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# Simulated Annealing: A Novel Application of Image Processing in the Wood Area

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## 1. Introduction

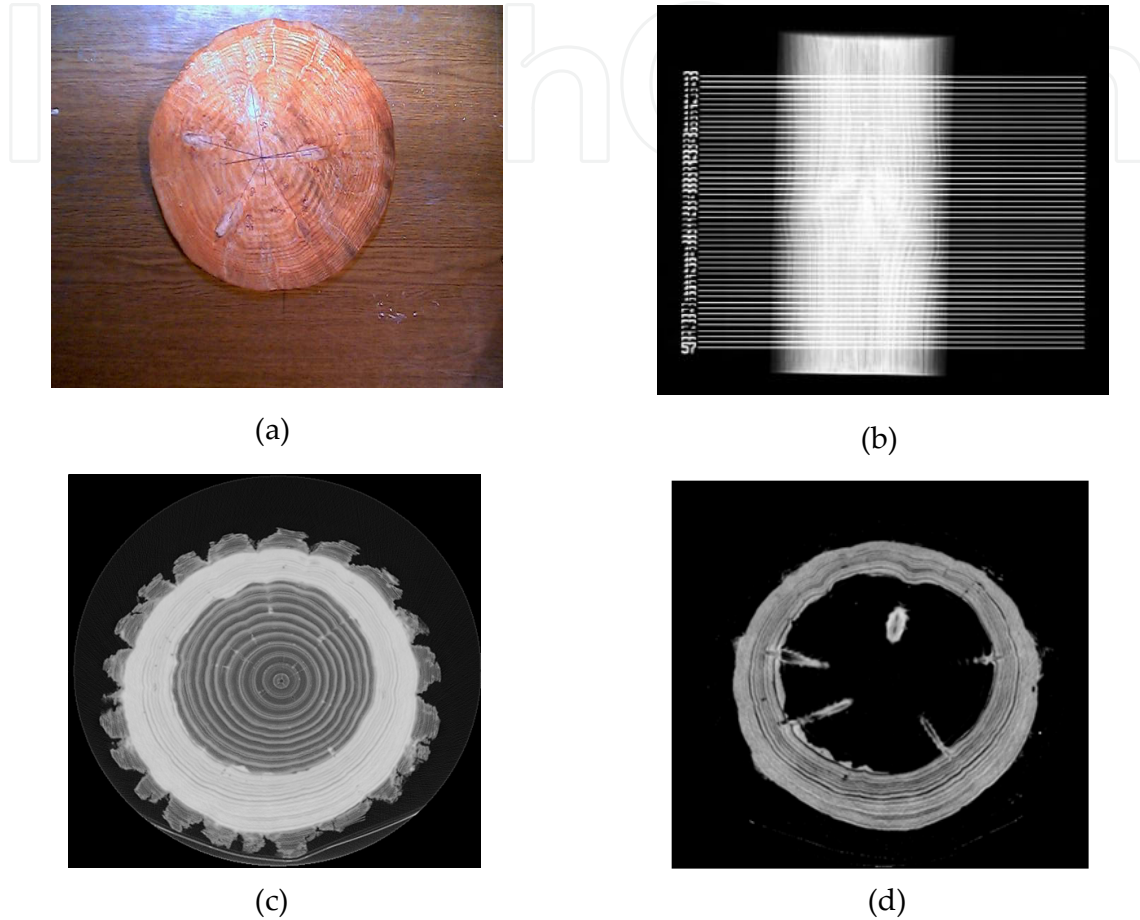
Material's internal structure knowledge is highly relevant to improve quality indexes [1]. For example, the exact information of the internal structure of a wood log or lumber such as density and internal defects is an important economic advantage (see [2]). Internal characteristics of materials have been studied with different non-destructive techniques, including ultrasound [3], microwaves [4-7,8], gamma rays, X-rays, nuclear magnetic resonance and, lately, artificial vision techniques [9]. X-rays have been used to examine the internal characteristics of many materials [10-13].

In the wood industry, some applications have been found that allow the recognition of different types of defects of the raw material [14,15]. Methods for measuring moisture [16-18] have also been developed. Some works have been presented related to knot detection [19,20]. Recently, several approaches have been proposed in the literature along these lines of research; for example in the detection of wood defects [21,22], and more specifically to detect tree-ring knots in wood [23]. However, automatic defect recognition in the manufacture process is ever more necessary and fundamental.

An X-ray computerized tomography reflects variations on the density of an object or body. X-ray sensors reveal the shape of a log below the bark, allowing the detection of macroscopic and microscopic aspects. The latter favors its application in the wood industry, for example Figure 1 shows images of X-ray tomography on wood log. In these illustrations can be clearly seen internal constitutions such as knots and knotty cylinder: a) wood log, b) X-ray computed tomography, c) and d) images of two cuts.

The current work is focused on the visual inspection of wood in order to automatically detect classical defects such as knots and knotty cylinders. The proposed approach is based on the use of simulated annealing in deformable contours and X-ray tomography. The

remainder of the manuscript is organized as follow. Firstly, the deformable contour technique, which is used to represent detected defect, is presented. Then, Section 3 introduces the simulated annealing-based optimization that is used to obtain an accurate description of wood's defect. Experimental results and discussions are given in Section 4. Finally, conclusions and future works are provided in Section 5.



**Figure 1.** X-ray tomography process of wood log.

## 2. The deformable contour

A deformable contour or snake [24] is a parametric curve of the type:

$$\mathbf{u}(s) = (x(s), y(s)) \quad (1)$$

where  $x(s)$  and  $y(s)$  are coordinates along the contour and  $s \in [0, 1]$ . These contours are influenced by internal and external forces and forces typically related to the gradient of the intensity of the image, mathematically:

$$E_{snake} = \int_0^1 [E_{int}(u(s)) + E_{ext}(u(s)) + E_{img}(u(s))] ds \quad (2)$$

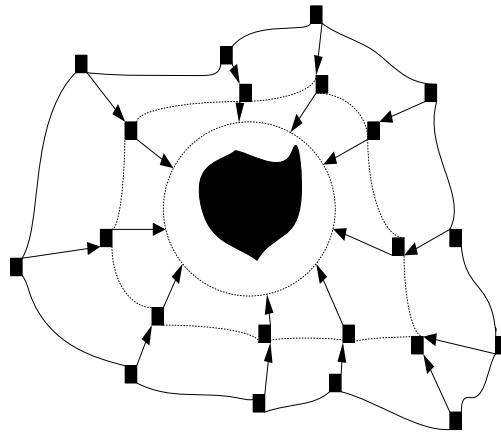
where:

$$E_{int}(x) = \underbrace{\alpha(s)}_{Tension} |x_s(s)|^2 + \underbrace{\beta(s)}_{Stiffness} |x_{ss}(s)|^2$$

$E_{ext}(s)$ , = shape energy measurement of external constraints either from higher level shape information or user applied energy.

$$E_{img} = -\alpha \|\nabla I\|^2$$

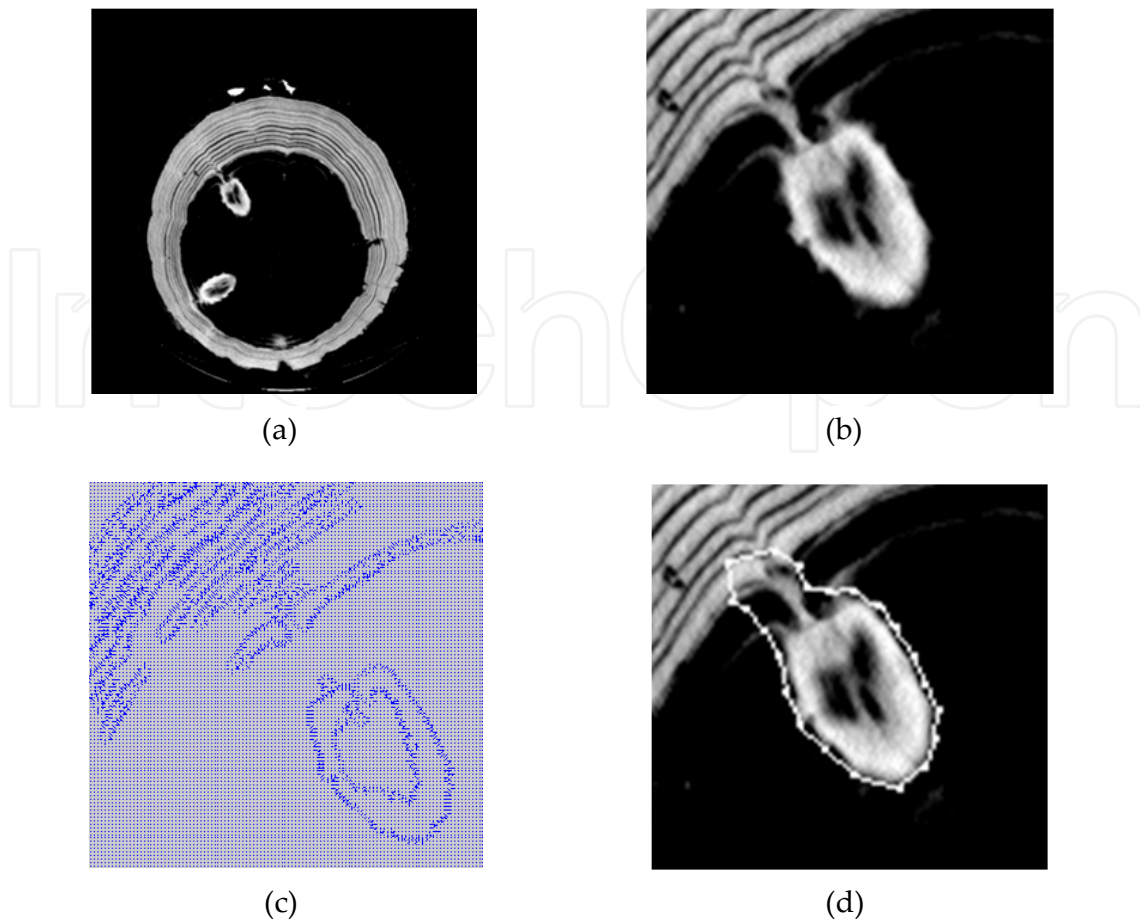
The internal energy,  $E_{int}$ , with alpha and beta parameters, controls the tension and rigidity of the contour;  $E_{ext}$  represents measures or external considerations with respect to the shape of the contour; and  $E_{img}$  is the force related to the gradient of the image given a Gaussian-type convolution filter. The contour is initially located near the object to be segmented in the image. Then, the attraction and repulsion forces, generated by internal, external image forces, deform the contour until surrounds the object; thereby isolating the object with respect to the overall image. Minimizing the energy equation allows the final solution of the contour (see Fig. 2).



**Figure 2.** Evolution of a deformable contour.

Figure 3 presents a tomographic image of a log; Fig. 3(a) shows the tomographic image itself, in which two knots, and the appearance of a third knot, can clearly be seen at the bottom of the image; Fig. 3(b) shows the enlarged sector of the image corresponding to a particular knot. Figure 3(c) represents the gradient of the image; the most intense points represent the greatest values of the gradient. These forces guide the contour around the knot in order to completely isolate it, as shown in Fig. 3(d). One of the problems to confront is to identify, given the energy function and initial contour, the location of each new point of the contour. This can be done with different search algorithms.

The deformable contour presented in Fig. 3(d) capture the knot's shape present in the image but also some inaccuracies due to noisy data. Actually, these inaccuracies can be due to noise or distracter points; both points distort the contour by attracting or repulsing it (Fig. 4). This is the case of tomography X-ray images in which other objects or variation in object density (e.g., other knots or water saturation in wood pieces) artificially loses the form of the objects to detect, provoking by this influence, and a large error in segmentation.

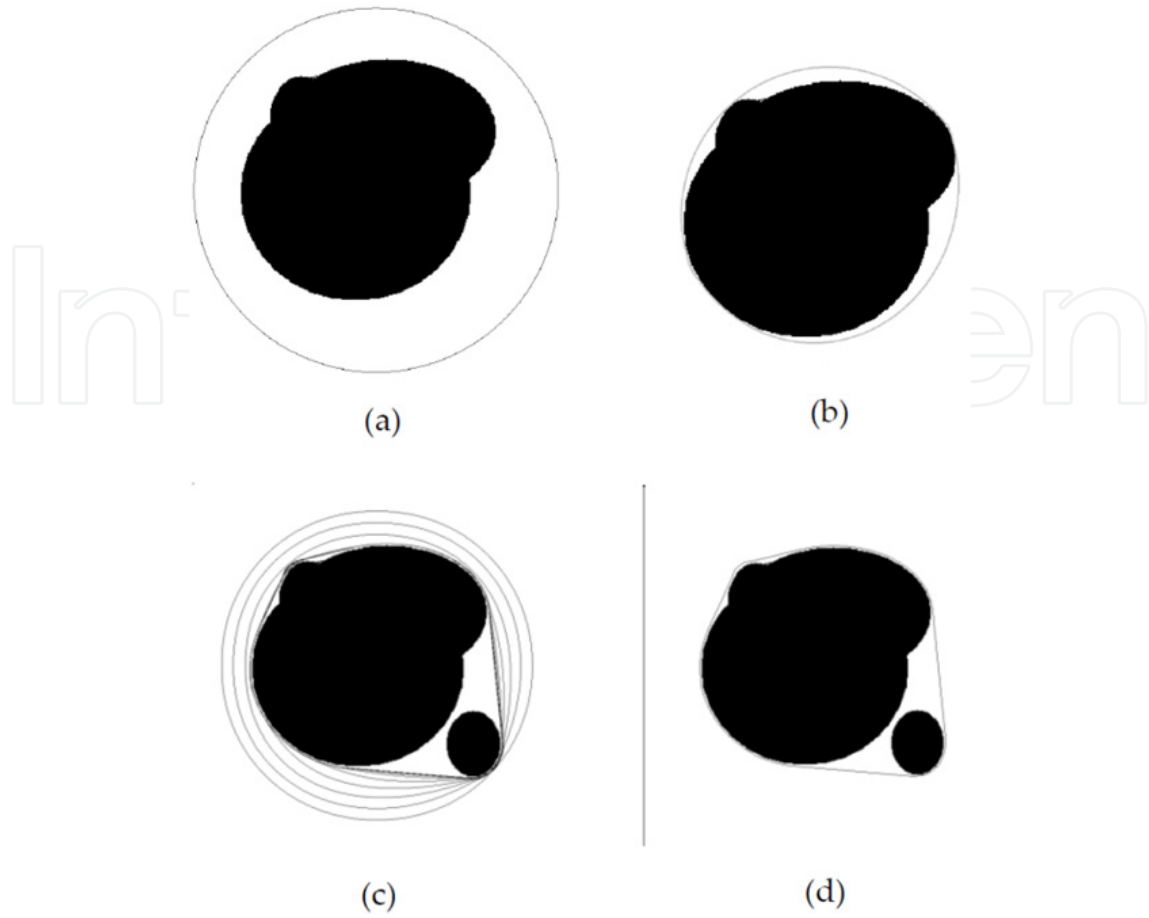


**Figure 3.** (a) Tomographic image; (b) knot (enlargement); (c) gradient of the image; (d) segmentation through a deformable contour.

For example, a tomography image with distinct singularities or objects can be appreciated in Fig. 5. In a) and b), the tomography with low humidity is presented, involving very clear and easy-to-segment images; however, in c) and d) an image with a very high humidity, can be appreciated. Since it is not homogeneously distributed, the object to be segmented can be deformed.

Several techniques have been employed to improve these aspects, like characteristic extraction techniques and improvements in the energy function. However, when there is a prior-knowledge about the objects to detect, such as in the case of well-defined objects and with known forms and characteristics, this information can be incorporated into the energy function. Some approaches, like Deformable Templates, use templates defined a priori and transform the deformation problem to a template adjustment problem. However, even though this produces good results, it suffers from the rigidity due to the templates.

Besides incorporating more information in the energy function, for the case of images of nodes, can significantly improve the quality of final contours. Hence, the final segmentation can be improved by using methods that allow the contour freely explore neighborhood areas in the stage of evolution. The latter makes the simulated annealing, an ideal candidate for solving this type of problems.



**Figure 4.** Deformable contour and distracter points; (a) original contour; (b) final contour; (c) original contour with distracter point; and (d) final contour with distracter point.

### 3. Simulated Annealing

Simulated annealing (SA) is a stochastic optimization technique introduced by Kirkpatrick [25]. This algorithm begins by selecting an initial solution and later generating a new state, randomly generating a new solution in the neighbourhood of the current solution; this is called a neighbour solution. This new state is evaluated and compared with the previous solution. If the solution from the new state is better than the previous one, it is accepted; but if it is not, it is accepted or rejected with some probability. The probability of accepting a new state is given by:

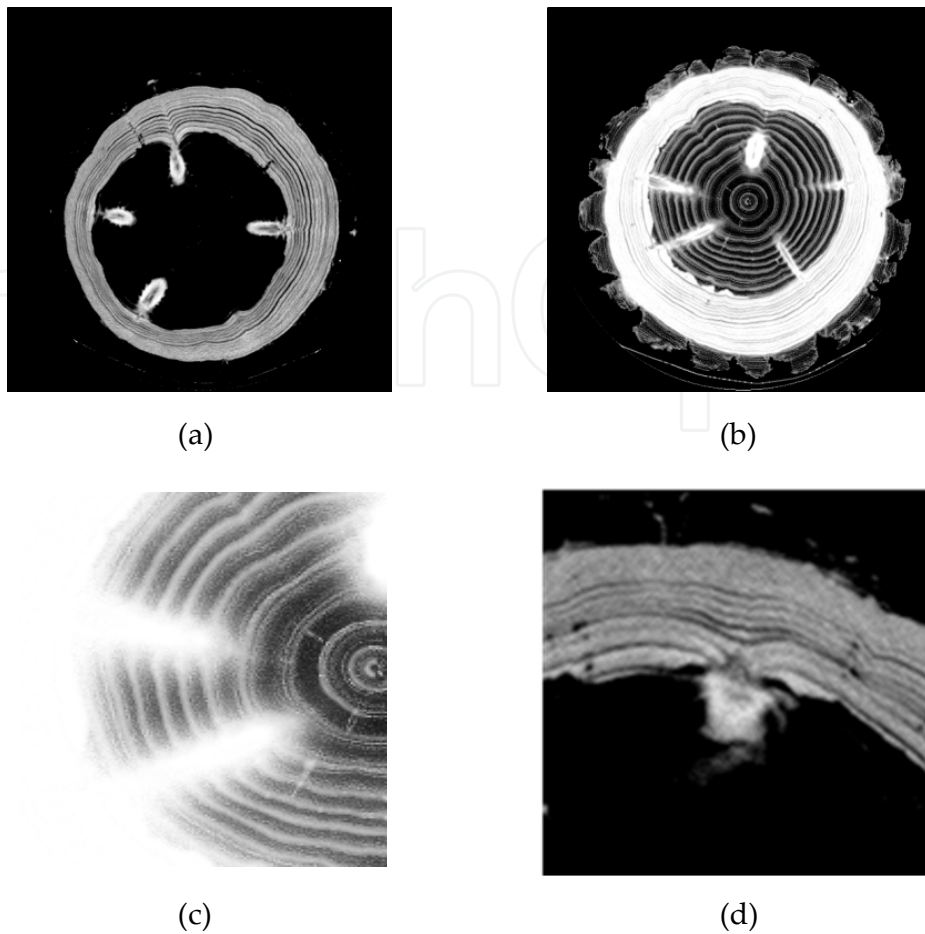
$$e^{-\Delta E/T} > R \tag{3}$$

with:  $\Delta E$  : Difference between the present and the candidate solutions

T: Temperature

R: Random uniform number between [0,1]

$\Delta E$  reflects the change in the objective function and  $T$  is the current temperature. The way how the temperature decreases along the algorithm is commonly known as the Cooling Schedule and several types of cooling can be found in the literature as well as stopping criterion of the algorithm.



**Figure 5.** X-ray tomography of de wood log.

Several implementations and variations of SA can be found in the literature: Threshold Accepting (TA), [26]; Boltzmann Annealing (BA), [27]; Simulated Quenching (SQ), [27]; Fast annealing (FA), [27] among others. The latest approaches, SQ and FA, are mainly focused on speeding up the searching for the right solution, which is one of the main drawbacks of BA.

In the current work a classical simulated annealing algorithm is used. A Boltzmann distribution (BA) is assumed and consequently, a logarithmic cooling schedule is used:  $T(k) = T_0 / \ln k$ . It should be noticed that since the searching space of our application is not too big, the slowness problem of the method is not relevant. Figure 6 presents the pseudo-code of the algorithm used in the current work.

The ability to accept poor solutions early in the evolution of a deformable contour is a powerful tool to explore complex areas of the image and get better final solutions. Then, SA provides a method of local evolution of each of the points of a contour, allowing the objects in a target to be more accurately represented.

For the case of the images obtained by X-ray computed tomography applied to wood, unlike the steepest descent method that provides a global search method, there are other methods that are based on a local view to find a global minimum. In the current work we propose to use a method based on SA, which is based on a local view, and in this case, local portions of

```

% c: cost function
% j : new solutions
% T(k): Temperature

while (non-stop condition)
  while(all point snake)
    while (k,a conditions) % Iterations (k) and acceptance (a)
      new j
      if (c(j) - c(i) < 0)
        i = j
        a = a + 1
      else
        new r (pseudo-random)
        if (r < exp [(c(i) - c(j))/T])
          i = j
          a = a + 1
        end if
      end if
      k = k + 1
    end while
  end while
  delete_add_vertex() % delete or add vertex with dmin and dmax parameters
  T(k) = T0/ln(k) % Cooling Schedule
end while

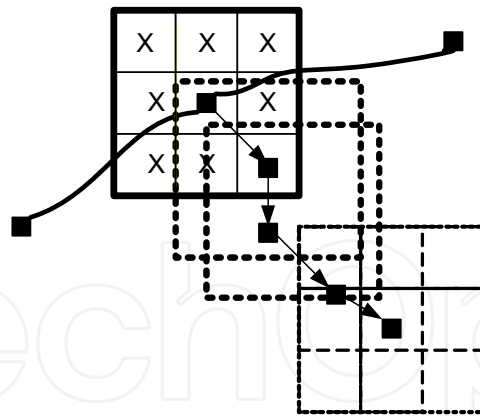
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**Figure 6.** Simulated Annealing Algorithm used in the current work

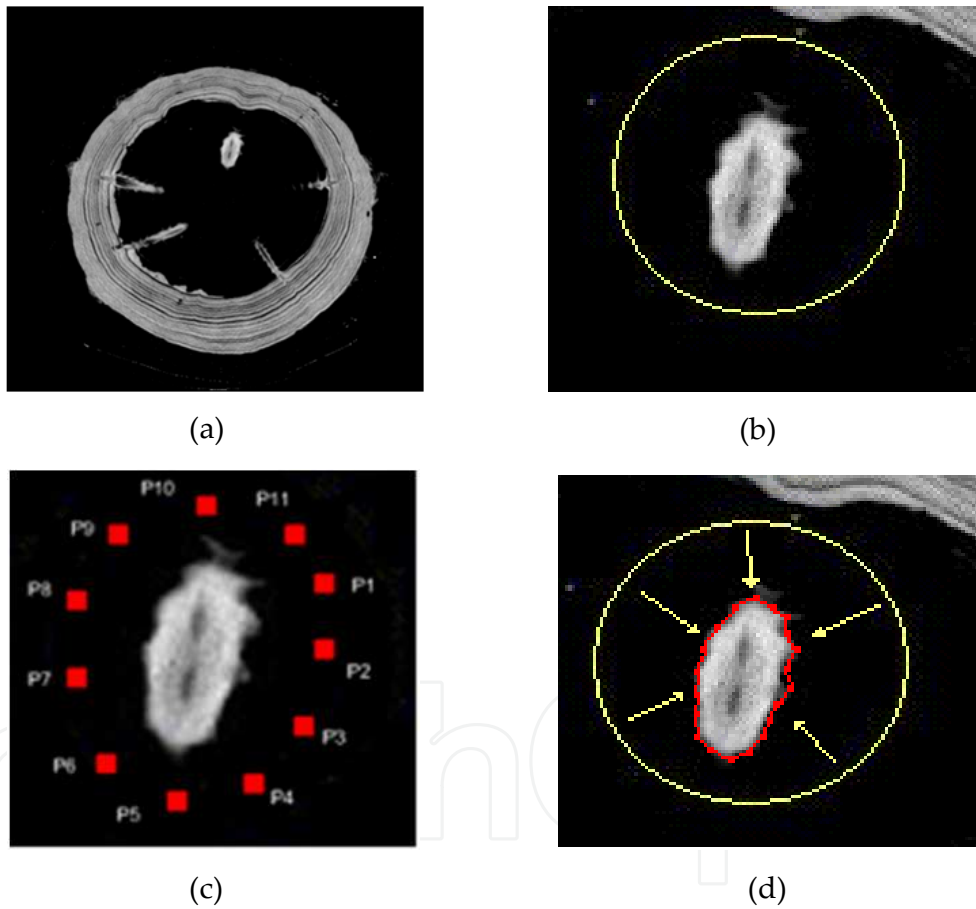
the contour, to find a global minimum of the energy function. SA explores the possibilities in a local environment of the contour and it evolves in the direction of minimum energy. The method initially considers the generation of a contour in the 2D image space by using a given number of vertices. A neighborhood patch of  $n \times n$  pixels is created for every pixel in the contour. Then, in that neighborhood a *new candidate vertex* is selected by evaluating it according to the energy function; the acceptance of this new vertex will depend on criteria such as the number of iterations, number of acceptances and the probability of “bad solution” acceptance according to the temperature. Additionally, a criterion for the elimination and creation of new vertices is used. This criterion is based on the distance between the vertices in the contour and allows a homogeneous distribution through the whole contour. Once all the vertices in the contour have been checked for a given temperature  $T_i$  (Fig. 6) the criterion for elimination and creation is applied. A vertex is eliminated in case the distance to its neighbor is smaller than a minimum distance ( $d_{min}$ ). Similarly, a vertex is created in case the distance between two vertices is higher than a maximum allowed distance ( $d_{max}$ ). Figure 7 shows an example where a neighborhood of  $3 \times 3$  pixels is considered

Figure 8 shows a deformable contour applied to a knot in a X-ray tomography, the contour near to the object to be segmented is attracted and deformed by the force of the image until the contour completely surrounds the object. The forces coming from the gradient of the images guide the contour until it is located around the object’s borders. In Fig. 8, we can see the evolution of the contour for the segmentation of knots in *Pinus radiata* wood. We can see that this method can be strongly influenced by other objects in the image, or by points of intensities not related to the object, producing a poor segmentation of the defect.





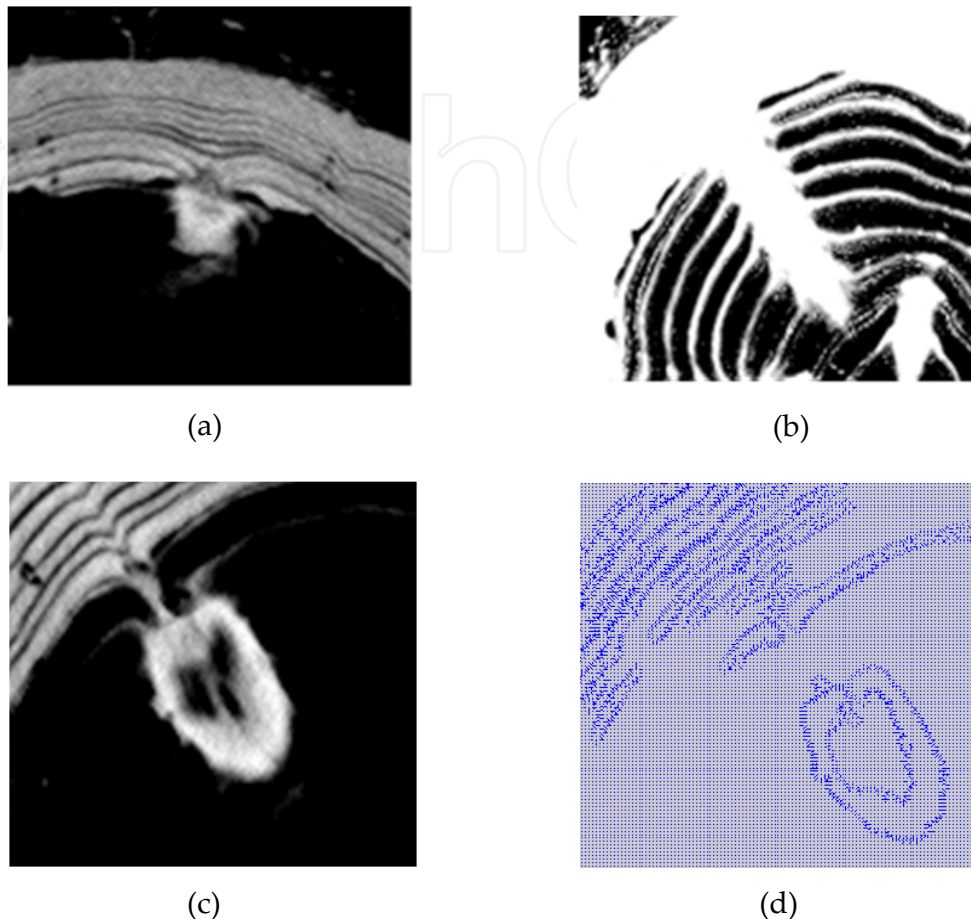
**Figure 7.** Illustration of a contour evolution for a neighborhood patch of 3x3 pixels. For every contour vertex a new candidate vertex is created and accepted according to a set of user defined parameters.



**Figure 8.** Evolution of a deformable contour: (a) initial image; (b) and (c) initial contour; (d) final contour.

In general, the tomography of logs show the existence of the distortions in the shape of the knots and forces of attraction in other regions of the contour not associated with the knot (in this case, growth rings) and in zones or points that influence the contour, thereby causing a poor final segmentation. Figure 9(a) and (b) present a tomographic image of a wood log; an enlargement of a knot is presented in Fig. 9(c); the corresponding gradient image is depicted in Fig. 9(d). Note that in Fig. 9(c), the most intense points represent the greatest values of the

gradient. These forces guide the contour around the knot in order to completely isolate it, but not all are related to force the knot. The latter is one of the problems to be properly solved by the segmentation methods.



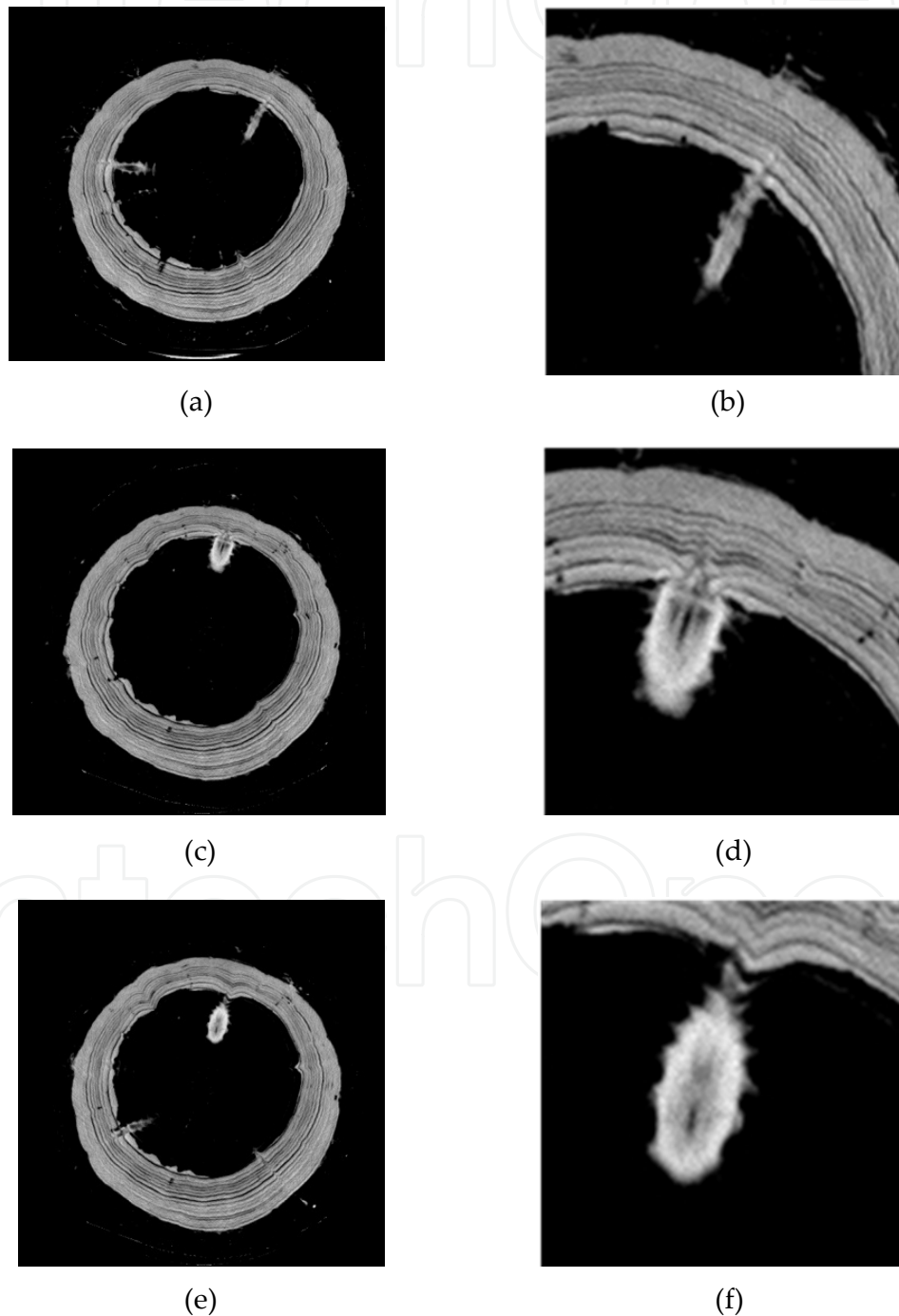
**Figure 9.** (a) and (b) Tomographic image of a knot, (c) knot (enlargement), (d) gradient of the image.

#### 4. Result and discussion

According to the above description and using the energy functions described in (2), we proceeded to analyse the images from the X-ray computerized topographies of logs with different characteristics. To carry out these experiments, we used discrete versions of the energy functions and a local optimization algorithm based on the greedy algorithm and another based on classic Boltzmann Annealing with  $T_0=1000$ . The tests were carried out with topographies of various logs using a medical scanner. In total, 90 images were analysed. The most relevant results are shown in the following figures.

Figure 10 shows a sequence of images revealing the evolution of the knots inside a log. On the left, we can see the images of the complete log and, on the right, an enlargement of the image corresponding to a single knot. Figure 11 shows the situation of a knot that appears to be an isolated object in the image: Fig. 11(a) shows the result of the segmentation using the greedy algorithm; and Fig. 11(b) presents the result using SA. In both cases, the segmentation gives good results; the contour becomes deformed around the knot, isolating

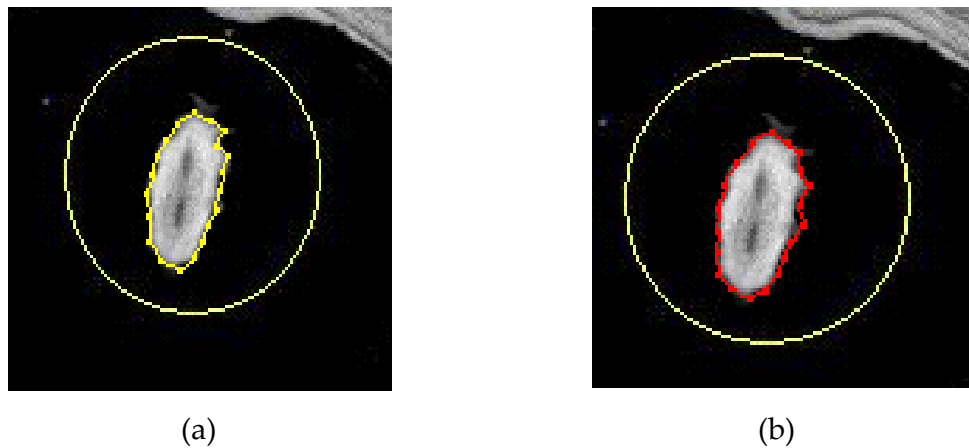
it completely. It should be noted here that, in this case, the knot in the image appears to be totally isolated and there are no objects that distort the contour deformation. Figure 12 presents the result of the segmentation in a case in which the knots are confused with the growth rings: Fig. 12(a) shows the result when the greedy algorithm is used; and Fig. 12(b) depicts the result with SA. In this case, a considerable difference can be appreciated between the two methods. The energy gradients generated by the image of the growth rings tend to move the contour away from the knot. However, with SA, due to its random exploration characteristic, in general it tends to better segment the knot. In Fig. 13, the situation is more



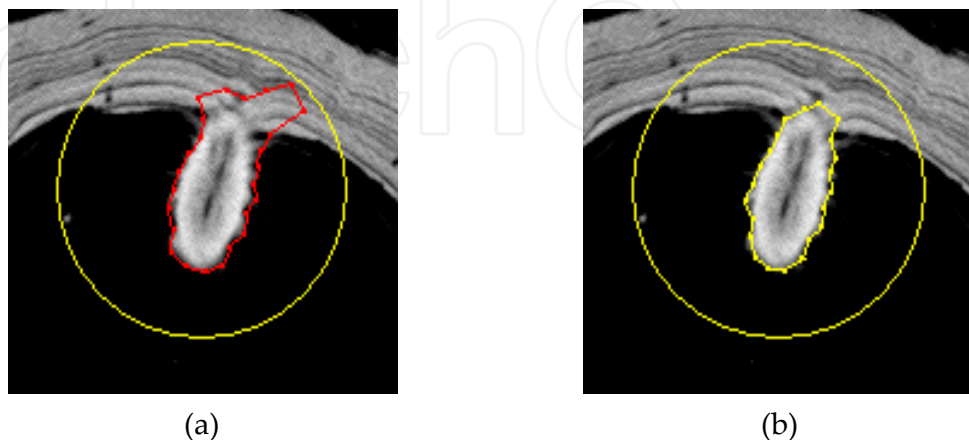
**Figure 10.** Tomography of a log; (left) transversal cuts; (right) enlarged image.

critical. In these images the knots are merged with the growth rings; in fact, the knot is only partially visible in the tomographic image, and it appears to be an object with different geometric characteristics than those visible in the previous images. Figure 13(a) shows the result of the evolution of the contour with the greedy algorithm; in this case the algorithm clearly does not surround the knot correctly and the result of the segmentation is extremely poor. Nonetheless, in Fig. 13(b), with SA and thanks to a wider exploration, the general result is perceptibly better.

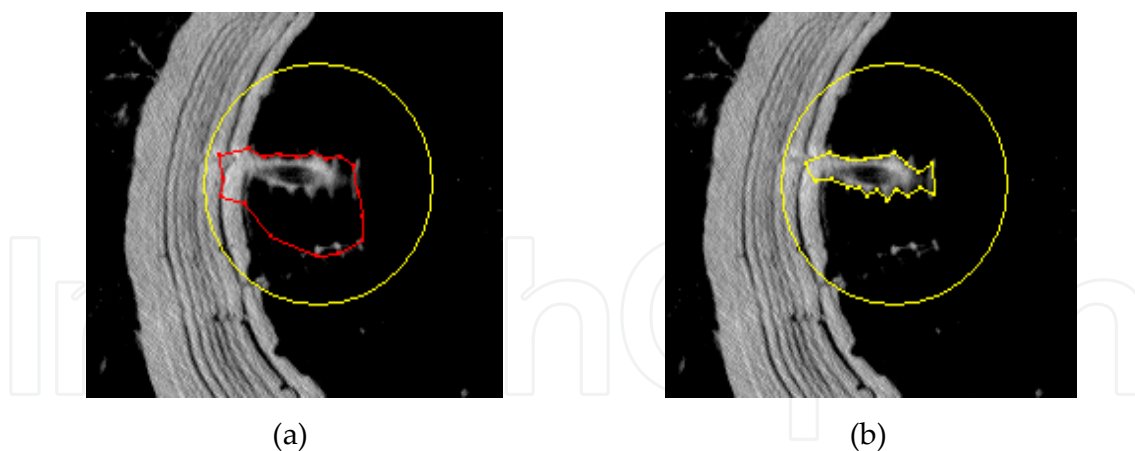
As we can see in the figures presented above, in some situations the images obtained by X-ray computerized topographies facilitate the segmentation. Nevertheless, in other situations, the segmentation is seriously complicated by confusion with other objects in the image. In particular, growth rings and other objects distract the contours. In such cases, a classical optimization algorithm such as the greedy algorithm does not provide good results, principally due to the tendency of local minima. However, SA provides a more robust method for presenting better results in these situations.



**Figure 11.** Evolution of an initial contour around an isolated knot; (a) greedy algorithm; (b) simulated annealing algorithm.



**Figure 12.** Evolution of an initial contour around a knot with interference from growth rings; (a) greedy algorithm; (b) simulated annealing algorithm.



**Figure 13.** Evolution of an initial contour around a knot with interference from growth rings; (a) greedy algorithm; (b) simulated annealing algorithm

## 5. Conclusions

From the experimental results presented above, we can conclude that the proposed method is a very good alternative for knot segmentation. The use of SA allows much more precise segmentation of knots with greater irregularities in the images. Nonetheless, the initial position of the deformable contour is of vital importance for a good identification. We can also use the results obtained to infer that both excessive humidity content in the logs and growth rings present inconveniences. The latter presents a great challenge since the knot shows up in the images obtained with X-rays both in isolation and confused with other objects. In the second case, additional information, for example morphological information on the knots, can be incorporated into the contour's energy function that describes the force of the image, offering a very good alternative. The cases examined correspond to segmentation by X-ray computerized tomography for logs having distortions mainly due to their storage; this causes the density of the material to increase, which then has an effect on the images. This case is of great interest for the wood industry. However, this technique can also be used in other situations such as the study of other defects in logs. The authors are currently developing 3D techniques and a way to reduce the method's computerization costs that was not considered in this first study.

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