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## SUMMARY FOR POLICYMAKERS

### AVIATION AND THE GLOBAL ATMOSPHERE

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#### *A Special Report of Working Groups I and III of the Intergovernmental Panel on Climate Change*

*This summary, approved in detail at a joint session of IPCC Working Groups I and III (San José, Costa Rica, 12–14 April 1999), represents the formally agreed statement of the IPCC concerning current understanding of aviation and the global atmosphere.*

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## 1. Introduction

*This report assesses the effects of aircraft on climate and atmospheric ozone and is the first IPCC report for a specific industrial subsector. It was prepared by IPCC in collaboration with the Scientific Assessment Panel to the Montreal Protocol on Substances that Deplete the Ozone Layer, in response to a request by the International Civil Aviation Organization (ICAO)<sup>1</sup> because of the potential impact of aviation emissions. These are the predominant anthropogenic emissions deposited directly into the upper troposphere and lower stratosphere.*

*Aviation has experienced rapid expansion as the world economy has grown. Passenger traffic (expressed as revenue passenger-kilometres<sup>2</sup>) has grown since 1960 at nearly 9% per year, 2.4 times the average Gross Domestic Product (GDP) growth rate. Freight traffic, approximately 80% of which is carried by passenger airplanes, has also grown over the same time period. The rate of growth of passenger traffic has slowed to about 5% in 1997 as the industry is maturing. Total aviation emissions have increased, because increased demand for air transport has outpaced the reductions in specific emissions<sup>3</sup> from the continuing improvements in technology and operational procedures. Passenger traffic, assuming unconstrained demand, is projected to grow at rates in excess of GDP for the period assessed in this report.*

The effects of current aviation and of a range of unconstrained growth projections for aviation (which include passenger, freight, and military) are examined in this report, including the possible effects of a fleet of second generation, commercial supersonic aircraft. The report also describes current aircraft technology, operating procedures, and options for mitigating aviation's future impact on the global atmosphere. The report does not consider the local environmental effects of aircraft engine emissions or any of the indirect environmental effects of aviation operations such as energy usage by ground transportation at airports.

## 2. How Do Aircraft Affect Climate and Ozone?

*Aircraft emit gases and particles directly into the upper troposphere and lower stratosphere where they have an impact on atmospheric composition. These gases and particles alter the concentration of atmospheric greenhouse gases, including carbon dioxide (CO<sub>2</sub>), ozone (O<sub>3</sub>), and methane (CH<sub>4</sub>); trigger formation of condensation trails (contrails); and may increase cirrus cloudiness—all of which contribute to climate change (see Box on page 4).*

The principal emissions of aircraft include the greenhouse gases carbon dioxide and water vapour (H<sub>2</sub>O). Other major emissions are nitric oxide (NO) and nitrogen dioxide (NO<sub>2</sub>) (which together are termed NO<sub>x</sub>), sulfur oxides (SO<sub>x</sub>), and soot. The total amount of aviation fuel burned, as well as the total emissions of carbon dioxide, NO<sub>x</sub>, and water vapour by aircraft, are well known relative to other parameters important to this assessment.

The climate impacts of the gases and particles emitted and formed as a result of aviation are more difficult to quantify than the emissions; however, they can be compared to each other and to climate effects from other sectors by using the concept of radiative forcing.<sup>4</sup> Because carbon dioxide has a long atmospheric residence time (≈100 years) and so becomes well mixed throughout the atmosphere, the effects of its emissions from aircraft are indistinguishable from the same quantity of carbon dioxide emitted by any other source. The other gases (e.g., NO<sub>x</sub>, SO<sub>x</sub>, water vapour) and particles have shorter atmospheric residence times and remain concentrated near flight routes, mainly in the northern mid-latitudes. These emissions can lead to radiative forcing that is regionally located near the flight routes for some components (e.g., ozone and contrails) in contrast to emissions that are globally mixed (e.g., carbon dioxide and methane).

The global mean climate change is reasonably well represented by the global average radiative forcing, for example, when evaluating the contributions of aviation to the rise in globally averaged temperature or sea level. However, because some of aviation's key contributions to radiative forcing are located mainly in the northern mid-latitudes, the regional climate response may differ from that derived from a global mean radiative forcing. The impact of aircraft on regional climate could be important, but has not been assessed in this report.

Ozone is a greenhouse gas. It also shields the surface of the Earth from harmful ultraviolet (UV) radiation, and is a common air pollutant. Aircraft-emitted NO<sub>x</sub> participates in ozone chemistry. Subsonic aircraft fly in the upper troposphere and lower stratosphere (at altitudes of about 9 to 13 km), whereas supersonic aircraft cruise several kilometres higher (at about 17 to 20 km) in the stratosphere. Ozone in the upper troposphere and lower stratosphere is expected to increase in response to NO<sub>x</sub> increases and methane is expected to decrease. At higher altitudes, increases in NO<sub>x</sub> lead to decreases in the stratospheric ozone layer. Ozone precursor (NO<sub>x</sub>) residence times in these regions increase with altitude, and hence perturbations to ozone by aircraft depend on the altitude of NO<sub>x</sub> injection and vary from regional in scale in the troposphere to global in scale in the stratosphere.

<sup>1</sup> ICAO is the United Nations specialized agency that has global responsibility for the establishment of standards, recommended practices, and guidance on various aspects of international civil aviation, including environmental protection.

<sup>2</sup> The revenue passenger-km is a measure of the traffic carried by commercial aviation: one revenue-paying passenger carried 1 km.

<sup>3</sup> Specific emissions are emissions per unit of traffic carried, for instance, per revenue passenger-km.

<sup>4</sup> Radiative forcing is a measure of the importance of a potential climate change mechanism. It expresses the perturbation or change to the energy balance of the Earth-atmosphere system in watts per square metre (Wm<sup>-2</sup>). Positive values of radiative forcing imply a net warming, while negative values imply cooling.

### The Science of Climate Change

Some of the main conclusions of the Summary for Policymakers of Working Group I of the IPCC Second Assessment Report, published in 1995, which concerns the effects of all anthropogenic emissions on climate change, follow:

- Increases in greenhouse gas concentrations since pre-industrial times (i.e., since about 1750) have led to a positive radiative forcing of climate, tending to warm the surface of the Earth and produce other changes of climate.
- The atmospheric concentrations of the greenhouse gases carbon dioxide, methane, and nitrous oxide (N<sub>2</sub>O), among others, have grown significantly: by about 30, 145, and 15%, respectively (values for 1992). These trends can be attributed largely to human activities, mostly fossil fuel use, land-use change, and agriculture.
- Many greenhouse gases remain in the atmosphere for a long time (for carbon dioxide and nitrous oxide, many decades to centuries). As a result of this, if carbon dioxide emissions were maintained at near current (1994) levels, they would lead to a nearly constant rate of increase in atmospheric concentrations for at least two centuries, reaching about 500 ppmv (approximately twice the pre-industrial concentration of 280 ppmv) by the end of the 21st century.
- Tropospheric aerosols resulting from combustion of fossil fuels, biomass burning, and other sources have led to a negative radiative forcing, which, while focused in particular regions and subcontinental areas, can have continental to hemispheric effects on climate patterns. In contrast to the long-lived greenhouse gases, anthropogenic aerosols are very short-lived in the atmosphere; hence, their radiative forcing adjusts rapidly to increases or decreases in emissions.
- Our ability from the observed climate record to quantify the human influence on global climate is currently limited because the expected signal is still emerging from the noise of natural variability, and because there are uncertainties in key factors. These include the magnitude and patterns of long-term natural variability and the time-evolving pattern of forcing by, and response to, changes in concentrations of greenhouse gases and aerosols, and land-surface changes. Nevertheless, the balance of evidence suggests that there is a discernible human influence on global climate.
- The IPCC has developed a range of scenarios, IS92a-f, for future greenhouse gas and aerosol precursor emissions based on assumptions concerning population and economic growth, land use, technological changes, energy availability, and fuel mix during the period 1990 to 2100. Through understanding of the global carbon cycle and of atmospheric chemistry, these emissions can be used to project atmospheric concentrations of greenhouse gases and aerosols and the perturbation of natural radiative forcing. Climate models can then be used to develop projections of future climate.
- Estimates of the rise in global average surface air temperature by 2100 relative to 1990 for the IS92 scenarios range from 1 to 3.5°C. In all cases the average rate of warming would probably be greater than any seen in the last 10 000 years. Regional temperature changes could differ substantially from the global mean and the actual annual to decadal changes would include considerable natural variability. A general warming is expected to lead to an increase in the occurrence of extremely hot days and a decrease in the occurrence of extremely cold days.
- Average sea level is expected to rise as a result of thermal expansion of the oceans and melting of glaciers and ice-sheets. Estimates of the sea level rise by 2100 relative to 1990 for the IS92 scenarios range from 15 to 95 cm.
- Warmer temperatures will lead to a more vigorous hydrological cycle; this translates into prospects for more severe droughts and/or floods in some places and less severe droughts and/or floods in other places. Several models indicate an increase in precipitation intensity, suggesting a possibility for more extreme rainfall events.

Water vapour, SO<sub>x</sub> (which forms sulfate particles), and soot<sup>5</sup> play both direct and indirect roles in climate change and ozone chemistry.

### 3. How are Aviation Emissions Projected to Grow in the Future?

*Global passenger air travel, as measured in revenue passenger-km, is projected to grow by about 5% per year between 1990 and 2015, whereas total aviation fuel use—including passenger, freight, and military<sup>6</sup>—is projected to increase by 3% per year, over the same period, the difference being due largely to improved aircraft efficiency. Projections beyond this time are more*

uncertain so a range of future unconstrained emission scenarios is examined in this report (see Table 1 and Figure 1). All of these scenarios assume that technological improvements leading to reduced emissions per revenue passenger-km will continue in the future and that optimal use of airspace availability (i.e.,

<sup>5</sup> Airborne sulfate particles and soot particles are both examples of aerosols. Aerosols are microscopic particles suspended in air.

<sup>6</sup> The historical breakdown of aviation fuel burn for civil (passenger plus cargo) and military aviation was 64 and 36%, respectively, in 1976, and 82 and 18%, respectively, in 1992. These are projected to change to 93 and 7%, respectively, in 2015, and to 97 and 3%, respectively, in 2050.

**Table 1:** Summary of future global aircraft scenarios used in this report.

Scenario name	Avg. traffic growth per year (1990–2050) <sup>1</sup>	Avg. annual growth rate of fuel burn (1990–2050) <sup>2</sup>	Avg. annual economic growth rate 1990–2025 1990–2100	Avg. annual population growth rate 1990–2025 1990–2100	Ratio of traffic (2050/1990)	Ratio of fuel burn (2050/1990)	Notes
Fa1	3.1%	1.7%	2.9% 2.3% 1990–2025 1990–2100	1.4% 0.7% 1990–2025 1990–2100	6.4	2.7	Reference scenario developed by ICAO Forecasting and Economic Support Group (FESG); mid-range economic growth from IPCC (1992); technology for both improved fuel efficiency and NO <sub>x</sub> reduction
Fa1H	3.1%	2.0%	2.9% 2.3% 1990–2025 1990–2100	1.4% 0.7% 1990–2025 1990–2100	6.4	3.3	Fa1 traffic and technology scenario with a fleet of supersonic aircraft replacing some of the subsonic fleet
Fa2	3.1%	1.7%	2.9% 2.3% 1990–2025 1990–2100	1.4% 0.7% 1990–2025 1990–2100	6.4	2.7	Fa1 traffic scenario; technology with greater emphasis on NO <sub>x</sub> reduction, but slightly smaller fuel efficiency improvement
Fc1	2.2%	0.8%	2.0% 1.2% 1990–2025 1990–2100	1.1% 0.2% 1990–2025 1990–2100	3.6	1.6	FESG low-growth scenario; technology as for Fa1 scenario
Fe1	3.9%	2.5%	3.5% 3.0% 1990–2025 1990–2100	1.4% 0.7% 1990–2025 1990–2100	10.1	4.4	FESG high-growth scenario; technology as for Fa1 scenario
Eab	4.0%	3.2%			10.7	6.6	Traffic-growth scenario based on IS92a developed by Environmental Defense Fund (EDF); technology for very low NO <sub>x</sub> assumed
Edh	4.7%	3.8%			15.5	9.4	High traffic-growth EDF scenario; technology for very low NO <sub>x</sub> assumed

<sup>1</sup>Traffic measured in terms of revenue passenger-km.  
<sup>2</sup>All aviation (passenger, freight, and military).

ideal air traffic management) is achieved by 2050. If these improvements do not materialize then fuel use and emissions will be higher. It is further assumed that the number of aircraft as well as the number of airports and associated infrastructure will continue to grow and not limit the growth in demand for air travel. If the infrastructure was not available, the growth of traffic reflected in these scenarios would not materialize.

IPCC (1992)<sup>7</sup> developed a range of scenarios, IS92a-f, of future greenhouse gas and aerosol precursor emissions based on assumptions concerning population and economic growth,

land use, technological changes, energy availability, and fuel mix during the period 1990 to 2100. Scenario IS92a is a mid-range emissions scenario. Scenarios of future emissions are not predictions of the future. They are inherently uncertain because they are based on different assumptions about the future, and

<sup>7</sup> IPCC, 1992: *Climate Change 1992: The Supplementary Report to the IPCC Scientific Assessment* [Houghton, J.T., B.A. Callander, and S.K.Varney (eds.)]. Cambridge University Press, Cambridge, UK, 200 pp.

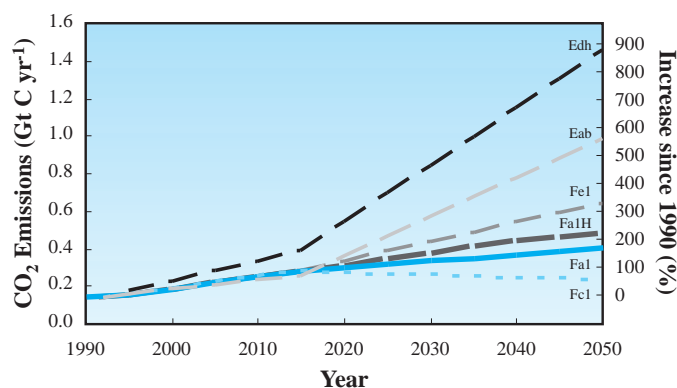
the longer the time horizon the more uncertain these scenarios become. The aircraft emissions scenarios developed here used the economic growth and population assumptions found in the IS92 scenario range (see Table 1 and Figure 1). In the following sections, scenario Fa1 is utilized to illustrate the possible effects of aircraft and is called the reference scenario. Its assumptions are linked to those of IS92a. The other aircraft emissions scenarios were built from a range of economic and population projections from IS92a-e. These scenarios represent a range of plausible growth for aviation and provide a basis for sensitivity analysis for climate modeling. However, the high growth scenario Edh is believed to be less plausible and the low growth scenario Fc1 is likely to be exceeded given the present state of the industry and planned developments.

#### 4. What are the Current and Future Impacts of Subsonic Aviation on Radiative Forcing and UV Radiation?

The summary of radiative effects resulting from aircraft engine emissions is given in Figures 2 and 3. As shown in Figure 2, the uncertainty associated with several of these effects is large.

##### 4.1 Carbon Dioxide

Emissions of carbon dioxide by aircraft were 0.14 Gt C/year in 1992. This is about 2% of total anthropogenic carbon dioxide emissions in 1992 or about 13% of carbon dioxide emissions from all transportation sources. The range of scenarios considered here projects that aircraft emissions of carbon dioxide will continue to grow and by 2050 will be 0.23 to 1.45 Gt C/year. For the reference scenario (Fa1) this emission increases 3-fold



**Figure 1:** Total aviation carbon dioxide emissions resulting from six different scenarios for aircraft fuel use. Emissions are given in Gt C [or billion ( $10^9$ ) tonnes of carbon] per year. To convert Gt C to Gt  $\text{CO}_2$  multiply by 3.67. The scale on the righthand axis represents the percentage growth from 1990 to 2050. Aircraft emissions of carbon dioxide represent 2.4% of total fossil fuel emissions of carbon dioxide in 1992 or 2% of total anthropogenic carbon dioxide emissions. (Note: Fa2 has not been drawn because the difference from scenario Fa1 would not be discernible on the figure.)

by 2050 to 0.40 Gt C/year, or 3% of the projected total anthropogenic carbon dioxide emissions relative to the mid-range IPCC emission scenario (IS92a). For the range of scenarios, the range of increase in carbon dioxide emissions to 2050 would be 1.6 to 10 times the value in 1992.

Concentrations of and radiative forcing from carbon dioxide today are those resulting from emissions during the last 100 years or so. The carbon dioxide concentration attributable to aviation in the 1992 atmosphere is 1 ppmv, a little more than 1% of the total anthropogenic increase. This percentage is lower than the percentage for emissions (2%) because the emissions occurred only in the last 50 years. For the range of scenarios in Figure 1, the accumulation of atmospheric carbon dioxide due to aircraft over the next 50 years is projected to increase to 5 to 13 ppmv. For the reference scenario (Fa1) this is 4% of that from all human activities assuming the mid-range IPCC scenario (IS92a).

##### 4.2 Ozone

The  $\text{NO}_x$  emissions from subsonic aircraft in 1992 are estimated to have increased ozone concentrations at cruise altitudes in northern mid-latitudes by up to 6%, compared to an atmosphere without aircraft emissions. This ozone increase is projected to rise to about 13% by 2050 in the reference scenario (Fa1). The impact on ozone concentrations in other regions of the world is substantially less. These increases will, on average, tend to warm the surface of the Earth.

Aircraft emissions of  $\text{NO}_x$  are more effective at producing ozone in the upper troposphere than an equivalent amount of emission at the surface. Also increases in ozone in the upper troposphere are more effective at increasing radiative forcing than increases at lower altitudes. Due to these increases the calculated total ozone column in northern mid-latitudes is projected to grow by approximately 0.4 and 1.2% in 1992 and 2050, respectively. However, aircraft sulfur and water emissions in the stratosphere tend to deplete ozone, partially offsetting the  $\text{NO}_x$ -induced ozone increases. The degree to which this occurs is, as yet, not quantified. Therefore, the impact of subsonic aircraft emissions on stratospheric ozone requires further evaluation. The largest increases in ozone concentration due to aircraft emissions are calculated to occur near the tropopause where natural variability is high. Such changes are not apparent from observations at this time.

##### 4.3 Methane

In addition to increasing tropospheric ozone concentrations, aircraft  $\text{NO}_x$  emissions are expected to decrease the concentration of methane, which is also a greenhouse gas. These reductions in methane tend to cool the surface of the Earth. The methane concentration in 1992 is estimated here to be about 2% less than that in an atmosphere without aircraft. This aircraft-induced reduction of methane concentration is much smaller than the observed overall 2.5-fold increase since pre-industrial

times. Uncertainties in the sources and sinks of methane preclude testing the impact of aviation on methane concentrations with atmospheric observations. In the reference scenario (Fa1) methane would be about 5% less than that calculated for a 2050 atmosphere without aircraft.

Changes in tropospheric ozone are mainly in the Northern Hemisphere, while those of methane are global in extent so that, even though the global average radiative forcings are of similar magnitude and opposite in sign, the latitudinal structure of the forcing is different so that the net regional radiative effects do not cancel.

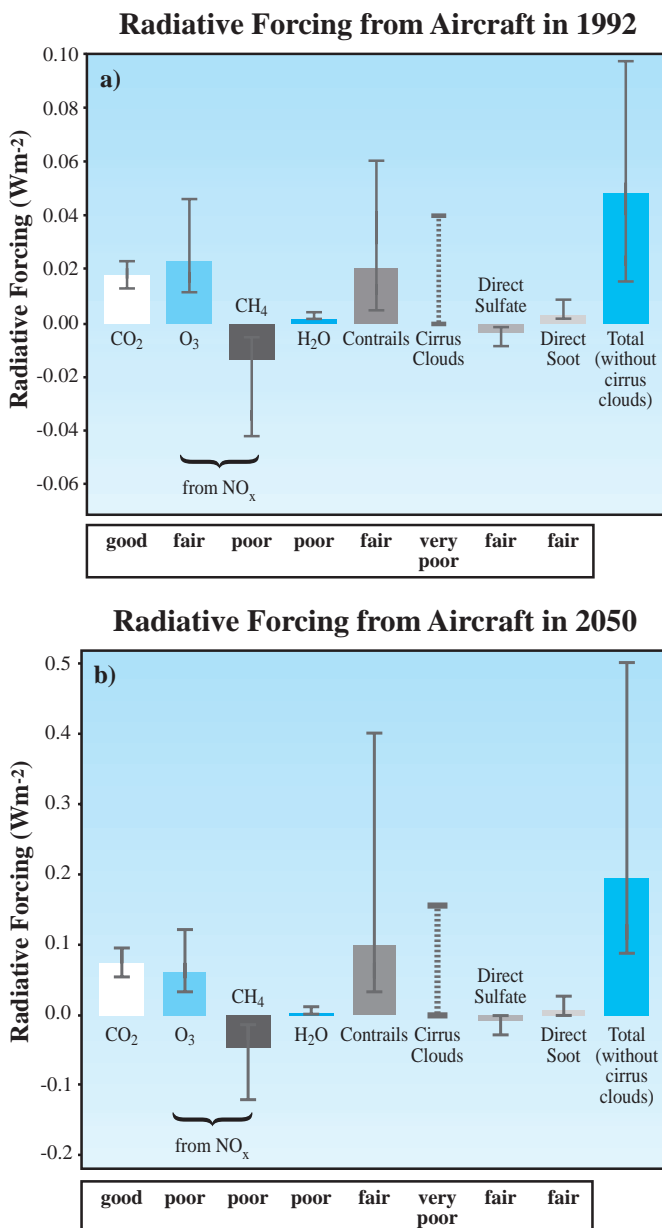
#### 4.4 Water Vapour

Most subsonic aircraft water vapour emissions are released in the troposphere where they are rapidly removed by precipitation

within 1 to 2 weeks. A smaller fraction of water vapour emissions is released in the lower stratosphere where it can build up to larger concentrations. Because water vapor is a greenhouse gas, these increases tend to warm the Earth's surface, though for subsonic aircraft this effect is smaller than those of other aircraft emissions such as carbon dioxide and  $NO_x$ .

#### 4.5 Contrails

In 1992, aircraft line-shaped contrails are estimated to cover about 0.1% of the Earth's surface on an annually averaged basis with larger regional values. Contrails tend to warm the Earth's surface, similar to thin high clouds. The contrail cover is projected to grow to 0.5% by 2050 in the reference scenario (Fa1), at a rate which is faster than the rate of growth in aviation fuel consumption. This faster growth in contrail cover is expected because air traffic will increase mainly in the upper troposphere where contrails form preferentially, and may also occur as a result of improvements in aircraft fuel efficiency. Contrails are triggered from the water vapour emitted by aircraft and their optical properties depend on the particles emitted or formed in the aircraft plume and on the ambient atmospheric conditions. The radiative effect of contrails depends on their optical properties and global cover, both of which are uncertain. Contrails have been observed as line-shaped clouds



**Figure 2:** Estimates of the globally and annually averaged radiative forcing (Wm<sup>-2</sup>) from subsonic aircraft emissions in 1992 (2a) and in 2050 for scenario Fa1 (2b). The scale in Figure 2b is greater than the scale in 2a by about a factor of 4. The bars indicate the best estimate of forcing while the line associated with each bar is a two-thirds uncertainty range developed using the best knowledge and tools available at the present time. (The two-thirds uncertainty range means that there is a 67% probability that the true value falls within this range.) The available information on cirrus clouds is insufficient to determine either a best estimate or an uncertainty range; the dashed line indicates a range of possible best estimates. The estimate for total forcing does not include the effect of changes in cirrus cloudiness. The uncertainty estimate for the total radiative forcing (without additional cirrus) is calculated as the square root of the sums of the squares of the upper and lower ranges for the individual components. The evaluations below the graph (“good,” “fair,” “poor,” “very poor”) are a relative appraisal associated with each component and indicate the level of scientific understanding. It is based on the amount of evidence available to support the best estimate and its uncertainty, the degree of consensus in the scientific literature, and the scope of the analysis. This evaluation is separate from the evaluation of uncertainty range represented by the lines associated with each bar. This method of presentation is different and more meaningful than the confidence level presented in similar graphs from *Climate Change 1995: The Science of Climate Change*.

by satellites over heavy air traffic areas and covered on average about 0.5% of the area over Central Europe in 1996 and 1997.

#### 4.6 Cirrus Clouds

Extensive cirrus clouds have been observed to develop after the formation of persistent contrails. Increases in cirrus cloud cover (beyond those identified as line-shaped contrails) are found to be positively correlated with aircraft emissions in a limited number of studies. About 30% of the Earth is covered with cirrus cloud. On average an increase in cirrus cloud cover tends to warm the surface of the Earth. An estimate for aircraft-induced cirrus cover for the late 1990s ranges from 0 to 0.2% of the surface of the Earth. For the Fa1 scenario, this may possibly increase by a factor of 4 (0 to 0.8%) by 2050; however, the mechanisms associated with increases in cirrus cover are not well understood and need further investigation.

#### 4.7 Sulfate and Soot Aerosols

The aerosol mass concentrations in 1992 resulting from aircraft are small relative to those caused by surface sources. Although aerosol accumulation will grow with aviation fuel use, aerosol mass concentrations from aircraft in 2050 are projected to remain small compared to surface sources. Increases in soot tend to warm while increases in sulfate tend to cool the Earth's surface. The direct radiative forcing of sulfate and soot aerosols from aircraft is small compared to those of other aircraft emissions. Because aerosols influence the formation of clouds, the accumulation of aerosols from aircraft may play a role in enhanced cloud formation and change the radiative properties of clouds.

#### 4.8 What are the Overall Climate Effects of Subsonic Aircraft?

The climate impacts of different anthropogenic emissions can be compared using the concept of radiative forcing. The best estimate of the radiative forcing in 1992 by aircraft is  $0.05 \text{ Wm}^{-2}$  or about 3.5% of the total radiative forcing by all anthropogenic activities. For the reference scenario (Fa1), the radiative forcing by aircraft in 2050 is  $0.19 \text{ Wm}^{-2}$  or 5% of the radiative forcing in the mid-range IS92a scenario (3.8 times the value in 1992). According to the range of scenarios considered here, the forcing is projected to grow to 0.13 to  $0.56 \text{ Wm}^{-2}$  in 2050, which is a factor of 1.5 less to a factor of 3 greater than that for Fa1 and from 2.6 to 11 times the value in 1992. These estimates of forcing combine the effects from changes in concentrations of carbon dioxide, ozone, methane, water vapour, line-shaped contrails, and aerosols, but do not include possible changes in cirrus clouds.

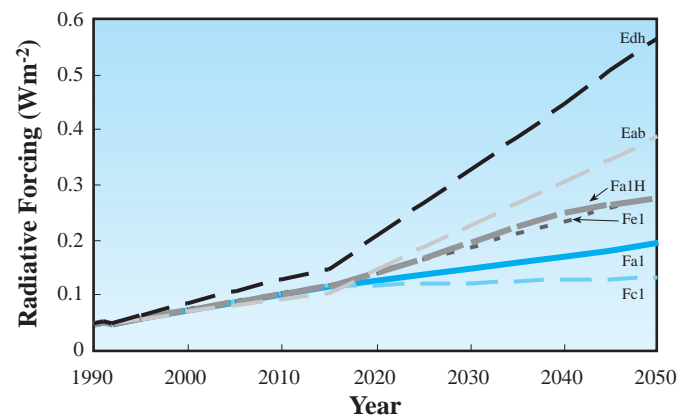
Globally averaged values of the radiative forcing from different components in 1992 and in 2050 under the reference scenario (Fa1) are shown in Figure 2. Figure 2 indicates the best

estimates of the forcing for each component and the two-thirds uncertainty range.<sup>8</sup> The derivation of these uncertainty ranges involves expert scientific judgment and may also include objective statistical models. The uncertainty range in the radiative forcing stated here combines the uncertainty in calculating the atmospheric change to greenhouse gases and aerosols with that of calculating radiative forcing. For additional cirrus clouds, only a range for the best estimate is given; this is not included in the total radiative forcing.

The state of scientific understanding is evaluated for each component. This is not the same as the confidence level expressed in previous IPCC documents. This evaluation is separate from the uncertainty range and is a relative appraisal of the scientific understanding for each component. The evaluation is based on the amount of evidence available to support the best estimate and its uncertainty, the degree of consensus in the scientific literature, and the scope of the analysis. The total radiative forcing under each of the six scenarios for the growth of aviation is shown in Figure 3 for the period 1990 to 2050.

The total radiative forcing due to aviation (without forcing from additional cirrus) is likely to lie within the range from  $0.01$  to  $0.1 \text{ Wm}^{-2}$  in 1992, with the largest uncertainties coming from contrails and methane. Hence the total radiative forcing may be about two times larger or five times smaller than the best estimate. For any scenario at 2050, the uncertainty range of radiative forcing is slightly larger than for 1992, but the largest variations of projected radiative forcing come from the range of scenarios.

Over the period from 1992 to 2050, the overall radiative forcing by aircraft (excluding that from changes in cirrus



**Figure 3:** Estimates of the globally and annually averaged total radiative forcing (without cirrus clouds) associated with aviation emissions under each of six scenarios for the growth of aviation over the time period 1990 to 2050. (Fa2 has not been drawn because the difference from scenario Fa1 would not be discernible on the figure.)

<sup>8</sup> The two-thirds uncertainty range means there is a 67% probability that the true value falls within this range.

clouds) for all scenarios in this report is a factor of 2 to 4 larger than the forcing by aircraft carbon dioxide alone. The overall radiative forcing for the sum of all human activities is estimated to be at most a factor of 1.5 larger than that of carbon dioxide alone.

The emissions of  $\text{NO}_x$  cause changes in methane and ozone, with influence on radiative forcing estimated to be of similar magnitude but of opposite sign. However, as noted above, the geographical distribution of the aircraft ozone forcing is far more regional than that of the aircraft methane forcing.

The effect of aircraft on climate is superimposed on that caused by other anthropogenic emissions of greenhouse gases and particles, and on the background natural variability. The radiative forcing from aviation is about 3.5% of the total radiative forcing in 1992. It has not been possible to separate the influence on global climate change of aviation (or any other sector with similar radiative forcing) from all other anthropogenic activities. Aircraft contribute to global change approximately in proportion to their contribution to radiative forcing.

#### 4.9 What are the Overall Effects of Subsonic Aircraft on UV-B?

*Ozone, most of which resides in the stratosphere, provides a shield against solar ultraviolet radiation. The erythemal dose rate, defined as UV irradiance weighted according to how effectively it causes sunburn, is estimated to be decreased by aircraft in 1992 by about 0.5% at 45°N in July. For comparison, the calculated increase in the erythemal dose rate due to observed ozone depletion is about 4% over the period 1970 to 1992 at 45°N in July.<sup>9</sup> The net effect of subsonic aircraft appears to be an increase in column ozone and a decrease in UV radiation, which is mainly due to aircraft  $\text{NO}_x$  emissions. Much smaller changes in UV radiation are associated with aircraft contrails, aerosols, and induced cloudiness. In the Southern Hemisphere, the calculated effects of aircraft emission on the erythemal dose rate are about a factor of 4 lower than for the Northern Hemisphere.*

*For the reference scenario (Fa1), the change in erythemal dose rate at 45°N in July in 2050 compared to a simulation with no aircraft is -1.3% (with a two-thirds uncertainty range from -0.7 to -2.6%). For comparison, the calculated change in the erythemal dose rate due to changes in the concentrations of trace species, other than those from aircraft, between 1970 to 2050 at 45°N is about -3%, a decrease that is the net result of two opposing effects: (1) the incomplete recovery of stratospheric ozone to 1970 levels because of the persistence of long-lived halogen-containing compounds, and (2) increases in projected surface emissions of shorter lived pollutants that produce ozone in the troposphere.*

#### 5. What are the Current and Future Impacts of Supersonic Aviation on Radiative Forcing and UV Radiation?

*One possibility for the future is the development of a fleet of second generation supersonic, high speed civil transport (HSCT) aircraft, although there is considerable uncertainty whether any such fleet will be developed. These supersonic aircraft are projected to cruise at an altitude of about 19 km, about 8 km higher than subsonic aircraft, and to emit carbon dioxide, water vapour,  $\text{NO}_x$ ,  $\text{SO}_x$ , and soot into the stratosphere.  $\text{NO}_x$ , water vapour, and  $\text{SO}_x$  from supersonic aircraft emissions all contribute to changes in stratospheric ozone. The radiative forcing of civil supersonic aircraft is estimated to be about a factor of 5 larger than that of the displaced subsonic aircraft in the Fa1H scenario. The calculated radiative forcing of supersonic aircraft depends on the treatment of water vapour and ozone in models. This effect is difficult to simulate in current models and so is highly uncertain.*

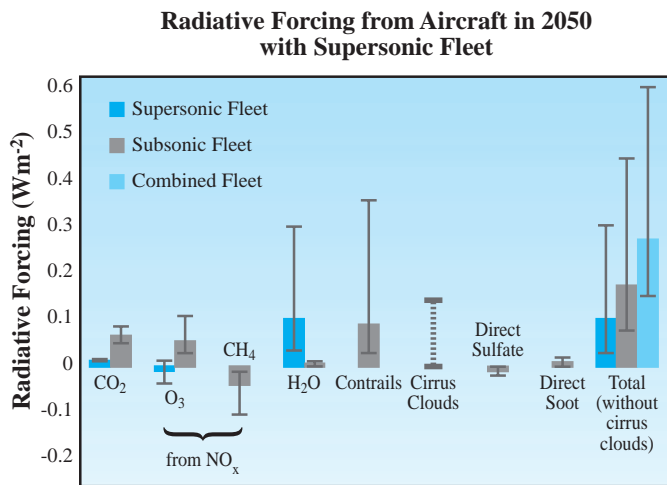
Scenario Fa1H considers the addition of a fleet of civil supersonic aircraft that was assumed to begin operation in the year 2015 and grow to a maximum of 1 000 aircraft by the year 2040. For reference, the civil subsonic fleet at the end of the year 1997 contained approximately 12 000 aircraft. In this scenario, the aircraft are designed to cruise at Mach 2.4, and new technologies are assumed that maintain emissions of 5 g  $\text{NO}_2$  per kg fuel (lower than today's civil supersonic aircraft which have emissions of about 22 g  $\text{NO}_2$  per kg fuel). These supersonic aircraft are assumed to replace part of the subsonic fleet (11%, in terms of emissions in scenario Fa1). Supersonic aircraft consume more than twice the fuel per passenger-km compared to subsonic aircraft. *By the year 2050, the combined fleet (scenario Fa1H) is projected to add a further 0.08  $\text{Wm}^{-2}$  (42%) to the 0.19  $\text{Wm}^{-2}$  radiative forcing from scenario Fa1 (see Figure 4). Most of this additional forcing is due to accumulation of stratospheric water vapour.*

The effect of introducing a civil supersonic fleet to form the combined fleet (Fa1H) is also to reduce stratospheric ozone and increase erythemal dose rate. The maximum calculated effect is at 45°N where, in July, the ozone column change in 2050 from the combined subsonic and supersonic fleet relative to no aircraft is -0.4%. The effect on the ozone column of the supersonic component by itself is -1.3% while the subsonic component is +0.9%.

*The combined fleet would change the erythemal dose rate at 45°N in July by +0.3% compared to the 2050 atmosphere without aircraft. The two-thirds uncertainty range for the combined fleet is -1.7% to +3.3%. This may be compared to the projected change of -1.3% for Fa1. Flying higher leads to larger ozone column decreases, while flying lower leads to smaller ozone column decreases and may even result in an ozone column increase for flight in the lowermost stratosphere. In addition, emissions from supersonic aircraft in the Northern Hemisphere stratosphere may be transported to the Southern Hemisphere where they cause ozone depletion.*

<sup>9</sup> This value is based on satellite observations and model calculations. See **WMO**, 1999: *Scientific Assessment of Ozone Depletion: 1998*. Report No. 44, Global Ozone Research and Monitoring Project, World Meteorological Organization, Geneva, Switzerland, 732 pp.





**Figure 4:** Estimates of the globally and annually averaged radiative forcing from a combined fleet of subsonic and supersonic aircraft (in  $\text{Wm}^{-2}$ ) due to changes in greenhouse gases, aerosols, and contrails in 2050 under the scenario Fa1H. In this scenario, the supersonic aircraft are assumed to replace part of the subsonic fleet (11%, in terms of emissions in scenario Fa1). The bars indicate the best estimate of forcing while the line associated with each bar is a two-thirds uncertainty range developed using the best knowledge and tools available at the present time. (The two-thirds uncertainty range means that there is a 67% probability that the true value falls within this range.) The available information on cirrus clouds is insufficient to determine either a best estimate or an uncertainty range; the dashed line indicates a range of possible best estimates. The estimate for total forcing does not include the effect of changes in cirrus cloudiness. The uncertainty estimate for the total radiative forcing (without additional cirrus) is calculated as the square root of the sums of the squares of the upper and lower ranges. The level of scientific understanding for the supersonic components are carbon dioxide, “good;” ozone, “poor;” and water vapour, “poor.”

## 6. What are the Options to Reduce Emissions and Impacts?

*There is a range of options to reduce the impact of aviation emissions, including changes in aircraft and engine technology, fuel, operational practices, and regulatory and economic measures. These could be implemented either singly or in combination by the public and/or private sector. Substantial aircraft and engine technology advances and the air traffic management improvements described in this report are already incorporated in the aircraft emissions scenarios used for climate change calculations. Other operational measures, which have the potential to reduce emissions, and alternative fuels were not assumed in the scenarios. Further technology advances have the potential to provide additional fuel and emissions reductions. In practice, some of the improvements are expected to take place for commercial reasons. The timing and scope of regulatory, economic, and other options may affect the introduction of improvements and may affect demand*

*for air transport. Mitigation options for water vapour and cloudiness have not been fully addressed.*

Safety of operation, operational and environmental performance, and costs are dominant considerations for the aviation industry when assessing any new aircraft purchase or potential engineering or operational changes. The typical life expectancy of an aircraft is 25 to 35 years. These factors have to be taken into account when assessing the rate at which technology advances and policy options related to technology can reduce aviation emissions.

### 6.1 Aircraft and Engine Technology Options

*Technology advances have substantially reduced most emissions per passenger-km. However, there is potential for further improvements. Any technological change may involve a balance among a range of environmental impacts.*

Subsonic aircraft being produced today are about 70% more fuel efficient per passenger-km than 40 years ago. The majority of this gain has been achieved through engine improvements and the remainder from airframe design improvement. A 20% improvement in fuel efficiency is projected by 2015 and a 40 to 50% improvement by 2050 relative to aircraft produced today. The 2050 scenarios developed for this report already incorporate these fuel efficiency gains when estimating fuel use and emissions. Engine efficiency improvements reduce the specific fuel consumption and most types of emissions; however, contrails may increase and, without advances in combustor technology,  $\text{NO}_x$  emissions may also increase.

Future engine and airframe design involves a complex decision-making process and a balance of considerations among many factors (e.g., carbon dioxide emissions,  $\text{NO}_x$  emissions at ground level,  $\text{NO}_x$  emissions at altitude, water vapour emissions, contrail/cirrus production, and noise). These aspects have not been adequately characterized or quantified in this report.

Internationally, substantial engine research programmes are in progress, with goals to reduce Landing and Take-off cycle (LTO) emissions of  $\text{NO}_x$  by up to 70% from today’s regulatory standards, while also improving engine fuel consumption by 8 to 10%, over the most recently produced engines, by about 2010. Reduction of  $\text{NO}_x$  emissions would also be achieved at cruise altitude, though not necessarily by the same proportion as for LTO. Assuming that the goals can be achieved, the transfer of this technology to significant numbers of newly produced aircraft will take longer—typically a decade. Research programmes addressing  $\text{NO}_x$  emissions from supersonic aircraft are also in progress.

### 6.2 Fuel Options

*There would not appear to be any practical alternatives to kerosene-based fuels for commercial jet aircraft for the next*

several decades. Reducing sulfur content of kerosene will reduce  $SO_x$  emissions and sulfate particle formation.

Jet aircraft require fuel with a high energy density, especially for long-haul flights. Other fuel options, such as hydrogen, may be viable in the long term, but would require new aircraft designs and new infrastructure for supply. Hydrogen fuel would eliminate emissions of carbon dioxide from aircraft, but would increase those of water vapour. The overall environmental impacts and the environmental sustainability of the production and use of hydrogen or any other alternative fuels have not been determined.

The formation of sulfate particles from aircraft emissions, which depends on engine and plume characteristics, is reduced as fuel sulfur content decreases. While technology exists to remove virtually all sulfur from fuel, its removal results in a reduction in lubricity.

### 6.3 Operational Options

*Improvements in air traffic management (ATM) and other operational procedures could reduce aviation fuel burn by between 8 and 18%. The large majority (6 to 12%) of these reductions comes from ATM improvements which it is anticipated will be fully implemented in the next 20 years. All engine emissions will be reduced as a consequence. In all aviation emission scenarios considered in this report the reductions from ATM improvements have already been taken into account. The rate of introduction of improved ATM will depend on the implementation of the essential institutional arrangements at an international level.*

Air traffic management systems are used for the guidance, separation, coordination, and control of aircraft movements. Existing national and international air traffic management systems have limitations which result, for example, in holding (aircraft flying in a fixed pattern waiting for permission to land), inefficient routings, and sub-optimal flight profiles. These limitations result in excess fuel burn and consequently excess emissions.

For the current aircraft fleet and operations, addressing the above-mentioned limitations in air traffic management systems could reduce fuel burned in the range of 6 to 12%. It is anticipated that the improvement needed for these fuel burn reductions will be fully implemented in the next 20 years, provided that the necessary institutional and regulatory arrangements have been put in place in time. The scenarios developed in this report assume the timely implementation of these ATM improvements, when estimating fuel use.

Other operational measures to reduce the amount of fuel burned per passenger-km include increasing load factors (carrying more passengers or freight on a given aircraft), eliminating non-essential weight, optimizing aircraft speed, limiting the use of auxiliary power (e.g., for heating, ventilation),

and reducing taxiing. The potential improvements in these operational measures could reduce fuel burned, and emissions, in the range 2 to 6%.

Improved operational efficiency may result in attracting additional air traffic, although no studies providing evidence on the existence of this effect have been identified.

### 6.4 Regulatory, Economic, and Other Options

*Although improvements in aircraft and engine technology and in the efficiency of the air traffic system will bring environmental benefits, these will not fully offset the effects of the increased emissions resulting from the projected growth in aviation. Policy options to reduce emissions further include more stringent aircraft engine emissions regulations, removal of subsidies and incentives that have negative environmental consequences, market-based options such as environmental levies (charges and taxes) and emissions trading, voluntary agreements, research programmes, and substitution of aviation by rail and coach. Most of these options would lead to increased airline costs and fares. Some of these approaches have not been fully investigated or tested in aviation and their outcomes are uncertain.*

Engine emissions certification is a means for reducing specific emissions. The aviation authorities currently use this approach to regulate emissions for carbon monoxide, hydrocarbons,  $NO_x$ , and smoke. The International Civil Aviation Organization has begun work to assess the need for standards for aircraft emissions at cruise altitude to complement existing LTO standards for  $NO_x$  and other emissions.

Market-based options, such as environmental levies (charges and taxes) and emissions trading, have the potential to encourage technological innovation and to improve efficiency, and may reduce demand for air travel. Many of these approaches have not been fully investigated or tested in aviation and their outcomes are uncertain.

Environmental levies (charges and taxes) could be a means for reducing growth of aircraft emissions by further stimulating the development and use of more efficient aircraft and by reducing growth in demand for aviation transportation. Studies show that to be environmentally effective, levies would need to be addressed in an international framework.

Another approach that could be considered for mitigating aviation emissions is emissions trading, a market-based approach which enables participants to cooperatively minimize the costs of reducing emissions. Emissions trading has not been tested in aviation though it has been used for sulfur dioxide ( $SO_2$ ) in the United States of America and is possible for ozone-depleting substances in the Montreal Protocol. This approach is one of the provisions of the Kyoto Protocol where it applies to Annex B Parties.

Voluntary agreements are also currently being explored as a means of achieving reductions in emissions from the aviation

sector. Such agreements have been used in other sectors to reduce greenhouse gas emissions or to enhance sinks.

Measures that can also be considered are removal of subsidies or incentives which would have negative environmental consequences, and research programmes.

Substitution by rail and coach could result in the reduction of carbon dioxide emissions per passenger-km. The scope for this reduction is limited to high density, short-haul routes, which could have coach or rail links. Estimates show that up to 10% of the travelers in Europe could be transferred from aircraft to high-speed trains. Further analysis, including trade-offs between a wide range of environmental effects (e.g., noise exposure, local air quality, and global atmospheric effects) is needed to explore the potential of substitution.

## 7. Issues for the Future

*This report has assessed the potential climate and ozone changes due to aircraft to the year 2050 under different scenarios. It recognizes that the effects of some types of aircraft emissions are well understood. It also reveals that the effects of others are not, because of the many scientific uncertainties. There has been a steady improvement in characterizing the potential impacts of human activities, including the effects of aviation on the global atmosphere. The report has also examined technological advances, infrastructure improvements, and regulatory or market-based measures to reduce aviation emissions. Further work is required to reduce scientific and other uncertainties, to understand better the options for reducing emissions, to better inform decisionmakers, and to improve the understanding of the social and economic issues associated with the demand for air transport.*

There are a number of key areas of scientific uncertainty that limit our ability to project aviation impacts on climate and ozone:

- The influence of contrails and aerosols on cirrus clouds
- The role of NO<sub>x</sub> in changing ozone and methane concentrations
- The ability of aerosols to alter chemical processes
- The transport of atmospheric gases and particles in the upper troposphere/lower stratosphere
- The climate response to regional forcings and stratospheric perturbations.

There are a number of key socio-economic and technological issues that need greater definition, including *inter alia* the following:

- Characterization of demand for commercial aviation services, including airport and airway infrastructure constraints and associated technological change
- Methods to assess external costs and the environmental benefits of regulatory and market-based options
- Assessment of the macroeconomic effects of emission reductions in the aviation industry that might result from mitigation measures
- Technological capabilities and operational practices to reduce emissions leading to the formation of contrails and increased cloudiness
- The understanding of the economic and environmental effects of meeting potential stabilization scenarios (for atmospheric concentrations of greenhouse gases), including measures to reduce emissions from aviation and also including such issues as the relative environmental impacts of different transportation modes.

# LIST OF IPCC OUTPUTS

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## I. IPCC FIRST ASSESSMENT REPORT, 1990

- a) **CLIMATE CHANGE — The IPCC Scientific Assessment.** The 1990 report of the IPCC Scientific Assessment Working Group (*also in Chinese, French, Russian and Spanish*).
- b) **CLIMATE CHANGE — The IPCC Impacts Assessment.** The 1990 report of the IPCC Impacts Assessment Working Group (*also in Chinese, French, Russian and Spanish*).
- c) **CLIMATE CHANGE — The IPCC Response Strategies.** The 1990 report of the IPCC Response Strategies Working Group (*also in Chinese, French, Russian and Spanish*).
- d) **Overview and Policymaker Summaries, 1990.**

**Emissions Scenarios** (prepared by the IPCC Response Strategies Working Group), 1990.

**Assessment of the Vulnerability of Coastal Areas to Sea Level Rise — A Common Methodology**, 1991.

## II. IPCC SUPPLEMENT, 1992

- a) **CLIMATE CHANGE 1992 — The Supplementary Report to the IPCC Scientific Assessment.** The 1992 report of the IPCC Scientific Assessment Working Group.
- b) **CLIMATE CHANGE 1992 — The Supplementary Report to the IPCC Impacts Assessment.** The 1992 report of the IPCC Impacts Assessment Working Group.

**CLIMATE CHANGE: The IPCC 1990 and 1992 Assessments — IPCC First Assessment Report Overview and Policymaker Summaries, and 1992 IPCC Supplement** (*also in Chinese, French, Russian and Spanish*).

**Global Climate Change and the Rising Challenge of the Sea.** Coastal Zone Management Subgroup of the IPCC Response Strategies Working Group, 1992.

**Report of the IPCC Country Study Workshop**, 1992.

**Preliminary Guidelines for Assessing Impacts of Climate Change**, 1992.

## III. IPCC SPECIAL REPORT, 1994

**CLIMATE CHANGE 1994 — Radiative Forcing of Climate Change and An Evaluation of the IPCC IS92 Emission Scenarios.**

## IV. IPCC SECOND ASSESSMENT REPORT, 1995

- a) **CLIMATE CHANGE 1995 — The Science of Climate Change** (including Summary for Policymakers). Report of IPCC Working Group I, 1995.
- b) **CLIMATE CHANGE 1995 — Scientific-Technical Analyses of Impacts, Adaptations and Mitigation of Climate Change** (including Summary for Policymakers). Report of IPCC Working Group II, 1995.

- c) **CLIMATE CHANGE 1995 — The Economic and Social Dimensions of Climate Change** (including Summary for Policymakers). Report of IPCC Working Group III, 1995.
- d) **The IPCC Second Assessment Synthesis of Scientific-Technical Information Relevant to Interpreting Article 2 of the UN Framework Convention on Climate Change**, 1995.

*(Please note: the IPCC Synthesis and the three Summaries for Policymakers have been published in a single volume and are also available in Arabic, Chinese, French, Russian and Spanish.)*

## V. IPCC METHODOLOGIES

- a) **IPCC Guidelines for National Greenhouse Gas Inventories** (3 volumes), 1994 (*also in French, Russian and Spanish*).
- b) **IPCC Technical Guidelines for Assessing Climate Change Impacts and Adaptations**, 1995 (*also in Arabic, Chinese, French, Russian and Spanish*).
- c) **Revised 1996 IPCC Guidelines for National Greenhouse Gas Inventories** (3 volumes), 1996.

## VI. IPCC TECHNICAL PAPERS

**TECHNOLOGIES, POLICIES AND MEASURES FOR MITIGATING CLIMATE CHANGE — IPCC Technical Paper 1**, 1996 (*also in French and Spanish*).

**AN INTRODUCTION TO SIMPLE CLIMATE MODELS USED IN THE IPCC SECOND ASSESSMENT REPORT — IPCC Technical Paper 2**, 1997 (*also in French and Spanish*).

**STABILIZATION OF ATMOSPHERIC GREENHOUSE GASES: PHYSICAL, BIOLOGICAL AND SOCIO-ECONOMIC IMPLICATIONS — IPCC Technical Paper 3**, 1997 (*also in French and Spanish*).

**IMPLICATIONS OF PROPOSED CO<sub>2</sub> EMISSIONS LIMITATIONS — IPCC Technical Paper 4**, 1997 (*also in French and Spanish*).

## VII. IPCC SPECIAL REPORT, 1997

**THE REGIONAL IMPACTS OF CLIMATE CHANGE: AN ASSESSMENT OF VULNERABILITY** (including Summary for Policymakers, which is available in *Arabic, Chinese, English, French, Russian and Spanish*).  
A Special Report of IPCC Working Group II, 1997.

## VIII. IPCC SPECIAL REPORT, 1999

**AVIATION AND THE GLOBAL ATMOSPHERE** (including Summary for Policymakers, which is available in *Arabic, Chinese, English, French, Russian and Spanish*).  
A Special Report of IPCC Working Groups I and II, 1999.