

# RTM-DCU: Predicting Semantic Similarity with Referential Translation Machines

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## Abstract

We use referential translation machines (RTMs) for predicting the semantic similarity of text. RTMs are a computational model effectively judging monolingual and bilingual similarity while identifying translation acts between any two data sets with respect to interpretants. RTMs pioneer a language independent approach to all similarity tasks and remove the need to access any task or domain specific information or resource. RTMs become the 2nd system out of 13 systems participating in Paraphrase and Semantic Similarity in Twitter, 6th out of 16 submissions in Semantic Textual Similarity Spanish, and 50th out of 73 submissions in Semantic Textual Similarity English.

## 1 Referential Translation Machine (RTM)

We present positive results from a fully automated judge for semantic similarity based on Referential Translation Machines (Biçici and Way, 2014b) in two semantic similarity tasks at SemEval-2015, Semantic Evaluation Exercises - International Workshop on Semantic Evaluation (Nakov et al., 2015). Referential translation machine (RTM) is a computational model for identifying the acts of translation for translating between any given two data sets with respect to a reference corpus selected in the same domain. An RTM model is based on the selection of interpretants, training data close to both the training set and the test set, which allow shared semantics by providing context for similarity judgments. Each RTM model is a data translation and translation prediction model between the instances in the

training set and the test set and translation acts are indicators of the data transformation and translation. RTMs present an accurate and language independent solution for making semantic similarity judgments.

RTMs pioneer a computational model for quality and semantic similarity judgments in monolingual and bilingual settings using retrieval of relevant training data (Biçici and Yuret, 2015) as interpretants for reaching shared semantics. RTMs achieve (i) top performance when predicting the quality of translations (Biçici, 2013; Biçici and Way, 2014a); (ii) top performance when predicting monolingual cross-level semantic similarity; (iii) second performance when predicting paraphrase and semantic similarity in Twitter (iv) good performance when judging the semantic similarity of sentences; (iv) good performance when evaluating the semantic relatedness of sentences and their entailment (Biçici and Way, 2014b).

RTMs use Machine Translation Performance Prediction (MTPP) System (Biçici et al., 2013; Biçici and Way, 2014b), which is a state-of-the-art (SoA) performance predictor of translation even without using the translation. MTPP system measures the coverage of individual test sentence features found in the training set and derives indicators of the closeness of test sentences to the available training data, the difficulty of translating the sentence, and the presence of acts of translation for data transformation. MTPP features for translation acts are provided in (Biçici and Way, 2014b). RTMs become the 2nd system out of 13 systems participating in Paraphrase and Semantic Similarity in Twitter (Task 1) (Xu et al., 2015) and achieve good results in Semantic Tex-

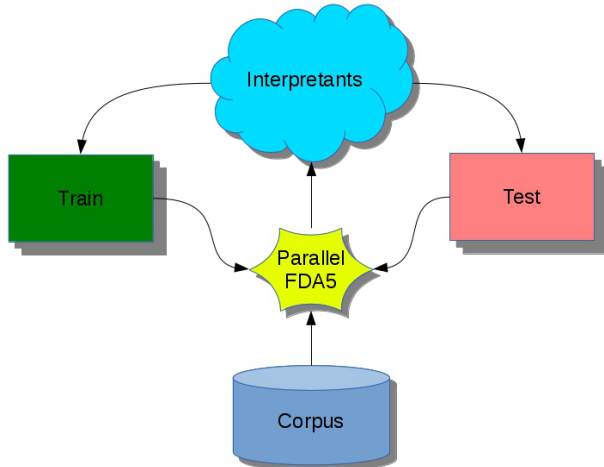


Figure 1: RTM depiction.

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**Algorithm 1:** Referential Translation Machine

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**Input:** Training set  $\text{train}$ , test set  $\text{test}$ , corpus  $\mathcal{C}$ , and learning model  $M$ .

**Data:** Features of  $\text{train}$  and  $\text{test}$ ,  $\mathcal{F}_{\text{train}}$  and  $\mathcal{F}_{\text{test}}$ .

**Output:** Predictions of similarity scores on the test  $\hat{q}$ .

- 1  $\text{FDA5}(\text{train}, \text{test}, \mathcal{C}) \rightarrow \mathcal{I}$
  - 2  $\text{MTPPSystem}(\mathcal{I}, \text{train}) \rightarrow \mathcal{F}_{\text{train}}$
  - 3  $\text{MTPPSystem}(\mathcal{I}, \text{test}) \rightarrow \mathcal{F}_{\text{test}}$
  - 4  $\text{learn}(M, \mathcal{F}_{\text{train}}) \rightarrow \mathcal{M}$
  - 5  $\text{predict}(\mathcal{M}, \mathcal{F}_{\text{test}}) \rightarrow \hat{q}$
- 

tual Similarity (Task 2) (Agirre et al., 2015) becoming 6th out of 16 submissions in Spanish.

We use the Parallel FDA5 instance selection model for selecting the interpretants (Biçici et al., 2014; Biçici and Yuret, 2015), which allows efficient parameterization, optimization, and implementation of Feature Decay Algorithms (FDA), and build an MTPP model. We view that acts of translation are ubiquitously used during communication:

*Every act of communication is an act of translation* (Bliss, 2012).

Translation need not be between different languages and paraphrasing or communication also contain acts of translation. When creating sentences, we use our background knowledge and translate information content according to the current context.

Figure 1 depicts RTM and Algorithm 1 describes

Task	Setting	Train	LM
Task 1, ParSS	English	313	7813
Task 2, STS	English	441	6441
Task 2, STS	English headlines	531	8031
Task 2, STS	English images	411	6411
Task 2, STS	Spanish	409	6409

Table 1: Number of sentences in  $\mathcal{I}$  (in thousands) selected for each task.

the RTM algorithm. Our encouraging results in the semantic similarity tasks increase our understanding of the acts of translation we ubiquitously use when communicating and how they can be used to predict semantic similarity. RTMs are powerful enough to be applicable in different domains and tasks with good performance. We describe the tasks we participated as follows:

**ParSS** Paraphrase and Semantic Similarity in Twitter (ParSS) (Xu et al., 2015):

Given two sentences  $S_1$  and  $S_2$  in the same language, produce a similarity score indicating whether they express a similar meaning: a discrete real number in  $[0, 1]$ .

We model as sentence MTPP between  $S_1$  to  $S_2$ .

**STS** Semantic Textual Similarity (STS) (Agirre et al., 2015):

Given two sentences  $S_1$  and  $S_2$  in the same language, quantify the degree of similarity: a real number in  $[0, 5]$ .

STS is in English and Spanish (a real number in  $[0, 4]$ ). We model as sentence MTPP of  $S_1$  and  $S_2$ .

## 2 SemEval-15 Results

We develop individual RTM models for each task and subtask that we participate at SemEval-2015 with the RTM-DCU team name. Interpretants are selected from the LM corpora distributed by the translation task of WMT14 (Bojar et al., 2014) and LDC for English (Parker et al., 2011) and Spanish (Ângelo Mendonça et al., 2011)<sup>1</sup>. We use the Stanford POS tagger (Toutanova et al., 2003) to obtain the lemmatized corpora for the ParSS task. The number of instances we select for the interpretants

<sup>1</sup>English Gigaword 5th, Spanish Gigaword 3rd edition.

RTM-DCU results

Data	Model	$F_1$	Precision	Recall	$\max F_1$	mPrecision	mRecall	$r_P$	MAE	RAE	MAER	MRAER	Rank
R	SVR	0.54	0.883	0.389	0.693	0.695	0.691	0.5697	0.1953	0.7918	0.4278	0.8694	3
R	PLS-SVR	0.562	0.859	0.417	0.678	0.649	0.709	0.564	0.2001	0.8109	0.4442	0.9105	4

RTM results with further optimization

Data	Model	$F_1$	Precision	Recall	$\max F_1$	mPrecision	mRecall	$r_P$	MAE	RAE	MAER	MRAER
R	PLS-SVR	0.502	0.938	0.343	0.674	0.686	0.663	0.5798	0.1912	0.775	0.6901	0.838
R	RR	0.521	0.94	0.36	0.681	0.735	0.634	0.5777	0.1866	0.7564	0.7438	0.7944
R+L	SVR	0.53	0.892	0.377	0.669	0.652	0.686	0.5719	0.1944	0.7879	0.6788	0.8615
R+L	PLS-SVR	0.5	0.884	0.349	0.642	0.649	0.634	0.5245	0.2028	0.8218	0.7425	0.8864

Table 2: ParSS test results.

in each task is given in Table 1.

We use ridge regression (RR), support vector regression (SVR), and extremely randomized trees (TREE) (Geurts et al., 2006) as the learning models. These models learn a regression function using the features to estimate a numerical target value. We also use them after a dimensionality reduction and mapping step with partial least squares (PLS) (Specia et al., 2009). We optimize the learning parameters, the number of dimensions used for PLS, and the parameters for parallel FDA5. More details about the optimization processes are in (Biçici and Way, 2014b; Biçici et al., 2014). We optimize the learning parameters by selecting  $\epsilon$  close to the standard deviation of the noise in the training set (Biçici, 2013) since the optimal value for  $\epsilon$  is shown to have linear dependence to the noise level for different noise models (Smola et al., 1998). At testing time, the predictions are bounded to obtain scores in the corresponding ranges.

We use Pearson’s correlation ( $r_P$ ), mean absolute error (MAE), and relative absolute error (RAE) for evaluation:

$$\text{MAE}(\hat{\mathbf{y}}, \mathbf{y}) = \frac{\sum_{i=1}^n |\hat{y}_i - y_i|}{n} \quad \text{RAE}(\hat{\mathbf{y}}, \mathbf{y}) = \frac{\sum_{i=1}^n |\hat{y}_i - y_i|}{\sum_{i=1}^n |\bar{y} - y_i|} \quad (1)$$

We define MAER and MRAER for easier replication and comparability with relative errors for each

instance:

$$\text{MAER}(\hat{\mathbf{y}}, \mathbf{y}) = \frac{\sum_{i=1}^n \frac{|\hat{y}_i - y_i|}{\lfloor |y_i| \rfloor_\epsilon}}{n} \quad (2)$$

$$\text{MRAER}(\hat{\mathbf{y}}, \mathbf{y}) = \frac{\sum_{i=1}^n \frac{|\hat{y}_i - y_i|}{\lfloor |\bar{y} - y_i| \rfloor_\epsilon}}{n}$$

MAER is the mean absolute error relative to the magnitude of the target and MRAER is the mean absolute error relative to the absolute error of a predictor always predicting the target mean assuming that target mean is known. MAER and MRAER are capped from below<sup>2</sup> with  $\epsilon = \text{MAE}(\hat{\mathbf{y}}, \mathbf{y})/2$ , which is the measurement error and it is estimated as half of the mean absolute error or deviation of the predictions from target mean.  $\epsilon$  represents half of the score step with which a decision about a change in measurement’s value can be made.  $\epsilon$  is similar to half of the standard deviation,  $\sigma$ , of the data but over absolute differences. For discrete target scores,  $\epsilon = \frac{\text{step size}}{2}$ . A method for learning decision thresholds for mimicking the human decision process when determining whether two translations are equivalent is described in (Biçici, 2013).

MAER and MRAER are able to capture averaged fluctuations at the instance level and they may evaluate the performance of a predictor at performance prediction tasks at the instance level (e.g. performance of the similarity of sentences, performance of translation of different translation instances) better. RAE compares sums of prediction errors and MRAER averages instance prediction error comparisons.

<sup>2</sup>We use  $\lfloor \cdot \rfloor_\epsilon$  to cap the argument from below to  $\epsilon$ .

RTM-DCU  $r_P$  results

Model	answers-forums	answers-students	belief	headlines	images	Weighted $r_P$	Rank
PLS-TREE	0.5484	0.5549	0.6223	0.7281	0.7189	0.6468	50

RTM top result  $r_P$  selected according to Weighted  $r_P$  among top 3 results with further optimization

Model	answers-forums	answers-students	belief	headlines	images	Weighted $r_P$
TREE	0.5517	0.6729	0.6750	0.7812	0.7830	0.7126
Rank	48	38	39	29	49	38

Table 4: STS English test  $r_P$  results for each domain.

Data Model	$F_1$	$r_P$	MAE	RAE	MAER	MRAER
R PLS-SVR	.4740	.6183	.2106	.6963	1.5408	.9223
R RR	.4920	.6165	.2174	.7188	1.8609	.9132
R PLS-TREE	.5330	.6156	.2201	.7276	1.939	.9144
R SVR	.4800	.6152	.2107	.6965	1.5012	.9306
R PLS RR	.5110	.6140	.2170	.7175	1.8443	.9240
R+L SVR	.5040	.6216	.2085	.6893	1.4723	.9344
R+L PLS-SVR	.4970	.6209	.2093	.6919	1.5402	.9226
R+L PLS-TREE	.5410	.6205	.2177	.7196	1.8834	.9161
R+L RR	.4970	.6194	.2164	.7154	1.8448	.9096
R PLS-SVR	.4740	.6183	.2106	.6963	1.5408	.9223

Table 3: ParSS training results of top 5 RTM systems with further optimization.

## 2.1 Task 1: Paraphrase and Semantic Similarity in Twitter (ParSS)

ParSS contains sentences provided by Twitter<sup>3</sup> (Xu et al., 2015). Official evaluation metric is Pearson’s correlation score, which we use to select the top systems on the training set. RTM-DCU results on the ParSS test set are given in Table 2. The setting R using SVR becomes 2nd out of 13 systems and 3rd out of 25 submissions. Looking at MAE and MAER allows us to obtain explanations to train and test performance differences for example without knowing their target distribution. Even though MAE of PLS-SVR is about %5 smaller on the ParSS test set, MAER is %55 smaller due to test set containing fewer zero entries (%16 vs. %39 on the train set). Lower test MAE than training MAE may be attributed to RTMs.

We obtained results with lemmatized datasets and further optimized the learning model parameters after the challenge. We present the performance of the top 5 individual RTM models on the training set in Table 3. R uses the regular truecase (Koehn et al.,

<sup>3</sup>www.twitter.com

RTM-DCU  $r_P$  results

Model	Wikipedia	News	Weighted $r_P$	Rank
TREE	0.5823	0.5251	0.5443	6

RTM top result  $r_P$  selected according to Weighted  $r_P$  among top 3 results with further optimization

Model	Wikipedia	News	Weighted $r_P$	Rank
TREE	0.6622	0.5833	0.6096	5
Rank	4	5		

Table 5: STS Spanish test results.

2007; Koehn, 2010) corpora and L uses the lemmatized truecased corpora. R+L correspond to using the features from both R and L, which doubles the number of features.

## 2.2 Task 2: Semantic Textual Similarity (STS)

STS contains sentence pairs from different domains: answers-forums, answers-students, belief, headlines, and images for English and wikipedia and newswire for Spanish. Official evaluation metric in STS is the Pearson’s correlation score. We build separate RTM models for headlines and images domains for STS English. Domain specific RTM models obtain improved performance in those domains (Biçici and Way, 2014b). STS English test set contains 2000, 1500, 2000, 1500, and 1500 sentences respectively from the specified domains however for evaluation, STS use a subset of the test set, 375, 750, 375, 750, and 750 instances respectively from the corresponding domains. This may lower the performance of RTMs by causing FDA5 to select more domain specific data and less task specific since RTMs use the test set to select interpretants and build a task specific RTM prediction model.

Table 4 and Table 5 list the results on the test set

along with their ranks out of 73 and 16 submissions respectively for English STS and Spanish STS.

RTM top test results selected according to Weighted  $r_P$  among top 3 results on STS for each subtask as well as top RTM-DCU results in STS 2014 (Biçici and Way, 2014b) are presented in Table 6, where we have used the top results from domain specific RTM models for headlines and images domains in the overall model results. Top 3 individual RTM model performance on the training set with further optimized learning model parameters after the challenge are presented in Table 7. Better  $r_P$ , RAE, and MRAER on the test set than on the training set in STS 2015 English may be attributed to RTMs.

### 2.3 RTMs Across Tasks and Years

We compare the difficulty of tasks according to MRAER where the correlation of RAE and MRAER is 0.89. In Table 8, we list the RAE, MAER, and MRAER obtained for different tasks and subtasks, also listing RTM results from SemEval-2013 (Biçici and van Genabith, 2013), from SemEval-2014 (Biçici and Way, 2014b), and from quality estimation task (QET) (Biçici and Way, 2014a) of machine translation (Bojar et al., 2014). RTMs at SemEval-2013 contain results from STS. RTMs at SemEval-2014 contain results from STS, semantic relatedness and entailment (SRE) (Marelli et al., 2014), and cross-level semantic similarity (CLSS) tasks (Jurgens et al., 2014). RTMs at WMT2014 QET contain tasks involving the prediction of an integer in  $[1, 3]$  representing post-editing effort (PEE), a real number in  $[0, 1]$  representing human-targeted translation edit rate (HTER), or an integer representing post-editing time (PET) of translations.

The best results are obtained for the CLSS paragraph to sentence subtask, which may be due to the larger contextual information that paragraphs can provide for the RTM models. For the ParSS task, we can only reduce the error with respect to knowing and predicting the mean by about 22.5%. Prediction of bilingual similarity as in quality estimation of translation can be expected to be harder and RTMs achieve SoA performance in this task as well (Biçici and Way, 2014a). Table 8 can be used to evaluate the difficulty of various tasks and domains based on

our SoA predictor RTM. MRAER considers both the predictor’s error and the target scores’ fluctuations at the instance level. We separated the results having MRAER greater than 1 as in these tasks and subtasks RTM does not perform significantly better than mean predictor and fluctuations render these as tasks that may require more work.

## 3 Conclusion

Referential translation machines pioneer a clean and intuitive computational model for automatically measuring semantic similarity by measuring the acts of translation involved and achieve to become the 2nd system out of 13 systems participating in Paraphrase and Semantic Similarity in Twitter, 6th out of 16 submissions in Semantic Textual Similarity Spanish, and 50th out of 73 submissions in Semantic Textual Similarity English. RTMs make quality and semantic similarity judgments possible based on the retrieval of relevant training data as interpretants for reaching shared semantics. We define MAER, mean absolute error relative to the magnitude of the target, and MRAER, mean absolute error relative to the absolute error of a predictor always predicting the target mean assuming that target mean is known. RTM test performance on various tasks sorted according to MRAER can identify which tasks and subtasks may require more work.

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	Domain	Model	$r_P$	MAE	RAE	MAER	MRAER	
STS 2015	English	answers-forums	PLS-SVR	0.6215	1.2239	1.1675	1.5369	1.3449
		answers-students	PLS-SVR	0.6125	0.9635	0.7819	0.5542	0.8404
		belief	PLS-SVR	0.5879	1.3625	1.1825	1.5749	1.4119
		headlines	RR	0.7812	0.8318	0.5894	0.4844	0.6380
		images	TREE	0.7830	0.8502	0.5885	0.5424	0.6229
		ALL	PLS-SVR	0.6739	0.9847	0.7224	0.7379	0.7883
	Spanish	News	TREE	0.5303	0.6315	0.9426	0.4096	1.1052
		Wikipedia	TREE	0.5867	0.6448	0.9499	0.4844	1.2062
		ALL	TREE	0.5618	0.6360	0.9459	0.4348	1.1344
STS 2014	English	deft-forum	TREE	0.4341	1.1609	1.0908	0.7724	1.216
		deft-news	TREE	0.6974	0.9032	0.8716	0.6271	0.881
		headlines	TREE	0.6199	0.9254	0.7845	0.6711	0.7854
		images	TREE	0.6995	0.9499	0.7395	0.8338	0.7246
		OnWN	TREE	0.8058	1.0028	0.5585	0.7975	0.546
		tweet-news	TREE	0.6882	0.831	0.8093	0.4601	0.875
		ALL	TREE	0.6473	0.9534	0.7449	0.7274	0.7566
	Spanish	News	TREE	0.7	1.351	1.4141	0.5994	1.8053
		Wikipedia	TREE	0.4216	1.298	1.3579	0.65	1.6612
ALL		TREE	0.62	1.3296	1.3823	0.6191	1.7719	
STS 2013	English	headlines	L+S SVR	0.6552	1.2763	1.0231	1.0456	1.1444
		OnWN		0.6943	1.3545	0.8255	1.2875	0.8605
		SMT		0.3005	0.6886	1.6132	0.1669	2.0718
		FNWN		0.2016	1.0604	1.2633	1.5087	1.4048
		ALL		0.5844	1.0818	0.7791	0.8494	0.77

Table 6: RTM top test results selected according to Weighted  $r_P$  among top 3 results on STS as well as top RTM-DCU results in STS 2013 and STS 2014 (Biçici and Way, 2014b). ALL presents results over all of the test set.

Lang	Model	$r_P$	MAE	RAE	MAER	MRAER	
English	PLS-SVR	0.7477	0.7679	0.6050	0.4444	0.6947	
	SVR	0.7452	0.7688	0.6058	0.4504	0.686	
	TREE	0.7265	0.8093	0.6377	0.504	0.6812	
	headlines	RR	0.7453	0.7559	0.6215	0.4389	0.6835
		PLS-SVR	0.7411	0.7619	0.6265	0.4298	0.7087
		TREE	0.7386	0.7710	0.6340	0.4726	0.6686
	images	TREE	0.7600	0.8020	0.6248	0.5308	0.7013
		PLS-SVR	0.7574	0.7839	0.6106	0.4898	0.724
		RR	0.7564	0.7945	0.6189	0.5025	0.7161
	Spanish	TREE	0.8390	0.5154	0.5115	0.4145	0.5931
RR		0.8260	0.5473	0.5431	0.4571	0.6208	
PLS-SVR		0.8218	0.5363	0.5322	0.4171	0.635	

Table 7: RTM training results of top 3 systems on STS English, English images, English headlines, and Spanish tasks.

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Task	Subtask	Domain	Model	RAE	MAER	MRAER
CLSS 2014	Paragraph to Sentence	Mixed	TREE	0.4579	0.5112	0.5037
STS 2014	English	OnWN	TREE	0.5585	0.7975	0.546
QET 2014	English-Spanish PEE	Europarl	PLS-TREE	1.0794	0.304	0.614
STS 2015	English	Images	TREE	0.5885	0.5424	0.6229
STS 2015	English	Headlines	RR	0.5894	0.4844	0.6380
CLSS 2014	Sentence to Phrase	Mixed	TREE	0.6255	0.6857	0.6444
QET 2014	German-English PEE	Europarl	RR	0.8204	0.3575	0.679
QET 2014	English-German PEE	Europarl	TREE	0.8602	0.3692	0.6985
STS 2014	English	Images	TREE	0.7395	0.8338	0.7246
QET 2014	Spanish-English PEE	Europarl	FS-RR	0.9	0.3798	0.7491
QET 2014	English-Spanish PET	Europarl	SVR	0.7223	0.4651	0.7786
STS 2014	English	Headlines	TREE	0.7845	0.6711	0.7854
SRE 2014	English	SICK	R+L PLS-SVR	0.6645	0.1827	0.8177
ParSS 2015	English	Tweets	SVR	0.775	0.6901	0.838
STS 2015	English	Answers-students	PLS-SVR	0.7819	0.5542	0.8404
CLSS 2014	Phrase to Word	Mixed	TREE	0.9488	1.1454	0.8483
STS 2013	English	OnWN	L+S SVR	0.8255	1.2875	0.8605
STS 2014	English	Tweet-news	TREE	0.8093	0.4601	0.875
QET 2014	English-Spanish HTER	Europarl	SVR	0.8532	0.7727	0.8758
STS 2014	English	Deft-news	TREE	0.8716	0.6271	0.881
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STS 2015	Spanish	News	TREE	0.9426	0.4096	1.1052
STS 2013	English	Headlines	L+S SVR	1.0231	1.0456	1.1444
STS 2015	Spanish	Wikipedia	TREE	0.9499	0.4844	1.2062
STS 2014	English	Deft-forum	TREE	1.0908	0.7724	1.216
STS 2015	English	Answers-forums	PLS-SVR	1.1675	1.5369	1.3449
STS 2013	English	FNWN	L+S SVR	1.2633	1.5087	1.4048
STS 2015	English	Belief	PLS-SVR	1.1825	1.5749	1.4119
STS 2014	Spanish	Wikipedia	TREE	1.3579	0.65	1.6612
STS 2014	Spanish	News	TREE	1.4141	0.5994	1.8053
STS 2013	English	SMT	L+S SVR	1.6132	0.1669	2.0718

Table 8: Best RTM test results for different tasks and subtasks sorted according to MRAER.