A dual de-icing system for wind turbine blades combining high-power ultrasonic guided waves and low-frequency forced vibrations

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Abstract

7 Wind turbines mounted on cold climate sites are subject to icing which could significantly influence the performance of the turbine blades for harvesting wind energy. In this study, an 8 innovative dual de-icing system under development is described. This either prevents ice 9 accumulation (anti-icing) or removes any ice layer present on the surface of the blade material 10 (de-icing). A modelling study on ultrasonic guided waves propagating in composite blades was 11 used to determine the optimal frequency and location of the transducers for ensuring wave 12 propagation, causing the required level of energy concentration and resulting shear stress across 13 the leading edge of the turbine's blade. In parallel, the effects of low frequency vibrations have 14 been investigated through modal and harmonic analyses. This allowed specification and 15 optimisation of the positioning of shaker(s), together with the magnitude and direction of 16 harmonic forces required to induce sufficient acceleration to the blade surface for ice removal. 17 An appropriate survey was also carried out to evaluate the potential for fatigue failure of the 18 blade due to harmonic forces induced by shakers. The proposed technique configures and 19 20 presents an active solution for the icing problem, allowing safe and reliable operation of wind turbines in adverse weather conditions. 21

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23 Keywords: Wind turbine blades, de-icing, ultrasonic guided waves, low frequency vibration, fatigue

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25 **1.** Introduction

Nowadays wind energy is one of the leading renewable energy sources. An important issue is 26 the location of sites which are sufficiently windy to gain maximum efficiency. However many 27 28 areas offering high potential for harvesting wind energy are exposed to low temperature over winter and this, together with the resultant icing, affects the operational performance of wind 29 turbines. One of the major problems is ice accretion on turbine blades which produces significant 30 change in the aerodynamic geometry of the blade's surface. As a result, it can considerably 31 32 reduce the efficiency of wind turbines. Furthermore, icing can cause imbalance in blades leading to increased wear in structural components such as connectors, couplers, gearbox etc. Safety 33 hazards may also result, especially in residential areas, as large pieces of ice may be thrown from 34 turbine blades during operation. In extreme cases, turbine operation may have to be halted until 35 weather conditions become suitable, affecting overall energy production. 36

To alleviate the above-mentioned problems raised by ice formation on turbine blades a number of techniques have been developed and tested to anti-ice and/or de-ice the blades. Methods in current use include surface coating, antifreeze chemicals, electrical resistance heating, hot air circulation, pulse electrothermal de-icing, manual chip-off, etc. However there are drawbacks and limitations for the full industrial uptake of these methods. For example,

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chemicals do not remain on the blade surfaces for a long time period and even coated surfaces 42 cannot effectively prevent ice formation [1]. Also all existing thermal de-icing methods demand 43 a high level of power to operate. Consumed power may reach 12% and 15% of the turbine's 44 nominal power output in the cases of electrical resistance heating and hot air circulation 45 respectively [2]. Apart from the issue of energy consumption, the high temperature induced in 46 the blade by thermal techniques may pose a serious risk for the integrity of composite blades [2]. 47 48 Other developing methods such as microwave heating have either poor performance or low energy efficiency [3]. The drawbacks and limitations of existing ice control approaches indicate 49 the potential for development of a new reliable and cost-effective de-icing technology. 50

A relatively new strategy used for ice protection systems is ultrasonic guided waves (UGW) 51 for which a few research projects have recently been reported [4-6]. This method is well known 52 for non-destructive testing applications in which the waves propagate in a low frequency range 53 (typically between 20 and 100 kHz for long-range ultrasonic testing). Based on wave theory, 54 ultrasonic waves cause displacements and stresses inside a material as they propagate through it. 55 56 Therefore they have the potential for removing ice accumulated on different surfaces. For example, Venna et al [7] applied ultrasonic waves of 1 kHz frequency on an aluminium airfoil 57 structure which matched its resonance frequency and de-iced the airfoil. They could manage to 58 59 shed off the ice 130 seconds after excitation of piezoelectric excitation patches. The shear and normal stresses measured during their experiment for achieving this reached 7.5 MPa and 25 60 MPa respectively. JL Palacios [8] tested ultrasound waves for helicopter blade anti-icing and de-61 icing using two distinct modes: transverse and shear, which were effective on leading edges at 62 both short range and over longer distances. For short distances near the transducers, de-icing 63 results were excellent using ultrasound powers of up to 0.37 W/cm^2 which is very energy 64 efficient compared with thermal ice protection systems. Part of the current research has been 65 built on this previous research. 66

67 Another technique associated with the current work is low-frequency vibrations whose background dates back to 1978 when Bell Helicopter performed a feasibility study on the 68 application of mechanical vibrations to prevent ice accretion on helicopter blades [9]. In that 69 research, an electric motor was used to vibrate a helicopter's main blade in beamwise and/or 70 torsional modes close to the blade's major natural frequencies to induce maximum excited 71 energy into its structure. It was found that harmonic forces generating acceleration of 25 to 30g 72 at a low frequency range between 0 and 50 Hz could lead to satisfactory de-icing. Results for de-73 74 icing of the helicopter blade proved to be more effective in the most critical areas of the blade 75 near to the hub while being less efficient at the leading edge. However, for wind turbines, the leading edge of the blade is of high importance for de-icing or protection against freezing [5, 9]. 76 77 Hence the dual system which has been studied here combines low frequency vibrations and ultrasonic waves in an attempt to provide total blade coverage. An efficient de-icing system that 78 does not impair structural integrity while providing deicing for the entire structure of the blades 79 is desirable. For this reason, in parallel with deicing potential, the potential reduction of the 80 blade's life due to fatigue effects has to be considered. 81

82 2. Current vibratory deicing approaches

83 2.1 Overview

As mentioned above, earlier attempts to deice helicopter blades have shown that lowfrequency vibrations are highly effective in de-icing across the blades except at the leading edges, whilst the application of ultrasound (US) have been proved to be very good at de-icing merely at surfaces such as the leading edge of the blade where the US power density is high. Hence the present work, as its main innovation, combines these two techniques, so that one subsystem will compensate for the deficiencies of the other. This approach provides sufficient 90 energy induced to the blades through both internally exciting particles of the material and 91 externally shaking the whole structure. In the former case, wave propagation towards the leading 92 edge causes the shear stress required to break the ice-substrate bond while in the latter, 93 acceleration generated in the blade causes the ice to be shaken off. The system is estimated to 94 consume low power to fulfil these tasks, which is another advantage. This point will be briefly 95 explained in the sample results.

The present work focused on modelling to develop a reliable ice protection system for anti-96 icing and/or de-icing wind turbine blades. Simulation plays a crucial role in designing the system 97 98 as it should verify that the waves can propagate through a composite blade. Also the harmonic forces and the locations of the shakers need to be determined to check whether or not they can 99 generate sufficient acceleration in the critical areas of the blade without causing serious damage. 100 The fatigue life of the blade due to low-frequency vibrations caused by shakers is potentially 101 significant and should be investigated. In fact, since the first mode shapes of the blade structure 102 particularly with frequencies below 50 Hz are crucially important, previous studies on the fatigue 103 analysis of wind turbine blades have been mainly based on these frequencies (see, for example, 104 [10, 11, 12]). Fatigue analysis in the current work has been carried out for different scenarios in 105 forced vibrations to confirm that the new approach does not endanger wind turbine blade 106 107 structural integrity.

Figure 1 outlines the steps taken in developing the ice protection system in the current work.
 The relevant details for each term along with modelling procedure are presented in the following sections.





Fig. 1: Flowchart of measures taken for de-icing/anti-icing the wind turbine blade

113 2.2 Ultrasonic guided waves

Preliminary research on helicopter blade de-icing via ultrasonic guided waves was carried out 114 by Palacios et al [8, 13]. The idea is the induction of shear stress in such a way that interfacial 115 stress between ice and substrate exceeds the adhesion strength between them. However the 116 question was how to generate such a stress that exceeds the bond strength while reducing the in-117 plane shear stress inside the substrate to avoid any damage to the blade. The resolution found for 118 this challenge was presented through the concept of Interfacial Stress Concentration Coefficients 119 (ISCC) to calculate the normalized interface shear stress for different combinations of ultrasonic 120 guided wave modes and frequencies [4]. In fact, ISCC is a value used for assessing the capability 121 to induce enough stress into the interface for a given amount of power. In other words, ISCC is a 122 normalized value to optimize frequency, mode and power for generating maximum interface 123

shear stress. For this reason, the current work considers this value as one of the main criteria in the following analyses and simulations regarding ultrasonic guided waves. Therefore dispersion curves with ISCC were first calculated to investigate the best frequency and wave mode, then an analysis of power concentration and stress distribution was conducted.

By modelling the complex vibration modes present in the different sample configurations, it 128 was possible to determine a dispersion curve and predict the dispersive properties of each blade 129 configuration or plate structure to a reasonable level of accuracy. This was performed using 130 eigenfrequency and time dependent analysis in the structural mechanics module. An 131 eigenfrequency analysis is an effective tool for describing natural behaviour for a structural 132 geometry when resonating. In addition, time dependent analysis was used to investigate the 133 transient power and stress distribution and ultrasound propagation generated from transducer 134 arrays. 135

An eigenfrequency analysis based on FEM provided the mode shape and natural frequency information. Two critical parameters were still required in order to plot a dispersion curve: phase velocity and wavelength. Wavelength could be determined by observing the mode shape for each eigenfrequency. Wavelength defines the distance travelled by a complete wave (1 peak and 1 trough), and the phase velocity at that frequency could therefore be calculated using the following equation.

$$\lambda = \frac{L}{n}, v_p = \lambda f \tag{1}$$

where L is the length of the geometry being modelled (e.g. leading edge of the blade) and n is the number of complete cycles observed from the mode shape.

To calculate the number of cycles, a 1D plot of the mode shape variation along the central line of the leading edge was produced (see Fig. 5 for the studied central line on the blade leading edge). The data extracted here were post-processed using a script which loaded and plotted the total displacement variation data, and counted the number of peaks and troughs for each wave mode for the entire range of eigenfrequencies. Using this information, the number of cycles was calculated using the following formula:

$$n = \frac{N_{of} peaks + N_{of} troughs}{2} \tag{2}$$

Once the number of cycles had been calculated, the wave length for that mode and its phase velocity was also calculated, and a phase velocity dispersion curve was generated. The maximum of the mesh size is normally less than 1/10 of the wavelength at the frequency of interest, and the mesh size was set as 2mm in the model. Also the Interface Stress Concentration Coefficient (ISCC) is defined by the following equation when the axis *x* is the direction of wave propagation

$$ISCC = \frac{\sigma_{yz}|_{layer interface}}{\sqrt{power}}$$
(3)

where σ_{yz} is the component of stress tensor in yz plane at the interface between sample and ice, and power is defined by the following equation when z is the direction along thickness

$$power = \int_{thickness} P_x dz \tag{4}$$

where *P* is the Poynting's vector defining the power flow on the structure and $P = [P_x, P_y, P_z]$. The parameter *P* governs the equation below:

$$P = \frac{-\vec{v}^* \cdot \sigma}{2} \tag{5}$$

159 where \vec{v} is particle velocity, * is the complex conjugate and σ is the stress tensor.

The ISCC is a measurement of how much shear stress can be produced at the interface 160 between ice and substrate for a given produced power per metre. When the interface shear stress 161 exceeds the adhesive shear strength of ice to the sample surface, de-icing will be achieved. The 162 larger the ISCC, the less ultrasonic power is required to generate blade cleaning. Large ISCC 163 points on the dispersion curves show large normalised interface shear stress rather than large 164 physical stresses at the ice interface. A minimisation in the required excitation power could be 165 166 achieved by considering a point on the dispersion curves with a large ISCC value, providing the sensor is available for resonating at the same frequency. The criteria for selecting the central 167 frequency and wave mode for ultrasonic de-icing are: a) The larger the ISCC, the less power 168 would be required for the de-icing system to be effective, b) the central frequency and wave 169 mode should be non-dispersive. In the Results section, the dispersion curve and ISCC for a 170 composite blade with varying thickness of glaze ice (one of the most common types of ice 171 formed on blades) are calculated and optimised central frequency, phase velocity and wave 172 length of ultrasonic wave are selected according to the criteria above. 173

174 **2.3 FEM model**

Finite Element Methods (FEM) based on numerical solutions of Partial Differential Equations (PDEs) offer a method for finding approximate numerical solutions of the natural frequencies of vibration and the mode shape as well as the propagation of ultrasound. The solution approach involves either eliminating the differential equations completely (steady state problems) or rendering the PDEs into an approximating system of ordinary differential equations, which are then solved numerically by integration using standard techniques such as Euler's method.

The current case study was selected as a 7.5-m composite blade of a V-15 wind turbine with 181 75 kW power generation. This turbine uses blades of a basic NACA 44xx airfoil series [14]. All 182 183 the geometrical details and physical properties of this blade can be found in [10] and [14]. Figure 2(a) shows a view of the blade's cross sectional areas, with some parts of the shell surface 184 removed to display the internal spar-box construction. In this figure, the three main parts of the 185 186 blade's body namely skin, spar (shear webs) and caps are indicated. They were modelled with different thickness of 0.007 m, 0.009 m and 0.0156 m respectively. Figure 2(b) shows a 187 representation of the created FEM model. The orthotropic mechanical properties of glass-188 reinforced composite utilised for the blade structure in the simulated model are given in Table 1. 189 The density of this composite material was $\rho = 1860 \text{ kg/m}^3$ and its damping coefficient 190 approximately $\zeta = 0.003$ [15]. 191

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Table 1: Mechanical properties of fiberglass composite used in the blade model

Mechanical	E_{I}	E_2	Ез	G_{12}	G_{13}	G23	1/22	1210	1210
properties	(GPa)	(GPa)	(GPa)	(GPa)	(GPa)	(GPa)	V23	V12	V13
STEF-1 glass fabric	5.62	4.59	4.59	0.406	0.406	0.28	0.24	0.22	0.22

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Fig. 2: The wind turbine blade modelled in ANSYS a) a cross section area in the widest part of the blade,
b) A representation of the whole FEM model

In the structure of a typical wind turbine blade, the root joint is usually metallic while it is covered by composite laminates internally and externally. The main body of the blade is then screwed to the hub through this strong root. This means that the root could act as a clamping wall for the rest of the blade with regard to its stiffness and all its six degrees of freedom are constrained. In the current study, the blade root was considered to be 6063-T5 aluminium alloy with the following properties: Young's modulus elasticity: 68.9 GPa, Poisson's Ratio: 0.33, shear modulus: 25.8 GPa, density: 2700 kg/m³ [16, 17].

The 3-D model was completed by creating surfaces which were then meshed via element 204 SHELL181. This element is suitable for analysing thin to moderately-thick shell structures. It is 205 a four-node element with six degrees of freedom at each node. SHELL181 is commonly used for 206 layered applications for modelling composite shells or sandwich construction and therefore 207 complies with the requirements of the current case. Since this element had to be used for 208 different parts of the blade with different thickness and material, a lay-up was applied through 209 sectioning the shell. It was then possible to allocate the correspondent properties to every part i.e. 210 root, skin, shear webs and caps. Mesh congestion was increased in some edges or lines at which 211 212 the slope of surfaces changed. A refinement study was then conducted to show that the model mesh size was sufficient for convergence. Finally a satisfactorily accurate model was built up 213 using 16688 shell elements. 214

215 2.4 Low-frequency vibrations

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The aim of applied low frequency vibration is to induce the largest acceleration possible into 216 the blade, so as to prevent building up or induce cracking and detachment of ice. Exciting the 217 structure close to one of its major resonance frequencies will produce a large vibration. So the 218 resonant frequencies of the blade and the structure's response were investigated. However, 219 exciting a structure too close to its resonant frequency could damage it. Therefore potential 220 effects on fatigue life of the blade were studied to determine the tolerable stress range, whether 221 yield or fatigue stress, that could be produced by the vibrators. The optimum topology of 222 vibrators based on modal analysis of the blade and application criteria such as maximum induced 223 acceleration were also determined. 224

225 2.4.1 Modal analysis

Having completed the model, its dynamical behaviour had to be studied and verified. Since the model developed here is similar to the case studied by Movaghghar and Lvov [10], the

- dynamical characteristics of these two models were comparable. For this reason, a modalanalysis was carried out to obtain the natural frequencies and mode shapes of the model.
- Solving the general equation of motion of a structure with a negligible damping leads to aneigenvalue problem as follows:

$$|[K] - \omega^2[M]| = 0 \tag{6}$$

- This is an eigenvalue problem which may be solved for up to *n* values of ω^2 and *n* eigenvectors
- 233 $\{\emptyset_i\}$ which satisfy Eq. (6) where *n* is the number of DOFs. The natural frequencies are output:

$$f_i = \frac{\omega_i}{2\pi} \tag{7}$$

- 234 where f_i is the i_{th} natural frequency.
- Each eigenvector $\{\emptyset_i\}$ can be normalized as the following:

$$\{\boldsymbol{\phi}\}_{i}^{T} \left[\boldsymbol{M}\right]\!\!\left\{\boldsymbol{\phi}\right\}_{i} = 1 \tag{8}$$

The eigenvectors $\{\emptyset_i\}$ represent the mode shapes - the shape assumed by the structure when vibrating at frequency f_i .

In this work, modal analysis was performed using the created FEM model. To solve the classic eigenvalue Eq. (6), the Block Lanczos Method was used to solve the eigenvalue problem in this task. The Block Lanczos eigenvalue solver uses the Lanczos algorithm where the Lanczos recursion is performed with a block of vectors. The Block Lanczos method is especially powerful when searching for eigenfrequencies in a given part of the eigenvalue spectrum of a given system.

244 2.4.2 Harmonic analysis

In this section, the structural responses at frequencies in the frequency band of interest were calculated and the spectrum graphs of response versus frequency plotted quantitatively. The harmonic analysis assumed that the applied loads and the steady-state response varied sinusoidally (harmonically) with time. The time-dependent equations of motion are given by:

$$[M]{\ddot{u}} + [C]{\dot{u}} + [K]{u} = {F^a}$$
(9)

All points in the structure are moving at the same known frequency, but not necessarily in phase. It is also known that the presence of damping [C] causes phase shifts. Therefore, the displacement {u} can be defined as:

$$\{u\} = \left\{u_{max}e^{i\emptyset}\right\}e^{i\omega t} \tag{10}$$

Substituting Equation (10) into (9), the dependence on time $e^{i\omega t}$ on both sides of the equation could be removed. Therefore, the general equation of motion of a system subjected to external harmonic force could be simplified and presented as a complex equation of harmonic motion as the following:

$$(-\omega^2[M] + i\omega[C] + [K])(\{u_1\} + i\{u_2\}) = \{F_1\} + i\{F_2\}$$
(11)

where $\{F_1\}$ and $\{F_2\}$ are the real and imaginary parts of the force vector respectively and $\{u\}$ stands for nodal displacement vector.

Using the full method provided in ANSYS, harmonic analysis was performed over a frequency range [0 50] Hz. In this study, the damping ratio was set as 0.3%, which is based on damping characteristics identified from comprehensive experiments carried out by Larwood etc. [15]. However aerodynamic damping was not considered in this analysis. Dynamic responses of the blade to harmonic excitation for different arrangements of vibrators were investigated. Figure 3 shows the potential places for shakers to be mounted on the blade. Section 3.2, Fig. 9, will
demonstrate how these three points were chosen as potential locations.



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Fig. 3: Potential points for applying vibrator forces on the turbine blade

Two forces of 100 N, F_x in x direction and F_y in y direction, were applied simultaneously to 267 excite modes in both flapwise and edgewise directions. It should be mentioned that the forces 268 were placed on the blade's edge at a point off the central, longitudinal axis in order to excite 269 twisting modes apart from flexural bending in directions x and y and consequently maximise 270 efficiency. The response thus included all the bending and torsional modes combined. Different 271 scenarios for applying harmonic forces were tested as either an array of dual (i.e. points 1&2, 272 1&3 and 2&3) or single shakers and finally all three points simultaneously. Harmonic responses 273 of the blade at three nodes, which are labelled as Point 1, Point 2 and Point 3 in Fig. 3 were 274 investigated. 275

276 **2.5 Fatigue life approach**

It has been well reported that wind turbines are considered within the category of *fatigue critical machines* [17] and the design of many of their components (especially blades) is dictated by fatigue considerations. Fatigue failure of turbine blades has been investigated for various purposes and due to different types of loading [18-21]. The current work has performed a fatigue analysis subjected to external forced vibration for blade de-icing which makes the purpose of this life prediction distinct compared to previous work.

283 In an analytical fatigue approach developed recently, Movaghghar and Lvov [10] proposed an energy-based method for predicting fatigue life and evaluating progressive damage in a 284 composite wind turbine blade. This work, regardless of yearly wind spectra and random 285 statistical analysis, only considered maximum stress developed in the blade structure due to any 286 287 imposed loading. The approach was found to be readily applicable to different systems (in terms of loading conditions) while not to be directly dependent on knowing yield stress or the static 288 strength of material. Although the proposed formula needs two empirical constants, these were 289 determined and characterized through a series of experimental fatigue test for 30 specimens cut 290 in different directions from the blade. Full details on deriving the final approach can be found in 291 reference 10. Finally the following equation was solved in order to determine the number of 292 cycles to failure (N_f) : 293

$$N_f = 1 / \left(\frac{m}{2^n} (n+1) \cdot \left(\sigma_1 \left(\frac{\sigma_1}{E_1} - \frac{\nu_{21}}{E_2} \sigma_2 \right) + \sigma_2 \left(\frac{-\nu_{12}}{E_1} \sigma_1 + \frac{\sigma_2}{E_2} \right) + \frac{\tau_{12}^2}{G_{12}} \right)^n \right)$$
(12)

where *m* and *n* are the fixed parameters characterised through fatigue experiments as $m=4.26*10^{-10}$ 295 25 (Pa)⁻ⁿ and n=3.311. The variables σ_1 , σ_2 and τ_{12} are defined as the maximum principal stresses (normal and shear) that can have various values depending on loading characteristics. In each loading case FEM analysis results were obtained via ANSYS in order to be used in Eq. (12).

Wind turbines are subjected to different dynamic loads such as aerodynamic loads, changes in 299 gravitational forces, changes in the wind direction, annual gust, centrifugal force, gyroscopic 300 forces due to yaw movements and activation of mechanical brake almost all the time while the 301 harmonic forced vibration caused by shakers will only be applicable occasionally for short 302 periods during icing. The shakers should be triggered by ice detection system probably a few 303 times a day during icing weather conditions depending on the rate of ice accumulation on the 304 305 blade surface, working for approximately 2 seconds each time [9]. So additional impact on fatigue life caused by applied vibration is considered to be far smaller than what may be 306 307 expected from other common load cases.

An acceptable normal fatigue life which is so called *infinite life* varies based on the type of 308 application and material. In terms of GFRP material, there is a wide range of infinite fatigue life 309 reported, varying from 10^5 to 10^8 cycles depending on the geometry of reinforcing fibres, lay-up 310 configuration, laminate orientation, etc. [17]. Hence a number between 10^6 - 10^7 cycles on 311 average may be considered as a satisfactory range for the blade bearing in mind that shakers are 312 313 supposed to work only for a very short period of time over a year. In addition, the intermittent application of harmonic forces on the blade would lead to a fatigue life higher than what are 314 presented here due to possible stress relief between icing episodes. 315

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317 **3.** Sample numerical results

318 3.1 Ultrasonic guided waves

A portion of the leading edge of a 7mm thick composite blade with two different ice 319 thicknesses (0.5 mm and 2 mm) was investigated. The boundary conditions for two edges of the 320 blade were set as symmetric boundary conditions, where the ultrasound propagated through the 321 boundaries without any reflection, as shown in Fig. 5. The anisotropic material properties of 322 glass fibre are listed in Table 1, while the Young's modules, Poisson's ratio and density of the 323 glaze ice are 8.3GPa, 0.351 and 900kg/m³ respectively. The laminated structure of GFRP is 324 simplified in this model with orthotropic Young's modules and Poisson's ratio tensors. As an 325 example, the dispersion curve and ISCC results for a 2-mm ice layer are shown in Fig. 4. In the 326 327 middle figure of ISCC against frequency, ISCC values are largest at 12.56 and 14.47 kHz and relatively large at 7.94, 13.02, and 13.78 kHz. Combining these data with the dispersion curve, 328 the frequencies at 7.94, 13.02, and 13.78 kHz are dispersive. According to the criteria mentioned 329 330 in Section 2.2, the optimised central frequency, phase velocity and wave length of ultrasonic wave for a 7mm thick leading edge composite blade with 2mm thick glaze ice were chosen as 331 12.56 kHz, 1047 m/s, 0.083 m respectively. Note that once frequency and wave mode have been 332 chosen, the phase velocity can be worked out as a dependent parameter. The summary of results 333 for the two different ice thicknesses is listed in Table 2. 334

Table 2: Summary of simulation results on selection of central frequency, phase velocity and wave length

Optimised parameters	F _c (kHz)	Phase velocity (m/s)	Wave length (m)
GFRP blade + 0.5mm ice	8.342	834	0.1
GFRP blade + 2mm ice	12.56	1047	0.083

The following work regarding power focus via transducer array is based on the values given in Table 2. The excitation frequency follows the values for different thickness of ice; the time 338 period for simulation is dependent on phase velocity and the distance between ultrasonic 339 transducers which is determined by wavelength.



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Fig. 4: Dispersion curve (above), ISCC curve (mid), Combination of dispersion curve and ISCC curve
 (below) for the blade with 2mm thick glaze ice to find non-dispersive modes of high ISCC indicated by
 circled area

A sine wave was used for excitation of transducers. The transient distribution of stress and 344 displacement could then be calculated. The model to be investigated was a composite blade with 345 346 7mm thickness at the leading edge covered by a 2-mm thick ice layer. According to the data given in Table 2, the central frequency was set to 12.56 kHz, and the wave length λ =0.1667 m. 347 The pair of transducers was placed $\lambda/4$ apart on the leading edge, as shown in Fig. 5, to enhance 348 349 each other. The displacement and stress distribution at different times are shown in Figs. 6 and 7. According to the figures, the ultrasonic wave was guided and the power was concentrated on the 350 leading edge of the blade, gradually propagating to the other parts of the blade. 351





Fig. 5: Configuration of two transducers on the leading edge of the blade









Fig. 6: Displacement distribution in the composite blade over time with 2-mm thick ice showing wave propagation and coverage across 1m of the leading edge at t =100 μ s, t=500 μ s and t = 800 μ s



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Fig. 7: Distribution of the shear stress component σ_{yz} at the interface of ice and GFRP in the leading edge of the composite blade over time with 2-mm thick ice showing wave propagation and coverage across 1m of the leading edge from t =100 μ s to t =1 ms.

Finally, the distribution of displacement and stress for the GFRP blade's leading edge were 362 calculated. A pair of transducers along with fibre orientation was designed to additively enhance 363 the induced energy, achieving power concentration at the leading edge. The effective stress 364 domain induced at the blade varied from 0.4 to 2 MPa which is consistent with the criteria given 365 by previous experimental tests on composite material [22-24] for removing glaze ice. The power 366 input was applied in form of force per unit area (selected to be 1 MN/m² in this case as an 367 optimum value) on the transducers. To obtain this, a series of simulations was conducted with 368 increasing orders of input load for two transducers from 1Pa to 10 MPa to investigate a range of 369 values. As a result, when the input loads reached 1MPa, the effective shear stress at the interface 370 of blade and ice achieved the criteria range (0.4 - 2 MPa). Figures 7 show that the arrangement 371 is able to generate sufficient stress at the blade's edge to remove the ice. It should be noted that 372 the occurrence of matrix failure or glass fibre failure under these conditions, has been well 373 374 investigated both experimentally and theoretically [4, 5, 8, 13]. Additionally, for more clarity, studies carried out by Zhao et al [25] showed that the levels of shear stress required to 375 delaminate unidirectional glass fiber-epoxy composite are from 25 Mpa to 72 Mpa due to 376 different surface treatments while, according to Figs. 7, the maximum shear stress induced at the 377 leading edge does not exceed 1.5 MPa. 378

To estimate the power consumption involved, suppose a couple of piezoelectric transducers, 379 each of 1-cm² attachment area and 1-mm oscillating amplitude are utilised within the required 380 time of 0.001 s for each metre of blade as suggested by the simulation results. The power for 381 each transducer is calculated as 100 W. To provide de-icing for the first 4 meters of the blade 382 (which must be covered to compensate for the limitations of low-frequency vibration as noted in 383 Section 3.2), 8 transducers then will be needed, i.e. 800 W for each blade. With installation of 384 385 the transducer array on all three blades of the wind turbine, 2400 W would be the total necessary power for a 75 kW wind turbine which is 3.2% of the turbine's nominal power output. This value 386 is a considerable reduction in required power for an ice protection system, compared to the 387 power consumed by existing thermal de-icing methods which reach around 12% to 15% [2]. 388

389 3.2 Low-frequency vibrations

The first modes are usually dominant in a frequency or time-domain response of a system (see Fig. 11). Hence the first three natural frequencies of the two models are shown in Table 3 although in the frequency range of [0-50] Hz, 7 modes were identified.

Mode	Mode shape	Natural frequency (Hz)			
number	Mode shape	Current model	Reference		
1	First flap-wise bending	2.20	2.28		
2	First edge-wise bending	3.81	3.83		
3	Second flap-wise bending	10.39	8.25		

Table 3: Comparison of the developed blade with the similar one from a different work ([10]).

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These results imply that the two models, at least in the lower frequencies, which have most 395 contribution into the dynamics of system, are sufficiently consistent. In addition, the 396 correspondent mode shapes at these frequencies had a similar trend to those presented in the 397 398 reference. The third and fifth mode shapes, for example, are shown in Fig. 8 upon which the total displacement spectra are displayed. Modal analysis was also used for harmonic analysis to 399 400 determine best potential locations for mounting shakers and applying vibratory forces. This corresponds to a few kinematic factors such as superposition of mode shapes, maximum 401 displacements and nodal points in each mode shape as described below. 402

The schematic curves of the 1st, 3rd and 5th mode shapes, based on the modal results are shown 403 in Fig. 8. All of these correspond to bending flexural modes in direction y. Note that the 404 displayed deflections are exaggerated. Since the second and forth modes have the same shape as 405 the first and third modes but in direction x, they are not shown in this diagram. The largest 406 deflection in all flexural bending modes was found to occur at the blade's tip. For this reason, 407 408 point 3 in Figs. 3&9 is a location of top priority to be considered for mounting one of the shakers to excite the blade. Although the third and fifth modes do not have too much contribution to 409 dynamical response they have to be considered in overall dynamic performance. They both have 410 nodes (zero displacement) at point C. To this extent, point C should not be a potential location 411 for a shaker as generally it would not excite the correct mode at this nodal point and 412 consequently not cause effective acceleration. There is another node at point B in the 5th mode 413 while the other two modes also have no large displacement at this point. In the vicinity of point 414 B, point 2 has considerable displacement in all the three modes which makes it a significant 415 location to excite the mode shapes and vibrate the blade. 416





Fig. 8: The third and fifth mode shapes of the developed blade model

Another choice for mounting a shaker is point 1 because it seems to have almost same effect on all three modes for vibrating the system. Hence the three points 1, 2 and 3 are the best candidates to configure an optimum array for shakers.





Fig. 9: Flexural bending mode shapes of the blade in direction y

As already mentioned, the aim of using low-frequency vibration is to cause sufficient 424 acceleration on the whole blade's surface (and not only the leading edge) for removing ice. For 425 this reason was necessary to identify the frequency at which maximum acceleration occurred. 426 The amplitude of acceleration depends on two factors; displacement of the blade's surface and 427 the frequency of forced oscillations. In this regard a survey was carried out to characterise 428 maximum acceleration for two different types of mode i.e. Flapwise (flexural bending mode in 429 direction y) and Edgewise (flexural bending mode in direction x). Figures 10(a & b) show the 430 431 accelerations of three points of the blade indicated in Fig. 7 versus excitation frequency within [0 - 50] Hz using the full method of harmonic analysis, in the edgewise and flapwise modes. In this 432 state, one shaker was applied on point 1. Figure 10(a) shows that maximum acceleration 433 occurred at the 4th mode, 21.18 Hz, which is the second flexural bending mode in the edgewise 434 direction. Also Fig. 10(b) shows that the excitation frequency of maximum acceleration in 435 direction y was the 6th mode, 24.89 Hz, which is the first torsion mode. So these two modes 436

437 (21.18 & 24.89 Hz) are the optimum frequencies in which required acceleration can be induced
438 depending on the locations of shaker(s).

Figure 11(a) shows displacement of the blade's tip in three directions x, y and z due to a forced vibration in both x and y directions over a harmonic analysis of frequency range [0-50 Hz]. Accordingly Fig. 11(b) shows the corresponding *von Mises* stress values of a potentially critical element near to the blade root. The *von Mises stress* is usually used to check the possible failure of a material subjected to loading. It can be understood from Figure 11(b) that any possible failure due to a typical cyclic load is most likely to take place at 2.2 Hz which is the first resonant frequency of the system.

Consequently, as seen in Fig. 11(b), the frequency range at which maximum accelerations 446 occur (21.18 & 24.89 Hz) compared to the critical frequency range (2.2 & 3.8 Hz), generates 447 only small stresses and displacements. According to the results of harmonic analysis calculated 448 from all 7 loading scenarios (i.e. dual shakers at points 1&2, 1&3 and 2&3; single shakers and 449 450 triple shakers at all three points simultaneously), the peak von Mises stresses are all found at the first predominant natural frequencies in x and y directions (2.2 & 3.8 Hz). In other words the 451 frequencies beyond the second mode have little effect in reducing blade fatigue life due to the 452 453 low stress experienced. This point has been also shown by other works on fatigue analysis of the turbine blades [10, 26]. For this reason, a safe fatigue evaluation would be the one established on 454 the basis of the first mode even though the optimum frequency for de-icing is the higher mode at 455 456 24.89 Hz.





Figure 10: Acceleration, (m/s^2) , induced in the blade calculated for three different points of the blade in the frequency range [0 50] Hz; *a*) Edgewise direction *b*) Flapwise direction

469





463 Fig. 11: The response of the blade subjected to forced vibrations a) Displacement of the tip in three
464 directions x, y and z, b) von Mises stress calculated at four different locations of the blade (points A, 1, 2
465 and 3 as marked in Fig. 9) versus frequency

Table 4 summarizes the maximum values of displacement and acceleration occurring in the 466 three different points of the blade (1, 2 & 3) at the optimum frequency (i.e 24.89 Hz) when the 467 100-N, x-y harmonic forces are applied at these three points simultaneously. Comparing the 468 displacements at three points, it can be seen that maximum accelerations of the blade in flapwise 469 470 and edgewise directions both appeared at the end of the blade. The acceleration is more than 25g at the points 2 & 3 which is sufficient to de-ice the blade according to the preliminary 471 experimental tests [9]. This means that the induced vibration at point 1 could weaken the 472 473 ice/substrate bond but for full de-icing, vibration should be complemented by the use of ultrasonic transducers. In other words, the distance between point A and point 2 in the blade 474 which is almost 4 meters (see Figs. 3 & 9) must be covered by guided waves through mounting 475 one pair of transducers per one meter of the blade (according to the US wave results). The other 476 potential scenarios for mounting shakers were found to be ineffective for generating enough 477 acceleration for de-icing as they did not match the acceleration criteria. For this reason only the 478 results corresponding to the successful scenario have been shown in Table 4. 479

480 481

 Table 4: Displacement and acceleration induced in the blade at the frequency of 24.89 Hz when all three points are excited simultaneously

	Displac	cement (m)	Acceleration (m/s ²)		
	Edgewise direction (X-axis)	Flapwise direction (Y-axis)	Edgewise direction (X-axis)	Flapwise direction (Y-axis)	
Point 1	0.0347	0.0464	81.615	218.685	
Point 2	0.1257	0.3305	121.642	252.966	
Point 3	0.2590	0.8466	197.643	298.261	

482

In order to know whether or not the resonant vibration induced by the shakers could damage the structural integrity of the blade, the areas undergoing maximum stress, i.e. critical points of the blade, were identified through stress distribution in harmonic analysis. The first and second natural frequencies, 2.20 Hz and 3.81 Hz were the predominant, critical frequencies. Therefore, the maximum stresses created by harmonic loading at these two frequencies were investigated to form a basis for fatigue analysis of the blade.

Figure 12a shows the distribution of the principal shear stress (τ_{12}) upon the blade with a shaker placed at the blade's tip (point 3 in Figs. 3 & 9) when f = 2.2 Hz. Correspondingly the 491 distribution of von Mises stress upon the blade while it is excited at the second natural frequency 492 (f = 3.8 Hz) has been captured and shown in Fig. 12b.



493



496

Fig. 12: Stress distribution upon blade due to a 100-N harmonic force applied at the blade's tip; *a*) shear stress when f = 2.2 Hz *b*) shear stress when f = 3.8 Hz. Critical pointes are circled.

The two areas marked by red circles in Fig.12 are critical (labelled as A & 1 in Fig. 9). These two areas are critical at almost all the natural frequencies of the blade when they are excited. The higher stresses at these two areas are caused by 1) the large bending moment near to the blade root and 2) the change in smoothness and tilt of the blade geometry at the second critical area which increases the stress concentration coefficient.

502 3.3 Fatigue life

Different possible scenarios in terms of loading arrangement were considered in the analysis and approximate fatigue life in each case was derived and presented. In each case, the three stress values i.e. σ_1 , σ_2 and τ_{12} had to be calculated. For example, considering point 1, shown in Fig. 9, as the critical point, the maximum values were $\sigma_1 = 31.4 * 10^6 N/m^2$, $\sigma_2 = 15.2 * 10^6 N/m^2$ and $\tau_{12} = 2.81 * 10^6 N/m^2$ which consequently led to $N_f = 1.42*10^7$ cycles. Similar calculations and considerations were performed for other scenarios of shaker arrays. All these results have been summarised in Table 5. Two samples of stress distributions upon the blade have already been shown in Fig. 12(a&b). The data given in Table 5 show that most of the fatigue life cycles are within the acceptable range mentioned in section 2.5 (10^5 to 10^8 cycles for a standard life of the blade [17]). However, it is worth noting that since vibrators only operate for a very short time in each activation (roughly 2 sec), this number will correspond to a much longer period than the turbine life standard (20 year) for normal operating cycles under wind loading.

Note that the calculated N_f here, has not considered the effects of normal operating cycles 516 517 directly and only involves the effect of applying harmonic forces by low frequency vibrations. The fatigue analysis was based on the critical mode shape of the blade i.e. the first mode (2.2 Hz) 518 as one of the conditions for using the original fatigue approach (Eq. 12). In this dominant mode, 519 the blade always experiences maximum stresses and displacements. On the other hand the largest 520 acceleration required for removing ice was found to occur at higher modes (24.89 Hz) which 521 should be selected as the operational frequency. This reduces the danger of structural damage 522 523 because the higher frequency not only ensures ice removal by inducing the largest acceleration but also decreases the stress compared to the dominant frequency (2.2 Hz) which consequently 524 leads to significant increase in fatigue life values given in Table 5. 525

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Table 5: summary of predicted fatigue life due to applying shakers of different positions (arrays) onthe blade in its first natural frequency

Shaker(s) arrangement	Single Point 1	Single Point 2	Single Point 3	Dual points 1&2	Dual points 1&3	Dual points 2&3	All points 1&2&3
Nf	2.66 e15	6.36e9	1.42 e7	2.61 e9	1.001 e7	1.54 e6	1.20 e6

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530 4. Concluding remarks

A strategy has been developed to maximise the anti-icing/de-icing performance of a typical wind turbine blade. It consists of the combined use of ultrasonic guided waves which internally excite material particles and low-frequency vibrations to provide simultaneous external shaking of the whole structure of the turbine blade without compromising fatigue life. The two relatively efficient approaches combine to compensate for each other's deficiencies and collectively can provide a fully effective ice protection system.

Regarding the ultrasound technique on its own, dispersion curves and ISCC coefficients were 537 calculated for an actual blade made from composite for the selection of wave mode and central 538 frequency. The optimised central frequency, phase velocity and wave length of ultrasonic waves 539 according to different situations including material and thickness of ice were determined. These 540 outcomes provided guidance for the selection of frequency and wave mode for the design of 541 transducer arrays. Investigation of allocated transducer arrays in order to focus energy and guide 542 543 the waves led to selection and application of a pair of transducers in fibre orientation to generate sufficient shear stress on the leading edge for ice removal. Results showed that the ultrasonic 544 wave was guided and power was concentrated on the central line of the blade leading edge, 545 consuming considerably less power than existing thermal de-icing methods. 546

To investigate the structural response of the blade to forced vibration induced by shakers, a FEM model of the wind turbine blade was developed. The first 7 natural frequencies and mode shapes of the model were extracted and studied. Likewise the best potential points for mounting shakers were proposed as a result of modal analysis, based on superposing mode shapes and their nodes. This made it possible to characterize the optimum points and directions (*x* and *y*) at which the blade should be excited. In the following, harmonic analysis within a low frequency band ([0

- 50] Hz) was performed to investigate the effects of forced vibration on the wind turbine blade. 553 Different shaker arrays covering 7 loading states in total, consisting of single, double and triple 554 arrangements, were considered. It was found that an optimum topology for the shakers is a three-555 vibrator set-up i.e. the loading conditions in which all the three potential points are excited in 556 both flapwise and edgewise directions. In this arrangement, the required acceleration for 557 removing ice may be induced on the major surface of the blade, particularly the areas that cannot 558 559 be covered by ultrasound waves. Also the critical stress values in each case were calculated for fatigue life prediction. The fatigue analysis showed that vibrating the blade at such frequencies 560 complies with standards of composite blades regarding fatigue life. In fact, they all led to the 561 tolerable loading cycles that do not apply any risk to the structural integrity of the blade. 562

It can be noted that the interaction between the rotating blade and the distortion due to vibrations can be a topic for future work, especially an analysis of the dynamical balancing of the turbine's blades. Future work will also concentrate on prototyping the developed system and experimental test rigs.

567

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