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# Can Attenuated *Leishmania* Induce Equally Effective Protection as Virulent Strains in Visceral Leishmaniasis?

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Additional information is available at the end of the chapter

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## 1. Introduction

The principle of antimicrobial vaccines is to increase immunity against a specific infectious agent so when the individual is challenged by that agent the appropriate immune response is mounted rapidly and efficiently. Vaccines for infectious agents have historically developed from whole live or dead microorganisms to more defined native or recombinant pure fractions, following antigen-coding DNA and the latest approaches of antigen-pulsed dendritic cells. Although bacterial and viral infections have a quite long list of effective vaccines, parasitic infections – from worms to protozoa – have been a hard challenge for researchers to be able to develop proper vaccines. Currently, the most advanced anti-parasitic vaccine is the RTS,S/AS01 for malaria with a protection that covers 30-40% [1]. Despite several attempts during seven decades of research with some promising approaches, so far there is no vaccine available for human leishmaniasis and the options available for veterinary use have zone-restricted market authorization, being inaccessible to many endemic countries.

Traditionally, live vaccines incorporate attenuated strains that after entering the host cause a non-pathological short-lived infection, being rapidly controlled by the innate and adaptive immune systems. In few words, the microorganism is taken up and processed by antigen presenting cells (APCs) that efficiently expose the microbial antigens *via* MHC class I or MHC class II molecules, activating the cognate T cell receptors (TCRs) on the surface of CD8<sup>+</sup> or CD4<sup>+</sup> T cells, respectively. From here, the effector cellular and humoral machinery develop a specific response aiming to eliminate the aggressor. When a sterile cure (*i.e.* complete elimination of the microorganisms) is achieved, a contraction in all the effectors takes place, though specific central memory T cells and antibodies endure [2], being ready to initiate a stronger response upon a second encounter with a similar microorganism. However, the abidance time

of memory is highly dependent on the strength of the primary response. Studies using mice models have shown that small numbers of parasites restricted to the inoculation site, without causing clinical manifestations, are essential for protection from a virulent challenge [3], indicating that antigen persistence is of major importance in a vaccination protocol for leishmaniasis. In fact, this is the concept behind the leishmanization strategy applied in humans.

In this chapter we address some general aspects of the epidemiology of human and canine leishmaniasis to introduce the needs for a vaccine and the desirable immune response to be generated upon vaccination. We present the animal models most commonly used in leishmaniasis vaccine research, the road so far travelled by the scientific community attempting to discover the vaccine for leishmaniasis and its current status. Finally, we show our experimental study in BALB/c mice about the influence of a primary infection of *Leishmania infantum* on the outcome of a *de novo* infection with a homologous or heterologous strain with distinct infectivity and immunomodulation.

### 1.1. Human leishmaniasis

Leishmaniasis is endemic in 98 countries and 3 territories ranging the Mediterranean Basin, the Middle East, the Indian sub-continent, and the tropical regions from America and Africa [4]. The last WHO report on the epidemiology of leishmaniasis estimates that every year 0.7 to 1.2 million new cases of cutaneous leishmaniasis (CL) are mounted and 0.2 to 0.4 million people develop visceral leishmaniasis (VL) which, in turn, is responsible for 20000 to 40000 deaths [4]. Nevertheless, in endemic countries most of the *L. infantum*- or *L. donovani*- infected people are asymptomatic carriers or self-healers [5, 6].

The relation of leishmaniasis with poverty catalogues it as a neglected tropical disease. In fact, 72 of the endemic countries are developing nations with a burden of 90% of the VL, CL and mucocutaneous leishmaniasis (MCL) [7]. In these regions, the majority of the population lives in rural areas, where higher densities of sand flies are found, and is malnourished, a condition that leads to immunosuppression. In addition, HIV concomitant infection is frequent, contributing to a severe state of immunodeficiency [8]. The close geographical overlap of *Leishmania* and HIV promote the concomitant infection of both pathogens. In fact, HIV infection increases in 100-2320 times the risk of developing VL in the endemic regions. HIV/*Leishmania* coinfections correspond to 2-9% of all the VL cases in endemic countries [9]. Furthermore, leishmaniasis is nowadays an important issue in developed countries due to coinfection cases with HIV where *Leishmania* arises as an opportunistic infectious agent, the third of the parasitic infections after *Toxoplasma gondii* and *Cryptosporidium* spp. [10]. Indeed, 90% of the reported HIV/*Leishmania* cases are from Southern European countries, namely Spain, Portugal, Italy and France [8]. The routine use of highly active antiretroviral therapy (HAART) by the end of 1990's produced a clear decrease of HIV/*Leishmania* coinfection cases in southern Europe, but it is now a growing concern in those major *foci* of leishmaniasis in developing countries like Ethiopia, where the incidence of HIV is still high [9]. In addition, following the climatic changes that currently allow the presence of the vector in higher latitudes and the constant circulation

of people and animals crossing frontiers and oceans, nowadays leishmaniasis cannot anymore be considered restricted to the endemic countries but is otherwise spread in the world.

The progression of a *Leishmania* infection to clinical disease comprises multifactorial phenomena, including the tropism of the species and strains, the genetic background of the host and the efficiency of the immune response developed against the parasite [11]. Studies using mice models have helped the scientific community to better understand the host-parasite relationship in leishmaniasis. Interleukin (IL) -12 is considered a key cytokine in the early development of the effective immune response due to its requirement for the activation of natural killer cells and T lymphocytes [12]. Activation of these cells leads to the secretion of interferon- $\gamma$  (IFN $\gamma$ ), another commander cytokine.

Both in mice as in humans, macrophages are classically activated by IFN $\gamma$ . This leads to the transcription of inducible nitric oxide synthase (iNOS) and phagocyte NADPH oxidase (phox) that produce nitric oxide (NO) and reactive oxygen species, respectively, specimens generally considered indispensable for macrophage-direct killing of *Leishmania* [13]. Macrophages activated by IL-12-driven IFN $\gamma$  secretion by Th1 lymphocytes – named M1 macrophages – also produce TNF $\alpha$ , IL-1 $\beta$  and IL-6, pro-inflammatory cytokines that favor the protective response against *Leishmania* infection. These macrophages are, then, both effectors and inducers of the Th1 polarized immune response [14]. Nevertheless, the strong Th1 pro-inflammatory response must be balanced with the secretion of IL-10 and transforming growth factor- $\beta$  (TGF $\beta$ ) to avoid immunopathology through excessive tissue damage [15].

Effector CD4<sup>+</sup> and CD8<sup>+</sup> T cells that were activated by the recognition of *Leishmania* antigens on the cognate TCR and expanded to respond to infection will face a massive contraction on their numbers of about 90% after the elimination of the parasite, leaving a subset of experienced cells that constitute the memory pool. Memory cells are long-lived cells that rapidly expand in response to a secondary challenge with the priming antigen [16]. They form a heterogeneous pool with distinct abilities in proliferation, migration and cytokine production, which allow their classification in central memory (TCM) or effector memory T cells (TEM).

Memory cells were demonstrated to have great importance in the control of leishmaniasis, with distinct roles described for TCM and TEM cells. Zaph *et al.* have shown that in mice both TCM and TEM CD4<sup>+</sup> cells require parasite presence to be developed, though maintenance of TCM is independent of antigen persistence [2]. This achievement, however, seems highly dependent on the initial overall T cell response, since in some immunization experiments that used low dose of parasites protection was lost after the elimination of the parasites, possibly due to insufficient expansion of the TCM pool [3]. Adoptive transfer of TCM from *L. major*-infected mice to naïve animals conferred protection upon a challenge. When facing the antigen, TCM expanded in the lymph nodes, acquired effector functions, including CD62L downregulation which allowed their migration to the infection site and effective protection [2]. In accordance, analysis of CD4<sup>+</sup> memory T cells from patients with CL stimulated *ex-vivo* with soluble *Leishmania* antigen (SLA) revealed the high proliferative ability and IL-2 production of TCM and high percentage of IFN $\gamma$ -secreting TEM [17].

Nevertheless, concomitant immunity, *i.e.* efficient protection upon a challenge due to the long-term and simultaneous persistence of the pathogen, seems to be a hallmark in leishmaniasis [18].

## 1.2. Canine leishmaniasis

Dogs are primary reservoir hosts of zoonotic visceral leishmaniasis (ZVL) caused by *Leishmania infantum* and play a key role in the long-term maintenance of the parasite in the endemic areas of Mediterranean countries, the Middle East, Asia and Latin America. Epidemiological surveys estimate that, for example in western Mediterranean countries, seroprevalence ranges from 5 to 37%, varying from region to region depending on ecological aspects. Nevertheless, surveys based on PCR diagnosis demonstrated high infection rates in endemic areas, for example 80% in Marseille, France [19], and 67% in Majorca, Spain [20]. Longitudinal studies in Italy have also shown high incidences (40-92%) during the season of transmission [21]. Importantly, not all infected dogs develop canine leishmaniasis; more than 50% of infected dogs remain asymptomatic after infection, though it has been shown that these asymptomatic carriers are also infective to sandflies [22].

The high prevalence of infected dogs in endemic areas, their common presence in the domestic surroundings where ZVL transmission occurs, and the high infectiousness of both symptomatic and asymptomatic animals makes that *Leishmania*-infected dogs represent not only a serious veterinary but also an important public health problem. Infected dogs have been associated with the emergence of new *foci* of ZVL, like those in the North of Argentina, where the appearance of human cases is preceded by those of canine leishmaniasis [23], and also with the spread of VL observed in large Brazilian cities [24] and the northward spread of the disease reported in Italy [25]. Therefore, the control of parasite-infected dogs is of prime urgency to reduce the number of cases of human VL by decreasing prevalence in dogs [26].

The outcome of *Leishmania* infection in dogs is variable and depends on the persistence and multiplication of the parasite and the immune response of the animal. Not all the infected dogs will develop clinical disease, part of them can control the expansion of the parasite and spontaneously cure the infection; in others, the infection is subclinical for an undefined time (years or even the whole life) during which the animal remains asymptomatic. Few than 50% of infected animals do not have (or have lost) the capacity to control the parasite, in these cases being distributed extensively throughout the organism: spleen, liver, lymph nodes, bone marrow, kidney, skin, etc., (as opposed to what occurs in humans, where the parasite is normally limited to bone marrow, spleen and liver) [26]. In these dogs the disease progresses, the parasite burden and the *Leishmania*-specific antibody levels increase, and after two to four months of incubation the symptoms of canine leishmaniasis appear [27].

The natural history of canine leishmaniasis mostly depends on the efficacy of the dog's immune response to *L. infantum* infection which determines the development of resistance or susceptibility to the disease. In general, resistance is associated with low levels of specific antibodies and presence of a predominant Th1 cell-mediated response against the parasite, with the production of IFN $\gamma$  that is able to stimulate, in collaboration with TNF $\alpha$ , the leishmanicidal activity of macrophages mediated by the induction of iNOS. Absence of symptoms is related with high levels of IFN $\gamma$  expression in the peripheral blood as detected by quanti-

tative real-time PCR [28]. When dogs develop such parasite-specific cell-mediated immunity, they are able to control parasite dissemination and present an overall low tissue parasitism. This status of resistance is reflected in the development of a positive leishmanin skin test or/and an *in vitro* lymphoproliferative response after stimulation of peripheral blood mononuclear cells (PBMCs) with leishmanial antigens. In these animals, it has been observed that *in vitro* stimulation of PBMCs with *L. infantum* SLA induces the expression of IL-2, IFN $\gamma$ , TNF $\alpha$ , IL-4 and IL-10, confirming the existence of both *Leishmania*-specific Th1 and Th2 clones [29]. Also, quantification of the cytokine expression by real-time PCR allowed to establish that PBMCs from resistant dogs expressed high levels of IFN $\gamma$  and TNF $\alpha$  after *in vitro* stimulation with purified parasite antigens [30, 31]. Therefore, the evaluation of IFN $\gamma$  expression level from PBMCs constitutes a good approach to evaluate the *in vitro* immunogenicity of leishmanial molecules to identify vaccine candidates able to induce the protective cellular immune response to canine leishmaniasis [30, 32].

Different attempts have been made to confirm a correlation between the classes and subclasses of immunoglobulins and the type of response against *Leishmania* infection in dogs. Early studies associated the appearance of specific IgG2 antibodies against *Leishmania* with the asymptomatic state of the dogs, and the preponderance of IgG1 with progression of the disease [33]. However, other studies have failed to show this [34, 35]. Recent reports have proposed the analysis of IgG, IgG1 and IgG2 isotypes as immune biomarkers for the assessment of the immunogenicity of vaccines against canine leishmaniasis. Since IgG1 and IgG2 responses are largely T cell dependent, the evaluation of the specific isotypes has been considered an important aspect to evaluate the overall immunity induced by a specific vaccine. It has been seen that IgG2 induced by vaccination with *L. infantum* excreted/secreted proteins (*LiESP*) had a potent inhibitory effect on the *in vitro* growth of both amastigotes and promastigotes and that the pre-treatment of amastigotes with this serum reduced significantly their *in vitro* infectivity in canine macrophages [36].

It is important to remark that the lack of *Leishmania*-specific cell mediated immunity constitutes a key aspect in the pathogenesis of canine leishmaniasis and also in the recovery of the animal after treatment. It has been confirmed that successful chemotherapy of the animals correlates not only with the disappearance of external signs of leishmaniasis but also with a significant increment in the percentage of CD4<sup>+</sup> T cells and the appearance of a parasite-specific proliferative response of PBMCs [37].

## 2. Vaccine research for leishmaniasis

The ideal vaccine for leishmaniasis should be safe, effective, long lasting, transversal to all infective *Leishmania* species and affordable, to be available to the populations most in need.

### 2.1. Animal models for vaccine research for leishmaniasis

Much of the knowledge generated from leishmaniasis research come from experimental infections in animal models. Differently from human population and natural infections, the

most common models of disease employed in leishmaniasis research are based on infection of inbred mice with cloned lines of parasites. These experimental settings reduce the variety of factors that play a role on the disease manifestation, such as host's genetic background and immune competence, concomitant infections with other microorganisms, autoimmune or inflammatory diseases or drug treatments that may affect the fitness of the immune system, diversity of parasite's strains and species, site of infection and inoculum dose, infecting sand fly's species, etc. However, in comparison to the natural transmission and disease, the same limitations are also the major advantages, as in laboratorial settings researchers control all those variables and are able to focus on their specific target to unravel the molecular and immunological mechanisms behind leishmaniasis.

Many models of leishmaniasis have been tested, although none is able to mimic the exact pathology of cutaneous and, principally, visceral human diseases, or to develop the same immune responses. Despite valuable information has come from animal models, careful generalizations must be done when transposing it to the human disease.

The animal species applied on studies of human CL is almost exclusively the mouse (*Mus musculus*). Inbred strains are experimentally infected by subcutaneous or intradermal route with millions of promastigotes cultivated *in vitro* or axenic or tissue-derived amastigotes. The mice's genetic background has a major impact on the severity of the disease. For instance, when infected with a high dose BALB/c strain develops extensive skin lesions that spread away from the inoculation site leading to death of the animal, while C57BL/6 and CBA/N are able to control the infection and skin ulcers self-heal with time [38].

Considering animal models for VL, golden hamsters (*Mesocricetus auratus*) are among the best mimicking model of the human disease. Despite the artificial route (intracardiac or intravenous) and the high amount of parasites usually inoculated, *L. infantum*- or *L. donovani*-infected hamsters show heterogeneous phenotypes of infection, with animals that are asymptomatic, oligosymptomatic or polysymptomatic, in quite a good correlation with human and also canine epidemiology in endemic areas. Symptomatology comprise weight loss, uncontrollable increase in the splenic, hepatic and bone marrow parasite loads, hepatosplenomegaly, pancytopenia, hypergammaglobulinemia and ultimately death (Carrillo *et al.*, submitted, 2013 and [39]). Due to the lack of specific reagents needed to study the immunological mechanisms associated with *Leishmania* infection, the hamster model has been put apart and neglected over the mice models. Visceral leishmaniasis in mouse do not fully resemble the human nor canine disease, but the availability of numerous strains genetically modified and an endless offer of anti-mouse antibodies make the mouse the most preferred model to understand the host-parasite interactions and the immunological aspects of visceral leishmaniasis. However, in the scope of vaccine development and drug screening, where more than the mechanism behind the most important read-out is efficacy (*i.e.* parasite loads and pathology), golden hamsters are the most appropriate rodent model for the human disease.

The use of dogs (*Canis lupus familiaris*) as model of leishmaniasis is an advantage in the way that dogs are themselves natural hosts. Some breeds, like German Shepard, Boxer and Doberman, seem to be more susceptible to natural *Leishmania* infection [40]. However, the most common breed used in laboratorial studies is the Beagle. In addition, many research studies are done with field animals. They can be artificially infected or put in natural contact with sand flies in

endemic areas to test the efficacy of vaccines or anti-*Leishmania* drugs for veterinary practice or be used in the scope of model for human VL. Despite the existence of some dog-specific tools that would allow the study of the immune response, working with dogs is not as easy as handling mice, due to their size, the unpredictability of the infection rates, the cost of the experiments and the emotional connection that naturally exist between humans and dogs.

Non-human primates are usually confined to pre-clinical trials in humans. Some models based on artificial inoculation of rhesus macaques (*Macaca mulata*) [41], African vervet monkeys (*Chlorocebus* spp.) [42] or langur monkeys (*Presbytis entellus*) [43, 44] have been tested for *Leishmania* vaccines. Due to the close phylogenetic relation with humans and considerably good mimicking of pathology and immune responses generated upon infection (depending on the parasite and animal species), these models are attractive for vaccine research. But the difficulty on the handling, the very expensive costs and the impossibility of exposing the animals to a natural challenge are drawbacks on the use of non-human primates for *Leishmania* vaccine research.

## 2.2. Leishmanization

Until date, the only successful, long-lasting strategy for human immunization against leishmaniasis is the leishmanization process. It consists on the inoculation of live virulent parasites in a hidden area of the skin of healthy people with the purpose of development of immunity for protection when the individuals are challenged by a natural infection. Leishmanization showed 100% protection when used as prophylaxis for cutaneous leishmaniasis (CL) throughout the ex-Soviet Union, Asia, and the Middle East [45]. Due to risk of complications in healthy people and difficult standardization of the live *L. major* inoculum, this procedure was mostly abandoned. However, this is still a current practice in Uzbekistan [45] and a few years ago it was reported to be applied in the evaluation of the efficacy of new vaccines [46].

A "natural" form of leishmanization may be the reason why in Sri Lanka so many cases of CL by *L. donovani* are reported while VL is rare [47]. McCall *et al.* have recently reproduced this scenario in the BALB/c model, immunizing the mice subcutaneously with a dermatropic *L. donovani* strain from Sri Lanka followed by intravenous challenge with a viscerotropic autochthonous strain, and indeed, partial protection was obtained in the liver of the infected mice [48]. The authors attributed the ability of the cutaneous strain to protect against the challenge with the visceral strain to a probable great similarity between the two *L. donovani* strains; this hypothesis may justify the opposing phenotype observed by others [49]. Also, an epidemiological study in Sudan indicated that only individuals previously negative for leishmanin (Montenegro skin test) developed VL, thus, though without scientific evidences, leishmanin-positive individuals that were possibly formerly infected with *L. major* were protected against the visceral disease [50].

## 2.3. First generation vaccines

First generation vaccines comprise whole killed parasites and live attenuated parasites. They were primarily developed to overcome one of the major concerns related to leishmanization:



the risk of disease development in immunocompetent persons and the total improperness for immunosuppressed patients for this same reason.

### 2.3.1. Killed parasites

With more or less success, some examples of killed vaccines include *L. braziliensis* crude antigens tested in dogs [51] and trivalent (*L. braziliensis* + *L. guayanensis* + *L. amazonensis*) phenol-killed whole *Leishmania* promastigotes with bacille Calmette-Guérin (BCG) as adjuvant in Ecuadorian children [52]. According to a meta-analysis conducted in 2009 by Noazin *et.al.* to evaluate the efficacy of the clinical trials performed with whole killed parasites in endemic areas since 1970's, with the exception of this latter in Ecuador, none of the other eight clinical trials considered (based on autoclaved *L. major* (ALM) with BCG tested against CL in the Old World and *L. amazonensis* or multivalent preparations inactivated with merthiolate used against CL in the New World) showed significant protection against natural infection [53]. A new option was tested recently: a killed but metabolically active (KBMA) *L. infantum*. This vaccine showed partial protection in spleen and liver of BALB/c mice 2 and 8 weeks after challenge triggering a mixed Th1/Th2 response but the authors claim that improved results could be obtained by adding TLR agonists and Th1 adjuvants [54].

### 2.3.2. Live attenuated parasites

For the live attenuated parasites many are the works reported whether using physical, chemical or genetic manipulation for reducing the virulence of the strains, or even naturally attenuated strains, like the non-pathogenic *L. tarentolae* [55]. Some of the most successful vaccine candidates for VL based on genetically altered live parasites were *L. donovani* bioperin transporter gene knockout (KO) (*BT1<sup>-/-</sup>*) [56], *L. donovani* replication deficient centrin gene KO (*Cen<sup>-/-</sup>*) [57], *L. donovani* cytochrome c oxidase complex component p27 gene KO (*Ldp27<sup>-/-</sup>*) [58], *L. infantum* silent information regulatory 2 single KO (*SIR2<sup>+/-</sup>*) [59] and *L. tarentolae* expressing *L. donovani* A2 antigen [60]. Despite showing hopeful efficiency in murine models, the promising candidates that were tested in human and canine diseases failed to protect (reviewed in [61]).

## 2.4. Second generation vaccines

A different approach relies on recombinant proteins, polyproteins, DNA vaccines, liposomal formulations and dendritic cell vaccine delivery systems [45]; these constitute the second generation vaccines.

### 2.4.1. Purified or recombinant *Leishmania* antigens and engineered polyproteins

The *Leishmania* antigen that has been more extensively studied in the scope of a vaccine is the gp63 glycoprotein that is expressed on the surface of both the amastigotes and the promastigotes forms. The recombinant and the native proteins have been inoculated in several strains of mice as models of CL, generally showing a protective phenotype (see [62] for details). Also, an early study using monkeys revealed a partial protection against CL by *L. major* [63]. This

gp63 is one of the few recombinant antigens studied in the scope of VL; Bhowmick *et al.* showed that gp63 encapsulated in cationic liposomes induced more than 80% reduction of the parasite loads in spleen and liver of BALB/c mice infected with *L. donovani* [64]. In this group of recombinant antigens, some others of the most successful candidates against VL were the amastigote-specific protein rA2, rHASP B1 (hydrophilic acylated surface protein B1), KMP-11 (kinetoplastid membrane protein-11) and rORFF (open reading frame fragment). *Li*ESA (*L. infantum* promastigotes' excreted/secreted antigens), FML (fucose-mannose ligand) and GRP78 (glucose-regulated protein 78) are the few purified antigens tested in vaccines for VL and all of them revealed at least certain degree of protection (see the reviews from Evans and Kedzierski [45] and Nagill and Kaur [62] for details and references). For CL, other antigens tested by several groups, though with conflicting results, are rLACK (*Leishmania* homologue of receptor for activated C kinase) [65-67] and PSA-2 (promastigote surface antigen 2) [68, 69].

Concerning the recombinant polyproteins, rLeish-111f (or LEISH-F1, composed of three molecules fused in tandem: the *L. major* homologue of eukaryotic thiol-specific antioxidant (TSA), the *L. major* stress-inducible protein 1 (LmSTI1) and the *L. braziliensis* elongation and initiation factor (LeIF)) and its non His-tag form rLeish-110f are undoubtedly the best studied and the most promising candidates for a vaccine against leishmaniasis. After having proved to protect mice with CL [70] and VL [71], rLeish-111f with MPL-SE adjuvant has also demonstrated to be safe and well tolerated in humans [72] as well as immunogenic in healthy subjects of endemic areas with or without previous contact to *L. donovani* [73]. Clinical trials in dogs have resulted in disparate conclusions about the efficacy of the vaccine in the prophylaxis of ZVL [74, 75], though survival of infected dogs was increased after vaccination and treatment with glucantime [76]. rLeish-110f with MPL-SE was shown to be immunogenic and protective in BALB/c mice after *L. major* and *L. infantum* challenges [77] (see [78] for complete information about the clinical trials run with rLeish-f111 and rLeish-f110).

Another polyprotein named Protein Q, composed of the fusion of four fragments of the acidic ribosomal protein Lip2a, Lip2b, P0 and histone 2A, has shown 90% protection as measured by parasite clearance in vaccinated dogs using BCG as adjuvant [79]. After testing other adjuvants in mice, 99% protection was achieved against *L. infantum* when administering Protein Q with CpG-ODN [80].

#### 2.4.2. DNA vaccines

DNA vaccines are able to activate both CD4<sup>+</sup> and CD8<sup>+</sup> T cells through the engagement of MHC class II and MHC class I, respectively [38]. In addition, co-administration of cytokines and CpG oligonucleotides allows the modulation of the cellular immune response [81]. Besides being relatively easy to prepare and stable, another unique advantage is the appropriate folding of the intracellularly synthesized peptide on its native structure [38].

The first DNA vaccines to be studied were the classical candidates that have been tested as proteins. As single plasmids or in multicomponent DNA vaccines, there are successful examples that have shown to protect from some *Leishmania* species but not others, or were effective in some animal models but not others (see [62] for an extensive description of DNA vaccines). Among the most investigated are gp63 for CL in mice, LACK and KMP-11 for both

CL and VL tested in mice, hamsters and dogs. Some reports have shown the use of the strategy of heterologous prime-boost using LACK DNA followed by administration of rLACK protein with positive results [82-86].

#### 2.4.3. Dendritic cell vaccine and liposomal formulations delivery systems

The unique capacity of DCs in amplifying the innate defense mechanisms and providing the link between these and the acquired immune responses makes them ideal candidates for anti-*Leishmania* vaccines [87]. In the recent years, DCs pulsed with gp63 or gp63-derived peptides [88, 89], histone H1 [90] or a mixture of histones [91] delivered to mice challenged with *L. major* or DCs pulsed with KMP-11(12-31aa) peptide + CpG ODN [92] against *L. infantum* have shown to decrease lesion size and parasite loads through the production of antigen-specific IFN $\gamma$ .

On another approach, the concept behind the use of liposomes to deliver *Leishmania* antigens is that they can modulate antigen presentation, enhancing antigen-specific T cell proliferation and humoral responses. Conventional liposomes are presented by MHC class II molecules, whereas the presentation *via* MHC class I requires pH-sensitive liposomes [93]. The encapsulation of rgp63 or rLmSTI1 in liposomes has proven to develop a Th1 response that protected BALB/c mice from *L. major* [94, 95] or *L. donovani* infection [64]. A different strategy using polar phospholipids from *Escherichia coli* to encapsulate *L. donovani* SLA protected hamsters from *L. donovani* infection by the production of CD4<sup>+</sup> and CD8<sup>+</sup> T cell-specific responses [96]. Importantly, the route of administration of the liposomes may have a crucial role on the generation of the protective response. For example, BALB/c mice that were immunized by intravenous or intraperitoneal routes with liposomal *L. donovani* membrane antigens were protected from a *L. donovani* challenge, whereas the intramuscular or subcutaneous immunizations failed to protect [97].

## 2.5. Adjuvants

Adjuvants are synthetic or natural highly immunogenic components that are combined with the specific immunizing antigen with the purpose of efficiently stimulate the immune cells to mount a strong response against that antigen. Adjuvants are usually categorized in two classes. Immunostimulatory or non-particulate adjuvants are agonists of the pathogen-recognition receptors (PRRs) that localize at the surface or inside intracellular vesicles of innate immune cells [93]. These are activated by the binding of the cognate pathogen associated molecular patterns (PAMPs) (or their agonists) and signal a complex cascade of events that triggers the secretion of cytokines, chemokines and type I interferons [98]. The other class comprise the particulate adjuvants which are mineral-, lipid- or polymer-based delivery systems that, along with being transporters of the *Leishmania* antigen, are themselves immunostimulators due to their size, charge and composition; their properties can even be further improved by the decoration with other PAMP-like adjuvants [93].

In a vaccine for leishmaniasis, it is expected that adjuvants modulate the immune system towards a Th1 response, with high amounts of secreted IL-12 and IFN $\gamma$ . Indeed, recombinant

IL-12 has been successfully tested in animal models as a potent adjuvant. However, stimulation with IL-12 was unable to induce a strong memory response to the immunizing antigen in BALB/c mice [99]. Nevertheless, when administered as IL-12 DNA it induced long-lasting protection against *L. major* [100].

MPL<sup>®</sup> is a purified derivative of the monophosphoryl lipid A hydrophobic moiety of *Salmonella minnesota's* lipopolysaccharide (LPS). As LPS, MPL<sup>®</sup> is a potent TLR4 activator, though without the pyrogenicity of the parent molecule [101]. To even increase its efficacy, MPL<sup>®</sup> has been formulated in an oil-in-water stable emulsion in squalene (MPL-SE) which rendered high levels of IFN $\gamma$  and low amounts of IL-4 and IL-10 [102]. A similar derivative, GLA-SE (glucopyranosyl lipid adjuvant) has been chemically synthesized in order to obtain a pure molecule, free of biological components, but still maintaining the same multifunctional immunomodulatory activity as the naturally-derived MPL<sup>®</sup> [103]. Currently, MPL-SE and GLA-SE are undergoing clinical trials with the antigen LEISH-F3 for the first vaccine for human VL (see section 2.6.2).

Other TLR agonists are CpG-containing oligonucleotides (CpG ODNs) and imiquimod, which are ligands for the TLR9 and TLR7/8, respectively. CpG ODNs are strong immunostimulators by the upregulation on macrophages and DCs of CD40 and MHC class II costimulatory receptors [104] and the induction of IFN $\alpha$ , IFN $\beta$  and IFN $\gamma$ , IL-12, IL-18 and TNF $\alpha$  secretion by lymphocytes [105]. In the same direction, imiquimod, a synthetic imidazoquinoline, is a Th1 activator. But noteworthy, imiquimod has itself anti-leishmanial activity through the activation of macrophages leading to the secretion of IL-12 and IFN $\gamma$  [106]. Also, signal transduction directed to NO production was detected on *L. donovani*-infected macrophages treated with imiquimod [107]. Indeed, a recent report showed the effective application of topic imiquimod on the cutaneous lesions of a child infected with *L. infantum* unresponsive to liposomal amphotericin B [108].

Bacillus Calmette-Guérin (BCG), besides being the most widely administered vaccine in the world, it is also commonly used as adjuvant in numerous vaccine candidates for infectious diseases. In anti-*Leishmania* treatment [109] and vaccine research it has been tested in murine [110-112], hamster [113], canine [114, 115] and non-human primate models [42] (just to mention the most recent works). Its mechanism of immunostimulation relies on the activation of TLR2, TLR 4 and TLR9 [116, 117] in addition to its anti-leishmanial properties revealed in early studies [118, 119].

Saponins are natural products from the *Quillaja saponaria* tree chemically modified in order to increase their adjuvant properties [120]. QuilA is the heterogeneous mixture of saponins obtained from the aqueous extract of the *Quillaja* bark. Due to its high toxicity, purification by HPLC and chemical modifications have originated several saponins which display different toxicity and immunogenicity [121]. Saponins are common adjuvants used in vaccines for *Leishmania*. Indeed, the three approved vaccines for ZVL include saponins in their formulation (see section 2.6.1).

Particulate adjuvants have many properties that can be designed to bias the immune system in the desirable way which make them very versatile adjuvants. They serve as carriers for

antigens and non-particulate adjuvants, targeting both vaccine components to the same APC and controlling their release. They can be used to increase the stability of antigens, like proteins, peptides or oligonucleotides, to improve the solubility of hydrophobic compounds or to bypass gastric degradation [93].

Aluminum salts are common in human and veterinary vaccines, though they are not proper adjuvants *per se* to be used in vaccines for leishmaniasis because their immunostimulatory properties drive a Th2 response. However, they have been used as carriers for other adjuvants, like IL-12 [122] or BCG [111], in combination with ALM antigen. Lipid-based vesicles (liposomes and niosomes) have been tested to carry ALM antigen with or without BCG in C57BL/6 [123] and BALB/c mice [124]. Similarly, virosomes are spheres formed by a phospholipid bilayer but that also contain viral glycoproteins (hemagglutinin and neuraminidase from influenza virus) which confer structural stability and enhance the adjuvanticity of these particles [93].

Micelles and emulsions likewise fall in this category of particulate adjuvants as, for example, MPL<sup>®</sup> and GLA formulated in stable emulsions (MPL-SE and GLA-SE). The oil-in-water emulsion formed with squalene (SE) is itself an adjuvant that has been included in the ongoing clinical trials run by IDRI (see section 2.6.2), though immunization with Leish-110f antigen plus SE led to the development of a Th2 response in BALB/c mice [77].

Finally, though without great expression in *Leishmania* vaccine research, the most complex particulate adjuvants are the immune stimulating complexes. ISCOMATRIX<sup>™</sup> are cage-like structures composed of cholesterol, lipids and QuilA bond together by hydrophobic interactions; they allow the inclusion of several antigens forming ISCOMATRIX<sup>™</sup> vaccines [102]. Similar structures that include a hydrophobic antigen are called ISCOMs, while hydrophilic antigens must be held in cationic ISCOMs-like structures named PLUSCOMs [93]. The inclusion of QuilA in these systems allows the reduction of its amount and the bonding to cholesterol, therefore leaving no free QuilA to interact with cell membranes, which decrease its toxicity [93].

## 2.6. Current status of vaccine research

### 2.6.1. Vaccines for zoonotic visceral leishmaniasis

In canine vaccinology three authorized vaccine options are available.

Leishmune<sup>®</sup> was the first vaccine licensed for the prevention of ZVL but is authorized only in Brazil. It consists of *L. donovani* purified fucose-mannose ligand (FML antigen) in combination with a saponin adjuvant. Clinical trials have showed that Leishmune<sup>®</sup> reduces the risk of infection but also prevents disease progression in already infected dogs, though the manufacturer does not recommend the vaccine as immunotherapy. A transmission-blocking activity was also attributed to this vaccine, making it highly appealing for the control of the zoonosis [125]. After 5 years of spread use among veterinary clinics in all the Brazilian territory, the manufacturer reports an efficacy of 97.3% in 8393 vaccinated *Leishmania*-seronegative dogs exposed to the natural challenge [126]. Strong cellular response (determined as *Leishmania*-

specific lymphoproliferation with high levels of IFN $\gamma$  in the absence of IL-10 and positive Montenegro skin reaction test) and favorable humoral response (with high titers of *Leishmania*-specific IgG2) are behind this protective response in vaccinated animals [126].

Some years later, Leish-Tec<sup>®</sup> was released, also only in Brazil. The recombinant A2 protein is the antigen that constitutes the vaccine along with saponin adjuvant. Protection was found to be related to high levels of anti-A2 IgG and IgG2, without the presence of IgG1, and high amounts of specific IFN $\gamma$  with low levels of IL-10 [127]. However, there is no updated information about the efficacy of the vaccine in the field.

Recently, a new vaccine, CaniLeish<sup>®</sup>, the only authorized in Europe, has entered the market for the prophylaxis of ZVL. The manufacturer claims that vaccinated dogs have a 4-fold reduced risk of developing the disease compared to non-vaccinated animals [128]. The use of *L. infantum* excreted/secreted proteins associated to QA-21 adjuvant (*LiESP/QA-21*) leads to the increase of IgG2 specific antibodies, stronger *Leishmania*-specific lymphoproliferation with an increased IFN $\gamma$ -producing T cell population that is able to activate a significant leishmanicidal macrophage ability *in vitro* due to NO production [129].

#### 2.6.2. Ongoing clinical trials for a vaccine for VL

On February 2012 the Infectious Disease Research Institute (IDRI) has launched a phase 1 clinical trial for the first vaccine against VL [130]. Thirty six healthy adult American volunteers were recruited to evaluate the safety, tolerability and immunogenicity of the LEISH-F3 recombinant antigen (composed of two fused proteins) with GLA-SE, MPL-SE or SE adjuvants [131]. About one year and a half later, this first clinical trial was completed and the vaccine was shown to be safe and to induce potent immune responses in healthy volunteers [132]. Later, IDRI partnered with the Indian pharmaceutical company Zydus Cadila to develop, register and market the three vaccine candidates to ensure that the possible future vaccine is affordable and accessible by the people that really need it. Also, in July 2013 this partnership has started phase 1 clinical trials in India to evaluate the effectiveness of the vaccine on individuals from endemic regions [132].

### 3. Experimental data: Highly infective *Leishmania infantum* strain induces strong central and effector memory CD4<sup>+</sup> and CD8<sup>+</sup> immunity required for partial protection against re-infection

#### 3.1. Aim of the study

It is well accepted that the broad clinical manifestations described in leishmaniasis are associated with the different cytokine milieu developed in response to the infection, which is highly dependent on the parasite itself. Accordingly, a diversity of immune responses have been described for *L. major* substrains [133] and *L. infantum* strains from the MON-1 zymodeme [134]. These immune responses may have a pivotal importance if the host faces a *de novo* *Leishmania* infection. In fact, data from endemic countries put on evidence the reality of

resistance to re-infection in VL. In the one hand, it is evident the predominance of *L. infantum* infections in children compared to adults [6], which may result from acquired resistance to re-infection in adulthood, and, on the other hand, there are the examples of fully recovered patients that showed resistance to re-infection by the same *Leishmania* species [61].

Some studies on re-infection have been performed in mice as model for visceral leishmaniasis. Streit *et al.* described a partial level of protection against *L. chagasi* when mice were first infected with a high-dose inoculum since it was able to stimulate the immune system towards a Th1 response for counteracting a subsequent infection. On the contrary, an infection with a low dose suppressed IFN $\gamma$  production and elicited high levels of TGF $\beta$ . Also, protective immunity was not achieved if an attenuated *dhfr-ts* knockout strain was used instead for immunization [49]. However, Oliveira *et al.* published opposing results as when they infected mice with a low dose of *L. chagasi* a protective immune response was generated, while a high dose contributed to the development of visceral disease [135].

To our knowledge, there is no previous literature about the concomitant immunity developed with live virulent *L. infantum* infection followed by homologous or heterologous re-infection. Since the severity of the infection and the progression of visceral leishmaniasis are strongly determined by the elicited immune response, in this work we analyzed the ability of two *L. infantum* virulent strains, which have presented different infectivity and immunomodulation, in the generation of an effective adaptive immunity in the context of experimental chronic infection and in the induction of a recall response after re-infection in BALB/c mouse model.

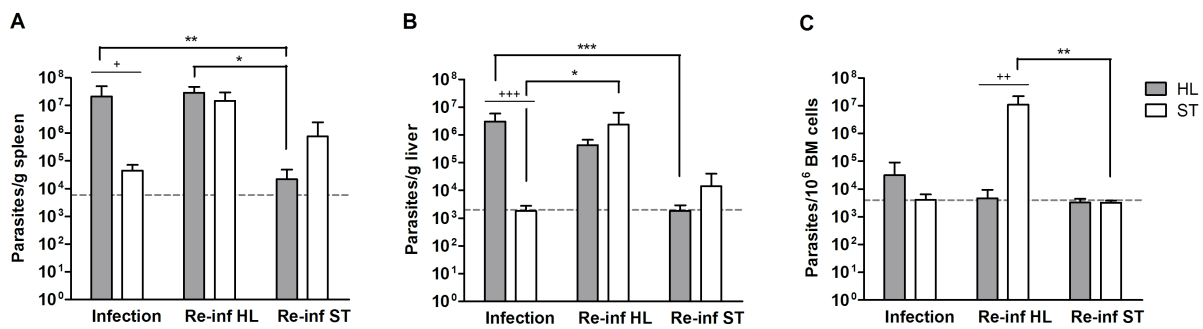
### 3.2. Development of protection needs highly infective *Leishmania*

Many efforts have been made to understand how *Leishmania*-specific immunity is generated and maintained over time. Nowadays, it is of scientific consensus that early activation of the innate immune system is essential for the production of a reliable adaptive response that leans on CD4<sup>+</sup> and CD8<sup>+</sup> specific cellular immunity.

To understand the strain-specific immunomodulation mechanisms that lead to protection to re-infection we used two strains of *L. infantum*, one dermatropic (HL) and the other viscerotropic (ST), which presented differential onset and progression of VL in mice. As previously shown [136], HL was able to colonize the spleen, liver and bone marrow in higher extent than ST parasites 6 weeks after infection (Figure 1, Infection bars). We hypothesized that these differences in infectivity could lead to distinct levels of protection. Thus, we re-infected the mice with homologous or heterologous strains.

In our model, mice that were previously imprinted with HL strain and then challenged with the same highly infective strain (Figure 1, Re-inf HL bars) were able to sustain the splenic parasite load and to decrease in about 1 logarithm the number of parasites colonizing the liver and bone marrow. On the contrary, HL re-infection after ST imprinting led to a significant increase of about 1000 times in all the target tissues. Concomitant immunity was more pronounced when the animals were infected with the highly infective HL strain and then challenged with ST due to its lower infectivity (Figure 1, Re-inf ST bars). As such, the infections

in the spleen and liver of HL imprinted mice suffered a significant reduction of ~1000-fold in the parasite loads to levels close to the quantification limit, and in the bone marrow parasitic presence was detected but not quantifiable. Accordingly, ST imprinting and consecutive challenge resulted in a ~10-fold increase in the splenic and hepatic parasite burden compared to the primary infection numbers, though no changes were noticed in the parasite load of the bone marrow.



7-8 week-old BALB/c mice were infected by intraperitoneal route with  $10^8$  HL (grey bars) or ST (white bars) *L. infantum* strains cultivated for 4 days in Novy-MacNeal-Nicolle (NNN) medium at 26 °C. After 6 weeks of infection mice were anesthetized with isoflurane and sacrificed by cervical dislocation (Infection bars). In the re-infection experiments, animals were infected for 6 weeks with HL or ST strains as before and challenged intraperitoneally with  $10^8$  promastigotes of the same or the other strain; 6 weeks after challenge they were sacrificed (Re-inf HL and Re-inf ST bars). **(A)** Spleen, **(B)** liver and **(C)** femoral bone marrow were recovered for quantification of the parasite load by real time PCR [136]. Bars represent means  $\pm$  SD of 5 to 9 animals of one experiment representative of two independent. Statistically significant differences between HL and ST infections were calculated with Mann-Whitney test and are signed with \*. Kruskal-Wallis test followed by Dunn's multiple comparison test were used to calculate differences before and after challenge and are depicted with \*. Statistical analysis was done in GraphPad Prism 5 (GraphPad Software). Dashed line indicates the limit of detection for quantification for each tissue.

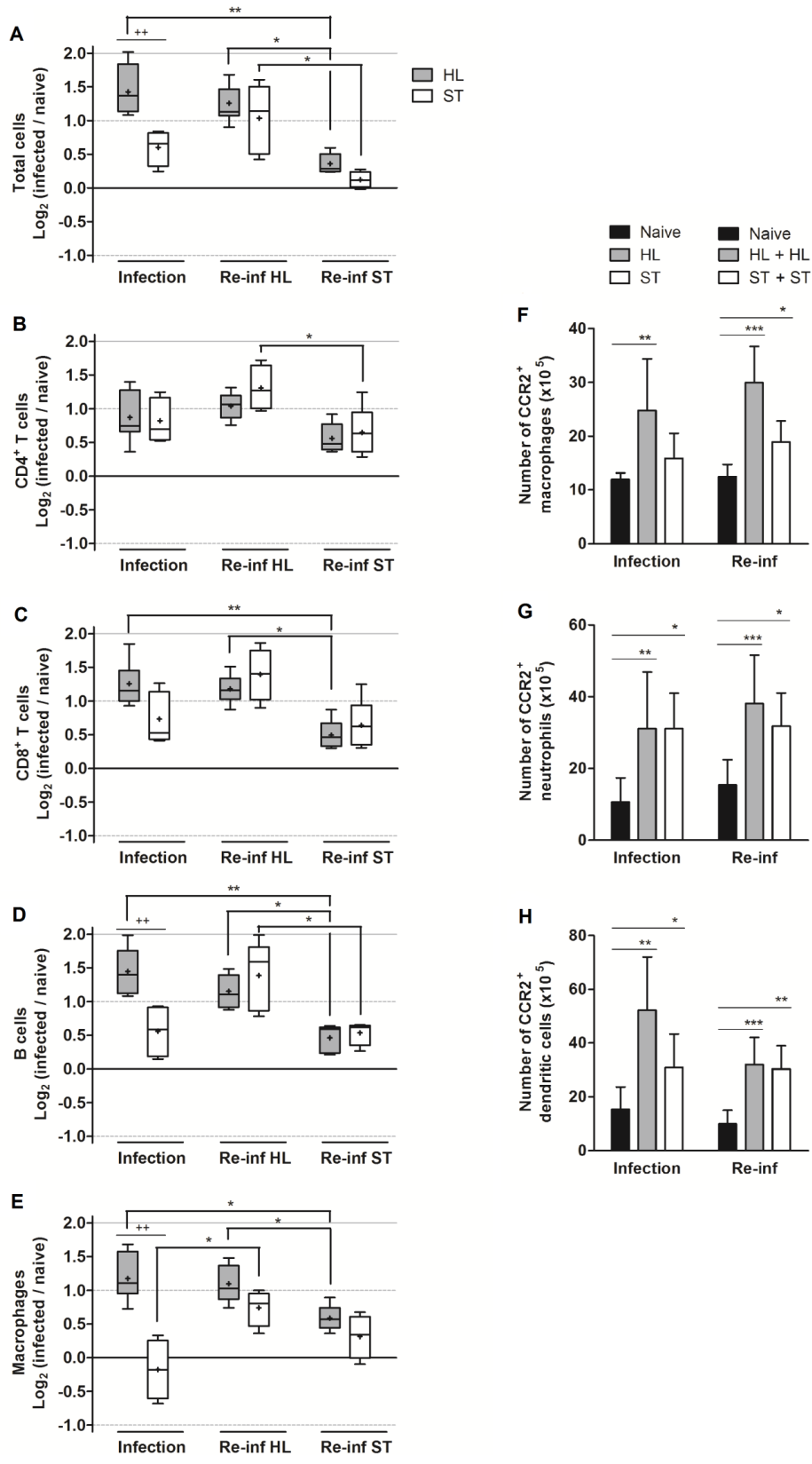
**Figure 1.** Parasite load after infection and challenge with *L. infantum* strains presenting different infectivities

Based on the data exposed above, in terms of parasitological analysis we established that the onset of pathology (set as hepatosplenomegaly (data not shown; see [136]) and high parasite loads) by an infective *L. infantum* strain confers a degree of protection over a re-infection episode which correlates with the infectivity of both the imprinting and the challenging strains that are inoculated in the host. Similar findings were reported previously, when a high-dose of *L. chagasi* promastigotes was required for the development of protection against re-infection, whereas a low-dose immunization either had no effect or slightly exacerbated disease [49].

### 3.3. Infectivity may influence downstream adaptive response-triggering events

To understand the immune response behind this protective phenotype, we analyzed the splenic populations and the T cells functionality. We observed that infection with HL produced a significant increase in the total cellularity and major leukocyte populations when compared to naïve animals, which was not noticed when mice were infected with ST strain (Figure 2A-E).





(A-E) After infection and consequent challenge with both HL and ST strains, splenocytes were recovered and surface-stained for identification of major leukocytes populations. (A) Total cells were counted and (B)  $\text{CD4}^+$  T cells ( $\text{CD3}^+\text{CD4}^+$ ), (C)  $\text{CD8}^+$  T cells ( $\text{CD3}^+\text{CD8}^+$ ), (D) B cells ( $\text{CD19}^+$ ) and (E) macrophages ( $\text{CD11b}^+\text{Ly6C}^+$ ) were evaluated by

flow cytometry in a FACSCanto (BD Biosciences). Cell numbers from infected mice were normalized with respective values from age-matched naïve mice and results are presented as log<sub>2</sub> of the fold change relative to naïve animals, with dashed and solid lines indicating 2- and 4-fold difference. Boxes and whiskers with 5-95 percentile and mean (showed with +) of 5 to 9 animals of one experiment representative of two independent. Mann-Whitney test was run to calculate statistically significant differences between mice infected with HL or ST and results are depicted with \*. Differences before and after challenge are indicated with \* for p<0.05 or \*\* for p<0.01 and were calculated with Kruskal-Wallis test followed by Dunn's multiple comparison test in GraphPad Prism 5 (GraphPad Software). **(F-H)** Number of **(F)** inflammatory macrophages (CD11b<sup>+</sup>Ly6C<sup>+</sup> CCR2<sup>+</sup>), **(G)** inflammatory neutrophils (CD11b<sup>+</sup>Ly6G<sup>+</sup>CCR2<sup>+</sup>) and **(H)** activated dendritic cells (CD11c<sup>+</sup>CCR2<sup>+</sup>) in infected mice before (Infection bars) and after homologous challenge (Re-inf bars). Bars represent means ± SD of 5 to 9 animals of one experiment representative of two independent. Statistically significant differences were calculated in GraphPad Prism 5 (GraphPad Software) with Mann-Whitney test between naïve and infected or challenged animals and show \* p<0.05, \*\* p<0.01 and \*\*\* p<0.001.

**Figure 2.** Splenic cellular populations after infection and challenge with highly and low infective *L. infantum* strains

Interestingly, when the animals were subjected to a secondary infection by HL, regardless of the infectivity of the imprinting strain, we detected the same increase in the number of splenocytes, while after challenge with ST there was no change in the cellularity.

Inflammatory macrophages/monocytes and neutrophils, besides its recognition as host cells [137, 138], have been implicated in the remodeling of the spleen during splenomegaly in leishmaniasis [139, 140], as well as in the modulation of the specific CD4<sup>+</sup> T cells response in late phases of infection, at least with *L. major* [141]. Infiltration of neutrophils [142], DCs [143] and macrophages [144] in inflamed tissues is tightly regulated by the CC chemokine receptor 2 (CCR2) that also participates in important processes related to anti-*Leishmania* defense [143, 144].

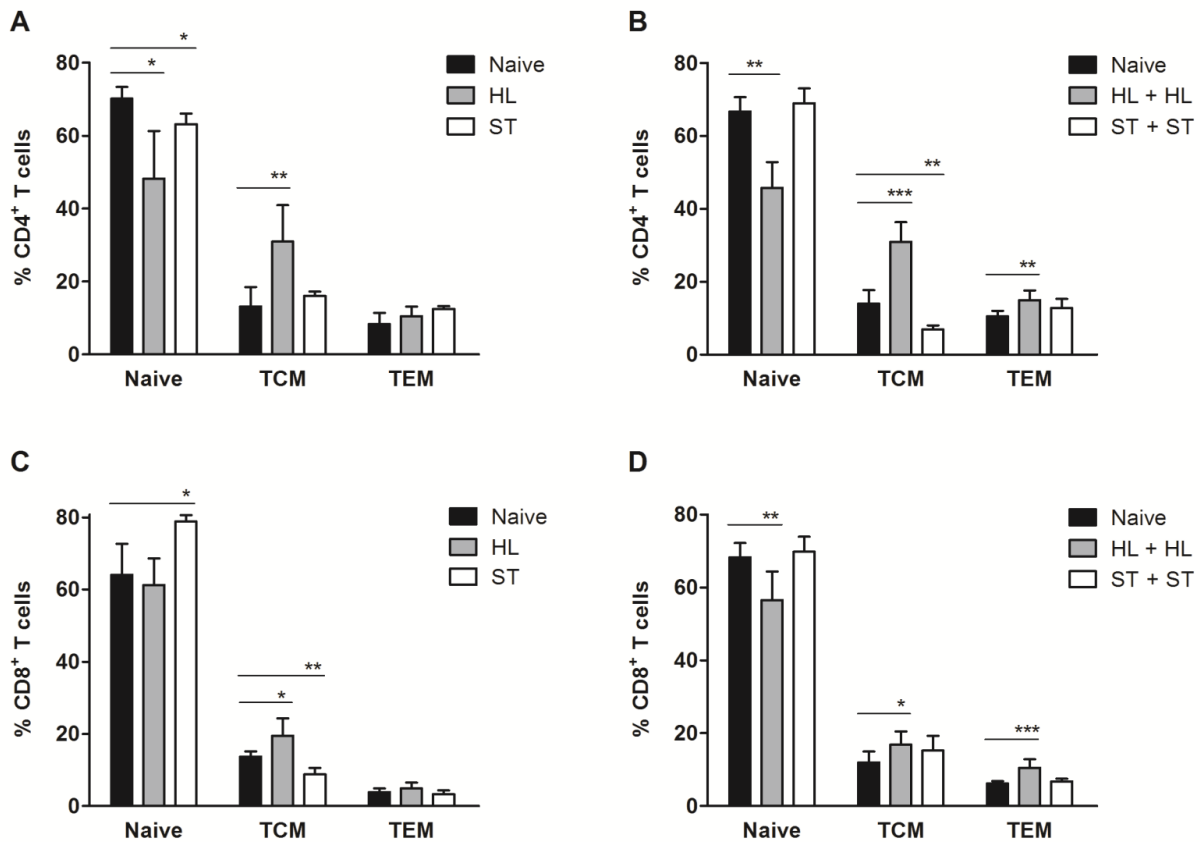
As these are the first cells that need to be committed, we determined the number of inflammatory macrophages, DCs and neutrophils by the expression of CCR2 (Figure 2F-H). Infection and challenge with HL led to the significant increase of these inflammatory cells in the spleen. Similarly, infection with ST also significantly increased the inflammatory DCs and neutrophils, but only with a second wave of parasites the CCR2<sup>+</sup> macrophages arisen in numbers significantly higher than in uninfected animals. However, this difference in the number of CCR2<sup>+</sup> macrophages relates with the total macrophages present in the spleen, as the relative percentages were similar between HL and ST (data not shown). These CCR2<sup>+</sup> macrophages exert an important role in the defense against *Leishmania*, since it has been previously described that optimal parasite killing require the recruitment of CCR2<sup>+</sup> macrophages, followed by stimulation with combined monocyte chemotactic protein 1 (MCP-1) and IFN $\gamma$  [144].

Thus, monocyte and neutrophil activation showed no major differences between HL and ST strains, similarly to the findings of Meddeb-Garnaoui *et al.* that compared the cytokine profile of human monocytes infected with dermatropic and viscerotropic *L. infantum* strains which presented respectively high and low infectivity *in vitro* [145]. In their *in vitro* setup, no differences were found in the ability of those two strains in the modulation of monocyte-secreted cytokines [145], indicating that the infectivity of a *Leishmania* strain not always produces a direct effect on the innate immune response. Nonetheless, *in vivo*, where other factors that influence macrophage function are present, the effect of the infectivity was not evaluated. We hypothesize that despite monocyte and neutrophil activation were similar, HL- and ST-activated cells should present divergent efficiencies when triggering the adaptive

immune response, which may be indicative of intrinsic characteristics of the strains in modulating downstream events.

### 3.4. Highly infective *L. infantum* triggers memory and effector CD4<sup>+</sup> and CD8<sup>+</sup> T cells

We have studied the generation of CD4<sup>+</sup> and CD8<sup>+</sup> memory T cells 6 weeks post-infection and upon challenge with the same strain by the surface expression of CD44 and CD62L (Figure 3).



(A, B) CD4<sup>+</sup> and (C, D) CD8<sup>+</sup> T cells were analyzed by flow cytometry in a FACSCanto (BD Biosciences) according to their surface expression of CD44 and CD62L. Naïve (CD44<sup>lo</sup>CD62L<sup>lo</sup>), central memory (TCM; CD44<sup>hi</sup>CD62L<sup>lo</sup>) and effector memory (TEM; CD44<sup>hi</sup>CD62L<sup>hi</sup>) subpopulations were quantified before (A, C) and after (B, D) challenge. Bars show means  $\pm$  SD of 5 to 9 animals of one experiment representative of two independent and statistically significant differences between naïve and infected mice are depicted with \* for  $p < 0.05$ , as calculated by two-tailed Mann Whitney test run in GraphPad Prism 5 (GraphPad Software).

**Figure 3.** T cell memory repertoire of mice subjected to infection and homologous re-infection with HL and ST *L. infantum* strains

HL infection potentiated the expansion of central memory CD8<sup>+</sup> (Figure 3C, TCM bars) and especially CD4<sup>+</sup> T cells (Figure 3A, TCM bars) that doubled in percentage compared to uninfected mice. These memory populations are probably an important factor in the control of the parasite load in the spleen, as presented before (Figure 1A), when the animals were subjected to re-infection. Memory cells constitute a source of experienced-antigen cells that are

able to rapidly respond to face a similar challenge. While TEM cells display protective effector functions, TCM are thought to replenish the TEM pool [146].

In fact, after challenge with HL, both CD4<sup>+</sup> (Figure 3B) and CD8<sup>+</sup> (Figure 3D) TCM pools remained high and TEM cells also significantly increased compared to naïve mice. Moreover, taking into account that the total numbers of T lymphocytes in the infected animals were significantly increased in relation to naïve mice (Figure 2B and C), the number of memory (CD44<sup>hi</sup>) T cells was even more expressive in the spleens of those HL re-infected animals. On the contrary, ST strain showed no potential in clonal expansion of memory populations or at least in their high number maintenance in order to bring advantage upon re-infection. Neither in the imprinting infection nor after challenge could we detect CD4<sup>+</sup> or CD8<sup>+</sup> central or effector memory T cells in a percentage higher than that of the naïve animals. The decrease in the CD8<sup>+</sup> TCM cells 6 weeks after ST infection (Figure 3C) was considered not to have any biological meaning since, when adjusted to total number of cells, both naïve and infected mice have similar amounts of that subpopulation.

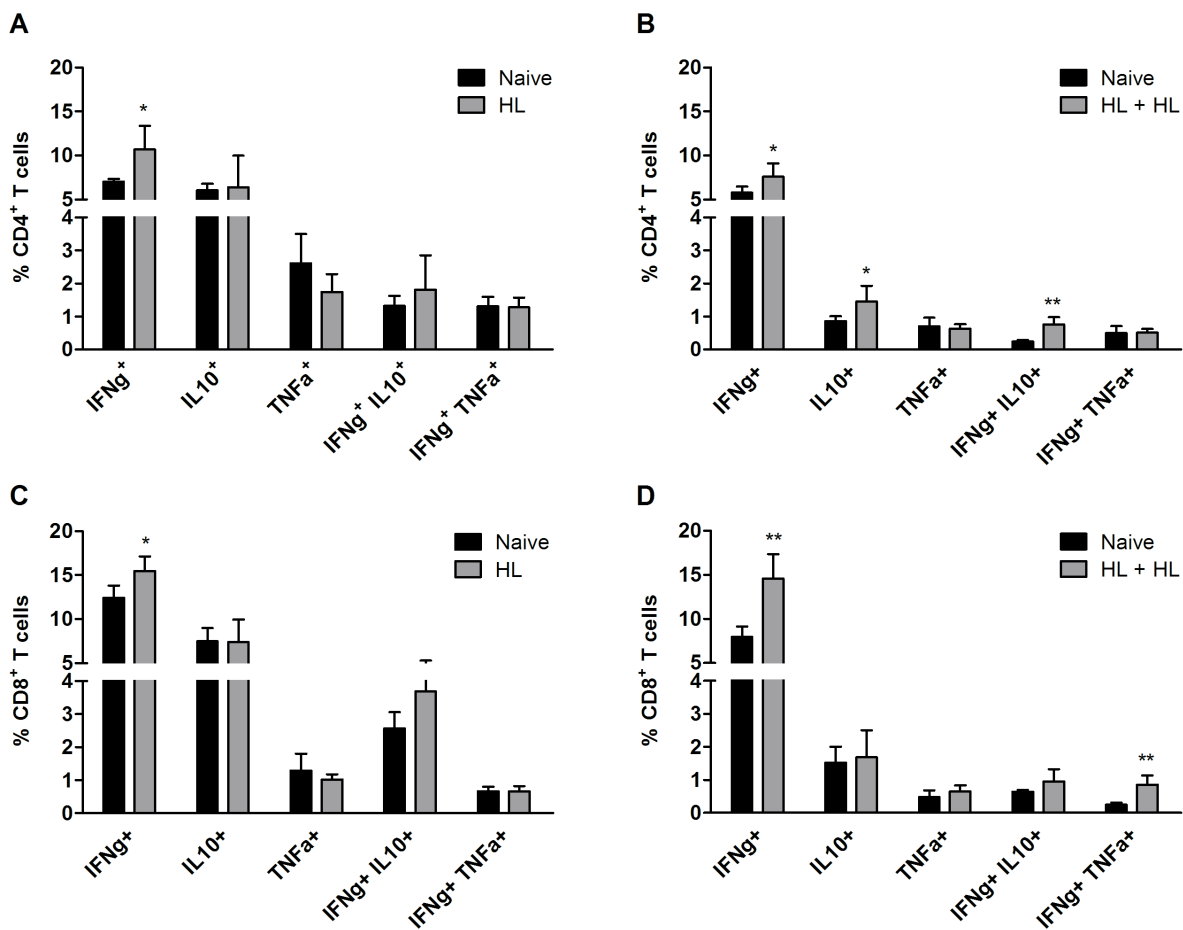
From the data exposed, we justified the partial protection that a primary infection with HL *L. infantum* strain can generate upon an homologous re-infection. This strain has the ability to activate the innate defenders (DCs, macrophages and neutrophils) for mobilization to the spleen where they can drive an effective generation and expansion of memory CD4<sup>+</sup> and CD8<sup>+</sup> T cell subsets.

### 3.5. Double producers CD4<sup>+</sup>IFN $\gamma$ <sup>+</sup>IL-10<sup>+</sup> and CD8<sup>+</sup>IFN $\gamma$ <sup>+</sup>TNF $\alpha$ <sup>+</sup> T cells arise after re-infection

To appreciate the mechanisms underlying the protection observed after re-infection with a highly infective strain, we analyzed the magnitude of the developed T cell response in infected and re-infected mice with HL strain. After infection, we detected high levels of IFN $\gamma$ -producing CD4<sup>+</sup> and CD8<sup>+</sup> T cells (Figures 4A and C, respectively). This finding was suspected after having noticed the massive cellular infiltrate of leukocytes in the spleen (Figure 2) and also the existence of approximately 15 % of effector memory lymphocytes (combined CD4<sup>+</sup> and CD8<sup>+</sup>) that classically secrete this cytokine [147]. Upon re-infection (Figures 4B and D), however, a more interesting panel of effector cells has emerged. Along with the same IFN $\gamma$ <sup>+</sup> cells, detected in both CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes, we identified IL-10<sup>+</sup> in ~1.5 % and IFN $\gamma$ <sup>+</sup>IL-10<sup>+</sup> double producers in ~0.75 % of the CD4<sup>+</sup> T cells, which represent an increment of ~1.7 and ~3.1, respectively, compared to uninfected animals.

CD4<sup>+</sup>T-bet<sup>+</sup>IFN $\gamma$ <sup>+</sup>IL-10<sup>+</sup> cells were recently described by us and others upon infection of BALB/c mice with *L. infantum* [148] or *L. donovani* [149]. This Th1 population is driven by CD4<sup>+</sup> T cells activation by the infected DCs and leads to an unprotective phenotype that accentuates the infection. However, a protective role was previously attributed to CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup>IFN $\gamma$ <sup>+</sup>IL-10<sup>+</sup> cells in a vaccination study with *L. donovani* LdCen1<sup>-/-</sup> [57] and in a non-healing model of CL with *L. major* [150], which were claimed to arise after a strong inflammatory stimulus as a feedback control of Th1 responses to avoid tissue damage.

In CD8<sup>+</sup> T cells, conversely, cytokine double producing cells were found for IFN $\gamma$ <sup>+</sup>TNF $\alpha$ <sup>+</sup>, in a representation of ~0.86 %, meaning an increase of ~3.4 fold compared to naïve mice. IFN $\gamma$  and



IFN $\gamma$ , IL-10 and TNF $\alpha$  production was analyzed by flow cytometry in CD4 $^+$  (A, B) and CD8 $^+$  (C, D) lymphocytes. Splenocytes were stimulated *ex-vivo* with phorbol 12-myristate 13-acetate (PMA), ionomycin and brefeldin A, stained for surface and intracellular molecules and analyzed in a FACSCanto flow cytometer (BD Biosciences). Cytokine single and double producers in each lymphocyte population are depicted from naïve, infected (A, C) or challenged (B, D) mice. Bars represent means  $\pm$  SD of 4 to 9 animals of one experiment with statistically significant differences between naïve and infected mice indicated with \* when  $p < 0.05$ , as calculated by two-tailed Mann Whitney test run in GraphPad Prism 5 (GraphPad Software).

**Figure 4.** Intracellular cytokines of CD4 $^+$  and CD8 $^+$  lymphocytes of HL infected and re-infected animals

TNF $\alpha$  concomitant production by Th1 and CD8 $^+$  T cells has for long proven to be more efficient in the killing of *L. major* [151, 152] and other unrelated microorganisms (*e.g.* *Mycobacterium tuberculosis* [153]) than the production of IFN $\gamma$  or TNF $\alpha$  alone. More recently, IFN $\gamma$  $^+$ TNF $\alpha$  $^+$  high quality CD4 $^+$  and CD8 $^+$  T cells were described to be generated after several vaccination protocols against *L. major* and correlate with prognosis of protection much better than IFN $\gamma$  single producers [154]. Moreover, those double producers CD4 $^+$  T cells, which can also be IL-2 $^+$ , were determined to belong to the central memory subset, providing long-term protection [154, 155]. As for CD8 $^+$ IFN $\gamma$  $^+$ TNF $\alpha$  $^+$  T cells, they were described to have enhanced cytolytic activity compared to IFN $\gamma$  $^+$  single producer cells in HIV-infected patients [156]. However, in our study, we could not detect any difference in the cytotoxicity mediated by CD8 $^+$  T cells from

HL infected and challenged mice compared to that from naïve animals (data not shown), which may indicate that cytolytic activity of those cells was not required in the containment of the parasites in the spleen or, instead, the persistence of the splenic parasite load is due to an incomplete effector function of the CD8<sup>+</sup> T cells.

### 3.6. Conclusions

Taken together, our results show that HL *L. infantum* strain promotes a robust activation of the immune system upon infection initiated by a strong recruitment of leukocytes to the spleen which stimulates the development of an effective adaptive response. This is a mixed response as considered by the detection of single producers IFN $\gamma$ <sup>+</sup> and IL-10<sup>+</sup> CD4<sup>+</sup> T cells that become more evident when the antigen is re-loaded (*i. e.* re-infection). CD8<sup>+</sup> T cells also exert their effector function by the production of IFN $\gamma$ . After re-infection, double producers CD8<sup>+</sup>IFN $\gamma$ <sup>+</sup>TNF $\alpha$ <sup>+</sup> and CD4<sup>+</sup>IFN $\gamma$ <sup>+</sup>IL-10<sup>+</sup> T cells arise, probably from the expansion of the central and effector memory subsets, to contain the parasites that colonized the spleen and to efficiently resolve the infection in the liver and bone marrow, controlling tissue damage by IL-10 production. To confirm this hypothesis, adoptive transfer of these memory cells produced after re-infection with our highly infective *L. infantum* strain could be performed to evaluate the protective phenotype of such pools of CD4<sup>+</sup> and CD8<sup>+</sup> T cells in naïve animals challenged with a subsequent *L. infantum* infection.

Taking the fact that HL is a dermatropic strain that caused CL in a human patient, its tropism is possibly justified by the inflammatory potential of the strain that impedes a silent entry into the host. A protective response may immediately be mounted in the skin, abrogating any chance of the parasite to reach internal organs and visceralize [157]. Concerning the ST strain, an agent of human VL, the initial activation of the innate immune system does not translate into efficient adaptive immunity as no memory cells were detected. With this, a primary infection does not serve as imprinting, since a re-infection with the same strain led to the increase of the parasite load in the spleen and liver.

With this work we contributed to the better understanding of the complex modulation that *Leishmania* parasites do to surmount the protective strategies developed by the host's immune system. Much of the knowledge acquired so far by the scientific community was based on *L. major*-infection models that have a clear Th1/Th2 dichotomy on protection/progression of the disease, and more studies with VL models are needed to clarify the intriguing modulation that viscerotropic *Leishmania* strains provide to take advantage of their host.

## 4. Final remarks

Leishmaniasis is a tropical neglected disease that urgently needs control measures, as vaccination, since nowadays the global population is at risk. As some vaccines are available for ZVL, the discovery of an effective human vaccine for VL is near. Choosing the right antigen coupled with the appropriate adjuvant for the formulation is crucial to have an effective vaccine, but immunogenicity sometimes countervail safety and complicates the scenario.

Effective immunization requires the presentation of the antigen by proper APCs to mount a strong immune response and develop immunological memory, as well as it entails antigen persistence. As described previously, live vaccines produce more robust immune responses than dead parasites or defined protein or peptides but they represent an important health risk, mainly in immunosuppressed people. Furthermore, the immune response developed against live *Leishmania infantum* strains that display differences in infectivity is also unique and characteristic of each strain, being infectivity related with a stronger induction of an immune response, as showed by our experimental data.

In this chapter, we have updated the main aspects to consider when a vaccination study against *Leishmania* is planned. We aimed to show that vaccination is an effective way, and hopefully a soon reality, to prevent the spread of leishmaniasis, limiting the outcome of the disease and avoiding the parasite transmission. While successful research is close, many efforts are still needed for achieving an efficient human vaccine for leishmaniasis accessible to everyone in need.

## 5. Abbreviations

**ALM** autoclaved *Leishmania major*

**APCs** antigen presenting cells

**CL** cutaneous leishmaniasis

**IL** interleukin

**MCL** mucocutaneous leishmaniasis

**MPL** purified derivative of the monophosphoryl lipid A

**NO** nitric oxide

**PAMP** pathogen-associated molecular pattern

**PBMCs** peripheral blood mononuclear cells

**SE** squalene-based oil-in-water stable emulsion

**TCM** central memory T cell

**TCR** T cell receptor

**TEM** effector memory T cell

**TLR** Toll-like receptor

**VL** visceral leishmaniasis

**ZVL** zoonotic visceral leishmaniasis

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