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# Gastrointestinal Neuroendocrine Tumors

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## 1. Introduction

Gastrointestinal carcinoid tumors date back to 1888 when Otto Lubarsch found multiple tumors in the distal ileum of two patients at autopsy (Lubarsch, 1888). The German pathologist Siegfried Oberndorfer was the first who used the term “Karzinoide Tumoren”, the English translation of which is “carcinoid tumor”. He coined this term because these tumors behaved less aggressively than true carcinomas (Oberndorfer, 1907). Oberndorfer contributed to the Department of Pathology of Istanbul University where he remained full professor and director until his death in 1944 (Dogan & Hot, 2010; Klöppel et al., 2007). In 1914, Gosset and Masson first mentioned neuroendocrine features of carcinoid tumors. In 1954, Thorson and co-workers described the term “carcinoid syndrome” after they found that the tumors contained serotonin and patients with small intestinal carcinoid tumor and liver metastases presented with the characteristic symptoms of diarrhea, flushing, asthma, and right heart failure (Thorson et al., 1954). It was soon recognized as a distinct entity after they were reported in several organs belonging to bronchopulmonary system and gastrointestinal tract (Yalcin, 2006). Although better named as “neuroendocrine tumors” after the WHO meeting in 2000, these tumors have been traditionally called “carcinoids” especially at some specific locations such as the gastrointestinal tract. Therefore, the terms “carcinoid tumor” and “neuroendocrine tumor” are used interchangeably throughout the text.

## 2. Epidemiology

Although it might not reflect the true incidence due to their indolent nature, the overall incidence of carcinoid tumors in the United States has been estimated to be 1 to 2 cases per 100,000 people (Godwin, 1975; Modlin & Sandor, 1997). Yao and co-workers reviewed 35,825 neuroendocrine tumors (NETs) compiled from 1973 to 2004 (Yao et al., 2008). According to this review, 41% was foregut NETs, 26% midgut NETs, and 19% hindgut NETs. In the remaining 13%, the primary tumor site was unknown or could not be classified. These figures were similar to our institution’s patient cohort (Yildiz et al., 2009). In Sweden, combined with the autopsy series the incidence has been calculated to be 8.4 cases per 100,000 people. Based on the data from the End Results Group (1950-1969) and the Third National Cancer Survey (1969-1971) the most common site of carcinoid tumors was appendix followed by the rectum, ileum, lung and bronchi, and stomach (Godwin, 1975). Pancreatic endocrine tumors are relatively less frequent and account for 2% of malignant

tumors of the gastrointestinal tract. Recent data obtained from the National Cancer Institute Surveillance, Epidemiology, and End Results program (1973 to 1997) showed that, of the 11,427 cases analyzed, the overall incidence rates for carcinoid tumors have increased significantly over the past 25 years, although rates for some sites have decreased (e.g., appendix). The gastrointestinal tract accounted for 54.5% of the tumors. Within the gastrointestinal tract, the small intestine was the most common site (44.7%), followed by the rectum (19.6%), appendix (16.7%), colon (10.6%), and stomach (7.2%). The average age was 60.9 years, and 54.2% were female. The 5-year survival rates for the most common gastrointestinal sites were 75.1% for stomach, 76.1% for small intestine, 76.3% for appendix, and 87.5% for rectum (Maggard et al., 2004).

### 3. Pathology and classification

Carcinoid tumors are thought to arise primarily from the neuroendocrine cells of the lung and the gastrointestinal tract. In the past, these cells were called "Kulchitsky cells" or "enterochromaffin cells" due to the affinity for soluble silver salts, hence the term argentaffinomas. Although not prognostically useful, historically, carcinoid tumors have been classified according to their embryologic derivation within the primitive gut (Williams & Sandler, 1963): Foregut, midgut, and hindgut carcinoids. Foregut carcinoids include intrathoracic (thymic and bronchial), gastric, esophageal, upper duodenal, and pancreatic carcinoids. They usually produce low levels of serotonin, 5-hydroxytryptophan (5-HTP), histamine, or adrenocorticotrophic hormone. Midgut carcinoids include carcinoids of distal duodenum, jejunum, ileum, appendix, proximal colon, liver, ovary, or testes. These tumors have the propensity of producing serotonin at high levels. Finally, hindgut carcinoids include carcinoids of distal colon and rectum. They less likely produce serotonin but may produce somatostatin, peptide YY, 5-HTP, or other hormones (Table 1).

Useful immunohistochemical markers in modern pathology include neuron-specific enolase (NSE), synaptophysin and chromogranin A (CgA) which is also a secretory product of the carcinoid cells used to monitor disease activity (Table 2). On gross examination, carcinoid tumors are small yellow nodules located submucosally. When they invade the serosa, an intense desmoplastic reaction occurs that may lead to intestinal kinking and obstruction.

The WHO 1980 classification had taken into account the histologic features apart from the site of origin (Bosman et al., 2010; table 3). According to this revised system, well-differentiated neuroendocrine tumors are typical tumors that show characteristic growth pattern and benign behavior. They are indolent tumors, confined to mucosa or submucosa, and less than 1 to 2 cm in diameter.

In the WHO 2000 classification, features such as differentiation and proliferation were retained, but location, tumor size, tumor extent, and angioinvasion were transferred into the TNM (Tumor, Node, Metastasis) staging classification. The WHO 2010 classification states that all GEP-NETs are potentially malignant, but differ in their ability of metastasis (Rindi et al., 2010). Well-differentiated NETs are classified together as neuroendocrine tumors (NETs) G1 or G2. NET G1 is analogous with carcinoid. The term neuroendocrine carcinoma (NEC), unlike NET, refers to all poorly differentiated NETs. NEC is further subdivided into a small-cell and a large-cell variant. In respect of proliferation, all NECs are actively proliferating G3 tumors. Mixed adenoneuroendocrine carcinomas (MANEC) and hyperplastic and preneoplastic lesions are special groups. This classification is complemented by GEP-NET-

specific TNM classifications and a grading system, which improves prognostic and treatment stratification (Schott et al., 2011).

Secretory products of carcinoid tumors	Frequency (%)
<i>Amines</i>	
5-Hydroxytryptamine	-
5-Hydroxyindoleacetic acid	88
5-Hydroxytryptophan	-
5-Hydroxyindoleacetaldehyde	-
Histamine	-
Dopamine	-
<i>Tachykinins</i>	-
Kallikrein	-
Substance P	32
Neuropeptide K	66
<i>Peptides</i>	
Pancreatic polypeptide	43
Chromogranins	100
Neurotensin	41
Human chorionic gonadotrophin-alpha	28
Human chorionic gonadotropin-beta	12
Motilin	14
Pancreastatin	-
<i>Other</i>	
Prostaglandins	-

(O'Dorisio, 2011; Vinik et al., 2008; Norheim et al., 1987; Feldmann & O'Dorisio 1986; Eriksson et al., 1990)

Table 1. Secretory products and known frequency of carcinoid tumors

Foregut	Midgut	Hindgut
<i>Argyrophilic</i>	<i>Argentaffin-positive</i>	<i>Argyrophilic</i>
CgA-positive	CgA-positive	CgA-positive
NSE-positive	NSE-positive	NSE-positive
Synaptophysin-positive	Synaptophysin-positive	Synaptophysin-positive
		SVP-2-positive

CgA: Chromogranin A; SVP-2: Seminal vesicle protein-2; NSE: neuron specific enolase

Table 2. Immunostaining of carcinoid tumors regarding their embryonic origin

Well-differentiated endocrine tumors may exhibit uncertain behavior. They may be angioinvasive. They may also produce serotonin and enteroglucagon. Another subset is well-differentiated endocrine carcinomas once termed "atypical" or "anaplastic". They are subdivided into low-grade and high-grade malignant carcinoids. Low-grade malignant carcinoids are deeply invasive (muscularis propria or beyond) and serotonin-producing

when metastatic disease develops. High-grade malignant carcinoids, on the other hand, are small to intermediate carcinomas. Appendiceal and rectal carcinoids are rarely malignant, whereas ileal, type III gastric, and colonic ones are frequently malignant. Size is also a predictor of the malignant potential of the carcinoid tumor. More than 66% of carcinoids greater than 2 cm exhibit distant spread when first diagnosed (Capella et al., 1995).

	WHO 1980	WHO 2000	WHO 2010
I.	Carcinoid	1. Well-differentiated differentiated endocrine tumor (WDET)	1. Neuroendocrine tumor G1 (Carcinoid)
		2. Well-differentiated endocrine carcinoma (WDEC)	2. NET G2
		3. Poorly differentiated (small-cell) endocrine carcinoma (PDEC)	3. Small or large-cell neuroendocrine carcinoma G3 (NEC)
II.	Mucocarcinoid	4. Mixed endocrine-exocrine carcinoma (MEEC)	5. Mixed adenoneuroendocrine carcinoma
III.	Mixed carcinoid-adenocarcinoma		
IV.	Pseudotumorous lesion	6. Tumor-like lesions (TLL)	7. Hyperplastic and preneoplastic lesion

Table 3. Comparison of the WHO classifications of gastroenteropancreatic neuroendocrine tumors. Bosman FT, et al., WHO classification of tumors of the digestive system. Lyon, France: IARC Press; 2010.

#### 4. Clinical chemistry

Clinically functioning carcinoid tumors produce typical syndromes according to the specific circulating peptide. One of the best-characterized of these peptides is serotonin (5-HT). It is synthesized from 5-hydroxytryptophan (5-HTP) and metabolized to 5-hydroxyindoleacetic acid (5-HIAA) which is excreted in the urine. Measurement of 5-HIAA in the urine is an important tumor marker in the carcinoid syndrome, especially in midgut carcinoids. Recently, measurements of serotonin in platelets have been recommended for the detection of carcinoid tumors that secrete only small amounts of serotonin and/or its precursor 5-hydroxytryptophan (Kema et al., 2000). Tumors originating from foregut carcinoids (lung, pancreas, and stomach) may have relatively low levels of 5-HTP decarboxylase. 5-HTP, 5-HT, and 5-HIAA are excreted in the urine.

#### 5. Clinical features of individual carcinoids

##### 5.1 Foregut carcinoids

For the sake of completeness we will discuss thymic and pulmonary carcinoids in the following sections.

### 5.1.1 Thymic carcinoids

Thymic carcinoids are rare and may be part of multiple endocrine neoplasia type 1 (MEN1). The tumor is usually non-functioning and occurs as an anterior mediastinal mass. The mass may be partly calcified and may cause superior vena cava obstruction. Extension into the pleura, pericardium, great vessels, or regional lymph nodes is commonly seen. Functioning thymic carcinoids usually secrete adrenocorticotrophic hormone (ACTH), which may lead to Cushing's syndrome. In these cases bilateral adrenal hyperplasia may also be seen. Other hormones include corticotrophin-releasing hormone, growth hormone-releasing hormone (GHRH) and 5-HT. Carcinoid syndrome has been described with a multidirectional carcinoma of the thymus with neuroendocrine and sarcomatous components. (Paties et al., 1991)

### 5.1.2 Pulmonary carcinoids

Pulmonary carcinoid tumors comprise about 2% of primary lung tumors. They are believed to arise from neuroendocrine Kulchitsky's cells of the proximal bronchial mucosa, and bronchopulmonary tract is the second common site of carcinoid tumors after the gastrointestinal system. They have been classified into three groups, according to their malignant potential: Benign or classical bronchial carcinoid, low-grade malignant or atypical carcinoid, and high-grade malignant or poorly differentiated carcinoma of the large cell or small cell type.

Neuroendocrine tumors of the lung can also be divided into 5 groups: Tumorlet, diffuse idiopathic pulmonary neuroendocrine cell hyperplasia (DIPNECH) (Nassar et al., 2011), carcinoid tumor, atypical carcinoid tumor, and small cell carcinoma of the lung. Typical carcinoids of the lung present in the fifth decade of life and are more common in females. These tumors are not related to smoking. Neuroendocrine manifestations are relatively uncommon. Common symptoms are cough, hemoptysis, wheezing, and chest pain (Table 4). Recurrent pneumonia can occur due to obstructing lesions. Cushing's syndrome and acromegaly may be seen due to the secretion of ACTH and GHRH, respectively. The prognosis is excellent for classical bronchial carcinoids. Diagnostic tools for pulmonary carcinoids are generally non-specific. Bronchoscopic biopsy should be used to obtain diagnostic tissue, despite the feared complication of hemorrhage.

Carcinoid tumors	Clinical features
<i>Foregut</i> Bronchial carcinoids Gastric carcinoids	Cough, hemoptysis, wheezing, chest pain, pneumonia Usually found incidentally
<i>Midgut</i> Small intestinal carcinoids Appendiceal carcinoids	Bowel obstruction Nonspecific abdominal pain, carcinoid syndrome Usually found incidentally
<i>Hindgut</i> Rectal carcinoids	Bleeding, pain, constipation, carcinoid syndrome

Table 4. Clinical features of neuroendocrine tumors



Treatment should not be radical unless there is lymph node metastasis. Options include lobectomy, segmentectomy, and bronchotomy with tumor enucleation. Curative or palliative metastasectomies are worth trying since they grow slowly. Carcinoid crisis should be prevented with octreotide administration preoperatively. When chemotherapy is indicated in some patients, 5-fluorouracil and streptozotocin or cisplatin and etoposide are most commonly used regimens. Other drugs used in metastatic pulmonary carcinoids are octreotide, <sup>131</sup>I-MIBG, and interferon alpha. Stage-by-stage, the outcome is worse for patients with atypical carcinoids than for those with typical carcinoids (Kaplan et al., 2003).

### 5.1.3 Gastric carcinoids

Gastric carcinoids are rare neoplasms of the stomach comprising less than 1% of gastric tumors and 8.7% of all gastrointestinal neuroendocrine tumors (Godwin, 1975; Modlin et al., 1997) (Table 4). The incidence is reported to be 1.2 and 1.8/1,000,000 persons/year in white males and females, respectively. Three types have been described: Those associated with chronic atrophic gastritis type A (CAG-A or type I), those associated with Zollinger-Ellison syndrome (type II), and sporadic gastric carcinoid tumors (type III). The first 2 groups of carcinoids are associated with hypergastrinemia. CAG-A associated carcinoids are the most common type (70-80% of cases). The typical patient is a woman in her sixties with pernicious anemia, hypochlorhydria, and hypergastrinemia. High levels of gastrin may mediate the hyperplasia of enterochromaffin-like (ECL) cells in the gastric mucosa and ultimately, carcinoid tumors may develop. They are small indolent, non-functioning tumors and located multifocally in the body or fundus, which are diagnosed incidentally (Rindi et al., 1993; Gough et al., 1994; Moses et al., 1986). Tumors less than 1 cm have been successfully resected and followed endoscopically (Ahlman et al., 1994; Sjoblom et al., 1993). Gastric carcinoids associated with the Zollinger-Ellison syndrome (ZES) almost always occur in patients with multiple endocrine neoplasia type 1 (MEN1 or Wermer's syndrome) suggesting a genetic predisposition. Five percent of gastric carcinoids are of type II. The treatment and prognosis are similar to those of CAG-A associated carcinoids. Within this group, there is also a non-MEN1 associated sporadic ZES which rarely leads to gastric carcinoid tumor development. Interestingly, 99% of them had ECL hyperplasia and abnormal alpha-human chorionic gonadotropin (a-hCG) staining. Sporadic gastric carcinoids (type III), in contrast, are not associated with hypergastrinemia and follow an aggressive clinical course. In addition to being solitary, they are usually more than 1 cm in size and often metastatic at the time of diagnosis. When feasible, radical gastrectomy is the treatment of choice.

## 5.2 Midgut carcinoids

### 5.2.1 Small intestinal carcinoids

Small bowel is not only the most frequent site of carcinoid tumors (including metastatic carcinoids), but also carcinoids are the commonest primary malignant tumor of the distal small intestine. Their frequency has recently surpassed adenocarcinoma (44% versus 33%) (Bilimoria et al., 2009). More than one third of the small bowel tumors are diagnosed as carcinoids and of all gastrointestinal carcinoids; 42% arise in the small bowel of which the ileum represents the most common localization followed by the duodenum and the jejunum. The annual incidence rate is 0,28 per 100,000. Patients usually present in 6th or 7th

decade of life with mechanical obstruction or vague abdominal pain, sometimes for several years before the diagnosis perhaps due to their submucosal location. These tumors are thought to originate from serotonin-producing intraepithelial endocrine cells and they tend to have high serotonin content. They are multicentric and the most frequent location is the distal ileum. Lymph node and liver metastasis are common but carcinoid syndrome is seen only in 5 to 7% of the patients (Burke et al., 1997; Bilimoria et al., 2009). Metastases tend to occur in liver, bone and lung. Unlike appendiceal carcinoids, smaller tumors have also been found to have metastasized. Nevertheless, tumors measuring 2 cm in diameter have almost always been proved to have distant spreading.

Based on patients, registered in the Swedish Cancer Registry, with small intestinal carcinoids diagnosed from 1960 to 2000 in the duodenum (n= 89) and jejunum/ileum (n=2437), the overall 5-, 10-, and 15 year survivals were, respectively, 60, 46, and 28% for duodenal tumors and 56, 36, and 23% for jejunal/ileal tumors (Zar et al., 2004).

### 5.2.2 Appendiceal carcinoids

Carcinoid tumors are the most common cancers of the appendix (Moertel et al., 1968) originating from subepithelial endocrine cells (Lundqvist & Wilander, 1986; Shaw, 1990). They are detected most commonly after appendectomy performed for other reasons and they are found in 0.3-0.9% of patients undergoing appendectomy (Goede et al., 2003). Median age is 4th or 5th decade of life (Modlin & Sandor, 1997). This age range is partly explained by higher appendectomy rate in young adults. Less than 10% of appendiceal carcinoids cause symptoms due to the distal localization of the tumor (Moertel et al., 1987). However, they become symptomatic earlier than the carcinoids of other sites. This may also explain the relatively less frequent metastasis of appendiceal carcinoids regarding tumor size. On the other hand, the size of the tumor is the best predictor of outcome according to the Mayo Clinic series. The critical size has been found to be 2 cm. Therefore, right hemicolectomy is the treatment of choice for those tumors that are more than 2 cm in size as well as those located at the base of the appendix regardless of their size. Local desmoplasia is another indication of right hemicolectomy. Otherwise simple appendectomy suffices as there is no evidence of recurrence after a median follow-up of more than 25 years in the appropriate age group (Moertel et al., 1987).

## 5.3 Hindgut carcinoids

### 5.3.1 Colonic carcinoids

Less than 1% of colon cancers are carcinoids (Modlin IM, 1997). The usual presentation of colonic carcinoids in patients is bleeding, pain and obstruction (Table 3). In a study of 72 patients, the more common symptoms were pain, anorexia and weight loss (Rosenberg JM, 1985). Patients were usually at their 7th decade of life. The most common location was cecum. Midgut colonic carcinoids, namely proximal colonic ones, are believed to arise from serotonin-secreting epithelial endocrine cells, behave more aggressively and symptomatic tumors need to be approached radically. Fulguration should be avoided in colonic carcinoids due to a risk of perforation. As for prognosis, 5-year survival rate is 70% in patients with local disease; 44% and 20% in patients with regional metastases and distant spread, respectively.



### 5.3.2 Rectal carcinoids

Rectal carcinoids constitute 1 to 2% of all rectal cancers and are the most common hindgut carcinoid. They occur most commonly in the 6th decade of life (Modlin & Sandor, 1997). Recent series have shown that they are relatively common (Matsui et al., 1993; Jemore et al., 1992). In one series between 1992 and 1999, they accounted for 27% of all gastrointestinal carcinoid tumors (Modlin et al., 2003). Unlike other carcinoids, they do not usually produce serotonin and therefore do not cause carcinoid syndrome despite extensive metastases. Symptomatic patients have rectal bleeding, pain, and constipation (Table 4) (Harpole et al., 1992; Smith, 1969). They are submucosally located and almost always diagnosed with endoscopic biopsies. Size is the major factor for metastasis. Tumors less than 1 cm in diameter has never been shown to have metastasized during a follow up of up to 25 years according to the Mayo Clinic experience. However, tumors more than 2 cm, which make up about 5%, behave aggressively. For patients with tumors between 1 cm and 2 cm, an individualized approach is appropriate considering age and comorbid conditions.

## 6. Carcinoid syndrome

The clinical presentation of the carcinoid tumors varies depending on localization, hormone production, and extent of disease. Midgut carcinoids often present with bowel obstruction or abdominal pain. Patients with rectal carcinoids often seek medical attention with bleeding. Lung carcinoids are found incidentally or they may secrete ACTH or CRH to present with Cushing's syndrome. Growth hormone-releasing hormone secreted by foregut carcinoids may cause acromegaly. Duodenal carcinoids may cause somatostatinoma syndrome. However, the most characteristic clinical feature of carcinoid tumors is carcinoid syndrome.

Carcinoid syndrome, which is almost always seen when the tumor has metastasized to the liver, is the third most common mode of presentation of carcinoid tumors after bowel obstruction and abdominal pain. A small proportion of midgut carcinoids without liver metastasis can still present with carcinoid syndrome suggesting direct liberation of the causative agents into the systemic circulation. Flushing and diarrhea are the most common components of carcinoid syndrome, which are seen up to 89% of the patients during their course of the disease. Flushing is characterized by a sudden onset, deep red or violaceous erythema of the upper body often associated with a feeling of warmth. It is the prominent symptom in midgut carcinoids, which is thought to be due to catecholamine induced kallikrein release. In bronchial carcinoids, the flushing is usually prolonged sometimes hours or days giving rise to permanent dilatation of facial veins and telangiectasia. Gastric carcinoids may cause a characteristic flush that is reddish in color and patchy in distribution reminiscent of an urticarial reaction, which may be inhibited by histamine antagonists (Adamson et al., 1969). Other manifestations include asthma, edema, right heart valvular lesions, the most common of which is tricuspid regurgitation, a loud sound of the pulmonic component of the 2<sup>nd</sup> heart sound, pellegra-like skin lesions, peptic ulcer, and arthralgia. Malignant carcinoid syndrome denotes patients with these manifestations combined with liver metastasis and elevated urinary 5-HIAA levels. Some patients may display only a few of the above signs. Usually, a full-blown carcinoid syndrome is seen in patients with extensive hepatic metastases. Some patients may have hepatic metastases with minimal symptoms and they generally look well. Ovarian and bronchial carcinoids may cause

carcinoid syndrome without documented liver metastasis. While patients with small intestinal and proximal colonic carcinoids produce carcinoid syndrome most commonly, it is less commonly seen in bronchial carcinoids and does not occur in rectal carcinoids (Harpole et al., 1992; Smith, 1969; Caldarola et al., 1964). Due to the vagueness of the symptoms or no symptoms at all, diagnosis is delayed approximately 2 to 3 years (Norheim et al., 1987).

## 7. Diagnosis

The diagnosis of a carcinoid tumor depends on the symptomatology of carcinoid syndrome or the presence of other symptoms such as abdominal discomfort. Nevertheless, 60% of the patients with gastrointestinal carcinoids found at surgery were asymptomatic in one study (Thompson et al., 1985). The histopathologic diagnosis is made using immunohistochemical techniques, namely antibodies directed against chromogranin A (CgA), synaptophysin, and NSE. In patients with carcinoid syndrome the diagnosis can be made measuring urinary 5-HIAA levels. Levels of 15 to 60 mg/24 hours are compatible with midgut carcinoid tumors with 60 to 73% of sensitivity and 100% specificity. The most sensitive marker is plasma CgA, albeit not specific, since other neuroendocrine tumors can secrete this substance. Foregut carcinoids, on the other hand, may produce an atypical carcinoid syndrome with minimally elevated or normal urinary 5-HIAA level since they lack the enzyme L-amino-acid decarboxylase. The diagnosis of these tumors rely upon measurement of urinary metabolites of tryptophan such as 5-HTP or 5-HT. Patients should abstain from bananas, avocado, pineapple, walnuts, chocolate, and coffee; and avoid drugs such as chlorpromazine (Bertino & Cole, 1956) salicylates, and L-dopa at least 24 hours before the sample is presented to the lab (DeVita et al., 2011).

## 8. Localization

After the diagnosis has been made localization should be determined for the optimal management of the carcinoid tumor. Bronchial carcinoids are located in the airways of the central or middle third of the lung in 80% of cases. Chest x-ray, computed tomography (CT) or bronchoscopy is used to detect these tumors (Nessi et al., 1991). In plain radiography, they appear as a well-demarcated round or ovoid mass, often notched. Small tumors, which are often the case, are best detected by CT scanning. The mass may be visible within the bronchial lumina with an extraluminal component. In peripheral lesions, the mass is typically round or ovoid with a smooth or lobulated border. MRI is reserved for cases in which pulmonary carcinoid is suspected but cannot be visualized on CT.

As midgut carcinoids are small tumors, they are sometimes detected by angiography or somatostatin-receptor scintigraphy (SRS) if not detected by barium enema, CT or MRI. Secondary features such as liver metastases and bowel obstruction are more often visualized than the primary tumor. Liver metastasis can be visualized by CT or MRI. Positron emission tomography (PET) using  $^{11}\text{C}$ -5-HTP is another localization modality with a high sensitivity (Orlefors et al., 1998; Sundin et al., 2004). Echocardiography should be performed in all patients with carcinoid syndrome to detect signs of carcinoid heart disease which is associated with poor survival.

Hindgut carcinoids are usually localized at endoscopy. They appear as solitary yellowish submucosal lesions. Endoscopic ultrasound may demonstrate invasion of the full rectal wall (stage T3) or adjacent structures (T4).

In recent years, SRS or octreoscan and iodinated meta-iodobenzylguanidine (123I-MIBG) have been introduced to localize and stage the tumor. Five somatostatin receptors are currently recognized bound to varying degrees by the analogues 111In-octreotide, 111In-lanreotide, and P829, a new technetium-99m (99mTc) analogue (Menda & Khan, 2002). Octreotide receptor imaging is most useful for the prediction of the success of octreotide therapy. If the scan is positive, then therapy will most likely be beneficial. It is also used preoperatively for perioperative tumor detection. Imaging with 123I-MIBG can also be performed for the prediction of therapy success with 131I-MIBG. The frequency of positive imaging in carcinoid tumors is 50-75% for 123I-MIBG and 67-96% for 111In-octreotide. 123I-MIBG scintigraphy, which is more widely available, appears to be more sensitive for sympatho-adrenomedullary tumors such as pheochromocytomas and paragangliomas, whereas 111In-octreotide detects more tumors in all other neuroendocrine neoplasms.

## 9. Management of carcinoid tumors

Tumor reduction and symptomatic control are the mainstays of treatment of carcinoid tumors. When the tumor is localized, surgical resection the extent of which is determined primarily by the tumor size, is the treatment of choice. Symptomatic control includes lifestyle changes, dietary supplementation, and medical treatments directed to specific symptoms of carcinoid syndrome. Benign cases can respond to avoiding physical and emotional stress, alcohol, spicy food, and certain drugs. Supplemental niacin is recommended in patients whose symptoms are due to serotonin excess to prevent pellagra. Heart failure due to carcinoid heart disease requires drugs such as angiotensin-converting enzyme (ACE) inhibitors and diuretics. Diarrhea may respond to loperamide or diphenoxylate. Side effects of these drugs are the main limiting factor in controlling carcinoid syndrome. Therefore, more specific drugs have been developed, one of which is octreotide, a somatostatin analogue.

### 9.1 Surgical palliation

Patients suspected with intestinal obstruction must be relieved of their obstruction even if they have extensive liver metastases. Liver metastases can be resected without jeopardizing survival in select patients (Que et al., 1995; McEntee et al., 1990; & Dousset, 1996). Liver resection has been associated with improved 5 year survival rates in several series and is recommended in appropriate patients to attempt cure or to debulk metastatic disease (Sutton et al., 2003). Embolization of the hepatic artery is another option for liver disease. Liver transplantation for highly selected patients offers a five-year survival rate of 69% according to a French study (Le Treut et al., 1997). Mesenteric ischemia should promptly be recognized and the affected bowel segment resected.

### 9.2 Somatostatin analogues

Somatostatin analogues interact with cellular and transmembrane somatostatin receptors coupled with G proteins. Five subtypes have been defined. Subtypes 2, 3 and 5 are most

important and somatostatin analogues exert their action primarily by binding to subtype 2 (Kubota et al., 1994). Activation results in inhibition of growth factor production and release as well as antiproliferative effects (Scarpignato & Pelosini, 2001; Buscail et al., 1995; Cordelier et al., 1997 & Cattaneo et al., 1996). Receptor subtype 3 mediates phosphotyrosine phosphatase dependent apoptosis during high dose therapy (3mg daily octreotide or 12 mg daily lanreotide) (Imam et al., 1997). Octreotide is the most widely available drug followed by lanreotide and vapreotide. Subcutaneous administration of these drugs can control the symptoms in most of the patients. The recommended dose of immediate release form of octreotide is 100 mcg 2 or 3 times a day. The dose should be titrated upwards according to the symptoms sometimes up to 3000 mcg a day in 3 to 7 days. Tolerance is a common caveat in long-term use. Long-acting, slow-release formulation of octreotide with 20 and 30 mg of doses (up to 60 mg) every month (every 2 weeks for lanreotide) has enabled patients to enjoy a more comfortable life in terms of controlling symptoms and reducing the number of injections. The immediate release formulation and the long-acting form should overlap at least 2 weeks to achieve maximal symptom control. Patients with breakthrough symptoms may benefit from subcutaneous administration of the immediate release formulation. Treatment should be continued life-long or until troublesome side effects develop. Somatostatin analogues improve symptoms in 88% of patients and in 72%, urinary 5-HIAA excretion decreases (Kvols et al., 1986).

### 9.3 Chemotherapy

Metastatic carcinoid tumors are indolent tumors and response to chemotherapeutics is generally poor. Classical midgut carcinoids do not show any response to chemotherapy. Since foregut carcinoids are more malignant than others, cytotoxic therapy can be attempted. Combinations include streptozotocin plus 5-fluorouracil, doxorubicin, cisplatin plus etoposide, and dacarbazine plus 5-fluorouracil (Moertel et al., 1991; Di Bartolomeo et al., 1995 & Bajetta et al., 1998). Somatostatin analogues can be combined with the above regimens. A recent study showed that chemotherapy naïve patients with metastatic pancreatic endocrine carcinomas had an exceptionally high and durable response rate with the combination of temezolamide and capecitabine (Strosberg et al., 2011).

### 9.4 Immunotherapy

Oberg and his coworkers have reported a study from Sweden that 42% of patients with carcinoid syndrome had a reduction of their urinary 5-HIAA levels when treated with low dose human leukocyte interferon and recombinant leukocyte interferon- $\alpha$  (Oberg et al., 1986), but there was only 11% tumor regression rate. Mayo Clinic tried higher doses of interferon (Moertel et al., 1991) and objective tumor regression was 20% but it was not durable. Toxicity was the major drawback. Oberg has suggested the dose of interferon be titrated according to the patient's neutrophil count.

### 9.5 Angiogenesis inhibitors

As neuroendocrine tumors are highly vascular tumors bevacizumab, a monoclonal antibody targeting vascular endothelial growth factor (VEGF), was tested in advanced neuroendocrine tumors. This phase II study resulted in objective responses, reduction of

tumor blood flow, and longer PFS in patients with carcinoid than PEG interferon treatment (Yao, 2008). Sunitinib, another molecule inhibiting VEGF receptors, is approved by FDA for the treatment of advanced or unresectable progressive well-differentiated pancreatic neuroendocrine tumors based on the phase III study stating that it improves progression-free survival, overall survival, and the objective response rate of these tumors as compared with placebo (Raymond et al., 2011).

### **9.6 Mammalian target of rapamycin (mTOR) inhibitors**

Everolimus inhibits mTOR, an intracellular serine/threonine kinase that regulates multiple signaling pathways. It showed activity in advanced or unresectable low-grade or intermediate-grade pancreatic neuroendocrine tumors (Yao et al., 2011) and approved by FDA for the treatment of advanced, unresectable, or locally advanced pancreatic neuroendocrine tumors.

### **9.7 Radiation therapy**

External irradiation has been used for the palliation of bone and brain metastases (Schupak & Wallner, 1991 & Kimmig, 1994). Radiolabeled MIBG has been the most widely studied agent. It showed a 30% response rate with 125I-MIBG or 131I-MIBG (Hoefnagel et al., 1987 & Taal et al., 1996). In one study, investigators performed a retrospective review of 98 patients with metastatic carcinoid who were treated at their institution with 131I-MIBG over a 15-year period. The median survival after treatment was 2.3 years. Patients who experienced a symptomatic response had improved survival. For the 56 patients who had 5-HIAA levels monitored, the mean urine 5-HIAA levels decreased significantly after 131I-MIBG treatment. Authors concluded that 131I-MIBG treatment could be recommended in select patients with metastatic carcinoid who progress despite optimal medical management. Improved survival was predicted best by symptomatic response to 131I-MIBG treatment, but not by hormone or radiographic response (Safford et al., 2004). 111In-octreotide is a somatostatin analogue-based tumor-targeted radioactive agent. It is most often used when imaging with 123I-MIBG fails to detect any tumor. When 111In-octreotide is avidly concentrated within the tumors, then radionuclide labeled octreotide can be administered. Studies with 90Y-labeled octreotide have shown that 83% of patients with carcinoid syndrome had a significant reduction in symptoms (Waldherr et al., 2001).

### **9.8 Carcinoid heart disease**

In the pre-somatostatin era carcinoid heart disease used to occur in two thirds of patients with the carcinoid syndrome (Lundin et al., 1988). However, in the post-somatostatin era its incidence has dropped dramatically to 5% (Anthony et al., 2011). Right heart is affected most commonly. Tricuspid regurgitation, tricuspid stenosis, pulmonary regurgitation, and pulmonary stenosis may all occur. It is thought that these lesions are due to the secreted factors by the carcinoid cells of the liver metastases into the hepatic vein. Serotonin is blamed to be responsible for the cardiac lesions in carcinoid syndrome. However, its role in the development of cardiac lesions is poorly understood. Left heart is less often affected due to inactivation of serotonin by lung metabolism. Valvular replacement in patients with symptomatic heart disease proved unsuccessful due to the high perioperative mortality (Robiolio et al., 1995 & Connolly et al., 1995).



## 10. Prognosis

Carcinoid syndrome represents an advanced disease and prognosis depends upon the site and extent of the disease. While in patients with localized disease the 5-year survival rate is approximately 65%, patients with advanced disease this figure drops to 36% (Godwin et al., 1975). Female gender and young age at diagnosis are associated with a better prognosis. Presence of metastases, high CgA level, and high proliferation index (Ki-67) are indicators of a poor outcome. Once, carcinoid heart disease was a troublesome complication and a cause of early death. With modern diagnostic and therapeutic technics this is rarely seen today. Development of a secondary malignancy, namely an adenocarcinoma of the large intestine, is another cause of reduced survival in these patients (Godwin et al., 1975). Whether combined adenocarcinoma and carcinoid tumors are a composite tumor or collision tumors has been debated (Yamashina & Flinner, 1985).

## 11. Conclusion

Neuroendocrine tumors continue to evolve with regard to diagnosis, classification epidemiology and treatment possibilities. They also provide a challenging source of testing novel drugs as their molecular targets have now started to be recognized.

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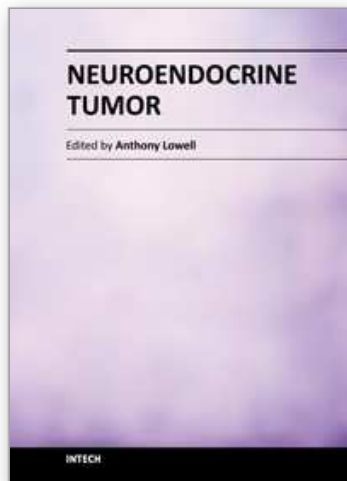
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