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STANDARD AND NON-STANDARD METHODS
IN UNIFORM TOPOLOGY

by

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The purpose of this note is to discuss the relationship between standard and non-standard concepts in uniform topology. We have, in particular, been interested in the case where the space carries both an algebraic and uniform structure, e.g. as in the case of a topological group.

We assume that the reader is familiar with the standard theory as presented e.g. in [3] and the non-standard theory as presented in [6].

Recently two contributions to the non-standard approach to uniform topology have been published ([4], [5]). There seems to be little overlap with the present discussion. We believe that our emphasize on the notion of a bounded point leads to a very clear understanding of the exact relationship between standard and non-standard concepts.

BOUNDED POINTS

Let (X, \mathcal{U}) be a uniform space and let $*X$ be a non-standard extension of X . By the s-topology on $*X$ we understand the topology defined by the neighbourhood systems

$$N_x = \{ *U(x) \mid U \in \mathcal{U} \}, \quad x \in *X .$$

Remark. The uniformity \mathcal{U} can also be defined by an associated family of pseudo-metrics, \mathcal{D} . And it is easily shown that the s -topology is generated by the following family of open sets in $*X$,

$$\{S_d(x,r) \mid d \in \mathcal{D}, x \in *X, r \in \mathbb{R}^+\},$$

where $S_d(x,r) = \{y \mid \text{st } d(x,y) < r\}$.

The monad, $u(x)$, of a point $x \in *X$ is defined to be the set

$$u(x) = \bigcap_{U \in \mathcal{U}} *U(x).$$

Remark. Using the associated family of pseudo-metrics we see that $u(x) = \{y \in *X \mid d(x,y) \simeq 0 \text{ for all } d \in \mathcal{D}\}$.

One easily notes that the relation $x \in u(y)$ is an equivalence relation on $*X$ which we denote by $x \simeq y$. The space X is Hausdorff iff every monad contains at most one standard point.

We call $x \in *X$ near-standard if x belongs to the monad of a standard point.

In the sequel we assume that X is Hausdorff in the associated topology.

DEFINITION. The set B_X of bounded points of $*X$ is defined to be the closure of X in $*X$ with respect to the s -topology,

$$B_X = \text{cl}_s X.$$

We shall obtain a characterization of B in terms of Cauchy z -ultrafilters on X .

It is known (see e.g. [2]) that to every $x \in {}^*X$ there is associated a unique z -ultrafilter \mathcal{F}_x on X , and to every z -ultrafilter \mathcal{I} on X there corresponds a point $x \in {}^*X$ such that $\mathcal{I} = \mathcal{F}_x$. (\mathcal{F}_x is the unique z -ultrafilter which extends the prime z -filter $\mathcal{F}'_x = \{F \subseteq X \mid x \in {}^*F \text{ and } F \in Z(X)\}$.)

PROPOSITION. The set of bounded points consists exactly of those $x \in {}^*X$ such that the associated z -ultrafilter is Cauchy, i.e.

$$B_X = \{x \in {}^*X \mid \mathcal{F}_x \text{ is a Cauchy } z\text{-ultrafilter}\} .$$

We sketch the proof. Let $x \in \text{cl}_s X$, we have to show that \mathcal{F}_x is Cauchy. Pick any $U \in \mathcal{U}$ and choose a closed $V \in \mathcal{U}$ such that $V \circ V \subseteq U$ and such that ${}^*V(x) \cap X \neq \emptyset$. Let $p \in {}^*V(x) \cap X$. We now observe that $V(p) \in \mathcal{F}_x$, since $x \in {}^*V(p)$ and we may assume that $V(p) \in Z(X)$. And obviously $V(p) \times V(p) \subseteq U$, which shows that \mathcal{F}_x is Cauchy.

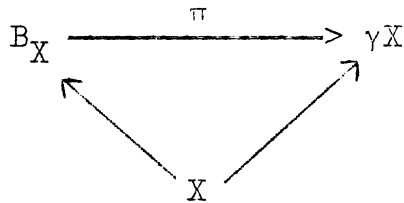
Conversely, let \mathcal{F}_x be Cauchy. Let $U \in \mathcal{U}$ and pick a (symmetric) $U_1 \in \mathcal{U}$ such that $U_1 \circ U_1 \subseteq U$. Since \mathcal{F}_x is Cauchy, there is a $V \in \mathcal{F}_x$ such that $V \times V \subseteq U_1$. It is now possible to pick an $x_0 \in u(x)$ such that $x_0 \in {}^*V$. This shows that $(p, x_0) \in {}^*U_1$, hence $p \in {}^*U(x) \cap X$.

Remark. We mention here the following result : X is complete iff every bounded point is near-standard. This generalizes the fact that compactness is equivalent to every (non-standard) point being near-standard.

THE COMMUTATIVE DIAGRAM.

We noted above that if $x \in {}^*X$, then $u(x)$ contains at most one standard point. If p is a standard point in $u(x)$, then p is uniquely determined. We call p the standard part of x , and write $p = st(x)$.

Let γX denote the completion of X . Then X is imbedded in both *X and γX and there is a surjection $\pi: B_X \rightarrow \gamma X$ such that the following diagram is commutative:



The definition of π is immediate: $\pi(x)$, $x \in B$, is the equivalence class of the Cauchy z -ultrafilter \mathcal{F}_x in γX .

PROPOSITION. Let (X, \mathcal{U}) and (Y, \mathcal{V}) be uniform spaces, and assume that (Y, \mathcal{V}) is complete. Let $f: X \rightarrow Y$ be a uniformly continuous map, hence f has an extension to a continuous map $\hat{f}: \gamma X \rightarrow Y$. The following identity is valid for all $x \in B_X$:

$$st({}^*f(x)) = \hat{f}(\pi(x)) .$$

As a preliminary remark toward a sketch of the proof, we note that if $f: X \rightarrow Y$ is uniformly continuous, then $f(u(x)) \subset u(f(x))$ for all $x \in {}^*X$. This follows immediately from the definition of uniform continuity, and, in fact, characterizes this notion.

Let $x \in B_X$ be given. Since \mathcal{F}_x is Cauchy and Y is

complete, we see that the z-filter $f^{\#}(\mathcal{F}_X) = \{Z \in Z(Y) \mid f^{-1}(Z) \in \mathcal{F}_X\}$ converges toward the point $\hat{f}(\pi(x))$ in Y . Pick a point x_0 such that $x_0 \in *F$, for all $F \in \mathcal{F}_X$. Then $x_0 \in u(X)$ and $*f(x_0) \in *Z$, for all $Z \in f^{\#}(\mathcal{F}_X)$. Since $f^{\#}(\mathcal{F}_X)$ converges to $\hat{f}(\pi(x))$, we see that

$$*f(x_0) \in \bigcap_{Z \in f^{\#}(\mathcal{F}_X)} *Z \subseteq \bigcap_{V \in \mathcal{V}} *V(\hat{f}(\pi(x))) = u(\hat{f}(\pi(x))).$$

The uniform continuity of f now implies that $*f(x) \in u(*f(x_0))$. Hence $*f(x) \in u(\hat{f}(\pi(x)))$, which exactly means that $st(*f(x)) = \hat{f}(\pi(x))$.

Remark. This generalizes a result in [2] where we considered the relationship between $*X$ and the Store-Čech compactification βX of X .

In the first section of this note we showed that the set B_X , which was defined as the s-closure of X in $*X$, is the set of points x such that \mathcal{F}_X is a Cauchy z-ultrafilter on X . From the observations of this section it follows that

$$st d(x,y) = \hat{d}(\pi(x), \pi(y)),$$

for all bounded x and y and all d in the associated family of pseudo-metrics. This identity "explains" why the s-topology as defined by A. Robinson is the appropriate setting for discussing the completion of metric spaces. It also implies that $x \simeq y$ (i.e. $x \in u(y)$) iff $\pi(x) = \pi(y)$.

These observations taken together shows that we obtain the completion of X as the set of bounded points modulo monads. Implicit in our observations is also the fact that this non-

standard approach is nothing but the "lifting" from βX to $*X$ of a well-known procedure (see e.g. [3]).

But something may be gained. A. Robinson [7] constructed R as Q_f/Q_i , where Q_f is nothing but the bounded points of Q and Q_i are the infinitesimals. The important point here is that the algebraic operations of Q extends to Q_f , i.e. Q_f is a "nice" substructure of $*Q$ which itself is an elementary extension of Q . We will return to this point in the next section.

EXTENDING MAPS FROM X TO γX .

Let as above (X, \mathcal{U}) and (Y, \mathcal{V}) be uniform spaces, and assume that (Y, \mathcal{V}) is complete. It is known in the metric case (and fairly straight forward to extend to the uniform case) that a map $f: X \rightarrow Y$ is continuous, iff f is s-continuous for all standard x , or, equivalently, iff $f(u(x)) \subseteq u(f(x))$ for all standard x . Here "standard" can be replaced by "near-standard".

And a map $f: X \rightarrow Y$ is uniformly continuous, iff f is s-continuous for all $x \in *X$, or, equivalently, iff $f(u(x)) \subseteq u(f(x))$ for all $x \in *X$.

In this section we characterize in a similar way the property of having a continuous extension from X to γX .

PROPOSITION. Let (X, \mathcal{U}) and (Y, \mathcal{V}) be uniform spaces, and assume that (Y, \mathcal{V}) is complete. Let f be a map from X to Y . The following three conditions are equivalent.

- (i) f has a continuous extension to γX .

(ii) f is s-continuous for all bounded $x \in *X$.

(iii) $f(u(x)) \subseteq u(f(x))$ for all bounded $x \in *X$.

We first prove that (i) implies (ii) & (iii). Let \hat{f} be the continuous extension of f to γX . We consider X as a dense subspace of γX and we work with non-standard extensions $*X \subseteq *\gamma X$. The map \hat{f} is continuous from γX to Y . Hence \hat{f} maps near-standard points of $*\gamma X$ to near-standard points in $*Y$. And $\hat{f}(\hat{u}(x)) \subseteq u(\hat{f}(x))$, for all near-standard $x \in *\gamma X$. (Here \hat{u} denotes the monad in γX .)

First, note that near-standard is the same as bounded in $*\gamma X$ since γX is complete.

Next, note that X is uniformly imbedded as a dense subset of γX . This means, in particular, that $u(x)$, the monad of a point $x \in *X$, is the restriction of the monad $\hat{u}(x)$ to $*X$. (This follows from the correspondence between entourages in X and γX .) Further, a point $x \in *X$ which is bounded in $*X$ remains bounded in $*\gamma X$.

Putting these things together we see that (i) implies (ii) and (iii). As an example we verify (iii). Thus let x be a bounded point in $*X$. Hence x is bounded, and therefore near-standard in γX . The continuity of \hat{f} then implies that $\hat{f}(\hat{u}(x)) \subseteq u(\hat{f}(x))$. Let $y \in u(x)$. Then $y \in \hat{u}(x)$, hence $\hat{f}(y) \in u(\hat{f}(x))$. But $\hat{f} = \hat{f} \upharpoonright *X$, hence $\hat{f}(y) \in u(\hat{f}(x))$, which was to be proved.

Remark. Since \hat{f} maps near-standard points of $*\gamma X$ to near-standard points in $*Y$, it follows that f maps bounded points in $*X$ to bounded points in $*Y$.

The s-continuity of f on B_X implies immediately that $f(u(x)) \subseteq u(f(x))$ for any $x \in B_X$. In fact, let x be bounded and consider an s-open neighbourhood V' of $f(x)$ in $*Y$. It then exists an s-open neighbourhood V of x such that $f(V \cap B_X) \subseteq V'$. As $u(x)$ is the intersection of all s-neighbourhoods of x and $u(x) \subseteq B_X$, we see that $f(u(x)) \subseteq V'$. Since V' is arbitrary, the result follows.

For the final part of the proof assume that $f(u(x)) \subseteq (f(x))$ for all bounded x . Let \mathcal{F} be an arbitrary Cauchy z-ultrafilter on X . We have to show that $f^* \mathcal{F} = \{Z \in Z(Y) \mid f^{-1}(Z) \in \mathcal{F}\}$ is a Cauchy z-filter on Y . Note first that $\mathcal{F} = \mathcal{F}_x$ for some bounded point x .

Consider the family $f(\mathcal{F}_x) = \{f(F) \mid F \in \mathcal{F}_x\}$. It suffices to show that for all $V \in \mathcal{V}$ there is some $F' \in f(\mathcal{F}_x)$ such that $F' \times F' \subseteq V$.

We prove this by contradiction. Assume not: Then there exists a $V \in \mathcal{V}$ such that the following (standard) sentence is true:

$$(\forall F)(F \in \mathcal{F} \rightarrow (f \times f)(F) \not\subseteq V) .$$

A familiar type non-standard argument now gives an internal set $F_0 \in * \mathcal{F}$ such that $F_0 \subseteq u(x)$ and such that $(f \times f)(F_0) \not\subseteq *V$.

Pick a symmetric $W \in \mathcal{V}$ such that $W \circ W \subseteq V$. It follows that $(f \times f)(F_0) \not\subseteq *W \circ *W$. And since $F_0 \subseteq u(x)$, we further obtain that $(f \times f)(u(x)) \not\subseteq *W \circ *W$. We may then choose points $u, v \in u(x)$ such that $(f(u), f(v)) \not\subseteq *W \circ *W$, which easily implies that $f(u(x)) \not\subseteq *W(f(x))$. However,

$u(f(x)) \subset {}^*W(f(x))$, and the result follows.

Remark. We note the following supplementary characterization of the set of bounded points: A point x is bounded, iff for all uniformly continuous $f: X \rightarrow R$, $f(x)$ is bounded, or, equivalently, iff for all $f: X \rightarrow R$ which has a continuous extension to γX , $f(x)$ is bounded.

It remains to show that if $x \in {}^*X - B_X$, then there is some uniformly continuous $f: X \rightarrow R$ such that $f(x)$ is not bounded. Note that X can be imbedded into a product R^I , where $I = \{f: X \rightarrow R \mid f \text{ is uniformly continuous}\}$. If $x \notin B$, then \mathcal{F}_x is a z -ultrafilter which is not Cauchy. Hence there must be some $f \in I$ such that $\text{pr}_f(\mathcal{F}_x)$ is a base for a z -ultrafilter which is not Cauchy. But this means that the z -ultrafilter $\mathcal{F}_{f(x)}$ cannot be Cauchy, hence $f(x)$ is not bounded.

Let X now carry both an algebraic and uniform structure, e.g. let X be a topological group. When is γX an algebraic structure of the same kind as X ? The answer must be related to the "degree of continuity" of the algebraic operations. Continuity is known to be too weak and uniform continuity too strong. It turns out that s -continuity of the algebraic operations on the set of bounded points of *X is the right kind of requirement.

For simplicity assume that X as an algebraic structure has certain operations f_1, \dots, f_k and that the axioms which X is supposed to satisfy are open, positive sentences (e.g. let X be a group with both group multiplication and inverse operation). We must now consider maps $f_r: X^n \rightarrow \gamma X$. (Note that

monads and bounded points commute with finite cartesian products, hence our previous results apply.) The s-continuity of the operations f_r implies that each f_r maps bounded points to bounded points. Hence the operations f_r , which can be extended to $*X$ by general model-theoretic considerations, can be restricted to the bounded points. Since s-continuity means that $f_r(u(b_1), \dots, u(b_n)) \subseteq u(f(b_1, \dots, b_n))$, the operations f_r can be further defined on $\gamma X \simeq B/u$.

And since γX is a homomorphic image of a subsystem of $*X$, which itself is an elementary extension of X , the syntactic form of the axioms implies that they are also valid in γX . Thus in this case the s-continuity of the algebraic operations ensures that γX is an algebraic structure of the same kind as X , and that the extended operations are continuous in the associated topology. And in this case the condition of s-continuity is also necessary.

This includes known results on topological groups and rings. When the axioms are of a more complicated syntactic character, the situation becomes more involved. It is perhaps somewhat doubtful whether a useful general theorem can be stated.

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