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Genetics of Chronic Lymphocytic Leukemia: Practical Aspects and Prognostic Significance

N. Put¹, I. Wlodarska¹, P. Vandenberghe¹ and L. Michaux^{1,2}

¹Center for Human Genetics, Catholic University of Leuven, Leuven

²Department of Hematology, University Hospital UCL Saint-Luc, Brussels, Belgium

1. Introduction

B-cell chronic lymphocytic leukemia (CLL) is a mature B-cell neoplasm. Affecting mainly the elderly, CLL represents the most common hematological malignancy in Western countries, and 6-7% of non Hodgkin's lymphomas.

The disease course is heterogenous. Clinical staging systems (i.e. Rai and Binet) are used for estimating the tumor burden and prognosis and for making therapeutic decisions in individual patients. However, the evolution, even in the early stages, remains highly variable with at least 50% of cases showing early or late progression. Since the large majority of newly diagnosed cases present with early or intermediate stage, it is important to assess the risk profile within this group.

Several biological variables have been proposed for the prognostic stratification of early stage CLL, including chromosomal abnormalities [as assessed by karyotyping or fluorescent *in situ* hybridization (FISH)], expression of CD38, the proportion of ZAP-70-positive cells, somatic hypermutation of the variable part of the B-cell receptor gene (*IGVH*) and *VH* 3-21 usage. In addition, acquisition of particular chromosomal aberrations could be relevant, i.e. a 17p deletion appearing during the disease course confers resistance to alkylating agents and purine analogs, underscoring the need for defining the genetic patterns of disease evolution.

Here, chromosomal aberrations in CLL will be reviewed. First, the different techniques to detect abnormalities will be described. Second, the CLL-associated (cyto)genetic abnormalities and their relevance for clinical practice will be discussed, with a focus on the role of these aberrations in disease onset, progression, and on their prognostic significance.

2. Cytogenetic techniques

Numerous studies have shown that the presence, number, and type of chromosomal aberrations represent an independent predictor of prognosis in CLL (Döhner *et al*, 2000; Juliusson *et al*, 1990; Mayr *et al*, 2006; Van Den Neste *et al*, 2007). Therefore, cytogenetic analysis is now routinely performed in this disease. Different techniques are available to detect chromosomal abnormalities. Conventional cytogenetic analysis (CCA) can be performed, but is hampered by the poor mitotic index of CLL lymphocytes *in vitro*.

Although several mitogens have been used to overcome this problem, alternative approaches allowing analysis of nondividing cells are available, i.e. interphase FISH is widely used and has become the standard technique. In addition multiplex ligation-dependent probe amplification (MLPA) (Coll-Mulet *et al*, 2008; Fabris *et al*, 2011) and more recently analysis by means of different array-platforms (Gunn *et al*, 2008; Hagenkord *et al*, 2010) have been investigated in research and routine setting.

2.1 Conventional cytogenetic analysis

CCA or chromosome banding analysis (CBA) examines the patient's chromosomes in a sample of cells. Counting the number of chromosomes and evaluating their structural aberrations (banding patterns) results in the construction of a karyogram and karyotype. The resolution is determined by the number of bands seen in a haploid set of chromosomes (300-850 bands, each band contains approximately 5-10 megabase of DNA) (Shaffer *et al*, 2009). The work-flow of the technical procedure is shown in Fig 1. Peripheral blood is the preferred tissue for CCA in CLL, but bone marrow, lymph node, spleen or effusions can be analyzed as well.

Since CLL is a malignancy of mature B-cells, these cells are often arrested at the G₀G₁ phase of the cell cycle and do not divide spontaneously. They accumulate primarily as a result of lack of apoptosis, rather than by accelerated cell division (Chiorazzi, 2007). As a consequence, CLL lymphocytes have a poor mitotic index *in vitro*. Therefore longer culture duration has been introduced, i.e. 72 hours instead of 24-48 hours, and several stimulating agents have been added to the culture medium. Mitogens and agents such as 12-O-tetradecanoylphorbol-13-acetate (TPA), the lectine phytohemagglutinin (PHA), lipopolysaccharide (LPS) and pokeweed mitogen (PWM), the cytokine interleukin-2 (IL-2) and Epstein-Barr virus, have been used to improve the yield of aberrant metaphases. However, abnormal karyotypes were revealed in only 40-50% of cases (Juliusson *et al*, 1990). These low abnormality detection rates can be attributed to a lack of aberrant metaphases, i.e. proliferation disadvantage of the aberrant B-cell clone, and to the presence of cryptic deletions escaping the low resolution of CCA. Recently, improved culture methods have been introduced, i.e. CD40 ligand (CD40L)-induced cell cycle stimulation, and the immunostimulatory CpG oligonucleotide (DSP30) (Dicker *et al*, 2006; Haferlach *et al*, 2007; Mayr *et al*, 2006; Put *et al*, 2009a; Struski *et al*, 2009).

2.1.1 TPA

Before the introduction of DSP30, the phorbol ester TPA was considered to be the stimulating agent of choice to improve the mitotic index of CLL cells. TPA stimulates slowly proliferating immature B-cells by activating protein kinase C. This results in phosphorylation of downstream proteins, maturation of these cells towards a plasmacytoid phenotype and inhibition of apoptosis (Barragan *et al*, 2002). However, the induction of cells in G₂ and metaphase is weak (2-10%) (Carlsson *et al*, 1988; Stephenson *et al*, 1991).

In addition, TPA has been shown to induce the IL-2 receptor and CLL colony formation. The addition of the cytokine IL-2 to TPA stimulated CLL cell cultures was reported to directly stimulate CLL proliferation, even in absence of T-lymphocytes (Touw and Lowenberg, 1985). Although the latter findings provide evidence for the addition of IL-2 to TPA cultures, it is not mandatory for successful CCA (Put *et al*, 2009a; Struski *et al*, 2009).

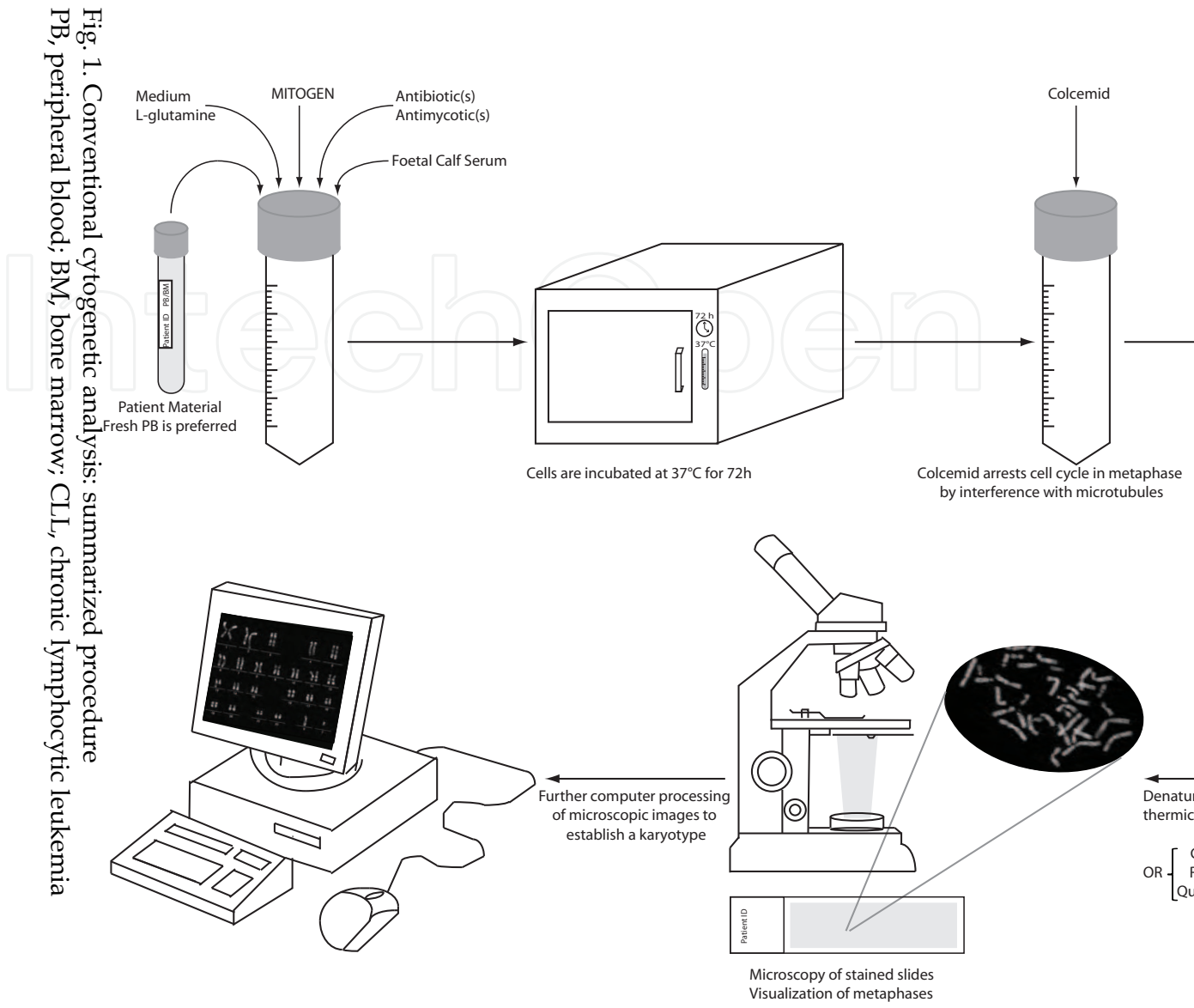


Fig. 1. Conventional cytogenetic analysis: summarized procedure
 PB, peripheral blood; BM, bone marrow; CL, chronic lymphocytic leukemia

2.1.2 CD40-ligand (CD40L)

As metaphase induction by TPA is weak and aberration detection is inferior compared with FISH, efforts were made to improve culture methods. In contrast to the environment of lymph node proliferation centers, *in vitro* cultures do not protect the lymphocytes from apoptotic and cytotoxic triggers. The addition of CD40 was able to induce an antiapoptotic profile in CLL cells (Hallaert *et al*, 2008) and therefore it could improve the generation of metaphases. CD40 is an antigen expressed on the surface of normal and malignant B-cells and induces cell cycle progression after activation by its ligand (Buhmann *et al*, 2002). CD40L-induced cell cycle stimulation resulted in a threefold increase in generation of metaphases compared with stimulation with B-cell mitogens such as TPA, LPS and PWM. In addition, the success rate of CCA and aberration detection rate were higher in the CD40L cultures (93% vs. 78% and 89% vs. 22%, respectively) (Buhmann *et al*, 2002). However, this technique is labor-intensive and expensive, and therefore not applicable for routine analysis.

2.1.3 DSP30

At the present time, the best CCA results in CLL are obtained with the addition of CpG oligodinucleotides (ODN) and IL-2 to the culture medium. ODN containing a CpG motif, such as DSP30, stimulate cells of the immune system via the Toll-like receptor 9 (TLR9). In humans, the only cell types known to express TLR9 are B-cells and plasmacytoid dendritic cells (Hornung *et al*, 2002). It has been established that CpG stimulates a broad spectrum of B-cell malignancies, i.e. CLL (Jahrsdorfer *et al*, 2005). CpG induces proliferation in normal B-cells; however, proliferation is weaker and followed by increased apoptosis in CLL cells (Jahrsdorfer *et al*, 2005). The lower proliferative response to CpG-ODN in CLL cells compared with normal B-cells can be overcome by addition of IL-2. Indeed, compared with normal B-cells, CpG causes a stronger induction of the IL-2 receptor α chain (CD25) in CLL, resulting in higher numbers of IL-2 receptors with a stronger affinity. Costimulation with CpG and IL-2 might alter IL-2 signaling in CLL cells in addition to increase cytokine production and surface molecule expression (Decker *et al*, 2000a).

The use of CpG/IL-2 improves proliferation capacity of CLL cells, and therefore it enables karyotyping in more cases (79-98%). Moreover, the technique yields detection rates of aberrations comparable with interphase FISH (81-83%) (Dicker *et al*, 2006; Haferlach *et al*, 2007). Other groups confirmed an improvement of the aberration detection rate in CpG/IL-2 (i.e. an increase of 9-13% of cases with aberrations) compared with TPA stimulated cultures (Put *et al*, 2009a; Struski *et al*, 2009). Moreover, the detection of translocations and del(13q) in particular, has been found to be superior after CpG/IL-2 stimulation compared with TPA (Put *et al*, 2009a).

The influence of CpG/IL-2 on quality of banding and metaphase generation is not clear (Put *et al*, 2009a; Struski *et al*, 2009).

Another question to address is whether abnormalities found after CpG/IL-2 stimulation might be related to activation-induced cytidine deaminase (AID). CpG stimulation of CLL and normal B-cells induces expression of AID, an enzyme that is linked to the development of genetic abnormalities (Capolunghi *et al*, 2008). However, culturing B-cells of healthy blood donors with CpG/IL-2 did not induce clonal abnormalities, thus validating CpG/IL-2 as a tool for the cytogenetic analysis of CLL (Dicker *et al*, 2006; Put *et al*, 2009a; Wu *et al*, 2008).

In conclusion, CpG/IL-2 should be preferred for routine CCA of CLL. However, as neither conventional cytogenetics nor CLL-specific FISH can detect all aberrations, both techniques should be complementarily applied.

2.2 FISH

FISH uses labeled DNA probes directed to selected targets and has a higher resolution than standard cytogenetics (approximately 40 Kb - 1 Mb, depending on the size of the FISH-probes vs. 10 Mb, respectively). Moreover, it can be used on metaphases and on nondividing cells. Sample types that may be used for FISH include in most cases peripheral blood or bone marrow, but also lymph node, spleen or effusions. Either uncultured fresh or frozen cells, cultured fixed cells, or paraffin-embedded tissue sections can be investigated.

The procedure is summarized in Fig 2. Interphase FISH yields high rates of detection of abnormalities, i.e. 80% (Döhner *et al*, 2000). However, this technique provides only partial information confined to the chromosomal loci examined, whereas CCA gives an overview of all microscopically visible aberrations.

Although FISH is a very sensitive technique, one should consider certain shortcomings. As already mentioned, a limited number of probes is applied. For this reason FISH can underestimate genomic complexity. False-positive and false-negative interpretations occur in 5% of FISH assays (Smoley *et al*, 2010). Wrong results may be due to i.e. inadequate cut-offs, co-hybridization or poor hybridization of probes, background signals, difficulties in visualizing probe signals in different planes of the nucleus, inadequate probes [in case of microdeletions or microduplications, i.e. *ATM* or *miR-15a/16-1*, in which the probe may be too large or not covering the deletion]. Lack of proliferation of the aberrant clone can occur when FISH is performed on cultured material. Furthermore, complex and cryptic translocations may generate special patterns of FISH signals that do not match the normal, expected signal pattern.

In clinical practice, FISH is performed for the regions 17p13 (*TP53*), 11q13 (*ATM*), chromosome 12 and 13q14 (*RB1* and *miR15.a/16.1*). The panel can be extended with probes for the regions 6q21 and 14q32 (*IGH*). Of interest, particular aberrations detected by FISH (discussed in section 3.1), e.g. loss of 17p13, were identified as major prognostic markers in CLL.

Hence abnormalities detected by FISH may guide patient monitoring and therapeutic decisions. Moreover FISH analysis is recommended for pretreatment evaluation and before subsequent, second- or third-line treatment (Hallek *et al*, 2008).

2.3 MLPA

Since FISH is a quite laborious, time-consuming and expensive technique, MLPA has been developed as an alternative tool. This technique relies on the comparative quantitation of specifically bound probes that are amplified by polymerase chain reaction (PCR) with universal primers. The latter allows simultaneous processing of multiple samples and has proven to be accurate and reliable for identifying deletions, duplications, and amplifications (Coll-Mulet *et al*, 2008). The procedure is summarized in Fig 3. (Schouten *et al*, 2002) and an example of MLPA results is shown in Fig 4. In a study comparing FISH and MLPA on 100 samples of untreated early stage (Binet A) CLL patients, a high degree of concordance between both techniques was observed (95%). Seven aberrations were not detected by

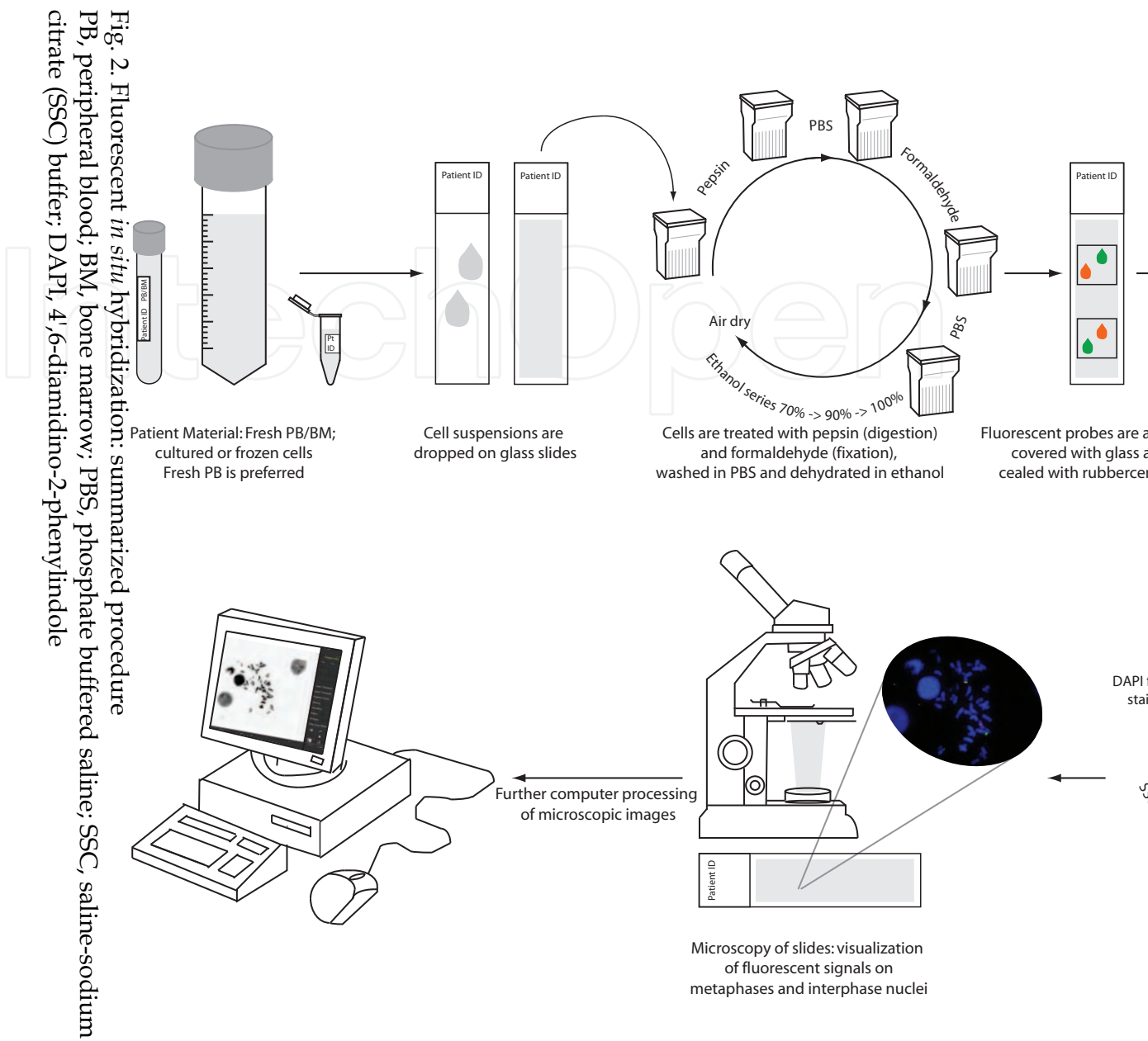


Fig. 2. Fluorescent *in situ* hybridization: summarized procedure
 PB, peripheral blood; BM, bone marrow; PBS, phosphate buffered saline; SSC, saline-sodium citrate (SSC) buffer; DAPI, 4',6-diamidino-2-phenylindole

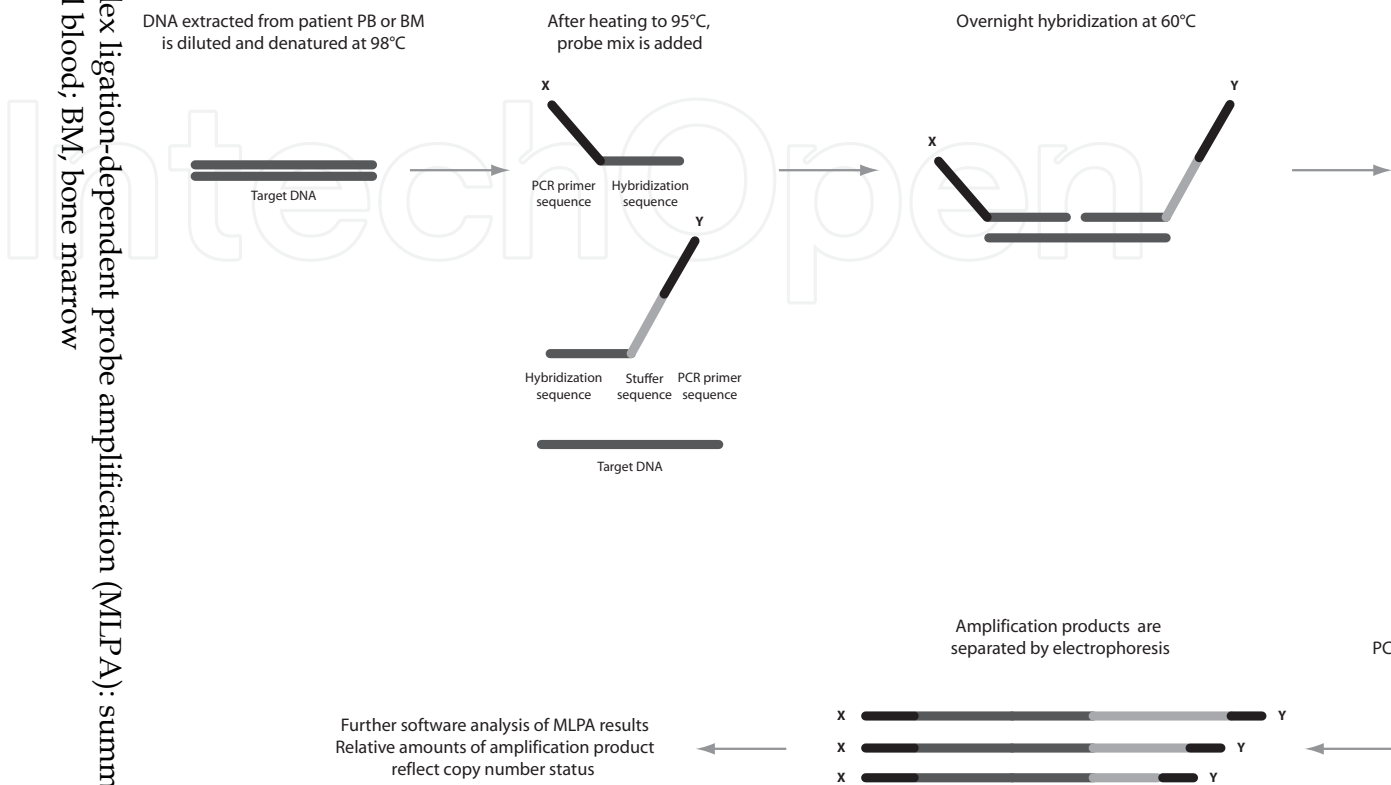


Fig. 3. Multiplex ligation-dependent probe amplification (MLPA): summarized procedure PB, peripheral blood; BM, bone marrow

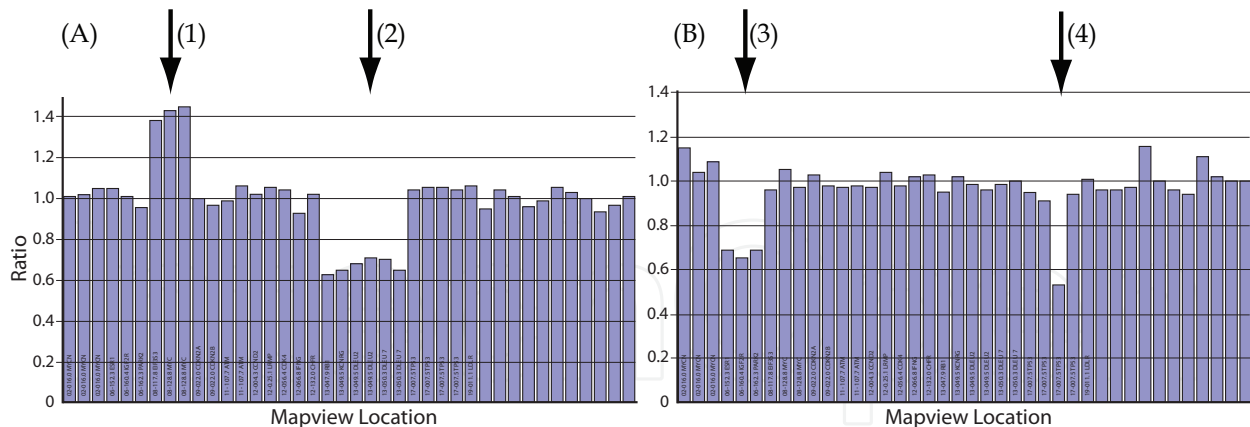


Fig. 4. Example of MLPA analysis performed in two cases of CLL (A and B). Arrows indicate the unbalanced regions: (1) gain of 8q24 and (2) loss of 13q14 in case A, and (3) loss of 6q25 and (4) loss of exon 5 of *TP53* on 17p13 in case B. (Courtesy of M. Jarosova)

MLPA, probably due to the low percentage of leukemic cells (<30%) carrying the aberration (Fabris *et al.*, 2011). The sensitivity may even be lower if no B-cell pre-enrichment is performed (i.e. aberrations not detected when the percentage of leukemic cells <50%). Moreover MLPA fails to detect concomitant mono- and biallelic losses at 13q (Fabris *et al.*, 2011). However, the availability of multiple probes in MLPA allows the identification of genetic aberrations which are not incorporated in the standard FISH probe panel. In conclusion, MLPA can be used alone or in association with FISH to detect both recurrent and less frequent lesions in CLL.

2.4 Comparative genomic hybridization and single nucleotide polymorphism arrays

Very recently (2000s), comparative genomic hybridization arrays (aCGH) and single nucleotide polymorphism (SNP)-arrays have been validated as reliable tools to investigate global genetic abnormalities in CLL with a higher resolution (i.e. 200 basepairs – 10 kilobases), compared with FISH and conventional cytogenetics. Therefore, it allows to detect new, cytogenetically cryptic, recurrent chromosomal changes, such as microdeletions.

However, aCGH has shortcomings as it detects genomic imbalances, but not balanced aberrations. In contrast with aCGH, SNP-arrays have the additional advantage of detecting copy number neutral loss of heterozygosity (cnLOH) or uniparental disomy (UPD). LOH results from the loss of normal function of one allele of a gene in which the other allele has already been inactivated, whereas UPD is a cnLOH in which all copies of an allele are derived from one parent and no copies from the other parent are present. Until now, the application of aCGH and SNP-arrays is restricted to research setting, but may possibly be implemented in routine analysis of CLL in the near future. As many platforms from different companies are available and each platform has its own technical specifications, Fig 5. gives only a brief and general overview of the technique. In the next paragraphs, we will focus in detail on the main results.

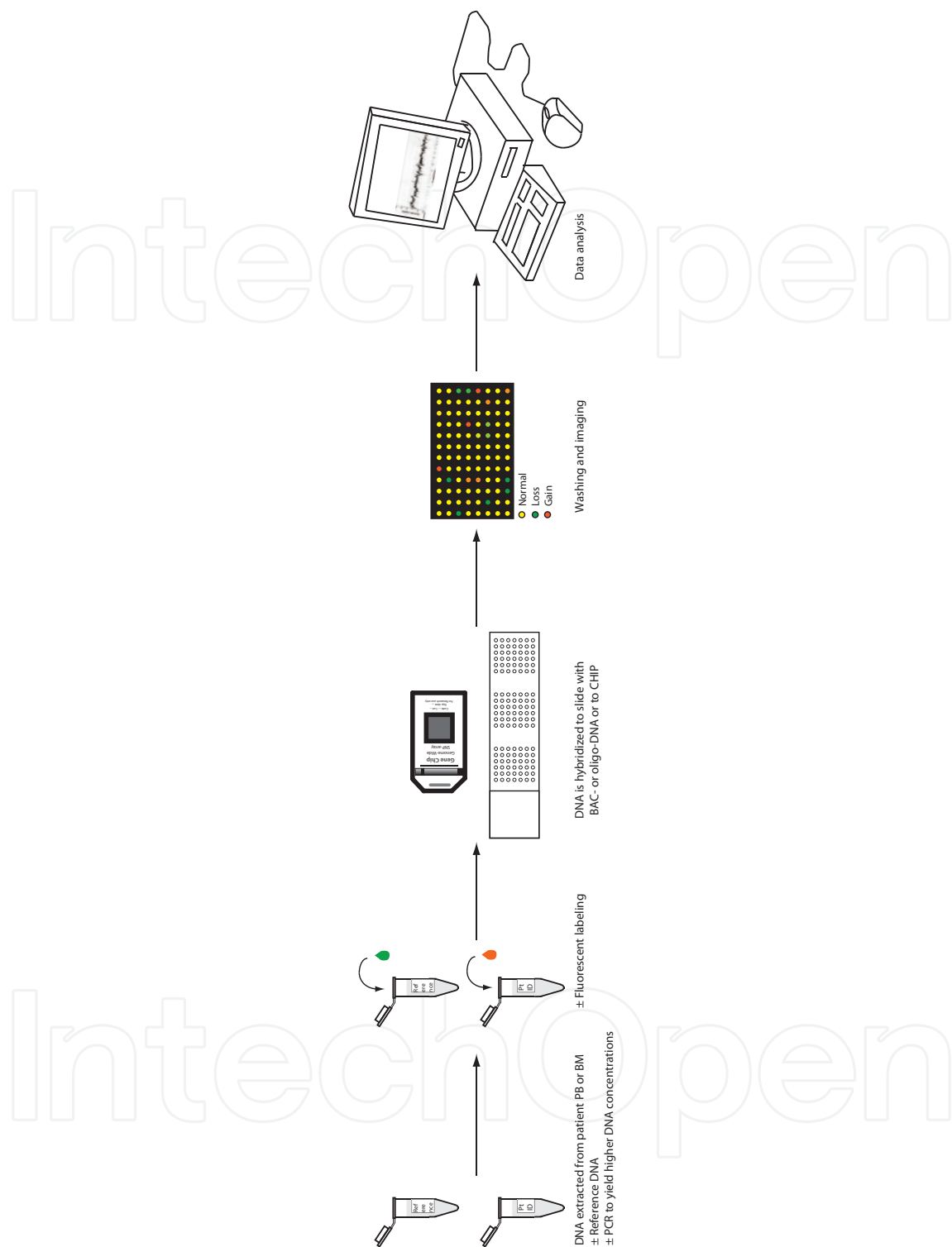


Fig. 5. Array-technology: summarized procedure.

PB, peripheral blood; BM, bone marrow; BAC, bacterial artificial chromosome

2.5 Next generation sequencing

Next-generation sequencing (NGS, also known as massively parallel sequencing) technologies have a higher throughput than traditional sequencing methods. It allows

millions of sequencing reactions to happen in parallel, using different approaches, either by creating micro-reactors and/or attaching DNA molecules to solid surfaces or beads. Unlike previous methods NGS generates millions of short reads (21-400 base pairs) and does not require amplification as sequencing can be performed from a single DNA molecule. The short reads can be quantified, allowing accurate copy number assessment. Moreover, with approaches that sequence both ends of a DNA molecule (paired end massively parallel sequencing), it has become possible to detect balanced and unbalanced somatic rearrangements (i.e. fusion genes) in a genome-wide fashion. Since each type of NGS has specific artefacts, one should be aware of this phenomenon and new findings should be interpreted with caution (Reis-Filho, 2009). In addition, the high cost of the technique limits its use in (routine) practice.

3. Cytogenetic and molecular abnormalities in CLL prognosis

3.1 Five prognostically important FISH-categories

A landmark interphase FISH-study of 325 mainly untreated CLL patients identified five prognostically important hierarchical categories: 17p deletion (with or without concomitant lesions), 11q deletion (with no concomitant 17p deletion), 12 trisomy (with neither concomitant 17p- nor 11q deletion), none of these aberrations, and 13q deletion as the sole abnormality (Fig 6. and Fig 7.). Median survival times for patients in these five groups were 32, 79, 114, 111, and 133 months, respectively and the treatment-free survival was 9, 13, 33, 49 and 92 months, respectively (Döhner *et al*, 2000).

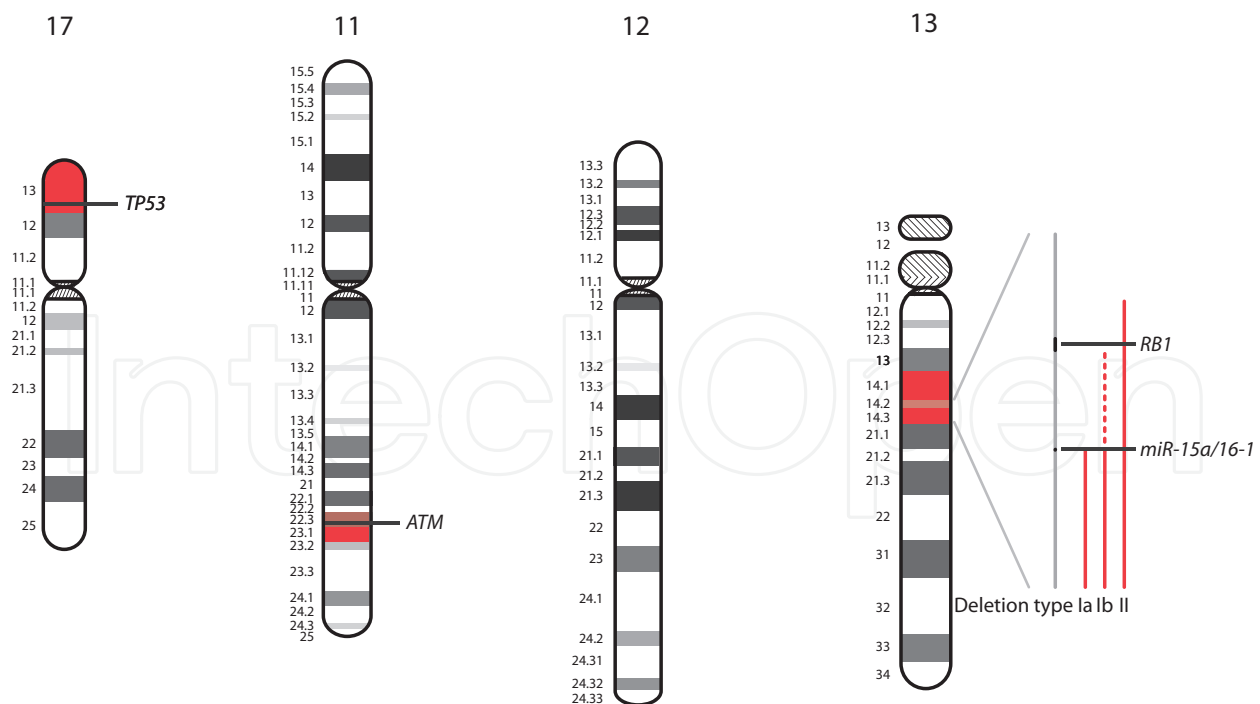
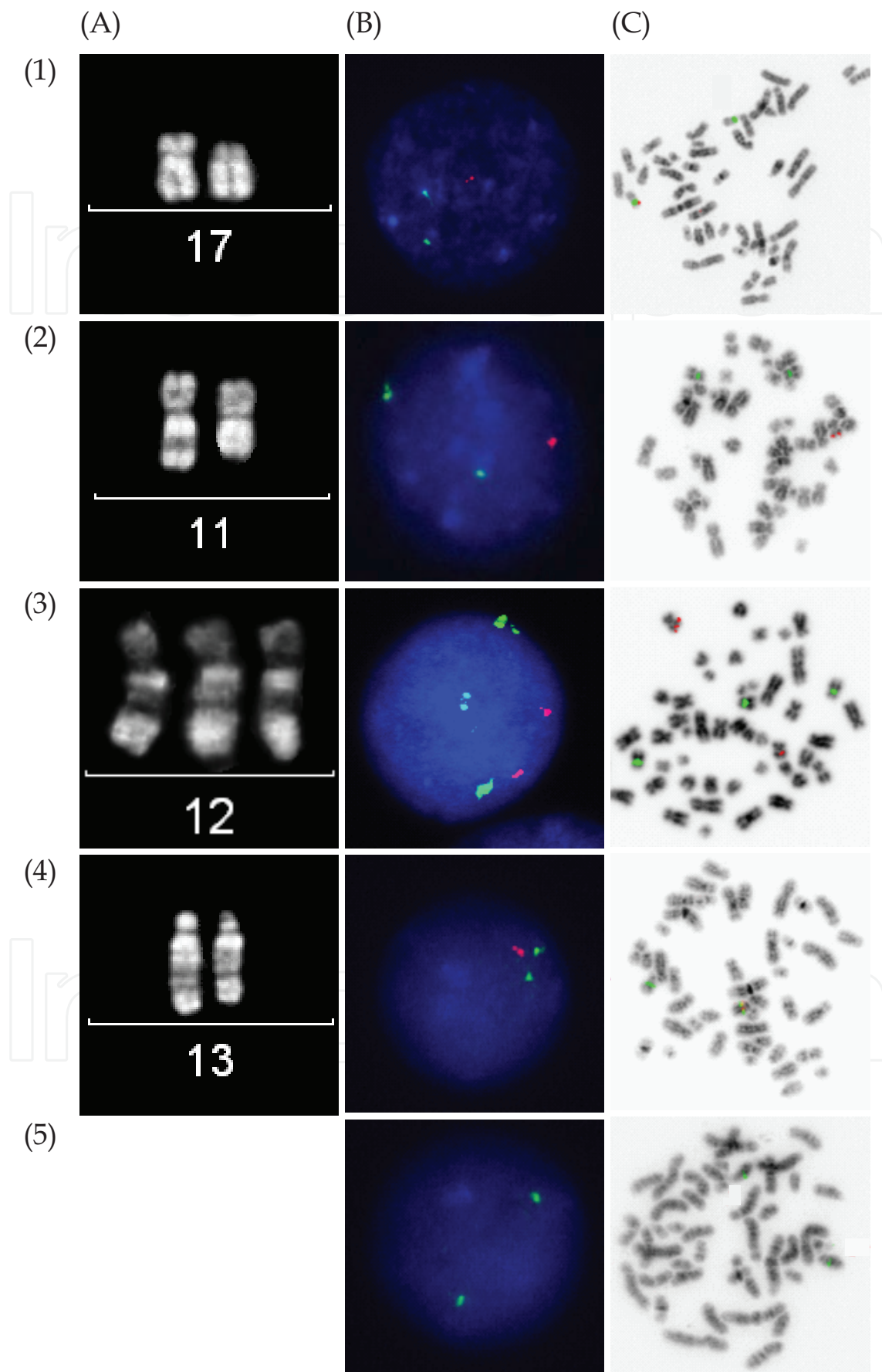


Fig. 6. Idiogram of G-banded chromosomes involved in prognostically important aberrations, at 550-band level.

Commonly deleted regions are indicated in red (caveat: deletions may be larger or smaller). Del(13q) type 1b can vary in length, as indicated by the dashed line.



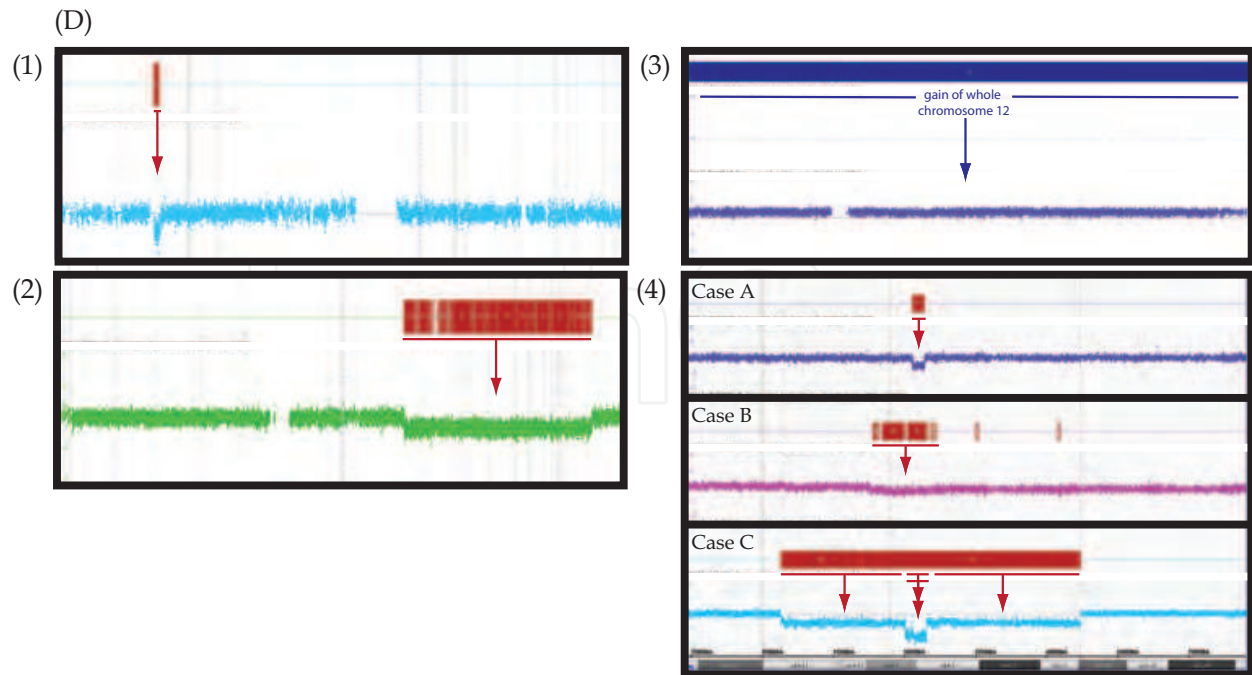


Fig. 7. Examples of the four prognostically important aberrations in CLL, namely del(17p) (1), del(11q) (2), trisomy 12 (3) and del(13q) (4, 5), as observed by CCA (A), interphase FISH (B), metaphase FISH (C) and the Affymetrix 2.7M array platform (D). Applied FISH-probes are specific for *TP53* (red) and centromere 17 (CEP17) (green) (1), for *ATM* (red) and centromere 12 (green) (2+3), for *RB1* (green) and D13S319 (red) (4+5). Note a monoallelic loss of *TP53* (B1, C1, D1 red arrow), monoallelic loss of *ATM* (B2, C2, D2 red arrow), trisomy 12 (B3, C3, D3 blue arrow), a monoallelic (B4, C4, D4 cases A+B+C, single red arrows) and biallelic del(13q) in (B5, C5, D4 case C, double red arrow).

3.1.1 17p deletions

Patients with a deletion of 17p have worst outcome. The del(17p) is found in 3-8% of previously untreated patients, although higher incidences up to 45% have been reported in patients with relapsed or refractory CLL, as a consequence of clonal selection (Cramer and Hallek, 2011; Zenz *et al*, 2011). Del(17p) usually encompasses the *TP53* locus at 17p13. A gene-dosage effect of *TP53* has been reported. About 80-90% of the cases harbor a biallelic inactivation of *TP53* (i.e. deletion of one copy and mutation of the remaining copy), but also the monoallelic inactivation of *TP53* is an adverse prognostic marker (Cramer and Hallek, 2011; Zenz *et al*, 2011). The tumor suppressor p53 plays an essential role in inducing apoptosis or cell cycle arrest after DNA damage. Since therapy with purine nucleoside analogues (e.g. fludarabine) and alkylating agents (e.g. chlorambucil) is based on p53-dependent mechanisms, CLL patients with deletion 17p or inactivating mutations of *TP53* are refractory to such chemotherapy (Van Bockstaele *et al*, 2008) and have impaired survival. A threshold of > 20-25% interphase nuclei harboring the del(17p) has been reported to correlate with adverse survival (Catovsky *et al*, 2007; Tam *et al*, 2009). Because of the very poor prognosis, risk-adapted treatment for this subgroup has been developed. Current treatment approaches (in clinical trials) use agents acting independently of p53 (e.g. alemtuzumab, high dose steroids) or allogeneic stem cell transplantation for fit patients (Zenz *et al*, 2011). In the future, optimization of the therapeutic strategies hopefully may improve outcome for this poor prognostic subgroup.

3.1.2 11q deletions

Deletions of 11q have been associated with adverse outcome. It is found in about 20% of the patients with CLL (Van Bockstaele *et al*, 2008; Zenz *et al*, 2011). The minimally deleted region (MDR) at 11q22.3-q23.1 harbors the *ATM* (ataxia telangiectasia mutated) gene. *ATM* is a protein that acts upstream of p53 in the DNA damage response pathway. Mutations of *ATM* have been reported in 12% of patients with CLL and in 30% of patients with del(11q) (Zenz *et al*, 2011). As not all patients with del(11q) have an *ATM* mutation (and vice versa), haploinsufficiency of *ATM* or the presence of other tumor suppressor genes in the MDR can be suspected. In the patients with del(11q), the biallelic inactivation of *ATM* leads to a worse clinical outcome (Cramer and Hallek, 2011). Of note, rarely the del(11q) does not encompass *ATM*, but affects the telomerically located *FDX* locus (Heim and Mitelman, 2009). Patients with del(11q) are generally younger, have more B-symptoms and more advanced clinical stages. Furthermore, the del(11q) is typically associated with extensive lymphadenopathy (Cramer and Hallek, 2011; Van Bockstaele *et al*, 2008).

3.1.3 Trisomy 12

An intermediate outcome has been described for patients with trisomy 12. While progression free survival (PFS) may be shorter (PFS rate at 3 years of 48-83%), overall survival (OS) is rather favorable (OS rates at 3 years of 86-96%). Trisomy 12 has been associated with atypical morphology or immunophenotype (i.e. stronger surface immunoglobulin and FMC7 expression) (Zenz *et al*, 2011). The aberration is observed in 10-30% of patients (Van Bockstaele *et al*, 2008; Zenz *et al*, 2011). This variation probably reflects differences in patient selection. Partial trisomy 12q was reported in 10-20% of the cases and a minimal common gained region has been confined to 12q13 (Heim and Mitelman, 2009).

The critical genes involved in the trisomy 12 are yet unknown. Small duplications of 12q have been reported and in particular the murine double minute 2 gene (*MDM2*) located at 12q15 has been found amplified in CLL (Merup *et al*, 1997). Overexpression of the *MDM2* protein was also observed in CLL and this was significantly more frequent in the advanced rather than the earlier stages (Watanabe *et al*, 1996). The *MDM2* SNP309 in B-CLL has been suggested to be an unfavorable prognostic marker; however the results of several recent publications are conflicting (Willander *et al*, 2010). The CLL upregulated gene 1 (*CLLU1*) located at 12q22 was overexpressed exclusively in CLL and its expression was shown to have a strong prognostic significance in patients younger than 70 years, namely higher expression was associated with shorter overall survival (Josefsson *et al*, 2007). However overexpression of *CLLU1* occurs irrespectively of trisomy 12 or other large chromosomal rearrangements (Buhl *et al*, 2006).

Recurrent association of trisomy 12 with *IG*-aberrations, such as t(14;19)(q32;q13), t(14;18)(q32;q21) and del(14)(q24q32), and with trisomy 18 and/or trisomy 19, has been observed in a subset of cases (Heim and Mitelman, 2009). Trisomy 12 with concomitant *TP53* mutations is rare.

3.1.4 13q deletions

Although deletions of 13q are often cytogenetically cryptic, they represent the most frequently observed FISH-aberration in CLL, with a prevalence of 40-60% (Van Bockstaele *et al*, 2008). Only when present as a solitary aberration (by FISH), the del(13q) implies a favorable prognosis. Higher percentages (that is > 65% or > 80%) of interphase FISH nuclei showing the

del(13q) have been associated with shorter overall survival and time to first treatment (Hernandez *et al*, 2009; Van Dyke *et al*, 2010). The MDR located at 13q14 contains *miR-15a* and *miR-16-1*. These microRNAs are small non-coding RNA genes that regulate gene expression. The *miR-15a/16-1* cluster seems to negatively regulate the expression of multiple genes involved in proliferation and apoptosis (Klein and Dalla-Favera, 2010). Deletion of the MDR-region in mice models suggested that this lesion is sufficient for lymphomagenesis. In some CLL cases without del(13q), downregulation of *miR-15a* and *miR-16-1* has been described, suggesting an epigenetic mechanism suppressing the miR-cluster (Klein and Dalla-Favera, 2010). Mutations in the miR-cluster appear to be very rare (Zenz *et al*, 2011). The del(13q) is most frequently heterozygous (monoallelic, 76% of cases), but can be homozygous (biallelic, 24% of cases). While the former is suggested to be an early event, the latter probably occurs at a later stage. A gene dosage-effect of *miR-15a/16-1* has been reported (Zenz *et al*, 2011). In addition, SNP-arrays showed that the extent of the deletion (Fig 6) is associated with disease characteristics, for example del(13q) type II (long, involving *RB1*, related with disease progression) and del(13q) type I (short, not involving *RB1*, related with disease progression only when associated with other aberrations) (Malek *et al*, 2010; Zenz *et al*, 2011).

3.2 Other cytogenetic aberrations

Several other recurrent genomic aberrations have been described in CLL, such as del(6q), del(14)(q24.1q32.33) involving *IGH* (Pospisilova *et al*, 2007), t(1;6)(p35;p25) involving *MUM1/IRF4* (Michaux *et al*, 2005), total or partial trisomy 3, trisomy 8, trisomy 18 and 19 and changes leading to gains of 2p24-25, 3q26-27, and 8q24. These aberrations are rare in CLL (prevalence < 5-10%). Most of the genes involved are not yet identified and their prognostic relevance remains to be investigated (Heim and Mitelman, 2009; Van Bockstaele *et al*, 2008).

3.3 Translocations

Translocations have been reported in up to 34-42% of patients with CLL (Mayr *et al*, 2006; Van Den Neste *et al*, 2007). Balanced translocations are relatively rare, but unbalanced non-reciprocal aberrations are frequent and are often observed within complex karyotypes. Although translocations are heterogenous in CLL, many breakpoints are located in regions showing recurrent loss, like 13q14 and 17p13 (Heim and Mitelman, 2009). Chromosomal translocations in general may have a negative impact on response to therapy and survival, especially when unbalanced (Mayr *et al*, 2006; Van Den Neste *et al*, 2007). Balanced translocations, especially those involving immunoglobulin (*IG*) genes, are recurrent, but uncommon (i.e. 5%) (Haferlach *et al*, 2007). Recurrent partners include *BCL2*, *BCL3*, *BCL11A* and *MYC* (Table 2). In published reports (Cavazzini *et al*, 2008; Nowakowski *et al*, 2007), at least part of the cases have unknown partner genes. In most studies, CLL cases with translocations involving *IG* are analyzed as a single group (Cavazzini *et al*, 2008; Juliusson *et al*, 1990). However, the partner gene that becomes overexpressed as a result of the translocation, may be relevant for the outcome. The best described is the *BCL3* gene involved in the t(14;19), often associated with atypical morphology, unmutated *IGVH* genes and inferior prognosis (Cavazzini *et al*, 2008; Chapiro *et al*, 2008; Martin-Subero *et al*, 2007; Nowakowski *et al*, 2007). Similarly, translocations involving *MYC* have been associated with loss (i.e. monosomy) of 17, del(11q) complex karyotype, additional unbalanced translocations and poor prognosis (Put *et al*, 2011). In contrast, translocations involving *BCL2* are associated with mutated *IGVH* genes, trisomy 12, absence of del(11q) and more favorable outcome (Put *et al*, 2009b).

Translocation ^{a,b}	Partner Gene	Morphology	IGVH	Associated changes	Prognosis
t(2;14)(p16;q32)	<i>BCL11a</i>	Atypical	U > M	Trisomy 12	Uncertain
t(8;14)(q24;q32)	<i>MYC</i>	PL /PT	U ≈ M	Monosomy 17p Del(11q) Complex karyotype Unbalanced translocations	Poor
t(14;18)(q32;q21)	<i>BCL2</i>	Typical	M > U	Trisomy 12 Absence of del(11q)	Favorable
t(14;19)(q32;q21)	<i>BCL3</i>	Atypical	U > M	Trisomy 12	Poor

PL, prolymphocyte; PT, prolymphocytic transformation; U, unmutated; M, mutated

^aIG-translocations involve most frequently *IGH* located on 14q32. Variant translocations involve either *IGK* on 2p12 or *IgL* on 22q11

^bTo date, most cases with t(11;14)(q13;q32), involving *CCND1*, are diagnosed as mantle cell lymphoma; however, rare cases of t(11;14)-positive CLL might exist.

Table 1. Overview of translocations involving immunoglobulin (*IG*)-genes in CLL

3.4 Genomic complexity

Cytogenetic complexity is defined as the presence of three or more clonal chromosomal aberrations. CCA was found to be superior in the detection of complexity, compared with FISH (Haferlach *et al*, 2007), probably due to the limited number of investigated loci in the latter approach. Complexity is found in a minority of the cases with CLL (10-30%) (Haferlach *et al*, 2007; Kujawski *et al*, 2008). A highly significant association was observed between complex aberrant karyotypes and 17p deletions, unmutated *IGVH* and expression of CD38 (Haferlach *et al*, 2007). In addition, particular aberrations (i.e. translocations involving *MYC*) have also been associated with a complex aberrant karyotype (Put *et al*, unpublished data). Prognostically, patients with complex genomic changes appear to have more aggressive disease. Similarly, genomic complexity detected by SNP-arrays (≥ 3 genetic lesions) has been associated with poor outcome (Kujawski *et al*, 2008). An impaired apoptotic DNA double-strand break response and multiple genomic deletions, including del(17p), del(11q), and del(13q) type II were identified as independent strong predictors of genomic complexity in CLL. Moreover, a strong independent effect of aberrant p53 function on genomic complexity and a modest effect of decreased ATM function have been observed (Ouillet *et al*, 2010). Such multiple independent gene defects in CLL may contribute to genomic instability. In addition, telomere dysfunction as a consequence of telomere erosion may also drive genomic instability during the progression of CLL (Lin *et al*, 2010). Indeed, short telomeres have been associated with a high risk of genomic aberrations and genetic complexity (Roos *et al*, 2008).

3.5 Clonal evolution

Clonal evolution (CE) represents the acquisition of new or additional cytogenetic aberrations during disease course. As a consequence, CCA or FISH should not only be used for initial prognostication of patients with CLL, but also at the time of disease progression or before therapy initiation [FISH is mandatory in this setting for detection of del(17p)]. Initially, CE as evaluated by sequential CCA, was considered infrequent, i.e. in 16% of CLL patients (Oscier *et al*, 1991). Later studies reported higher frequencies of 25-43% (Fegan *et al*, 1995; Finn *et al*, 1998; Haferlach *et al*, 2007). Interphase FISH studies (Table 2) revealed CE in 27% and 17% after a median follow-up of more than 5 years and 42.3 months, respectively (Shanafelt *et al*, 2006;

Stilgenbauer *et al*, 2007). Interestingly, CE occurred more frequently among cases with unmutated *IGVH* status (Shanafelt *et al*, 2006; Stilgenbauer *et al*, 2007). However, another study did not find a correlation between CE and unmutated *IGVH*, expression of CD38 and ZAP70 on one hand, but the combination of all three prognostic factors correlated highly significantly with CE and with a shift from lower to higher FISH risk category (Berkova *et al*, 2009). Patients with CE showed progression to more advanced stages, greater need for therapy and a higher hazard ratio for death. Moreover, CE was identified as an independent factor for survival (Stilgenbauer *et al*, 2007). As a consequence, CCA or FISH should not only be used for initial prognostication of patients with CLL, but also at the time of disease progression or before therapy initiation [FISH is mandatory in this setting for detection of del(17p)]

Reference	CLL Patients (n)	Follow-up (months)	CE: patients (n)	CE: previously treated patients (n)	CE: abnormalities	Other findings
Shanafelt <i>et al</i> , 2006	108 ^a	67 (23-136)	18 (11%)	13 (71%)	del(13q) (72%) > del(17p) (22%) > del(11q) (6%)	CE not confined to unmutated <i>IGVH</i> (association ns) Correlation between ZAP70+ and CE CE more frequent after 50 months compared with before 24 months (27% vs. <2%, respectively)
Stilgenbauer <i>et al</i> , 2007	64	42 (23-73)	11 (17%)	1 (9%)	del(17p) (36%) > del(13q) = del(6q) (27%) > del(11q) (18%) > +8q24 (9%)	CE confined to unmutated <i>IGVH</i> CE correlates with progressive clinical stages, greater need for therapy, higher hazard ratio for death CE as independent factor for survival Combination of unmutated <i>IGVH</i> , CD38+ and ZAP70+ correlates highly significantly with CE and with a shift from lower to higher FISH risk category
Berkova <i>et al</i> , 2009	97	66 (22-304)	25 (26%)	7 (28%)	del(13q) (64%) > del(17p) = del(11q) (16%) > trisomy 12 (4%)	CE not confined to unmutated <i>IGVH</i> del(17p) observed in untreated patients
Loscertales <i>et al</i> , 2010	81	67 (16-111)	17 (21%)	13 (76%)	del(17p) (53%) > del(11q) (35%)	

N, number; CE, clonal evolution; ns, not significant,

^aSequential samples were available in 108/159 patients.

Table 2. Overview of clonal evolution investigated by FISH

3.6 Molecular karyotyping

The introduction of aCGH and SNP-arrays enables to investigate CLL at a resolution, greatly surpassing this of conventional cytogenetics. Different array-platforms were validated as a powerful, cost-effective tool for clinical risk assessment in CLL (Table 3) (Gunn *et al*, 2008; Hagenkord *et al*, 2010; O'Malley *et al*, 2011). Of note, the sensitivity of these platforms varies and is related to i.e. the resolution of the array. For example, the Affymetrix SNP6.0 array was found to be superior to the 250K array in detecting small aberrations of uncertain significance and equivalent to the 250K array in detecting clinically relevant lesions. Since the cost of the 250K array is lower, it is preferred for routine use. In contrast, the 10K array is not suitable for routine clinical use due to its low resolution (Hagenkord *et al*, 2010).

New recurrent cytogenetic abnormalities were detected by aCGH and SNP-arrays. In Table 3 an overview of selected publications on array-applications in CLL is shown, describing known prognostically important lesions and new molecular cytogenetic findings (Grubor *et al*, 2009;

Gunn et al, 2008; Gunn et al, 2009; Gunnarsson et al, 2008; Gunnarsson et al, 2010; Gunnarsson et al, 2011; Hagenkord et al, 2010; Kay et al, 2010; Kujawski et al, 2008; Lehmann et al, 2008; O'Malley et al, 2011; Ouillette et al, 2010; Ouillette et al, 2011; Pfeifer et al, 2007; Rinaldi et al, 2011). Other recent studies using array-platforms revealed new insights in the disease: i.e. the genome of CLL appeared to be quite stable over time (Brown et al, 2010); disease progression has been associated with large, but not small copy number alterations (Gunnarsson *et al*, 2010), genomic complexity, 13q deletion in the presence of other aberrations, and 13q deletion type II (that is, deletions involving *RB1*) (Malek *et al*, 2010).

Reference	Array	Patients (n)	17	11	12	13	Other highlighted abnormalities	LOH/CN LOH	Remarks
Pfeifer <i>et al</i> , 2007	10K and 50K Affymetrix	70	5.7%	12.8%	12.8%	51.4%	Gain of 2p16 (n=4; <i>RCL</i> , <i>BCL11a</i>)	14 patients with 2q large CN LOH > 10 Mb	Recombination hot spots on both sides of <i>mir15b/16-1</i> slower lymphocyte growth kinetics for monoallelic vs. biallelic <i>del(13q14)</i>
Lehman <i>et al</i> , 2008	50K Affymetrix	56	5%	9%	7%	59%	Loss of 6q21 (n=4; <i>AIM1</i>)	4 patients with UPD	Genetic abnormalities of chr 13 (including UPD) are very common events in early stage CLL
Ouillette <i>et al</i> , 2008	50K Affymetrix	171	NA	NA	NA	NA	NA	5 patients with CN LOH of 13q14: 4 with extensive LOH but copy loss restricted to a small area at ~D13S319 and 1 without any LOH-associated copy loss (UPD)	De(13q14) is heterogeneous Type Ia lesions are uniform with one breakpoint close to the <i>mir15b/16-1</i> cluster Type I lesions correlate with higher <i>LATS2</i> RNA levels <i>PHLPP</i> RNA is absent in 50% of <i>del(13q14)</i> 15% CLL have reduced <i>mir15b/16-1</i> expression
Kujawski <i>et al</i> , 2008	50K Affymetrix	178	7-13% ^a	8-13% ^a	14-28% ^a	46-28% ^{ab}	≥ 2, ≥ 2.5, or ≥ 3 genomic lesions in 35%, 19%, and 15% of patients, respectively Gain of 2p (n=5; <i>MYC</i> in 3/5, <i>RCL</i> and <i>BCL11a</i> in 4/5 cases), <i>del(5q)</i> (n=3), <i>del(2q)</i> (n=2), <i>del(8p)</i> (n=2), gain of 8q (n=3), gain of whole-chr 19 (n=1) and 22 (n=3)	10 patients with UPD	Genomic complexity as an independent risk factor for short TTT in multivariate analysis
Gunn <i>et al</i> , 2008	44K Agilent	174	4.6%	11%	13%	47%	NA	NA	Genomic instability (i.e. ≥ 3 loci not investigated by FISH panels) was observed in 21% of the cases.
Gunnarsson <i>et al</i> , 2008	32K BAC, 185K Agilent, 250K Affymetrix, and 317K Illumina CA1000 microarrays BAC array (Combinatrix Molecular Diagnostics)	10	20%	10%	20%	40%	NA	Concordance of large regions; smaller regions escaping detection	All platforms detect large CNAs, however findings are discrepant for small CNAs
Gunn <i>et al</i> , 2009	85K and 390K NimbleGen (ROMA)	187	NA	NA	NA	NA	Loss of 22q11 (n=28; <i>ZNF280A</i> , <i>ZNF280B</i> , <i>GGT1CC2</i> , and <i>PRAME</i>)	NA	
Gruber <i>et al</i> , 2009	85K and 390K NimbleGen (ROMA)	58	5%	10%	17%	40%	Loss of 8p21.2-p12 (n=2; <i>TRIM35</i> , <i>2q37.1</i> (n=2; <i>SP100/107/140</i>), <i>9p21.3</i> (n=2), <i>CDKN2A</i> (<i>P16-INK4</i>)) and 18q23 (n=2; <i>NFATC1</i>)	NA	CNA differences between CD38+ and CD38- cell fractions (3/4 cases)

Table 3. Overview of selected publications on genomic array-applications in CLL

Kay <i>et al.</i> , 2010	IM Agilent	48	6.3%	12.5%	23%	52%	Loss of 3p21, 8p11, 10q24, 11q22, 14q24 (all aberrations present in n=3)	NA	Higher genomic complexity (> 15 CNAs or ≥66 Mb length) associated with shorter PFS, poor response to therapy Loss of p53 function [in pt with del(17p) or del(13q)] was associated with a complex genome
Hagenkord <i>et al.</i> , 2010	10K, 250K and SNP 6.0 Affymetrix	33	NA	NA	NA	55%	Atypical loss of 11q (n=5; not including ATM) and 13q (n=1; not including <i>myr15q/6-1</i>) Loss of 4p (n=3), 8p (n=3), gain of 8q (n=5), combination of gain of 2p (2p24.3) and loss of 11c (n=5)	4 patients with UPD: at 17p13 (n=1), 11q22 (n=1), and 13q14 (n=2)	
Gunnarsson <i>et al.</i> , 2010	250K Affymetrix	203	4.4%	13%	11%	54%	Acquisition of aberrations of 6q, 8p, 9p and 10q (i.e. clonal evolution) were exclusively associated with UM <i>IGHV</i>	All CN regions included a homozygous del(13q)	Genomic complexity (increasing number of CNAs >5Mb) as a poor-prognostic marker, although a complex genome often included del(17p) and del(11q)
Gunnarsson <i>et al.</i> , 2011	250K Affymetrix	369+59 ^a	4%	10%	10.5%	55%	Loss of 6q (n=3), 8p (n=4), 10q (n=2), 14q32 (n=14), 18q (n=4) and gain of 10q (n=2)	CN LOH on 13q in 3.5%, most with homozygous del(13q)	Genomic complexity (associated with poor prognostic aberrations) and large 13q deletions correlate with inferior outcome CE correlates with poor prognostic markers and commonly includes the known recurrent aberrations
O'Malley <i>et al.</i> , 2011	HemeScan® BAC (Combinatrix Molecular Diagnostics)	55	11%	9%	25%	46%	Gains at 2p25.3-p22.3 (n=5), NMYC, 2p22.3 (n=5), 2p16.2-p14 (n=5), REL, 8q23.3-q24.3 (n=7); MYC, losses at 8p23.1-p21.2 (n=7), 8p21.2 (n=7), 17p13.3-p11.2 (n=15), and 17p12-p11.2 (n=2)	NA	
Rinaldi <i>et al.</i> , 2011	SNP 6.0 Affymetrix	148	10%	10%	19% ^d	50%	Loss at chr 6 (n=7), 8p (n=7), 10q (n=10), 14q (n=9), 18q (n=8), gains at 8q (n=5), 17c (n=6), and 18p (n=6) Recurrent biallelic losses on chr 5 (n=2, <i>P16/CDKN2A</i>) and chr X (n=2)	NA	Gains at 2p and 8q and 7F53 inactivation showed prognostic significance (multivariate analysis, confirmed in a hierarchical model) Gains at 2p determined a higher risk of Richter transformation. Gains at 2p and 8q proposed as relevant novel genomic regions for prognostic stratification
Ouillette <i>et al.</i> , 2011	SNP 6.0 Affymetrix	255	10%	10%	17%	51%		In the group of CLL with elevated array-based genomic complexity, 3 patients had acquired 17p-UPD	≥3 subchromosomal aCNA were detected in 20% of the cases. Genomic complexity was identified as an independent and powerful marker for the identification of CLL patients with aggressive disease and short survival

Table 3 (continued).

17, Del(17p); 11, Del(11q); 12, Trisomy 12; 13, Del(13q); NA, not available; LOH, loss of heterozygosity; CN, copy number neutral; UPD, uniparental disomy; UM, unmutated; M, mutated; *IGHV*, immunoglobulin heavy chain mutational status; CGH, comparative genomic hybridization; TTT, time to treatment; CNA, copy number alteration; PFS, progression-free survival; CE, clonal evolution;

^aUntreated – treated patients, respectively;

^bSolitary del(13q) only;

^cAt diagnosis + at follow-up, respectively;

^dLowest frequency of (partial) gain of 12q

3.7 Next generation sequencing

Whole genome sequencing of cases with CLL led to the discovery of several genes, previously unsuspected to be involved in this disease. For example, combining NGS and copy number analysis in 5 patients, < 20 clonal genomic alterations/case and recurrent mutations of *NOTCH1*, *TGM7*, *BIRC3*, and *PLEKHG5* were observed (Fabbri *et al*, 2011). Lesions of *MYD88*, *BIRC3*, and *PLEKHG5* are all linked to alteration of the NF- κ B pathway. In a screening cohort of 48 CLL cases, *NOTCH1* mutations were found in 8.3% of CLL cases at diagnosis and were associated with aggressive disease (i.e. higher frequency of *NOTCH1* mutations were associated with Richter transformation and refractoriness to chemotherapy, in 31.0% and 20.8% of the cases, respectively). Moreover *NOTCH1* mutation at diagnosis emerged as an independent risk factor for poor survival (Fabbri *et al*, 2011). Another NGS and exome sequencing study identified four genes that were recurrently mutated, namely *NOTCH1*, *XPO1* predominantly in CLL with unmutated *IGVH*, and *MYD88* and *KLHL6* in CLL with mutated *IGVH* status (Puente *et al*, 2011). *NOTCH1*, *XPO1* and *MYD88* mutations are suspected to be oncogenic changes, contributing to disease progression, based on their patterns of mutation and functional analyses, (Puente *et al*, 2011). In conclusion, NGS appears to be a highly effective technique in identifying new genetic lesions and future studies are promising to contribute to an improved understanding of disease onset and evolution.

4. The origin of cytogenetic abnormalities

Genomic imbalances, such as gains and losses of chromosome segments or whole chromosomes (aneuploidy), are more frequently observed than translocations in CLL. However, in the following paragraphs we will focus mainly on the origin of translocations, in particular translocations involving *IG* loci, as the underlying mechanisms are quite specific for lymphoid malignancies, i.e. CLL.

4.1 The origin of aneuploidy and structural aberrations

Aneuploidy may arise due to defects in segregation of chromosomes during cell division, including multipolar spindles, but also abnormal kinetochore-spindle interactions, premature chromatid separation, centrosome amplification, and abnormal cytokinesis. Defects of centrosome function in particular have been suggested to be involved in a wide variety of human malignancies. Centrosomes have central role in organizing microtubuli and the mitotic spindle. An aberrant number, size, shape of the centrosome, as well as aberrant phosphorylation of centrosome proteins, may missegregate chromosomes, resulting in aneuploid cells. In addition, errors in the separation of sister chromatids could also be a cause of aneuploidy. Finally, checkpoint controls are expected to be abrogated in order to enable unequal chromosome segregation during cell cycle progression (Gollin, 2004; Schwab, 2001).

Structural chromosomal instability results from chromosome breakage and rearrangement due to defects in the cell cycle checkpoints, the DNA damage response and/or loss of telomere integrity (Gollin, 2004). When a chromatid break occurs, an unprotected chromosomal end will probably fuse with either another broken chromatid or its sister chromatid to produce a dicentric chromosome. During the anaphase, the two centromeres

are pulled to opposite poles, forming a bridge that breaks, resulting in more unprotected chromosomal ends, thus resulting in breakage-fusion-bridge cycles. Telomere mechanics, defects in DNA damage response and cell cycle checkpoint may play important roles in the development and maintenance of chromosomal instability (Gollin, 2004).

4.2 The origin of translocations

Recurrent translocations in CLL often involve *IG* loci. These translocations may follow DNA double strand breaks (DSBs) that are generated during V(D)J recombination (i.e. recombination of Variable, Diversity, and Joining segments of *IG*-genes) and somatic hypermutation (SHM) in developing B-cells and in the context of class switch recombination (CSR) in activated mature B-cells. DSBs in the partner loci may be generated by off-target VDJ recombination, CSR activities or may result from more general factors, such as oxidative metabolism or genotoxic agents. Misrepair of these DSBs can promote oncogenic translocations. When a translocation involves oncogenes or tumor suppressor genes, it can be positively selected in the context of neoplastic transformation. Selection likely plays the main role in the appearance of most clonal translocations in tumors (Gostissa *et al*, 2011).

4.2.1 VDJ recombination and RAG-mediated DSB

The complete VDJ recombination involves RAG-mediated cleavage, which generates DSBs, and the DSB repair pathway “classical nonhomologous DNA end-joining” (C-NHEJ). The latter promotes chromosomal integrity and suppresses the formation of translocations. In the absence of C-NHEJ, DSBs still can be joined by alternative end-joining (A-EJ), a process that contributes to oncogenic chromosomal translocations (Gostissa *et al*, 2011; Nussenzweig and Nussenzweig, 2010).

4.2.2 SHM, CSR and AID-mediated DSB

Although representing different processes, SHM and CSR are both initiated by AID (Gostissa *et al*, 2011; Perez-Duran *et al*, 2007). SHM generates point mutations, small deletions and insertions in variable region exons. This occurs in the germinal centers (GCs) and allows the selection of B-cells that express higher affinity B-cell receptors. CSR can also occur within the GC, as well as in extrafollicular regions (Gostissa *et al*, 2011).

AID initiates both SHM and CSR in B-cells by deaminating cytosines on the DNA of *IG* genes. The generated lesion can be processed into a mutation (SHM) or a DSB followed by a recombination reaction (CSR) (Perez-Duran *et al*, 2007). CSR requires the generation of AID-initiated DSBs. In contrast, SHM generally does not require DSBs. The latter are only occasionally generated as by-products of AID activity (Gostissa *et al*, 2011). It has been suggested that AID may have a dual role; initiating chromosomal translocations on one hand and generating secondary hits by mutagenesis on the other (Perez-Duran *et al*, 2007). Aberrant SHM and involvement of AID were reported to be involved in mutations of *TP53* (Malcikova *et al*, 2008), *MYC*, *PAX5* and *RhoH* (Reiniger *et al*, 2006). Moreover, AID activity has been linked to the generation of DSBs involved in translocations in both *IG* and non-*IG* loci (Gostissa *et al*, 2011). While AID was shown to initiate the formation of translocations and mutations, ATM, p53 and ARF provide surveillance mechanisms to prevent these aberrations (Perez-Duran *et al*, 2007).

AID expression results from interaction with an activated microenvironment. In a study of CLL patients with unmutated *IGVH*, high AID expression was found exclusively in the small subset of cells with ongoing CSR (Palacios *et al*, 2010). In addition, in CLL and small lymphocytic lymphoma, AID expression has been associated with unfavorable clinical course and with adverse biological parameters, i.e. higher proliferation rate, deletion of *ATM* and *TP53* (Leuenberger *et al*, 2010). AID expression has been considered to be predictive for CLL with unmutated *IGVH* status (Palacios *et al*, 2010). However, in other reports the association of AID expression and *IGVH* mutational status is considered controversial (Leuenberger *et al*, 2010).

4.2.3 Combined action of RAG and AID

In conclusion, RAG and AID can generate DSBs leading to translocations via VDJ recombination and CSR, respectively. RAG and AID are usually expressed in distinct B-cell developmental compartments. Activity of RAG has been observed in developing bone marrow B-cells, whereas AID activity has been found in peripheral mature B-cells. Breakpoint sequences can provide information regarding the developmental stage at which the translocation occurred (Gostissa *et al*, 2011; Nussenzweig and Nussenzweig, 2010). However, collaboration between RAG and AID in generating translocations has been reported. RAG induced DSBs can persist in the absence of ATM, an essential DNA damage checkpoint regulator, or in absence of the NHEJ factor XRCC4, leading to abnormal or delayed repair of RAG-mediated DSBs. In addition, AID may facilitate off-target DSB formation by RAG. As a consequence RAG and AID-mediated DSBs may coexist and become partners in translocation formation (Nussenzweig and Nussenzweig, 2010). Finally, not all DSBs that are precursors of translocations in lymphomas appear to be initiated by RAG or AID (Gostissa *et al*, 2011). The mechanism(s) involved herein remain largely unknown.

4.2.4 Oncogene activation

Most recurrent translocations activate oncogenes, either by generating oncogenic fusion proteins or by deregulating oncogene expression by linking it to strong transcriptional control elements. The *IGH* locus contains two known major transcriptional enhancer regions: the intronic enhancer (iE μ), which promotes optimal VDJ recombination in developing B-cells and the *IGH* 3' regulatory region (IGH3'RR), which modulates CSR in mature B-cells by long-range (over 100 kb) activation of certain promoters. The IgH3'RR does not gain full enhancer activity until late in B-cell development. It was reported that iE μ has low oncogenic activity, suggesting that VDJ-mediated translocations that retain iE μ near the translocation breakpoint may arise in early B-cell developmental stages but remain oncogenically silent until the IgH3'RR becomes fully active at the mature B-cell stage. Alternatively, the development of mature B-cell tumors from cells carrying VDJ-mediated translocations might reflect the time required for the accumulation of secondary mutations necessary for transformation. Another explanation is that translocations may be generated directly in mature B-cells, either by persisting VDJ breaks arisen at the pro-B-cell stage or by RAG-mediated breaks in peripheral B-cells (Gostissa *et al*, 2011).

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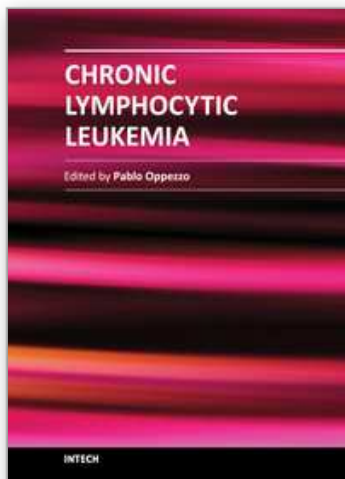
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B-cell chronic lymphocytic leukemia (CLL) is considered a single disease with extremely variable course, and survival rates ranging from months to decades. It is clear that clinical heterogeneity reflects biologic diversity with at least two major subtypes in terms of cellular proliferation, clinical aggressiveness and prognosis. As CLL progresses, abnormal hematopoiesis results in pancytopenia and decreased immunoglobulin production, followed by nonspecific symptoms such as fatigue or malaise. A cure is usually not possible, and delayed treatment (until symptoms develop) is aimed at lengthening life and decreasing symptoms. Researchers are playing a lead role in investigating CLL's cause and the role of genetics in the pathogenesis of this disorder. Research programs are dedicated towards understanding the basic mechanisms underlying CLL with the hope of improving treatment options.

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Slavka Krautzeka 83/A
51000 Rijeka, Croatia
Phone: +385 (51) 770 447
Fax: +385 (51) 686 166
www.intechopen.com

InTech China

Unit 405, Office Block, Hotel Equatorial Shanghai
No.65, Yan An Road (West), Shanghai, 200040, China
中国上海市延安西路65号上海国际贵都大饭店办公楼405单元
Phone: +86-21-62489820
Fax: +86-21-62489821

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