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The Selective Growth of Silicon Nanowires and Their Optical Activation

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1. Introduction

1.1 The selective growth of silicon nanowires via vapor-liquid-solid mechanism

Compared with bulk semiconductors, 1D semiconducting nanowires possess some very unique properties such as quantum confinement effects, surface sensitivity, intrinsically miniaturized dimensions, and low leakage currents which make them attractive as building blocks for functional nanosystems and next generation electronics (Khanal et al., 2007; Andersen et al., 2007; Tilke et al., 2003, as cited in Wu, 2008; Huang et al., 2010). This can be inferred from the sharply increasing number of publications in this field. Figure 1.1 shows the number of publications on nanowires or nanowhiskers by year, determined from a CAS SciFinder search (Wang et al., 2006). Especially for Silicon Nanowires, there are more than 700 articles published in 2008, which is twice the number published in 2005 (Schmidt et al., 2010).

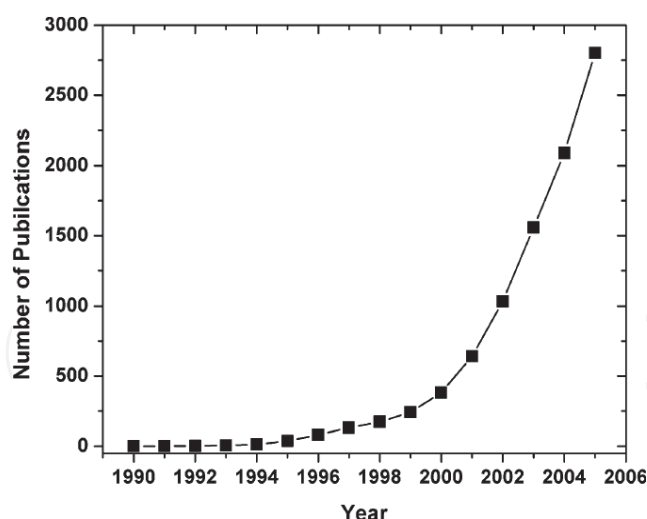


Fig. 1.1 Number of publications on Nanowires or Nanowhiskers by year, determined from CAS SciFinder search.

The earliest silicon wires were produced in the late 1950s as silicon whiskers (Treuting & Arnold, 1957, as cited in Schmidt et al., 2010). Nowadays, the term whisker has been almost displaced by the term wire and nanowire. Rodlike crystals with a diameter of less than 100nm will be referred to as nanowires while the term wire is used to the rodlike crystals of larger diameters (more than 100nm).

1.2 Silicon nanowire synthesis based on Vapor-Liquid-Solid (VLS) mechanism

1.2.1 VLS mechanism

Over the past several years, great efforts have been placed on the bulk synthesis of one-dimensional nanoscale materials, and various synthesis methods, such as chemical vapor deposition (CVD) (Amelinckx et al., 1994, as cited in Huang et al., 2010), arc discharge, laser ablation (Thess et al., 1994; Morales & Lieber, 1998; Yu et al., 1998a; Yudasaka et al., 1997, as cited in Huang et al., 2010), template-assisted growth (Dai et al., 1995; Han et al. 1997a, 1997b, 1998, 1999; Zhang et al., 2000, 2001, as cited in Huang et al., 2010), physical evaporation (PE) (Yu et al., 1997b; Zhang et al., 1999; Zhang et al., 2000, as cited in Huang et al., 2010) and lithography (Giovine et al., 2001, as cited in Huang et al., 2010) have been exploited. The most prominent method for silicon nanowire synthesis is the VLS growth mechanism, which is firstly proposed in March 1964 by Wagner and Ellis.

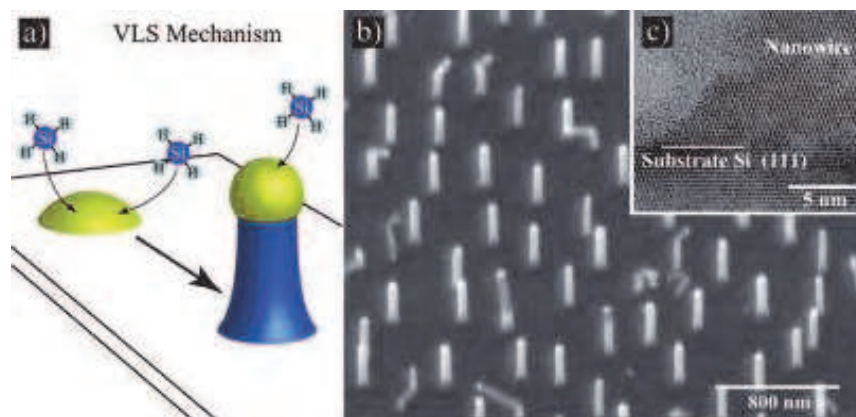


Fig. 1.2 (a) Schematics of the vapor-liquid-solid growth mechanism. (b) Scanning electron micrograph of epitaxially grown Si nanowires on Si <111>. (c) Transmission electron micrograph of the interface region between Si nanowire and substrate. Note the epitaxy and the curved shape of the nanowire flank.

The name VLS mechanism reflects the pathway of Si, which coming from the vapor phase diffuses through the liquid droplet and ends up as a solid Si wire. The VLS mechanism represents the core of silicon wire research, though it does not only work for silicon wire but also for a much broader range of wire materials, such as Ge (Wang et al., 2006) and other III-V nanowires (Mandl et al., 2011). The VLS growth process can be summarized in the following four steps (Givargizov, 1975, as cited in Schmidt et al., 2010): (1) mass transport of SiH_4 from the gas phase to the Au surface; (2) reaction of SiH_4 on the Au surface; (3) diffusion of Si through the Au-Si eutectic liquid phase; (4) crystallization of Si from the supersaturated Au-Si eutectic liquid. The VLS mechanism can best be explained on the basis of Au catalyzed Si wire growth on silicon substrates by means of chemical vapor deposition (CVD) using a gaseous silicon precursor such as silane. Different metal-Si alloy system possesses characteristic phase diagrams, which will be elaborated in section 1.2.1.1. The Au-Si binary phase diagram possesses a melting point of the Au-Si alloy strongly depends on composition. If heating Au in the presence of a sufficient amount of Si, considering e.g. a Au film on a Si substrate, to temperatures above 363 °C, the melting point of Au-Si alloy of 19 atom % Si and 81 atom % Au, will result in the formation of liquid Au-Si alloy droplets as schematically depicted in Figure 1.2a. When a gaseous silicon precursor such as silane, SiH_4 , covered these Au-Si alloy droplets, the SiH_4 will be catalyzed to solid Si in the droplet

interface. A continuous supply silicon precursor leads Si consequently to the growth of wires with a Au-Si droplet at their tip. Figure 1.2b is an example of Au-catalyzed Si nanowires grown homoepitaxially on a $\langle 111 \rangle$ substrate via the VLS-mechanism and Figure 1.2c is the transmission electron micrograph proves the epitaxial relation between nanowire and substrate (Schmidt, 2005, as cited in Schmidt et al., 2010).

As discussed above, the VLS nanowire growth mechanism is merely deduced from the fact that these nanowires generally have alloy droplets on their tips, while the direct evidence, however, is still lacking. A better understanding of the nanowire growth process in the vapor phase is necessary to pin down the growth mechanism and to be able to rationally control their compositions, sizes, crystal structures, and growth directions. P. Yang group (Wu et al., 2001) reported the real-time observation of semiconductor nanowire growth in an in-situ high temperature transmission electron microscope (TEM).

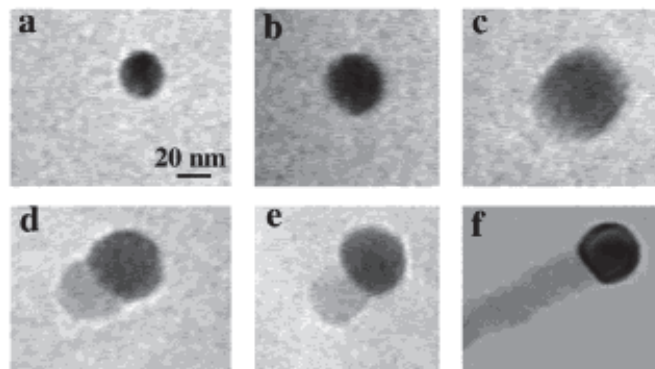


Fig. 1.3 In situ TEM images recorded during the process of nanowire growth. (a) Au nanoclusters in solid state at 500°C ; (b) alloying initiates at 800°C , at this stage Au exists in mostly solid state; (c) liquid Au/Ge alloy; (d) the nucleation of Ge nanocrystal on the alloy surface; (e)-(f) Ge nanocrystal elongates with further Ge condensation and eventually a wire forms. (Wu et al., 2001)

Figure 1.3a-f shows a sequence of TEM images during the growth of a Ge nanowire in situ. This real-time observation of the nanowire growth directly mirrors the proposed VLS mechanism in Figure 1.4a. We have examined over 50 individual Au clusters during the in situ catalytic nanowire growth. In general, three stages (I-III) could be clearly identified.

- i. *Alloying process* (Figure 1.3a-c). Au clusters remain in the solid state up to our maximum experimental temperature 900°C if there is no Ge vapor condensation. This is confirmed by selected area electron diffraction on the pure Au clusters. With increasing amount of Ge vapor condensation and dissolution, Ge and Au form an alloy and liquefy. The volume of the alloy droplets increases, and the elemental contrast decreases (due to dilution of the heavy metal Au with the lighter element Ge) while the alloy composition crosses sequentially, from left to right, a biphasic region (solid Au and Au/Ge liquid alloy) and a singlephase region (liquid). This alloying process can be depicted as an isothermal line in the Au-Ge phase diagram (Figure 1.4b).
- ii. *Nucleation* (Figure 1.3d,e). Once the composition of the alloy crosses the second liquidus line, it enters another biphasic region (Au/Ge alloy and Ge crystal). This is where nanowire nucleation starts. Knowing the alloy volume change, we estimate that the nucleation generally occurs at Ge weight percentage of 50-60%. This value differs from the composition calculated from the equilibrium phase diagram which indicates the

first precipitation of Ge crystal should occur at 40% Ge (weight) and 800°C. This difference indicates that the nucleation indeed occurs in a supersaturated alloy liquid.

- iii. *Axial growth* (Figure 1.3d-f). Once the Ge nanocrystal nucleates at the liquid/solid interface, further condensation/ dissolution of Ge vapor into the system will increase the amount of Ge crystal precipitation from the alloy. This can be readily accounted for, using the famous lever rule of phase diagram. The incoming Ge species prefer to diffuse to and condense at the existing solid/liquid interface, primarily due to the fact that less energy will be involved with the crystal step growth as compared with secondary nucleation events in a finite volume. Consequently, secondary nucleation events are efficiently suppressed, and no new solid/liquid interface will be created. The existing interface will then be pushed forward (or backward) to form a nanowire (Figures 1.3f, 1.4b). After the system cools, the alloy droplets solidify on the nanowire tips. Their compositions were analyzed with energy-dispersive X-ray spectroscopy (EDAX), and it was found that the weight percentage of Ge matches qualitatively well with the estimated alloy composition at which first Ge nanocrystal nucleates.

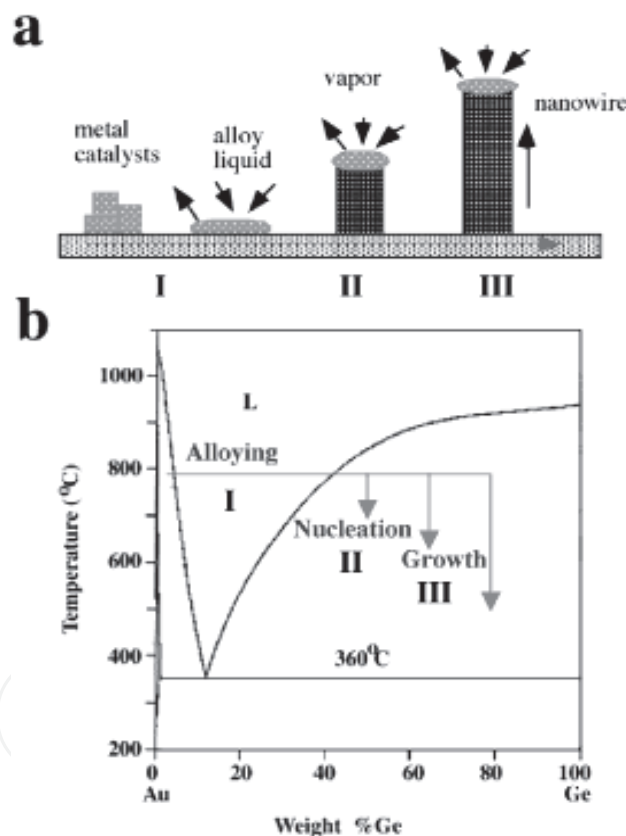


Fig. 1.4 (a) Schematic illustration of vapor-liquid-solid nanowire growth mechanism including three stages (I) alloying, (II) nucleation, and (III) axial growth. The three stages are projected onto the conventional Au-Ge binary phase diagram (b) to show the compositional and phase evolution during the nanowire growth process. (Wu et al., 2001)

The direct observation of nanowire growth unambiguously confirms the validity of vapor-liquid-solid crystal growth mechanism at the nanometer scale and should allow us to rationally control the nanowire growth which is critical for their potential implementation into the nanoscale electronic and optoelectronic devices.

1.2.1.1 Phase diagrams of different catalyst-Si alloy system

The most remarkable feature of the VLS growth mechanism, however, is its universality. VLS growth works well for a multitude of catalyst and wire materials and, regarding Si wire growth, over a size range of at least 5 orders of magnitude; from wire diameters of just a few nanometers up to several hundred micrometers.

The characteristic of VLS mechanism is the application of metal catalyst, which is generally selected by phase diagrams. Figure 1.5 is showing phase diagrams of different metal-Si alloy system. To formulate the requirement on the catalyst-Si binary phase diagram in a more abstract way, Si wire growth requires a nonhorizontal phase boundary over which one can push the catalyst-Si system to enforce the precipitation of a Si rich solid. The catalyst materials are classified into three different categories by the phase diagrams of metal-Si system: Type-A, Type-B, Type-C (Bootsma & Gassen, 1971, as cited in Schmidt et al., 2010), as shown in figure 1.6.

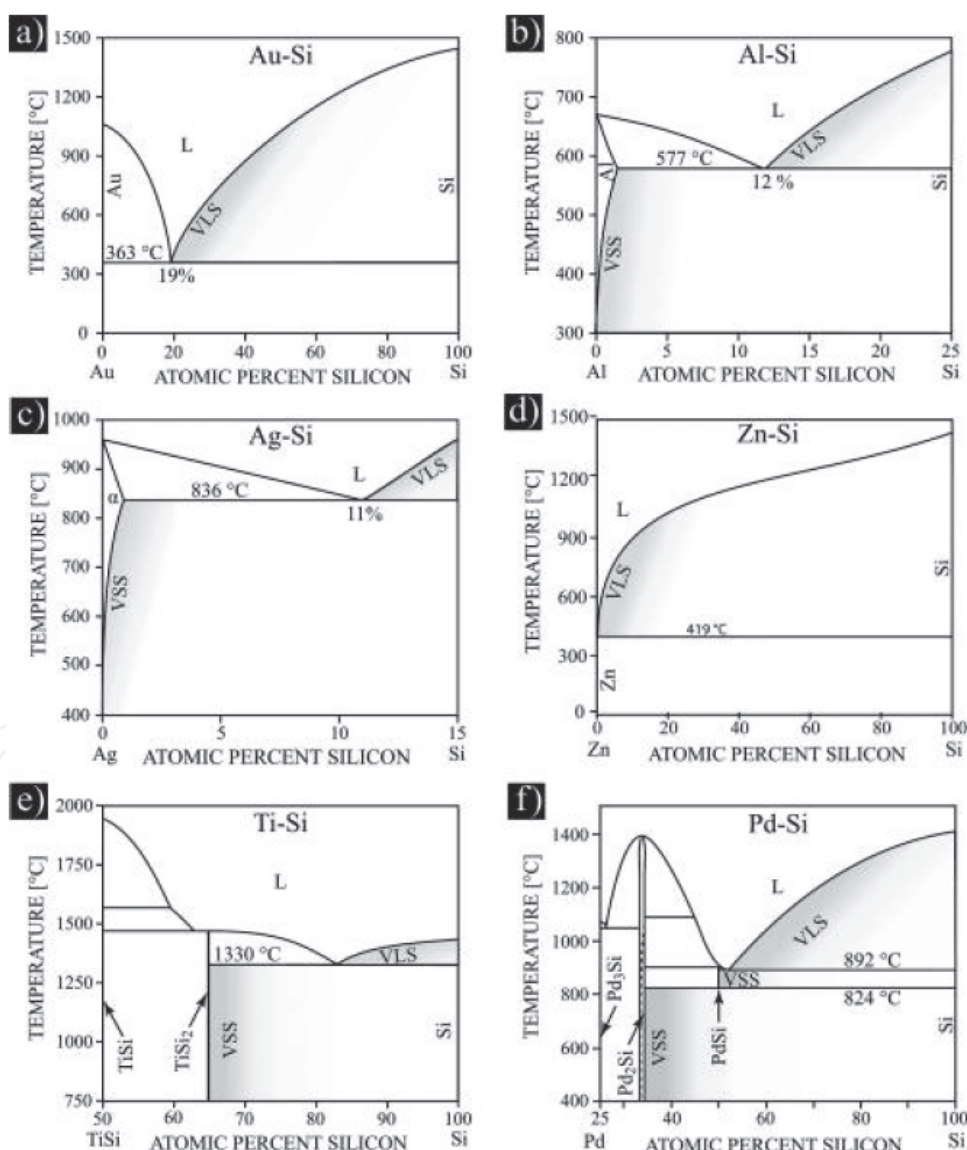


Fig. 1.5 Schematic phase diagrams of different metal-Si systems. (a) Au-Si, (b) Al-Si, (c) Ag-Si, (d) Zn-Si, (e) Ti-Si, (f) Pd-Si (Weber, 2002, as cited in Schmidt et al., 2010).

Type-A catalysts are the Au-like metals. Their phase diagram is of the simple eutectic type; that is, it is dominated by a single eutectic point. This eutectic point is located at a Si composition of more than 10 atom % Si. Furthermore, type-A catalysts do not possess any metal-silicide phases. There are only three type-A metals: Al, Ag, and Au.

Au is the most convenient and effective catalyst due to its nontoxicity, chemical stability and availability. Au is available not only by evaporation, but by Au colloid nanoparticles. And Au doesn't oxidize in air to make an in situ deposition unnecessary, which is a decisive advantage for the pregrowth sample preparation. The Au-Si phase diagram is of the simple eutectic type, with its dominant feature being a eutectic point at a composition of about 19 atom % Si, indicating that Si likes to mix with Au. The eutectic temperature is 363 °C, a quite remarkable reduction of melting temperature, which is 700 K lower than the melting point of pure Au and about 1050 K below the melting point of pure Si. The phase within the V-shaped region, visible in Figure 1.5a, is the liquid phase, the actual composition of which depends on the amount of Si supplied. For Au-Si alloy droplets on a Si substrate, Si is abundant, and the composition of such Au-Si droplets is therefore given by the position of the liquidus line on the Si side, i.e. the phase boundary on the right-hand side (rhs) of the liquid phase. If such Au-Si droplets on a Si substrate, held at temperatures above the eutectic temperature, are exposed to a Si precursor such as silane, SiH₄, silane molecules will crack at the surface of the droplets, thereby supplying additional Si to the droplet. This additional Si supply causes an increase of the Si concentration in the droplet to a value greater than the equilibrium concentration. Considering the Au-Si phase diagram shown in Figure 1.5a, this means that, by switching on the silane, the Au-Si droplet system is pushed over the liquidus line; and the only way for the droplet to reduce the Si concentration is to precipitate a Si-rich solid. In general, the composition of such a Si-rich solid would be given by the nearest phase boundary on the Si side of the liquidus. Consequently, the droplet precipitates Si, which with time results in the growth of a wire (Schmidt et al., 2010).

The drawback for Au is the contamination of the nanowires (Allen et al., 2008; Perea et al., 2006; Shchetinin et al., 1991) in the semiconductor industry. Because it is associated with deep-level defects in Si, leading to strongly enhanced carrier recombination. Metal impurities in semiconductors are generally known to affect the charge carrier lifetimes by facilitating charge carrier recombination. The recombination rate critically depends on the energy difference between the impurity level or levels and the band gap middle; the closer the impurity level is to the band gap middle, the more efficient it is as a recombination center. The use of metals with impurity levels close to the band gap middle, so-called deep levels, is therefore to be avoided.

Al, another Type-A catalyst, shows the closest similarity of Al-Si binary phase diagram (see Figure 1.5b) with Au-Si, excluding that the eutectic point of the Al-Si system is located at a higher temperature (577 °C) and at a slightly lower Si concentration (12 atom%). So Al could catalyze the VLS growth of Si nanowires undoubtedly. Osada et al. (Osada et al., 1979, as cited in Schmidt et al., 2010) demonstrated Al catalyzed VLS growth of crystalline Si wires in a CVD process using silane and applying temperatures of 580-700 °C. Compared with Au, Al catalyst shows the great advantage that Al does not create deep level defects. The major drawback of the use of Al, however, is its oxygen sensitivity. Oxidation of the Al catalyst particle has to be prevented during the whole processing sequence, which clearly limits the usability of Al.

Silver is the second nongold, type-A catalyst. The Ag-Si system (see Figure 1.5c) possesses a single eutectic point (at 11 atom % Si and 836 °C) (Weber, 2002, as cited in Schmidt et al., 2010). Due to the high eutectic temperature, high process temperatures at around 1000 °C are required for Ag-catalyzed VLS growth of Si wires. So the high process temperature becomes the disadvantage of Ag catalyst, because the Ag catalyst did not evaporate completely under these conditions, as the vapor pressure of Ag reaches a value close to 10^{-2} mbar at 1000 °C, which is about 3 orders of magnitude larger than that of Au (Geiger et al., 1987, as cited in Schmidt et al., 2010).

Type-B catalysts are the low Si solubility metals. Their phase diagrams also show a single dominant eutectic point but no silicide phases. In contrast to the type-A catalysts, the eutectic point is located at much lower Si concentrations, less than 1 atom % Si. Typical type-B catalysts are In, Ga, or Zn. The Zn-Si binary phase diagram is dominated by a single eutectic point at 420 °C and 0.02 atom % Si, shown in Figure 1.5d, and despite its high vapor pressure of 0.2 mbar at 420 °C, Zn has proven to be an effective catalyst material for VLS growth (Chung et al., 2000). However, the impurity levels of Zn in Si are basically as detrimental as those of Au in the view of the electronic properties. The only advantage of using Zn is that a potential Zn contamination of wafers or equipment can be removed more easily than a potential Au contamination.

Ga or In as catalyst of VLS growth appears to be much more attractive than that of Zn from a vapor pressure point of view. At 500 °C, the vapor pressure of In is below 10^{-7} mbar, and the vapor pressure of Ga is even lower: 10^{-10} mbar (Schmidt et al., 2010). Moreover, In and Ga would also be attractive from an electronics point, as both would induce a p-type doping of the wires. In terms of phase diagrams, Ga and In show great similarities. The Si concentrations at the eutectic point (smaller 0.01 atom %) as well as the eutectic temperatures (Ga, 30 °C; In, 156 °C) are very low in both cases, and any reasonable CVD growth temperatures will be way above the respective eutectic temperature. Therefore In or Ga can be expected to produce similar Si nanowire results (Givargizov & Sheftal, 1971, as cited in Schmidt et al., 2010).

Type-C catalysts are the silicide forming metals. Their phase diagram indicates the presence of one or more silicide phases. In addition, the lowest eutectic temperature is higher than 800 °C. Typical type-C catalysts are Cu, Pt, or Ti. Here is showing based on Ti catalyst. Figure 1.5e schematically depicts the Si-rich half of the Ti-Si phase diagram. As indicated therein, Ti-Si possesses a eutectic point at 1330 °C adjoining the pure Si side of the phase diagram, whose liquidus can be used for Si wire growth via the VLS mechanism. At growth temperatures below 1330 °C, growth should theoretically proceed via the phase that at this temperature is neighboring the pure Si side. As one can see in Figure 1.5e, this would be TiSi_2 . Considering growth at 1000 °C and starting from a Ti particle, this Ti particle will first transform into Ti_5Si_3 and then into Ti_5Si_4 , which becomes TiSi , which will finally transform into TiSi_2 . Only once this transformation process is completed can Si wire growth start.

To explain the one-dimensional growth of Si nanowires prepared via VLS, the generation of invisible charged nanoparticles during VLS is experimentally confirmed. In an effort to confirm whether charged silicon nanoparticles were also generated during the synthesis of Si nanowires by VLS, a differential mobility analyzer (DMA) combined with a Faraday cup electrometer (FCE) was connected to an atmospheric-pressure CVD reactor under typical conditions for Si nanowire growth, as shown in Figure 1.7 (Kim et al., 2010).

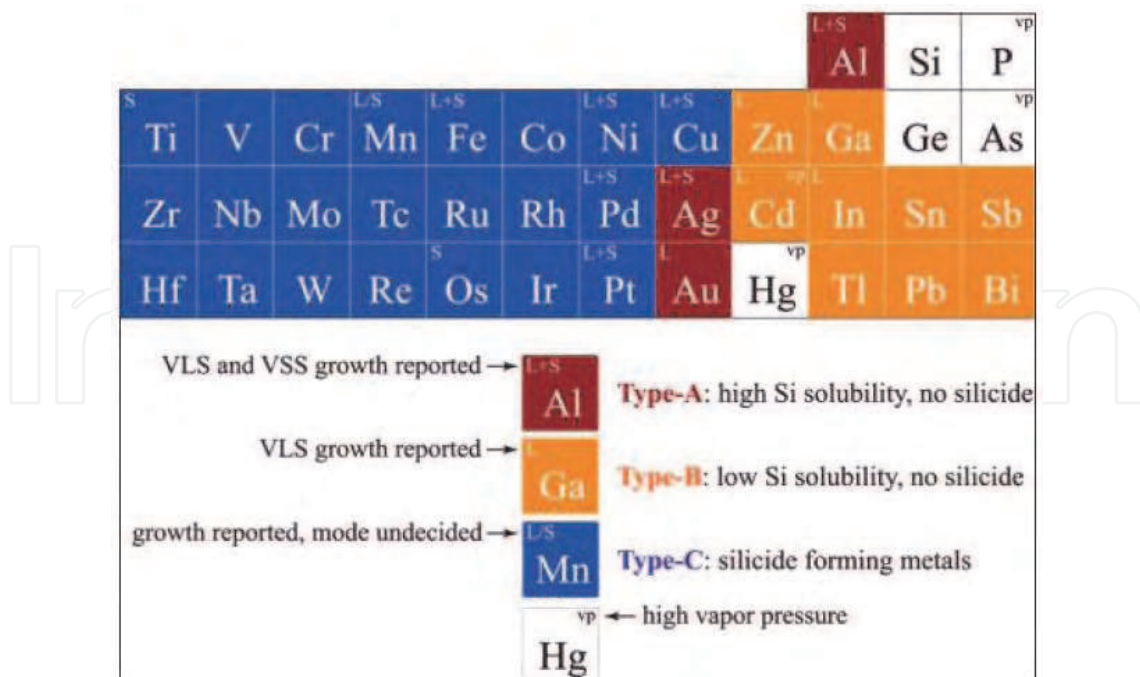


Fig. 1.6 Periodic table with potential catalyst metals classified according to their phase diagram. Type-A: phase diagram dominated by a eutectic point at a Si concentration >10%; no metal-silicide phase present. Type-B: phase diagram dominated by a eutectic point at a Si concentration <1%; no metal-silicide phases present. Type-C: phase diagram with one or more metal-silicide phases; eutectic points located at temperatures above 800 ° C. Elements marked with superscript ion have a vapor pressure of more than 0.01 mbar at 300 ° C (Bootsma & Gassen, 1971, as cited in Schmidt et al., 2010).

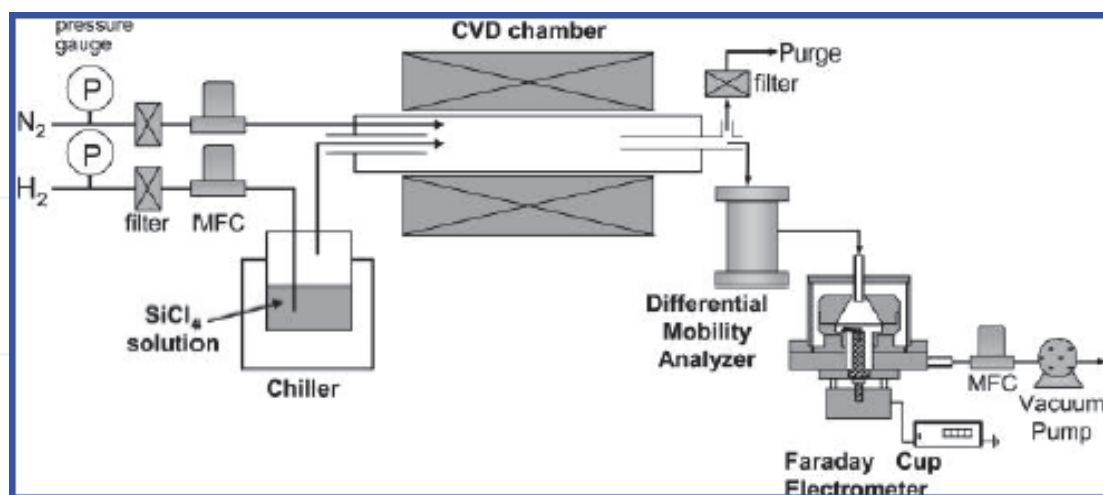


Fig. 1.7 Schematic of experimental setup for the measurement of charged nanoparticles generated during the VLS process (Kim et al., 2010).

To investigate the effect of the reactor temperature on the deposition of Si nanowires and on the formation of nanoparticles in the gas phase, the reactor temperature was varied from 900 to 1000 °C at a SiCl₄/H₂ molar ratio of 0.1 and a hydrogen flow rate of 5 sccm, with the total flow rate of nitrogen and hydrogen fixed at 1000 sccm, and in situ measurements of charged

nanoparticles were carried out using a DMA-FCE system. The results show that as the reactor temperature was increased, Si nanowire growth is enhanced with increasing reactor temperatures within the examined temperature range, and the size distribution of both positively and negatively charged nanoparticles shifted to smaller particle sizes and the number concentration of charged nanoparticles increased. At reactor temperatures above 900°C, the number concentration of charged nanoparticles smaller than ~30 nm increased drastically. These results indicate that the decomposition of SiCl₄ increased with increasing reactor temperature. Thus, nucleation and charging of nanoparticles in the gas phase are enhanced with increasing reactor temperature due to enhanced thermal decomposition of SiCl₄.

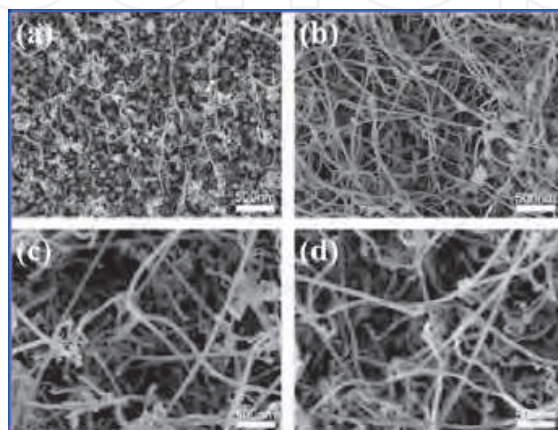


Fig. 1.8 FESEM images of Si nanowires at SiCl₄/H₂ molar ratios of (a) 0.05, (b) 0.1, (c) 0.15, and (d) 0.2 at a hydrogen flow rate of 5 sccm and a reactor temperature of 975° C (the scale bar is 500 nm) (Kim et al., 2010).

To examine the effect of the molar ratio of SiCl₄/H₂ on both Si nanowire growth and the size distribution of charged nanoparticles, the molar ratio of SiCl₄/H₂ was varied at a fixed hydrogen flow rate of 5 sccm and a reactor temperature of 975° C. Figure 1.8 shows the FESEM images of nanowires formed at different molar ratios of SiCl₄/H₂. As the ratio of SiCl₄/H₂ was increased, both the diameter and the length of the nanowires increased. As the ratio of SiCl₄/H₂ was increased from 0.05 to 0.1, the diameter increased from 24 to 35 nm, and the length and the density of the nanowires were also markedly increased. As the ratio SiCl₄/H₂ was further increased to 0.15 and 0.20, the diameter drastically increased to 61 and 65 nm, respectively. This result indicates that the ratio of SiCl₄/H₂ is an important parameter controlling the diameter and length of Si nanowires. Therefore, the size of the gold nanoparticles is not the only parameter that determines the diameter of the Si nanowires that grow on them.

Figure 1.9 shows the particle size distribution of positively (Figure 9a) and negatively (Figure 9b) charged nanoparticles generated at various SiCl₄/H₂ ratios. The size distribution of positively and negatively charged nanoparticles generated at a SiCl₄/H₂ ratio of 0.05 has a peak at 14.6 nm. As the ratio of SiCl₄/H₂ increased, the size distribution of both positively and negatively charged nanoparticles shifted to larger particle sizes and the number concentration of charged nanoparticles increased drastically. However, the peak diameter did not change considerably at a SiCl₄/H₂ ratio higher than 0.1. These results show that the ratio of SiCl₄/H₂ not only affects the growth behavior of Si nanowires but also affects the size distribution of charged nanoparticles.

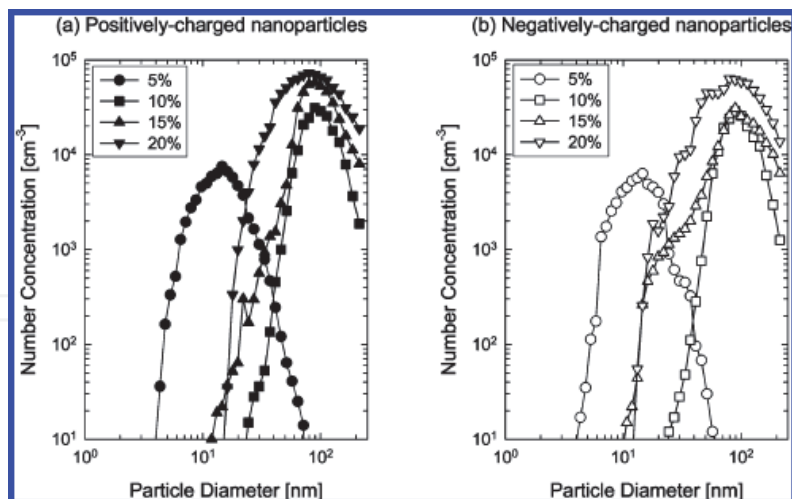


Fig. 1.9 Size distribution of (a) positively and (b) negatively charged nanoparticles at various molar ratios of SiCl_4/H_2 at a hydrogen flow rate of 5 sccm and a reactor temperature of 975 °C (Kim et al., 2010).

Similarly, the hydrogen flow rate has a sensitive effect on the generation of charged nanoparticles as well as the growth behavior of Si nanowires. As the hydrogen flow rate was increased from 5 to 10 sccm, the number concentration of charged nanoparticles increased, but it decreased at the hydrogen flow rate of 15 sccm. Especially at a hydrogen flow rate of 15 sccm, where Si nanowires did not grow, charged nanoparticles below 40 nm were not detected.

The formation mechanism of charged silicon nanoparticles generated during Si nanowire synthesis by VLS could be deduced that gas phase nuclei of silicon would be formed first and then these nuclei undergo surface ionization on any surface, such as the quartz tube of the reactor (Kim et al., 2009b). The surface ionization of gas phase nuclei is very similar to the electrostatic charging or triboelectric charging that is experienced commonly in everyday life. The electrostatic charging shows that charging can occur even at room temperature. It is more probable that the charged nanoparticles, instead of individual atoms or molecules, produced in the VLS process, should interact with the catalytic gold particles to produce the Si nanowires. The electrostatic attraction between the charged nanoparticles and the conducting gold particles would be much stronger than that between the charged nanoparticles and the insulating native oxide of the silicon substrate. The nanoparticles carried by the gas flow have difficulty in landing on a surface because of the levitation force: the gas flow velocity is zero on the surface and increases away from the surface. For charged nanoparticles to land on any surface, the electrostatic attraction between charged nanoparticles and the surface should overcome this levitation force. It appears that the electrostatic attraction between charged nanoparticles and the conducting gold particles is larger than the levitation force, whereas the electrostatic attraction between charged nanoparticles and the native silicon oxide of the substrate or the silicon surface of nanowires is less than the levitation force. This might be why charged nanoparticles land preferentially on the conducting gold particles, leading to nanowire growth. When the rod-shaped silicon is formed with a gold nanoparticle at its tip, the charged silicon nanoparticles would be attracted to the gold tip more preferentially than to the side of the silicon rod, considering that the charged nanoparticles should have higher electrostatic attraction energy with the conducting gold nanoparticles than with the side of the semiconducting silicon rod.

1.2.2 The selective growth of SiNWs based on VLS mechanism

1.2.2.1 SiNW diameter controlling via VLS mechanism

Precise control of the SiNW diameter strongly affects the electrical and optical properties of the nanowires (Brus, 1994). The diameter of each Si nanowire is largely determined by the size of the catalyst particle and growth conditions. In general, Au colloids are used to define the diameter and position of the SiNWs. Au colloids are ideal seeds for controlling the SiNW diameter: They act as the seeding metal for nanowire growth by the VLS process, and Au colloids may be synthesized or obtained commercially with relatively narrow size distributions. Since each colloid seeds the growth for one nanowire, aligned nanowires can be grown with narrow size distributions approaching those of the seed particles. Hence, by seeding wire growth with colloids of different average size, the average diameter of the SiNW arrays could be precisely controlled (Cui et al., 2001; Wu et al., 2004).

Using a thin polyelectrolyte layer, gold colloids are electrostatically attracted to and immobilized on the substrate to act as seeds for Si nanowires grown using the VLS-CVD method. The diameter of the colloids precisely controls the nanowire diameter. The colloid solution concentration controls the density of growth. Microcontact printing of the polyelectrolyte layer confines wire growth to patterned regions (Hochbaum et al., 2005). As seen in Figure 1.10b, d, and f. Size distributions of both colloids and nanowires were determined from TEM micrographs. SiNWs grown from Au colloids of 50 (56 ± 5.0), 30 (30 ± 3.3), and 20 (20 ± 2.1) nm diameters were 93 ± 7.4 , 43 ± 4.4 , and 39 ± 3.7 nm in diameter, respectively (Hochbaum et al., 2005).

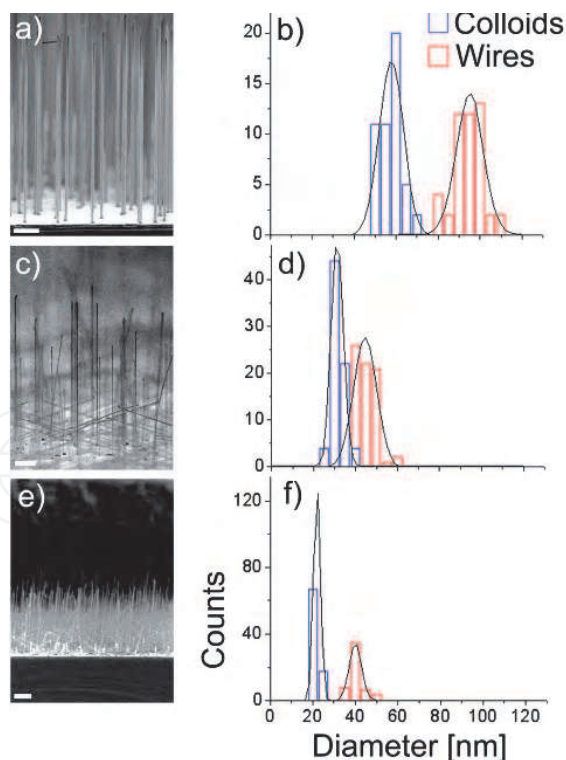


Fig. 1.10 (a, c, e) Cross-sectional images of nanowire samples grown from 50, 30, and 20 nm (nominally) Au colloids, respectively. Scale bars are 1 μm . (b, d, f) Size distributions of Au colloids and resulting SiNW diameters. (g) High-resolution transmission electron microscopy image of a single crystalline Si nanowire. Scale bar is 3 nm (Hochbaum et al., 2005).

The density of nanowire growth is also critical to device function. By varying the concentration of the seeding solution (using 50 nm Au colloids as example here), we were able to control the seeding density on the substrate surface. The graph in Figure 1.11 shows the relationship between nanowire growth density, as determined from SEM images, and dilution of the gold colloid stock solution. Wires were seeded with densities ranging over an order of magnitude, from ~ 0.1 - 1.8 wires/ μm^2 . In general, a good 1-to-1 nanoparticle/nanowire ratio can be achieved, although it was observed that optimal growth conditions varied slightly with nanowire seeding density.

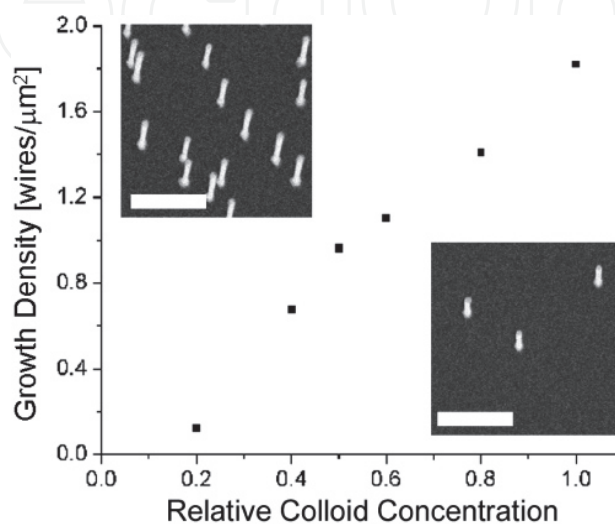


Fig. 1.11 SiNW growth density dependence on the relative concentration of the seeding solution. All colloid solutions were diluted from the same stock solution. Insets show typical nanowire growth at 4/5 (top left) and 2/5 dilution (bottom right). Scale bars are $1\mu\text{m}$ (Hochbaum et al., 2005).

1.2.2.2 Spatial controlling via VLS mechanism

For both phenomenological studies and the implementation of practical applications of SiNWs, fabrication of regular arrays of Si wires, with precise control of the crystallographic orientation, dimension, and density will be of great value. For laser interference lithography, the ultimate achievable resolution equals to one-fourth of the laser wavelength (Solak, 2006). To overcome the limitation in achieving reliable diameter reduction by reactive ion etching (RIE) for the smaller dimensions, template-based methods offer access to a wide variety of nanowires with a broad diversity in composition and shape. As template material, most commonly substrates such as track-etched polycarbonate membranes (Azarian et al., 2009), mesoporous silica (Petkov et al., 2007) and porous anodic alumina membranes (Xiang et al., 2008; Gao et al., 2002) are used.

A porous anodic alumina (PAA) is a material characterized by a honeycomb pattern of nanometer-sized pores with uniform diameter and spacing (Masuda & Fukuda, 1995). The pores are formed during the electrochemical anodization of aluminum under controlled conditions; different self-ordering conditions yield pores of different diameters and spacing (Nielsch et al., 2002). They give easy access to self-organized pores with high aspect ratios and tunable pore sizes (Gao et al. 2002; Masuda & Fukuda et al., 1995; Krishnan & Thompson, 2007; Nielsch et al., 2000). These pores are then used as a molding system for the synthesis of the desired nanostructures (Xiang et al., 2010).

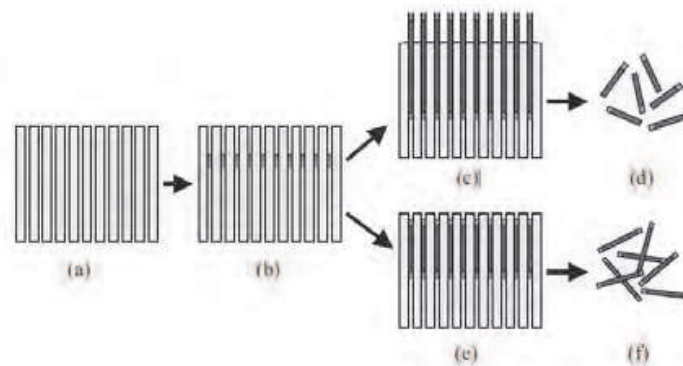


Fig. 1.12 Schematic diagram of the fabrication method used for the PAA template-based growth of SiNWs. (a) Cross-section of PAA, (b) electro-deposition of Au within pores, (c) VLS growth of SiNWs out of membrane surface, (d) SiNW removal by mechanical agitation, (e) VLS growth of SiNWs within membrane, and (f) SiNW removal by wet etching of membrane (Bogart et al., 2005).

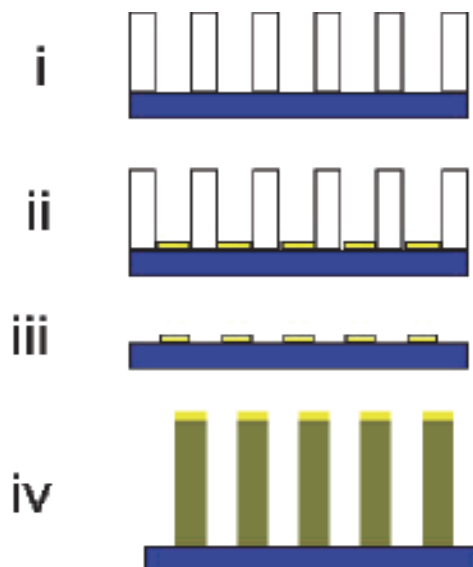


Fig. 1.13 Schematic diagram of the fabrication method used for the PAA template-based growth of SiNWs. (i) Transfer of the PAA mask on Si (111), (ii) evaporation of Au through the PAA pores, (iii) PAA removal, and (iv) growth of SiNWs from the patterned substrate.

The VLS growth of SiNWs inside the pores of an unsupported PAA template using SiH_4 as the precursor gas has been completed (Bogart et al., 2005; Lew & Redwing, 2003; Zhang et al., 2001), as shown in Figure 1.12. Briefly, gold is electro-deposited inside a free-standing PAA membrane, and the Au loaded template is placed inside the VLS growth furnace where SiNWs with uniform diameter are grown inside the pores of the alumina film. Subsequently, the template is dissolved (Bogart et al., 2005). The disadvantage of this process is that produced SiNWs are unsupported. In order to overcome the production of unsupported nanowires, due to the preventing their integration into functional devices (Lombardi et al., 2006), evaporation of Au through the PAA pores have been applied to overcome the dispersion of SiNWs after removal of PPA membrane, as shown in Figure 1.13 (Lombardi et al., 2006).

Figure 1.14 shows the ordered pattern of gold nanodots after the thermal evaporation step and PAA mask removal. The size and arrangement of the gold clusters closely match those of the PAA pores. The average diameter of the dots is 53 nm with a standard deviation of 7.2%. The SiNW density could be controlled by simply changing the acid etch of the PAA film yielding pores up to required diameter while keeping the distance between pores unchanged. As a result, the gold nanoparticle arrays are arranged in a highly ordered configuration reflecting the hexagonal pattern of the nanopores of the PAA mask. SiNWs with controlled dimensions and spacings are grown in a rather inexpensive manner with this approach over large areas ($\sim 7 \text{ mm} \times \sim 7 \text{ mm}$). Additionally, this fabrication method is capable of producing SiNWs with a packing density as high as $6 \times 10^9/\text{cm}^2$, which could be achieved otherwise only with lithographic techniques, entailing serious limits for mass scale production of these nanomaterials.

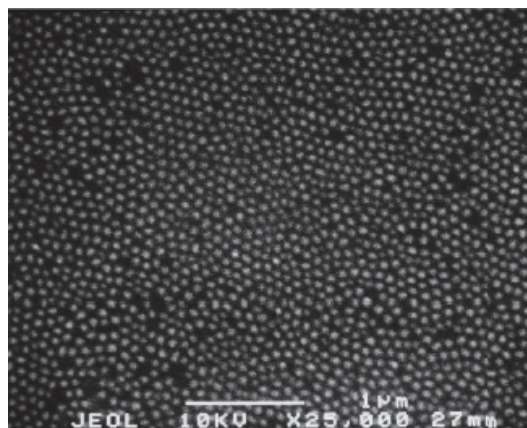


Fig. 1.14 Ordered arrays of Au nanodots evaporated through the nanochannels of the PAA mask. The clusters have an average diameter of 53 nm and a dot-to-dot distance of 100 nm. The height of the dots is 5 nm.

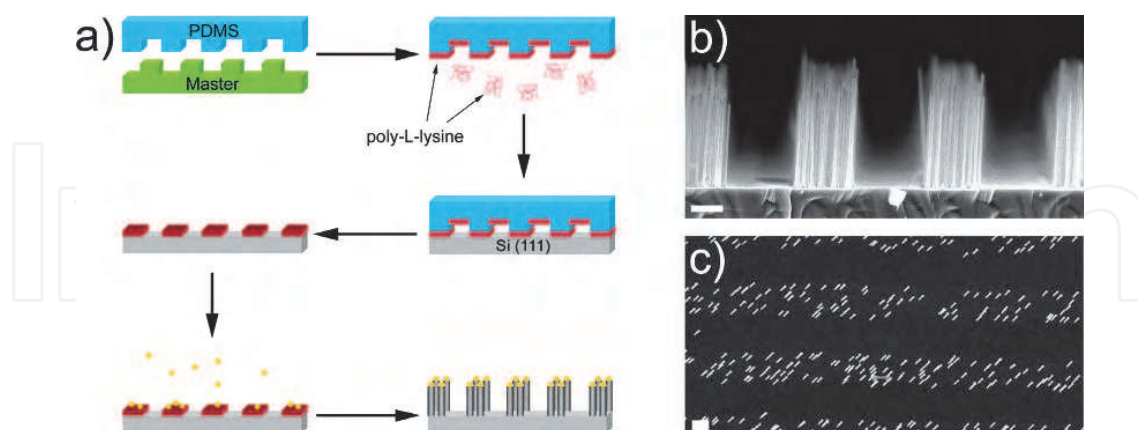


Fig. 1.15 (a) Schematic of PDMS patterning of Au colloids. Briefly, a PDMS stamp is molded to the relief pattern of a photoresist master. After curing the polymer, the stamp is removed from the master and “inked” with a solution of poly-L-lysine. The stamp pattern is transferred to the Si (111) substrate, which is then immersed in the Au colloid solution. The colloid-patterned substrate is grown using the conventional VLS-CVD synthesis, resulting in a corresponding pattern of SiNW arrays. (b) Cross-sectional SEM image of PDMS patterned SiNW growth, and (c) plane-view SEM image of the same. Scale bars are $1 \mu\text{m}$ (Hochbaum et al., 2005).

A poly(dimethylsiloxane) (PMDS) stamp was made using a photoresist master of 2 μm lines with 2 μm separation, and the pattern was transferred to the substrate by previously established techniques (Hochbaum et al., 2005) (Figure 1.15a). The stamp was “inked” with the poly-L-lysine solution by the same method described above for deposition on the Si substrates. The polymer pattern was transferred to a Si wafer by placing the stamp on the substrate and heating at 70 °C for 5 min. The patterned substrate was immersed in the 50 nm Au colloid solution for a short time, such that colloids only adhered to the polyelectrolyte and not the bare Si. Nanowires were synthesized on these substrates by the same VLS method. The resulting growth, seen in Figure 1.15b and c, is strictly confined to the regions of poly-L-lysine deposition. The plane-view SEM image (Figure 15c) shows a small part of the pattern, which is consistent over several square millimeters - the extent of the stamped area that was immersed in the colloid solution. Thus, spatial control over SiNW growth was achieved by patterning regions of seed particles using microcontact printing.

1.3 Conclusion

The VLS mechanism is a well established growth technology for SiNWs. Based on the VLS mechanism, the diameter, the density and the special arrays of SiNWs can be well controlled by careful selections of catalysts, the templates and the growth conditions. The future challenge is the controllable growth of SiNWs matching with the devices requirements, such as single SiNW growth in an ordered place, the uncontaminated SiNWs.

2. Optical activation of Si and Ge nanowires codoping with Er rare earth by sol-gel methods

2.1 Introduction

The discovery of the strong Stokes shift between absorption and emission in Si nanostructures suggested that emission could be due to an interfacial radiative emission center (Song & Bao, 1997; Klimov et al., 1998; Iacona et al., 2000, as cited in Priolo et al., 2001). Moreover, the quantum confinement picture and the interfacial state model have been reconciled by demonstrating that a Si=O double bond introduces size-dependent levels (both for electrons and for holes) within the gap (Wolkin et al., 1999). According to this picture it is the radiative recombination of this electron-hole pair trapped at the Si=O double bond, the process responsible for the observed emission. This emission, however, is still nc size dependent, due to quantum confinement effects, thus explaining the observed blueshift. migration and trapping of excitons within nanostructures have been studied in both porous Si and Si nc formed by ion implantation (Pavesi, 1996; Linnros et al., 1999, as cited in Priolo et al., 2001). But Si nanocrystals emit light at room temperature in the range 700–1100 nm (Priolo et al., 2001, as cited in Priolo et al., 2001).

In order to realize the Si based light emitter in the technologically important 1.5 μm range (Ennen et al., 1983, as cited in Suh et al., 2005), the Er-doping of Si has attracted great attention because of its promising future in the development of light-emitting diodes and lasers operating at a wavelength of 1.54 μm , which coincides with the absorption minimum of optical fibers (Park et al., 2005). The Er doping of Si nanocrystals (ncs) holds some promise for efficiently generating light emission, since Si nanocrystals in the presence of Er act as efficient sensitizers for Er ions (Polman, 1997; Seo & Shin, 2001; Schmidt et al., 2002; Iacona et al., 2002, as cited in Park et al., 2005).

2.2 Optical activation of Er-doped silicon nanocrystals

Er is an important rare earth material for optoelectronic devices due to its luminescence wavelength at about 1540 nm, which coincides with a minimum loss in optical fibers. Er-doped nanocrystals of indirect-gap semiconductors like Si are being widely studied as they would open new possibilities for applications in optoelectronics and microelectronics, with the main advantage of being compatible with actual device technology. As shown (Coffa et al., 1994, as cited in Park et al., 2005) in the Auger excitation model, the electron-hole pairs are bound to Er-related states below the conduction band in Si ncs. The excitations can then recombine and thereby excite the Er ions with an excess energy to the difference between the bound state and the conduction band in Si ncs. When Er is introduced in the sample the Er-nc interaction is particularly strong. In this case the excited nc preferentially transfers its energy to the Er ion. The Er ion is excited in a high energy state and decays very rapidly in the first excited state ($^4I_{13/2}$). At this stage its energy is too small to be transferred back to the nc and the Er remains excited until the radiative emission occurs at 1.54 μm while the nc luminescence at around 800 nm is totally quenched (Kenyon et al., 1994; Fujii et al., 1997, 1998; Franzo` et al., 1999; Chryssou et al., 1999; Kenyon et al., 2000; Shin et al., 2000a; Franzo` et al., 2000; Kik et al., 2000, as cited in Priolo et al., 2001). Therefore in the presence of Er ions a transfer of the energy among Si nc is thought to be less probable (Priolo et al., 2001).

Excellent optical properties were obtained by using silicon-rich silicon oxide (SRSO), which consists of Si ncs embedded inside a SiO_2 matrix (Kenyon et al., 1994; Fujii et al., 1997; Shin et al., 1998, as cited in Suh et al., 2005). By now, optical gain (Han et al., 2002, as cited in Suh et al., 2005) as well as efficient light-emitting diodes (Franz`o et al., 2002, as cited in Suh et al., 2005) have been demonstrated using Er-doped SRSO. Theoretical calculation (Nishio et al., 2003) also showed that the radiative recombination rate for Amorphous Si quantum dots (a-Si QDs) is higher by two or three orders of magnitude than that for Si ncs indicating that better performance can be obtained when a 1.54- μm light source fabricated using an Er-doped a-Si QD structure is employed. However, isolation of Si ncs inside the SiO_2 matrix makes current injection into SRSO difficult. Thus, SRSO-based LEDs generally require either very high voltages (Franz`o et al., 2002, as cited in Suh et al., 2005) or very thin SRSO layers that can limit the light output (Irrera et al., 2002, as cited in Suh et al., 2005). Furthermore, excitation occurs via impact excitation by energetic carriers, which raises questions about the long-term reliability of such devices.

2.3 Optical activation of Er-doped silicon nanowires

Compared with the isolation of Si nc, SiNWs have diameters in the range of 10-100 nm and with lengths exceeding 1 μm . Thus, Er-doped SiNWs may provide a very high areal density of Er^{3+} ions in the case of Er-doping. The ease of charge injection into, and transport along the SiNWs are expected to improve the photoluminescence (PL) intensity. Si/Er core-shell nanowires with erbium enriched at the surface (Wang & Coffey, 2002) were fabricated successfully via a VLS process, which is modified by passing the He through a bubbler (heated to $\sim 144^\circ\text{C}$) containing $\text{Er}(\text{tmhd})_3$ after SiH_4 flow. This process results in introducing Er to the surface of SiNWs, as shown in Figure 2.1.

Detectable erbium emission at room temperature in a crystalline semiconductor is often difficult to achieve. But the anticipated Er^{3+} with Er^{3+} luminescence near 1.54 μm , associated with the ($^4I_{13/2}$) \rightarrow ($^4I_{15/2}$) transition, is observed upon excitation at 488 nm, as shown in Figure 2.2. However, it is regretted that the Photoluminescence (PL) intensity is very weak.

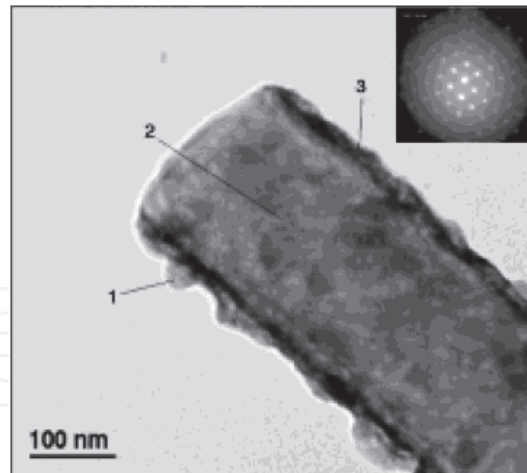


Fig. 2.1 A typical TEM image of a surface Er enriched Si wire (JEOL JEM-3010). Inset: SAED pattern from the center of the wire. EDX analysis for the marked three areas are presented: Si 96% (area 2), erbium concentration $\sim 12\%$ (area 3), erbium 53% and silicon 47% (area 1).

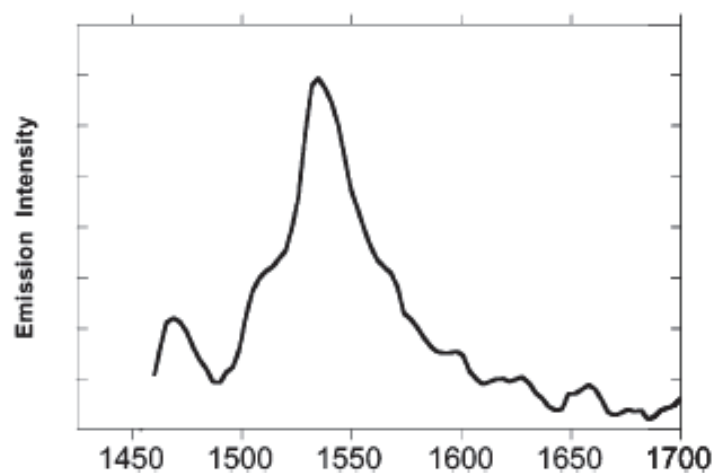


Fig. 2.2 Room-temperature photoluminescence (PL) spectrum of Er surface-enriched Si nanowires after a vacuum anneal, demonstrating the near-IR emission near 1540 nm (λ_{ex} 488 nm).

2.3.1 Improvement of PL intensity of Er-doped silicon nanowires

2.3.1.1 Silicon nanowires coating with Er-doped SiO_2 derived from sol-gel solutions

As above mentioned, the optimum location for Er^{3+} is not inside Si, but in the nanometer-thin oxide shell right next to Si (Shin et al., 2000b; Kimura et al., 2003; Stepikhova et al., 1997, as cited in Suh et al., 2005). This suggests that rather than trying to dope Si-NWs directly, it would be preferable to coat the Si-NWs with high-quality Er-doped silica in order to optically activate the Si-NWs. Er coated SiNWs are achieved by using sol-gel derived Er-doped silica. The sol-gel technique is a low-temperature route widely employed to prepare thin film for integrated optic devices, because it can offer the homogeneous thin films at molecular scale and control of chemical purity. In this system, the pre-produced SiNWs are embedded inside an Er-doped silicon oxide film.

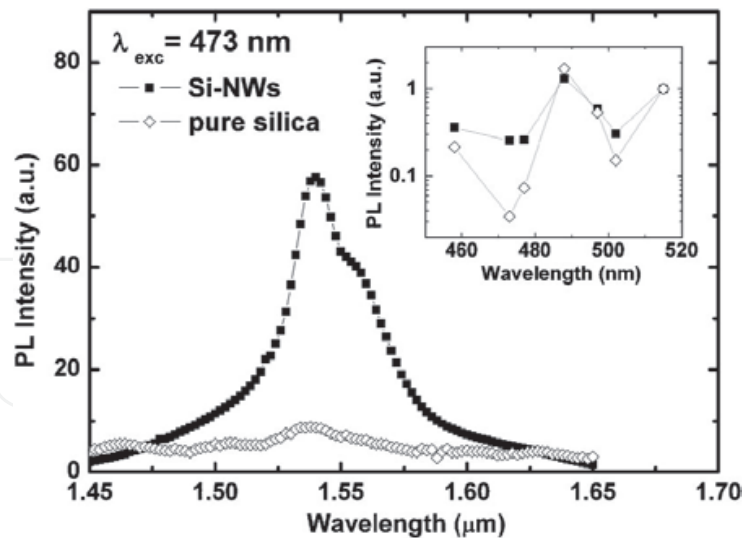


Fig. 2.3 Room temperature PL spectra of the Si-NWs and pure Er-doped silica film, pumped with the wavelength of 473 nm. The inset shows the wavelength dependence of the PL peak intensities (Suh et al., 2005).

Figure 2.3 shows the room temperature PL spectra of the Si-NWs and the pure Er-doped silica thin film, pumped with the 473 nm line of an Ar laser. The 473 nm line was chosen because it is absorbed only by Si-NWs and not directly by Er^{3+} ions. Hardly any luminescence from the pure silica film is observed. However, the pure silica film does show Er^{3+} luminescence when Er^{3+} ions can directly absorb the pump photons, as shown in the inset. The strong Er^{3+} luminescence at 1.54 μm from the Si-NWs indicates energy transfer from carriers in Si-NWs to Er^{3+} ions.

Figure 2.4 shows the effect of temperature on the integrated Er^{3+} PL intensities. The pure silica film was pumped using the 488 nm line of an Ar laser in order to directly excite the Er^{3+} ions. On the other hand, the Si-NWs were pumped using the 477 nm line of an Ar laser, which is not absorbed optically by Er^{3+} ions, in order to probe only those Er^{3+} ions that can be excited via carriers. The temperature dependence of Er^{3+} luminescence lifetimes are nearly identical, as is shown in the inset. The Er^{3+} luminescence lifetime from the pure silica film decreases from 13.6 to 11 ms as the temperature is raised from 25 to 300 K, while that from the Si-NWs decreases from 8.3 to 6.9 ms. Such complete suppression of thermal quenching of Er^{3+} luminescence cannot be due to any quantum effects of the large diameter of SiNWs (Suh et al., 2005). Given the identical temperature dependence of the Er^{3+} luminescence lifetime, the reduction of the Er^{3+} luminescence lifetime by Si-NWs is attributed to the effect of increased effective refractive index (Snoeks et al., 1995) rather than any degradation of the quality of sol-gel derived silica film. Thus, it could be ensured that the Er^{3+} ions excited via carriers generated in Si-NWs are actually in the sol-gel derived Er-doped silica film that is coating the Si-NWs (Shin et al., 2000b; Kimura et al., 2003; Stepikhova et al., 1997), indicating that Si-NWs, even without quantum confinement effects, are much more effective for exciting Er^{3+} ions in an oxide layer on their surface. This conclusion is supported by the low-temperature PL spectra, as shown in Figure 2.5. The $^4I_{13/2} \rightarrow ^4I_{15/2}$ transition responsible for the 1.54 μm Er^{3+} luminescence is a parity-forbidden transition that occurs in part due to the effects of the crystal field, and the exact shape and position of the luminescence spectra depend on the chemical and structural environment of Er (Stepikhova et al., 1997).

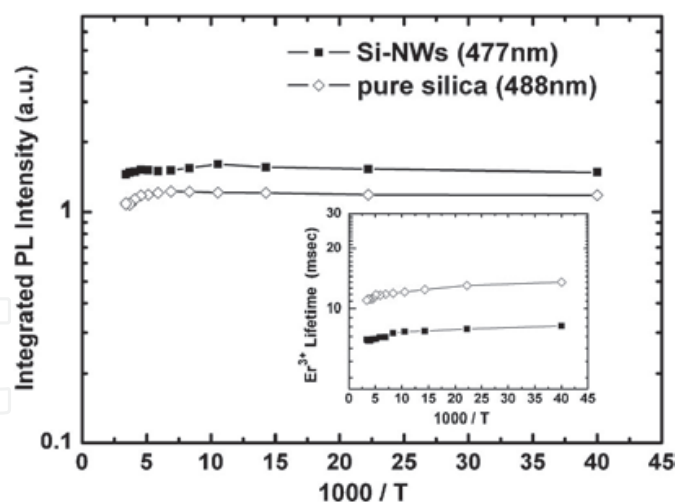


Fig. 2.4 The temperature dependence of Er^{3+} integrated PL intensities, showing the complete suppression of thermal quenching. The inset shows the temperature dependence of the luminescence lifetimes.

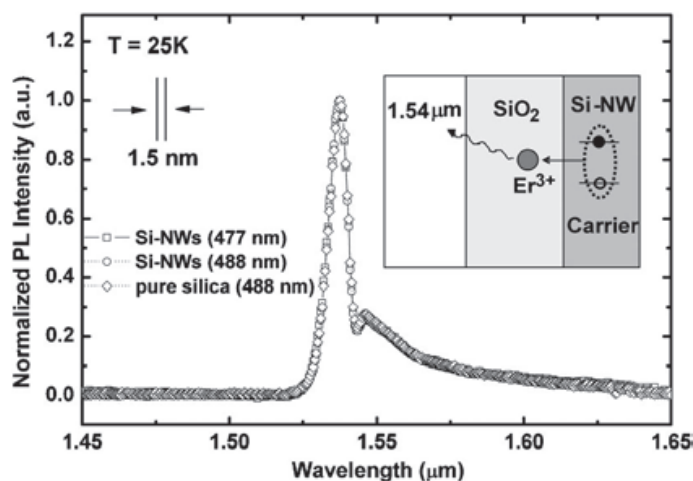


Fig. 2.5 Normalized PL spectra of pure Er-doped silica (488 nm), with Si-NWs (488 nm) and with Si-NWs (477 nm) at 25 K. The spectra are completely identical within the spectral resolution, indicating that the luminescent Er^{3+} ions are all in silica. The inset shows the schematic description of the proposed Er^{3+} luminescence.

Therefore, the results indicate that by using sol-gel derived Er-doped silica to optically activate Si-NWs, we can simultaneously achieve the ease of carrier injection, high carrier-mediated Er^{3+} excitation efficiency, and high Er^{3+} luminescence efficiency in a thick, easily produced film with a very high areal density of Er^{3+} ions, thus providing a promising material platform for Si photonics. The effectiveness of Si-NWs in enhancing the Er^{3+} luminescence can be increased by simply increasing the density of Si-NWs. Furthermore, because the growth of Si-NWs and its coating by sol-gel derived Er-doped silica are performed separately, the two processes can be optimized separately.

2.3.1.2 Silicon nanowires coating with Er-doped SiO_2 and Al_2O_3 derived from sol-gel solutions

SiO_2 derived from sol-gel was used as a precursor to disperse Er^{3+} ions. But the film is uncontinuous and the erbium ions gather to clusters, which may induce the concentration

quenching of the photoluminescence (PL) intensity. In fact, the concentration quenching at high concentration of Er should be avoided to realize the strong luminescence of the devices. Al_2O_3 is another promising material for high quality, low loss waveguide fabrication. Optical doping of thin Al_2O_3 films by Er ion implantation (van den Hoven et al., 1993, 1996) and by sol-gel technology (Feofilov, 1998; Patra, 2004) have been reported. Not only because the relatively high refraction index of Al_2O_3 (Al_2O_3 , $n=1.64$ is higher than SiO_2 ($n=1.45$)) (Wang & Lei, 2005) is expected to improve the optical transfer efficiency, but the similarity in valency and lattice constants between Al_2O_3 and Er_2O_3 may enable disperse high concentrations optical doping with Er (van den Hoven et al., 1993, 1996; Feofilov, 1998). Furthermore, Aluminium oxide possesses the low thermal expansion coefficient (Jaymes et al., 1996), high chemical durability, and good mechanical property, which are benefit to Al_2O_3 film derived from sol-gel solutions on Si wafer substrate. It is very important for the application of the optical devices (Jimenez de Castro et al., 2000; Wang et al., 2004a, 2004b; Armelao et al., 2005). In order to prevent the clustering of erbium ions in the silica network, Al ions could be added into the silicon oxide structure or completely aluminum oxide structure. Therefore, the optically harmful Er clustering could be prevented by the selective coordination of Al^{3+} around the Er^{3+} ions (Patra, 2004) allowing for a homogeneous dispersion of Er ions in the silicon oxide structure.

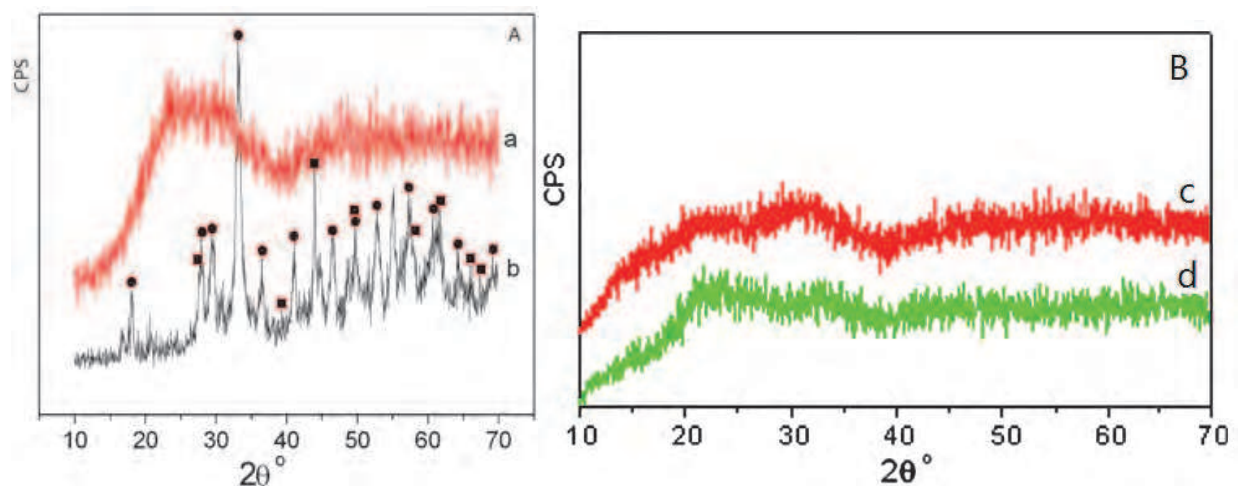


Fig. 2.6 X-ray diffraction patterns of (A-a (Ren, 2008) and B-c (Ren et al., 2007a)) Er-doped SiO_2 derived from sol-gel heat-treated at 750°C ($\text{Er}/\text{Si} = 0.05$), (A-b (Ren, 2008)) Er-doped Al_2O_3 derived from sol-gel heat-treated at 750°C ($\text{Er}/\text{Al} = 0.10$), and (B-d) (Ren et al., 2007a) Er-doped Si and Al oxides derived from sol-gel heat-treated at 750°C . The hollow circle (●) represents $\text{Er}_3\text{Al}_5\text{O}_{12}$ peaks; the solid circle (■) represents ErAlO_3 peaks.

In order to understand the relationship between the PL properties and the structures of Er-doped Al_2O_3 , Si and Al oxides complex (SiAlO) and SiO_2 sol-gel films, the powder XRD patterns of heat-treated sol-gels at 750°C with compositions of Er-doped SiO_2 (A-a and B-c), Er-doped SiAlO (B-d) and Er-doped Al_2O_3 (A-b) are tested, as shown in Figure 2.6 (Ren et al., 2007a, 2008). Figure 2.6 (A-a and B-c) shows the XRD pattern of Er-doped Si oxides. It shows the amorphous structure due to the short range ordering of Si network (Stepikhova et al., 2004). As shown in Figure 2.6 (B-d), the Er-doped Si-Al oxides also show an amorphous structure, indicating that the incorporation of Al into the Si network does not change the structures. However, it is expected that a more homogeneous incorporation of Er ions into

Al-Si network compared to the Si network is likely by the selective coordination of Al^{3+} around the Er^{3+} ions. However, the $\text{Er}_3\text{Al}_5\text{O}_{12}$ (PDFN 78-1451) phase and ErAlO_3 (PDFN 24-0396) phase are observed as shown in Fig. 2.6(A-b), which is consistent with Tanner's report (Kenyon, 2002) where the mixture of $\text{Er}_3\text{Al}_5\text{O}_{12}$ and ErAlO_3 are achieved for concentrations at or below 10% Er doped into Al_2O_3 due to the valence match between the rare-earth ions (Er^{3+}) and the substituted cation (Al^{3+}). As a result, it allows the incorporation of Er^{3+} into the Al_2O_3 lattice (Hochbaum et al., 2005) despite of the large size difference between Er^{3+} (0.89 nm) and the Al^{3+} (0.53 nm) (Tanner et al., 2004). It indicates that Er ions are homogeneous in Al_2O_3 even at high concentrations.



Fig. 2.7 SEM images of (a) SiNW-ErAlO (Ren, 2008), (b) SiNW-ErSiAlO (Si/Al=3/1) (Ren et al., 2007a) and (c) SiNW-ErSiO (Ren, 2008).

Figure 2.7 shows the SEM images of SiNW-ErAlO (a), SiNW-ErSiAlO (b) and SiNW-ErSiO (c) films. As shown in Figure 2.7, SiNW-ErAlO (a) and SiNW-ErSiAlO (b) film are continuous without cracks but numerous splits emerge in SiNW-ErSiO (c) film. A zoomed image further shows that the sol-gel solution has completely penetrated the SiNWs arrays, and had formed a crack-free, integrative film with tight SiNWs. The higher quality of film derived from Al-Si solution is due to the comparable thermal expansion coefficient of the film over that of the Si substrate. The thermal expansion coefficient of SiO_2 , Al_2O_3 and Si is $66 \times 10^{-6}/\text{K}$, $23 \times 10^{-6}/\text{K}$ and $23 \times 10^{-6}/\text{K}$, respectively. Thus, the cracks in the Er-Si oxide film are due to large differences in the thermal expansion coefficient between the SiO_2 and the Si binary system. In addition, an addition of Al to the Si-oxide while maintaining its amorphous structures matches the thermal expansion coefficient of the film ($55 \times 10^{-6}/\text{K}$, according to the rule of mixture) to the Si substrate and suppressed the formation of cracks during the heat treatment. Thus, the reason of the high quality of SiNW-ErAlO and SiNW-ErSiAlO films are those the thermal expansion coefficient of the film over that of Si substrate matched well whereas the cracks in SiNW-ErSiO film are due to large differences in thermal expansion coefficient between the SiO_2 and the Si binary system.

In order to further understand the relationship between PL properties and the structures of Er-doped Al_2O_3 and SiO_2 sol-gel films, the TEM of heat treated sol-gels at 750°C are tested. Figure 2.8 was TEM images of Er-doped SiO_2 and Er-doped Al_2O_3 . As shown in the inset of Figure 2.8a and Figure 2.8b, the Er-doped SiO_2 is amorphous due to its out-of-order structure in long range but the Er-doped Al_2O_3 is crystal structure because the optically active Er^{3+} ion readily substitutes for aluminium ions occupying octahedral sites in alumina (Kenyon, 2002), which is consistent with the XRD results. As shown in Figure 2.8a and 2.8b, the cluster sizes of Er-doped SiO_2 (about 300 nm) are much larger than that of Er-doped Al_2O_3 (≤ 100 nm), indicating that the dispersion of Al_2O_3 is better than that of SiO_2 .

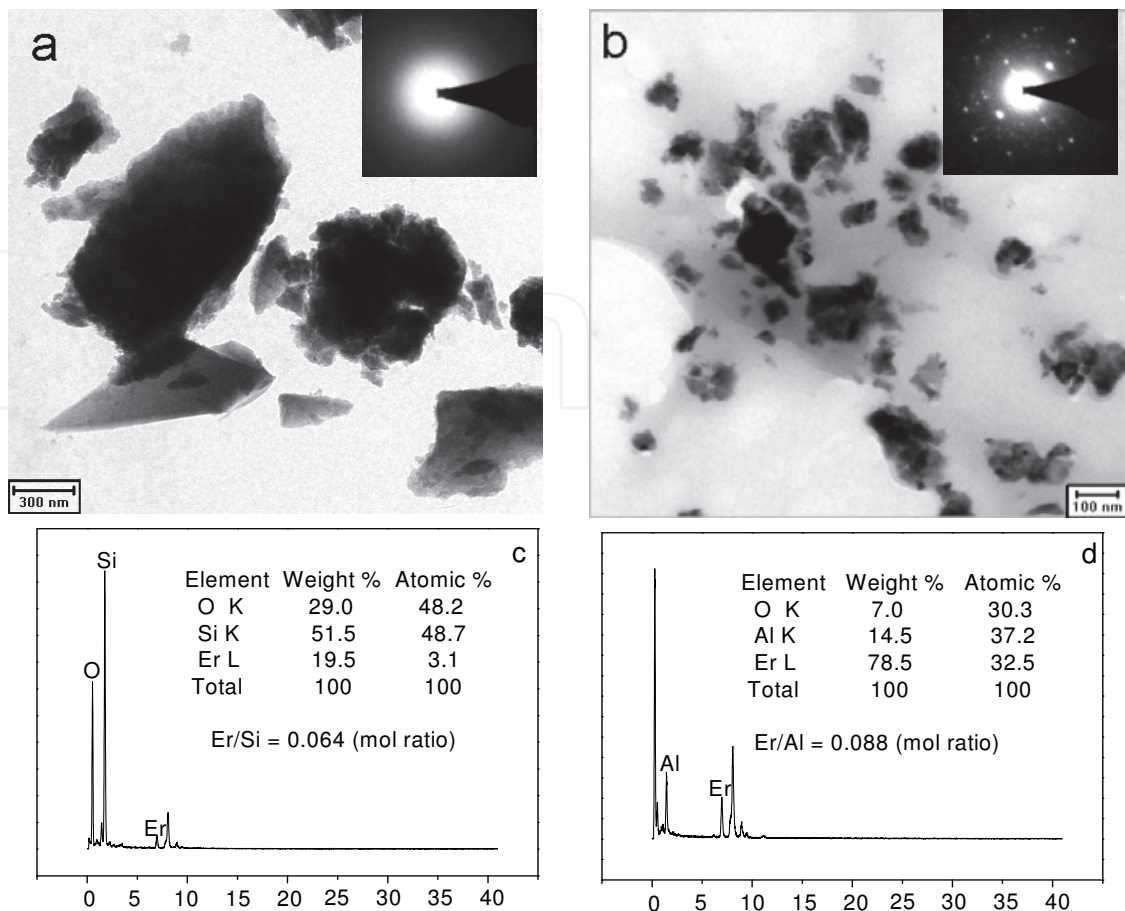


Fig. 2.8 TEM images and EDX of (a, c) Er-doped SiO₂ derived from sol-gel heat-treated at 750°C, inset is the selected area electron diffraction (SAED) pattern of the particles; (b, d) Er-doped Al₂O₃ derived from sol-gel heat-treated at 750°C, inset is the SAED pattern of the particles (Ren, 2008).

Furthermore, the EDX results also show that Er ions are dispersed in the SiO₂ and Al₂O₃, as shown in Figure 8c and 8d, the mol ratios of Er/Si and Er/Al are 0.064 and 0.088 respectively, which are close to the original mol ratios. As we have discussed that the crystal mixture of Er₃Al₅O₁₂ and ErAlO₃ created when Er ions are doped into Al₂O₃, but the Er-doped SiO₂ is amorphous, and TEM images indicate that Er-doped Al₂O₃ clusters are much smaller than that of Er-doped SiO₂ clusters. So it could be deduced that the dispersion of Er ions at high concentration in Al₂O₃ is better than that in SiO₂.

Figure 2.9 shows the PL spectra of SiNW-ErSiO, SiNW-ErSiAlO and SiNW-ErAlO at the room temperature, pumped with the 477 nm line of an Ar laser. The 477 nm line was chosen because it is absorbed only by SiNWs and not directly by Er³⁺ ions (Suh et al., 2005), which is also confirmed by our result, as shown in Figure 2.9A-a. No peak is observed in Figure 2.9A-a when only Er-doped SiO₂, Er-doped SiAlO or Er-doped Al₂O₃ without SiNWs is pumped with the 477 nm line. This ensures that Er³⁺ excitation occurs via carriers only, and represents an accurate simulation of the situation under current injection. However we observed strong Er³⁺ luminescence at 1534nm (the PL intensity is 66.3 a.u.) from the SiNW-ErSiO even with the high Er concentration (Er/Si = 0.05, concentration 9 at.%), indicating that SiNWs provide a very high areal density of Er and energy transfer from carriers in

SiNWs to Er^{3+} ions dispersed in SiO_2 , as shown in Figure 2.9A-b. It can be seen that the Full Width at Half Maximum (FWHM) of the luminescence is about 40.5 nm. This emission band is very typical and is attributed to the Stark splitting of Er^{3+} embedded in an amorphous structure plus small additional inhomogeneous and homogeneous broadening (Polman et al., 1991). Similarly, a strong Er luminescence was observed 1.534 μm from the SiNWs coated with Er-doped Si-Al oxide, as shown in Figure 2.9B-d, indicating an energy transfer from carriers in the SiNWs to the Er^{3+} ions. In addition, it can be seen that the FWHM (full width at half maximum) of the luminescence is approximately 56 nm. This emission band structure is especially typical, and may be attributed to the Stark splitting of Er^{3+} embedded in the amorphous structure. It could also be attributed to the presence of many different environments for Er^{3+} ions in the binary Si-Al oxides. The broadening of the spectra suggests a wider and homogeneous distribution of Er^{3+} sites in the matrix. As a result, the PL intensities of SiNW-ErSiO and SiNW-ErSiAlO are similar except that the film quality of SiNW-ErSiAlO is better than that of SiNW-ErSiO after addition of aluminum sol-gel into that of Silicon sol-gel.

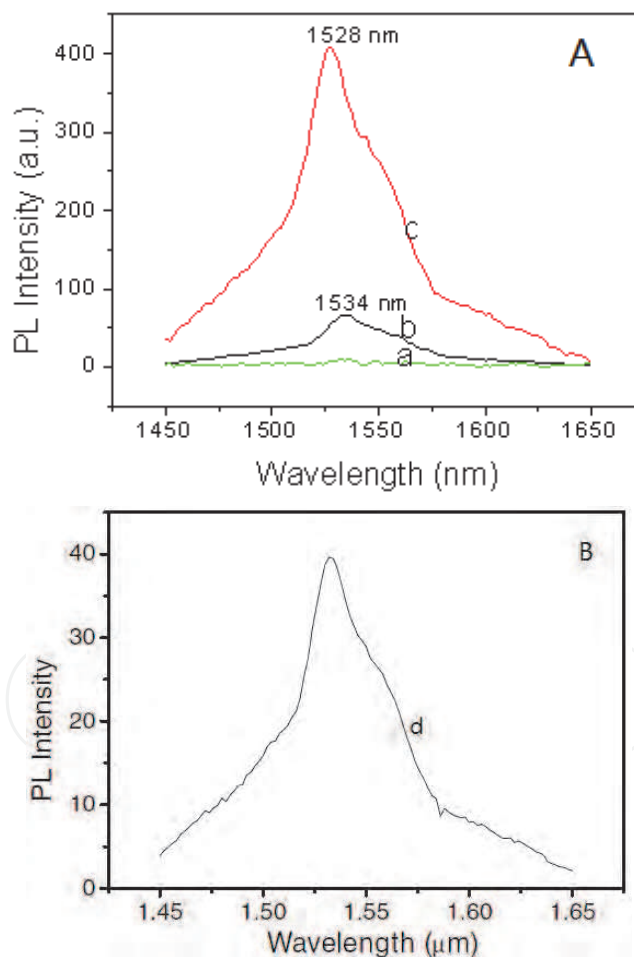


Fig. 2.9 Room temperature PL spectra of (A-a) (Ren, 2008) Er-doped SiO_2 ($\text{Er}/\text{Si} = 0.05$), Er-doped Si-Al oxides ($\text{Er}/(\text{Si}+\text{Al}) = 0.05$, $\text{Si}/\text{Al} = 3:1$) and Er-doped Al_2O_3 ($\text{Er}/\text{Al} = 0.10$) without SiNWs, (A-b) (Ren, 2008) SiNW-ErSiO ($\text{Er}/\text{Si} = 0.05$), (A-c) (Ren, 2008) SiNW-ErAlO ($\text{Er}/\text{Al} = 0.10$) and (B-d) (Ren et al., 2007a) SiNW-ErSiAlO ($\text{Er}/(\text{Si}+\text{Al})=0.05$, $\text{Si}/\text{Al} = 3:1$) films heat-treated at 750°C , wave guide with 477 nm excitation from Ar^+ laser.

However, the PL spectrum of SiNW-ErAlO is shown in Figure 2.9A-c. Stronger Er³⁺ luminescence (the PL intensity is about 407.6 a.u.) at 1528 nm from the SiNW-ErAlO is observed, which is approximate six times higher than that of SiNW-ErSiO, indicating the concentration quenching is not obvious even at so high Er concentration (Er/Al = 0.1), and there is more energy transfer from carriers in SiNWs to Er³⁺ ions in Al₂O₃ medium. Perhaps the reasons include two aspects. One is that Er ions with high concentration in Al₂O₃ disperse better than Er ions in SiO₂, which excludes the concentration quenching. The other is that Al₂O₃ has an even higher refraction index, so it couples more efficiently to semiconductor laser materials. And waveguides with smaller dimensions and tighter optical modes can be produced. Moreover, an obvious blue shift of luminescence peak occurs in Figure 2.9A-c and the FWHM is about 46.9 nm, which is wider than that of SiNWs coating with Er-doped SiO₂. It is known that the main reason for the broadening is the local crystal field symmetry at the rare earth ion site and the luminescence spectra of Er-doped nanoparticles are depended on the host structure (Patra, 2004). The XRD results and SAED patterns have shown that the crystal mixture of Er₃Al₅O₁₂ and ErAlO₃ created when Er ions are doped into Al₂O₃, but the Er-doped SiO₂ is amorphous, which change the local structure around Er³⁺ ions (d'Acapito et al., 2001). Perhaps these are the reasons of the blue shift of luminescence peak and broadening of FWHM.

2.3.2 Controlled PL of Er-doped silicon nanowires

As mentioned, there is a fixed method for preparing Er-doped SiNWs as following processes. Firstly, sputtered Au nanoparticles were employed as the catalyst and the NWs' growth proceeded through a vapor-liquid-solid (VLS) crystal growth mechanism. Then, the long SiNWs were dipped into Sol-gel solutions by surface coating with Er-doped silica or other materials. That is because the optimum locations for Er ions are not inside Si, but in the nanometer thin oxide shell right next to Si (Kimura et al., 2003; Shin et al., 2000b; Stepikhova et al., 1997). As a result, rather than trying to dope SiNWs directly, it would be preferable to coat the SiNWs with high quality, Er-doped oxides by sol-gel in order to optically activate the SiNWs. Lastly, the Er-doped SiNWs derived from sol-gel solution are sintered.

Controlled growth of well-ordered Er-doped SiNWs is crucial, and would eliminate much of the processing associated with device fabrication. Selective growth of SiNWs via a VLS mechanism were achieved using an ion implantation mask, (Sood et al., 2006) lithographically defined regions of SiNW growth by thin film evaporation (Gangloff et al., 2004; Islam et al., 2004) and seeding colloids (Hochbaum et al., 2005). These methods employ expensive or complex processing techniques, but are unfit for the controlled growth of SiNWs and selective coating of Er-doped solutions at the same time.

However, combined sol-gel techniques and gold colloids, a simple technique to achieve patterned growth of SiNWs coating with Er-doped aluminous film is presented. The advantage of this method is that the growth of patterned SiNWs, the doping of Er ions and the sintered process are completed by one step. The Er-doped aluminium gels are calcined to be powders when SiNWs are grown by a VLS mechanism, removing sintered process, so the energy consume is reduced considerable (Ren et al., 2007). SiNWs grew from a solution-based precursor containing ASB (Al(O-*sec*-C₄H₉)₃), hydrogen tetrachloroaurate (HAuCl₄) and Erbium by VLS mechanism for optical activation. In this process, the functional materials, Au nanoparticles and Er ions, are all evenly dispersed in the sol-gel solution at the same time. Furthermore, ASB was selected as the precursor to disperse Er ions because of its relatively high refraction index ($n = 1.64$) waveguides compared with SiO₂ ($n = 1.45$), leading

to efficient pumping and amplification (Wang & Lei, 2005), lower thermal expansion coefficient (Jaymes et al., 1996). And aluminum could also be either a network former, supplying non-bridging oxygen bonds, or an octahedral-coordinated network modifier (Ryu & Kim, 1995). Moreover, the solution-based precursor allows locating gold/Aluminium gel on any well-defined position on substrate by shadow mask, printing, or soft lithography methods, which could facilitate the integration of SiNWs for characterization and devices. Micropatterns of GeNWs have been grown in a high yield on sol-gel prepared gold/silica substrate by using shadow mask with the help of an additive agent to keep a continuous film (Pan et al., 2005).

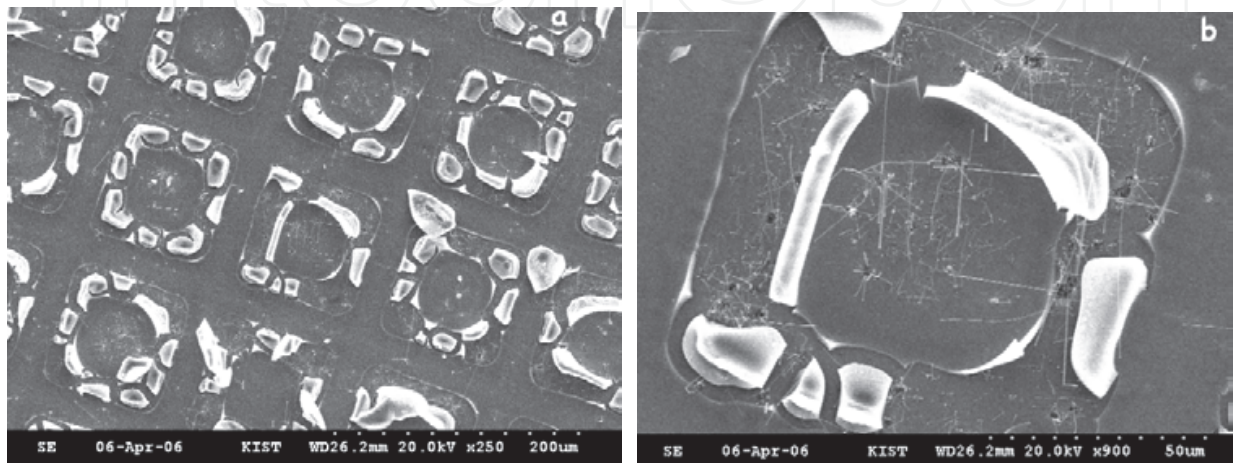


Fig. 2.10 SEM images of Si nanowires patterns grown from sol-gel prepared gold/Er-doped aluminous film by using TEM grids as the masks (a) the ordered arrays of Si nanowires (b) High-magnification image showing no unwanted nanowires grown in the interspaces (Ren et al., 2007b).

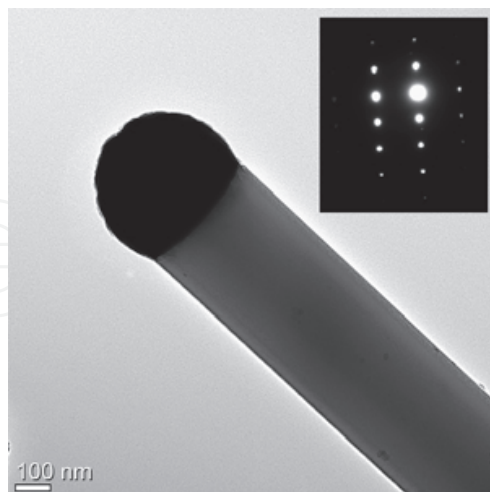


Fig. 2.11 High-magnification TEM image of an individual Si nanowire grown from sol-gel prepared gold/Er-doped aluminous film with a hemispherical Au nanoparticle located at the tip (Ren et al., 2007b).

Figure 2.10 is a SEM image of SiNW pattern produced by using a G200 copper TEM grid as the mask. As shown in Figure 2.10(a), the ordered arrays are obtained. And there is no

unwanted nanowires grown in the interspaces except the obtained nanowires in the square area as shown in Figure 2.10(b), indicating that the spatial resolution of the patterns can be well controlled by the resolution of the mask used. Moreover, the SiNWs are tipped with an Au nanoparticle (determined by energy-dispersive X-ray (EDX) spectroscopy) with diameter comparable to the connected nanowire (Figure 2.11), thus showing a typical VLS growth feature. This result suggests that the growth of SiNWs occurs through an Au particle-catalyzed VLS growth mechanism even the gold nanoparticles dispersed in sol-gel solution. In addition, the dense of nanowires could be controlled by the amount of gold nanoparticles, and the thickness of the gel film could also be adjusted through changing the ASB concentration. Moreover, other techniques such as soft lithography (Kind et al., 2000; Huang et al., 2000) and direct printing (Cassell et al., 2001) could also be used to print the gold-containing solution to a desired position on the substrate surface, which might facilitate the integration of Si nanowires into devices.

Figure 2.12 is the XRD pattern of the Er-doped aluminous sol-gel materials sintered at 900°C. The characteristic XRD pattern of $\text{Er}_3\text{Al}_5\text{O}_{12}$ phase (PDF 78 1451) is observed, indicating that the Al^{3+} cations are substituted by the Er^{3+} rare-earth ions sintered at 900°C due to the valence match between the Er^{3+} ions and the substituted Al^{3+} ions, which is consistent with Tanner's report (Tanner et al., 2004) where the mixture of $\text{Er}_3\text{Al}_5\text{O}_{12}$ and ErAlO_3 are achieved for concentrations at or below 10% Er doped into Al_2O_3 due to the valence match between the rare-earth ions (Er^{3+}) and the substituted cation (Al^{3+}). As a result, it allows the incorporation of Er^{3+} into the Al_2O_3 lattice (Hochbaum et al., 2005) despite of the large size difference between Er^{3+} (0.89 nm) and the Al^{3+} (0.53 nm) (Tanner et al., 2004). Moreover, the $\theta\text{-Al}_2\text{O}_3$ phase (PDF 35 0121) were observed, which is consistent with former reports (Wang et al., 2004a, 2004b) that when the sintered temperature is at 900°C, the $\gamma\text{-Al}_2\text{O}_3$ transfer to $\theta\text{-Al}_2\text{O}_3$ phase. In addition, the small peaks of the Er_2O_3 (PDF 77 0464) phase are also observed.

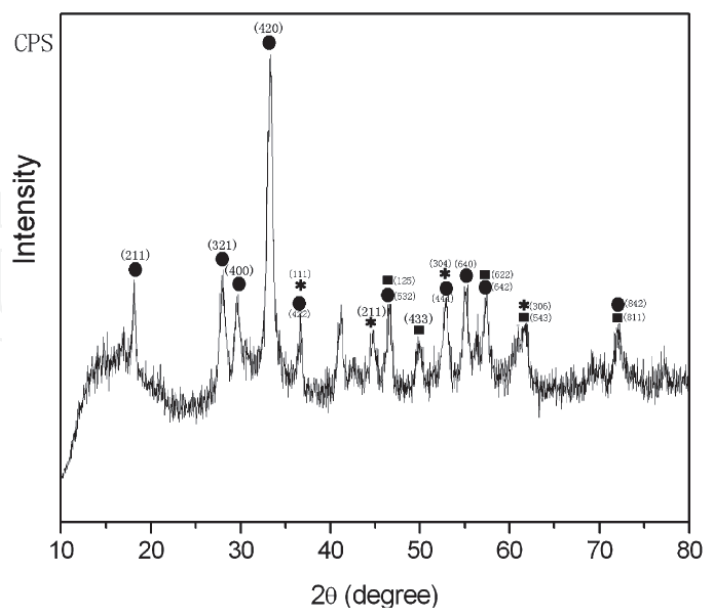


Fig. 2.12 X-ray diffraction patterns of Er-doped aluminous film sintered at 900 °C. The solid circle (●) represents $\text{Er}_3\text{Al}_5\text{O}_{12}$ peaks; the solid square (■) represents Er_2O_3 peaks; the star (*) represents $\theta\text{-Al}_2\text{O}_3$ peaks (Ren et al., 2007b).

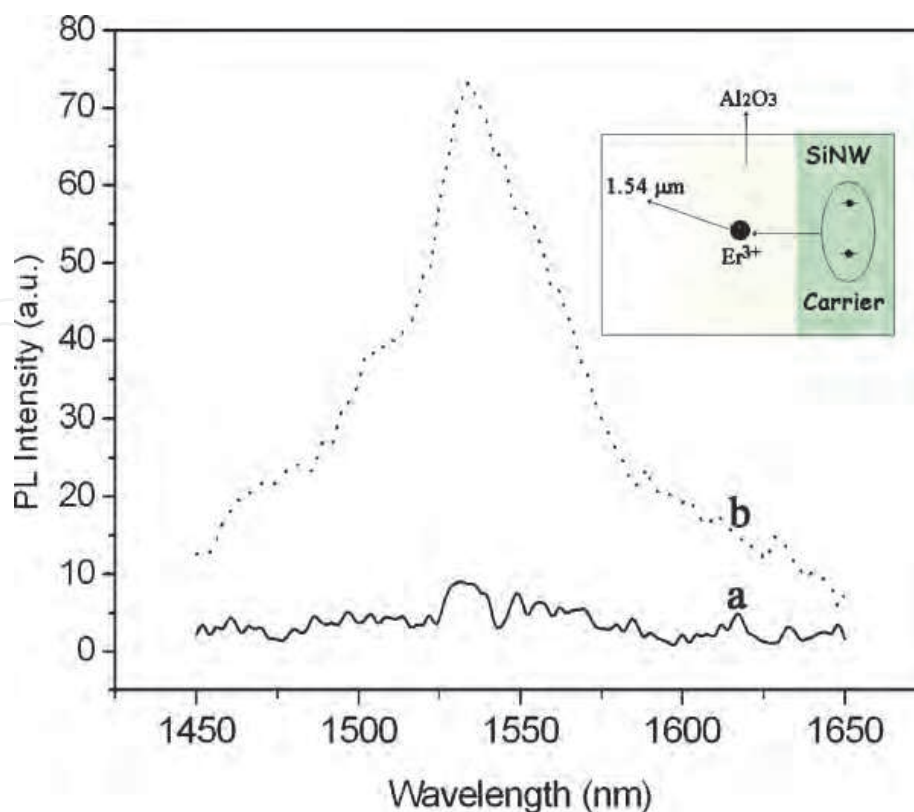


Fig. 2.13 Room temperature PL spectra of SiNWs grown from sol-gel prepared gold/Er-doped aluminous film at 900 °C, wave guide with 477 nm excitation from Ar⁺ laser. (a) no SiNWs (b) with SiNWs. The inset shows the schematic process of carrier transfer from SiNW to Er ion (Ren et al., 2007b).

Figure 2.13 shows the room temperature PL spectra of Si nanowires patterned grown on sol-gel prepared gold/Er-doped aluminous film, pumped with the 477 nm line of an Ar laser at room temperature. The 477 nm line was chosen because it is absorbed only by NWs and not directly by Er ions (Suh et al., 2005). This ensures that Er³⁺ excitation occurs via carriers only, and represents an accurate simulation of the situation under current injection, which has been confirmed by our result, as shown in Figure 2.13a. No peak is observed in Figure 13a when only Er-doped Al₂O₃ without SiNWs is pumped with the 477 nm line. This ensures that Er³⁺ excitation occurs via carriers only, and represents an accurate simulation of the situation under current injection. Nevertheless, we observed strong Er³⁺ luminescence at 1534 nm (the PL intensity is 75 a.u.) from the SiNWs coating with Er-doped Al₂O₃ as shown in Figure 2.13b, indicating that energy transfer from carriers in SiNWs to Er³⁺ ions dispersed in Al₂O₃. The schematic process is shown in the inset, which suggests that SiNWs are more effective for exciting Er ions in an oxide layer on their surface.

However, when the Si nanowires patterned grown on sol-gel prepared gold/Er-doped aluminous film are excited by the 488 nm line of an Ar laser at room temperature, reflecting the $^4I_{15/2} \rightarrow ^4F_{7/2}$ and $^4I_{15/2} \rightarrow ^4H_{11/2}$, the PL intensity (about 550 a.u.) is improved largely as shown in Figure 2.14. It could be explained that the 488 nm line of an Ar laser could excite not only Si nanowires, but also the Er³⁺ ions directly. The schematic process is shown in the inset. As a result, the excited Er concentrations are increased, indicating that the optically excited and carrier-excited Er ions are all possible.

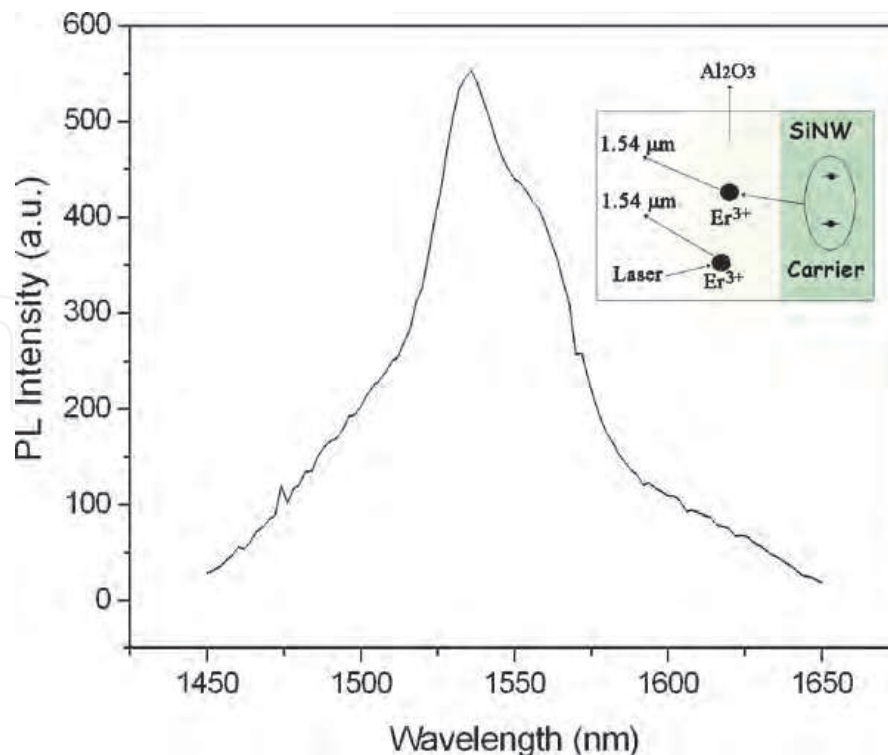


Fig. 2.14 Room temperature PL spectra of SiNWs grown from sol-gel prepared gold/Er-doped aluminous film at 900 °C, wave guide with 488 nm excitation from Ar⁺ laser. The inset shows the schematic process of carrier transfer from SiNW to Er ion and excited Er ion directly (Ren et al., 2007b).

3. Other nanowires for PL, such as Ge nanowires

Ge nanowires (GeNWs) is another promising nanowires due to its high carrier mobility and a small band gap (Gu et al., 2001; Wang & Dai, 2002). Germanium is also an important semiconducting electronic material with indirect band gap. Moreover, the direct-gap (0.88 eV) is close to its indirect gap (0.75 eV) in Ge, predicting that quantum confinement effects would appear more pronounced in Ge than Si. The optical activation of GeNWs doping with Er ions (Er-GeNWs) instead of SiNWs will also be achieved and the PL intensity at 1540 nm should be improved largely. But a major limitation is cooperative interactions, such as up conversion and fast energy migration between Er³⁺ ions, limiting emission efficiency at high Er concentration (Snoeks et al., 1997). Ytterbium is widely used to improve the PL intensity of Er ions because Yb is a well-known sensitizer for the Er emission at 1540 nm due to fast energy transfer from the Yb ions to Er centers and no up conversion in Yb-Yb, and absorption band of Yb ions cover a broad extent from 850 nm to 1000 nm (Kozanecki et al., 1999, 2001).

Thus coating the SiNWs/GeNWs with high quality, Er/Er:Yb doped oxides by sol-gel are produced in order to optically activate the SiNWs/GeNWs (Ren et al., 2007c). Figure 3.1 shows the scanning electron microscope (SEM) image of as-grown SiNWs (a), GeNWs (b) for further coating sol-gel solutions as substrate and Er:Yb-SiNWs (c) and Er:Yb-GeNWs (d). As shown in Figure 3.1(a), the dense arrays of straight SiNWs by VLS are well aligned. The SiNWs lie at an angle of about 60° from the surface normal, which are about 100 nm in diameter and 10 μm in length. Similarly, we also obtained GeNWs with smaller than 100 nm

in diameter and 10 μm in length in Figure 3.1(b). As shown in Figure 3.1(c) and 3.1(d), both films are continuous without any cracks. The sol-gel solution has completely penetrated into the SiNWs and GeNWs arrays, forming a crack-free, integrative film tight of NWs, even after sintered at 750°C, the film still keeps continuous and the NWs can also be seen well, indicating that Er and Yb ions dispersed in the oxide film derived from sol-gel solutions are continuous to coat the SiNWs/GeNWs with high quality. These are important for the applications of planar devices.

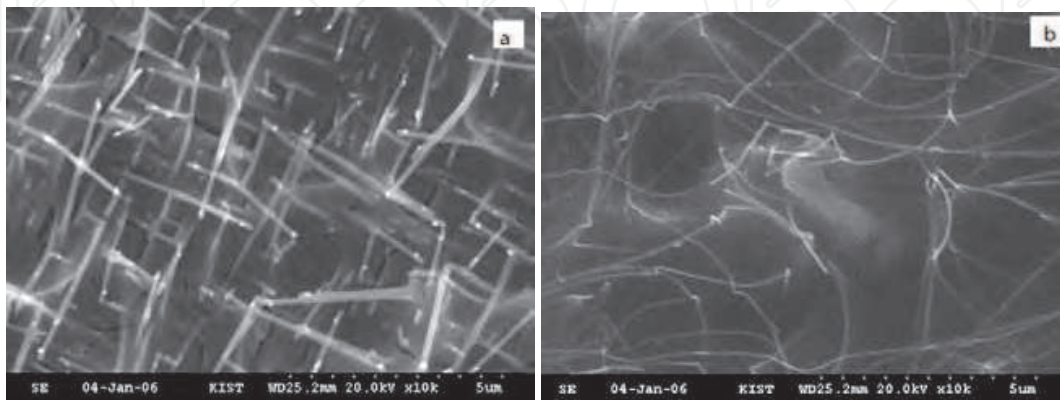


Fig. 3.1 SEM image of (a) Er:Yb-SiNWs, (b) Er:Yb-GeNWs. Er:Yb codoped Si-Al oxides coating on NWs films heated at 750°C derived from the sol-gel solution (Si/Al = 3:1) (Ren et al., 2007c).

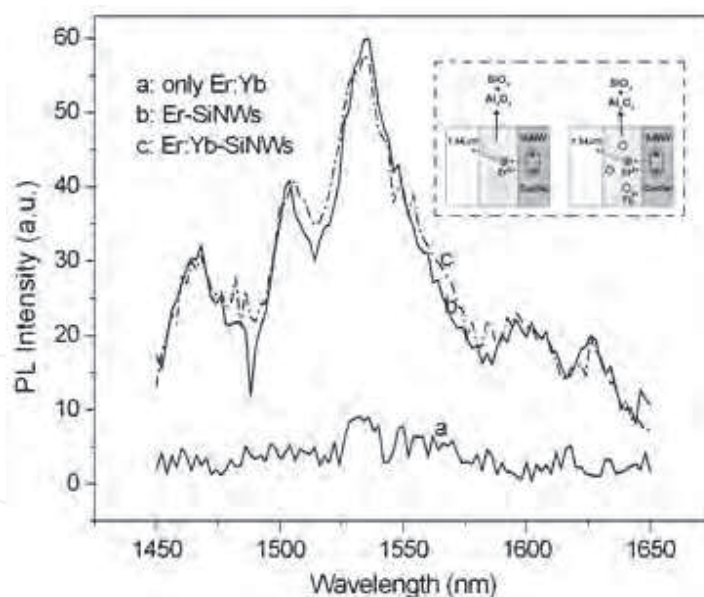


Fig. 3.2 Room temperature PL spectra of Er:Yb codoped Si-Al oxides coating SiNWs film heat-treated at 750°C, wave guide with 477 nm excitation from an Ar⁺ laser. (Si/Al = 3:1) (a) Er:Yb codoped Si-Al oxides without SiNWs, (b) Er-SiNWs, (c) Er:Yb-SiNWs, the inset is the corresponding schematic route of optical activation of SiNWs (Ren et al., 2007c).

Figure 3.2 shows the room temperature PL spectra of Er-SiNWs and Er:Yb-SiNWs sintered at 750°C, pumped with the 477 nm line of an Ar laser. The 477 nm line was chosen because it is absorbed only by NWs and not directly by Er and Yb ions (Suh et al., 2005). This ensures

that Er^{3+} excitation occurs via carriers only, and represents an accurate simulation of the situation under current injection. As shown in Figure 3.2(a), hardly any luminescence from the Er or Er:Yb codoped $\text{SiO}_2\text{-Al}_2\text{O}_3$ without SiNWs is observed, which is consistent with the previous report (Suh et al., 2005) that either Yb or Er ions are not excited by this 477 nm wavelength laser, or there is no energy transfer to Er ions. However, a strong Er^{3+} luminescence (PL intensity is about 60 a.u.) at 1534 nm from Er-SiNWs was observed as shown in Figure 3.2(b), indicating SiNWs are excited by 477 nm wavelength and energy transfer from carriers in SiNWs to Er^{3+} ions. Unimaginably, the PL intensity (57.8 a.u. at 1534 nm) of Er:Yb-SiNWs was affected weakly after codoping Yb with Er,¹⁵ as shown in Figure 2.16(c), indicating that energy transfer from carriers in SiNWs not to Yb ions but to Er ions only. This result further confirms that the pumped energy of 477 nm wavelengths is directly absorbed by SiNWs, not by Er or Yb ions, suggesting that the Yb ions are not sensitizer for the Er emission at 1534 nm after SiNWs take part in the optical excitation and energy transfer.

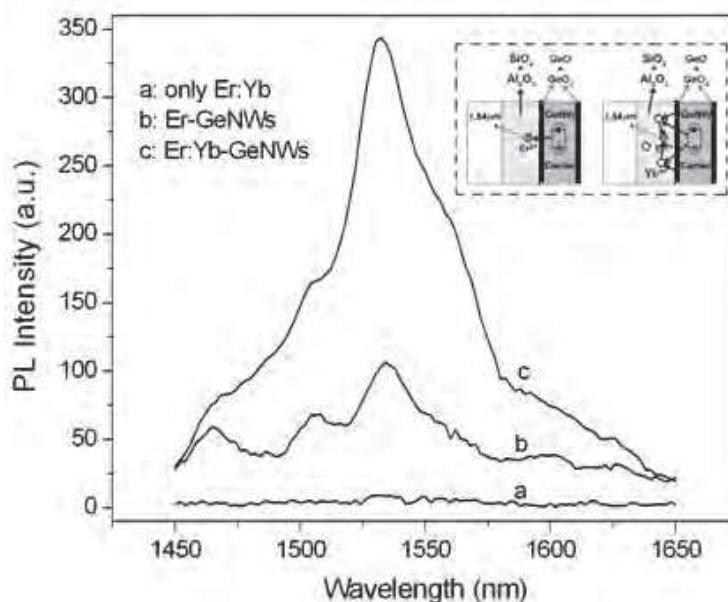


Fig. 3.3 Room temperature PL spectra of Er:Yb codoped Si-Al oxides coating GeNWs film heat-treated at 750°C, wave guide with 477 nm excitation from an Ar^+ laser. (Si/Al = 3:1) (a) Er:Yb codoped Si-Al oxides without GeNWs, (b) Er-GeNWs, (c) Er:Yb-GeNWs, the inset is the corresponding schematic route of optical activation of GeNWs (Ren et al., 2007c).

Figure 3.3 shows the room temperature PL spectra of Er-GeNWs and Er:Yb-GeNWs. The same result with Figure 3.2(a) is shown in Figure 3.3(a) that few luminescence from the Er or Er:Yb codoped $\text{SiO}_2\text{-Al}_2\text{O}_3$ without GeNWs was observed. But strong Er^{3+} luminescence (PL intensity is about 106.5 a.u.) at 1534 nm from Er-GeNWs is observed as shown in Figure 3.3(b), which is approximate two times higher than that of Er-SiNWs in Figure 3.2(b), indicating more energy transfer from carriers in GeNWs to Er^{3+} ions than that of in SiNWs. Furthermore, the PL intensity of Er^{3+} (about 343.6 a.u.) at 1532 nm from Er:Yb-GeNWs as shown in Figure 3.3(c) increased three times higher than that of only Er-GeNWs in Figure 3.3(b) after codoping Yb ions with Er ions, and a blue shift of the luminescence peak occurs as the Yb ions were added, suggesting that energy transfer from carriers in GeNWs to Yb ions and Yb ions are the sensitizer for the Er luminescence at 1534 nm after GeNWs participate in the luminescence excitation.

The reasons of the PL distinction between Figure 3.2 and Figure 3.3 are deduced. One is perhaps due to the quantum effect of SiNWs and GeNWs. As shown in Figure 3.1, the diameter of the GeNWs is smaller than SiNWs. As we know, the optical properties of a quantum-confined system strongly depend on its size. Another reason is perhaps due to the indirect band gap nature of Si and Ge semiconductors. In Figure 3.2, photons are absorbed by the SiNWs and promote an electron from conduction band (CB) to the valence band (VB). Then the recombination of the electron with a hole in the valence band gives the typical Si nc light emission at $\sim 0.8 \mu\text{m}$ (corresponding to 1.5 eV) (Khriachtchev & Räsänen, 2005). Since the 1.5 eV couples well with the $^4I_{9/2}$ level of the Er manifold, in presence of Er, the energy can be transferred to the Er ions to excite it (Franzò et al., 2000), as shown in Figure 3.4A ((a)-(e)). From this level, a rapid relaxation occurs to the $^4I_{11/2}$ level with the subsequent emission of $0.98 \mu\text{m}$ photons or with a relaxation to the metastable level $^4I_{13/2}$ and emission of photons at 1534 nm. For GeNWs, the recombination of the electrons with holes in the valence band gives the Ge nc light emission at the range of 0.9-2.3 eV (Kanemitsu et al., 1992; de Azevedo et al., 2005). Other wavelength besides 1.5 eV, such as 0.9 eV, could also couple well with the metastable $^4I_{13/2}$ level of the Er manifold and emission of photons at 1534 nm (as shown in Figure 3.4B). These different wavelengths from GeNWs could excite more Er ions than single wavelength (1.5 eV) from SiNWs. As a result, the PL intensity of Er-GeNWs is much higher than that of Er-SiNWs (as shown in Figure 3.2(b) and Figure 3.3(b)).

The optical properties of Er codoped with Yb further proved that the indirect gap nature of Si and Ge semiconductors decides the energy transfer between semiconductor and rare earth ions. If the nanowire diameter decides the optical property, the PL intensity of Er:Yb-SiNWs should improved than that of only Er-SiNWs due to the sensitizer of Yb to Er. Actually, the Yb ions have no any effects on the PL intensity of Er:Yb-SiNWs, because the 1.5 eV wavelengths from excited SiNWs cannot be absorbed by Yb ions, but only by Er ions. As a result, the Yb ions cannot be excited and the PL intensity of Er:Yb-SiNWs didn't change compared with that of Er-SiNWs after Yb ions doped with Er ions coating on the SiNWs surface.

However, the Yb ions have great effects on that of Er:Yb-GeNWs. GeNWs emit not only 0.9 eV and 1.5 eV wavelengths to couple well with the metastable $^4I_{13/2}$ level of the Er ions directly, but also 1.3 eV wavelengths, coupling well with the excited state of $\text{Yb}^{3+} \rightarrow ^2F_{5/2}$ states. So the excited energy from Yb^{3+} can be transferred resonantly to the $^4I_{11/2}$ level of Er^{3+} . This excitation process is shown in Figure 3.4C. Moreover, Ge is well known to oxidize to form GeO and GeO_2 in air on the GeNW surfaces (Tabet et al., 1999; Wang et al., 2004). These oxidations can be excited to emit a luminescence around 3.1 - 4.1 eV (Oku et al., 2000). These lights cannot be absorbed by Er ions but can be absorbed by Yb ions (Kozanecki et al., 1999). As a result of photon absorption, the Yb ion becomes excited its $2+$ state, leaving a hole, h_{VB} , in the valence band, which most probably is localized near Yb^{2+} ion. In the subsequent, $\text{Yb}^{2+} + h_{\text{VB}}$ recombination process Yb^{3+} is left in its excited $^2F_{5/2}$ state, from which energy then can be transferred resonantly to the $^2I_{11/2}$ level of Er^{3+} (Song et al., 2006). Hence, the PL intensity of Er:Yb-GeNWs is the total intensities of the three processes and it is higher than that of without Yb codoping. The blue shift of the luminescence peak further indicates that Yb ions take part in the energy transfer during the optical activation of Er:Yb-GeNWs. In summary, the optical activations of SiNWs and GeNWs are mainly dependent on the indirect gap nature of Si and Ge semiconductors. Stronger optical activation of Er:Yb-GeNWs is achieved.

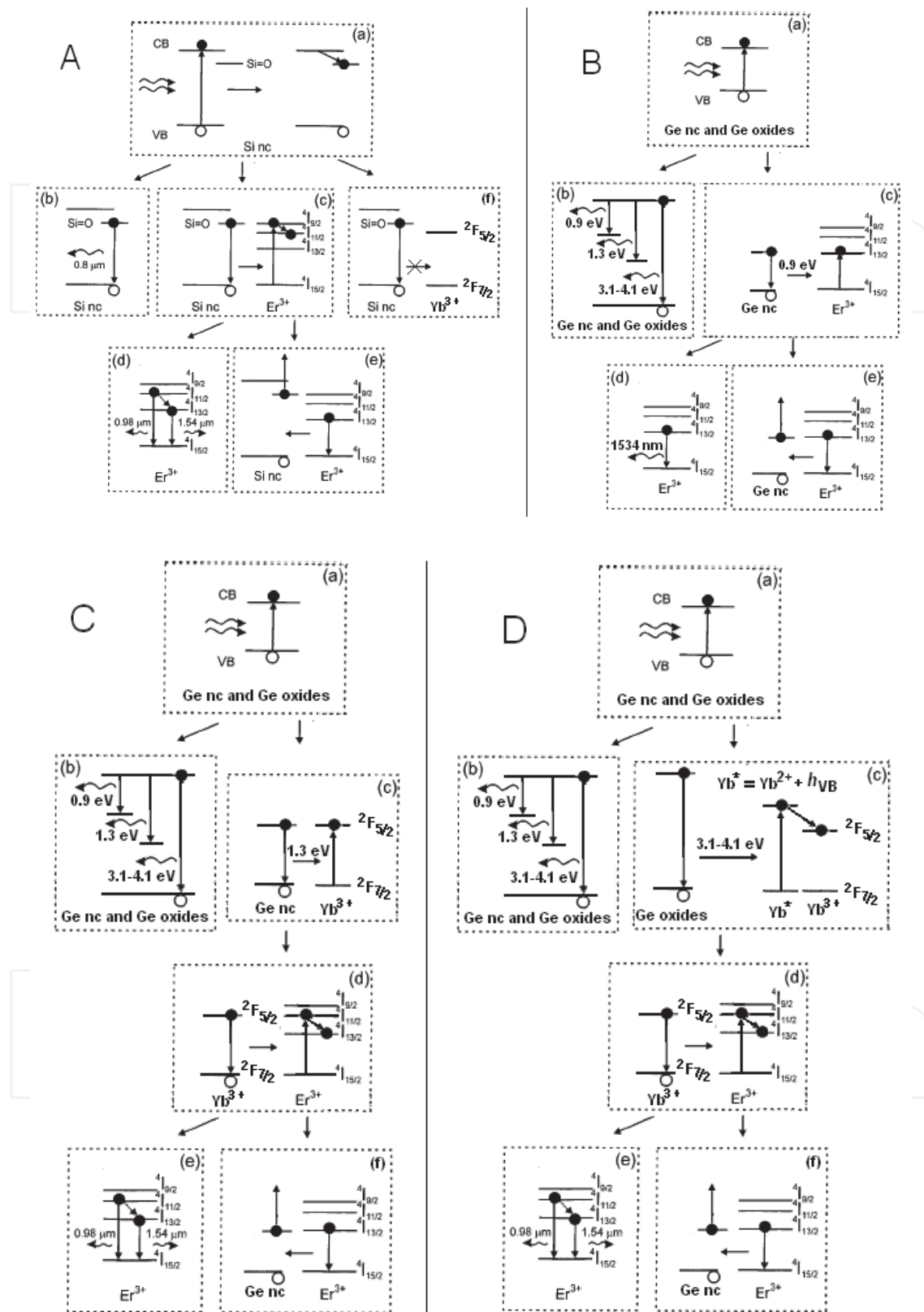


Fig. 3.4 Schematic of the mechanisms of optical activation of Er-doped and Er:Yb-codoped SiNWs and GeNWs. (A) Er-doped and Er:Yb-codoped SiNWs; (B) Er-doped GeNWs; (C) and (D) Er:Yb-codoped GeNWs (Ren et al., 2007c).

4. Conclusion

Optical activations of Er doped Si ncs, SiNWs and GeNWs have been observed, but the PL intensity of Si ncs is weaker than those of SiNWs and GeNWs. So much progress has been made to improve the photoluminescence (PL) intensity; however, a challenging problem in Er-doped semiconductors is that only a small fraction of the excitation energy is transferred from ncs to Er ions. One of the major limitations is that isolated ncs cannot be efficiently addressed electrically. On this point, the successful optical activation of Er-doped SiNWs (Er-SiNWs) has been achieved. More important that the optimum location for Er³⁺ is not inside Si, but in the nanometer-thin oxide shell right next to Si. This gives us simple ways to dope the NWs with additional technologies, such as sol-gel method. Thus, this process avoids the fabrication of SiNWs with Er ions directly. The long length of SiNWs exceeding 1 μm overcomes the isolation of Si nc dispersed in the SiO₂ and Al₂O₃ matrix to provide a very high areal density of Er ions. Moreover, the controlled growth of well-ordered Er-doped SiNWs has been achieved. The growth of patterned SiNWs, the doping of Er ions and the sintered process are completed in one step. The Er-doped aluminium gels are calcined to be powders when SiNWs are grown by a VLS mechanism, removing sintered process, so the energy consume is reduced. Similarly, the GeNWs posses the same result. Moreover, the rare earth Yb may improve the PL intensity of Er-doped GeNWs additionally.

In the future, the additional technologies for Er doping are required more attentions. For sol-gel method, the sol-gel film quality is important, such as no cracks, homogeneous, no concentration quench, and so on. The challenging researches focus on how to further improve the film quality and fine control of the film thickness to match well with the actual requirements. In addition, the SiNW diameter also has great affection on the PL intensity. The controlled growth of Er-doped SiNWs with stable diameter and arrays are also good challenge.

5. Reference

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