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# Design and Stability Analysis of Fuzzy-Based Adaptive Controller for Wastewater Treatment Plant

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## Abstract

In this chapter, design and stability analyses of direct model reference control system based on wastewater treatment plant are addressed. The purpose of controller design includes input saturation control and two-level control system with fuzzy supervisor control. The wastewater treatment plant is a highly uncertain non-linear system and the plant parameter are unknown, therefore controller design are under those condition.

**Keywords:** fuzzy control, fuzzy supervisor, wastewater treatment plant, adaptive control, model reference adaptive control, Lyapunov function

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## 1. Introduction

The problem to be solved for this chapter is the dissolved oxygen reference trajectory tracking in an aerobic reactor for nutrient removal using direct model reference adaptive controller at the activated sludge wastewater treatment plant (WWTP). The reference trajectory is provided on-line by upper control layer of the overall control system. The controller design utilizes a different time scale in the internal dissolved oxygen dynamic and in disturbance inputs. In this chapter, we introduce two kinds of adaptive control, one is Direct Model Reference Adaptive Control (DMRAC) and another one is fuzzy logic based on DMRAC with two-level control.

## 2. Adaptive control

The basic concept of adaptive control is that it comprises of two main types. The first is called model reference adaptive control (MRAC) mode whereas the second is called self-tuning mode. An adaptive control characteristic is that the control parameter are variable, and those parameters are updated online with the signal in the system.

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### 2.1. Model reference adaptive control

A model reference adaptive control can be divided into four parts, such as plant, reference model, control law and controller. A plant includes unknown parameters. The reference model is described as control system output. The closed-loop control law is adjusting mechanism for adjustable control parameters. The controller updates the adjustable parameter with time-varying control system.

The plant is supposed to exist with known system structure, but plant parameters are unknown in the real. The structure of the dynamic equation is known with some unknown parameters in the nonlinear plants. The number of poles/zero are supposed to be known with unknown location poles/zero.

The reference model is used in order to obtain assignation ideal response of adaptive control system to control output. For the adaptive control system, that mean it is supply the ideal response by adjusting ideal plant parameters in the adaptation mechanism. To design the adaptive control system, first step is choice of the reference model. It is needed to meet two following clauses.

- The reference model should satisfy the performance of adaptive control system such as rise time, overshoot and settling time.
- The ideal plant parameter should be implemented by the adaptive control system.

The controllers are composition of several adjustable parameters. This implies that the controllers are distribution signal to each adjustable parameter with online update. The controller needs to have good tracking performance which means it can achieve tracking convergence behaviour. To design controller, two conditions need to be considered.

- If the plant parameters are known, then the plant out should track model reference trajectory by relevant controller parameters.
- If the plant parameters are unknown, then the plant out should track model reference trajectory by adjusting the controller parameters.

The linearly parameterized that mean is the control law with linear term of the adjustable parameters. To guarantee stability and tracking performance, adaptive control design is used by linear parameterization of the controller.

Adjusting parameters in adaptive control law is call adaptation mechanism. In the MRAC systems, the adaptation law is used in order to search the plant parameters, therefore the plant out can track set-point (model reference) with good performance by adaptive controller. The difference between ideal adaptive control parameter and real plant parameter is call tracking error. The tracking error converge to zero that implies that adaptive control system is stable.

### 2.2. Self-tuning model reference adaptive control

The control parameters estimate plant unknown parameters in control system. If a plant parameter is unknown, then a parameter estimator provides estimation values to those plant unknown parameters. If a plant parameter is known, then control parameters would transmit plant parameters by on-line update on model reference. The estimator provides estimation control parameters

with on-line update from model reference, it is called self-tuning controller. The self-tuning controller is estimation unknown parameter in the plant at the same time.

The self-tuning MRAC manipulate processes:

- The estimator transfer estimated plant parameters to controller; therefore, it can compute the plant corresponding unknown parameters at the same time. The plant estimation parameters depend on the past plant input and output.
- Computes a control input and rely on control parameters and measured signal, and this control input rely on new plant output.
- The close-loop parameters and plant input are updated on-line with time-varying adaptive control system.

The estimation parameter can be taken from an ideal parameters and real parameters by plant input/plant output data that are updated on-line with time-varying adaptive control system. The error dynamic is described as the difference between ideal plant parameters and real plant parameters; this implies that if tracking errors converge to zero by adjusting parameters adaptation then plant output complete tracking reference model. It is purpose of self-tuning adaptive control design.

The self-tuning control includes two types of adaptive controllers, one is called Indirect Model Reference Adaptive Control (IMRAC) and the another one is called Direct Model Reference Adaptive Control. The plant unknown parameters are provided by adaptive controller estimation of those plant parameters. If the estimation plant parameters need transfer into controller parameters, furthermore control law parameters can influent plant unknown parameters. This implies that the control parameters can adjust plant unknown parameters with standard estimation approach. It is called IMRAC. On the other hand, if it does not need transfer process, this method is called DMRAC.

### 2.3. Direct model reference control design

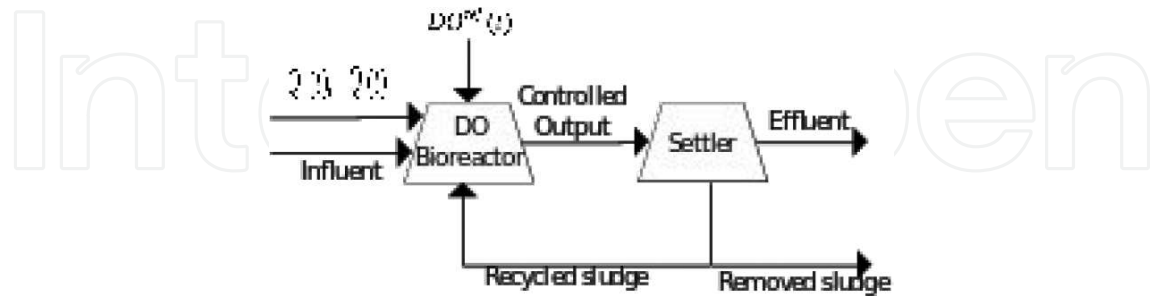
The property of adaptive control is used for plant with unknown parameters; therefore, choosing the adaptive control law is more implicated in controller design. Since we mention before, adaptive control law produce controller parameters. Also the stability analysis for control system need to be considered in controller design. In this chapter, we used Lyapunov theory to analyse control system whether stable or unstable. The process of adaptive control design includes three steps. The first step is choosing control law (include plant variable parameters). The second step is choosing adaptation law. The final step is stability analyses to guarantee convergence of control system.

## 3. DMRAC with input saturation apply on WWTP

### 3.1. Introduction

An activated sludge wastewater treatment plant (WWTP) is a complex nonlinear system due to multiple time scale and unmeasurable state variables. In addition, it has time-varying input

disturbances and saturation during the WWTP operation; hence, the hierarchical structures which were considered in Refs. [1, 2]. The two-level controller of tracking prescribed a concentration of the dissolved oxygen ( $DO$ ) trajectory, while the reference of concentration dissolved oxygen ( $DO^{\text{ref}}$ ) was developed in Refs. [3, 4]. The activated sludge plant contained two main components, such as bioreactor and settler as illustrated in **Figure 1**.



**Figure 1.** Structure of WWTP for nutrient removal.

The microorganism produced the biomass to nutrient removal in the bioreactor. The concentration of dissolved oxygen control is an important state parameter that feeds the microorganisms. The concentration of  $DO$  control was considered in Ref. [5]. The upper level controller produced airflow  $Q_{\text{air}}^{\text{ref}}(t)$  into the aerobic biological reactor zone. The lower level controller produced the concentration of  $DO$  to track the  $Q_{\text{air}}^{\text{ref}}(t)$  set-point trajectory. The airflow is the control input, and the concentration of  $DO$  is the control output.

The dissolved oxygen reference trajectory  $DO^{\text{ref}}(t)$  set-point was optimized by the upper control layer which was the medium control layer in overall WWTP [1]. The clean water came out from the settler after being separated from the biomass and sludge. The concentration of substrate and biomass were unmeasurable state variables; hence, they were not able to be on-line updated. The upper layer control with input saturation was presented in Ref. [6]. The saturation function was assumed considering that aeration system controller was ideal and thus the airflow was equal to airflow reference. However, the physical modelling of the wastewater treatment plant was used to design the controller. In this chapter, we consider the upper level controller with input saturation by designing the new direct model reference adaptive control (DMRAC).

### 3.2. Problem statement

A mathematical model of the WWTP is based on the mass balance equations, which are illustrated in **Figure 1**. Hence, they represent the plant variables to produce the model in the state-space format [8]:

$$\frac{dX}{dt} = \mu(t)X(t) - D(t)(1+r)X + rD(t)X_r(t) \quad (1)$$

$$\frac{dS}{dt} = -\frac{\mu(t)X(t)}{Y} - D(t)(1+r)S(t) + D(t)S_{in}(t) \quad (2)$$

$$\frac{dDO}{dt} = -\frac{K_0\mu(t)X(t)}{Y} - D(t)(1+r)DO(t) + k_{La}(Q_{air}(t))(DO_{max} - DO(t)) + D(t)DO_{in}(t) \quad (3)$$

$$\frac{dX_r}{dt} = D(t)(1+r)X(t) - D(t)(\beta+r)X_r(t) \quad (4)$$

$$\mu(t) = \mu_{max} \frac{S(t)}{K_s + S(t)} \times \frac{DO(t)}{K_{DO} + DO(t)} \quad (5)$$

where

$$D(t) = \frac{Q_{in}}{V_a}; \quad r = \frac{Q_r}{Q_{in}}; \quad \beta = \frac{Q_w}{Q_{in}} \quad (6)$$

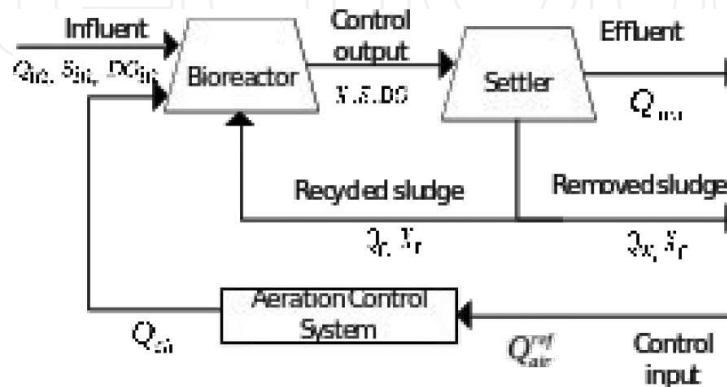
$X(t)$ ,  $S(t)$ ,  $DO_{max}$ ,  $X_r(t)$ ,  $D(t)$ ,  $S_{in}(t)$ ,  $DO_{in}(t)$ ,  $Y$ ,  $\mu(t)$ ,  $\mu_{max}$ ,  $K_s$ ,  $K_{DO}$ ,  $Q_{air}(t)$ ,  $K_0$ ,  $r$ ,  $\beta$ ,  $Q_{in}(t)$ ,  $Q_r(t)$ ,  $Q_w(t)$ ,  $V_a$  are biomass concentration, substrate concentration, maximum dissolved concentration, recycled biomass concentration, dilution rate, substrate concentration in the influent, dissolved oxygen concentration in the influent, biomass yield factor, biomass growth rate, maximum specific growth rate, affinity constant, saturation constant, aeration rate, model constant, recycled sludge rate, removed sludge rate, influent flow rate, effluent flow rate, recycled flow rate, waste flow rate and aerator volume, respectively.

The function  $k_{La}(Q_{air}(t))$  is the oxygen transfer, which depends on the aeration actuating system and sludge conditions [4]. In this chapter, it is assumed that

$$k_{La}(t) = \alpha Q_{air}(t) + \delta \quad (7)$$

where  $\alpha$  and  $\delta$  are two known constant values relating to oxygen transfer.

As only the DO output is considered in this chapter, the model is sufficiently accurate. Otherwise, more detailed model, for example, the ASM3, than it should be utilized as it has been done in Ref. [7]. In **Figure 2**, the detailed structure of the activated sludge WWTP for nutrient removal with the airflow actuator is illustrated. The actuator dynamics are described by a complex hybrid model. The output of aeration control system airflow output  $Q_{air}(t)$  needs to



**Figure 2.** Structure of wastewater treatment plant.

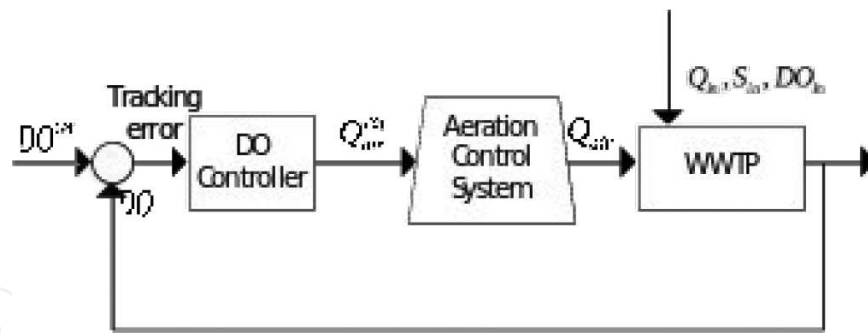


Figure 3. Structure of DO control system with input saturation.

follow the reference airflow input  $Q_{\text{air}}^{\text{ref}}(t)$ , which was described in Ref. [8]. The plant input with time-varying disturbances are dissolved oxygen concentration in the fluent  $DO_{\text{in}}(t)$ , the substrate concentration in the influent  $S_{\text{in}}(t)$  and influent flow rate  $Q_{\text{in}}(t)$ . The controller needs to have high performance to enables the airflow output to track the reference airflow input.

The structure of DO control system for nutrient removal with input saturation at activated sludge WWTP is illustrated in **Figure 3**.

The aeration controller designed in this chapter considers the aeration control system as the input  $Q_{\text{air}}(t)$  with input saturation to achieve  $Q_{\text{air}}^{\text{ref}}(t) = Q_{\text{air}}(t)$ . This is the main difference in comparison with Ref. [8]. If the plant dynamics have several serially coupled reactors, the decentralized controller needs to consider the input saturation [6]. Previous papers [1–3] considered the two-level controller to remove the nutrient in the activated sludge WWTP. The upper layer controller generated the expected  $Q_{\text{air}}^{\text{ref}}(t)$  into each of the aerobic biological reactor zones. The input of the lower layer controller was  $Q_{\text{air}}(t)$ , which needs to track prescribed upper layer output  $Q_{\text{air}}^{\text{ref}}(t)$  for each of the reactor zones. If the upper layer controller had an input saturation condition, it influenced global control system stability and performance. As the plant dynamics have very high order and nonlinear dynamics as in Eqs. (1)–(5). The fixed parameter linear controller could not continue to keep the expected performance under full range of operating conditions. This was verified in Ref. [4] by using fixed parameter PI controller in low layer control. The upper layer controller used a fuzzy supervised controller. It obtained the expected performance. In practice, if the disturbance of the input becomes large, fast varying and with saturation input, the PI controller becomes very complex. The DMRAC with input saturation in upper layer control is considered in this chapter, which is not based on previous papers [7]. The  $DO(t)$  of the DMRAC input-output model rearranges the state-space model from Eqs. (1)–(4). As the state variable are not measurable, the unknown quantities in this input-output model will integrate into one term known as respiration. The parameter adaptation laws of the adaptive controller enable the respiration to be estimated indirectly and automatically.

### 3.3. DMRAC design

The direct state-space model of WWTP is represented in Eqs. (1)–(5). The dynamics are uncertain and nonlinear. The state variables  $X_r(t)$ ,  $X(t)$ ,  $S(t)$  are unmeasurable. The state

variables  $Q_{\text{air}}(t), Q_{\text{in}}(t), Q_{\text{w}}(t), DO(t)$  are measured by on-line updates. To design the direct model reference adaptive controller, we shall derive dissolved oxygen dynamics model in input-output format of first-order and with input disturbance and input saturation. We shall rewrite Eq. (3) by substituting Eqs. (5) and (7). The term  $D(t)DO_{\text{in}}(t)$  can be neglected in state-space model, since it is very small in comparison with other state variables. The dissolved oxygen input-output model (DOIOM) is derived as follows:

$$\frac{dDO}{dt} = -a_p(t)DO(t) - c_p(t)f(DO(t)) + b_p(t)Q_{\text{air}}(t) + d_p \quad (8)$$

where  $a_p(t), c_p(t), b_p(t), d_p$  are DOIOM parameters and

$$\begin{aligned} a_p(t) &= \frac{Q_{\text{in}}(1+r)}{V_a} + \delta \\ c_p(t) &= \frac{K_0 X(t)}{Y} \frac{\mu_{\text{max}} S(t)}{(K_S + S(t))} \\ f(DO(t)) &= \frac{DO(t)}{(K_{DO} + DO(t))} \\ b_p(t) &= \alpha(DO_{\text{max}} - DO(t)) \\ d_p &= \delta DO_{\text{max}} \end{aligned} \quad (9)$$

The parameters  $a_p(t)$  and  $c_p(t)$  are slowly varying and unknown. The parameters  $a_p(t)$  is dependent on upper control layer which operates in the time scale and is slower in comparison with  $DO(t)$  control time scale (6) and (9). The parameter  $c_p(t)$  is dependent on  $X$  and  $S$ , and is slower in comparison with  $DO(t)$  (1) and (15). The parameter  $b_p(t)$  is dependent on the fast internal dynamics of  $DO(t)$  time scale (9). Hence,  $DO(t)$  is fast varying and known. The parameter  $d_p$  is slowly varying and known. The model reference dynamics (MRD) generate achieved  $DO(t)$  dynamics.

The  $DO(t)$  tracks the prescribed dissolved oxygen trajectory  $DO^{\text{ref}}(t)$  by the controller. The MRD equation is as follows:

$$\frac{dDO_{m,\text{ref}}}{dt} = -a_m DO_{m,\text{ref}}(t) + b_m DO^{\text{ref}}(t) \quad (10)$$

where  $DO_{m,\text{ref}}(t)$  is the reference dynamics output and the parameters  $a_m$  and  $b_m$  are constant.

The  $DO(t)$  dynamics SISO input-output model with input saturation yields is as follows:

$$\frac{dDO}{dt} = -a_p(t)DO(t) - c_p(t)f(DO(t)) + b_p(t)W(t) + d_p \quad (11)$$

where  $W(t)$  is assumed saturation control input with constraint (SCIC)  $W(t) = \text{saturation}(Q_{\text{air}}(t))$ ,

$$W(t) = \begin{cases} Q_{\text{air}}^L(t), & \text{if } Q_{\text{air}}(t) > Q_{\text{air}}^L(t) \\ Q_{\text{air}}(t), & \text{if } Q_{\text{air}}^L(t) \leq Q_{\text{air}}(t) \leq Q_{\text{air}}^U(t) \\ Q_{\text{air}}^U(t), & \text{if } Q_{\text{air}}(t) < Q_{\text{air}}^U(t) \end{cases} \quad (12)$$



where  $Q_{\text{air}}^L(t)$  and  $Q_{\text{air}}^U(t)$  are actuator lower and upper constant bounds. If under the input saturation condition, the filter tracking error  $n(t)$  is increasing, then global stability will be unstable for the control system. The model reference adaptive control law without input saturation was proposed in Ref. [5]. This motivates us to develop a new control law in comparison with Ref. [8] by explicitly considering the influence of the actuator input saturation nonlinearity.

The filter tracking error is applied as follows:

$$n(t) = e(t) - \lambda(t) \quad (13)$$

where  $e(t)$  represents the difference between  $DO(t)$  and  $DO_{m, \text{ref}}(t)$  with on-line update.

$$e(t) = DO(t) - DO_{m, \text{ref}}(t) \quad (14)$$

We define the auxiliary signal as follows:

$$\frac{d\lambda}{dt} = b_p \Delta Q_{\text{air}}(t) - \Phi \lambda(t) \quad (15)$$

where  $\Phi$  is small position constant parameter. The parameter  $\Delta Q_{\text{air}}(t)$  is the difference between SCIC  $W(t)$  and control input  $Q_{\text{air}}(t)$ .

The affine MRAC law is applied as follows:

$$\begin{aligned} W(t) = & \frac{1}{b_p} a_{DO}(t) DO(t) + \frac{1}{b_p} a_f(t) f(DO(t)) \\ & + \frac{1}{b_p} a_{DO^{\text{ref}}}(t) DO^{\text{ref}}(t) - \frac{1}{b_p} d_p \\ & - \frac{1}{b_p} \Phi(t) (DO(t) - DO_{m, \text{ref}}(t)) \end{aligned} \quad (16)$$

The MRD in Eq. (10) has linear dynamics. The terms  $\frac{1}{b_p} a_f(t) f(DO(t))$  and  $-\frac{1}{b_p} d_p$  in Eq. (16) can be cancelled by closed-loop with an impact of the nonlinear and additive terms in Eq. (8). The control input saturation is described by the last term in Eq. (16) which is retained in DOIOM. The fifth term in Eq. (16) is updated on-line. The parameters  $a_{DO}(t)$ ,  $a_f(t)$  and  $a_{DO^{\text{ref}}}(t)$  are updated by adaptive control law. The MRD is achieved in the closed-loop for ideal parameter. Closing the loop by Eq. (16) yields:

$$\begin{aligned} \frac{dDO}{dt} = & -(a_p(t) - a_{DO}(t)) DO(t) \\ & - (c_p(t) - a_f(t)) f(DO(t)) \\ & + a_{DO^{\text{ref}}}(t) DO^{\text{ref}}(t) \\ & - \Phi(DO(t) - DO_m(t)) \end{aligned} \quad (17)$$

and

$$-(a_p(t) - \hat{a}_{DO}(t)) = -a_m \quad (18)$$

$$-(c_p(t) - \hat{a}_f(t)) = 0 \quad (19)$$

$$\hat{a}_{DO^{ref}}(t) = b_m \quad (20)$$

$$-\Phi(DO(t) - DO_m(t)) = -\Phi e(t) \quad (21)$$

where  $\hat{a}_{DO}(t)$ ,  $\hat{a}_f(t)$  and  $\hat{a}_{DO^{ref}}(t)$  are the ideal parameters, which can now be obtained as follows:

$$\hat{a}_{DO}(t) = -a_m + a_p(t) \quad (22)$$

$$\hat{a}_f(t) = c_p(t) \quad (23)$$

$$\hat{a}_{DO^{ref}}(t) = b_m \quad (24)$$

The parameter adaption laws which can achieve stability for a DMRAC system with SISO-controlled plant were derived in Ref. [6]. It was a first-order dynamic system composed of the mixed linear uncertainty in constant but not time-varying parameters and additive structured nonlinear. Applying these laws to Eq. (8) yields:

$$\frac{da_{DO}}{dt} = -\gamma_1 e(t) DO(t) \quad (25)$$

$$\frac{da_f}{dt} = -\gamma_2 e(t) f(DO(t)) \quad (26)$$

$$\frac{da_{DO^{ref}}}{dt} = -\gamma_3 e(t) DO^{ref}(t) \quad (27)$$

$\gamma_1$ ,  $\gamma_2$  and  $\gamma_3$  are small enough positive constants representing the parameter adaptation gains which are used to control the parameter adaptation rates. In order to guarantee the stability of the closed-loop system, these rates shall be harmonized with the process variable rates. The DMRAC structure is presented in **Figure 4**.

### 3.4. Stability analysis

The estimated parameters  $a_{DO}(t)$ ,  $a_f(t)$  and  $a_{DO^{ref}}(t)$  are updated on-line by the adaptation laws (25)–(27). The error between estimated parameter and ideal parameters are denoted as  $\Delta a_{DO}(t)$ ,  $\Delta a_f(t)$  and  $\Delta a_{DO^{ref}}(t)$ :

$$\Delta a_{DO}(t) = a_{DO}(t) - \hat{a}_{DO}(t) \quad (28)$$

$$\Delta a_f(t) = a_f(t) - \hat{a}_f(t) \quad (29)$$

$$\Delta a_{DO^{ref}}(t) = a_{DO^{ref}}(t) - \hat{a}_{DO^{ref}}(t) \quad (30)$$

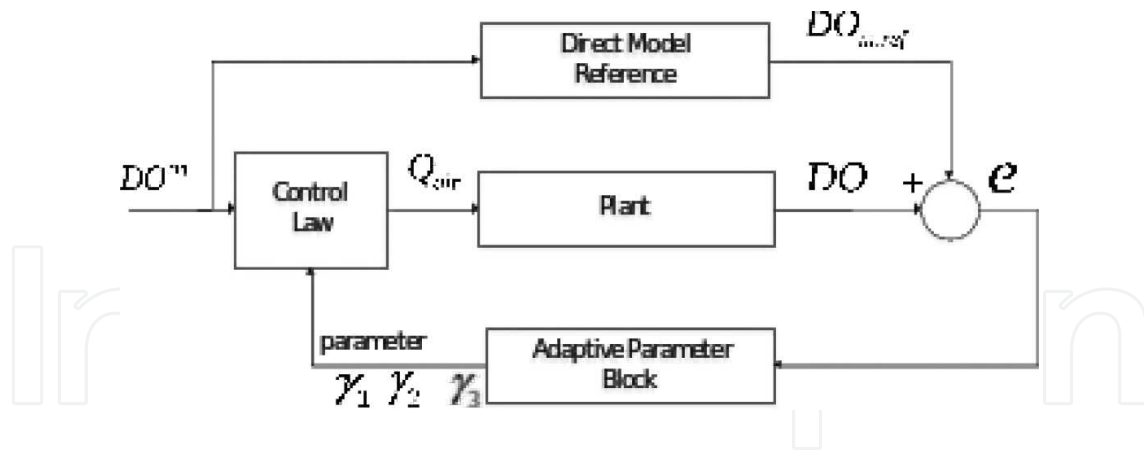


Figure 4. DMRAC structure.

Considering the following Lyapunov function:

$$V(t) = \frac{1}{2}n^2(t) + \frac{1}{2}\Delta a_{DO}^2(t) + \frac{1}{2}\Delta a_f^2(t) + \frac{1}{2}\Delta a_{DOref}^2(t) \tag{31}$$

Hence,

$$\begin{aligned} \frac{dV(t)}{dt} &= n(t)n'(t) + (a_{DO}(t) - \hat{a}_{DO}(t))(a_{DO}(t)) - a_{DO}(t) = \frac{1}{\gamma_1} \\ &+ (a_f(t) - \hat{a}_f(t))(\hat{a}_f(t) - a_f(t))\frac{1}{\gamma_2} \\ &+ (a_{DOref}(t) - \hat{a}_{DOref}(t))(a_{DOref}(t) - a_{DOref}(t))\frac{1}{\gamma_3} \end{aligned} \tag{32}$$

It follows from Eqs. (13), (15), (10) and (17) that

$$\begin{aligned} n(t) &= (a_{DO}(t) - \hat{a}_{DO}(t))DO(t) \\ &+ (a_f(t) - \hat{a}_f(t))f(DO(t)) \\ &+ (a_{DOref}(t) - \hat{a}_{DOref}(t))DO_{m,ref}(t) \\ &- \Phi n(t) \end{aligned} \tag{33}$$

Applying Eqs. (33), (25) (26) and (27) into (32), yields:

$$\frac{dV(t)}{dt} = -\Phi n^2(t) \tag{34}$$

Summarizing the result of the Lyapunov function (RLF) with input saturation closed-loop  $DO(t)$  dynamic system, it can be seen that RLF progressively approaches zero. If the RLF approaches zero, then filter tracking error approaches zero, when time approaches infinity,  $\Phi$  is small position constant and  $n^2(t)$  is positive variable. To find the bounded saturation control input by limiting error between control output and dissolved oxygen trajectory reference with auxiliary signal, yields:

$$\lim_{t \rightarrow \infty} \{e(t) - \lambda(t)\} \leq 0 \quad (35)$$

If time approaches infinity, the  $e(t)$  approaches zero. To confirm whether the auxiliary signal is negative or positive when time goes to infinity by considering the following Lyapunov function, yields:

$$V_\lambda(t) = \frac{1}{2} \lambda(t) \quad (36)$$

Hence

$$\frac{dV_\lambda(t)}{dt} = \lambda(t)\lambda(t) \quad (37)$$

Applying Eq. (12) into Eq. (37), yields:

$$\frac{dV_\lambda(t)}{dt} = \lambda(t)b_p(t)\Delta Q_{\text{air}}(t) - \lambda(t)\lambda(t)\Phi \quad (38)$$

$$\frac{dV_\lambda(t)}{dt} = \lambda(t)$$

Assume term

$$b_p(t)\Delta Q_{\text{air}}(t) = \Delta Q_{\text{air}}^{\text{plant}}(t) \quad (39)$$

It follows Eqs. (38) and (39) so that

$$\frac{dV_\lambda(t)}{dt} = -\lambda^2(t)\Phi + \frac{1}{2}\lambda^2(t) + \frac{1}{2}\Delta Q_{\text{air}}^{\text{plant}^2}(t) \quad (40)$$

Now we assume

$$\Phi = \frac{1}{2}a_0, \quad a_0 < 0, \quad (41)$$

where  $a_0$  is small positive constant value. Applying Eq. (41) into Eq. (40) yields:

$$\frac{dV_\lambda(t)}{dt} = -2V_\lambda(t)a_0 + \frac{1}{2}\Delta Q_{\text{air}}^{\text{plant}^2}(t) \quad (42)$$

The second term  $\frac{1}{2}\Delta Q_{\text{air}}^{\text{plant}^2}(t)$  is bounded. To find bound of first term by integral, yields:

$$V_\lambda(t) = \frac{\Delta Q_{\text{air}}^{\text{plant}^2}(t)}{4a_0} + (V_{\lambda,0}(t) + \frac{\Delta Q_{\text{air}}^{\text{plant}^2}}{4a_0})e(t)^{-2a_0} \quad (43)$$

where the  $V_{\lambda,0}(t)$  is the initial value of the  $V_\lambda(t)$ . As time approaches infinity and  $a_0$  is large enough for a positive value, then second term is equal to zero in (37).

$$V_\lambda(t) = \frac{\Delta Q_{\text{air}}^{\text{plant}^2}(t)}{4a_0} \quad (44)$$

It follows from Eqs. (36) and (44) and the limitation  $V_\lambda(t)$  as negative or zero by squared.

$$V_{\lambda^2}(t) = \frac{\Delta Q_{\text{air}}^{\text{plant}^2}(t)}{4a_0}$$

$$\frac{1}{2}\lambda^2(t) = \frac{\Delta Q_{\text{air}}^{\text{plant}^2}(t)}{4a_0} \quad (45)$$

$$\lambda(t) = \sqrt{\frac{\Delta Q_{\text{air}}^{\text{plant}^2}(t)}{2a_0}}$$

It follows from Eqs. (35) and (41) that

$$e(t) \leq \sqrt{\frac{\Delta Q_{\text{air}}^{\text{plant}^2}(t)}{2a_0}} \quad (46)$$

If the value of  $a_0$  is large enough, then the tracking error  $e(t)$  is closer to zero. The control system will be more stable. Finally, the standard application of the Barbalat's lemma allows concluding the adaptive control system that achieves the asymptotic tracking of  $DO^{\text{ref}}(t)$  under-bounded  $a_{DO}(t)$ ,  $\hat{a}_{\hat{DO}}(t)$ ,  $a_f(t)$ ,  $a_{DO^{\text{ref}}}(t)$ ,  $a_{DO^{\text{ref}}}(t)$  if (a) the parameter in adaptive control law are close enough to the set-point in initial condition; (b) the parameter adaptation rates are positive small enough and (c) the saturation input is small enough, the control parameters bounded are stabilized

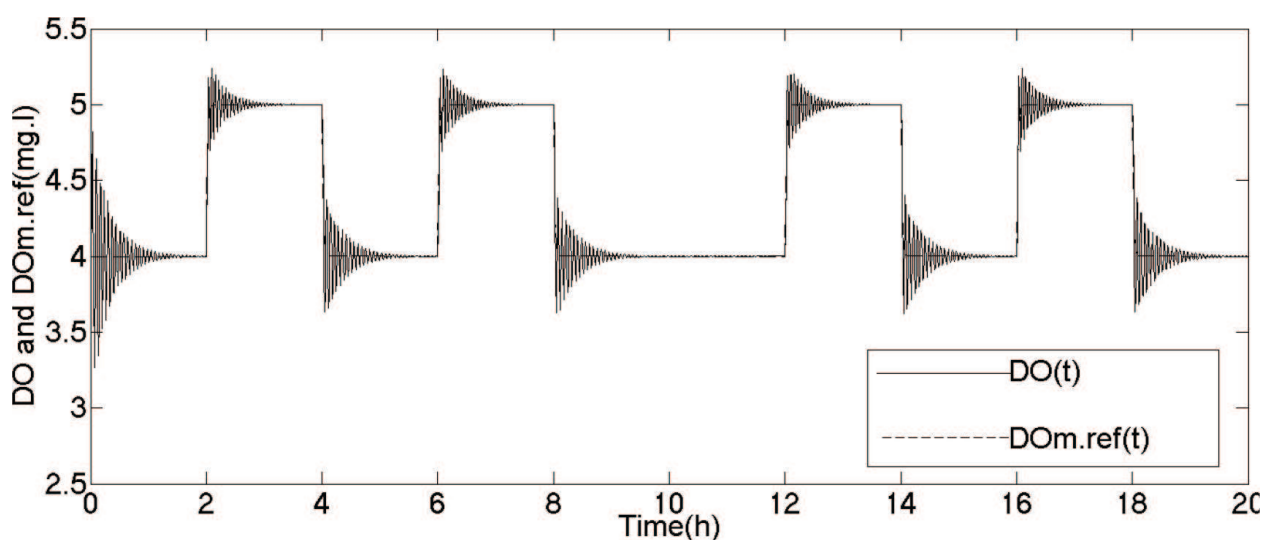


Figure 5. DO and  $DO^{\text{ref}}$  with input saturation.

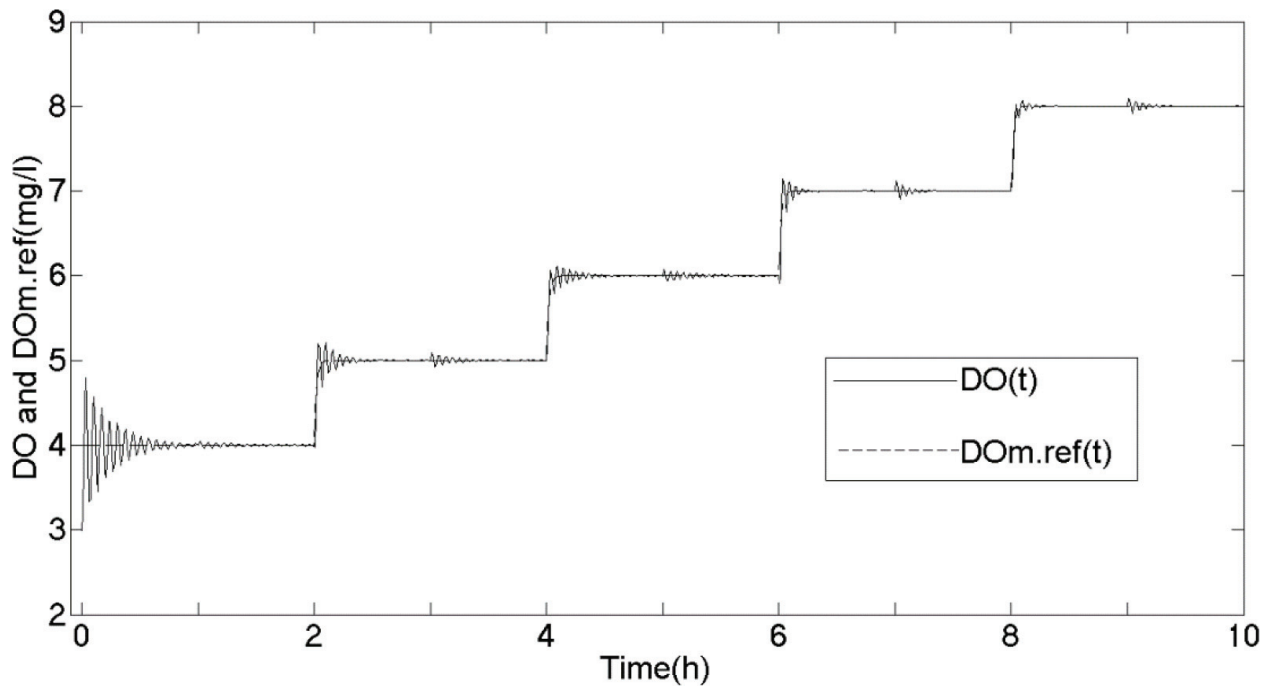


Figure 6. DO and  $DO^{ref}$  with input saturation and disturbances.

### 3.5. Simulation results

The simulation data are based on the real record. We assumed WWTP without disturbance, the very good  $DO(t)$  tracking model reference  $DO^{ref}(t)$  performance has been shown in Figure 5. The real plant contained some disturbances such as effluent flow rate, recycled flow rate and waste flow rate. The controller that we have designed still indicated perfect tracking performance in Figure 6.

## 4. Fuzzy supervisor based on multiple DMRAC

### 4.1. Introduction

In this section, we consider that fuzzy control are based on multiple DMRAC. The fuzzy control represents upper level control and DMRAC represents lower level control. More detail information are described in the next section.

### 4.2. Problem statement

In Ref. [4] is described two-level controller tracking previously set-point of DO trajectory in several serially coupled reactors for the nutrient removal served by one actuator system with several air blower at WWTP. The upper level control delivers airflow into each bioreactors to be bioreactor set-point trajectory close to ideal trajectory. The lower level control is used for the concentration of DO trajectory flowing the set-point. The structure of WWTP with coupled reactors is illustrated in Figure 7. The structure of WWTP is different from Section 5 that

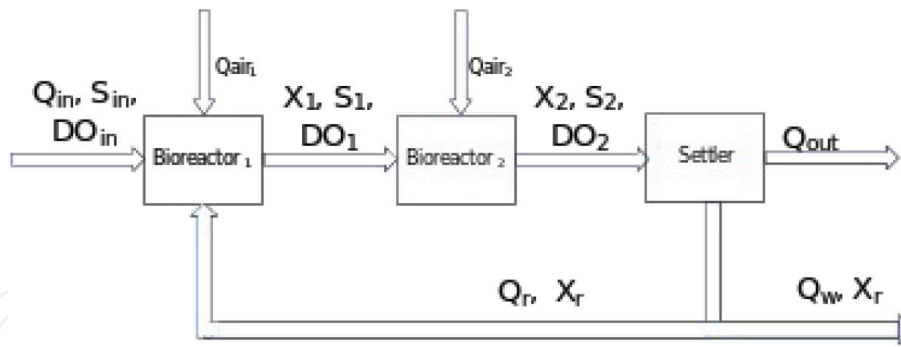


Figure 7. The structure of wastewater treatment plant for nutrient removal with coupled reactors.

contains two bioreactors. The capacity limit is that total airflow  $Q_{air}^{max}(t)$  should be small or equal to sum of all the adaptive control signals  $Q_{air,k}(t)$ .

$$\sum_{k=1}^q Q_{air,k}(t) \leq Q_{air}^{max}(t) \quad (47)$$

where  $k$  is the number of bioreactor.

### 4.3. Controller design

#### 4.3.1. Lower DMRAC design

As mentioned in Section 3, the process of DMRAC design is explained in detail; therefore, in this section we provide essential equations. The state-space format is same with last section for single reactor as in Eqs. (1)–(6).

- The dissolved oxygen input-output model (DOIOM) with coupled bioreactors is derived as follows:

$$\frac{dDO_i}{dt} = -a_{p,i}(t)DO_i(t) - c_{p,i}(t)f(DO_i(t)) + b_{p,i}(t)Q_{air,i}(t) + d_{p,i} \quad (48)$$

where  $a_{p,i}(t)$ ,  $c_{p,i}(t)$ ,  $b_{p,i}(t)$ ,  $d_{p,i}$  are DOIOM parameters and

$$\begin{aligned} a_{p,i} &= \frac{Q_{in}(1+r_1)}{V_{a,i}} + \delta_i \\ c_{p,i} &= \frac{K_{0,i}X_i(t)}{Y_i} \frac{\mu_{max,i}S_i(t)}{K_{s,i}+S_i(t)} \\ b_{p,i} &= \alpha_i(DO_{max,i} - DO_i(t)) \\ d_{p,i} &= \delta_i DO_{max,i} \end{aligned} \quad (49)$$

where  $i = 1, 2$

- The plant parameters status are exactly same with single reactor. The model reference dynamics equation is set as:

$$\frac{dDO_{m.ref.j}}{dt} = -a_{m.j}DO_{m.ref.j}(t) + b_{m.j}DO_j^{ref}(t) \quad (50)$$

where  $j = 1, 2$

- The affine model reference adaptive control law is applied as follows:

$$Q_{air.k}(t) = a_{DO.k}(t)DO_k(t) + a_{f.k}(t)f(DO_k(t)) + a_{DO_k^{ref}}(t)DO_k^{ref}(t) - \frac{\delta_k DO_{max.k}}{b_{p.k}(t)} \quad (51)$$

where  $k = 1, 2$

- Model reference adaptive control law is used as:

$$\frac{da_{DO_n}}{dt} = -\gamma_{zone.z.1}e_l(t)DO_i(t) \quad (52)$$

$$\frac{da_{f_n}}{dt} = -\gamma_{zone.z.2}e_l(t)f(DO_i(t)) \quad (53)$$

where  $n = 1, 2; z = 1, 2; l = 1, 2; i = 1, 2$ .

#### 4.3.2. Fuzzy supervisor design

The purpose of fuzzy supervisor is to divide total airflow  $Q_{air}^{max}(t)$  into two lower control signal, but those should satisfy capacity limit (47). Each of the bioreactors airflow restrict lower control output by MRAC. This implies that if fuzzy supervisor delivers airflow big enough then bioreactor output is more close to set-point trajectories (model reference). The error dynamic described each bioreactors output approaching uniform level. The fuzzy supervisor is designed as following:

##### 4.3.2.1. Step 1: Fuzzification

Linguistic variable is at lower level for each DMRAC error dynamics. Those error dynamics are divided into three types such as small, medium and big by percentage of lower level error dynamics (54). Membership function used in this chapter are Sigmoidal condition (55) and Gauss condition (56).

$$V(t) = e_i(t)^{-1} \prod_{i=2}^q e_i(t) \quad (54)$$

where  $V(t)$  is percentage of lower level error dynamics for each airflow.

$e_i(t)$  is DMRAC error dynamics for each bioreactors.

$$\mu(v(t)) = \frac{1}{1 + \exp(-a(v(t)) - c)} \quad (55)$$



where  $a, c$  are membership function shape parameters.

$$\mu(v(t)) = \begin{cases} \exp(-(v(t) - c_1)^2/\sigma_1^2) \\ 1; \\ \exp(-(v(t) - c_2)^2/\sigma_2^2) \end{cases} \quad (56)$$

where  $a, \sigma$  are membership function shape parameters.

#### 4.3.2.2. Step 2: Fuzzy rule

##### 4.3.2.2.1. First rule

*If error dynamics is small and sum of level airflow is greater than total airflow,*

*Then bioreactor receives corresponding percentage of total airflow.*

If  $V(t)$  is small and  $\sum_{i=1}^q Q_{\text{air}.i}(t) \geq Q_{\text{air}}^{\text{max}}(t)$

Then

$$Q_{\text{air}.i.2}^{\text{supervisor}}(t) = \frac{Q_{\text{air}}^{\text{max}}(t)}{\sum_{i=1}^q Q_{\text{air}.i}(t)} \times Q_{\text{air}.i} \text{ } 810\% \quad (57)$$

##### 4.3.2.2.2. Second rule

*If error dynamic medium and sum of level airflow is greater than total airflow,*

*Then bioreactor receives corresponding percentage of total airflow.*

If  $V(t)$  is medium and  $\sum_{i=1}^q Q_{\text{air}.i}(t) \geq Q_{\text{air}}^{\text{max}}(t)$

Then

$$Q_{\text{air}.i.2}^{\text{supervisor}}(t) = \frac{Q_{\text{air}}^{\text{max}}(t)}{\sum_{i=1}^q Q_{\text{air}.i}(t)} \times Q_{\text{air}.i} \text{ } 830\% \quad (58)$$

##### 4.3.2.2.3. Third rule

*If error dynamic is big and sum of level airflow is greater than total airflow,*

*Then bioreactor receive corresponding percentage of total airflow.*

If  $V(t)$  is big and  $\sum_{i=1}^q Q_{\text{air}.i}(t) \geq Q_{\text{air}}^{\text{max}}(t)$

Then

$$Q_{\text{air}}^{\text{supervisor}}(t) = \frac{Q_{\text{air}}^{\text{max}}(t)}{\sum_{i=1}^q Q_{\text{air},i}(t)} \times Q_{\text{air},i} 860\% \quad (59)$$

#### 4.3.2.3. Step 3: Defuzzification

Each of the bioreactors obtain airflow by a fuzzy value.

## 5. Summary

In this chapter, we considered two different adaptive control. The first adaptive control is applied on WWTP with control input saturation. The second adaptive control described that how upper level fuzzy control working is based on lower level DMRC applied on the coupling bioreactors of WWTP.

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