82.58° (Ni) and 97.41° and 82.23° (Pd), which agrees reasonably well with the experimental data (97.85, 82.00, 95.94, and 84.00°, respectively) [43], as shown in **Figure 2**. Given the agreement between the M06-L method using the Def2-SVP basis set and the available experimental data [43], it is expected that the same relative accuracy is applicable to any discussion of their reactivities and the reaction mechanisms, for which experimental data are still not available.



Figure 2. Selected geometrical parameters (in Å and deg) for the triscarbene-nickel-hydrido complex (**Pro-Ni**) and the triscarbene-palladium-hydrido complex (**Pro-Pd**), calculated at the M06-L/Def2-SVP level of theory and a comparison with the experimental values [43]. Hydrogens are omitted for clarity.

4.2. The geometries and energetics of the $L_2M + 1,2$ -dimethylimidazolium cation

The results for four regions on the potential energy surfaces for L_2M (M = Ni, Pd, Pt; L = 1,3-aryl-NHC, aryl = 2,4,6-trimethylphenyl) and 1,2-dimethylimidazolium cation (IC) are shown: 14-electron L_2M plus free IC (**Rea**), a precursor complex (**Pcx**), the transition state (**TS**), and the oxidative addition product (**Pro**). The fully optimized geometries for the key points, calculated at the M06-L/Def2-SVP level, are shown in **Figure 3**. The important geometrical parameters and relative energies and the potential energy profiles at the same level of theory are listed in **Table 1** and **Figure 4**, respectively. Four points are noteworthy.

- 1. For the optimized structures, see Figure 3. For the relative free energies, see Figure 4.
- **2.** The C_3 – H_4 bond distance in IC (reactant) is calculated to be 1.090 Å.
 - 1. The reactants, **Rea-Ni**, **Rea-Pd**, and **Rea-Pt**, are computed as both low-spin (singlet) and high-spin (triplet state) complexes. The M06-L computations demonstrate that these transition metal complexes all adopt the singlet ground state. The computations also show that the singlet-triplet triplet free energy splitting (ΔE_{st} ; kcal/mol) for these fragments are in the order: **Rea-Ni** (23.7) < **Rea-Pd** (50.1) < **Rea-Pt** (63.9). These values are much greater than those for other previously studied L₂M complexes that have various ancillary ligands [51–56]. Therefore, it is possible that the oxidative addition reactions (Eq. (1)) that are studied in this work proceed on the singlet surface. The singlet surface is therefore the focus of this study, from this point.
 - 2. The optimized transition state structures (**TS-Ni**, **TS-Pd**, and **TS-Pt**) and arrows that indicate the main atomic motion in the transition state eigenvector are shown in **Figure 3**. These model computations show that the oxidative addition reactions that are studied using these model reactants all proceed in a concerted fashion via a three-center transition state, as shown in **Figure 3**, and all reactions are exothermic. It is noted that for the oxidative addition reactions involving the group 10 transition metals that are studied in this work, the free energies for the transition states are all less than those for the corresponding reactants. It is theoretically predicted that these oxidative addition reactions proceed readily, even at room temperature. Further supporting evidence comes from the fact that the oxidative additions between **Rea-Ni** and **Rea-Pd** species and an imidazolium cation have been experimentally proven to be easy [43].
 - 3. According to the theoretical analysis of the VBSCD model that is discussed in Section 3, the smaller the value of ΔE_{st} for L₂M, the lower is the barrier height and the more exothermic is the reaction and the faster is the oxidative addition reaction. The model evidence confirms this prediction. For the M06-L calculations for the model systems that have group 10 transition metals, a plot of the activation barrier (ΔE^{\ddagger}) versus the ΔE_{st} is shown, for which the best fit is $\Delta E^{\ddagger} = 0.518\Delta E_{st} 11.2$. The linear correlation between ΔE_{st} and the Gibbs free energy (ΔG), which is also calculated at the same level of theory, is $\Delta G = 0.566 \Delta E_{st} 67.5$. The theoretical results definitely show that for the facile oxidative addition of C—H bonds, an understanding of the ΔE_{st} of the coordinatively unsaturated 14-electron L₂M is crucial, since it can be used to predict the reactivity of the reactants.

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Figure 3. M06-L/Def2-SVP optimized geometries for the stationary points for the oxidative addition reactions of **Rea-M** (M = Ni, Pd, and Pt) molecules. For selected geometrical parameters and relative energies for each species, see **Table 1**. The bold arrows denote the main atomic motions in the transition state eigenvector. Some hydrogens are omitted for clarity.

	Geometrical structures					Energies	
Systems	M-C ₁	M-C ₂	M-C ₃	C ₃ -H ₄	M-H ₄	ΔΕ	ΔG
Rea-Ni	1.836	1.836	_	_	-	0.0	0.0
Pcx-Ni	1.938	1.961	-	1.107	2.056	-50.24	-33.65
TS-Ni	1.981	1.947	1.886	1.482	1.527	-49.38	-32.26
Pro-Ni	1.911	1.904	_	1.985	1.394	-69.31	-54.36
Rea-Pd	2.015	2.015			-) (0.0	0.0
Pcx-Pd	2.050	2.053	-71	1.115	2.105	-39.69	-23.67
TS-Pd	2.159	2.138	2.039	1.437	1.679	-25.99	-8.417
Pro-Pd	2.057	2.067	_	2.144	1.572	-55.36	-38.23
Rea-Pt	2.003	2.003	_	_	_	0.0	0.0
Pcx-Pt	2.022	2.019	_	1.119	2.134	-41.35	-22.46
TS-Pt	2.128	2.064	2.067	1.333	1.797	-16.49	-1.384
Pro-Pt	2.054	2.060	-	2.139	1.621	-47.52	-31.98

Table 1. Selected geometrical parameters (bond distances in Å), relative energies ΔE (zero-point corrected; kcal mol⁻¹) and relative Gibbs free energies ΔG (kcal mol⁻¹) at 298 K at the M06-L/Def2-SCP level of theory for the optimized stationary points on the oxidative addition reactions (Eq. (1)) [1–30].



Figure 4. The reaction energy profile (in kcal/mol) for the oxidative addition reactions: $L_2M + 1,2$ -dimethylimidazolium cation (M = Ni, Pd, and Pt; L = 1,3-aryl-NHC, aryl = 2,4,6-trimethylphenyl). All of the energies are calculated at the M06-L/Def2-SVP level. See also **Table 1** and **Figure 3**.

4.3. The geometries and energetics of the CpM'+ 1,2-dimethylimidazolium cation

Similarly to the study of the L_2M system, the M06-L/Def2-SVP level is also used to study the mechanisms for the oxidative addition reactions for CpM'L (M' = Co, Rh, Ir; L = 1,3-aryl-NHC, aryl = 2,4,6-trimethylphenyl), as shown in **Figure 5**. The relative M06-L energies and the key geometrical parameters for the stationary points are also listed in **Table 2**. The corresponding potential energy profiles are given in **Figure 6**. Three interesting conclusions can be drawn from these figures and the table.

- 1. For the optimized structures, see **Figure 5**. For the relative free energies, see **Figure 6**.
- **2.** The C_2 – H_3 bond distance in IC (reactant) is calculated to be 1.090 Å.
 - 1. The M06-L calculations in **Table 2** show that the ground states for the CpCoL and CpIrL fragments are triplets, but the CpRhL complex is a singlet. The M06-L results also show that the ΔE_{st} value for the CpCoR and CpIrR fragments are respectively computed to be– 8.3 and 0.88 kcal/mol and the ΔE_{st} of CpRhR was predicted to be 10.2 kcal/mol. It is worthy to note that whenever a reactant contains a heavy atom that is not necessarily directly included in the reaction, a strong spin-orbit coupling (SOC) can occur [71–75]. That is, because of the presence of the heavy atom, a triplet reactant can cause a spin-inversion process. It transfers to the singlet reactant and then forces the singlet reaction. Since these theoretical calculations show that both the CpCoR and the CpIrR species have a small value for ΔE_{st} and a heavier transition metal is involved, the SOC is expected to be substantial for the oxidative additions and these would eventually proceed in the singlet chemical reactions.
 - 2. Figure 6 shows that, similar to the case for L₂M molecules, the energy of the transition state for Co, Rh, and Ir is less than that for the reactants, which demonstrates that the CpM'L (M' = Co, Rh, and Ir) complexes readily overcome the energy barrier and then undergo oxidative addition into the C—H bond of IC in a concerted fashion, even at room temperature. The model computations show that the oxidative addition of a CpM'L fragment that has a group 9 metal (M') decreases in the order: CpCoL > CpIrL > CpRhL. For the reverse process (right to left in Figure 6), the barriers to reductive elimination for the Co, Rh, and Ir systems have much higher energies than those for the corresponding oxidative addition. The theoretical evidence demonstrates that these CpM'L complexes undergo oxidative additions more easily than reductive eliminations, as noted in the introduction. That is to say, because the attached NHC groups readily allows oxidative additions with the incoming molecules. The theoretical studies suggest that the CpM'L molecules prefer to undergo oxidative addition reactions with the imidazolium cation, even at room temperature.
 - 3. According to the VBSCD model, the smaller the ΔE_{st} value for CpM'L (if $\Delta E_{\sigma\sigma^*}$ is a constant), the lower is the barrier height, the more exothermic is the reaction and the faster is the oxidative addition reaction [64–68]. The M06-L/Def2-SVP results support this prediction. The M06-L calculations show that value for ΔE_{st} (kcal/mol) increases in the order: CpCoL (-8.3) < CpIrL (-0.77) < CpRhL (+8.0). As shown in **Table 2**, both the



Figure 5. M06-L/Def2-SVP optimized geometries for the stationary points for the oxidative addition reactions of **Rea-M**' (M' = Co, Rh, and Ir) molecules. For the selected geometrical parameters and relative energies for each species, see **Table 2**. The bold arrows denote the main atomic motions in the transition state eigenvector. Some hydrogens are omitted for clarity.

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	Geometrica	l structures			Energies		
Systems	M'-C ₁	M'-C ₂	C ₂ -H ₃	M'-H ₃	ΔE	ΔG	
Rea-Co	1.858	_	-	_	0.0	0.0	
Pcx-Co	1.981	1.964	1.101	2.569	-20.16	-8.462	
TS-Co	1.967	2.044	1.130	2.043	-17.45	+0.542	
Pro-Co	1.947	1.920	2.337	1.472	-79.40	-62.38	
Rea-Rh	1.953		26	((-))	0.0	0.0	
Pcx-Rh	2.055	2.080	-1.101	2.634	-31.35	-17.19	
TS-Rh	2.075	2.158	1.147	2.002	-22.41	-6.193	
Pro-Rh	2.046	2.017	2.411	1.569	-55.43	-39.08	
Rea-Ir	1.923	-	-	-	0.0	0.0	
Pcx-Ir	2.055	2.084	1.104	2.665	-39.30	-21.79	
TS-Ir	2.037	2.147	1.146	2.156	-28.16	-10.98	
Pro-Ir	2.043	2.029	2.448	1.594	-59.43	-46.20	

Table 2. Key geometrical parameters (bond distances in Å), relative energies ΔE (zero-point corrected; kcal mol⁻¹) and relative Gibbs free energies ΔG (kcal mol⁻¹) at 298 K, calculated at the M06-L/Def2-SCP level of theory, for the optimized stationary points on the oxidative addition reactions (Eq. (2)) [1–30].



Figure 6. The reaction energy profile (in kcal/mol) for the oxidative addition reactions: CpML + 1,2-dimethylimidazolium cation (M = Co, Rh, and Ir; L = 1,3-aryl-NHC, aryl = 2,4,6-trimethylphenyl). All of the energies are calculated at the M06-L/Def2-SVP level. See also **Table 1** and **Figure 3**.

activation energies (ΔE^{\ddagger}) and the Gibbs free energies (ΔG) follow the same order as the ΔE_{st} value (kcal/mol): Co (+9.28, -62.4) < Ir (+10.8, -46.2 kcal/mol) < Rh (+11.0, -39.1). In order to determine a good model for the facile oxidative addition of 16-electron CpM'L to a C-H bond of an imidazolium cation, an understanding of the ΔE_{st} of the coordinatively unsaturated CpM'L is important.

5. Conclusions

In summary, the theoretical evidence demonstrates that both a 14-electron L_2M complex and a 16-electron CpM'Lcomplex with a better electron-donating ligand, L (such as NHC), result in a smaller value for ΔE_{st} and allow a more facile oxidative addition to the saturated C–H bond. This theoretical study also demonstrates that, in terms of the VBSCD model, the ΔE_{st} value is a useful foundation for predicting the relative magnitude of the activation barriers and the reaction enthalpies for the activation of an imidazolium cation by L_2M and CpM'L. Although the computed magnitude of the barrier and the predicted geometry for the transition state for these reactions are dependent on the level of theory that is used, these qualitative predictions are in good agreement with the theoretical results that are presented here and with the available experimental observations.

It is hoped that this study will stimulate for further research into the subject.

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